



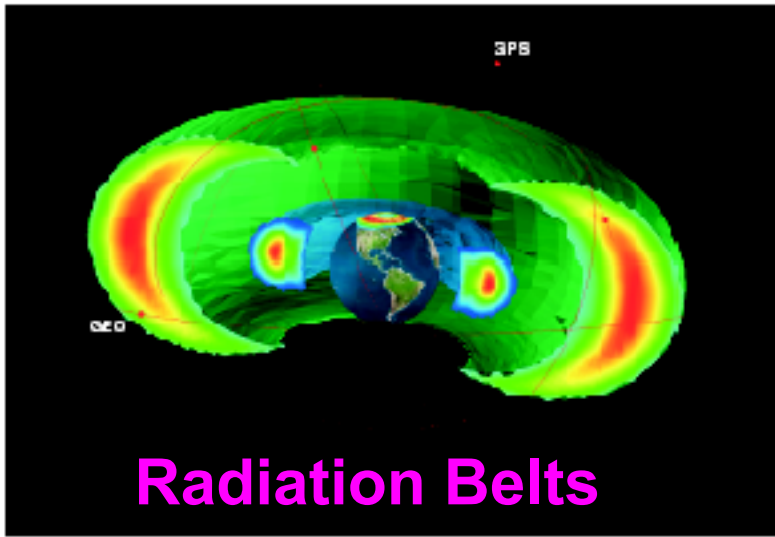
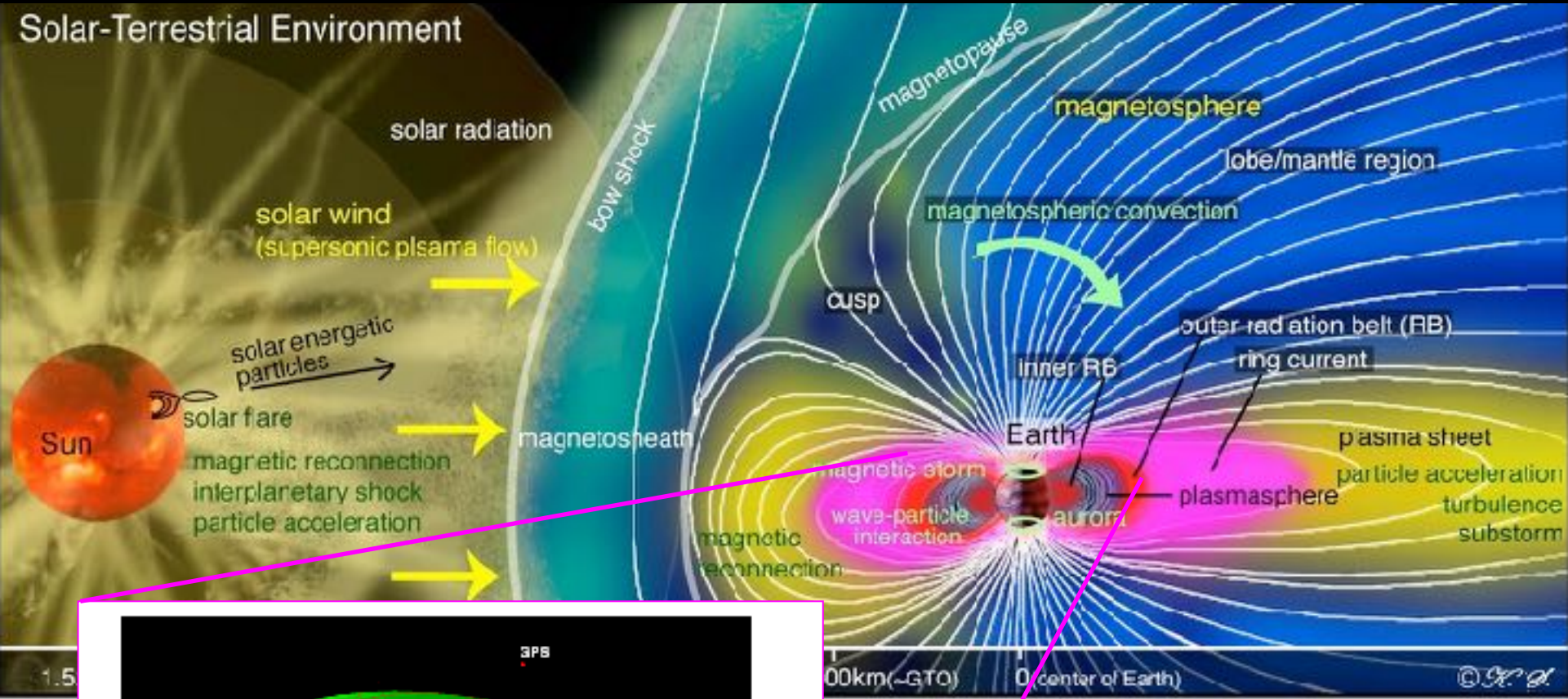
Nonlinear Wave-Particle Interactions in Earth's Inner Magnetosphere

Yoshiharu Omura

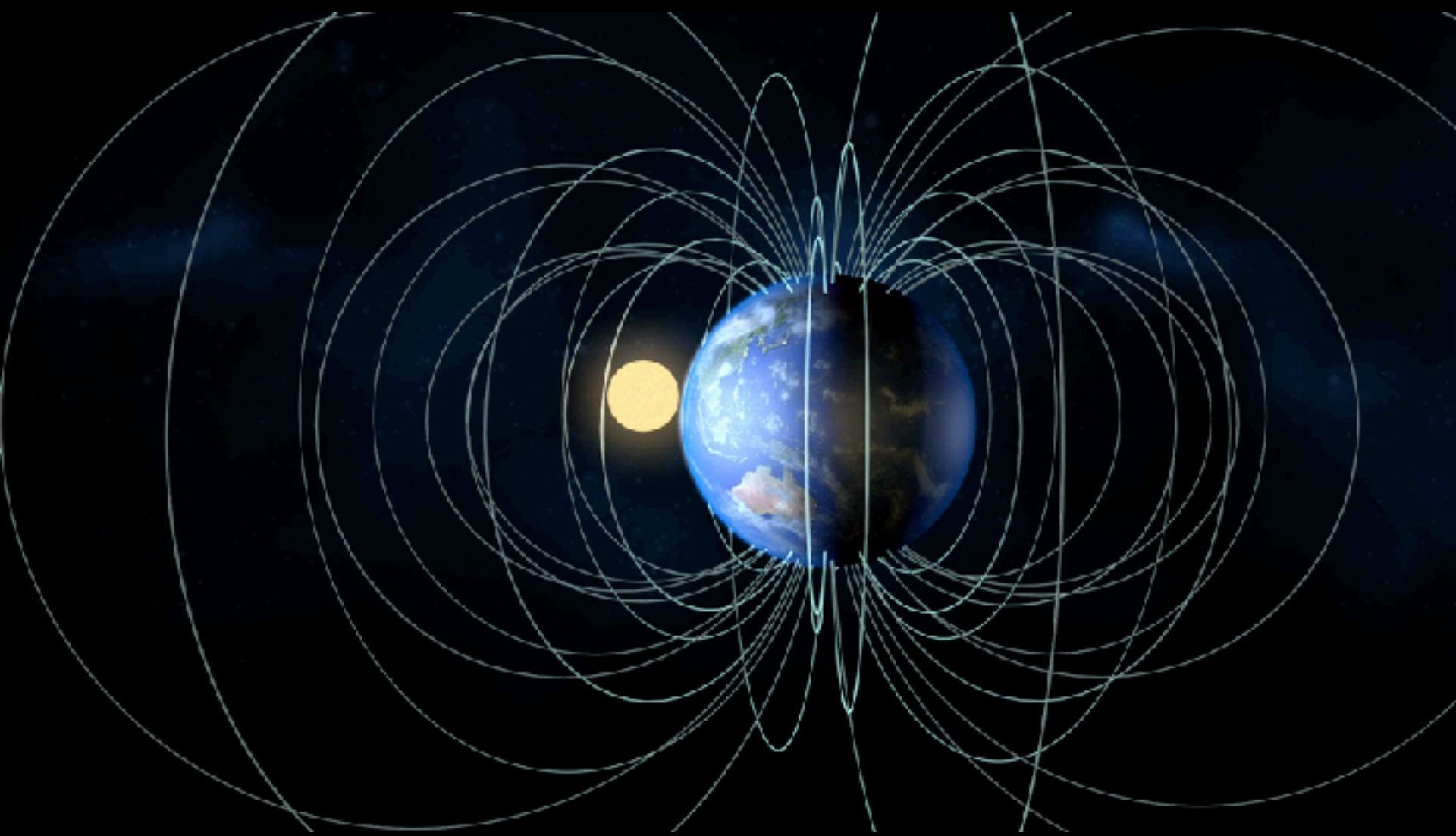
Research Institute for Sustainable Humanosphere,
Kyoto University, Kyoto, Japan
omura@rish.kyoto-u.ac.jp

