# Introduction to Tokamak Core Turbulence

# Part I.

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## Outline

Properties of Tokamak Core Turbulence Implications on Tokamak Confinement Scaling

Self-organized Structures in Torus

# Radially Elongated Eddys Zonal Flows

Emphasis: Study of underlying Physics Mechanisms leading to Paradigm Shift

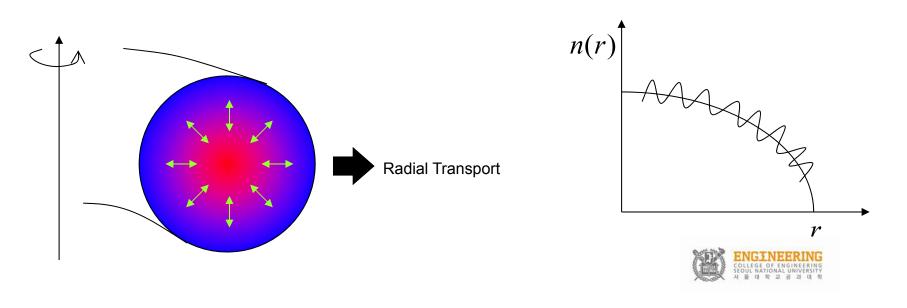


# Properties of Tokamak Core Turbulence

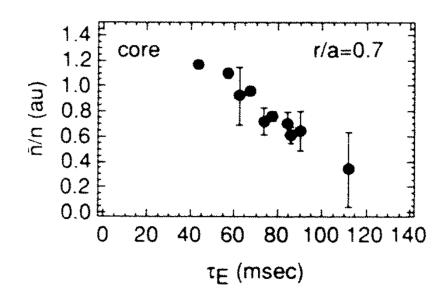
### Microinstabilities in Tokamaks

. Tokamak transport is usually anomalous, even in the absence of large-scale magneto-hydro-dynamic (MHD) instabilities.

- Caused by small-scale collective instabilities driven by gradients in temperature, density,
- Instabilities saturate at low amplitude due to nonlinear mechanisms
- Particles **E** x **B** drift radially due to fluctuating electric field



### **Confinement gets worse with increasing Turbulence Level**

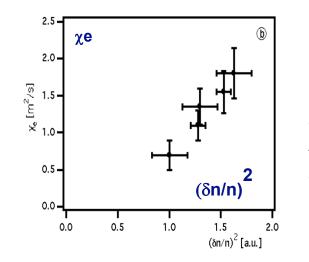


# Global confinement scales with core turbulence level

Equipe **TFR** & A. Truc, NF (1986) Brower NF (1987) TEXT

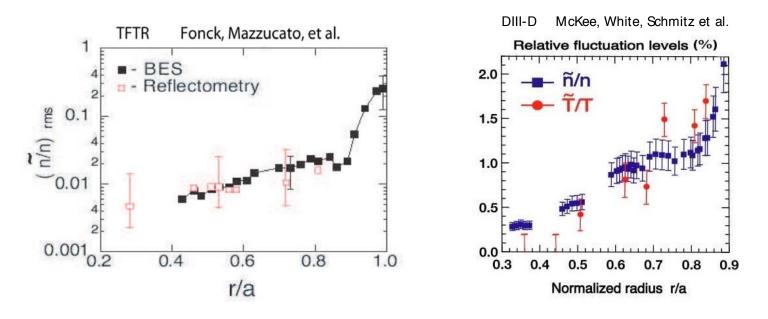
Paul et al, PoF (1992) **TFTR** R=2.5m, a=0.89m Durst et al, PRL (1993)

# Local confinement also scales with turbulence level



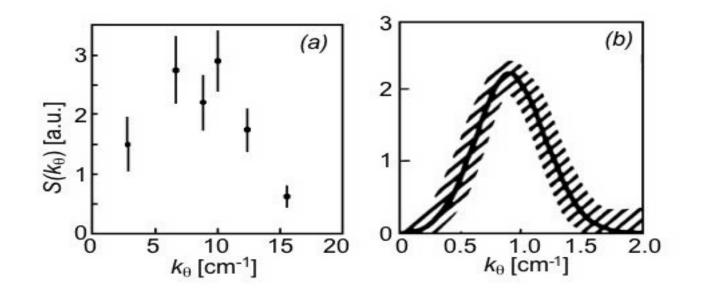
**Tore Supra** *R*=2.4*m*, *a*=0.7*m Laviron et al., IAEA (1996) Zou et al., PRL (1995) Hoang et al, Nuc. Fus. (1998)* 

### Amplitude of Tokamak Microturbulence



- Relative fluctuation amplitude  $\delta n$  /  $n_0$  at core typically less than 1%
- At the edge, it can be greater than 10%
- Confirmed in different machines using different diagnostics

### k-spectra of tokamak micro-turbulence



 $k_{\theta} \rho_i \sim 0.1 - 0.2$ 

-from Mazzucato et al., PRL '82 (μ-wave scattering on ATC) Fonck et al., PRL '93 (BES on TFTR)

-similar results from

TS, ASDEX, JET, JT-60U and DIII-D

## Properties of Tokamak Core Microturbulence

from Measurements

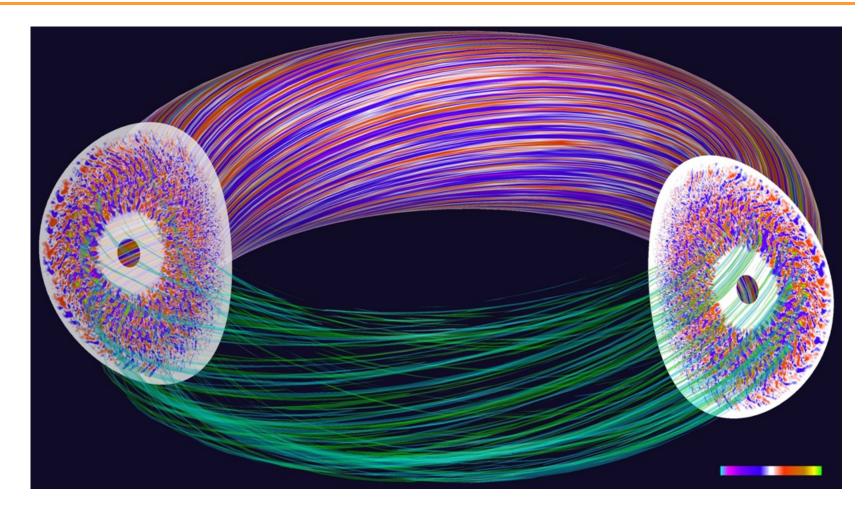
- $\delta n / n_0 \sim 1\%$
- $k_r \rho_i \sim k_\theta \rho_i \sim 0.1$  0.2
- $k_{\parallel}$  < 1/qR <<  $k_{\perp}$ : Rarely measured
- $\omega$  **k u**<sub>E</sub> ~  $\Delta \omega$  ~  $\omega_{*pi}$ :

Broad-band  $\Rightarrow$  Strong Turbulence

Sometimes Doppler shift dominates in rotating plasmas



### Contours of Density Fluctuations Exhibit Turbulence Structure



Fully Developed Ion Temperature Gradient (ITG) Driven Turbulence: from Gyrokinetic Particle Simulations by S. Ethier, W. Wang et al., Outline

Properties of Tokamak Core Turbulence

# Implications on Tokamak Confinement Scaling with respect to Machine Size



• High  $T_i$  for fusion plasma  $\rightarrow$  study of  $\nabla T_i$  and heat transport.

 $\nabla T_i$  : excites ion acoustic wave

+  $\nabla n_0$  : influences growth rate

Derivation of ITG Dispersion Relation

#### **Assumptions:**

$$T_{i0} = T_0(x) \qquad \mathbf{B} = B_0 \hat{z} \qquad \omega \ll k_{\parallel} v_{\mathrm{th},e} \qquad k_{\perp}^2 \rho_i^2 \ll 1$$
$$T_i = T_0 + \delta T_i \qquad \qquad \omega \gg k_{\parallel} v_{\mathrm{th},i}$$

1. Continuity equation (linearized)

$$\frac{\partial}{\partial t}\delta n + \delta \mathbf{u}_E \cdot \nabla n_0 + n_0 \nabla_{\parallel} \delta u_{\parallel} + n_0 \nabla_{\perp} \cdot \delta \mathbf{u}_{\text{pol}} = 0$$

2. Equation of motion for ions

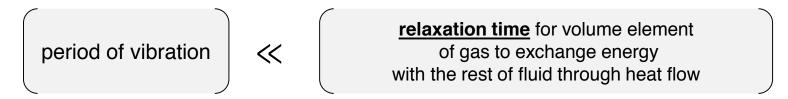
$$M_i \frac{\partial}{\partial t} \delta u_{\parallel} = -\left| e \right| \nabla_{\parallel} \delta \phi - \frac{1}{n_0} \nabla_{\parallel} \delta p_i$$

assume  $k_{\perp}^2 \rho_s^2 \ll 1$  where  $\rho_s = c_s/\Omega_{ci}$  and  $c_s = \sqrt{T_e/M_i}$ 

- 3. Pressure Evolution :
  - Γ: Adiabatic exponent for equation of state  $\rightarrow \frac{p}{\rho^{\Gamma}} = \text{const}$

$$\rightarrow \frac{\partial}{\partial t} \delta p_i + \delta \mathbf{u}_E \cdot \nabla p_0 + \Gamma n_0 \nabla_{\parallel} \delta u_{\parallel} = 0$$

- Here, "adiabatic" implies "thermal insulation"

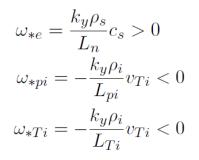


• Perturbed quantities  $\propto \exp(-i\omega t + i\mathbf{k} \cdot \mathbf{x})$ 

$$\rightarrow \frac{\partial}{\partial t} \Rightarrow -i\omega, \nabla_{\parallel} \Rightarrow ik_{\parallel}$$

Characteristic spatiotemporal scales:

Length:  $\begin{aligned}
\frac{\partial}{\partial x}T_i(x)\Big|_{x=x_0} &= -\frac{1}{L_{Ti}}T_i(x)\Big|_{x=x_0} \\
p_i &= n_0 T_i \rightarrow \frac{1}{L_{pi}} = \frac{1}{L_{Ti}} + \frac{1}{L_n}, \quad \tau \equiv \frac{T_e}{T_i}
\end{aligned}$ 



• Governing equations (1~3):  $-i\omega\frac{\delta n}{n_0} + i\omega_{*e}\frac{|e|\,\delta\phi}{T_e} + ik_{\parallel}c_s\left(\frac{\delta u_{\parallel}}{c_s}\right) = 0$   $-i\omega\frac{\delta u_{\parallel}}{c_s} + ik_{\parallel}c_s\frac{|e|\,\delta\phi}{T_e} + ik_{\parallel}c_s\left(\frac{T_i}{T_e}\right)\frac{\delta p_i}{p_0} = 0$   $-i\omega\frac{\delta p_i}{p_0} - i\frac{\omega_{*pi}}{\tau}\frac{|e|\,\delta\phi}{T_e} + ik_{\parallel}c_s\Gamma\frac{\delta u_{\parallel}}{c_s} = 0$ 

$$\rightarrow \text{ with } \frac{\delta n}{n_0} = \frac{|e|\delta\phi}{T_e} , \qquad \begin{bmatrix} -i(\omega - \omega_{*e}) & ik_{\parallel}c_s & 0\\ ik_{\parallel}c_s & -i\omega & ik_{\parallel}c_s\frac{1}{\tau}\\ -i\frac{\omega_{*pi}}{\tau} & ik_{\parallel}c_s\Gamma & -i\omega \end{bmatrix} \begin{bmatrix} \delta n/n_0\\ \delta u_{\parallel}/c_s\\ \delta p_i/p_o \end{bmatrix} = 0$$

Determinant is 0 for non-trivial solution

ITG Instability Dispersion Relation:

$$1 - \frac{\omega_{*e}}{\omega} - \frac{k_{\parallel}^2 c_s^2}{\omega^2} \left( 1 + \frac{\Gamma}{\tau} - \frac{\omega_{*pi}}{\omega} \right) = 0$$

(from determinant = 0)

Limiting Cases of Dispersion Relation

1. 
$$\tau \to \infty$$
,  $L_{Ti} \to \infty$   
we recover  $1 - \frac{\omega_{*e}}{\omega} - \frac{k_{\parallel}^2 c_s^2}{\omega^2} = 0$ . (No  $k_{\perp} \rho_s$  term because we assumed  $k_{\perp}^2 \rho_s^2 \ll 1$ )

**2.**  $1/L_{Ti} \nearrow$ ,  $1/L_n \nearrow$ 

Then,  $\omega_{*e}$ ,  $|\omega_{*pi}|$   $\nearrow$ . The 2<sup>nd</sup> and 5<sup>th</sup> terms of dispersion relation become dominant.

$$\frac{\omega_{*e}}{\omega} \simeq \frac{k_{\parallel}^2 c_s^2}{\omega^2} \frac{\omega_{*pi}}{\omega} = -\frac{\omega_{*e}}{\omega} \frac{k_{\parallel}^2 c_s^2}{\omega^2} \frac{1+\eta_i}{\tau}$$

here, 
$$\eta_i \equiv L_n/L_{Ti}$$

$$\Rightarrow \quad \omega^2 = -\left(\frac{1+\eta_i}{\tau}\right)k_{\parallel}^2 c_s^2. \qquad \quad \therefore \text{ growth rate } \underline{\gamma = \left(\frac{1+\eta_i}{\tau}\right)^{1/2}k_{\parallel}c_s}$$

ITG Instability in Uniform Magnetic Field is a Negative Compressibility Wave

- ITG dispersion relation:  $\frac{\omega^2}{k_{\parallel}^2} = -\left(\frac{1+\eta_i}{\tau}\right)c_s^2$ 
  - Soundwave-like when  $(1 + \eta_i) / \tau \gg 1$ ,  $k_{\parallel}$  very small, and  $\omega / k_{\parallel} \gg v_{\text{th},i}$ .

