

# Ion Cyclotron Harmonic Waves in the Boundary of Magnetized Plasmas

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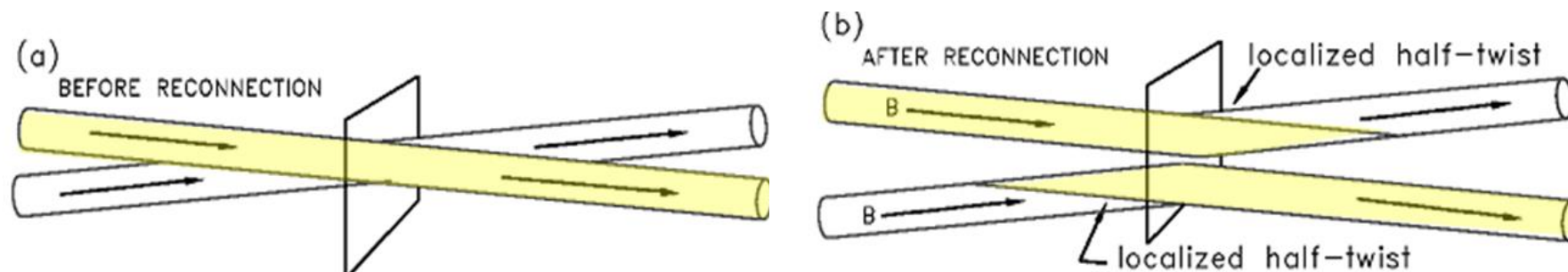
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# 0. Motivation for fast RF diagnostics

To understand the role of *radiative dissipation and viscous damping*<sup>†</sup> in magnetic reconnection (MR) and subsequent macroscopic transport events in high-temperature magnetized plasmas (e.g. burst of edge-localized modes, sawtooth, disruptions in tokamaks)

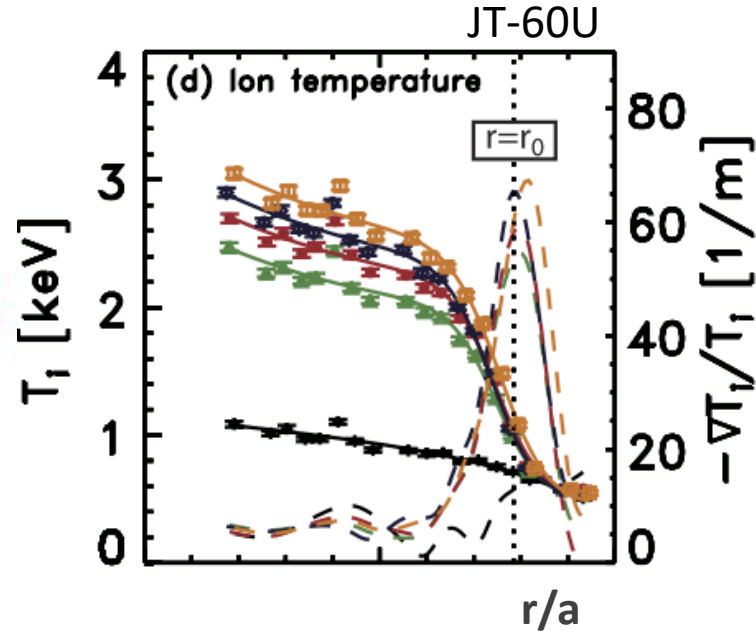
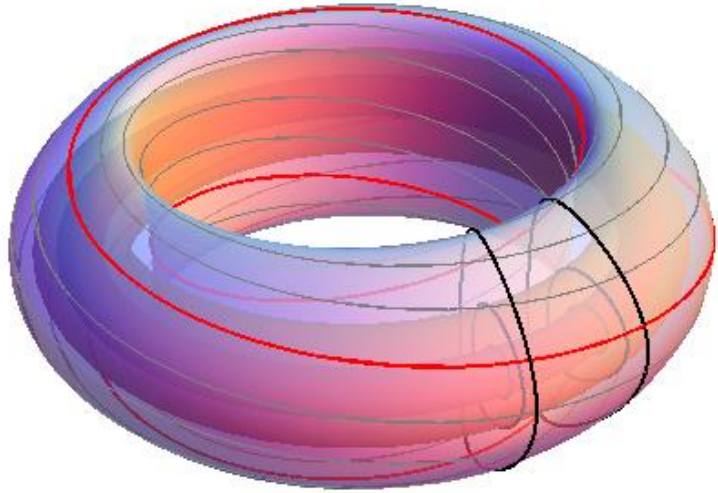
Patchy MR induces *field-aligned localized current filaments* = shear Alfvén waves and/or whistler waves <sup>[1]</sup> → *Radiation* <sup>[2]</sup> and *Viscous damping* <sup>[3]</sup> of the waves → *Fast reconnection*.



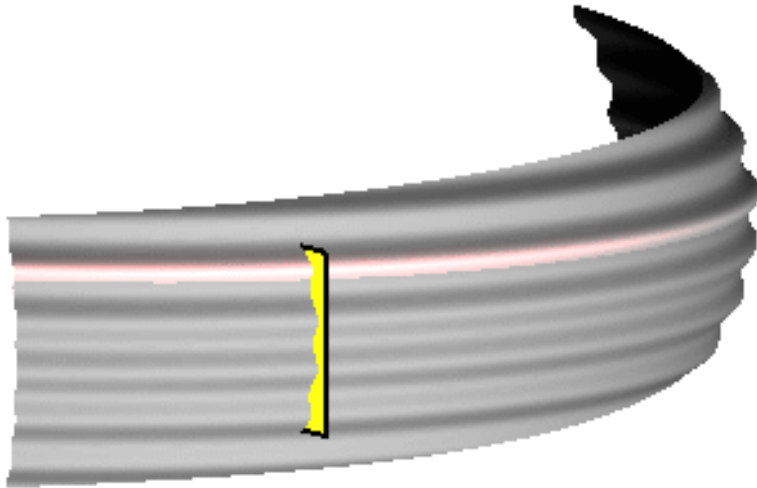
[1] Bellan, PoP 1998. [2] Yoon and Bellan, PoP 2018.

[3] Yun and Ji, arXiv

# I. Edge-localized mode (ELM)



Tokamak plasmas often form an edge transport barrier called **pedestal** just inside the last closed flux surface, resulting in an overall enhancement of the confinement (so called H-mode).



→ **Edge-localized instability mode (ELM)<sup>†</sup>** can occur driven by large  $\nabla p_0$  (ballooning, interchange),  $J_0$  (kink), and/or  $\nabla V_0$  (d'Angelo) in the pedestal.

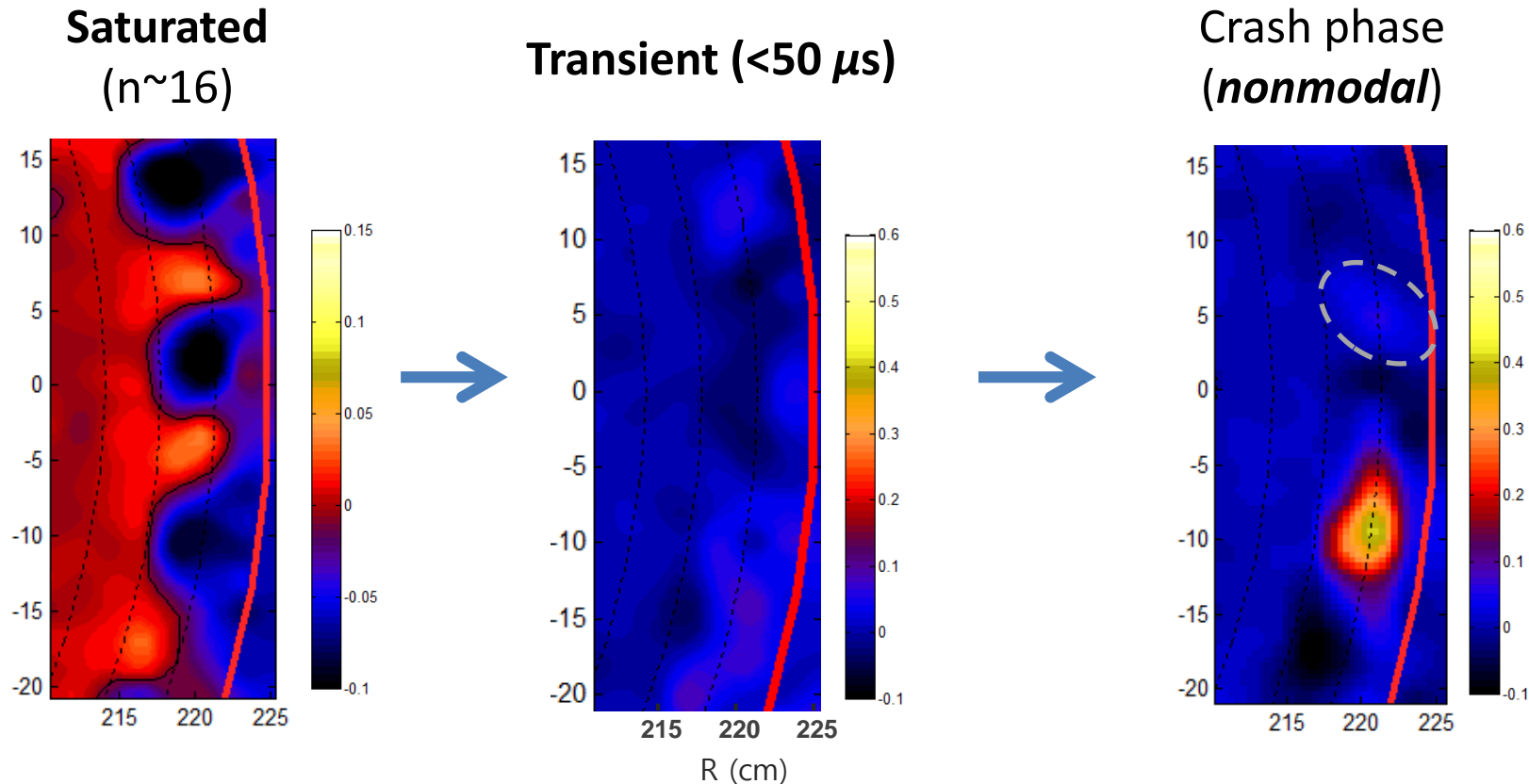
<sup>†</sup> Kaye (PBX), 1984; Keilhacker (Asdex), Physica Scripta 1984; Kamiya (JT-60U), Sci. Rep. 2016

## Common features in the ELM dynamics † on the KSTAR

- (1) Nonlinear saturation of eigenmode
- (2) Abrupt structural transition into nonmodal state ‡
- (3) Explosive localized burst and collapse of the pedestal.