Geospace

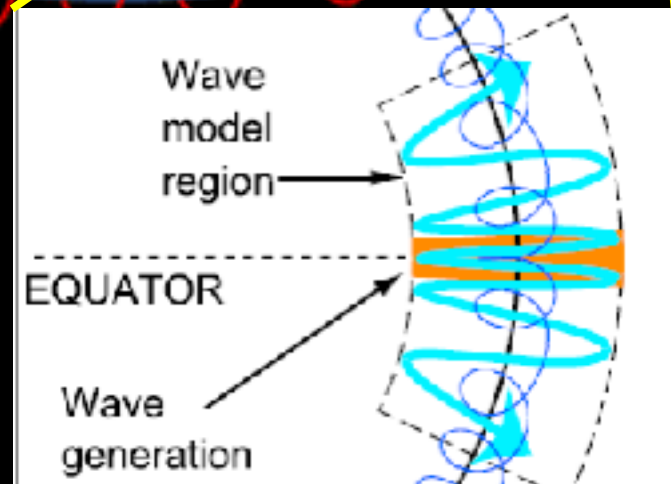
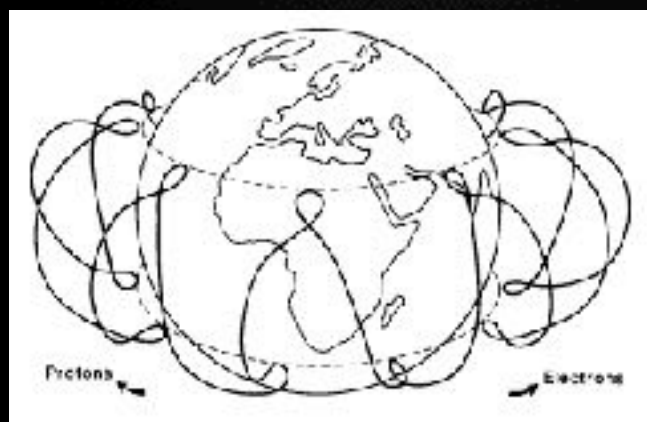
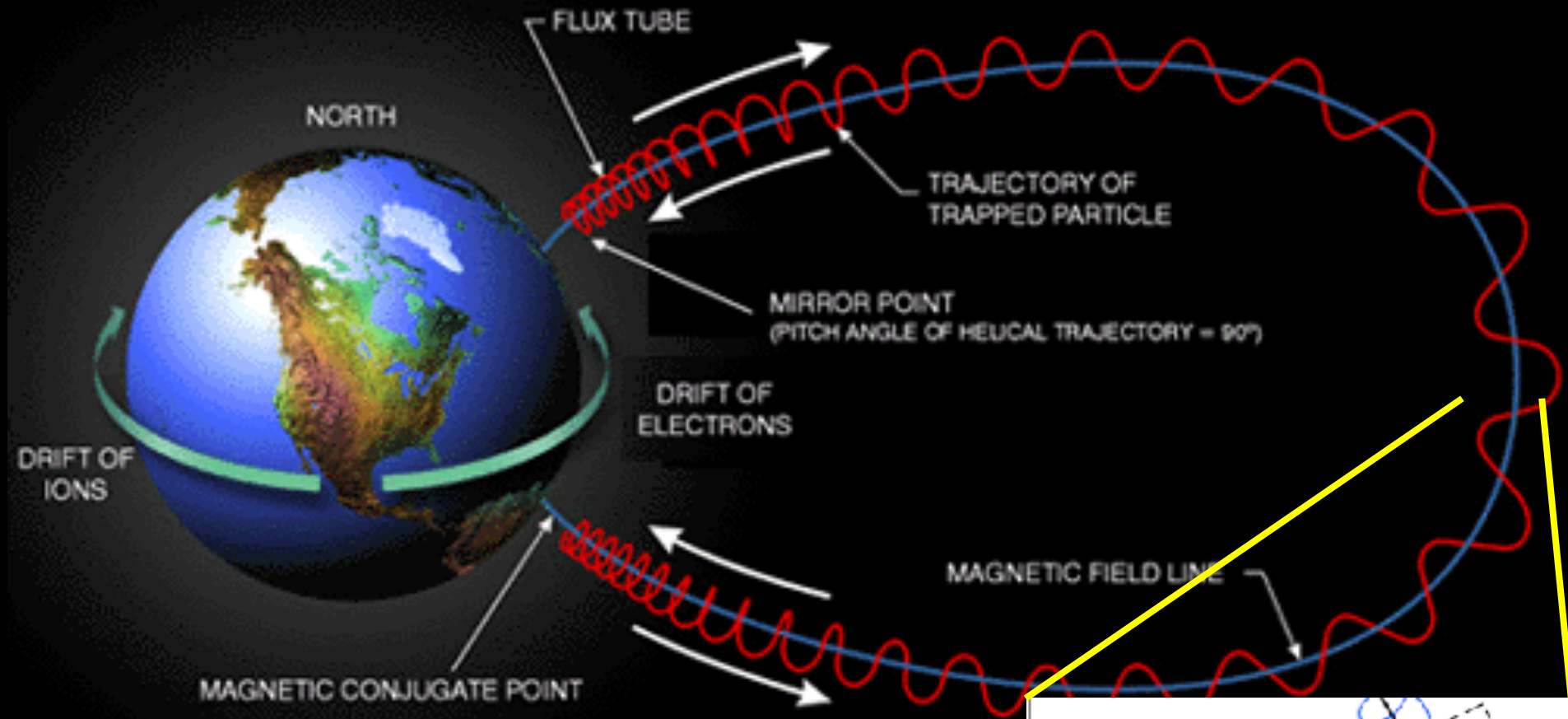
Solar-Terrestrial Environment

