

# Spontaneous symmetry breaking

## What is important?

Independence of details of theory

## Low-energy theorem

Ex.) Goldberger-Treiman relation

$$g_{\pi NN} = 2m_N g_A / f_\pi$$



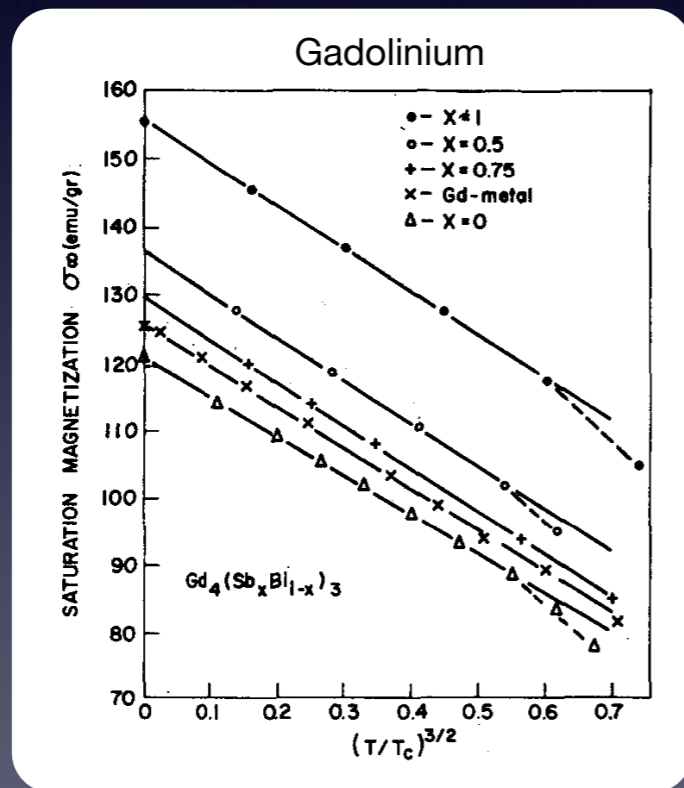
Relation between different vertices.

# Spontaneous symmetry breaking

## Why important?

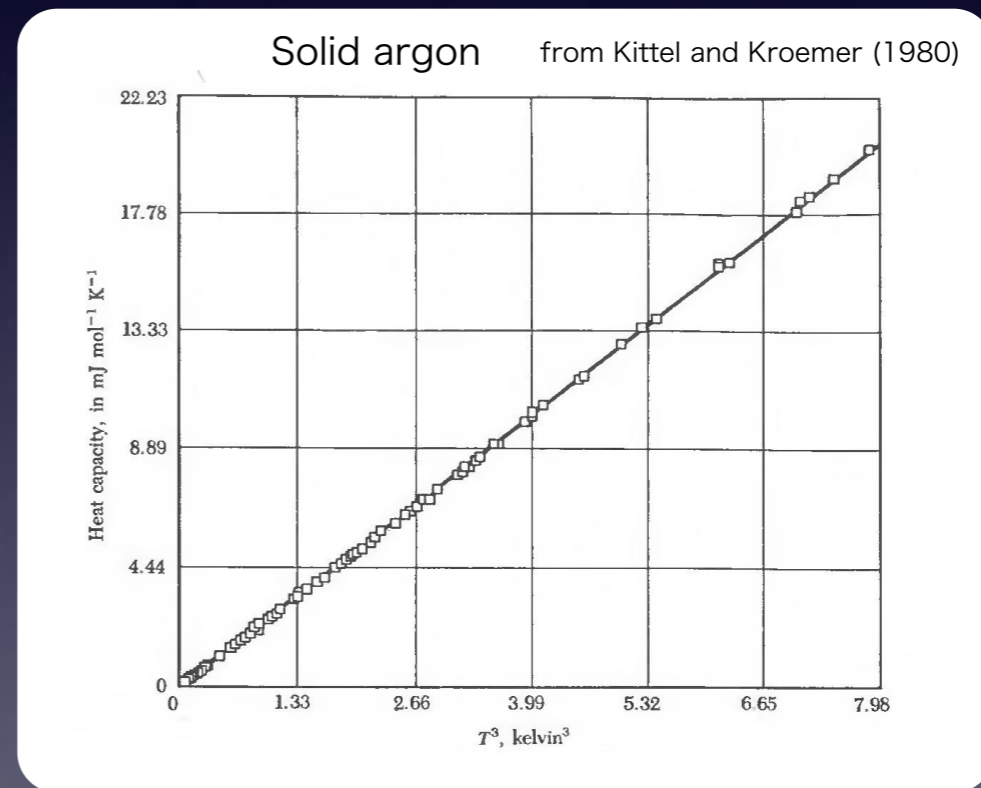
Without detail of systems, one can predict many things:  
dispersion relations, low-energy theorem,...

Bloch  $T^{3/2}$  law,



Holtzberg, McGuire, M'ethfessel, Suits, J. Appl. Phys. 35,1033 (1964)

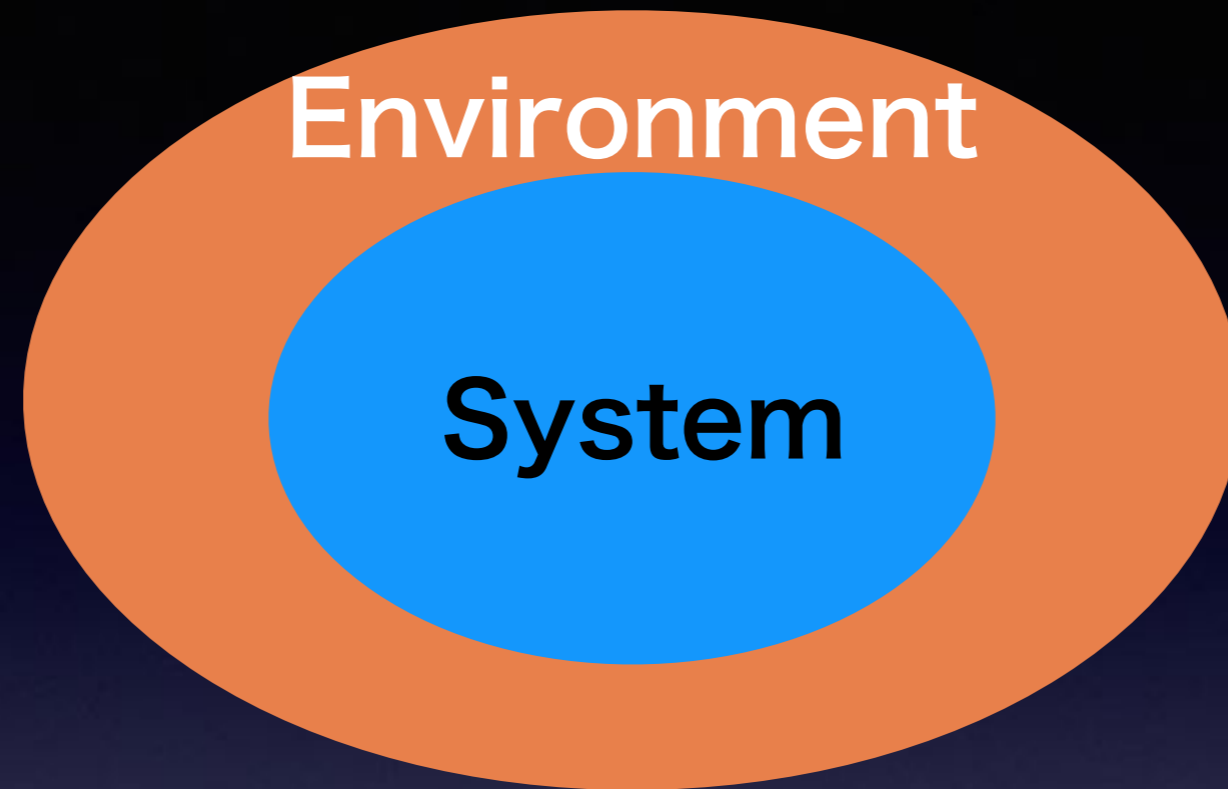
Debye  $T^3$  law, ...