† Yun, PRL 2011;  
Yun, PoP 2012;  
Kim M, NF 2014;  
Lee J, PRL 2016;

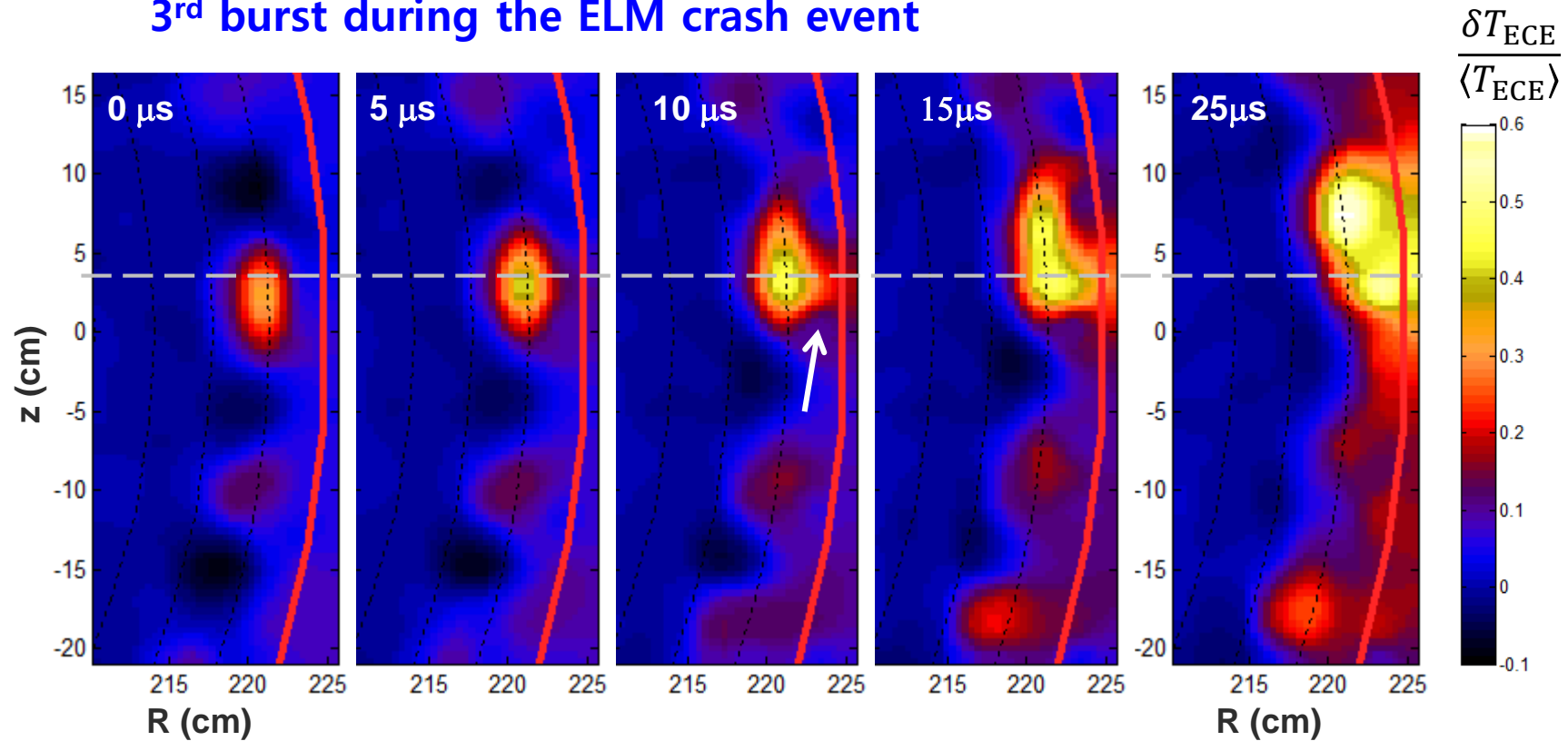
‡ Lee JE, NF 2015  
Lee JE, Sci. Rep. 2017



KSTAR #4431.  
Images taken by a  
mm-wave camera  
called **electron  
cyclotron emission  
imaging (ECEI)**

# Burst of the nonmodal (solitary) filament

3<sup>rd</sup> burst during the ELM crash event



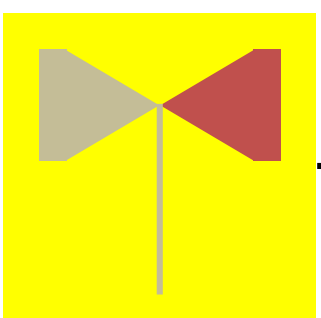
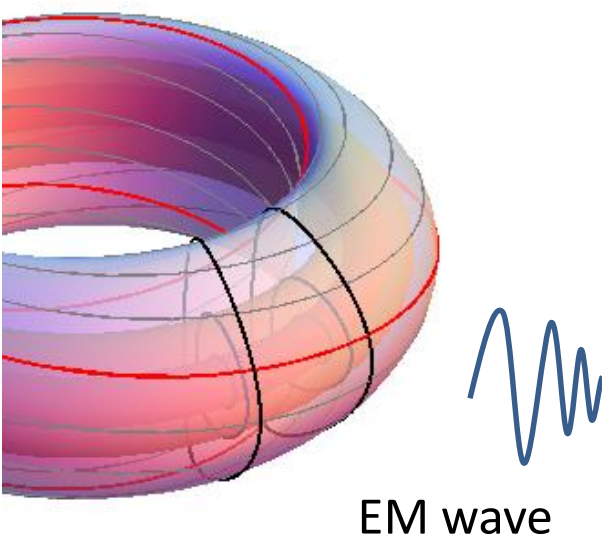
- **Fast burst**  $< 10 \mu\text{s}$
- **Localized burst** (both poloidally and toroidally)
- **Convective transport**

\*Yun, PRL 2011;  
Lee JE, Sci. Rep. 2017

- 1) Why does the ELM saturate? †
- 2) What triggers the transition to nonmodal filament? †
- 3) Why is the burst so rapid and localized?**

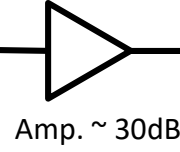
† Oh YM, AIP Adv. 2018;  
Leconte M, Contrib. Plasma Phys. 2016;

# II. Fast RF diagnostics\* assisted by ECEI



Bowtie antenna:  
100 – 800 MHz  
VSWR ~ 2 typ.

Band-pass filter

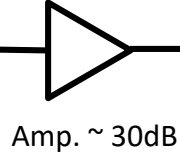


Amp. ~ 30dB

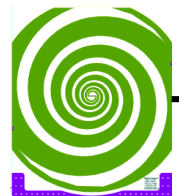


Spiral antenna\_L:  
100 – 800 MHz  
VSWR ~ 2 typ.

Band-pass filter

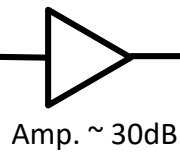


Amp. ~ 30dB

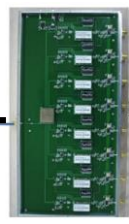


Spiral antenna\_S:  
2 – 6 GHz  
VSWR ~ 1.8 typ.

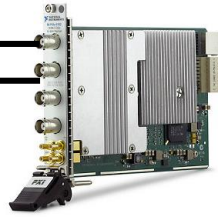
Band-pass filter



Amp. ~ 30dB



Filter-bank  
RF spectrometer  
(16 Ch, 500 kSa/s,  
30 – 800 MHz)



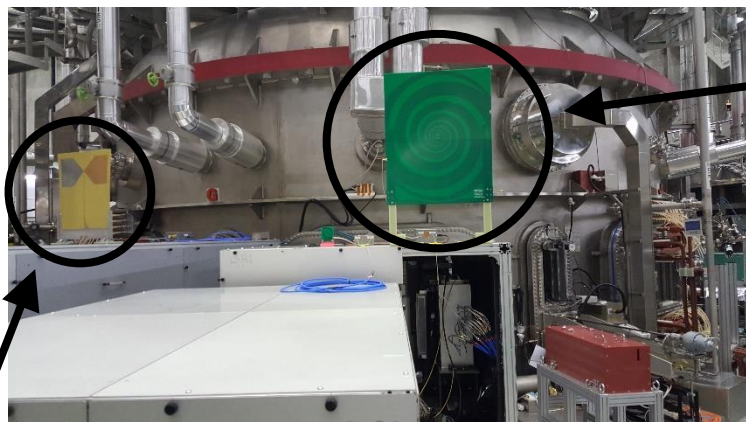
Fast digitizer  
**(5 GSa/s,**  
1 GHz bandwidth)



Fast digitizer  
**(40 GSa/s,**  
20GHz bandwidth)

