# Quantum Renormalization Group and Holography

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References:

arXiv: 1305.3908 arXiv: 1603.08509

#### AdS/CFT correspondence

[Maldacena]

#### Conjecture :

D-dim QFT = (D+1)-dim quantum gravity

- The bulk space is emergent, and the geometry is dynamical
- The bulk geometries encode states of the quantum field theory
- Well tested for some supersymmetric field theories
  - N=4 Supersymmetric  $SU(N_c)$  gauge theory = IIB superstring theory in  $AdS^5xS^5$

### AdS/CFT Dictionary

[Gubser, Klebanov, Polyakov; Witten]

Low energy

$$O_n(x) \leftrightarrow j_n(x,z)$$

$$T_{\mu\nu}(x) \leftrightarrow g_{\mu\nu}(x,z)$$

High energy

$$\int D\phi(x)e^{iS_{D}[\phi(x)]+i\int J_{n}(x)O_{n}} = \int Dj(x,z)e^{iS_{D+1}[j(x,z)]}\Big|_{j_{n}(x,z=0)=J_{n}(x)}$$

$$\to e^{iS_{D+1}[\bar{j}(x,z)]}\Big|_{\bar{j}_{n}(x,z=0)=J_{n}(x)}$$

#### Goal

A first principle derivation of AdS/CFT correspondence, which allows one to find holographic duals for general QFTs\*

\*For general QFTs, holographic duals can be non-classical / non-local. However, we would like to find a general prescription to construct them.

## What is behind the AdS/CFT correspondence? RG ≈ GR

- Radial direction in the bulk = length scale of QFT
- Bulk variables : scale dependent coupling functions
- Equations of motion in the bulk corresponds to the beta functions of QFT
- Radial evolution of the bulk fields correspond to the RG flow

# The connection between RG and GR is incomplete

RG flow is classical:
Given initial condition, coupling functions are deterministic without uncertainty

Bulk variables have quantum fluctuations

<sup>\*</sup>In order to make the connection precise, RG should be promoted to quantum RG

### Other related approaches

#### General Connection between holography and RG

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#### Plan

- An introduction to quantum RG
  - RG flow as a wavefunction collapse
- An application of quantum RG
  - Vector model
  - Matrix model

#### From action to state

$$|S\rangle = \int D\phi \ e^{-S[\phi]} |\phi\rangle,$$

$$\langle \phi' | \phi \rangle = \prod_{i} \delta(\phi'_{i} - \phi_{i})$$

- An action of QFT in D-dimensional space defines a Ddimensional quantum state
- The Boltzmann weight becomes wavefunction

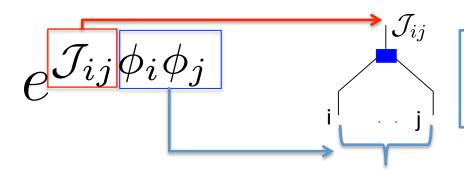
#### Sources as variational parameters

$$S = -\mathcal{J}^M \mathcal{O}_M$$

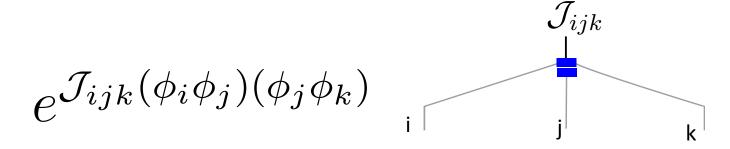
$$|\{\mathcal{J}\}\rangle = \int D\phi \ e^{\mathcal{J}^M \mathcal{O}_M} |\phi\rangle$$

State can be labeled by the sources of operators

#### Tensor representation

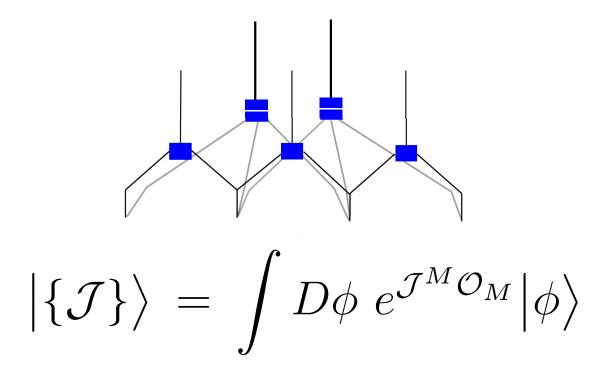


In general,  $O_M$  depends on multiple points in spacetime (e.g. bi-local operator in vector model, Wilson loop in gauge theory)