Magnon:  $\omega \sim k^2$

Phonon:  $\omega \sim k$

# Open systems



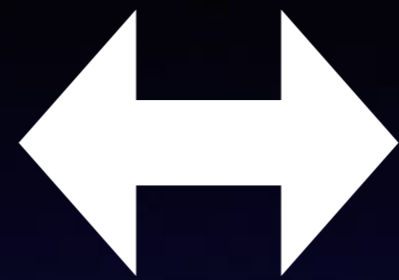
## Example) Active matter



# Questions

Hamiltonian systems

Continuum  
symmetry



$$\partial_{\mu} J^{\mu} = 0$$

Open systems

$\partial_{\mu} J^{\mu} \neq 0$  because of friction

What is the symmetry?

Is there any symmetry breaking?

Does a NG mode appear?

# **Classification of Nambu-Goldstone modes in Hamiltonian system**

# Exception of NG theorem

NG modes with  $N_{\text{BS}} \neq N_{\text{NG}}$  and  $\omega \neq k$  exist

## NG modes in Kaon condensed CFL phase

Miransky, Shovkovy ('02) Schafer, Son, Stephanov, Toublan, and Verbaarschot ('01)

$$SU(2)_I \times U(1)_Y \rightarrow U(1)_{\text{em}}$$

$$N_{\text{BS}} = 3, \quad N_{\text{NG}} = 2$$

**Dispersion:**  $\omega \propto k$  and  $\omega \propto k^2$

## Magnon



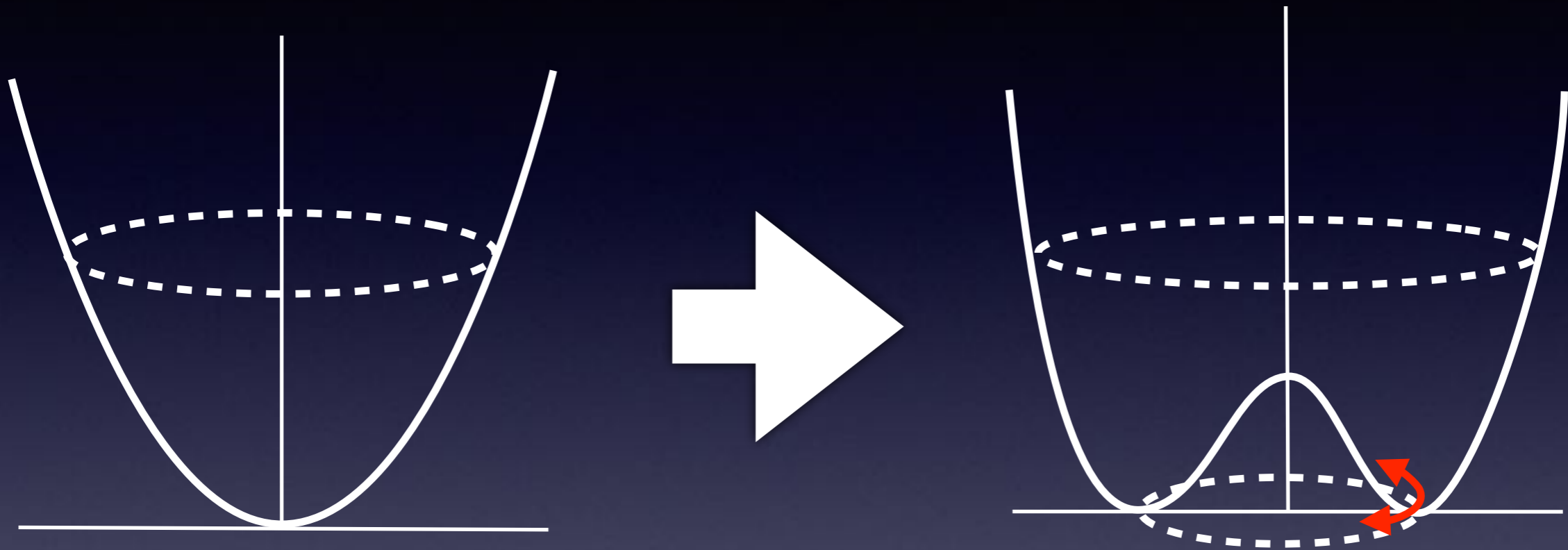
spin rotation  $SO(3) \rightarrow SO(2)$

$$N_{\text{BS}} = \dim(G/H) = 2 \quad N_{\text{NG}} = 1$$

**Dispersion:**  $\omega \propto k^2$

# Internal symmetry breaking

Symmetry group  $G \Rightarrow H$



# of flat direction

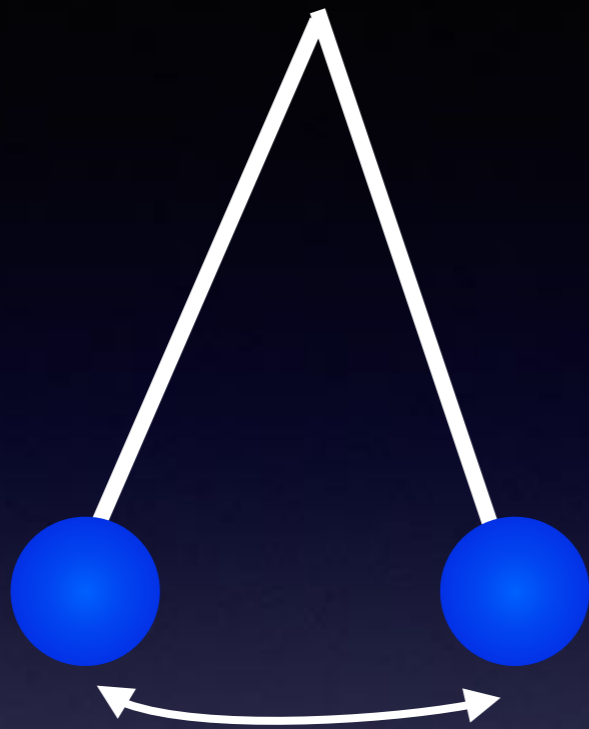
$$N_{\text{BS}} = \dim(G/H)$$

This does work in nonrelativistic system  
at zero and finite temperature

# Classification of NG modes

Watanabe, Murayama ('12), YH ('12)

cf. Takahashi, Nitta ('14), Beekman ('14)