\* Thatipamula SG, PPCF 2016;  
Leem J, JINST 2012

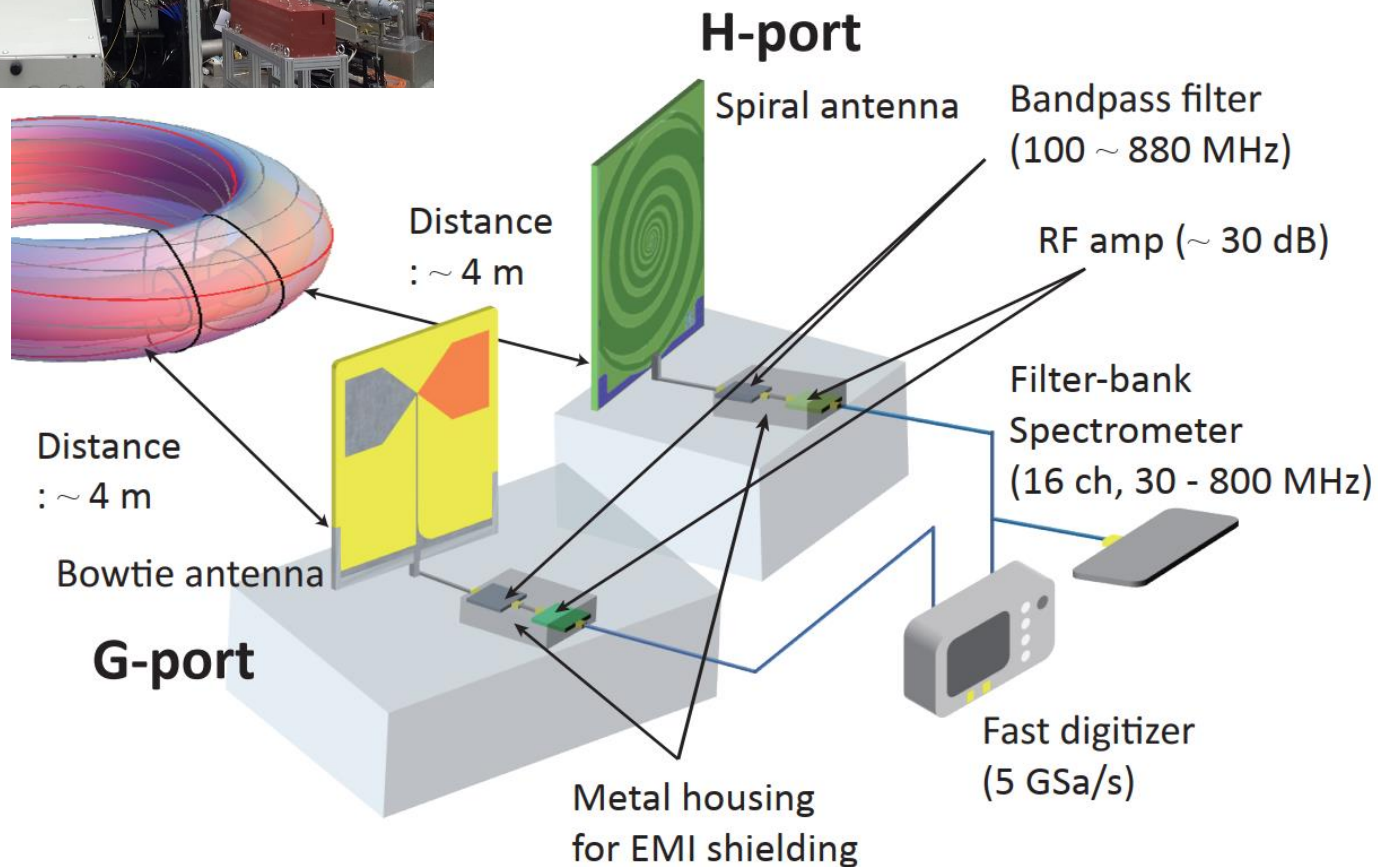




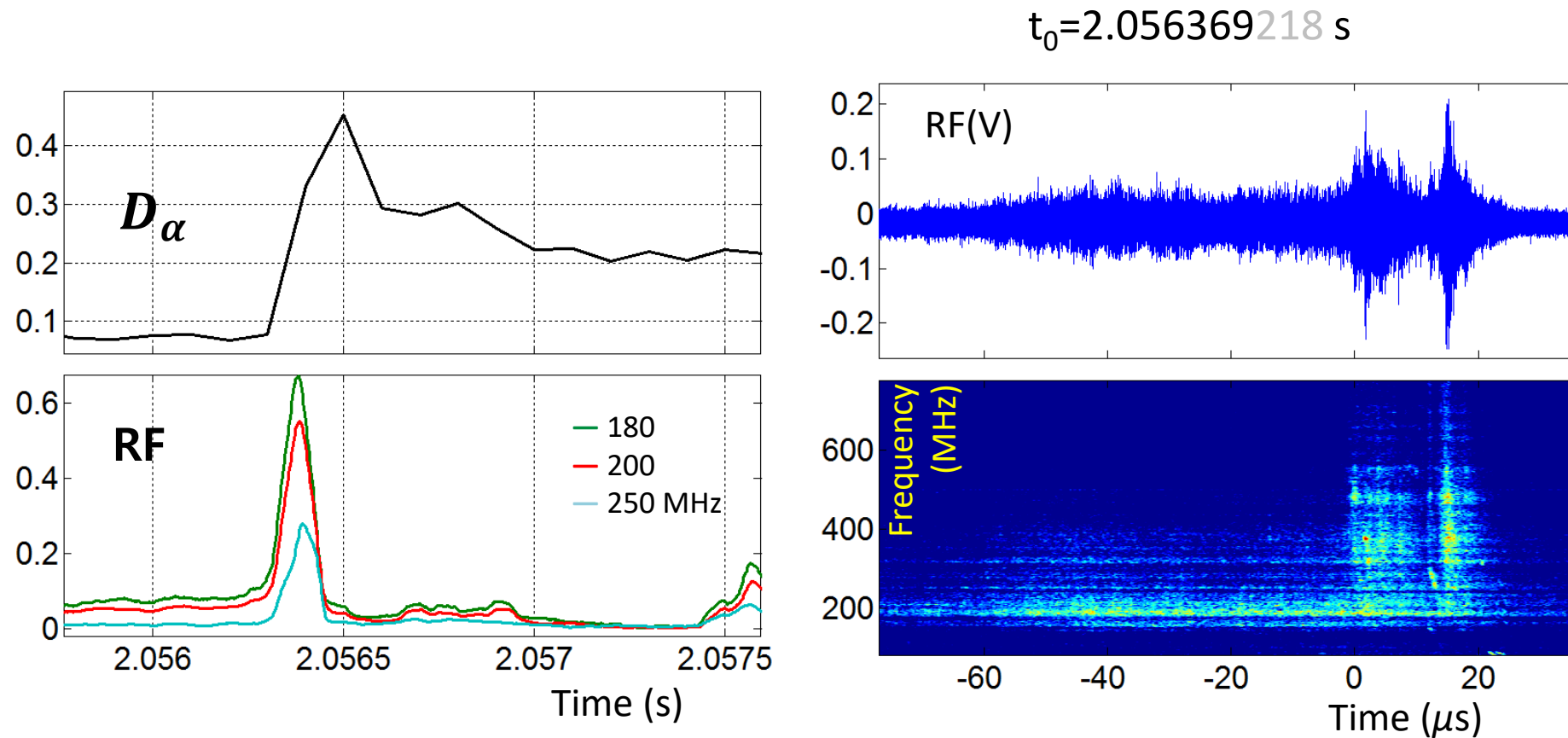
Spiral antenna

RF antennas installed in KSTAR (2017)

Bowtie antenna



# Dynamic RF spectrum at the ELM burst

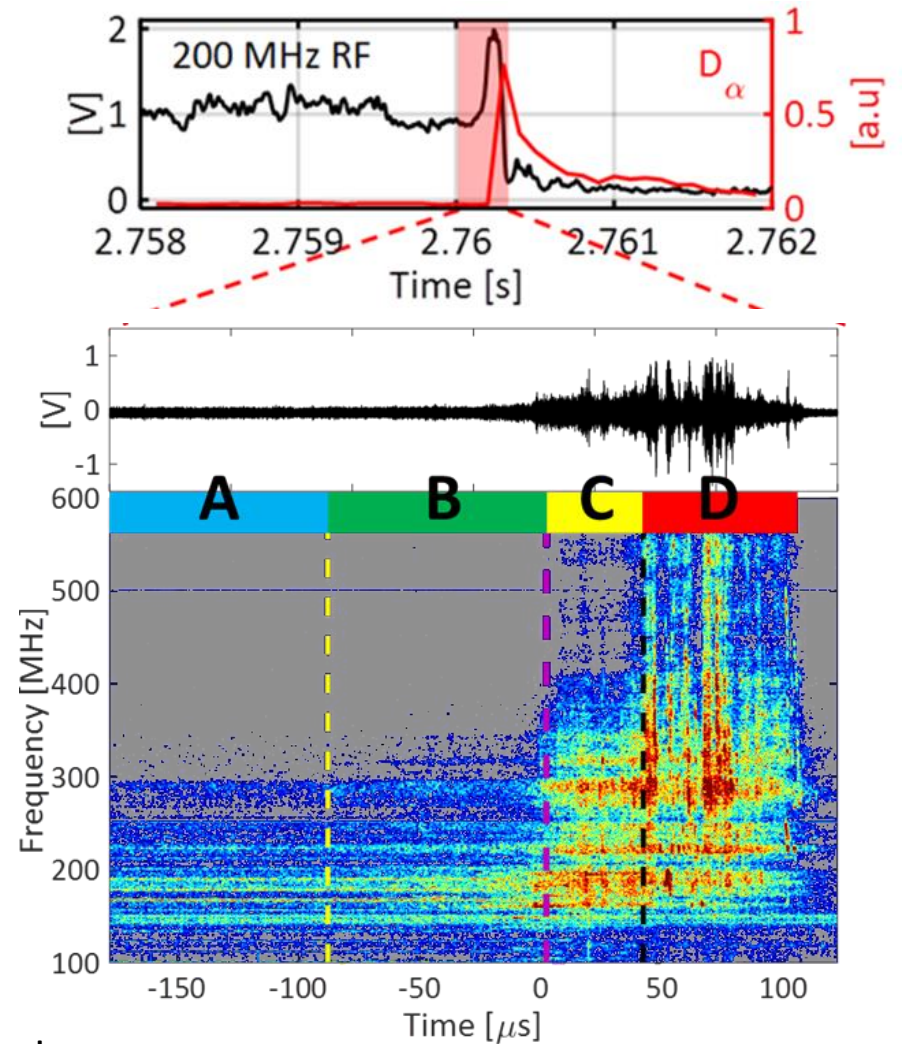


Shot #11475. H-mode discharge

$B_0 = 2.3$  T,  $I_p = 500$  kA,  $n_{e0} \sim 2.5 \times 10^{19}$  m $^{-3}$ ,  
 $W_{\text{tot}} = 240$  kJ, NBI = 1.5 MW