• Soundwave in gas: 
$$\rho_0 \frac{\partial}{\partial t} \delta u = -\frac{\partial}{\partial z} \delta p$$
  
 $\frac{\partial}{\partial t} \delta p = -\Gamma p_0 \frac{\partial}{\partial z} \delta u$  from  $\frac{\partial}{\partial t} \delta \rho + \rho_0 \frac{\partial}{\partial z} \delta u = 0$  and  $\frac{p}{\rho^{\Gamma}} = \text{const}$ 

– Dispersion Relation: 
$$\frac{\omega^2}{k_{\parallel}^2} = \frac{\Gamma p_0}{\rho_0}$$

- Adiabatic compressibility: 
$$\kappa_s = -V^{-1} \left( \frac{\partial V}{\partial p} \right)_s$$

- From the equation of state,  $pV^{\Gamma} = p_0V_0^{\Gamma}$ . and so,  $p = p_0V_0^{\Gamma}V^{-\Gamma}$ 

$$\left(\frac{\partial p}{\partial V}\right)_s = -\Gamma p_0 V_0^{\Gamma} V^{-\Gamma-1} \text{ and } V\left(\frac{\partial p}{\partial V}\right)_s = -\Gamma p$$

Therefore, 
$$\kappa_s \equiv -V^{-1} \left(\frac{\partial V}{\partial p}\right)_s = \left[-V \left(\frac{\partial p}{\partial V}\right)_s\right]^{-1} = (\Gamma p)^{-1} \rightarrow \left(\frac{\omega}{k}\right)^2 = (\rho_0 \kappa_s)^{-1}$$

### ITG Instability in Uniform Magnetic Field is a Negative Compressibility Wave

$$\left(\frac{\omega}{k}\right)^2 = (\rho_0 \kappa_s)^{-1}$$

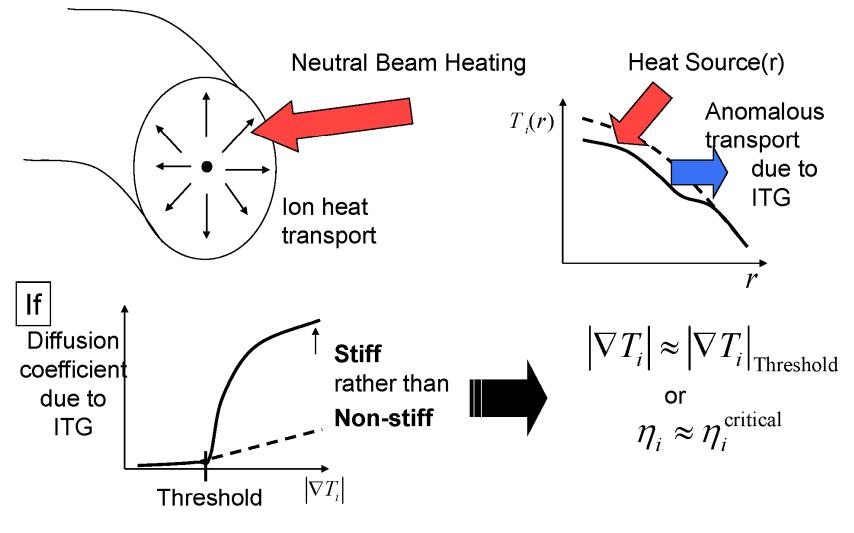
Soundwave dispersion relation

$$\frac{\omega^2}{k_{\parallel}^2} = -\left(\frac{1+\eta_i}{\tau}\right)c_s^2$$

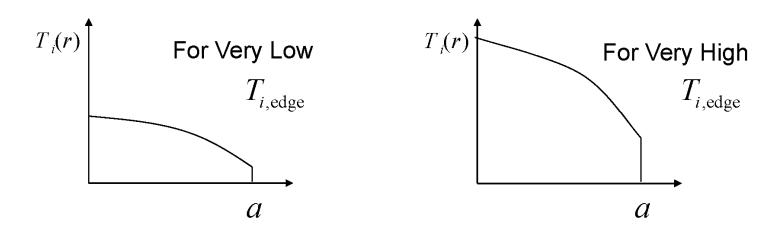
ITG instability dispersion relation

- Soundwave depends on compressibility  $\kappa_s$ .
- Effective "compressibility" of the ITG instability is "negative".

. The instability mechanism for ITG is a <u>"negative compressibility"</u>.



So if  $T_i(r) \propto \text{const} \cdot n_0(r)^2$  is the marginality profile, and  $n_0$  is given,  $T_i(0)$  is almost uniquely determined by  $T_{i,\text{edge}}$ 



♦ In this extreme limit,  $T_i(0)$  (better be high for fusion)

is mostly determined by  $T_i(a)$ , not much by transport in the core

(since it's so rapid, throws away excess heat

which will raise  $T_{i}(r)$  above marginality.)

This is (very simplified) reason why ITER needs to achieve

H-mode plasmas in which  $T_{i,edge}$  (pedestal) is high.

### **Spatial Structure of Microturbulence**

### A. Role of Magnetic Geometry

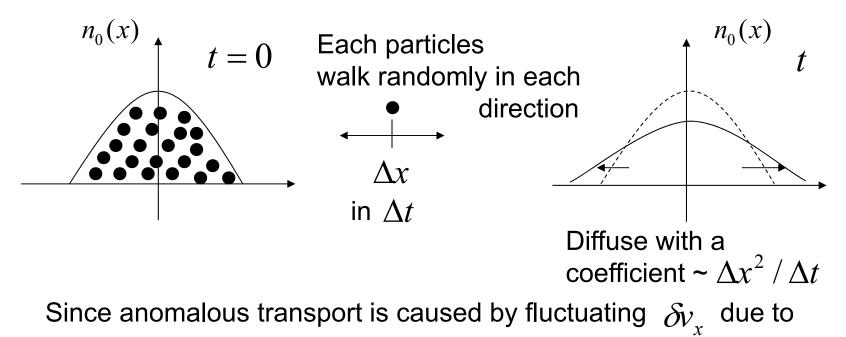
So far in this lecture series, we've discussed microinstabilities in the context of "local theory", i.e., for given values of Macroscopic Parameters,  $n_0(x = x_0)$ ,  $\frac{dn_0}{dx}(x = x_0)$ ,  $\vec{B}_0$  (uniform), etc. with  $\delta\phi(x, y, z, t) = \sum_{k,\omega} \frac{\delta\phi_{k,\omega}e^{i(k_x x + k_y y + k_{\parallel}z) - i\omega t}}{\sqrt{2}}$ Independent of x, while there are  $n_0(x)$ ,  $T_i(x)$  profiles so on.

A challenge is to find more realistic and relevant representation of tokamak microinstabilities.

Very rough estimation of the anomalous transport coefficient

 $D_{\rm Turb}$  using dimensional analysis based on

### "Random Walk" argument



microinstabilities in plasmas, we can argue

$$\Delta x \sim \frac{1}{k_x}$$
,  $\Delta t \sim \omega_{\text{decorrelation time}}^{\text{Turb}-1} \sim \gamma_{\text{linear}}^{-1}$ 



Then,

$$D_{\text{Turb}} \sim \frac{\Delta x^2}{\Delta t} \sim \frac{\gamma_{\text{lin}}}{k_x^2} \sim \frac{\omega_*}{k_x^2} \sim \frac{k_y}{k_x^2 \rho_i} \frac{\rho_i}{L} \left(\frac{cT_i}{eB}\right)$$

 $L \sim a$ ,  $L_n$  for drift waves,  $L_{Ti}$  for ITG turbulence, so on

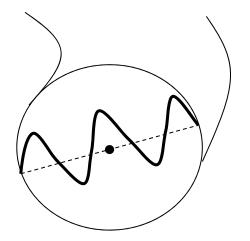
It's obvious that depending on the choice of  $k_x$  and  $k_y$ ,  $D_{\text{Turb}}$  scaling has many possibilities. If one takes a practical approach of using values of  $k_x$  and  $k_y$ from experimental measurements,  $k_x$ ,  $k_y \propto \rho_i^{-1}$  (where the spectrum peaks) Then

 $D_{\text{Turb}} \sim \left(\frac{\rho_i}{L}\right) \left(\frac{cT_i}{eB}\right) \quad : \quad \propto \frac{cT}{eB} \quad \text{is called the "Bohm" scaling.}$ Since it's reduced by a factor  $\left(\frac{\rho_i}{L}\right) <<1$ , "gyroBohm" scaling While it's more common to get "gyroBohm" scaling from simple local theory, most experiments in tokamaks exhibited results which are closer to "Bohm" scaling rather than "gyroBohm" scaling, especially for ion thermal transport ( $\chi_i$ ) in L-mode plasmas. It's very important to achieve a thorough understanding of "size-scaling" of  $D_{Turb}$ , for prediction to larger devices in the future.

$$D_{\text{Bohm}} \propto \left(\frac{cT_i}{eB}\right)$$
or
$$D_{\text{gyroBohm}} \propto \left(\frac{\rho_i}{a}\right) \left(\frac{cT_i}{eB}\right)$$
?



Then, what scales of  $k_x$ , and  $k_y$  can give us  $D_{\text{Bohm}}$ ? "Bohm" came from experimental observations on very early basic devices (i.e., small). Then, even drift wave type instabilities have relatively low mode numbers.



Eg., Quantization condition

$$k_x a \sim N_x \pi$$
 ( $N_x, M_y \sim O(1)$  integer)  
 $k_y a \sim M_y \pi$ 

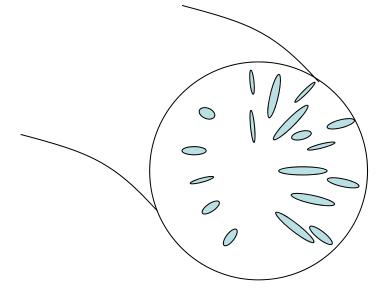
$$\longrightarrow D_{\text{Turb}} \sim \frac{k_y}{k_x^2 \rho_i} \frac{\rho_i}{L} \left(\frac{cT_i}{eB}\right) \sim \left(\frac{cT_i}{eB}\right)$$



We learn that if  $\lambda_x, \lambda_y \propto a$  (system size) one can get "Bohm" scaling of transport. Then, what happens to present day larger tokamaks? say  $a \gtrsim 100 \rho_i$ 

- From B.E.S.  
Microwave Scatt.  
etc.  
Biccowave Scatt.  
Herefore 
$$P_i$$
  
Herefore  $P_i$   
Here

- From Nonlinear Gyrokinetic Simulations



 $\lambda_x, \lambda_v \ll a$ 



So we want to know what physics mechanisms determine dominant  $\lambda_x$  and  $\lambda_y$  (eddy size to be more precise).



"Nonlocal Analysis" is required to find

"spatial structure of micro-turburbulence."