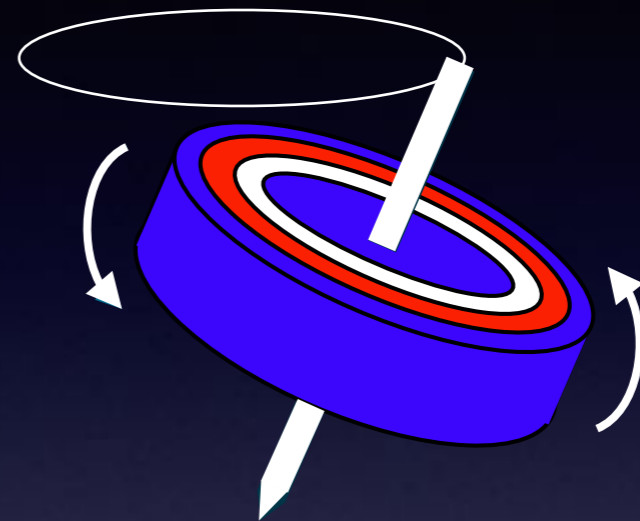


## Type-A

Harmonic oscillation

$$N_A = N_{\text{BS}} - \text{rank}\langle [iQ_a, Q_b] \rangle$$

Ex. ) superfluid phonon

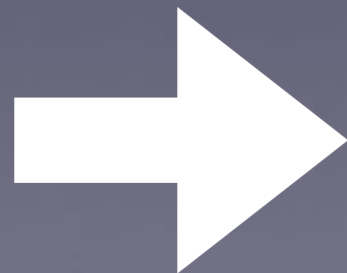


## Type-B

Precession

$$N_B = \frac{1}{2} \text{rank}\langle [iQ_a, Q_b] \rangle$$

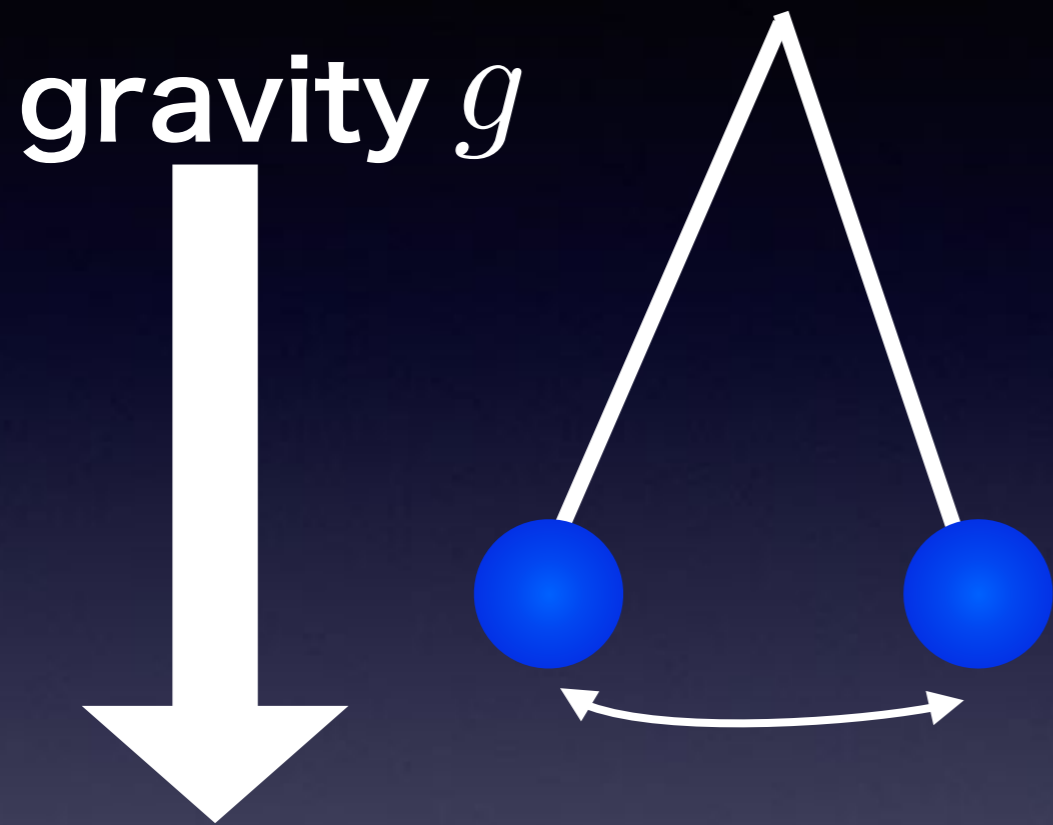
Ex. ) magnon



$$N_{\text{NG}} = N_{\text{BS}} - \frac{1}{2} \langle i[Q_a, Q_b] \rangle$$

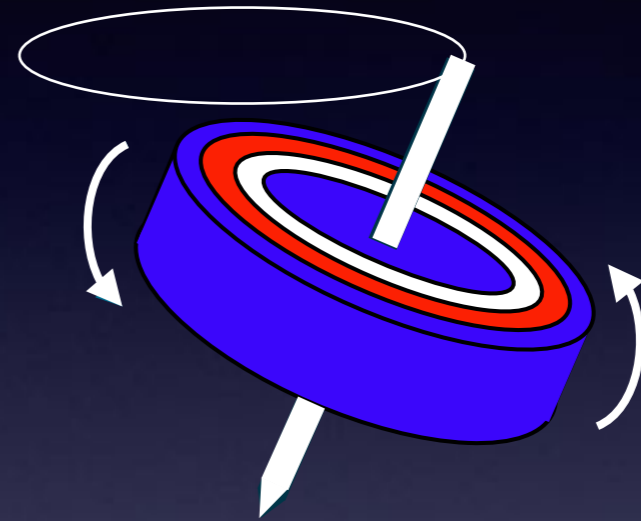


# Dispersion relation



**Type-A**

$$\omega \sim \sqrt{g} \sim \sqrt{k^2}$$



**Type-B**

$$\omega \sim g \sim k^2$$

# Examples of Type-B NG modes

	$N_{\text{BS}}$	$N_{\text{type-A}}$	$N_{\text{type-B}}$	$\frac{1}{2}\text{rank}\langle[Q_a, Q_b]\rangle$	$N_{\text{type-A}} + 2N_{\text{type-B}}$
Spin wave in ferromagnet $\text{SO}(3) \rightarrow \text{SO}(2)$	2	0	1	1	2