O<sub>M</sub> can be composite of multiple operators

#### Tensor representation



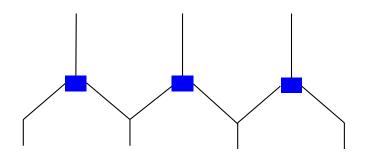
- Local action generates states given by a product of local tensors
- They are over-complete

### Single-trace operator

$$\mathcal{O}_M = \sum c_M^{n_1, n_2, \dots} O_{n_1} O_{n_2} \dots$$

 Minimal set of operators of which all singlet operators can be written as polynomial

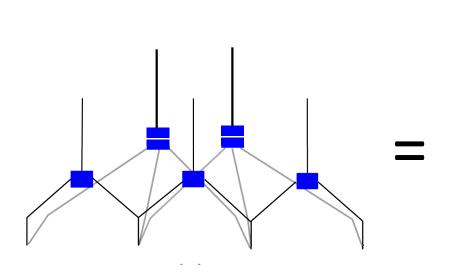
## States generated from single-trace operators form a complete basis

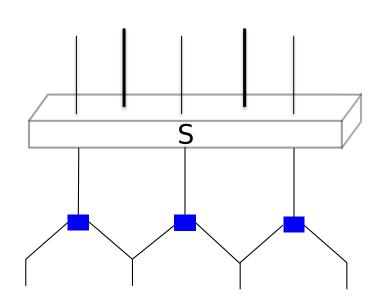


$$|j\rangle = \int D\phi \ e^{j_n O_n} |\phi\rangle$$

## States generated from single-trace operators form a complete basis

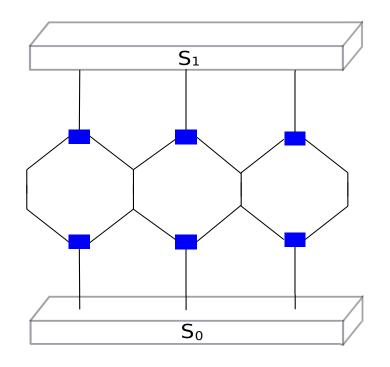
$$\int D\phi \ e^{\sum_{k} \mathcal{J}^{n_{1}, n_{2}, \dots, n_{k}} O_{n_{1}} O_{n_{2}} \dots O_{n_{k}}} \ |\phi\rangle = \int Dj \ \Psi_{S}(\mathcal{J}, j) \ |j\rangle$$





# Partition function is an overlap between states

$$Z = \int D\phi \ e^{-(S_0 + S_1)} = \langle S_0^* | S_1 \rangle$$



$$|S_0\rangle = \int D\phi \ e^{-S_0[\phi]} |\phi\rangle$$

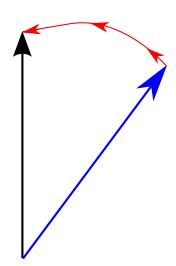
$$|S_1\rangle = \int D\phi \ e^{-S_1[\phi]} |\phi\rangle$$

## RG flow as wave-function collapse

$$Z = \langle S_0 | S_1 \rangle = \langle S_0 | e^{-dz\hat{H}} | S_1 \rangle = \langle S_0 | S_1 + \delta S_1 \rangle$$

- |S<sub>0</sub>> is the ground state of H<sup>+</sup> with zero energy
- H acting on |S<sub>1</sub>> generates RG flow

$$Z = \langle S_0 | e^{-z\hat{H}} | S_1 \rangle$$



#### Example: Wilson-Polchinski RG equation

$$S_0 = \frac{1}{2} \int d^D k \ G_{\Lambda}^{-1}(k) \phi_k \phi_{-k}$$
  $S_1 = \text{interactions}$ 

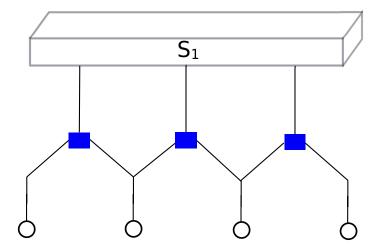
$$e^{-(S_1 + \delta S_1)} = \langle \phi | e^{-dz\hat{H}} | S_1 \rangle$$

$$\hat{H} = \int dk \left| \frac{\tilde{G}(k)}{2} \hat{\pi}_k \hat{\pi}_{-k} - i \left( \frac{D+2}{2} \hat{\phi}_k + k \partial_k \hat{\phi}_k \right) \hat{\pi}_{-k} \right|$$