"eigenmode structure of microinstabilities"

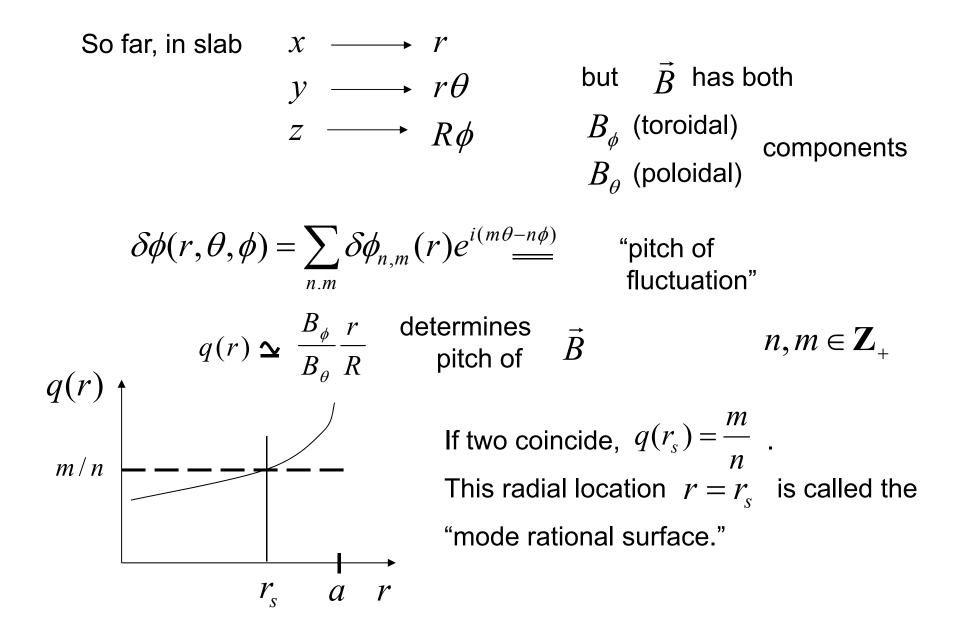
Linear theory limit

### Toroidal Geometry, taking into account of

$$\left| \vec{B} \right| \sim B_{\phi} \propto \frac{1}{R} = \frac{1}{R_0 + r\cos\theta}$$

✤ In the end, Self-Organization or Self-Regulation

determines the spatial structure of tokamak micro-turbulence



$$e^{i(m\theta - n\phi)} \qquad \Longrightarrow \qquad k_{\theta} = \frac{m}{r}, \quad k_{\phi} = -\frac{n}{R}$$

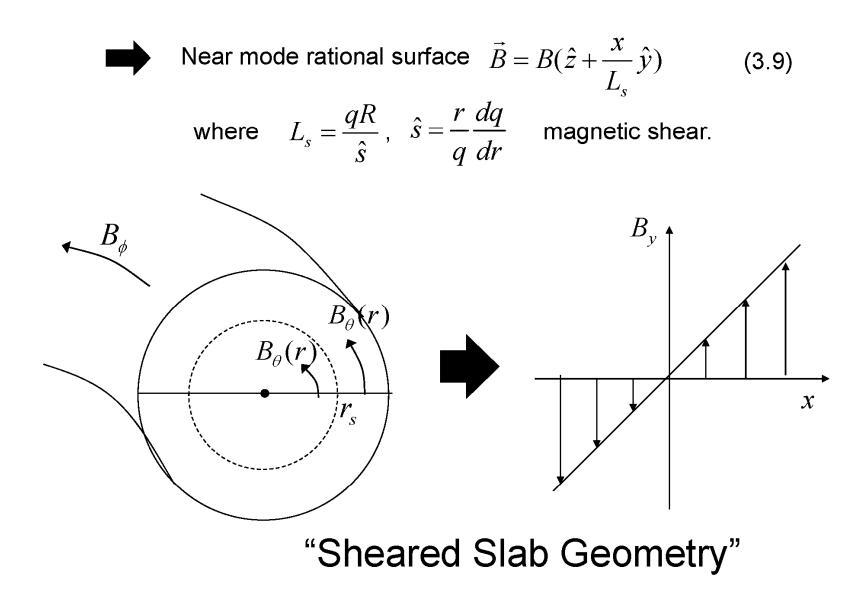
$$e^{i(k_{y}, y + k_{z}, z)} \qquad \vec{B} = B_{\phi}\hat{\phi} + B_{\theta}\hat{\theta}$$

$$\therefore k_{\parallel} = \frac{\vec{k} \cdot \vec{B}}{\left|\vec{B}\right|} = \frac{m}{r} \frac{B_{\theta}}{B} - \frac{n}{R} \frac{B_{\phi}}{B} = \frac{B_{\theta}}{rB}(m - nq(r))$$

$$\bigstar$$
  $k_{\parallel}=0$  at  $r=r_s$  , ( $q(r_s)=rac{m}{n}$ ).

m, n fixed but q(r) & therefore  $k_{\parallel}$  varies with r. Expanding  $q(r) = q(r_s) + (r - r_s) \left( \frac{\partial q}{\partial r} \right) (r_s) + \cdots$  $k_{\parallel}(r - r_s) = k_{\parallel}(x)$  increases with x,

flips sign across  $r_s$  (or x = 0).



In sheared slab geometry (with one rational surface),

$$\begin{aligned} k_{\parallel} &= \frac{k_{y}}{L_{s}} x \quad , \qquad k_{x}^{2} \rightarrow -\frac{\partial^{2}}{\partial x^{2}} \\ & k_{z} \text{ has been shifted away.} \end{aligned}$$

$$`` \delta \phi(\vec{x},t) &= \sum_{k_{y}} \delta \phi_{k_{y}, \omega}(x) e^{i(k_{y}, y - \omega t)} `` : \text{This mode refers to} \\ ``a single helicity fluctuation'' (n,m) with  $\frac{m}{n} \rightarrow q(r_{s}) \end{aligned}$ 

$$\begin{aligned} \text{Radial Mode Structure of Drift Wave} \end{aligned}$$

$$\begin{aligned} \text{Lecture I.} \\ \text{Local theory} \longrightarrow \end{aligned} \qquad \left( 1 + \rho_{s}^{2} k_{\perp}^{2} - \frac{\omega_{*e}}{\omega} - \frac{k_{\parallel}^{2} C_{s}^{2}}{\omega^{2}} \right) \delta \phi_{\vec{k}, \omega} = 0 \\ \text{In sheared slab :} \end{aligned}$$$$

$$\left(1+\rho_s^2k_y^2+\rho_s^2\frac{\partial^2}{\partial x^2}-\frac{\omega_{*e}}{\omega}-\frac{C_s^2}{\omega^2}\frac{k_y^2}{L_s^2}x^2\right)\delta\phi_{\vec{k},\omega}=0 \quad (3.10)$$

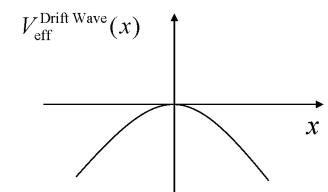


Weber Eqn. : familiar from Single Harmonic Osc. In Q.M.

$$-\frac{\hbar^2}{2m}\frac{\partial^2}{\partial x^2}\psi = (E - V_{\rm SHO}(x))\psi = \left(E - \frac{1}{2}m\omega^2 x^2\right)\psi \qquad (3.11)$$

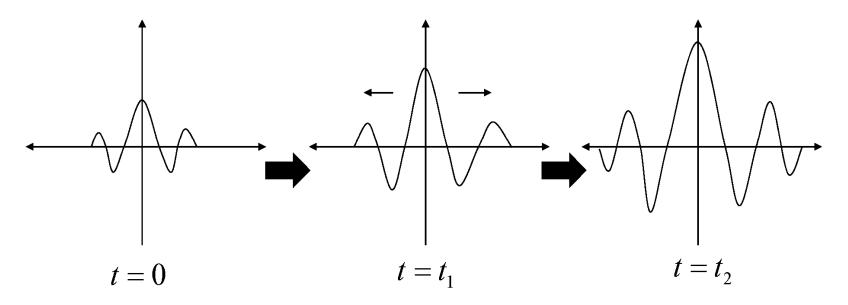


We know how get Eigenfunctions and Eigenvalues of this eqn. One tricky point is that we have an antiwell potential (hill) rather than potential well, for  $|\text{Re}(\omega)| >> |\text{Im}(\omega)|$ .



Physically meaningful solution should satisfy the causality condition.

i.e., 
$$\lim_{|x|\to\infty} \left| \delta \phi(x) \right| \to 0 \quad \text{for } \operatorname{Im}(\omega) > 0$$
  
unstable solution.



for unstable solution

while fluctuation grows locally in time,

at a given time, it should decay in radius as  $|x| \rightarrow \infty$ 

Out of two (mathematically) possible solutions

we should choose the upper one!

$$\sim \exp\left(-\frac{ik_yC_s}{2\omega L_s\rho_s}x^2\right)$$
$$\sim \exp\left(+\frac{ik_yC_s}{2\omega L_s\rho_s}x^2\right)$$

Eigenvalue : 
$$\omega = \omega_{*e} \left( \frac{1}{1 + k_y^2 \rho_s^2} - \frac{i(2\ell_x + 1)}{1 + k_y^2 \rho_s^2} \frac{L_n}{L_s} \right)$$
 : radial quantum number

magnetic shear-induced damping

$$\Delta x (\sim \lambda_x) \sim \sqrt{\frac{L_s \omega_{*e} \rho_s}{k_y C_s}} \sim \sqrt{\frac{L_s}{L_n}} \rho_s$$

 $\hat{s} \not \rightarrow \Delta x \rightarrow$ : i.e., magnetic shear localizes the mode within the device (not determined by B.C. at the WALL).



get "gyroBohm" scaling. (if it were unstable by additional mechanism, eg., trapped electrons)

### One can also extend local theory of "ITG" to sheared slab geometry. (negative compressibility acoustic mode )

Analysis is slightly more complicated than e<sup>-</sup> DW, but can be reduced to Weber-Eqn. *[Coppi, Rosenbluth & Sagdeev, PF* **10**, *582 (1967)].* It's noteworthy that an elaborate nonlinear mode coupling theory in sheared slab yielded (rather than from dimensional analysis we're discussing ).

# $\chi_i^{\mathrm{ITG}} \propto \mathrm{gyroBohm}$

[G.S. Lee & P.H. Diamond, Phys. Fluids 29, 3291 (1986)]

"Nonlocal " kinetic theory can also be pursued in sheared slab geometry :

- Local kinetic theory predicted [K&P] Eq. (3.7)

$$\begin{split} \eta_i &\geq \frac{2}{1+2b_i \left(1-\frac{I_1(b_i)}{I_0(b_i)}\right)} & \text{for ITG excitation.} \\ \\ \text{Since } \eta_i &\equiv \frac{L_n}{L_{Ti}}, \text{ it predicts instability for very weak } \frac{1}{L_{Ti}} & \text{for flat density profile } (L_n \to \infty) \\ \\ \text{NO GOOD for that limit.} \end{split}$$

I don't recall a credible analytic local ITG onset condition in the flat density limit.

• For sheared slab, flat density case,

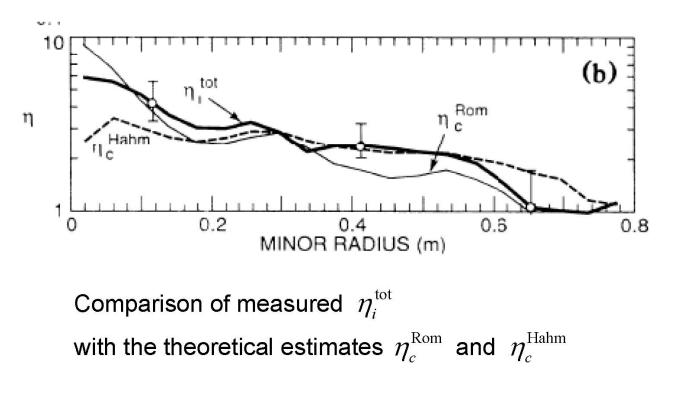
 $\frac{L_s}{L_{Ti}} \ge 1.9 \left(\frac{T_i}{T_e} + 1\right)$  is the onset condition [Hahm & Tang, PFB '89]

"  $\frac{T_i}{T_e} > 1$  strong magnetic shear favorable for ITG stability !"

• In toroidal geometry :  $\omega - k_{\parallel}v_{\parallel}$  resonance should be generalized to  $\omega - k_{\parallel}v_{\parallel} - \omega_{di}$  resonance ( $\omega_{di}$  is from  $\nabla B$  & Curvature drift)

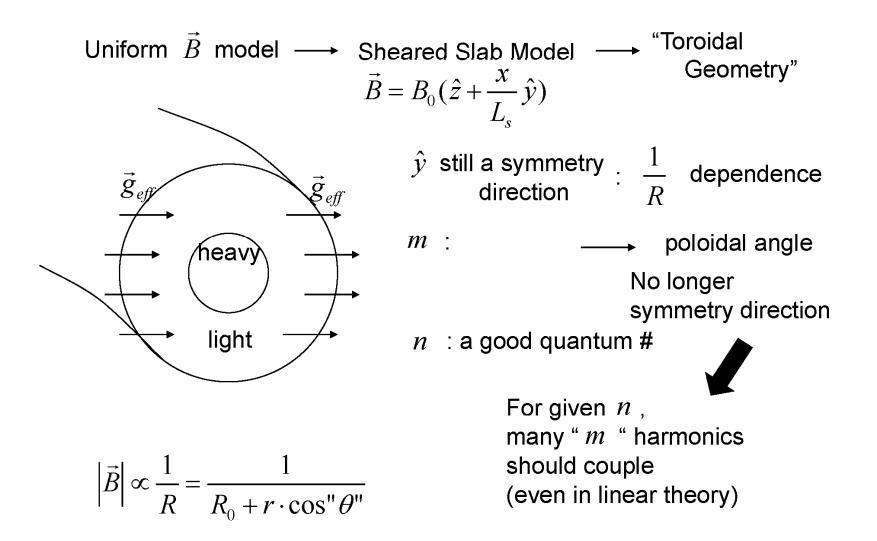
If one keeps only  $\omega - \omega_{di}$  resonance, (ignoring  $k_{\parallel}v_{\parallel}$ )  $\frac{R}{L_{Ti}} \ge \frac{4}{3} \left(\frac{T_i}{T_e} + 1\right) \qquad [Romanelli, PFB '89]$ Comparisons to TETP

 $\rightarrow$  Comparisons to TFTR



[S. Scott et al., PRL 29, 531 (1990)]

Role of Toroidal Geometry



Before looking for a good representation of fluctuation decomposition in torus, let's consider a simplest nontrivial example of ITG mode in torus (with : can be unrelated to "negative compressibility acoustic" ITG. )

It has an "interchange" or "Rayleigh-Taylor" character.



must be localized at bad curvature (low B field) side.

motivate a "local" theory at bad curvature side.