# III. RF emission spectra during ELM evolution

- A. **Harmonic Ion cyclotron emission (ICE)** before the appearance of nonmodal filament
- B. **Intensified high-harmonic ICE** with the appearance of **nonmodal filament**
- C. **Rapid transition into wide-band emission** at the onset of **the filament burst**
- D. **Short burst *with frequency chirp*** during the pedestal collapse

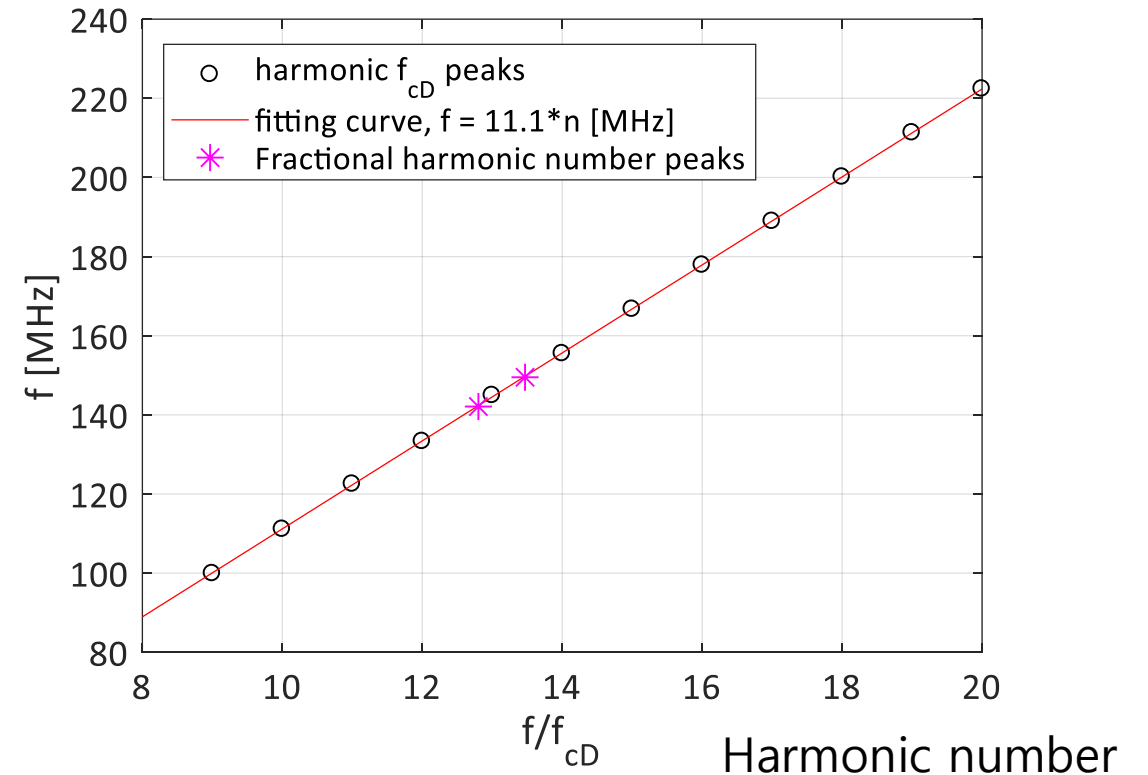
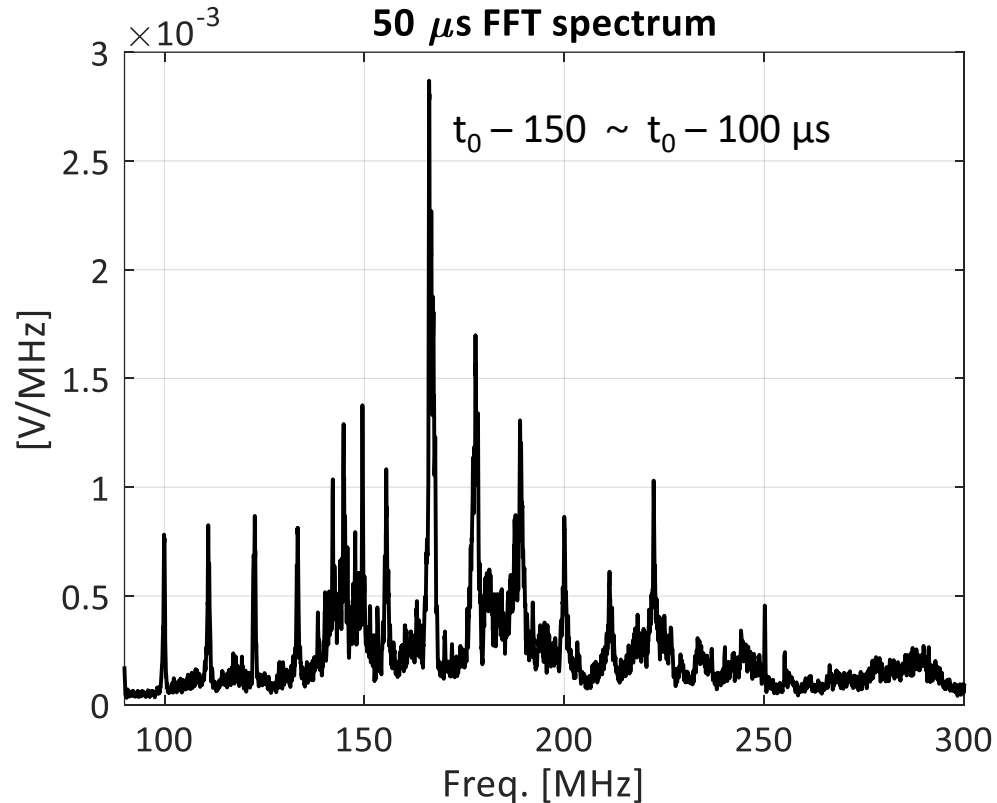


\* Kim Minho, NF 2018

KSTAR#16176, RF spectra

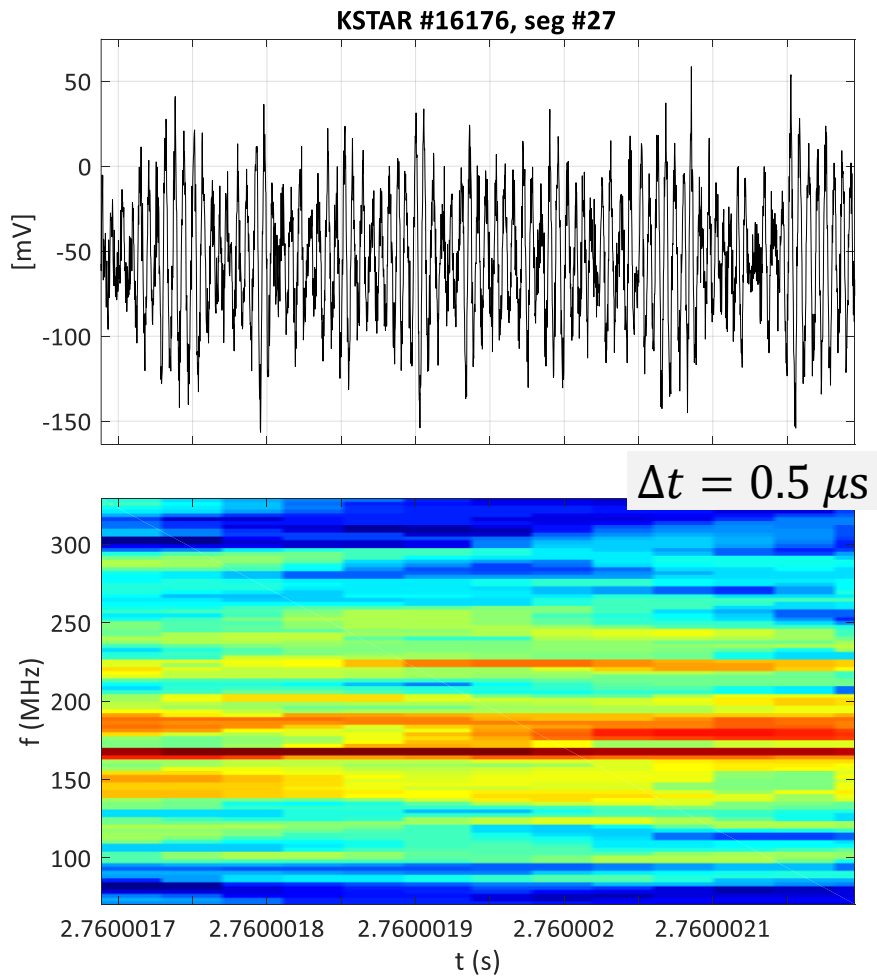
( $t_0 = 2.760179$  s: onset of the collapse)