$$\tilde{G}(k) = \frac{\partial G_{\Lambda}(k)}{\partial \ln \Lambda}$$

## Direct product state for the reference state (tentative IR fixed point)

$$Z = \int Dj^{(0)} \langle S_0^* | j^{(0)} \rangle \Psi(j^{(0)})$$



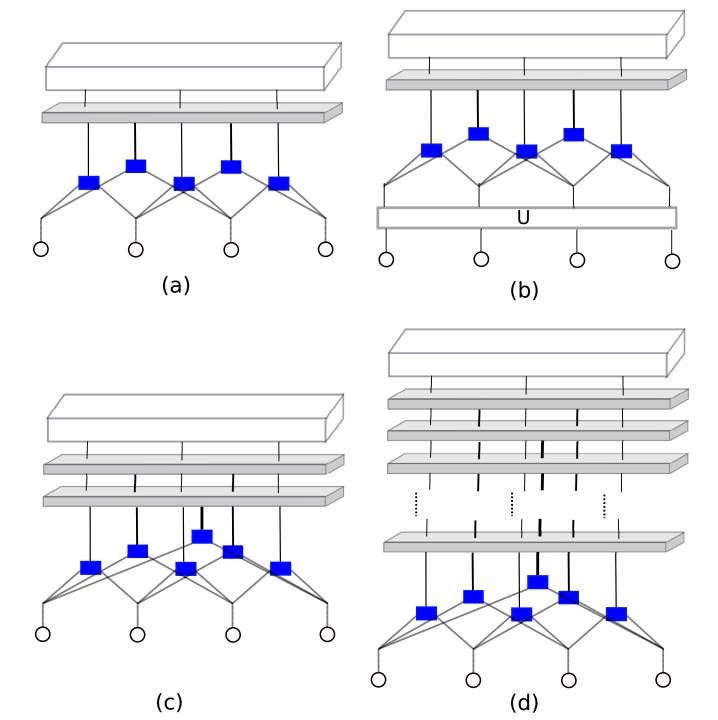
#### Coarse graining

$$Z = \int Dj^{(0)} \left\langle S_0^* \middle| e^{-dz\hat{H}} \middle| j^{(0)} \right\rangle \Psi(j^{(0)})$$

#### Quantum RG

$$e^{-dz\hat{H}}|j^{(0)}\rangle = \int Dj^{(1)} e^{-j_n^{*(1)}(j^{(1)n}-j^{(0)n})-dz\mathcal{H}[j^{*(1)},j^{(0)}]}|j^{(1)}\rangle$$

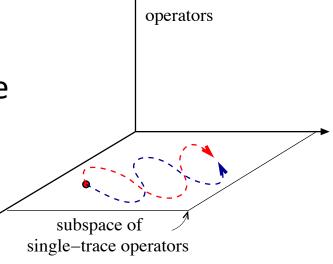
- State with multi-trace tensors can be written as a linear superposition of single-trace states
- Non-local single-trace tensors are generated



#### Quantum RG

$$Z = \int Dj' Dj Dj(z) \Psi_0^*(j') e^{-\int dz (j^* \partial_z j + \mathcal{H}[j^*,j])} \Psi_1(j) \Big|_{j(0)=j,j(z)=j'}$$

- The RG flow is confined to the space of single-trace sources
- Sum over all RG path in the single-trace space
- Single-trace sources are promoted to quantum operators  $[j^n,j_m^{\dagger}]=\delta_m^n$
- Quantum RG to Wilsonian RG is what quantum computer is to classical computer



multi-trace

#### Further comments

- The bulk tensor network involves single-trace tensors of all sizes (no pre-assigned local structure): kinematic non-locality is a necessary condition for diffeomorphism invariance in the bulk
- The bulk theory include dynamical gravity: the source for single-trace energy momentum tensor (metric) gets promoted to dynamical variables
- Regularization of quantum gravity boils down to regularization of QFT

Example 1: Lattice Vector Model

### Example: Vector model

$$\mathcal{S} = \int d^D x \left[ |\nabla \vec{\phi}|^2 + m^2 |\vec{\phi}|^2 + \frac{\lambda}{N} (|\vec{\phi}|^2)^2 \right]$$

Lattice Regularization:

$$S_0 = m^2 \sum_i \left( \boldsymbol{\phi}_i^* \cdot \boldsymbol{\phi}_i \right)$$