 $\left|\vec{B}\right| \propto \frac{1}{R}$   $\implies$  particles drift in vertical direction ( $\nabla B$  & curvature drift)

We'll get to details later, but

$$v_{\nabla B, \text{Curv}} \propto \overline{v}_{\nabla B, \text{Curv}} \frac{v_{\parallel}^2 + \mu B}{v_{Ti}^2}$$

An important consequence of this "energy dependent" particle drift

$$\Rightarrow \quad \delta n \text{ couples to } \delta T_i !$$
  
Recall, in uniform  $\vec{B}_0 \Rightarrow \quad \partial_t \delta n + \delta \vec{u}_E \cdot \vec{\nabla} n_0 + n_0 \nabla_{\parallel} \delta u_{\parallel} \simeq 0$ 

Now with nonuniform  $\vec{B}_0$ : take moments of linearized GK eqn in torus.

Apply  

$$\int d^3 \vec{v}$$
: noting that  $\vec{v}_d = \vec{v}_{d,th} \left( \frac{v_{\parallel}^2 + \mu B}{v_{Ti}^2} \right)$ 

Then,  $\frac{\partial}{\partial t} \delta n_i + \delta \vec{u}_E \cdot \vec{\nabla} n_0 + \frac{n_0}{T_i} \overline{\omega}_{di} \delta T_i + n_0 \nabla_{\parallel} \delta u_{\parallel} + \dots = 0$ 

To focus on "interchange" physics, take  $k_{\parallel} \rightarrow 0$  (as done by and take a simple "flat density" limit. (as done by Romanelli in kinetic regime)

$$\Rightarrow \quad \frac{\partial}{\partial t} \delta n_i + \frac{n_0}{T_i} \overline{\omega}_{di} \delta T_i \quad \simeq \quad \mathbf{0}$$

$$\overline{\mathcal{D}}_{di} \equiv -\frac{cT_i}{eBR}k_y$$

(at bad curvature side)

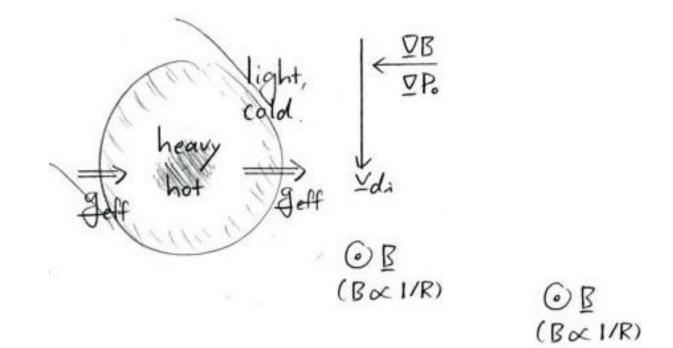
&

Take simplest  $\nabla T_i$  evolution eqn.

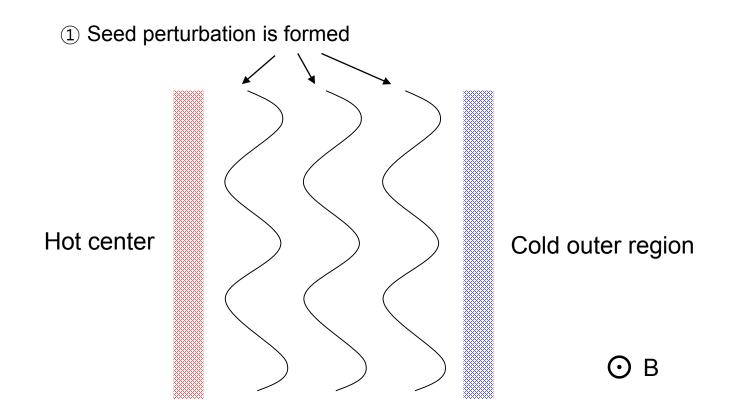
$$\frac{\partial}{\partial t} \delta T_i + \delta u_E \cdot \nabla T_0 \simeq 0$$
(assumed  $\omega > \overline{\omega}_{di}$ , but  $|\omega_{*Ti}| > \omega$ )

bad-curvature coupled to  $\nabla T_i$ 

 Unstable ITG: related to Rayleigh-Taylor instability. (contrast to negative compressibility ITG in slab geometry)

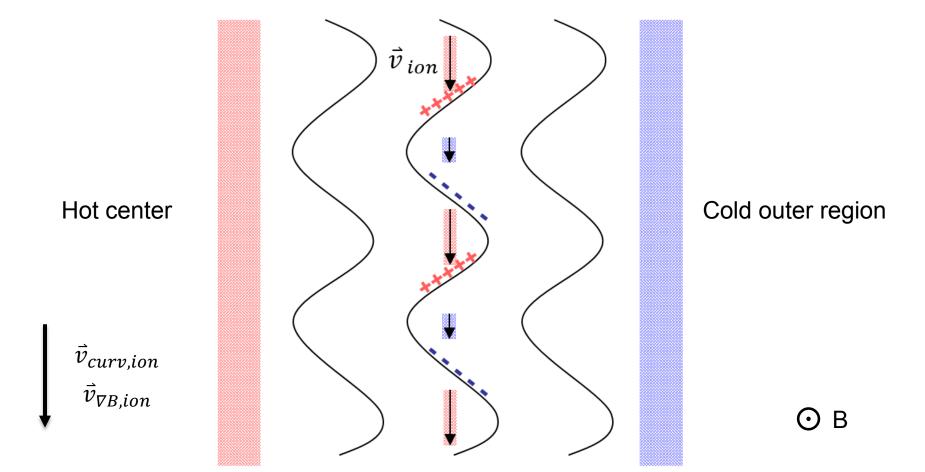


• Physical Picture of Rayleigh-Taylor ITG Instability in 4 Steps

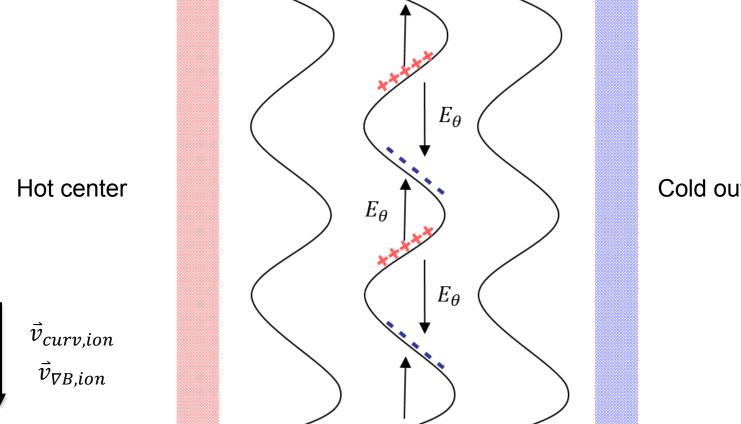


Sectional view of tokamak plasma in "bad curvature" region

② Higher energy ions drift downwards faster, leads to charge separation.



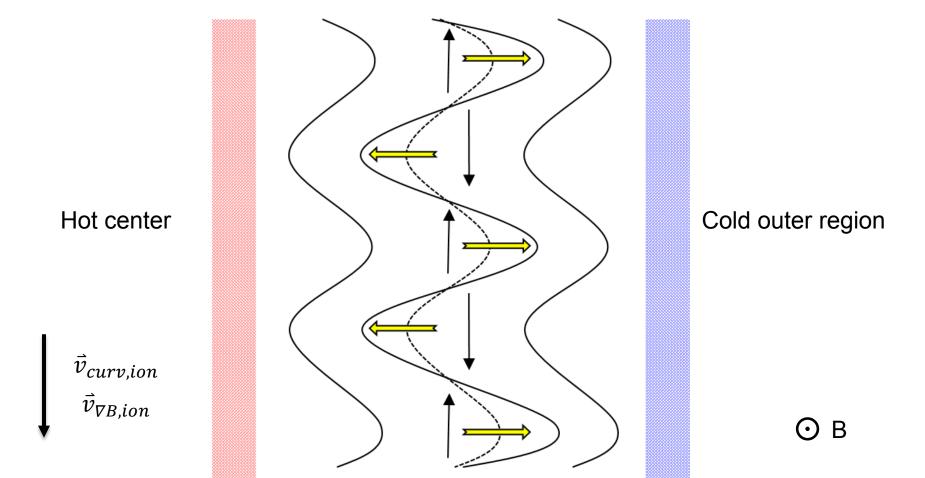
(3)  $E_{\theta}$  is induced from charge separation.







④ Particles drift in  $\vec{E}_{\theta} \times \vec{B}_T$  direction, and perturbation gets amplified.



This is another very illuminating limiting (but relevant) case.

Further readable physical discussion in M.A. Beers et al., Ph.D Thesis

Princeton U. '95.

Both in this fluid limit & analytic kinetic derivation of Romanelli, PFB'89

 $k_{\parallel} \rightarrow 0$  has been assumed.

 $\omega - \omega_{di} - k_{\mu} v_{\mu}$ 

resonance

This is incompatible with fluctuation localized in bad-curvature side i.e., "ballooning" mode structure very weak fluctuation mode amplitude  $= k_{\parallel} \approx \frac{1}{qR}$ 

#### Recap :

 $\bigstar$  In sheared slab :  $k_{\parallel} = \frac{k_{y}}{L}x$ , some fluctuations can be localized near mode rational surface in radius (small  $k_{\parallel} \rightarrow$  minimize magnetic shear-induced damping ion-Landau damping) & Extended along B"flute-like fluctuations" But "ballooning" fluctuations localized at bad curvature side.  $\implies k_{\parallel} \gtrsim \frac{1}{qR}$ 

What's their radial extent?  $\rightarrow$  Next Lecture.

#### **Radially Elongated Eddy is a Natural Structure**

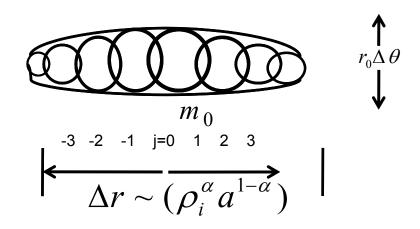
Since

- Poloidal direction no longer symmetric in torus.
- Poloidal harmonics couple to form a Global Eigenmode.

Radially elongated eddy

#### "Streamers"

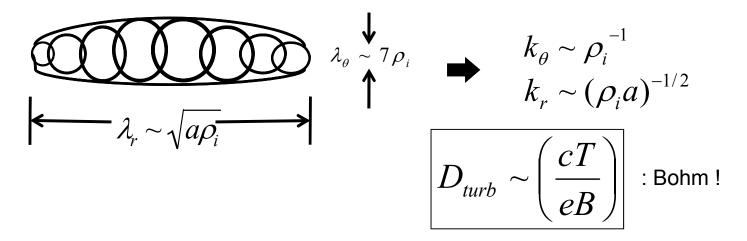
Cf. This is a linear theory-based simple illustration. Some strongly prefer "nonlinear" explanation.

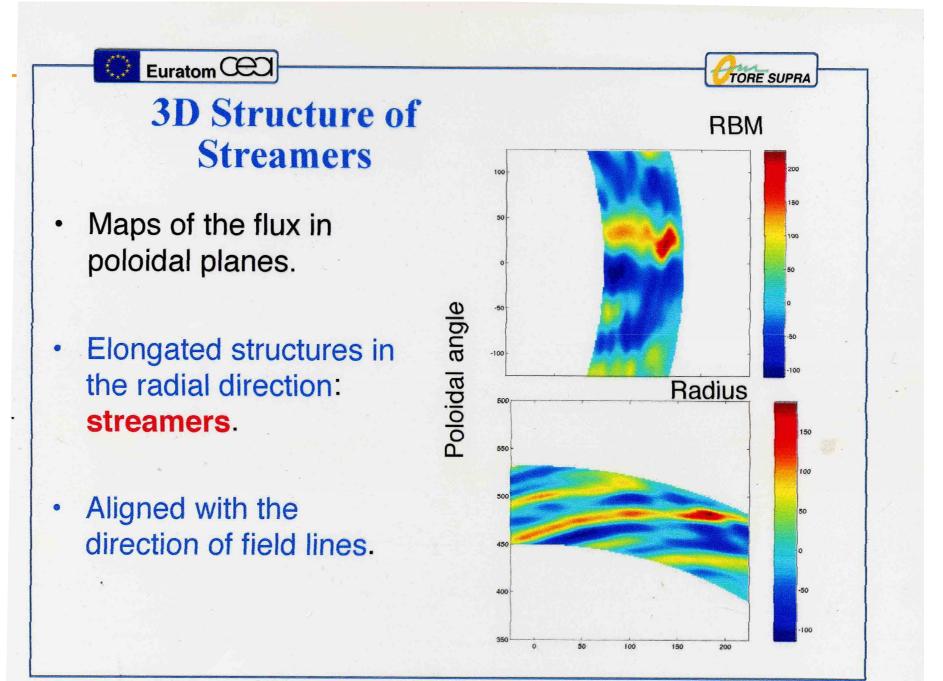


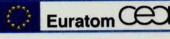
#### Radially Elongated Eddys extract free energy efficiently, and minimize convective (vector) nonlinearity which increases with k<sub>r</sub>

From 
$$D_{turb} \sim \frac{\Delta x^2}{\Delta t} \sim \frac{\gamma}{k_r^2} \sim \frac{\omega_*}{k_r^2} \sim \left(\frac{k_\theta}{k_r^2 \rho_i}\right) \frac{\rho_i}{L} \left(\frac{cT_i}{eB}\right)$$

Radially Elongated Eddys transport heat very efficiently ! :





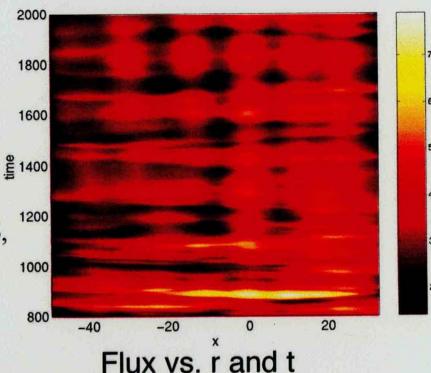


- Diamond and Hahm 95: profile relaxations at all spatial and time scales (avalanches).
- Observed in many turbulence
   simulations (Carreras 96, Sarazin and Gendrih 98
   Garbet and Waltz 98, Beyer et al. 99,...)