NG modes in Kaon condensed CFL $\text{SU}(2) \times \text{SU}(1)_Y \rightarrow \text{U}(1)_{\text{em}}$	3	1	1	1	3
Spinor BEC $\text{SO}(3) \times \text{U}(1) \rightarrow \text{U}(1)$	3	1	1	1	3
nonrelativistic massive $\text{CP}^1$ model $\text{U}(1) \times \mathbf{R}^3 \rightarrow \mathbf{R}^2$	2	0	1	1	2

$$N_{\text{type-A}} + 2N_{\text{type-B}} = N_{\text{BS}} \quad N_{\text{BS}} - N_{\text{NG}} = \frac{1}{2}\text{rank}\langle[Q_a, Q_b]\rangle$$

# At finite temperature

Hayata, YH ('14)

The interaction with thermal particles  
modifies the dispersion relation

**Type-A:**  $\omega = ak - ibk^2$

**Type-B:**  $\omega = a'k^2 - ib'k^4$

# Open system

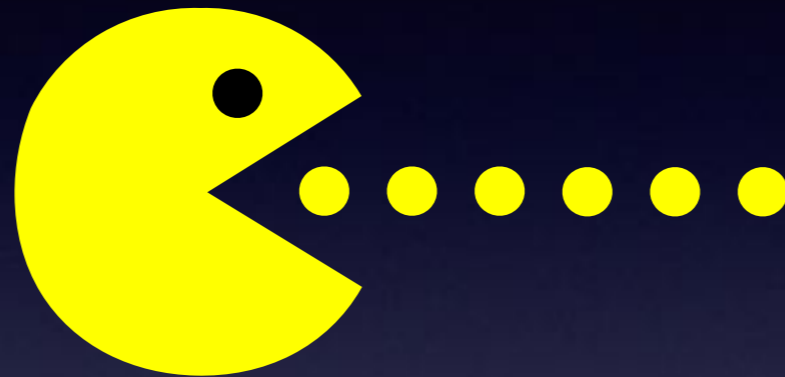


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# Model of active particles

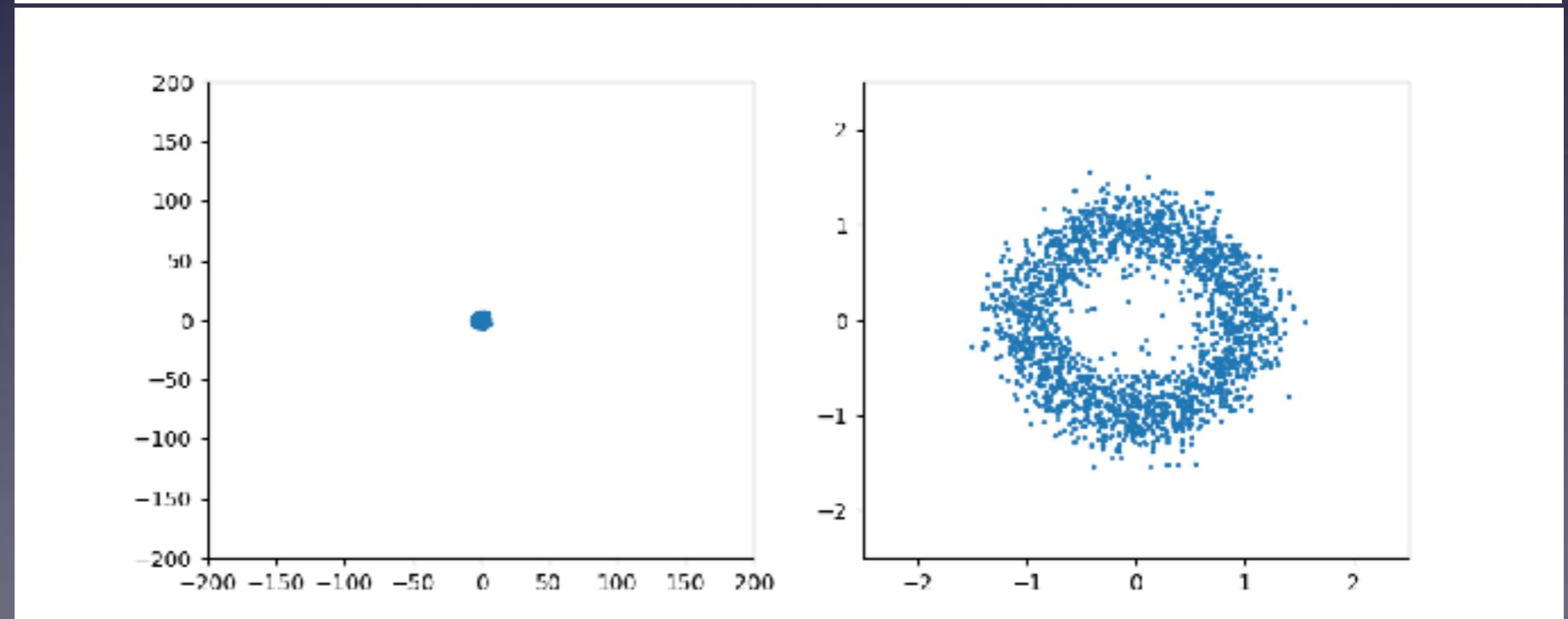
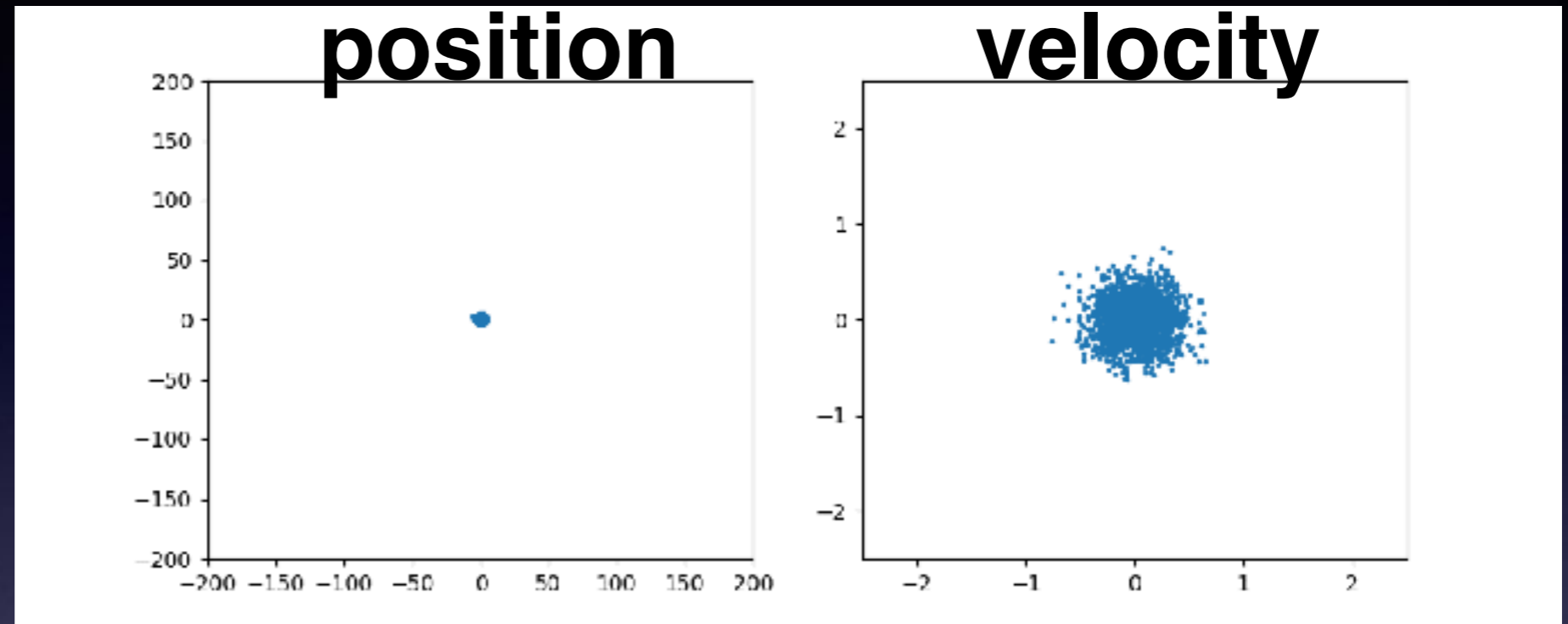
## Ex) Active Brownian model