# Stage A: Harmonic ICE

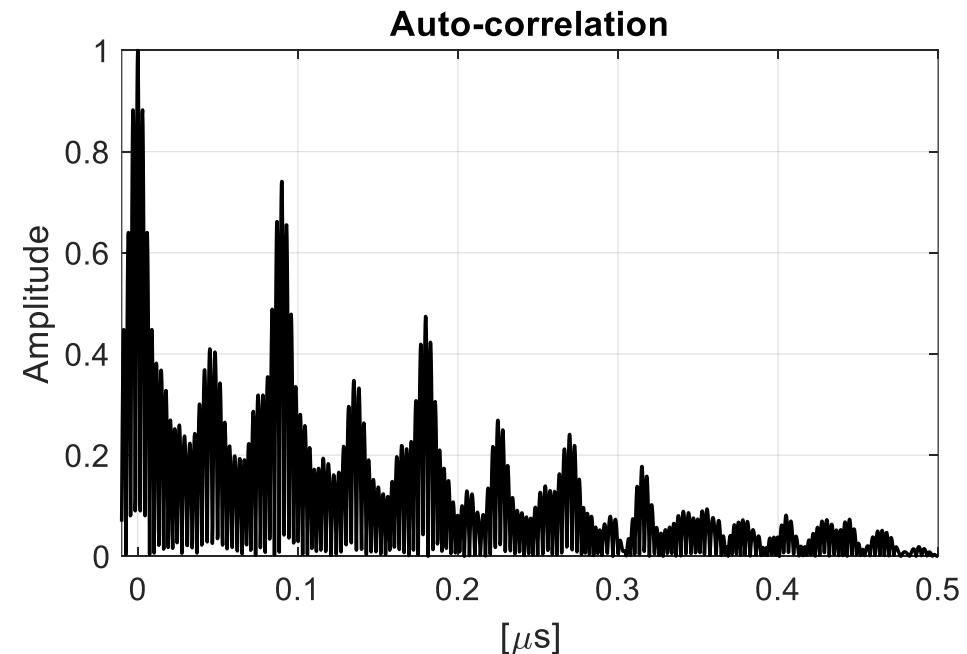


The spacing between the peaks is deuterium cyclotron frequency ( $f_{cD}$ ) at the outboard edge region. ( $R = 221 \pm 2.3$  cm,  $f_{cD} = 11.1 \pm 0.1$  MHz for this example)

# Phase relationship among the harmonics? **YES**

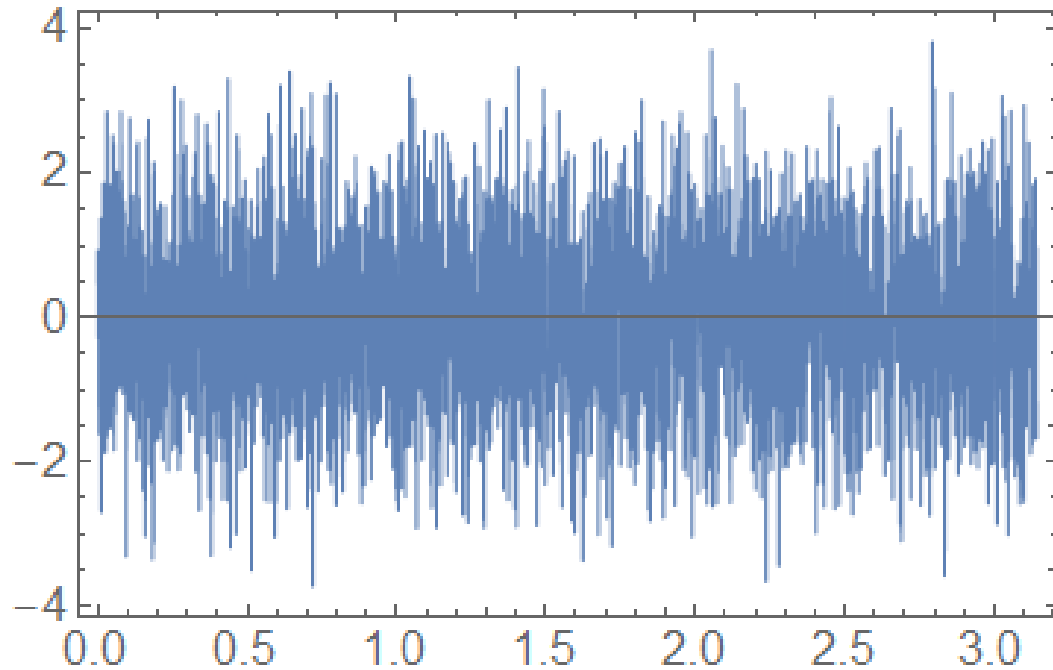


- Amplitude modulation with  $f_{CD}$
  - Peaks spaced by  $\tau_{CD} = 1/f_{CD}$  in auto-correlation
- **Temporally (or spatially) localized excitation**



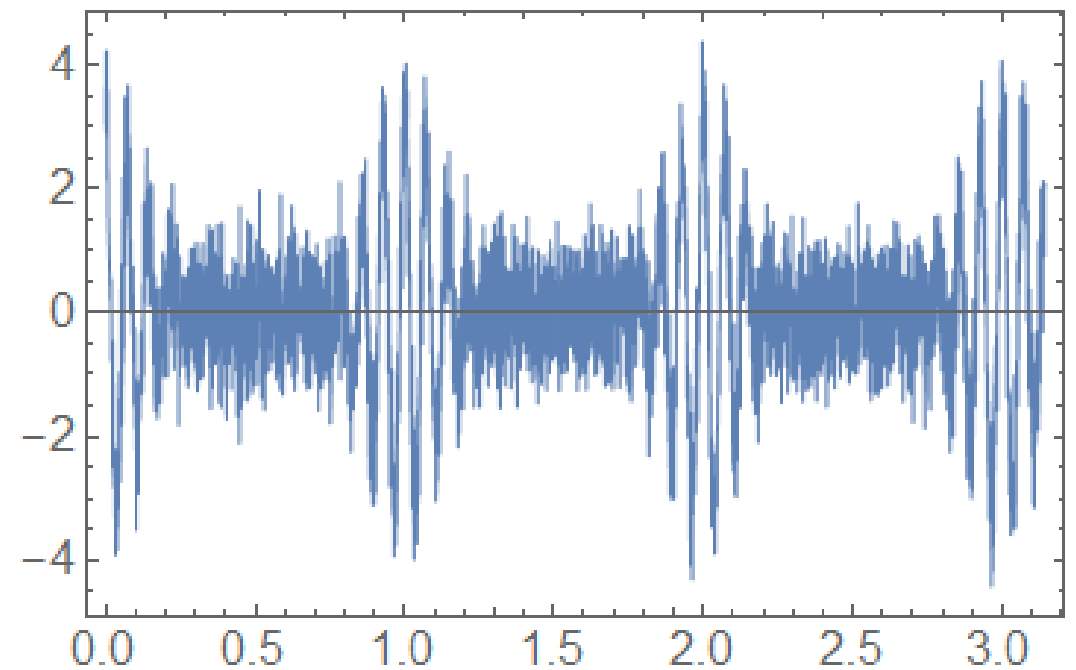
## Random Phase

$$\sum_{m=8}^{20} e^{-\frac{(m-14)^2}{4}} \cos(2\pi mt + \delta(t)) + \text{noise}(t)$$



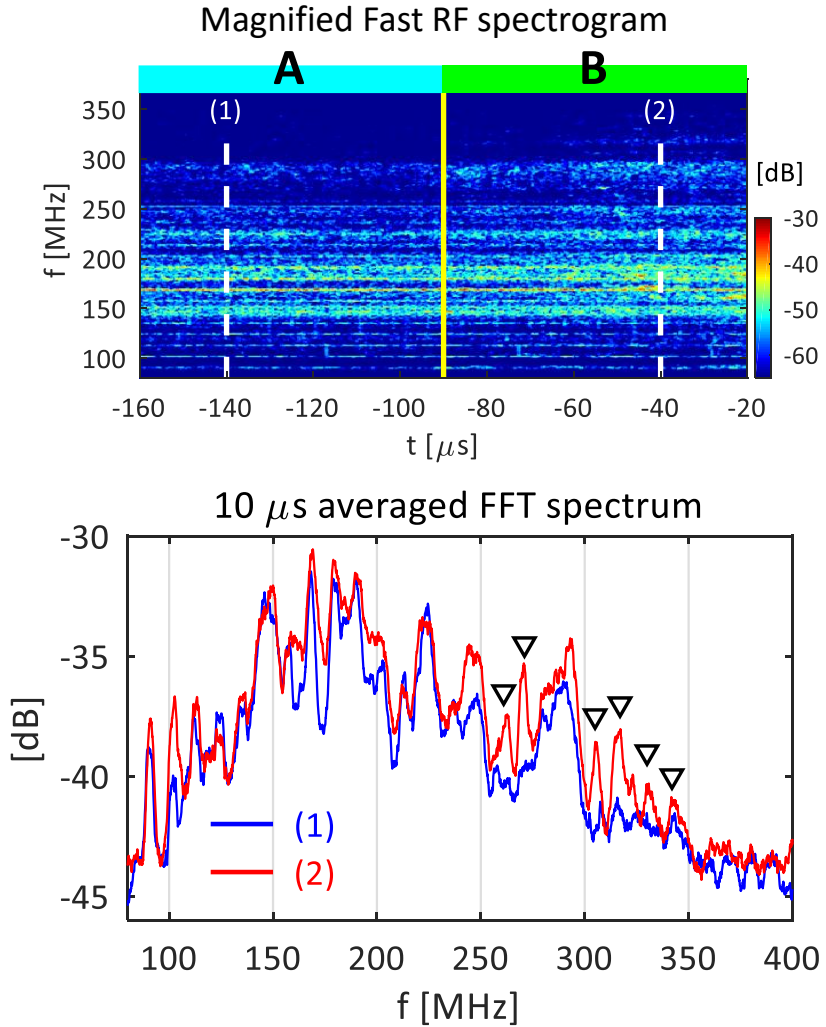
## Fixed Phase

$$\sum_{m=8}^{20} e^{-\frac{(m-14)^2}{4}} \cos(2\pi mt + \delta_0) + \text{noise}(t)$$