## **Bursty Transport**

Beyer et al 99

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X. Garbet

# Self-Organized-Criticality Model of Tokamak Transport

• Construction of Heat Flux Expression from consideration of symmetry and conservation law

- rather than quasi-linear expression from specific linear instability (ITG, TEM, ETG, ..., any AE)

• Earliest and Simplest Model for MFE [Diamond and Hahm, PoP '95]

- Sand Pile model by [Hwa and Kadar, PRA '92]

## **Joint Reflection Symmetry**

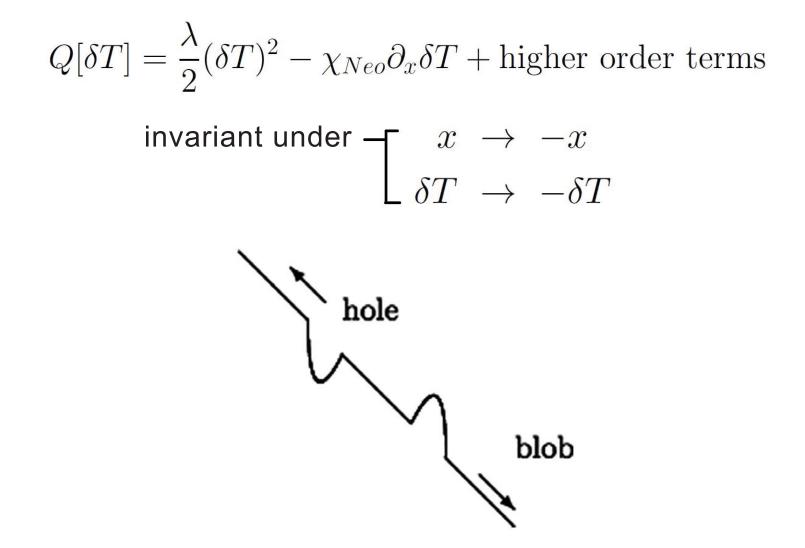


FIG. 4. Schematics for blobs propagating outward and holes propagating inward. These are allowed to have net transport down the gradient. To have both solutions, equation must be invariant under the simultaneous transformation of  $x \rightarrow -x$  and  $\delta T \rightarrow -\delta T$ .

## **Frequency Spectra of Heat Transport Events**

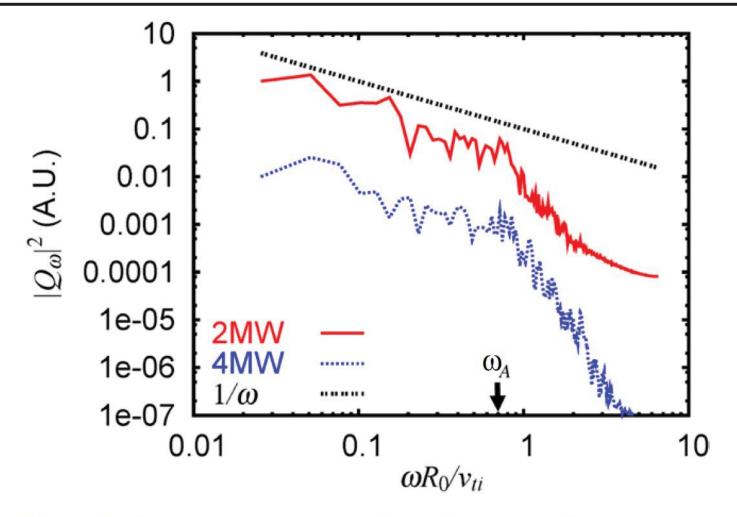
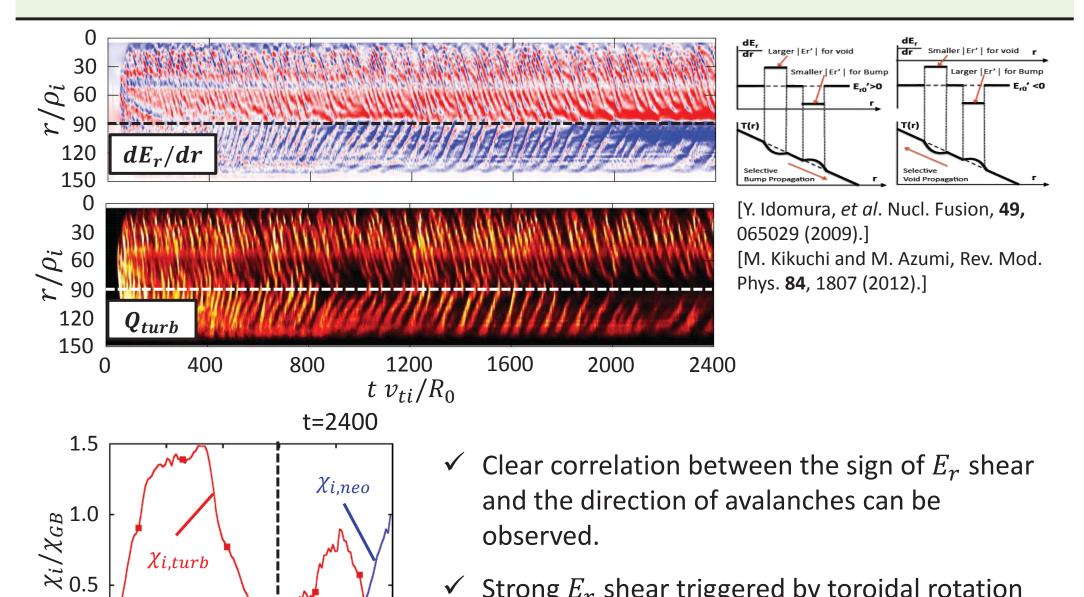


Figure 12. The power spectrum of the turbulent heat flux Q averaged over source free regions (r/a = 0.5-0.9). The spectra in low frequency region show 1/f type spectra.

[Y. Idomura et al., Nucl. Fusion 49, 065029 (2009)]

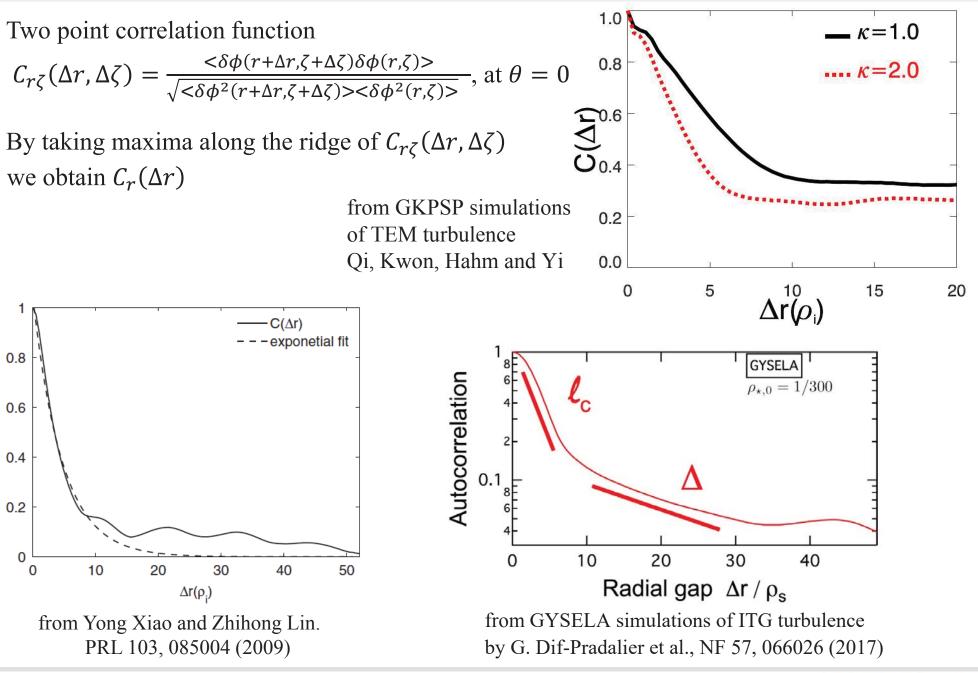
## **Avalanches exhibit Joint Reflection Symmetry?**



 $r/\rho_i$ 

 ✓ Strong E<sub>r</sub> shear triggered by toroidal rotation in outer region suppresses the turbulence, leading to an ITB formation.

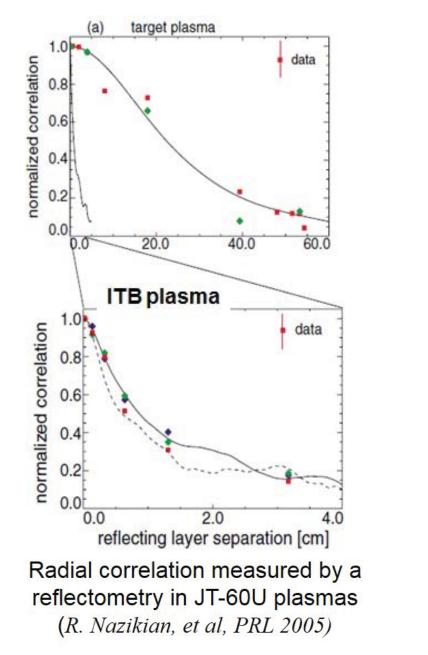
## **Radial Correlation Function**

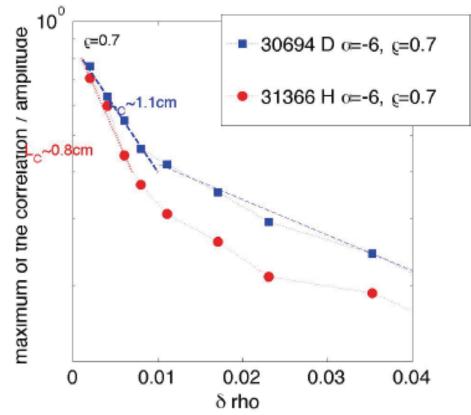




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#### Mesoscale Turbulence may be major contributor to transport





from P.Hennequin et al. 42<sup>nd</sup> EPS Conference on Plasma physics(2015)

### **Partial Summary**

Radially Elongated Eddys (Streamers) can be formed in toroidal geometry and transport heat efficiently.

---> Bohm Scaling of Confinement ~ Experimental Trends

#### Why not sufficient ?

Recall that from experimental measurements:

Eddy size ~  $\lambda_x, \lambda_y$  ~ several  $\rho_i$ 



#### Part II. Role of Self-generated Zonal Flows

## T.S. Hahm

#### Seoul National University, Seoul, KOREA

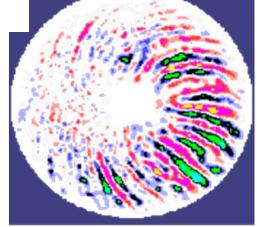
"8<sup>th</sup> East-Asian School and Workshop on Laboratory, Space, Astrophysical Plasmas", presented at Chungnam Univ. Daejeon, July 30, 2018



#### Sheared Zonal Flow Regulates Turbulent Eddy Size and Transport

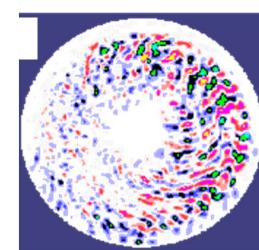
[Lin, Hahm, Lee et al., Science 1998]

No flow



.Self-generated ExB zonal flow reduces radial size of eddies

With flow



- . Breakup of radially elongated structures reduces transport
- Externally driven ExB Shear Flows were used before for the direct control of the turbulence

### Role of E x B Shear in Reducing Turbulence

• Flow shear decorrelation in cylinder [Biglari-Diamond-Terry, Phys. Fluids-B '90]

$$\omega_E > \Delta \omega_T$$

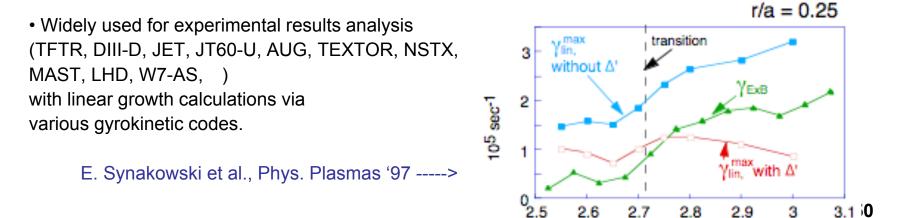
• Turbulence quenching in gyrofluid simulation [Waltz-Kerbel-Milovich, Phys. Plasmas '94]

$$\omega_E > \gamma_{lin}$$

• ExB Shearing Rate in General Toroidal Geometry [Hahm-Burrell, Phys. Plasmas '95]

$$\omega_{E} = \frac{\Delta r_{0}}{\Delta \ell_{\perp}} \frac{(RB_{\theta})^{2}}{B} \frac{\partial}{\partial \psi} \left(\frac{E_{r}}{RB_{\theta}}\right)$$

- Made possible by developments of Experimental Diagnostics for E<sub>r</sub> and B<sub>θ</sub> (Motional Stark Effects, Charge Exchange Recombination Spectroscopy, )
- Useful Rule of Thumb for Indication of the importance of ExB shear



Time (s)

 $\mathbf{E} \times \mathbf{B}$  Shearing Rate in Toroidal Geometry

Hahm and Burrell, Phys. Plasmas 2, 1648 (1995)

$$\omega_E = \frac{\Delta r_0}{\Delta l_\perp} \frac{(RB_\theta)^2}{B} \left| \frac{\partial}{\partial \psi} \left( \frac{E_r^{(0)}}{RB_\theta} \right) \right|$$

•  $\frac{\partial}{\partial \psi} \left( \frac{E_r^{(0)}}{RB_{\theta}} \right)$ : From  $u_{\theta}$  and  $\nabla P_i$ :(TFTR ERS, H-mode) or from  $u_{\phi}$  (DIII-D NCS, VH; JET OS, RS...)