$$\partial_t \epsilon = \underbrace{q}_{\text{feed}} - \underbrace{c\epsilon}_{\text{metabolic}} - \underbrace{\kappa v^2 \epsilon}_{\text{kinematic energy loss}}$$

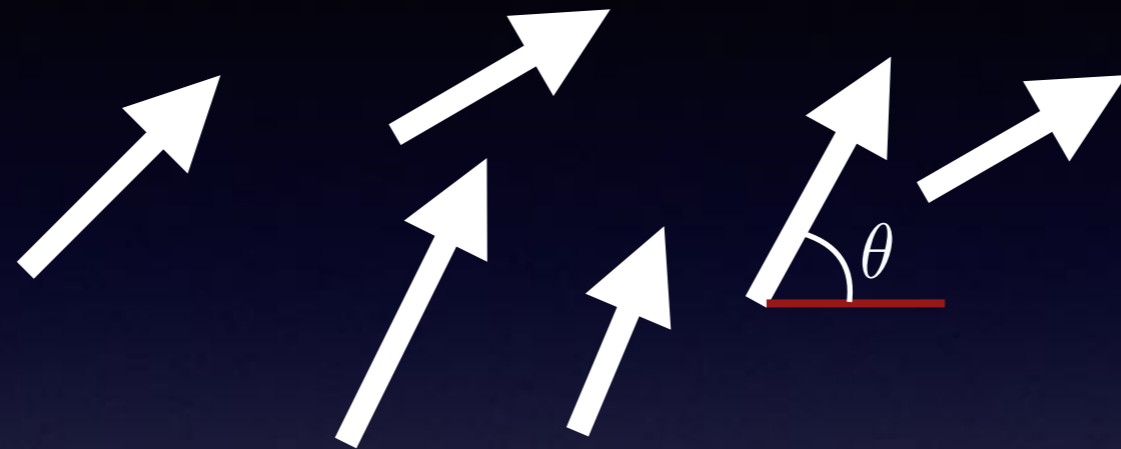
$$m \partial_t v = - \underbrace{m\gamma v}_{\text{friction}} + \underbrace{\epsilon \kappa v}_{\text{propelling force}} + \underbrace{\xi}_{\text{noise}}$$