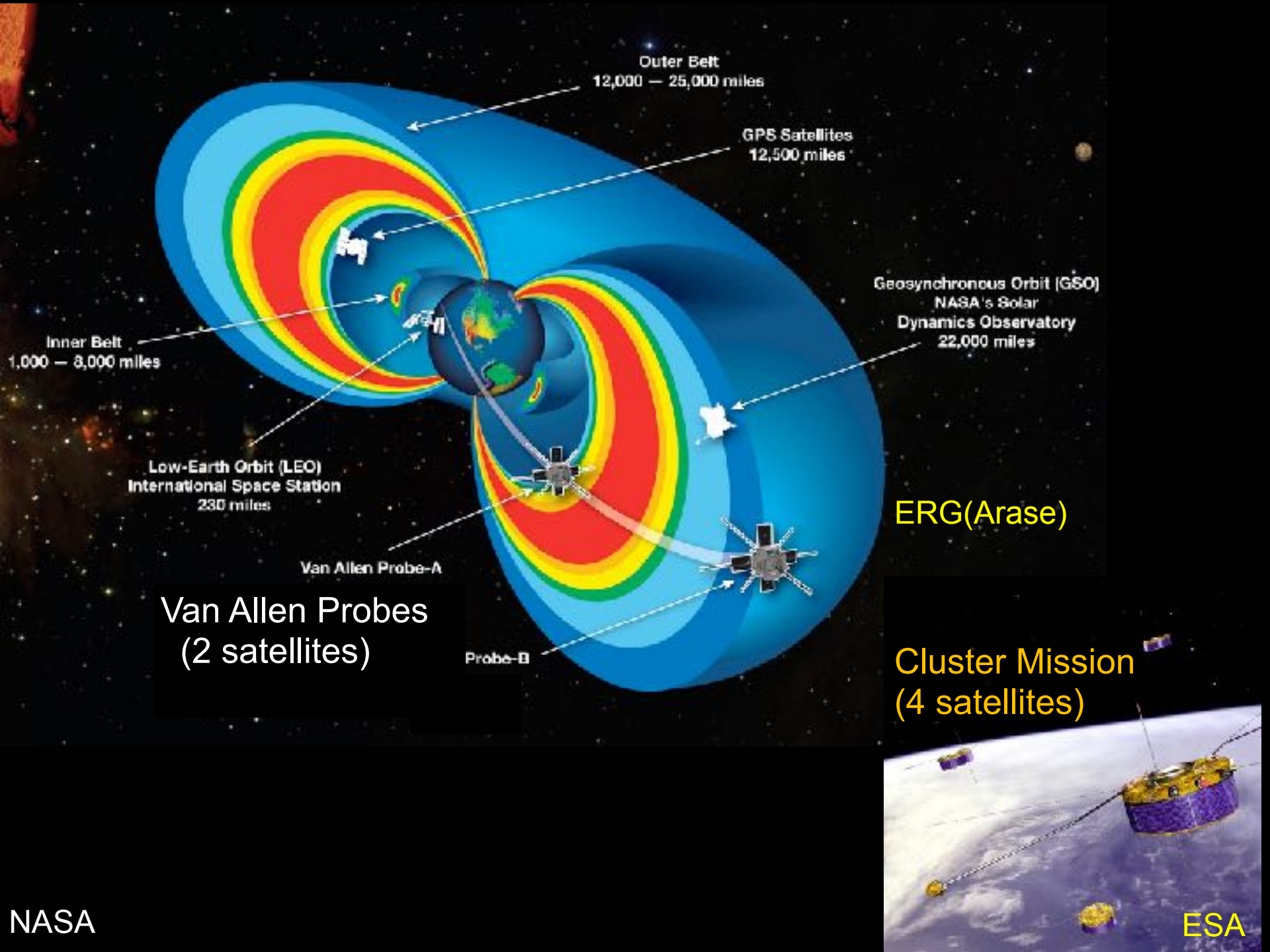


Radiation Belts



Motion of Charged Particles in Dipole Magnetic Field





Outer Belt
12,000 — 25,000 miles

GPS Satellites
12,500 miles

Geosynchronous Orbit [GSO]
NASA's Solar
Dynamics Observatory
22,000 miles

Inner Belt
1,000 — 8,000 miles

Low-Earth Orbit (LEO)
International Space Station
230 miles

Van Allen Probe-A

Probe-B

ERG(Arased)

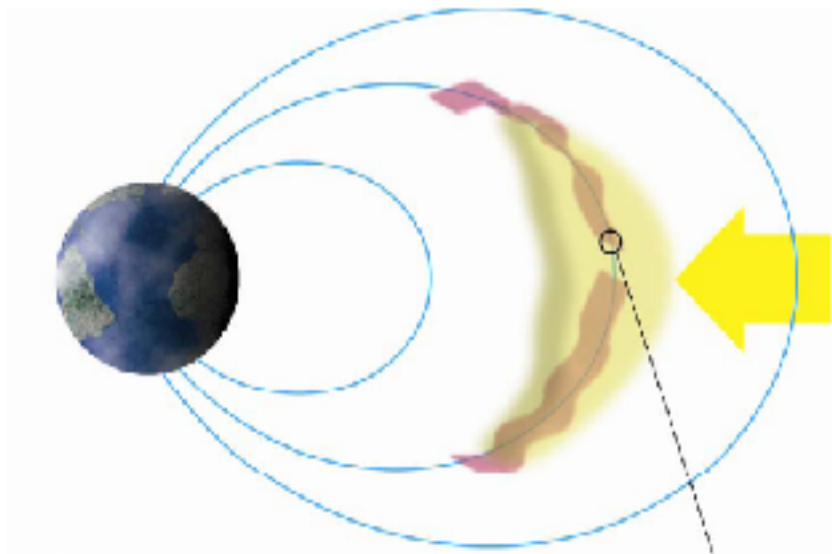
Cluster Mission
(4 satellites)

Van Allen Probes
(2 satellites)