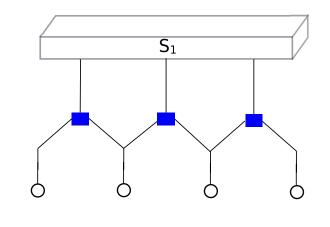
$$S_1 = -\sum_{ij} t_{ij}^{(0)} \left( \boldsymbol{\phi}_i^* \cdot \boldsymbol{\phi}_j \right) + \frac{\lambda}{N} \sum_i \left( \boldsymbol{\phi}_i^* \cdot \boldsymbol{\phi}_i \right)^2$$

### Example: Vector model

$$Z = \langle S_0 | t^{(0)} \rangle$$

Gapped phase (direct product state)

$$|S_0\rangle = \int D\boldsymbol{\phi} e^{-m^2 \sum_i \boldsymbol{\phi}_i^* \cdot \boldsymbol{\phi}_i} |\boldsymbol{\phi}\rangle,$$



Deformation to the gapped fixed point (entangled state)

$$|t^{(0)}\rangle = \int D\boldsymbol{\phi} \ e^{\sum_{ij} t_{ij}^{(0)} \boldsymbol{\phi}_i^* \cdot \boldsymbol{\phi}_j - \frac{\lambda}{N} \sum_i (\boldsymbol{\phi}_i^* \cdot \boldsymbol{\phi}_i)^2} |\boldsymbol{\phi}\rangle$$

#### Hamiltonian

$$\hat{H} = \sum_{i} \left[ \frac{2}{m^2} \boldsymbol{\pi}_i \cdot \boldsymbol{\pi}_i^* + i(\boldsymbol{\phi}_i \cdot \boldsymbol{\pi}_i + \boldsymbol{\phi}_i^* \cdot \boldsymbol{\pi}_i^*) \right]$$

- H is not Hermitian, but has real eigenvalues (related to Hermitian through a similarity transformation)
- |S<sub>0</sub>> is the ground state of H<sup>+</sup>
- e<sup>-zH</sup> gradually removes entanglement\* in |t<sup>(0)</sup>>

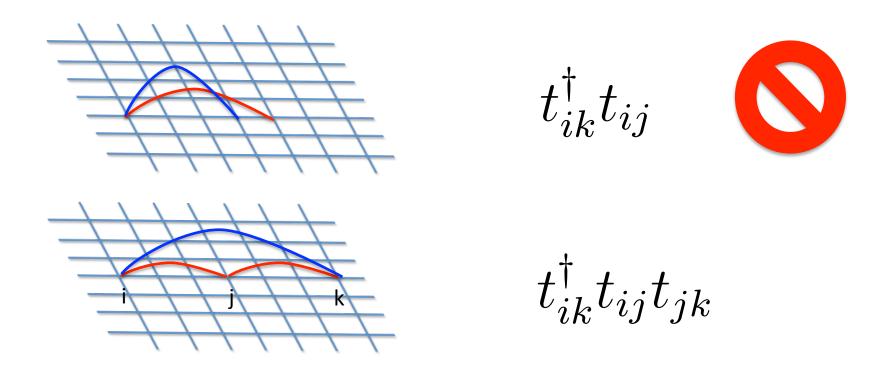
<sup>\*</sup> Entanglement in spacetime

### Bulk Hamiltonian (in a fixed gauge)

$$\hat{\mathcal{H}} = \sum_{i} \left[ -\frac{2}{m^2} t_{ii} + \frac{4\lambda \left(1 + \frac{1}{N}\right)}{m^2} t_{ii}^{\dagger} - 4\lambda \left(t_{ii}^{\dagger}\right)^2 - \frac{8\lambda^2}{m^2} \left(t_{ii}^{\dagger}\right)^3 \right] + \sum_{ij} \left[ 2 + \frac{4\lambda}{m^2} (t_{ii}^{\dagger} + t_{jj}^{\dagger}) \right] t_{ij}^{\dagger} t_{ij} - \frac{2}{m^2} \sum_{ijk} \left[ t_{kj}^{\dagger} t_{ki} t_{ij} \right]$$

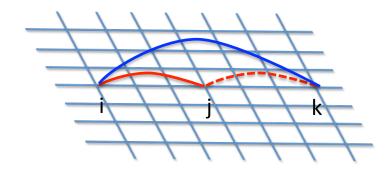
- t<sup>+</sup><sub>ij</sub> (t<sub>ij</sub>) creates (annihilates) a quantum of connectivity
- The Hamiltonian describes evolution of quantum geometry in the bulk

#### Background independence



- There is no bare kinetic term for the bi-local object
- No pre-imposed background