•  $\frac{(RB_{\theta})^2}{B}$ : In-out Asymmetry Evidence from DIII-D, Pronounced for STs Retting Stam baugh

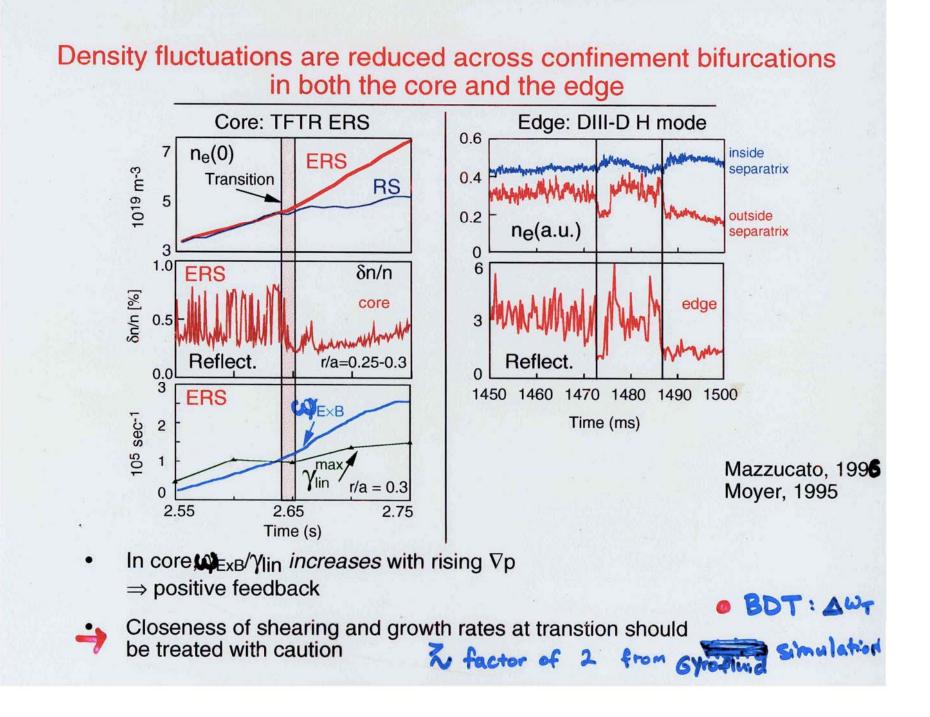
Δ<u>r<sub>0</sub></u>:Eddy shape dependence
 Typically assumed to be 1
 Stronger shearing for radially elongated eddy

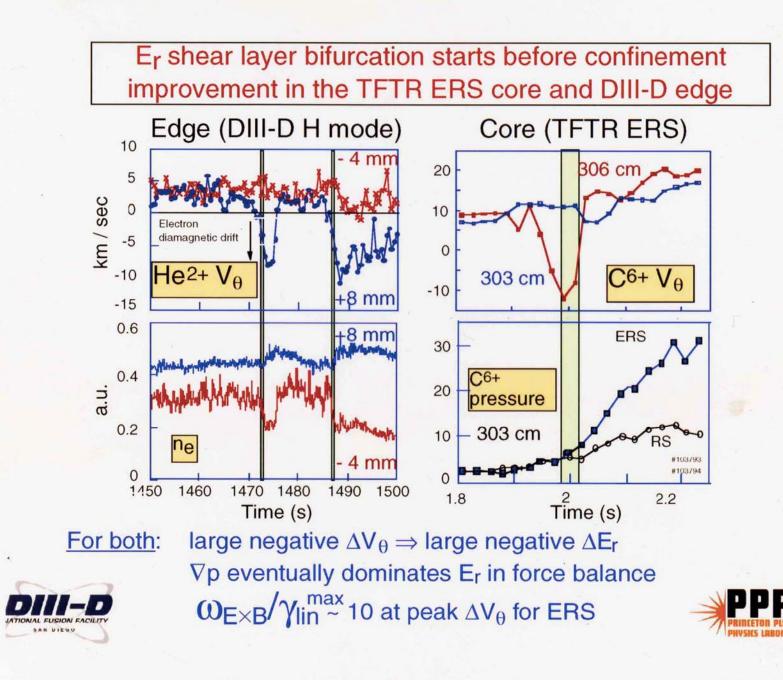


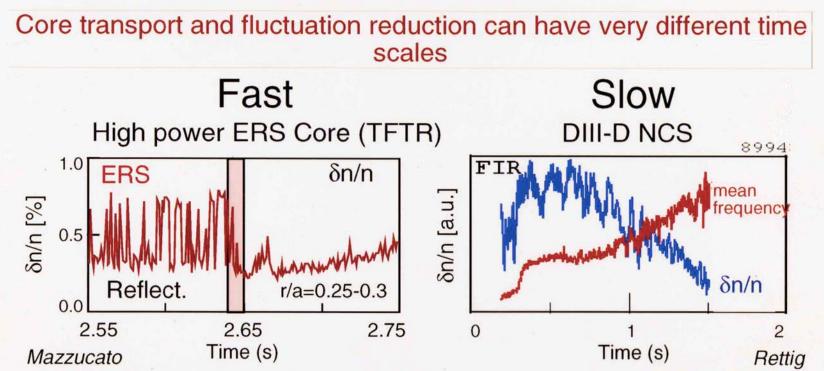
# *E x B Flow Shear is well-known to reduce Turbulence and Turbulence-driver Transport :*

Theoretically it can occur via

- Reduction in fluctuation amplitude
- Reduction in radial correlation length (eddy size)
- Elimination of large Transport Events
- Shift I cross phase between transported quantity ( $\delta n, \delta T i, \dots$ ) and transporter ( $\delta v_r = \left(\frac{c}{B}\hat{b} \times \nabla \delta \phi\right) \cdot \hat{e}_r$ ) (Flux:  $\Gamma = < \delta n \delta v_r >$ )





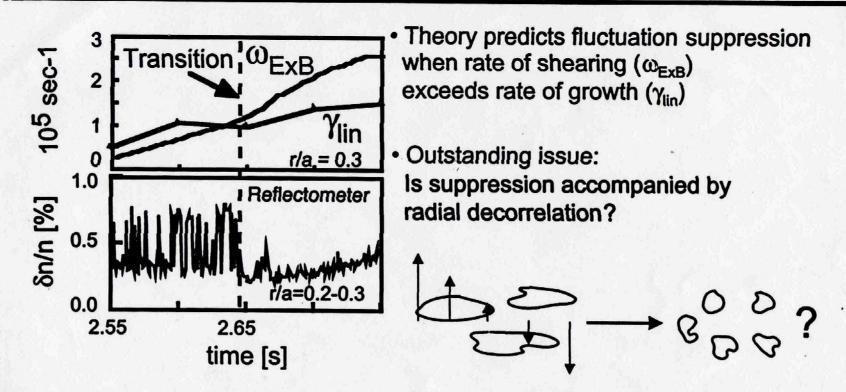


- Difference in time scale may be due to fast boostrap with  $\nabla p$ -dominated bifurcation vs. competition between  $\nabla p$  and  $V_{\varphi}$  in DIII-D case
- DIII-D: fluctuation, transport reduction during time when  $V_{\phi}$  shear is slowly increasing
- TFTR: fluctuation, transport change is "single step" in character





#### Transition to Enhanced Confinement Regime is Correlated with Suppression of Core Fluctuations in TFTR



 Similar suppression observed on JET (X-mode reflectometer) and DIII-D (FIR Scattering)

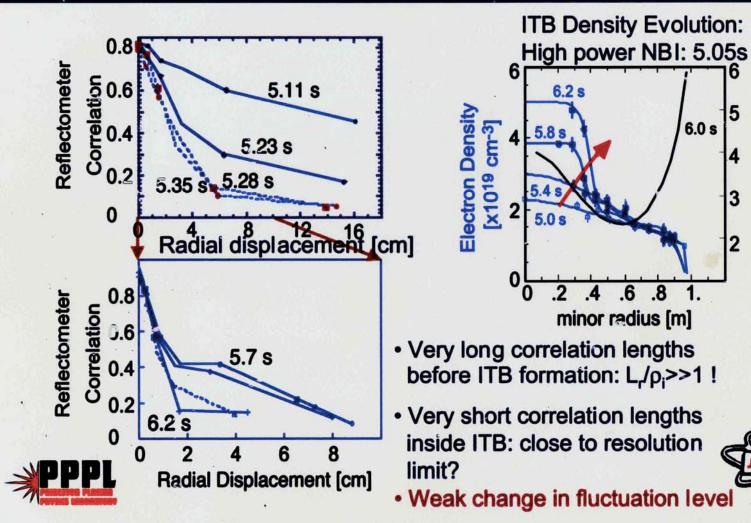
Hahm, Burrell, Phys. Plas. 1995, E. Mazzucato et al., PRL 1996.

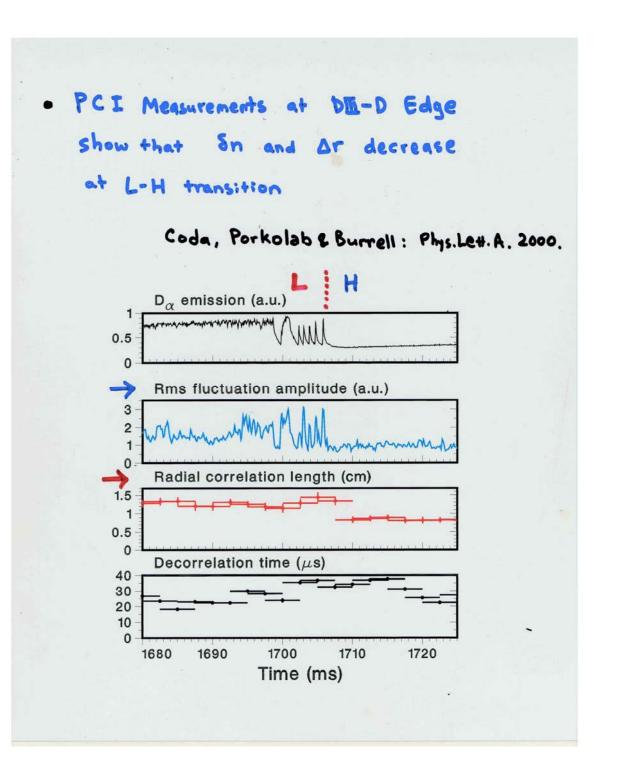


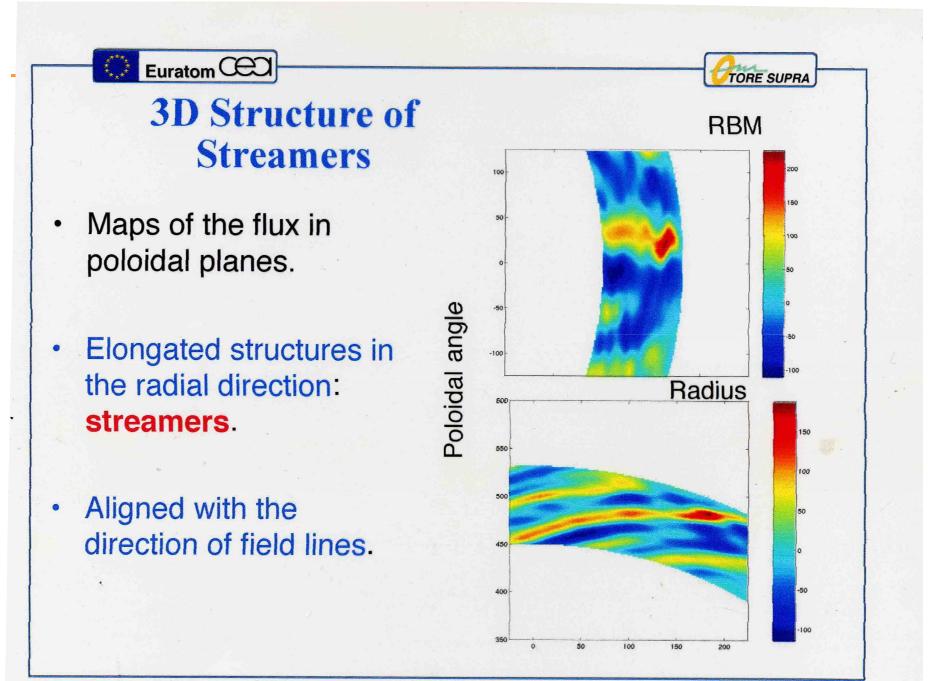
[R. Nazikian et al., PRL 2005]

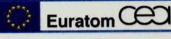
Safety factor

# Dramatic Reduction of Radial Correlation Length in ITB of JT-60U: Are We at The Limit of Our Spatial Resolution?







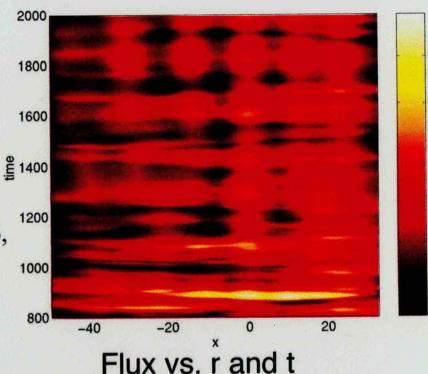


- Diamond and Hahm 95: profile relaxations at all spatial and time scales (avalanches).
- Observed in many turbulence
   simulations (Carreras 96, Sarazin and Gendrih 98
   Garbet and Waltz 98, Beyer et al. 99,...)