NASA

ESA

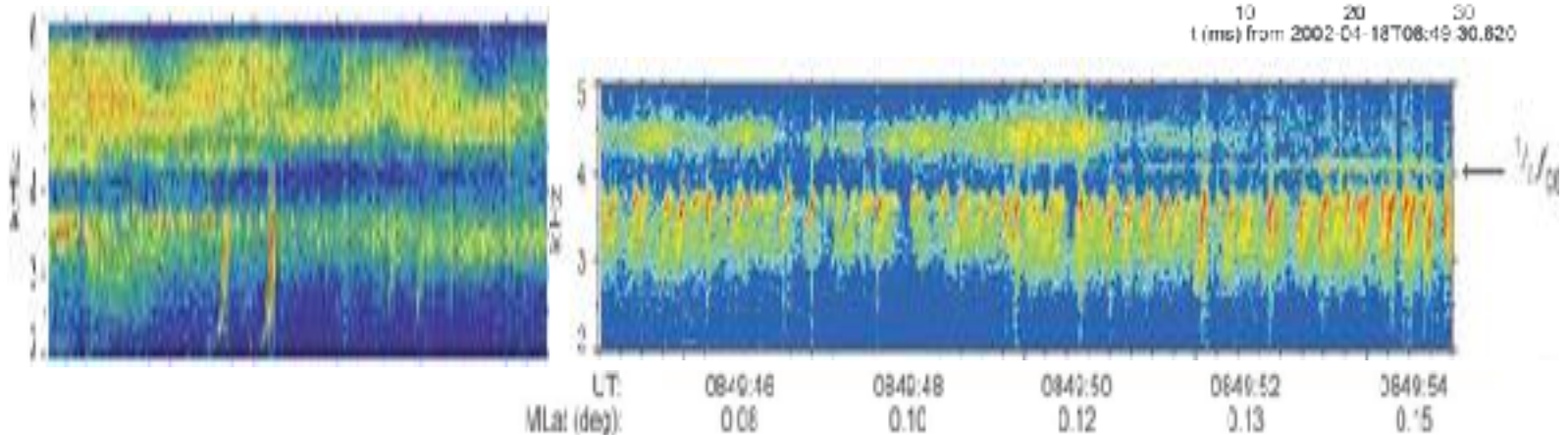
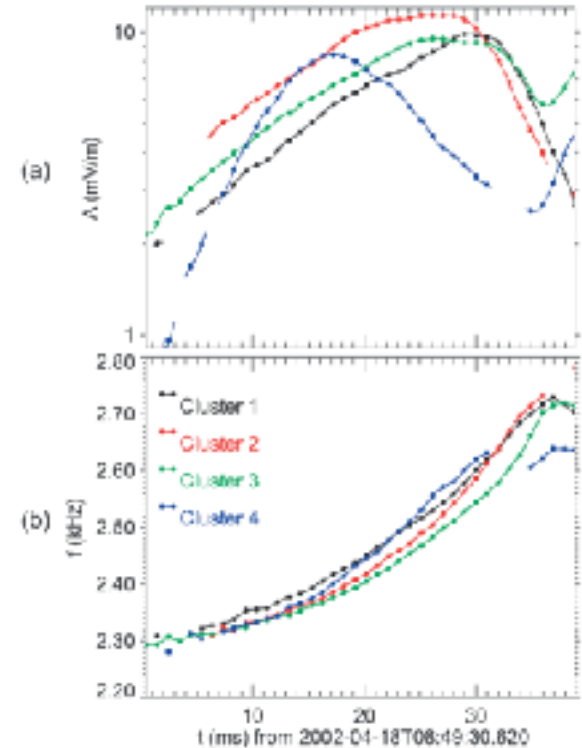
Chorus Emission due to Injection of Energetic Electrons



10 – 100 keV

$$\frac{T_{\perp}}{T_{\parallel}} > 1$$

Cluster observation



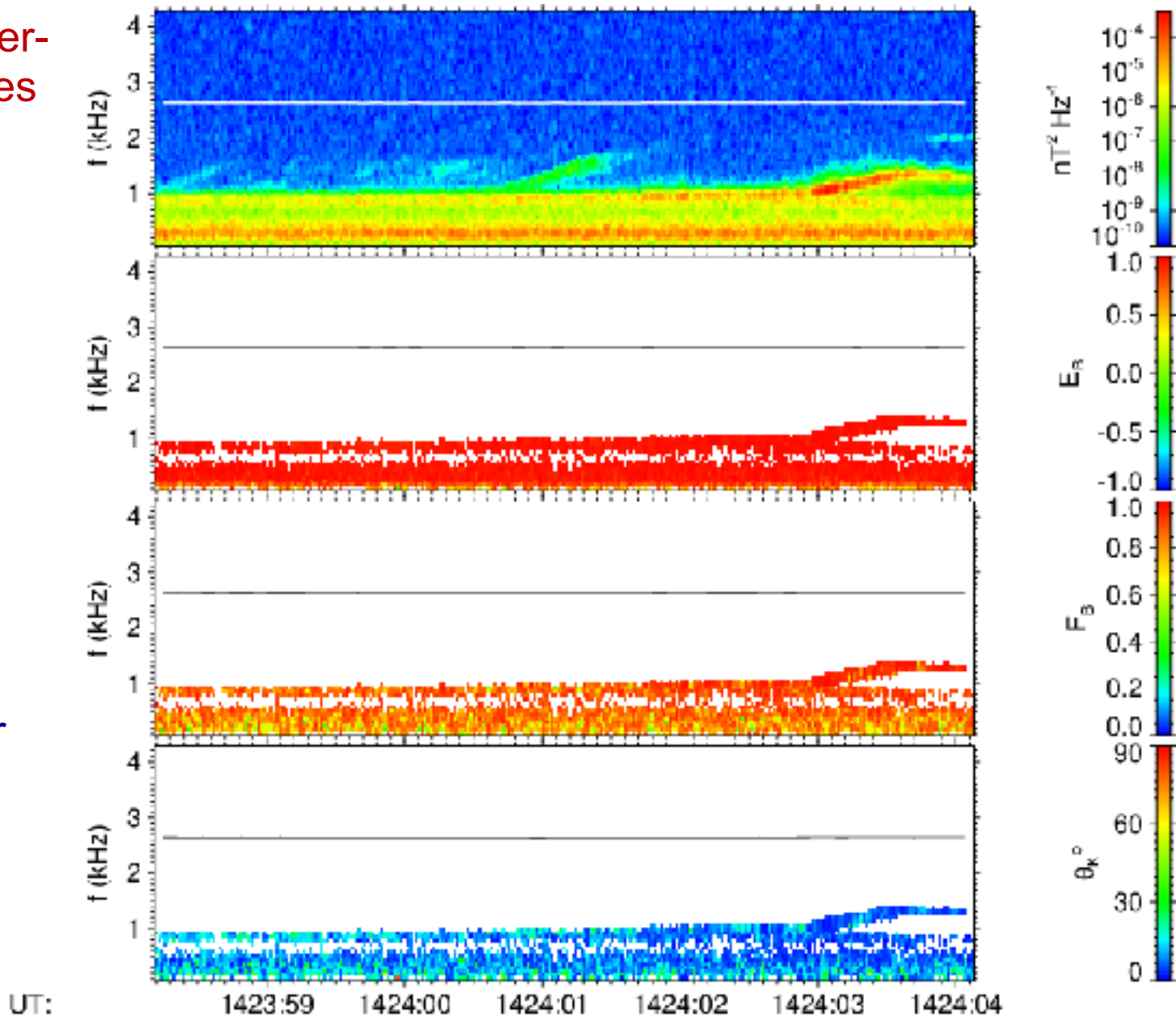
Wave Observation, Van Allen Probe B, 2 July 2014