### Background independence



$$t_{ik}^{\dagger}t_{ij}t_{jk} \rightarrow t_{ik}^{\dagger}t_{ij} < t_{jk} >$$

- t<sub>ii</sub> can move only in the presence of condensate
- The condensate, which is dynamical, determines the geometry on which  $t_{\rm ii}$  propagates

### Saddle point approximation

- In the large N limit, semi-classical RG path dominates the partition function
- At the saddle point,  $t_{ij} o t_{ij}, \quad t_{ij}^* o ar{p}_{ij}$

$$\partial_{z}\bar{t}_{ij} = -2\left\{\frac{2\lambda\,\delta_{ij}}{m^{2}} - \delta_{ij}\left[4\lambda + \frac{12\lambda^{2}}{m^{2}}\bar{p}_{ii}\right]\bar{p}_{ii} + \frac{2\lambda\,\delta_{ij}}{m^{2}}\sum_{k}\left(\bar{t}_{ik}\bar{p}_{ik} + \bar{t}_{ki}\bar{p}_{ki}\right) + \left[1 + \frac{2\lambda}{m^{2}}\left(\bar{p}_{ii} + \bar{p}_{jj}\right)\right]\bar{t}_{ij} - \frac{1}{m^{2}}\sum_{k}\bar{t}_{ik}\bar{t}_{kj}\right\},\$$

$$\partial_{z}\bar{p}_{ij} = 2\left\{-\frac{\delta_{ij}}{m^{2}} + \left[1 + \frac{2\lambda}{m^{2}}\left(\bar{p}_{ii} + \bar{p}_{jj}\right)\right]\bar{p}_{ij} - \frac{1}{m^{2}}\sum_{k}\left(\bar{p}_{ik}\bar{t}_{jk} + \bar{t}_{ki}\bar{p}_{kj}\right)\right\}$$

#### **Exact solution:**

$$\bar{T}_q(z) = \frac{2\lambda}{m^2} + m^2 + \frac{2\lambda}{m^2} e^{-2z} (m^2 \bar{p}_0(0) - 1) - m^2 \frac{\delta^2 + q^2}{(1 - e^{-2z})(q^2 + \delta^2) + m^2 e^{-2z}},$$