## **Bursty Transport**

Beyer et al 99

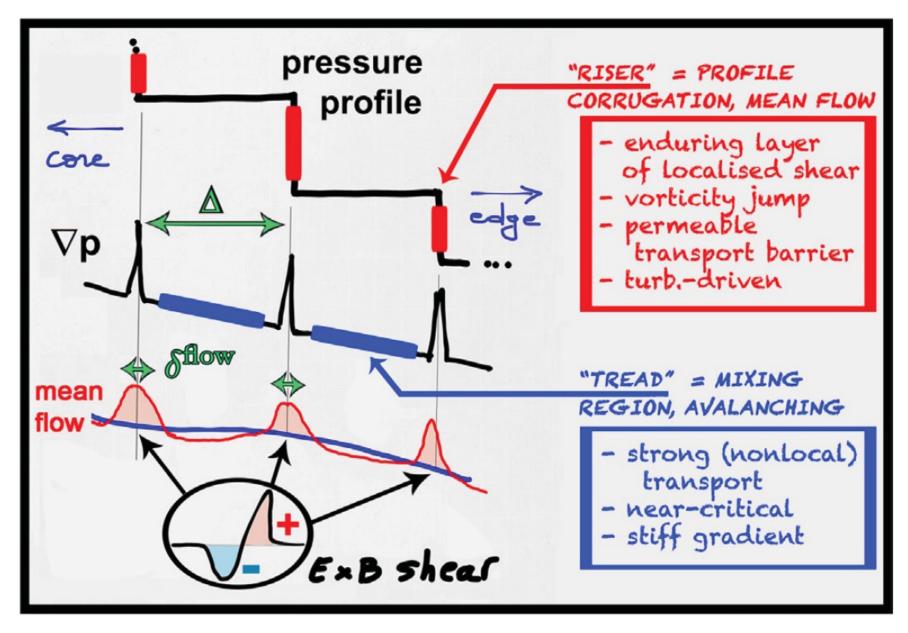
TORE SUPRA



X. Garbet

## **Hierachy of ExB Shear Effects**

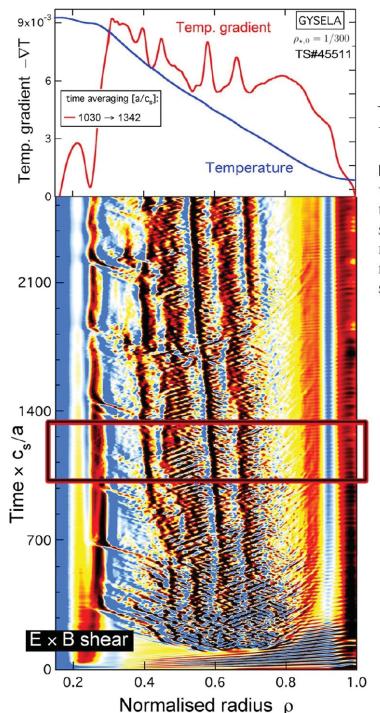
## **Recent Findings: ExB Staircase**



### Une Bande Dessinée Scientifique

[G. Dif-Pradalier et al., Nucl. Fusion 57, 066026 (2017)]

### **ExB Staircase from Flux-driven ITG Simulations**

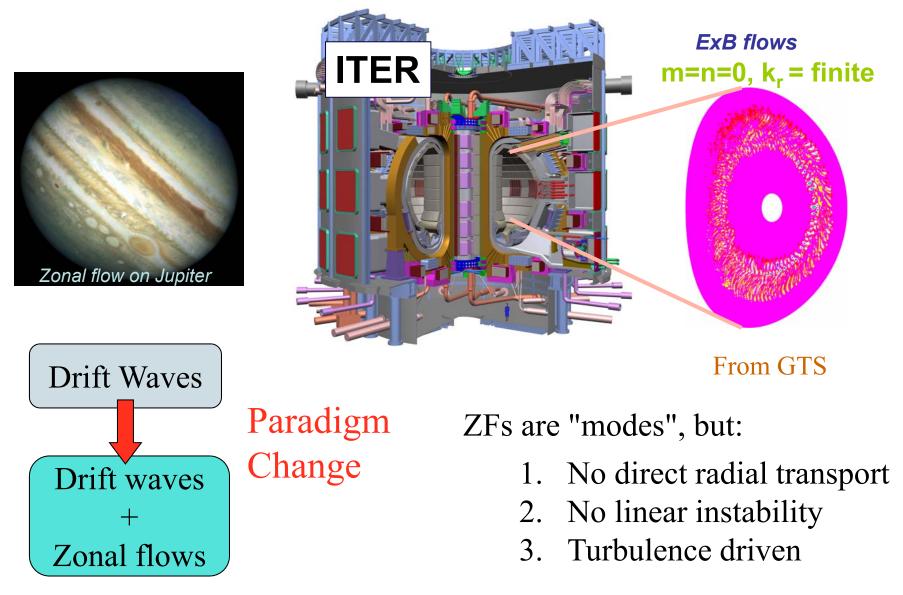


### [G. Dif-Pradalier et al., Nucl. Fusion 57, 066026 (2017)]

**Figure 2.** Three general features of the plasma staircase are visible here: (i) the mean profile corrugations here displayed on the temperature gradient, (ii) the strong, long-lived and coherent shear flows defining 'valleys' of hindered transport—the mean radial  $\mathbf{E} \times \mathbf{B}$  shear profile is shown in figure 11 (left) and (iii) the radial transport dominated by avalanche-like events in-between the staircase steps.

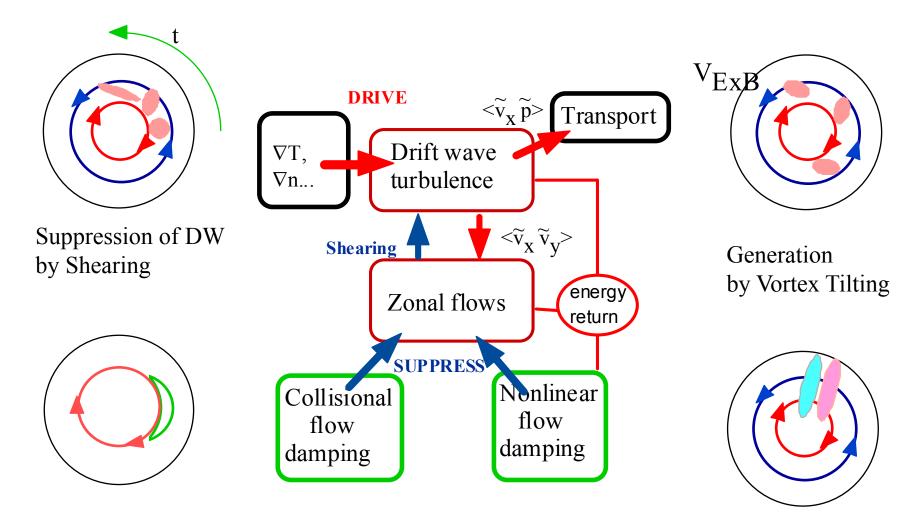
## What is a zonal flow?

Courtesy: K. Itoh, made in Japan, edited in USA, and presented in Korea



### Basic Physics of a Zonal Flow

from Diamond, Itoh, Itoh, and Hahm, "Zonal Flows in Plasma-a Review" PPCF '05



Damping by Collisions

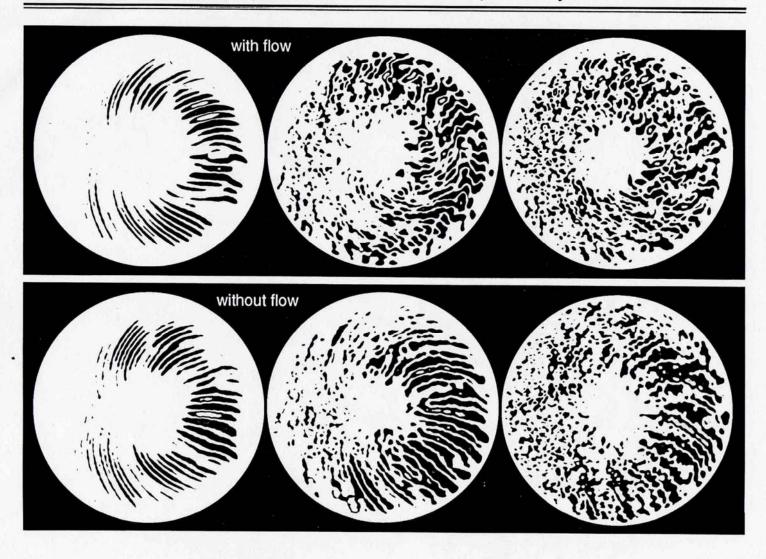
### E x B Shearing by time-dependent Zonal Flow

[Hahm, Beer, Lin, et al., Phys. Plasmas '99]

- Gyrofluid Simulations observed that instantaneous  $\omega_E(t) \gg \gamma_{\text{lin}}$  while turbulence was at L-mode level and transport was anomalous.
- Effective E x B shearing rate has been analytically derived to take into account the time dependence of zonal flows
- From Gyrofluid simulation data analysis, has been observed:  $\omega_E^{\text{eff}} \sim \gamma_{\text{lin}}$
- -- Shearing due to high frequency comp. ZF is predicted to be ineffective for core turbulence.
- Gyrokinetic simulations demonstrated broadening of k<sub>r</sub> of ITG turbulence (a symptom of eddy breaking-up) due to zonal flows quantitatively.

#### 5 Radial Correlation Length &

Turbulence Generated E X B Flows Reduce Transport in Gyrokinetic Simulation



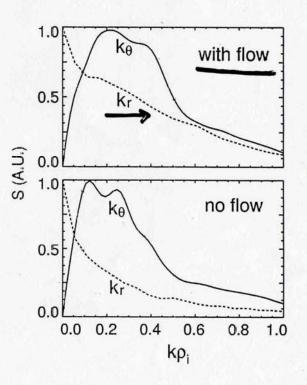
#### Broadening of k. Spectrum by Zonal Flows

- PPPL
- Theory for E × B shear decorrelation of turbulence has been generalized to include time-dependence of zonal flows
   [T. S. Hahm, M. A. Beer, Z. Lin, G. W. Hammett, W. W. Lee, and W. M. Tang, Phys. Plasmas, 1999]

$$(\frac{\Delta r_0}{\Delta r})^2 = 1 + \frac{\omega_{Eff}^2}{\Delta \omega_T^2}$$

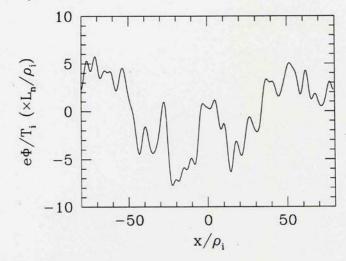
 Fast time-varying E × B flow is not effective in suppressing turbulence: flow pattern changes before eddies get distorted

$$\omega_{Eff} \simeq \omega_E^{(0)} \frac{\Delta \omega_T}{\sqrt{\Delta \omega_T^2 + 3\omega_f^2}}$$

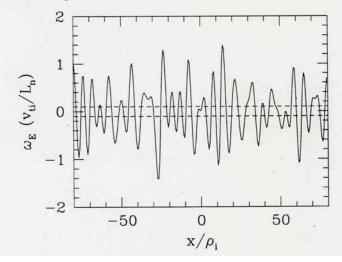


#### Shearing Rates from Gyrofluid Simulations

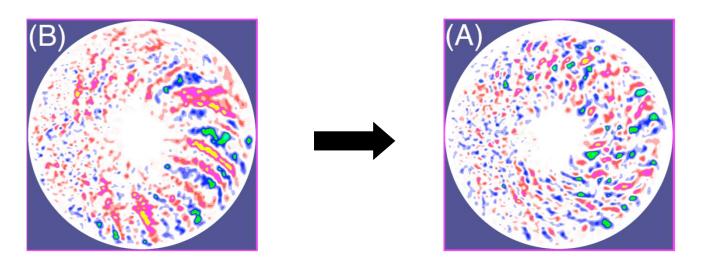
• Small-scale turbulence generated flow from gyrofluid simulation, instaneous potential:



• Instantaneous shearing rate,  $\omega_E$ , is large, but dominated by high frequency and high  $k_x$  components.