sum of the power-spectral densities of magnetic components

ellipticity of the magnetic field polarization

planarity of the magnetic field polarization

angle between the wave vector and the background magnetic field



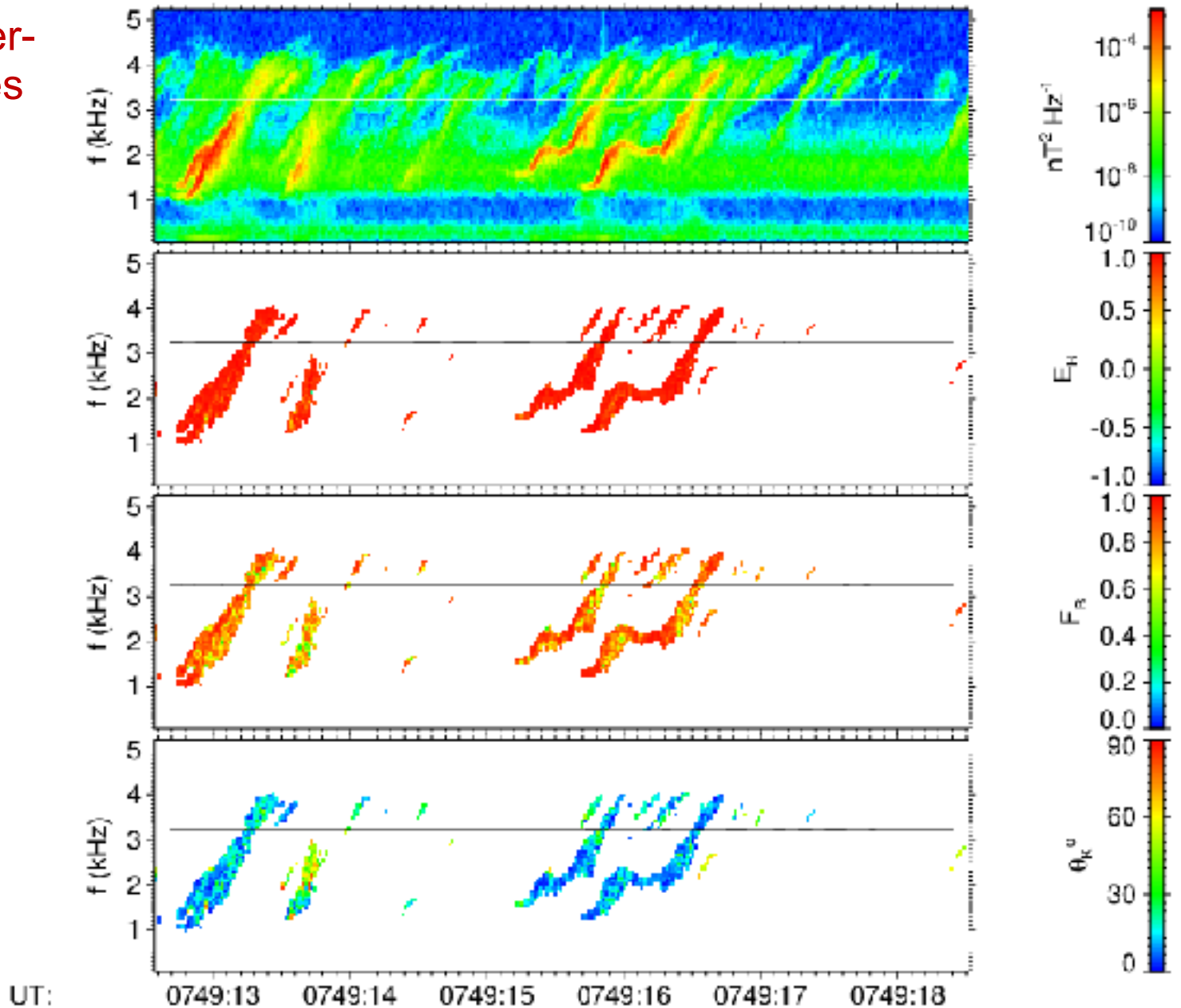
Wave Observation, Van Allen Probe A, 14 April 2014

sum of the power-spectral densities of magnetic components

ellipticity of the magnetic field polarization

planarity of the magnetic field polarization

angle between the wave vector and the background magnetic field



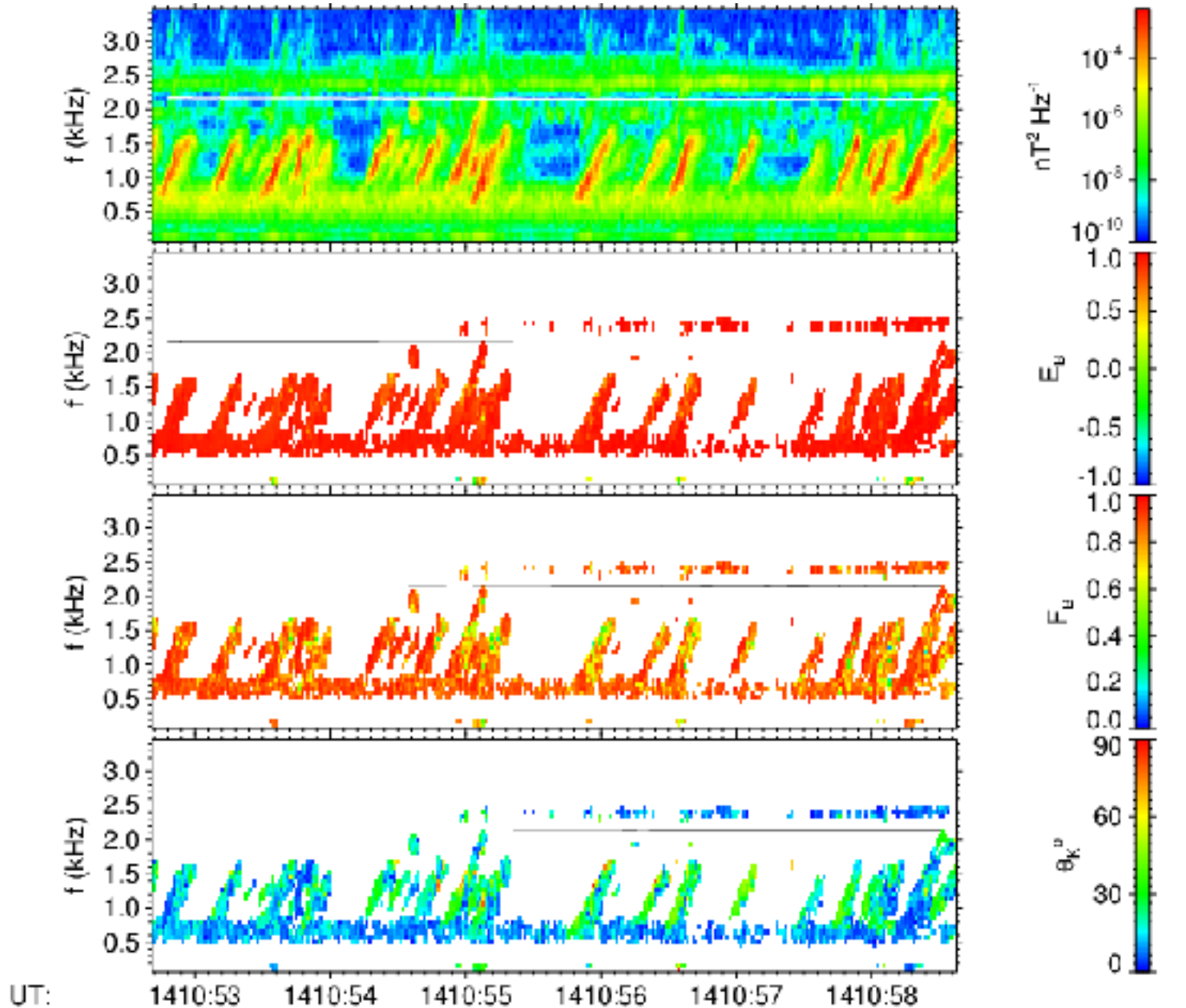
Wave Observation, Van Allen Probe A, 14 Nov 2012