# Ex1) Active Brownian model



# Ex2) Vicsek model

T. Vicsek, et al., PRL (1995).



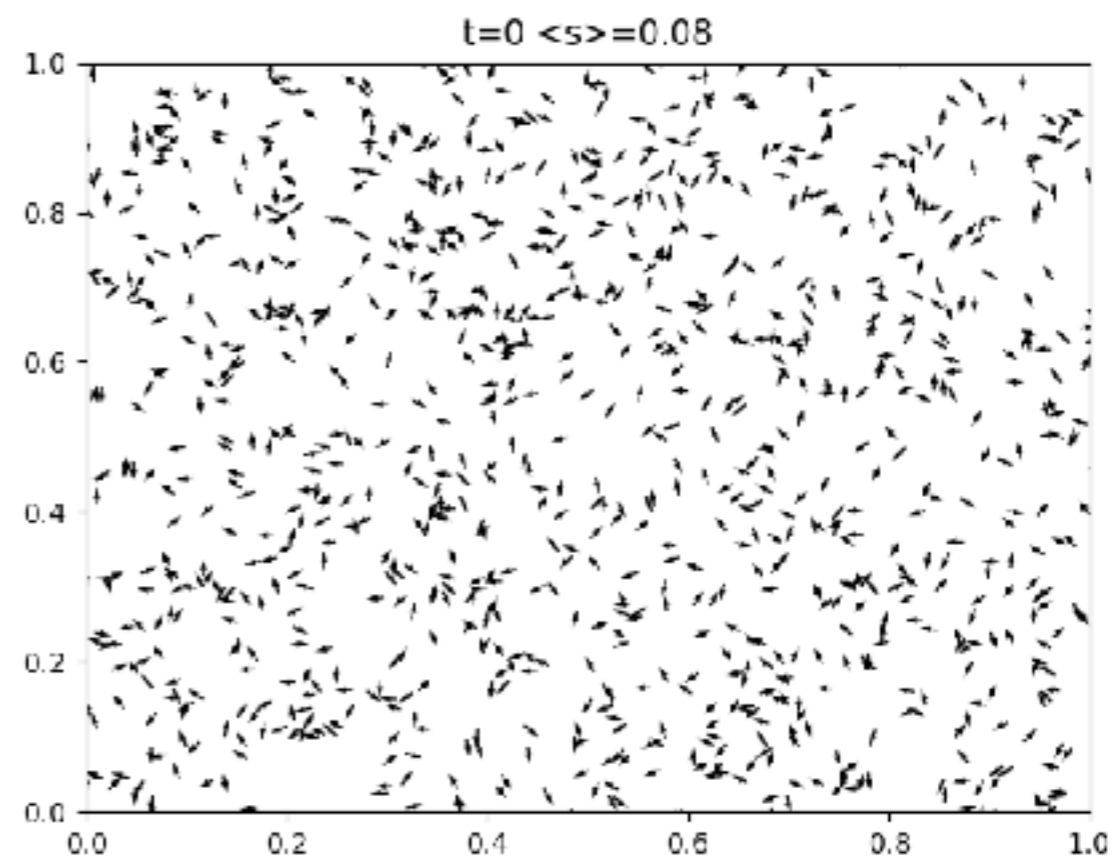
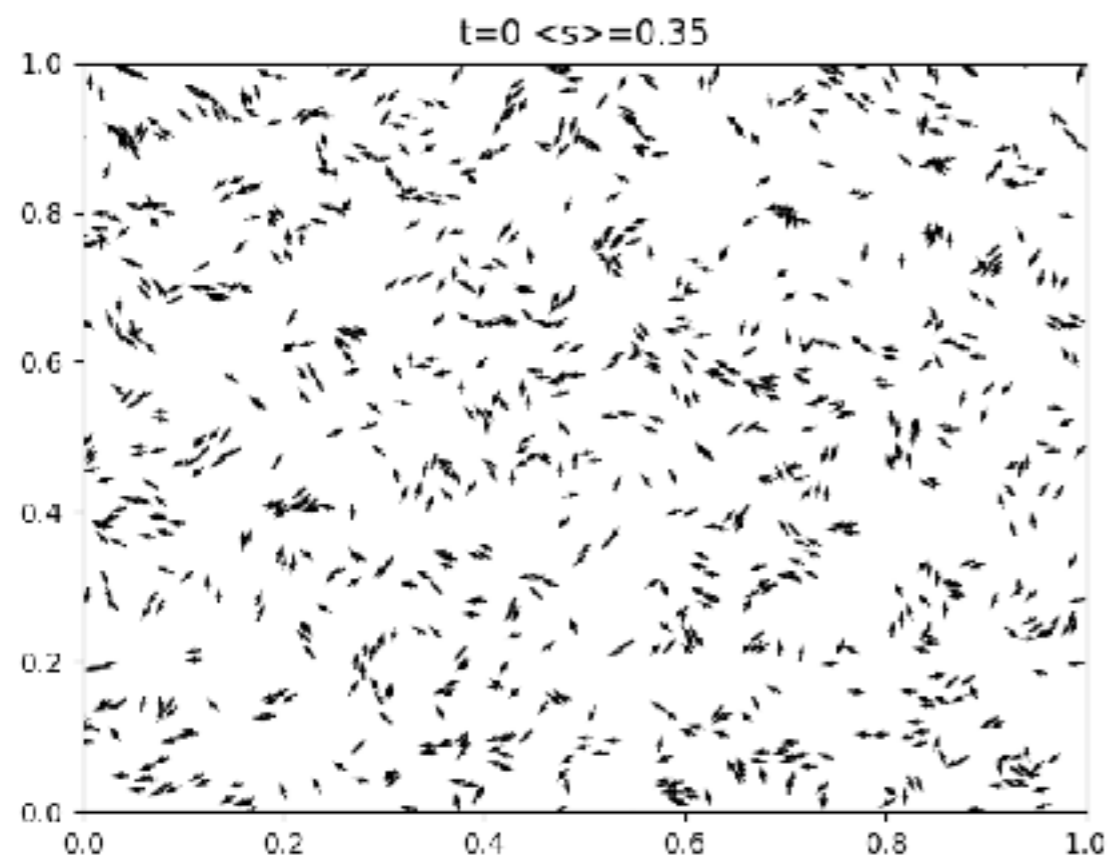
$$\mathbf{x}_i(t + \Delta t) = \mathbf{x}_i(t) + \mathbf{v}_i \Delta t$$