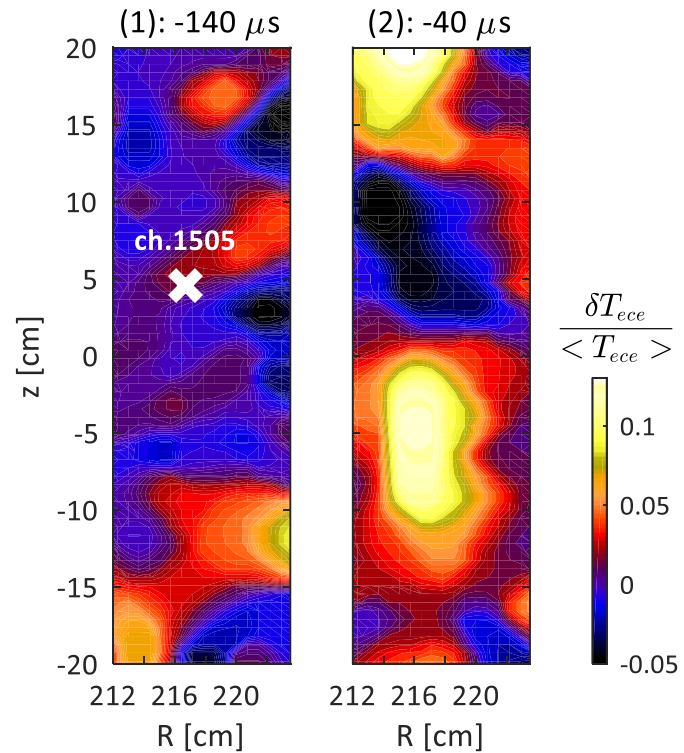




# Stage → B: Intensification of high-harmonic ICEs

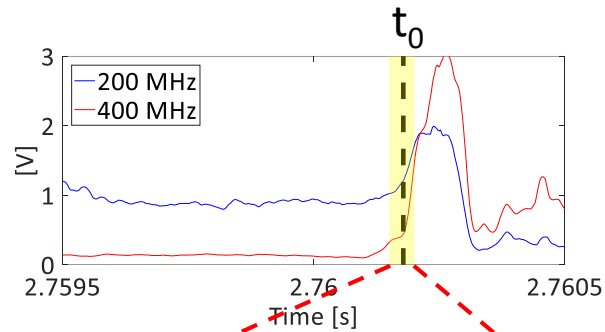


ECE images of (1) and (2),  
(0.5 – 60 kHz FFT band-pass filter)

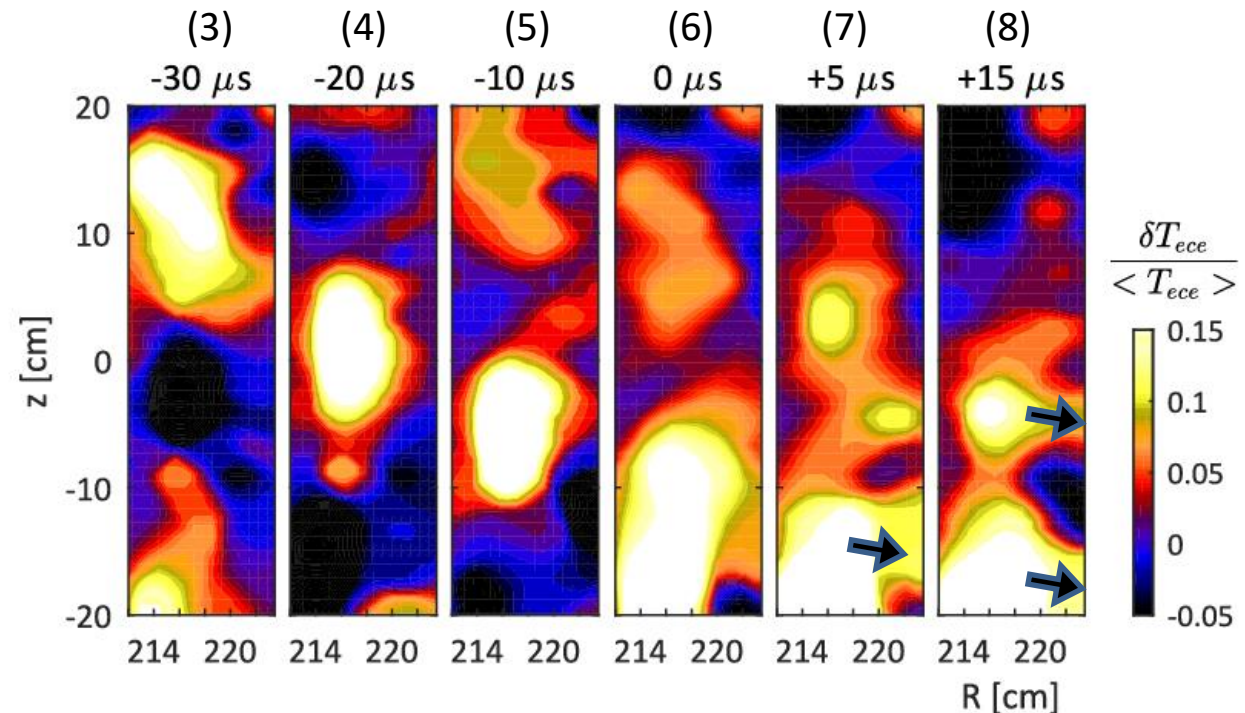
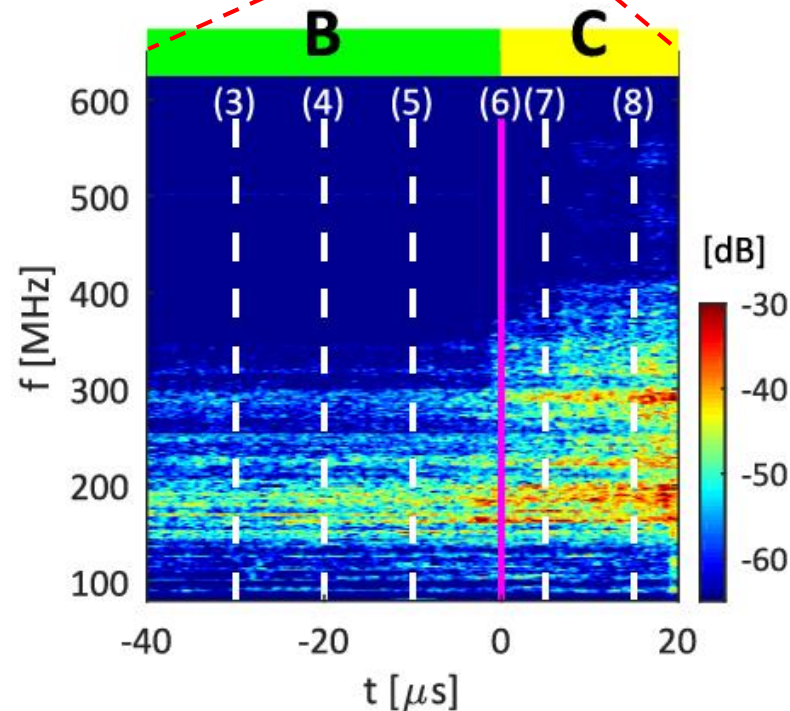


*coincides with the emergence of a nonmodal filament.*

# Stage →C: Rapid transition into wide-band emission



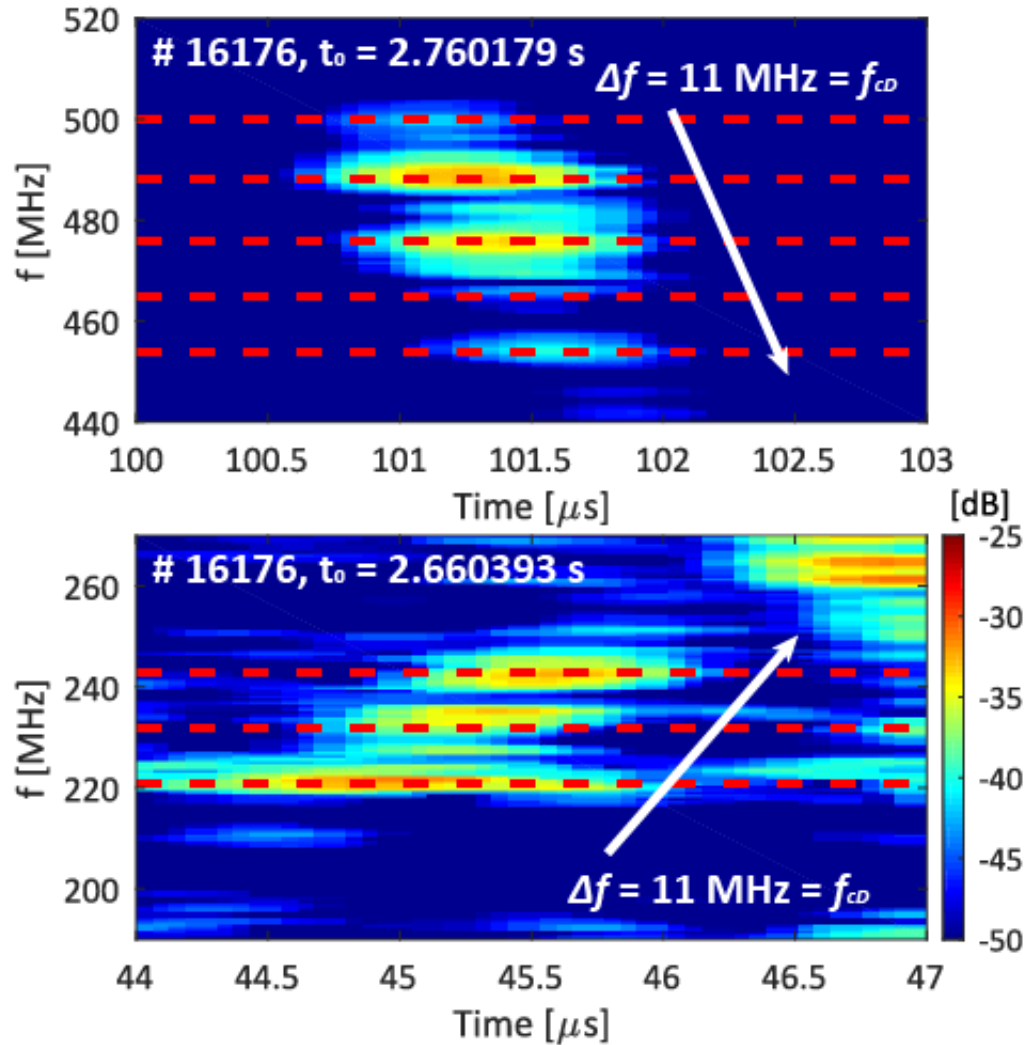
*coincides with the burst of the nonmodal filament.*