sum of the power-spectral densities of magnetic components

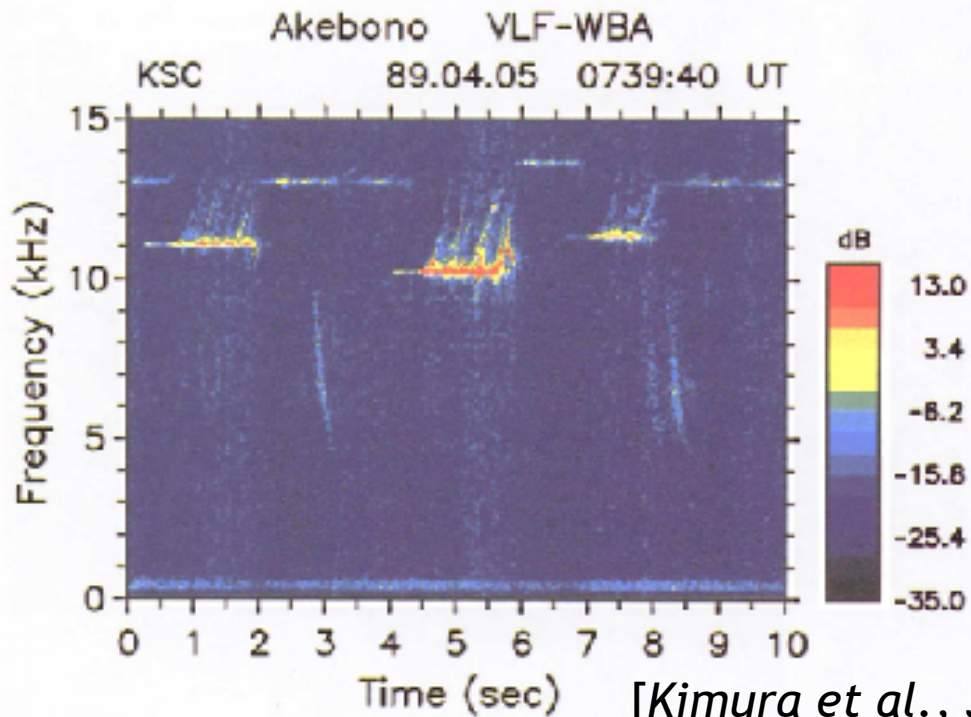
ellipticity of the magnetic field polarization