$$\bar{P}_q(z) = \frac{e^{-2z}}{a^2 + \delta^2} + \frac{1 - e^{-2z}}{m^2}$$

#### Metric

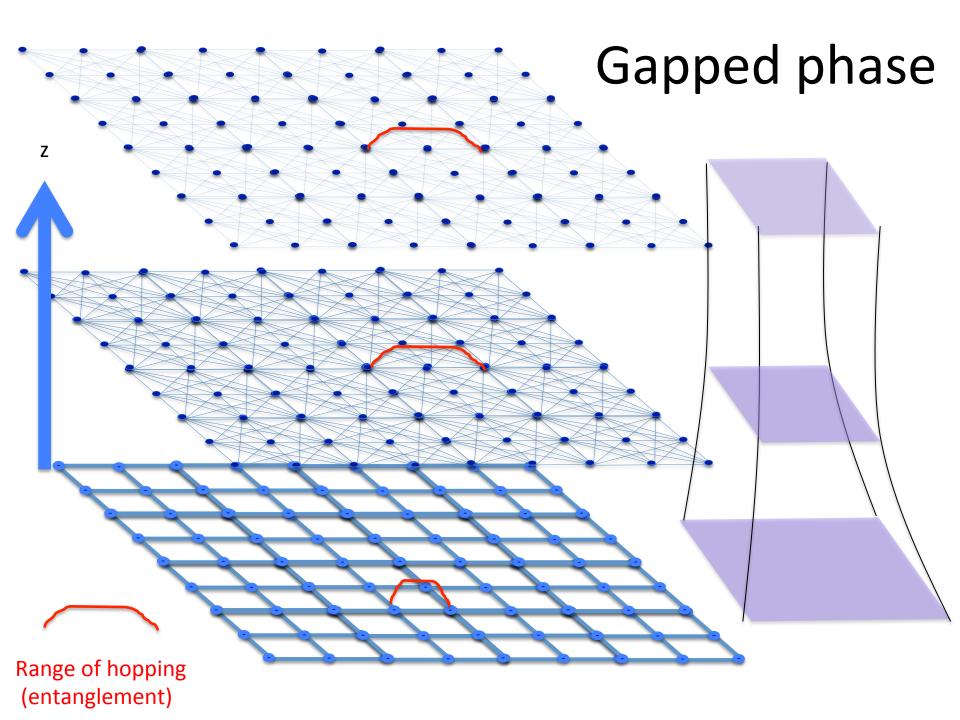
Fluctuations away from saddle point

$$\tilde{t}_{ij} = t_{ij} - \bar{t}_{ij}$$

 Anti-symmetric component obeys a simple diffusive equation in the bulk

$$\tilde{t}_{ij}^A = \tilde{t}_{ij} - \tilde{t}_{ji}$$

$$\left(m\sqrt{g^{zz}}\partial_{z}-g^{\mu\nu}\partial_{\mu}\partial_{\nu}-g^{\mu\nu}\partial'_{\mu}\partial'_{\nu}+\ldots\right)\tilde{t}^{A}(x,x',z)=0$$

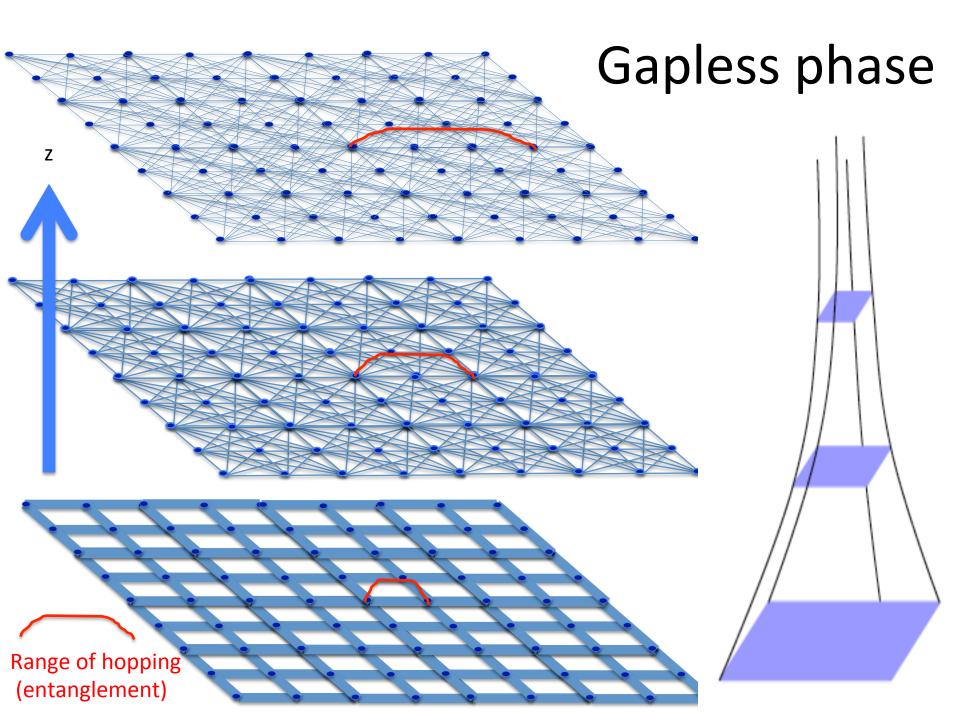


#### Gapped phase

- The range of entanglement (hopping) saturates in the large z limit
- The strength of hopping (entanglement) decays exponentially in z
- $e^{-z H} | S_1 > is$  smoothly projected to the direct product state in the large z limit
- The bulk terminates at a finite proper distance
- The proper distance measures the complexity: # of RG steps needed to remove all entanglement

[Susskind]

$$ds^{2} = \left(\frac{1}{1 + \left(\frac{\delta}{m}e^{z}\right)^{2}}\right)^{2} \frac{dz^{2}}{m^{2}} + \left(\left(\frac{\delta}{m}\right)^{2} + e^{-2z}\right) \sum_{\mu=0}^{D-1} dx^{\mu} dx^{\mu}.$$



### Gapless phase

- The range of entanglement (hopping) keep increasing with increasing z
- e<sup>-z H</sup> |S<sub>1</sub>> can not be smoothly projected to the direct product state in the large z limit
- In the large z limit, the range of entanglement diverges : critical point -> Poincare horizon

$$ds^{2} = \frac{dz^{2}}{m^{2}} + e^{-2z} \sum_{\mu=0}^{D-1} dx^{\mu} dx^{\mu}$$

In metallic phase, horizon arises at finite z

### Example 2 : A toy example

Matrix field theory which has no other operators with finite scaling dimension except for the energy-momentum tensor