**velocity**

$$\mathbf{v}_i = v_0 (\cos \theta_i, \sin \theta_i)$$

$$\theta_i(t + \Delta t) = \langle \theta_i(t) \rangle_r + \xi_i$$

**angle of velocity**      **average**      **noise**  
**angle**



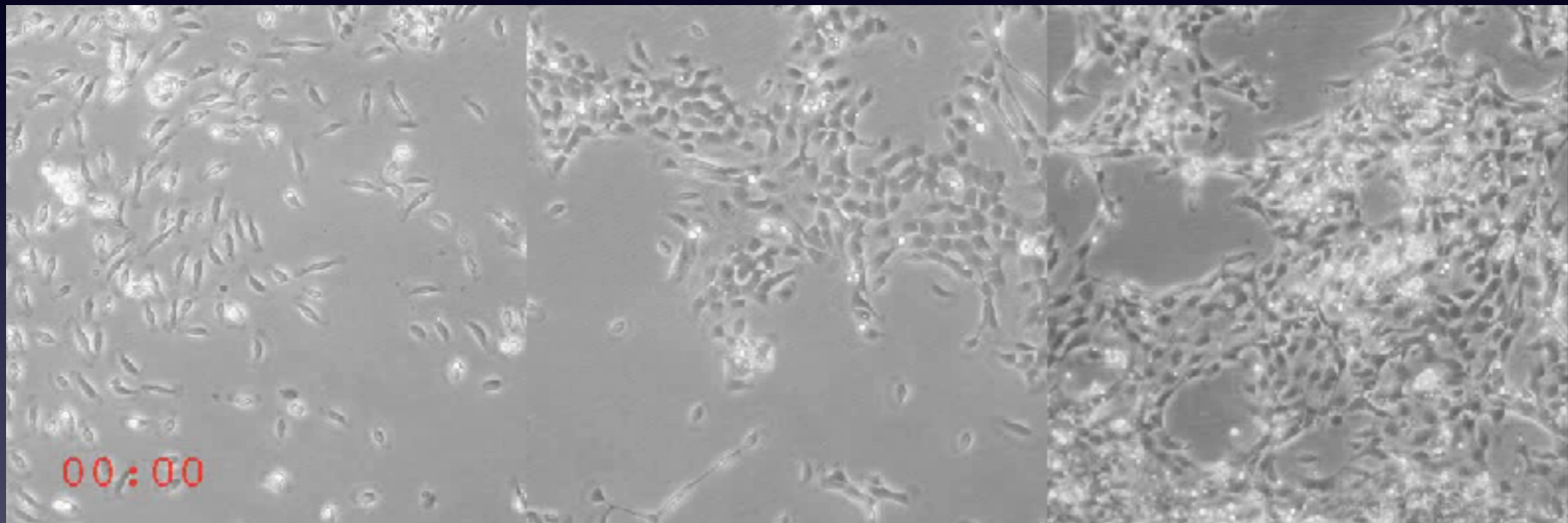


# An example of SSB in open systems

## Some cells on fish skin

low density

high density



B. Szabo, et al., Phys. Rev. E 74, 061908 (2006)

**Model of active matter: Vicsek model, Active hydrodynamics, ....**

T. Vicsek, et al., PRL (1995).      J. Toner, and Y. Tu, PRL (1995).

# Field theoretical model

## Ex.) NG mode in Active hydrodynamics

J. Toner, and Y. Tu, PRE (1998)

$$\partial \rho + \nabla \cdot (\rho \mathbf{v}) = 0$$

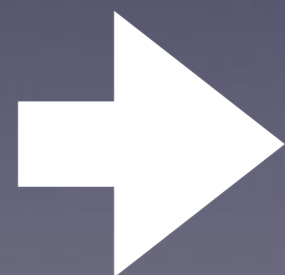
$$\partial_t \mathbf{v} + (\mathbf{v} \cdot \nabla) \mathbf{v} = \alpha \mathbf{v} - \beta v^2 \mathbf{v} - \nabla P + D_L \nabla (\nabla \cdot \mathbf{v}) + D_l (\mathbf{v} \cdot \nabla)^2 \mathbf{v} + f$$

nonconserved term noise

Steady state solution:  $v^2 = \alpha/\beta \equiv v_0^2$

Symmetry breaking:  $O(3) \rightarrow O(2)$

Fluctuation:  $\mathbf{v} = (v_0 + \delta v_x, \delta v_y, \delta v_z)$



$\omega = ck$     $\omega = i\Gamma k^2$    **NG modes**  
propagating                      diffusive

**Can we discuss symmetry breaking  
without ordinary conservation law?**

# Ex) Symmetry of Brownian motion

## Langevin equation

$$\frac{d}{dt} \mathbf{x}(t) = \mathbf{u}(t)$$

$$\frac{d}{dt} \mathbf{u}(t) = -\gamma \mathbf{u}(t) + \boldsymbol{\xi}(t)$$

$$\langle \xi_i(t) \xi_j(t') \rangle = 2\delta_{ij} \gamma T \delta(t - t')$$



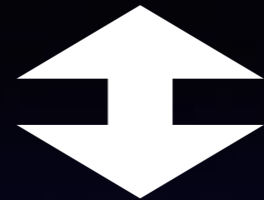
Angular momentum  $\mathbf{L} = \mathbf{x} \times \mathbf{u}$

$$\frac{d}{dt} \langle \mathbf{L}(t) \rangle = -\gamma \langle \mathbf{x} \times \mathbf{u}(t) \rangle \neq 0$$

**not conserved**

# Langevin equation

$$\frac{d}{dt}u(t) = -\gamma u(t) + \xi(t)$$



# Fokker-Planck equation

$$\partial_t P(t, u) = \frac{\partial}{\partial u_i} \left( \gamma T \frac{\partial}{\partial u_i} + \gamma u_i \right) P(t, u)$$



# Path integral Martin-Siggia-Rose formalism

$$Z = \int \mathcal{D}\chi \mathcal{D}u e^{iS[\chi, u]}$$

**Dynamic action:**  $iS = \int dt \left[ i\chi_i \left( \frac{d}{dt}u_i + \gamma u_i \right) - T\gamma\chi_i^2 \right]$

# Symmetry of Dynamic action

$$iS = \int dt \left[ i\chi_i \left( \frac{d}{dt} u_i + \gamma u_i \right) - T\gamma\chi_i^2 \right]$$

## O(3) symmetry

$$\chi_i \rightarrow R_{ij}\chi_j \quad u_i \rightarrow R_{ij}u_j \quad \text{with } R_{ik}R_{kj} = \delta_{jk}$$

Noether charge

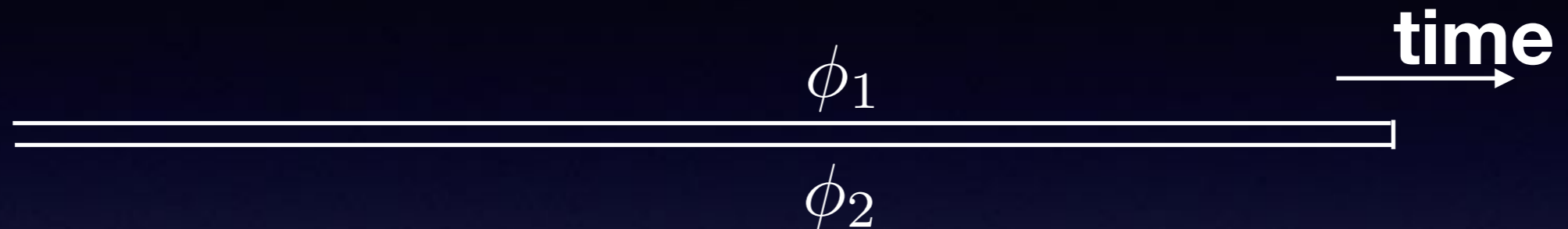
$$\mathbf{L}_{\text{MSR}} = \boldsymbol{\chi} \times \mathbf{u} \quad \mathbf{L} = \mathbf{x} \times \mathbf{u}$$

$$\mathbf{L}_{\text{MSR}} \neq \mathbf{L}$$

# Open quantum system

cf. for review, Sieberer, Buchhold, Diehl, 1512.00637

## Schwinger-Keldysh Path integral



$$Z = \int \mathcal{D}\phi_1 \mathcal{D}\phi_2 \exp \left[ iS[\phi_1] - iS[\phi_2] + iS_{12}[\phi_1, \phi_2] \right]$$

complex

$Q_1, Q_2$  :Symmetry generators:

$S[\phi_1], S[\phi_2]$  are invariant.