planarity of the magnetic field polarization

angle between the wave vector and the background magnetic field

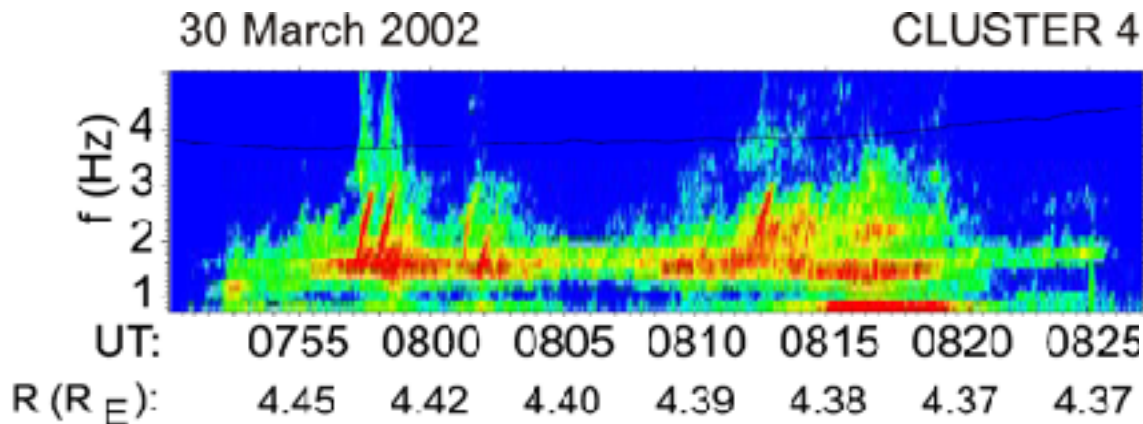


Whistler-mode Triggered Emissions

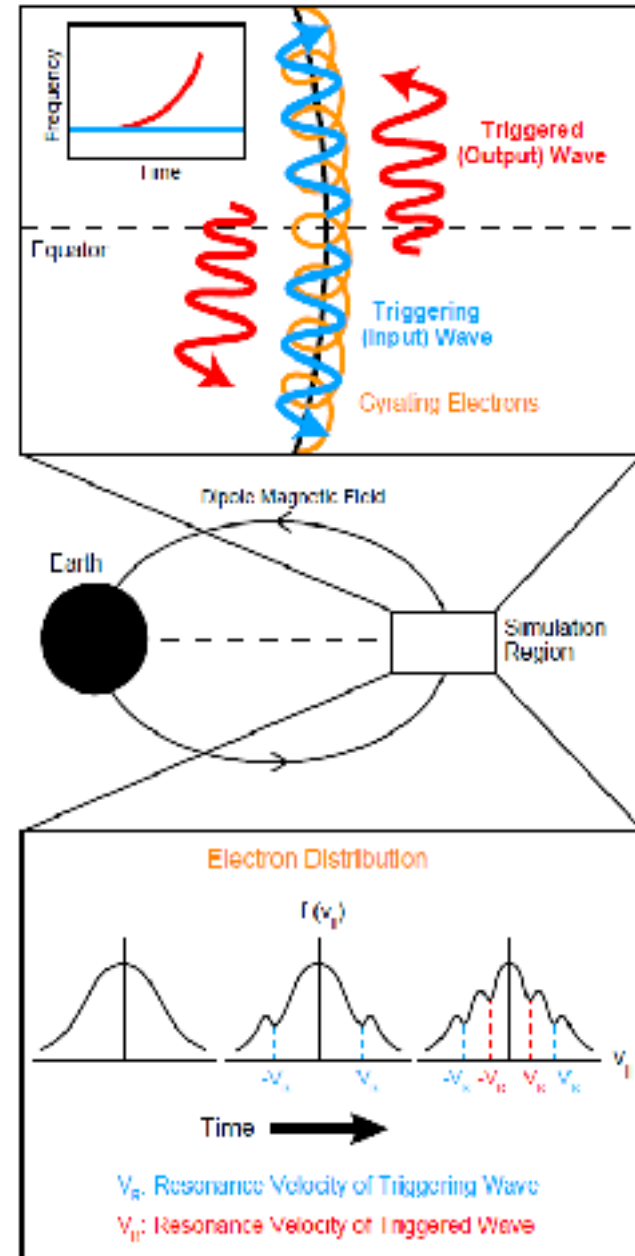


[Kimura et al., JGG, 1990]