#### Duality of Flow Generation and Random Shearing of Eddys



$$\omega_k \gg \omega_{\rm ZF} \quad \blacksquare \quad \text{Drift Wave Action Density, } N_{\vec{k}} \text{, is conserved.}$$
  
From  $\omega_{\rm DW} = \frac{k_{\theta} v_*}{1 + k_{\perp}^2 \rho_s^2} \quad \text{shearing} \longrightarrow k_r^2 \not I \longrightarrow \quad \text{Drift Wave Energy:}$ 
$$E_k = N_k \omega_k \checkmark$$

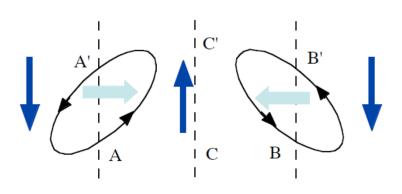
Since total energy conserved between ZF and Drift Wave,

Energy for ZF generation is extracted from DWs.

[Diamond et al., IAEA-FEC, 1994];

### **Generation Mechanism**

(1) Tilt of convection cell by a sheared flow



9

 $\mathbf{k}_{d+}$ 

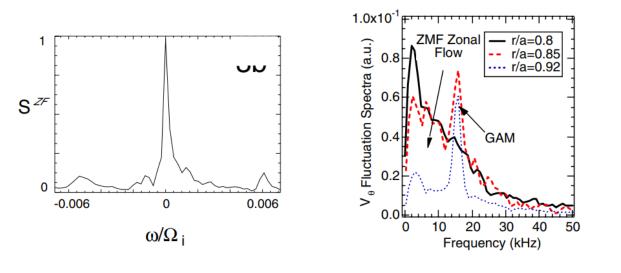
(2) Modulational Instability

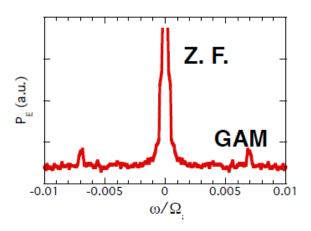
### Distinction between ZF and Mean $< E_r >$

	Zonal Flows	Mean Field < $E_r$ >
Time	can change on turbulence time scales	changes on transport time scales
	nunderford ein versinfeligieren dass die statististische die eine soller eine solle	
Space	oscillating, complex	smoothly varying
	pattern in radius ~ $20 \rho_i$	
Stretching	diffusive $\langle \delta k^2 \rangle \propto t$	ballistic $\langle \delta k^2 \rangle = t^2 k^2 V_E^{\prime 2}$
behavior	$\langle 0K^{-} \rangle \propto T$	$\langle 0k^2 \rangle = t^2 k^2 V_E$
<i>k</i> of waves	$\partial \partial \phi \phi \phi \phi \phi$	000
	time	time
Drive	Turbulence	equilibrium $\nabla p$ , orbit loss,
		external torque, turbulence, etc.

Active research on synergy between them is underway.

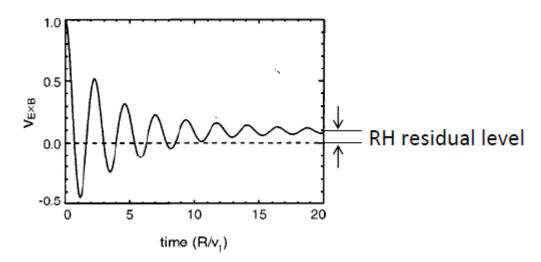
- Zonal flows regulate turbulence and transport.
- Turbulence in most cases produces zonal flows
- Characteristics have been confirmed from experiments





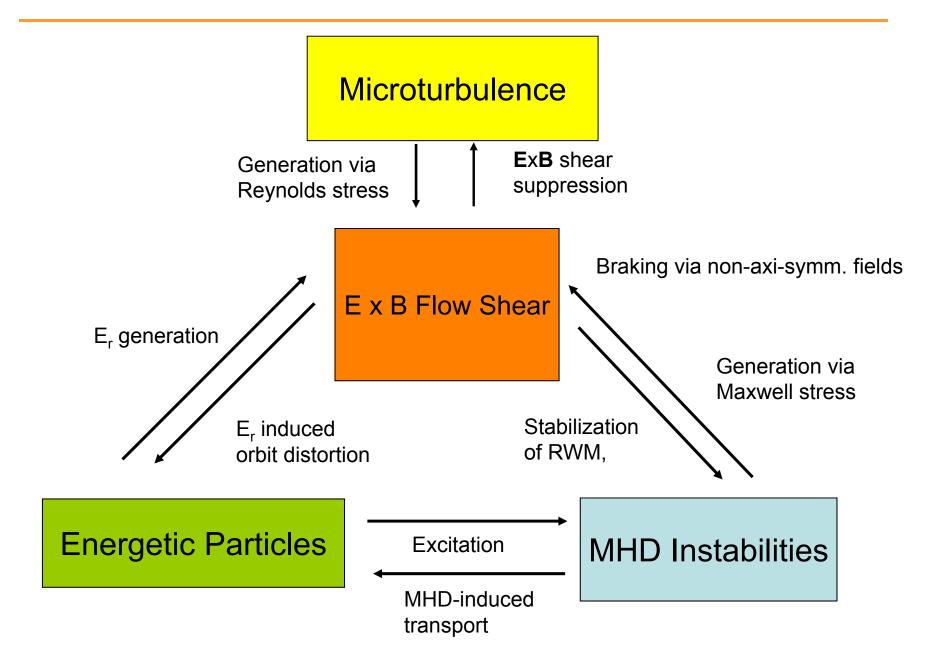
T.S.Hahm et al., PPCF (2000) from GTC Simulation D.K. Gupta et al., PRL(2006) from Tokamak(DIII-D) A. Fujisawa et al. PRL(2004) from Stellarator(CHS)

- Based on gyro-Landau-fluid closure (up to mid 90's), ZF is completely damped even in collisionless plasmas
- Rosenbluth-Hinton [PRL '98] ZF undamped from Gyrokinetic theory



- Gyrokinetic codes are now benchmarked against the analytic results!
- Most transport models don't include zonal flows yet. (exceptions : M. Nunami et al, PoP (2013), E. Narita et al., PPCF (2018))

#### E x B Flow Shear Plays a Central Role in Magnetic Confinement



#### Key Physics Mechanisms behind Size Scaling of Confinement

#### Global Toroidal ITG eigenmode

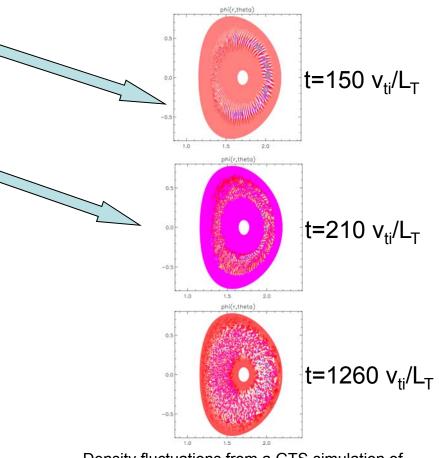
[Horton-Choi-Tang, PF '81] [Cowley-Kulsrud-Sudan, PF B' 91] [Romanelli-Zonca, PF B' 93][Parker-Lee-Santoro, PRL'93]

#### **Bohm Scaling ?**

#### Self-regulation by Zonal Flows:

[Cast of Thousands] [Lin, Hahm, Lee et al., Science '98] [Diamond, Itoh, Itoh and Hahm, Review in PPCF '05]

#### **GyroBohm Scaling !**



Density fluctuations from a GTS simulation of a shaped plasma with typical DIII-D core parameters [Wang, Hahm, Lee *et al.,* PoP `07]



### **Zonal Flows**

# Huge Effect on Tokamak Confinement Scaling with respect to Machine Size:

### **GyroBohm Scaling !**

Not the End of Story



• Generalization of Fick's Law  $\Gamma_p = -D \frac{\partial n}{\partial r}$  to Diffusion Equation

$$\begin{pmatrix} Q_i \\ Q_e \\ \Gamma_{\phi} \\ \Gamma_p \end{pmatrix} = -\begin{pmatrix} \chi_i & \dots & \dots & \dots \\ \dots & \chi_e & \dots & \dots \\ \dots & \dots & \chi_{\phi} & \dots \\ \dots & \dots & \dots & D \end{pmatrix} \begin{pmatrix} (\nabla T_i)_r \\ (\nabla T_e)_r \\ (\nabla U_{\phi})_r \\ (\nabla n)_r \end{pmatrix}$$

off-diagonal pinch terms exist

- Plasma Current Scaling of Confinement
  - $\tau_E \nearrow$  with  $I_p$  more strongly than with  $B_{\phi}$ !

#### Ion Thermal Transport

- Typically due to ITG
- One candidate: ineffective ZF shearing if geodesic acoustic sideband of ZF with  $\omega_{\text{GAM}} \sim c_s/R_0$  gets stronger at the expense of main( $\omega_{\text{ZF}} \sim 0$ ) ZF.
- Landau damping of GAM with  $\gamma_{
  m damping} \sim -e^{-q^2/2}$  where  $q \simeq r B_{\phi}/R B_{ heta}$

: strong q  $\rightarrow$  stronger GAM  $\rightarrow$  less shearing due to GAM if  $\omega_{GAM} > \Delta \omega_{Turb}$ 

#### $\rightarrow$ strong turbulence.

• Electron Thermal Transport: dominant depending on parameters.

#### A. Trapped Electron Mode (TEM)

- Strong evidence from AUG ECH experiment (F. Ryter, PRL '05)
- **DTEM** (dissipative TEM)  $\rightarrow$  Neo Alcator scaling  $\tau_E \propto n_e a R^2$
- C-Mod GK code result: ITG more unstable than TEM

→ **KSTAR** reports different story

#### **B.** Electron Temperature Gradient Mode (ETG)

• Associated ZF relatively weak → radially elongated eddies

→ streamer could dominate

• Otherwise low level of transport with  $\Delta r \sim \text{several } \rho_e$ 

#### Microtearing Mode

Instead of ExB transport due to electrostatic fluctuation,  $\delta v_r = \frac{c \mathbf{b} \times \nabla \delta \phi}{B}$ transport due to magnetic flutter:  $\delta v_r = \frac{\delta B_r}{B_0} v_{\parallel}$ 

#### Momentum Transport

- Spontaneous/intrinsic rotation without external torque inputs from NBI/ICRH ... (even for Ohmic plasmas!)
- NBI not efficient in driving rotation in ITER. → rotation of ITER? (for resistive wall mode and turbulence reduction)

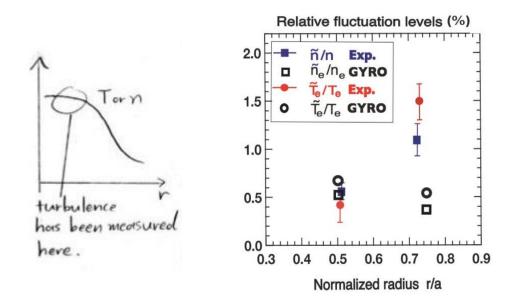
#### H-mode Transition

$$|\mathbf{v}'_{\mathrm{E}\times\mathrm{B}}| \nearrow \Rightarrow \text{ turbulence } \delta n \searrow \Rightarrow \text{ transport } \searrow$$

which component,  $u_{\theta}, u_{\phi}$  or  $\nabla p$ ?

•  $u_{\theta}$  deviates from neoclassical prediction (DIII-D '94) even in L-mode plasmas (but deviation from  $u_{\theta}$  is very small in NSTX).

 Existence of Turbulence in the Absence of Local Drive due to Radial Gradient



- Edge-core coupling region (0.7 <  $\rho = r/a < 0.85$ ): "No Man's Land"
- "Turbulence spreading" is a candidate to resolve the short-fall problem.
- Upcoming Review Paper in JKPS:

T. S. Hahm and P. H. Diamond "Mesoscopic Transport Events and the Breakdown of Fick's Law for Turbulent Fluxes."

Isotopic Dependence of Transport

$$\chi_{\rm GB} \sim \frac{\rho_i}{a} \frac{cT_i}{eB} \propto \sqrt{M_i}$$
  
GB scaling
 $\checkmark$ 

$$\chi_{\text{Deuterium}} < \chi_{\text{Hydrogen}}$$

Experimental result

• TFTR: tritium  $\chi$  is even lower.

"Simulations & Modeling" should focus more on identification of physical mechanism rather than ever-popular case by case agreement with neighboring experiment in numbers.