# D-dim matrix QFT on a curved background

$$Z[g^{(0)}] = \int D\Phi \ e^{iS_1[\Phi;g^{(0)}(x)]}$$

- S<sub>1</sub> is an action which has only single-trace operators deformed by energy-momentum tensor
- This is equivalent to putting the theory on a curved background metric
- We assume that the theory is regularized respecting the D-dim. Diffeomorphism invariance

$$Z[g^{(0)}] = Z[g^{(0)'}]$$

## Coarse graining

$$g_{\mu\nu}^{(0)}(x) \to g_{\mu\nu}^{(0)}(x)e^{-N^D(x)dz}$$

$$Z[g^{(0)}] = \int D\Phi \ e^{iS_1[\Phi;g^{(0)}(x)] + i\delta S'[T^{\mu\nu};g^{(0)}]} \int_{\substack{\text{spacetime dependent speed of RG}\\ [\text{Osborn(94); SL(12)}]}} T^{\mu\nu} = \frac{1}{N^2} \frac{\delta S_1}{\delta q_{\mu\nu}^{(0)}}$$

$$\delta S'[T^{\mu\nu}; g^{(0)\mu\nu}] = dz N^2 \int d^D x \ N^D(x) \Big\{ \sqrt{|g^{(0)}|} \left( -C_0 + C_1^D \mathcal{R}(x; g^{(0)}) \right) \Big\} \Big\}$$

$$-A_{\mu\nu}T^{\mu\nu} + \frac{B_{\mu\nu;\rho\sigma}}{2}T^{\mu\nu}T^{\rho\sigma} + \ldots$$

Change of scale: Warping factor

Casimir energy [Sakharov(67)]

Double-trace operators

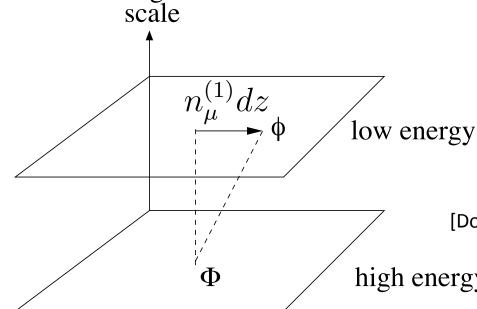
[Osborn(94); SL(12)]

Higher derivative terms

#### Shift

$$Z[g^{(0)}] = \int D\Phi \ e^{iS_1[\Phi;g^{(0)}(x)] + i\delta S'[T^{\mu\nu};g^{(0)}] + i\delta S''[T^{\mu\nu};g^{(0)}]}$$

$$\delta S''[T^{\mu\nu};g^{(0)\mu\nu}] = dzN^2 \int d^Dx (\nabla^{(1)}_{\mu}n^{(1)}_{\nu} + \nabla^{(1)}_{\nu}n^{(1)}_{\mu})T^{\mu\nu}$$



length

Shift of the coordinate of the low energy field relative to the coordinate of the high energy field

[Douglas, Mazzucato, and Razamat (11); SL (12)]

high energy

## Auxiliary fields

$$Z[g^{(0)}] = \int Dg_{\mu\nu}^{(1)} D\pi^{(1)\mu\nu} D\Phi e^{iN^2 \int d^D x \pi^{(1)\mu\nu} (g_{\mu\nu}^{(1)} - g_{\mu\nu}^{(0)})}$$
$$e^{i\delta S^{(1)'}[i/N^2 \delta/\delta g_{\mu\nu}^{(1)}; g^{(0)}]} e^{i\delta S^{(1)''}[i/N^2 \delta/\delta g_{\mu\nu}^{(1)}]} e^{iS_1[\Phi; g^{(1)}]}$$

$$T^{\mu\nu} = -i\frac{1}{N^2} \frac{\delta}{\delta g^{(1)}_{\mu\nu}}$$

- $\pi^{(1)\mu\nu}$ : Lagrangian multiplier
- Integration of  ${\bf g^{(1)}}_{\mu\nu}$  by parts :  ${\delta\over\delta g^{(1)}_{\mu\nu}}\to -i\pi^{(1)\mu\nu}$