\* Kim Minho, NF 2018



# Stage D: Additional short bursts with chirping



- During the pedestal collapse, additional filament bursts occur several times with **rapid up/down chirp** in RF spectrum.
- The chirping occurs in step of  $f_{CD}$  at outboard mid-plane edge region.
- Sometimes, chirpings with  $f_{CH}$  are also observed.\*

\* Thatipamula SG, PPCF 2016  
Chapman B, NF 2017

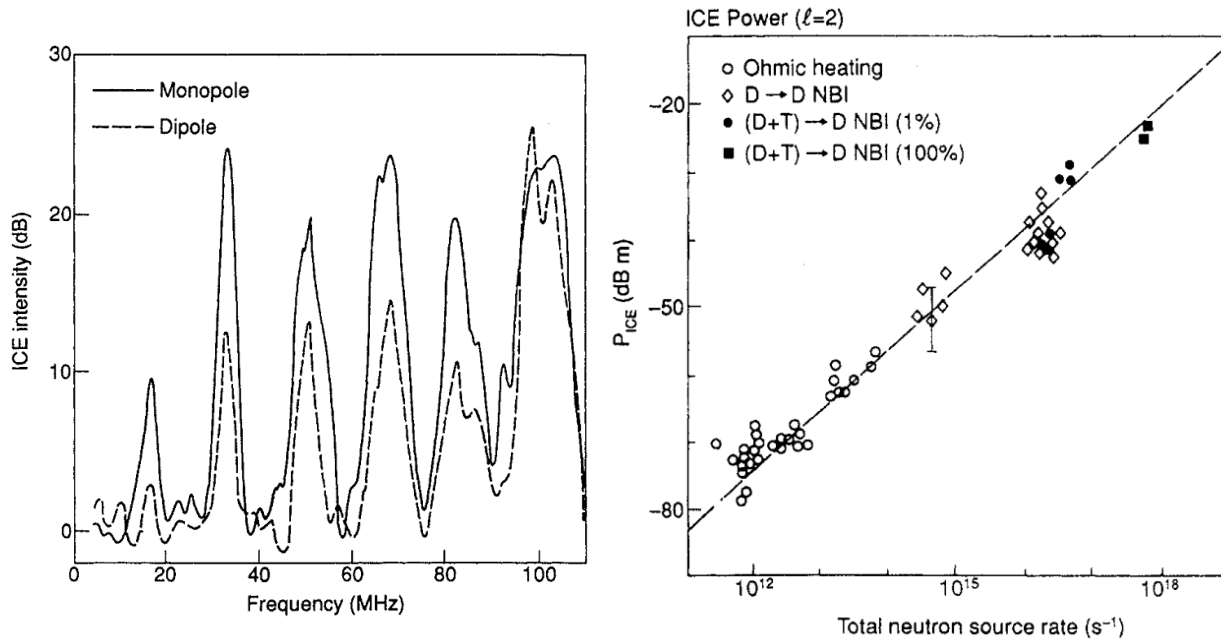
# IV. Discussion

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## What is causing the harmonic ICE?

- Energetic beam ions or fusion-born ions  $\rightarrow$  Magneto-acoustic Cyclotron Instability (MCI)
- Finite  $E_r \rightarrow$  IC waves (w/ finite  $k_z$ )
- Parallel shear flow (Mikhailenko PoP2017)

# Candidate #1: Magneto-acoustic ion cyclotron instability (MCI)



(left) ICE spectrum measured by ICRH antenna  
(right) ICE intensity vs. neutron source rate

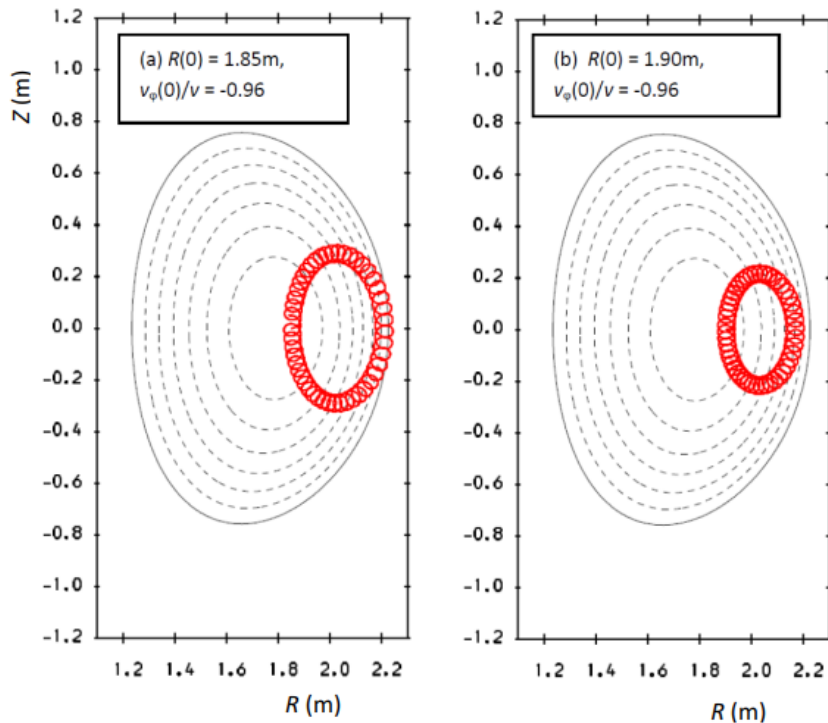
Cottrell GA, NF, 1993

- In 1990s, harmonic ICEs were observed in JET deuterium-tritium (D-T) experiments:
  - 1) Harmonics of  $f_{c\alpha}$  (or half-harmonics of  $f_{cH}$ ) in the outboard edge region are detected.
  - 2) ICE intensity (2<sup>nd</sup> harmonic) linearly proportional to the neutron source rate.
- These observations imply that the **fusion-born  $\alpha$  particles** may be associated with ICE in JET D-T experiments.

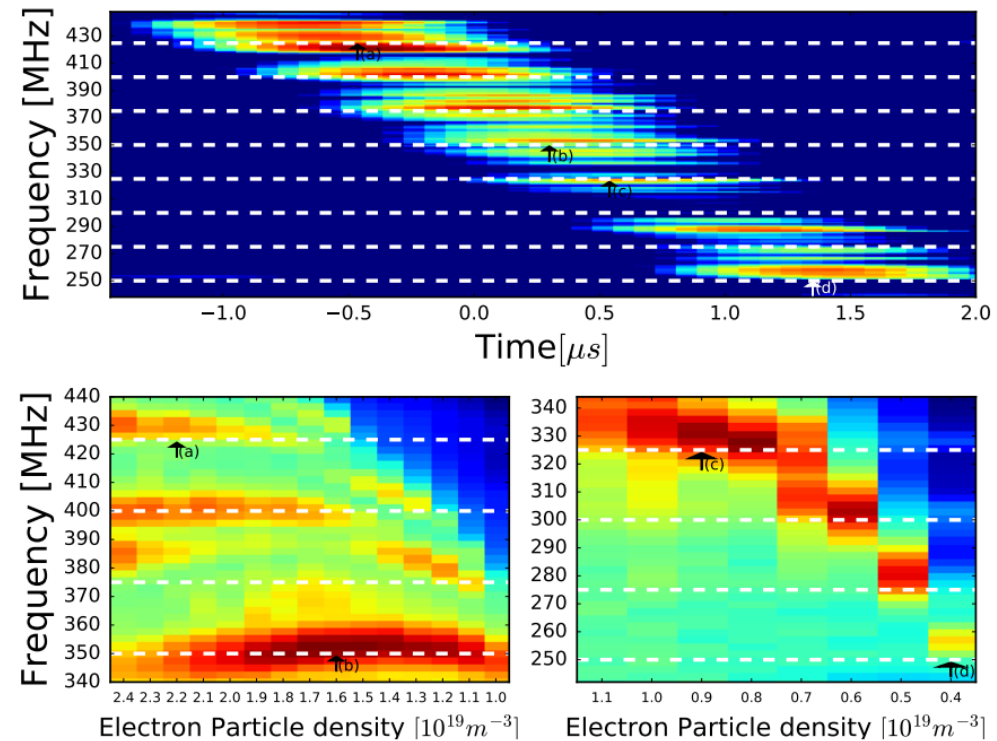
- Fast ions with large radial excursion can make the population inversion in velocity space at the outer mid-plane edge region. This can drive a fast-Alfvénic instability called magneto-acoustic cyclotron instability (MCI)†.