Suppose  $S_{12}[\phi_1, \phi_2]$  is invariant under  $Q_A = \frac{Q_1 - Q_2}{2}$

We also define  $Q_R = \frac{Q_1 + Q_2}{2}$

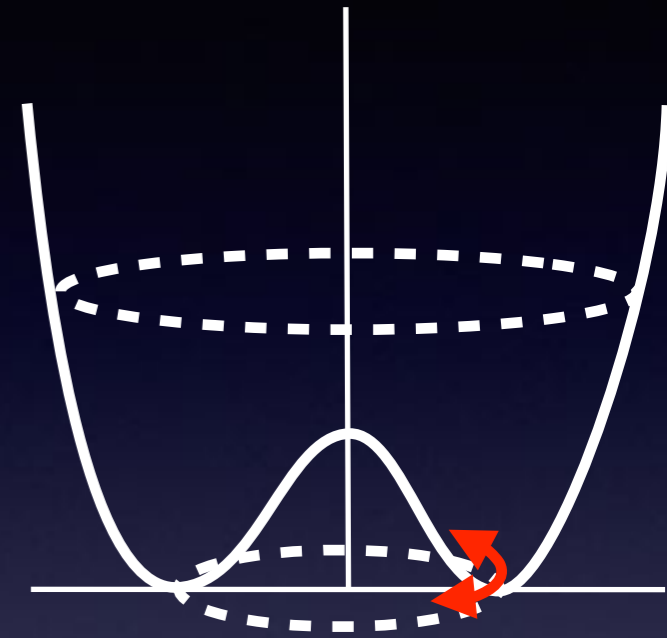
# Spontaneous symmetry breaking

Minami, YH ('15)

## Ex1) $SU(2) \times U(1)$ model Type-A $V(\phi)$

### Langevin equation

$$(\partial_0^2 + \gamma \partial_0 - \nabla^2) \phi_a = -\frac{\partial V}{\partial \phi_a} + \xi_a$$



### Linear analysis

$$(\partial_0^2 + \gamma \partial_0 - \nabla^2) \pi_a = 0 \quad \text{NG type-A mode}$$

$$\rightarrow -\omega^2 - i\gamma\omega + k^2 = 0$$

$$\rightarrow \omega = \frac{-i\gamma}{2} \pm \frac{i}{2} \sqrt{\gamma^2 - 4k^2} \sim -\frac{i}{\gamma} k^2, -i\gamma + \frac{i}{\gamma} k^2$$

diffusion mode



# Spontaneous symmetry breaking

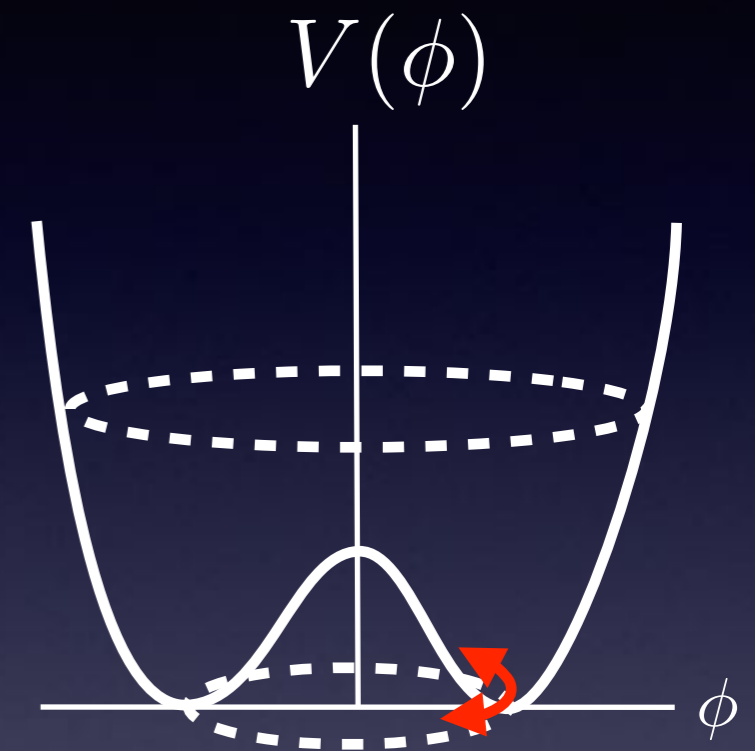
Minami, YH ('15)

## Ex2) $SU(2) \times U(1)$ model Type-B with chemical potential

$$\begin{pmatrix} -\partial_0^2 - \gamma\partial_0 + \nabla^2 & 2\mu\partial_0 \\ -2\mu\partial_0 & -\partial_0^2 - \gamma\partial_0 + \nabla^2 \end{pmatrix} \begin{pmatrix} \pi_1 \\ \pi_2 \end{pmatrix} = 0,$$

➔  $\omega = \frac{k^2}{4\mu^2 + \gamma^2} (\pm 2\mu - i\gamma)$

quadratic dispersion



# Inverse propagator and dispersion

Minami, YH ('17)

$$[G_{\pi}^{-1}(k)]^{\beta\alpha} = iC^{\mu;\beta\alpha}k_{\mu} + C^{\mu\nu;\beta\alpha}k_{\mu}k_{\nu} + \dots$$

## Hamiltonian system

$$C^{\mu;\beta\alpha} = -\langle [iQ_R^{\alpha}, j_A^{\beta\mu}(0)] \rangle$$

$$-i \int d^D x \langle [iQ_R^{\alpha}, \mathcal{L}_{12}(x)] j_A^{\beta\mu}(0) \rangle_{\pi c}$$

$$C^{\mu\nu;\beta\alpha} = i \int d^D x \langle j_R^{\alpha\mu}(x) j_A^{\beta\nu}(0) \rangle_{\pi c}$$

$$- \lim_{k \rightarrow 0} \frac{\partial}{\partial k_{\nu}} i \int d^D x e^{ik_{\rho}x^{\rho}} \langle [iQ_R^{\alpha}, \mathcal{L}_{12}(x)] j_A^{\beta\mu}(0) \rangle_{\pi c}$$

# Our result is too general

## Need to impose symmetry of $S_{12}$

Ex)'Standard' Fokker-Plank eq.

**Type-A mode**

**Type-B mode**

**Diffusive**  $\omega = -ik^2\Gamma$

$\omega = ak^2 - ik^2\Gamma'$

$$N_A = N_{BS} - \text{rank}\langle [iQ_R^\alpha, Q_A^\beta] \rangle$$

$$N_B = \frac{1}{2}\text{rank}\langle [iQ_R^\alpha, Q_A^\beta] \rangle$$

## Next step: classification



**Spontaneous breaking of  
symmetry of Dynamic action**

**Two-type of diffusive NG modes**

**Type-A mode**

**Diffusive**  $\omega = -ik^2\Gamma$

**Type-B mode**

$\omega = ak^2 - ik^2\Gamma'$

**Next step: classification**

Type	Dispersion		Conserved charge	Examples
	Re	Im		
A	$k$	$k^2$	$Q_A, Q_R$	Superfluid, etc.
	0	$k^2$	$Q_A$	Flock of birds
B $\langle [Q_A, Q_R] \rangle \neq 0$	$k^2$	$k^4$	$Q_A, Q_R$	Ferromagnet
	$k^2$	$k^2$	$Q_A$	Magnetotactic bacteria?

**What is the condition satisfying this table?**