#### Double trace operator: dynamical metric

$$Z[g^{(0)}] = \int Dg_{\mu\nu}^{(1)} D\pi^{(1)\mu\nu} D\Phi e^{iN^2 \int d^D x \pi^{(1)\mu\nu} (g_{\mu\nu}^{(1)} - g_{\mu\nu}^{(0)})} \times e^{i\delta S' [\pi^{(1)\mu\nu}, g^{(0)}] + i\delta S'' [\pi^{(1)\mu\nu}, g^{(0)}]} e^{iS_1[\Phi; g^{(1)}]}$$

$$\delta S' = dz N^2 \int d^D x N^D(x) \left\{ \sqrt{|g^{(0)}|} \left( -C_0 + C_1^D \mathcal{R}(x; g^{(0)}] \right) + A_{\mu\nu} \pi^{(1)\mu\nu} + \frac{B_{\mu\nu; \rho\sigma}}{2} \pi^{(1)\mu\nu} \pi^{(1)\rho\sigma} + ... \right\}$$

 $\delta S'' = dz - N^2 \int d^D x (\nabla_{\mu}^{(1)} n_{\nu}^{(1)} + \nabla_{\nu}^{(1)} n_{\mu}^{(1)}) \pi^{(1)\mu\nu}$ 

• Quadratic term in  $\pi^{(1)\mu\nu}$  provides a Gaussian width for  $g^{(1)}_{\mu\nu}$  which becomes a genuine fluctuating metric

#### **Bulk action**

$$S_{D+1} = \frac{N^2}{2\kappa^2} \int dz \int d^D x \left[ \pi_{\mu\nu} \partial_z g^{\mu\nu} - N^D \mathcal{H} - N^\mu \mathcal{H}_\mu \right]$$

Casimir energy Beta function of 
$$T^{\mu\nu}T^{\rho\sigma}$$
 
$$\mathcal{H} = -\sqrt{g}\left[C_0 + R^D + \frac{g^{-1}}{2}\left(\alpha\pi^2 - \pi^{\mu\nu}\pi_{\mu\nu}\right) + ..\right]$$
 
$$\mathcal{H}^{\mu} = -2\nabla_{\nu}\pi^{\mu\nu}$$

Not fixed by D-dimensional diff. inv.

• The linear term in  $\pi^{\mu\nu}$  can be absorbed by a shift in  $\pi^{\mu\nu}$  and a boundary term

#### First-class constraints

 Independence of partition function on RG schemes (speed of RG and shifts) → (D+1)constraints

$$<\mathcal{H}_M(x,z)>=rac{1}{Z}rac{\delta Z}{\delta N^M(x,z)}=0 \hspace{1cm} \mathcal{H}=0, \hspace{0.2cm} \mathcal{H}_\mu=0$$
 M=0, 1, 2, ..., (D-1), D  $N^D(x,z)\equiv lpha(x,z)$  and  $\mathcal{H}_D\equiv \mathcal{H}$ 

• The (D+1)-constraints are (classically) first-class

$$\frac{\partial}{\partial z} \langle \mathcal{H}_M(x,z) \rangle = \int d^D y \, N^{M'}(y,z) \, \langle \{\mathcal{H}_M(x,z), \mathcal{H}_{M'}(y,z)\} \rangle = 0$$
$$\{\mathcal{H}_M(x,z), \mathcal{H}_{M'}(y,z)\} = 0$$

#### Einstein Gravity upto two derivatives

[SL, 1305.3908]

$$S_{D+1} = \frac{N^2}{2\kappa^2} \int dz \int d^D x \left[ \pi_{\mu\nu} \partial_z g^{\mu\nu} - N^D \mathcal{H} - N^{\mu} \mathcal{H}_{\mu} \right]$$
$$= \frac{N^2}{2\kappa^2} \int d^{D+1} X \sqrt{|G|} \left( -\Lambda + {}^{(D+1)} \mathcal{R} + .. \right).$$

Casimir energy

Beta function of  $T^{\mu\nu}T^{\rho\sigma}$ 

$$\mathcal{H} = -\sqrt{g} \left[ C_0 + R^D + \frac{g^{-1}}{2} \left( \frac{\pi^2}{D-1} - \pi^{\mu\nu} \pi_{\mu\nu} \right) + \dots \right]$$

 $\mathcal{H}^{\mu} = -2\nabla_{\nu}\pi^{\mu\nu}$ 

Uniquely fixed by the first-class constraint condition

[Blas, Pujolas, Sibiryakov (09); Henneaux, Kleinschmidt and Gomez (10)]

#### Summary

- In quantum RG, only a subset of couplings are kept while those couplings are promotes to dynamical variables
- A bulk action determines the weight for each path in the path integral of RG paths
- The bulk theory describes dynamical geometry
- Quantum RG can be viewed as a smooth projection of wavefunction
- Obstruction to smooth projection of one phase to another phase manifests itself as a horizon in the bulk