† Dendy RO, NF 1994;  
 Dendy RO, EPS 2017  
 \* Chapman B, NF 2017

**(Stage D)** The rapid  $f_{CH}$  chirping can be caused by the rapid decrease/increase of local electron density during the pedestal collapse.\*

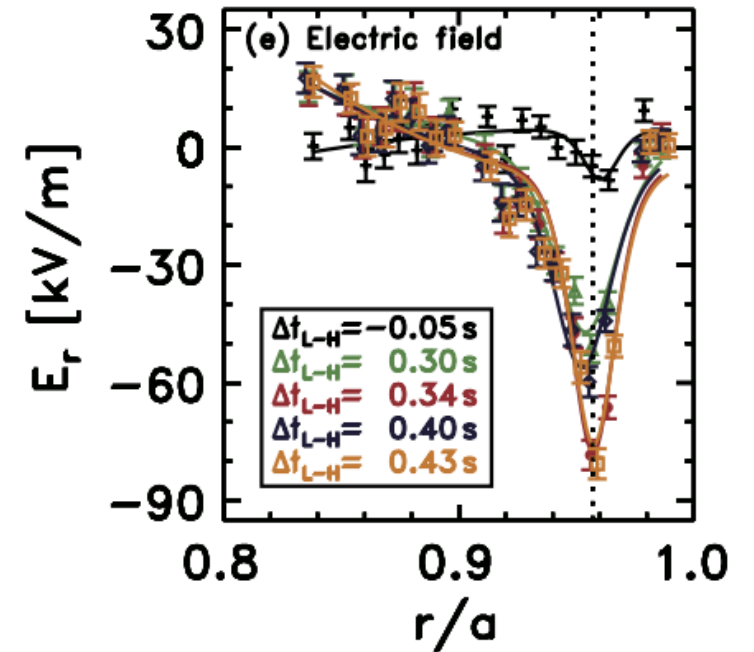


Poloidal projection of 3 MeV fusion proton orbits (produced by D-D fusion)



# Candidate #2: IC waves driven by $E_r$

- In H-mode discharges, strong radial electric field ( $E_r \sim 10$  kV/m,  $V_E \sim 10$  km/s) with large gradient ( $\partial V_E \sim 1$  MHz) is formed near the plasma periphery<sup>(1,2)</sup>.
- $\mathbf{E} \times \mathbf{B}$  velocity and its shear can be intensified near the onset of the pedestal collapse<sup>(3)</sup>.
- Experiments<sup>(4)</sup> and modeling<sup>(5)</sup> for ionospheric plasma suggested that localized  $\mathbf{E} \times \mathbf{B}$  flow can drive IC waves.



(1) Kamiya, Sci. Rep 2016; (2) Lee KC PoP 2017.

(3) Morales JA, NF 2016

(4) Tejero EM, PRL 2011

(5) Peñano, J. Geophys. Res. 2002

## Maxwell-Boltzmann distribution + Integration along the unperturbed trajectory

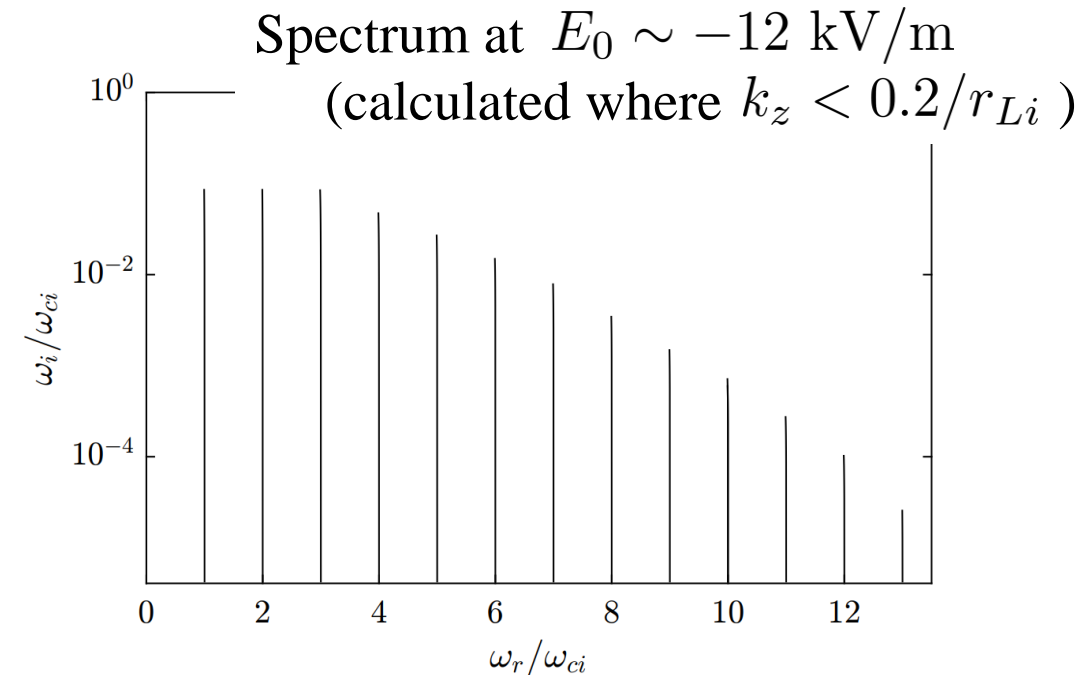
$$\mathcal{D}(x, \omega, k) = 1 + \sum_{\sigma} \frac{e^{-\frac{x}{L_{\sigma}}}}{k^2 \lambda_{D\sigma}^2} \left( 1 + \alpha_{0\sigma} e^{-\Lambda_{\sigma}} \sum_{n=-\infty}^{\infty} I_n(\Lambda_{\sigma}) Z(\alpha_{n\sigma}) \right)$$

$$\omega_i = - \frac{\mathcal{D}_i(\omega_r)}{(\partial \mathcal{D}_r / \partial \omega)_{\omega=\omega_r}} \simeq \frac{\sqrt{\pi}}{2} k_z v_{Ti}$$

✓ For given  $E_0(x)$ ,  $\omega_r$ , and  $k_y$ , the wavenumber  $k_z$  can be determined from the dispersion relation.

$$\Lambda_{\sigma} = k_y^2 r_{L\sigma}^2, \quad \alpha_{n\sigma} = \frac{\omega - \omega_{\sigma}^* - n\omega_{c\sigma}}{k_z v_{T\sigma}}$$

$$Z(\alpha_{n\sigma}) = \frac{1}{\sqrt{\pi}} \int_{-\infty}^{\infty} d\xi_{\sigma} \frac{\exp(-\xi_{\sigma}^2)}{\xi_{\sigma} - \alpha_{n\sigma}}: \text{ plasma dispersion function}$$



- **Ion cyclotron harmonic waves are easily excited in the boundary of tokamak plasma.**

Strongly correlated with edge MHD instabilities:

- Intensified high harmonic ICE ~ Nonmodal filament
  - Wide-band emission ~ Filament burst (magnetic reconnection)
- 
- **Useful for study (diagnostic) of edge MHD instabilities and energetic ions in magnetized plasma.**

# Implication of whistler waves and ICEs on magnetic reconnection

$$\eta_{\pi} = \mu_0 \delta_e^2 / \tau_{\pi} \quad : \text{viscous resistivity}$$

$$\eta_{\text{rad}} \sim \mu_0 \delta_e^2 / \left( \frac{m_e}{m_i} \tau_{\text{rec}} \right) \quad : \text{radiative resistivity}$$

$$\eta_{\text{coll}} \sim \mu_0 \delta_e^2 / \tau_{ei} \quad : \text{collisional resistivity}$$

$$\tau_{\pi} = \frac{1}{3\pi\tilde{\pi}} \lambda_{\parallel} / v_{Te}$$

$\tau_{\text{rec}}$  : reconnection time, experimental

$\tau_{ei}$  : electron – ion momentum collision time

$$\frac{\partial}{\partial t} \left( \frac{\tilde{B}^2}{2\mu_0} \right) = \boxed{-\tilde{\mathbf{J}} \cdot \tilde{\mathbf{E}}^*} - \nabla \cdot \left( \frac{\tilde{\mathbf{E}}^* \times \tilde{\mathbf{B}}}{\mu_0} \right)$$

$$\Re \left[ \tilde{\mathbf{J}} \cdot \tilde{\mathbf{E}}^* \right] \approx \delta_e^2 \mu_0 \left( \frac{3\tilde{\pi}}{2} k_{\parallel} v_{Te} \tilde{J}_{\parallel}^2 \right) + \Re \left[ \tilde{\mathbf{U}}^* \cdot \left( \tilde{\mathbf{J}} \times \mathbf{B}_0 \right) \right]$$

*Viscous dissipation    Work done by  $\mathbf{J} \times \mathbf{B}$  force*

† Yun and Ji (to be upload to ArXiv)

In high-temperature magnetized plasma, typically  $\eta_{\pi} \sim \eta_{\text{rad}} \gg \eta_{\text{coll}} \rightarrow$  **Fast reconnection.**

e.g. For a magnetic reconnection event with length and time scales  $\lambda_{\parallel} = 1$  m,  $\tau_{\text{rec}} = 10$   $\mu\text{s}$  in high-temperature hydrogen plasma with  $T_e = 2$  keV and  $n_e = 5 \times 10^{19} \text{ m}^{-3}$  ( $\rightarrow \delta_e \approx 1$  mm,  $\tau_{\parallel} \approx 0.015$   $\mu\text{s}$ ,  $\gg \tau_{ei} \approx 40$   $\mu\text{s}$ )

$$\eta_{\pi} \sim 5 \times 10^{-5} \Omega \cdot \text{m} \quad \gg \quad \eta_{\text{coll}} \sim 2 \times 10^{-8} \Omega \cdot \text{m}$$

$$\eta_{\text{rad}} \sim 10 \times 10^{-5} \Omega \cdot \text{m}$$



# \* Acknowledgement:

## Students:

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## Collaborators:

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H.K. Park, M. Kim (UNIST), K.W. Kim (KNU)



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KNU KYUNGPOOK  
NATIONAL UNIVERSITY

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