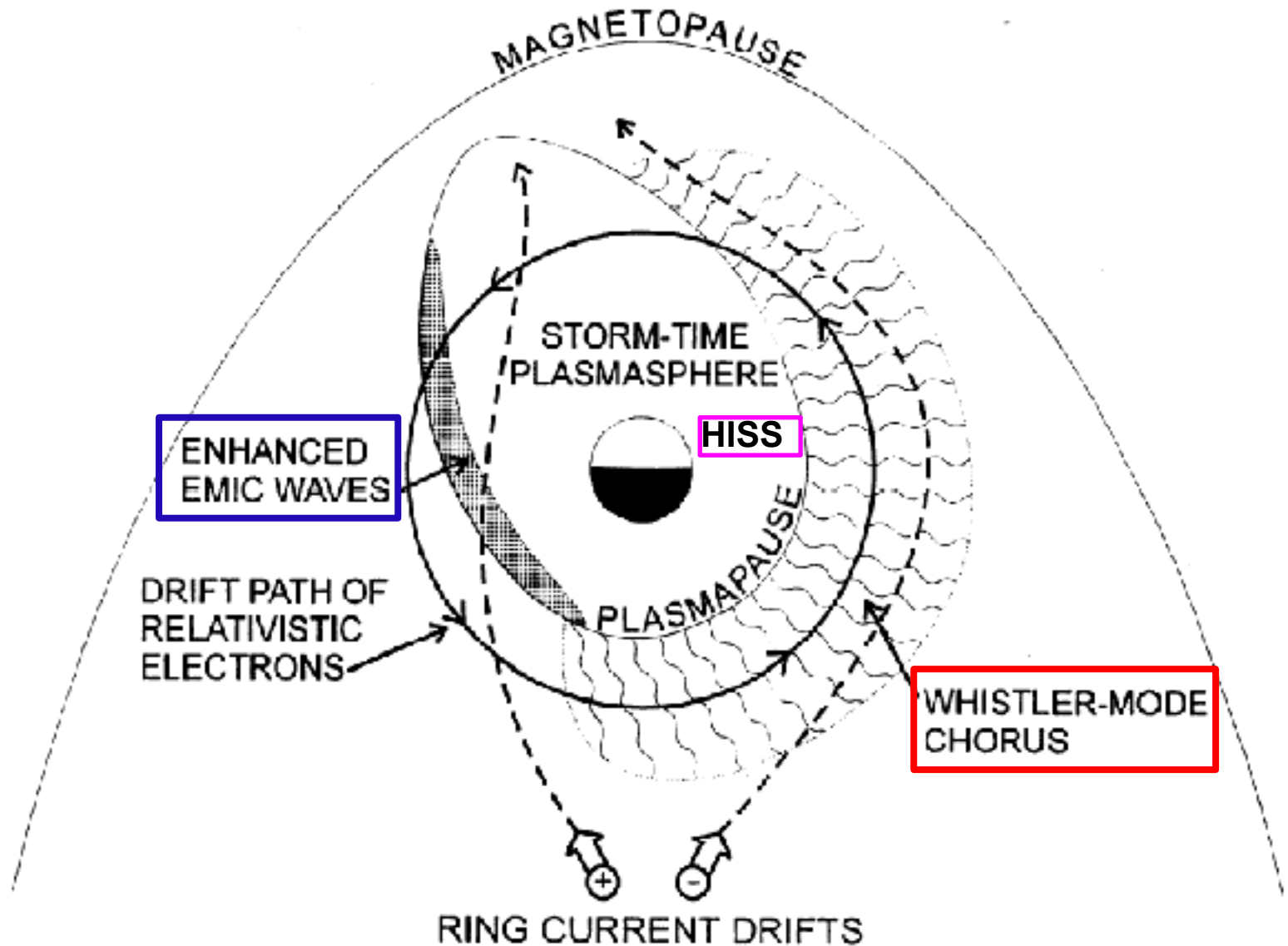
EMIC Triggered Emissions



[Pickett et al., GRL,



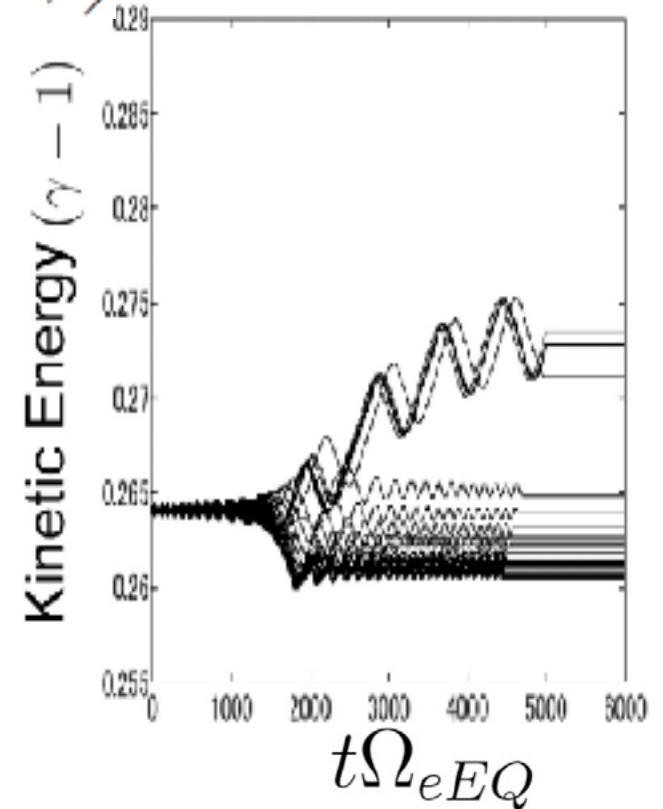
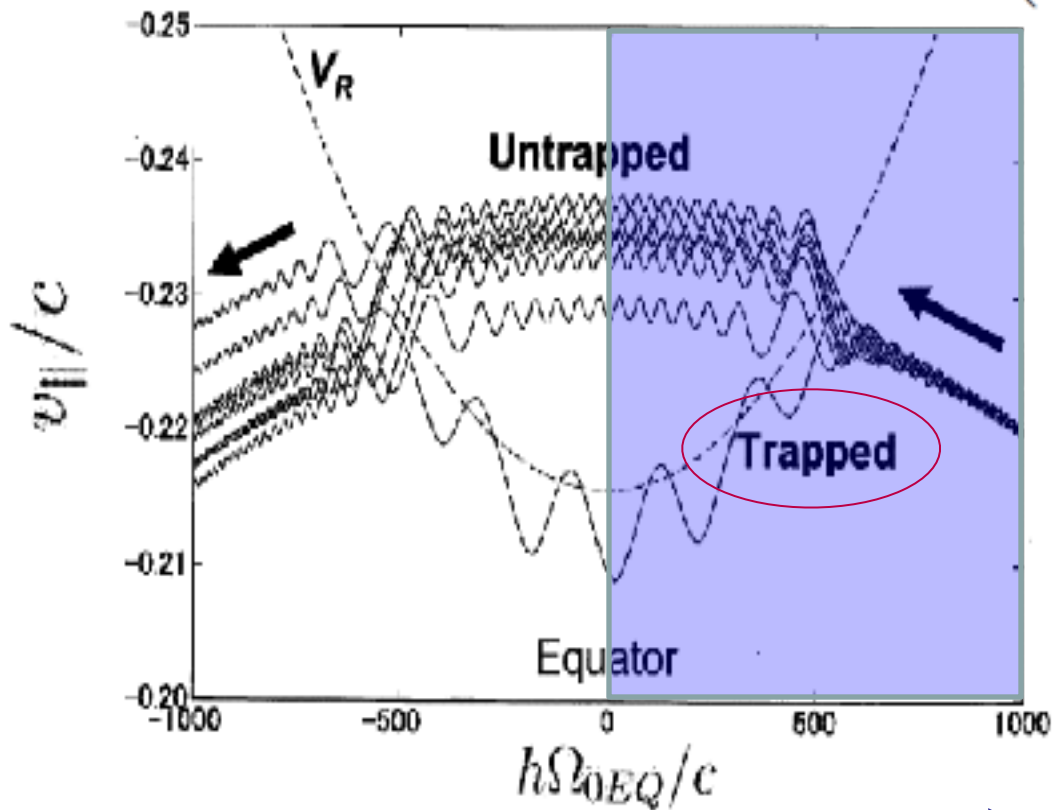
Wave-Particle Interactions in Earth's Inner Magnetosphere



Test Particle Simulation of Nonlinear Wave Trapping and Acceleration of Trapped Particles (10 – 100 keV)

$$\frac{\partial \omega}{\partial t} = 0$$

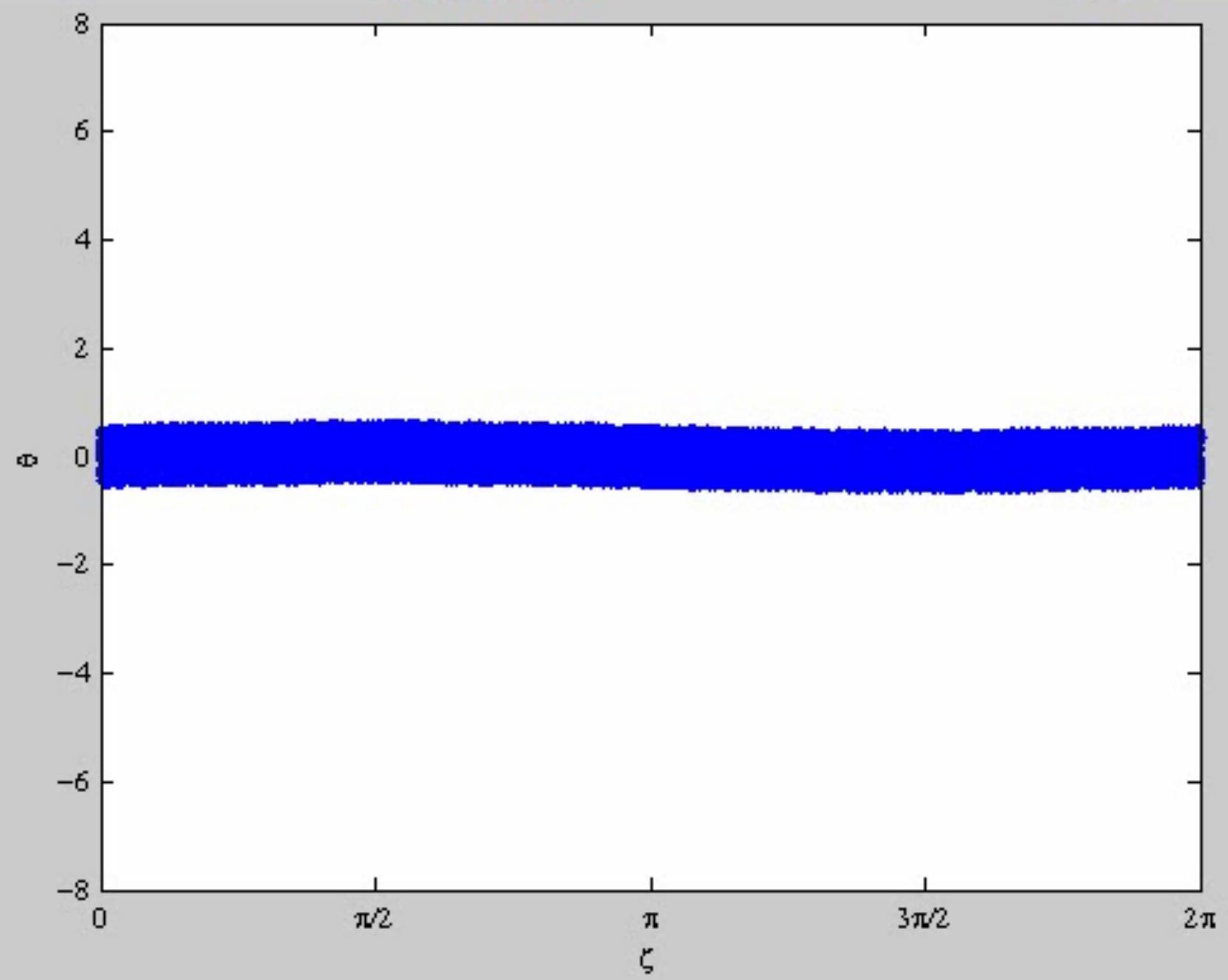
$$\omega - kv_{\parallel} = \Omega_e(h) / \gamma \quad \Rightarrow \quad V_R = \frac{1}{k} \left(\omega - \frac{\Omega_e}{\gamma} \right)$$

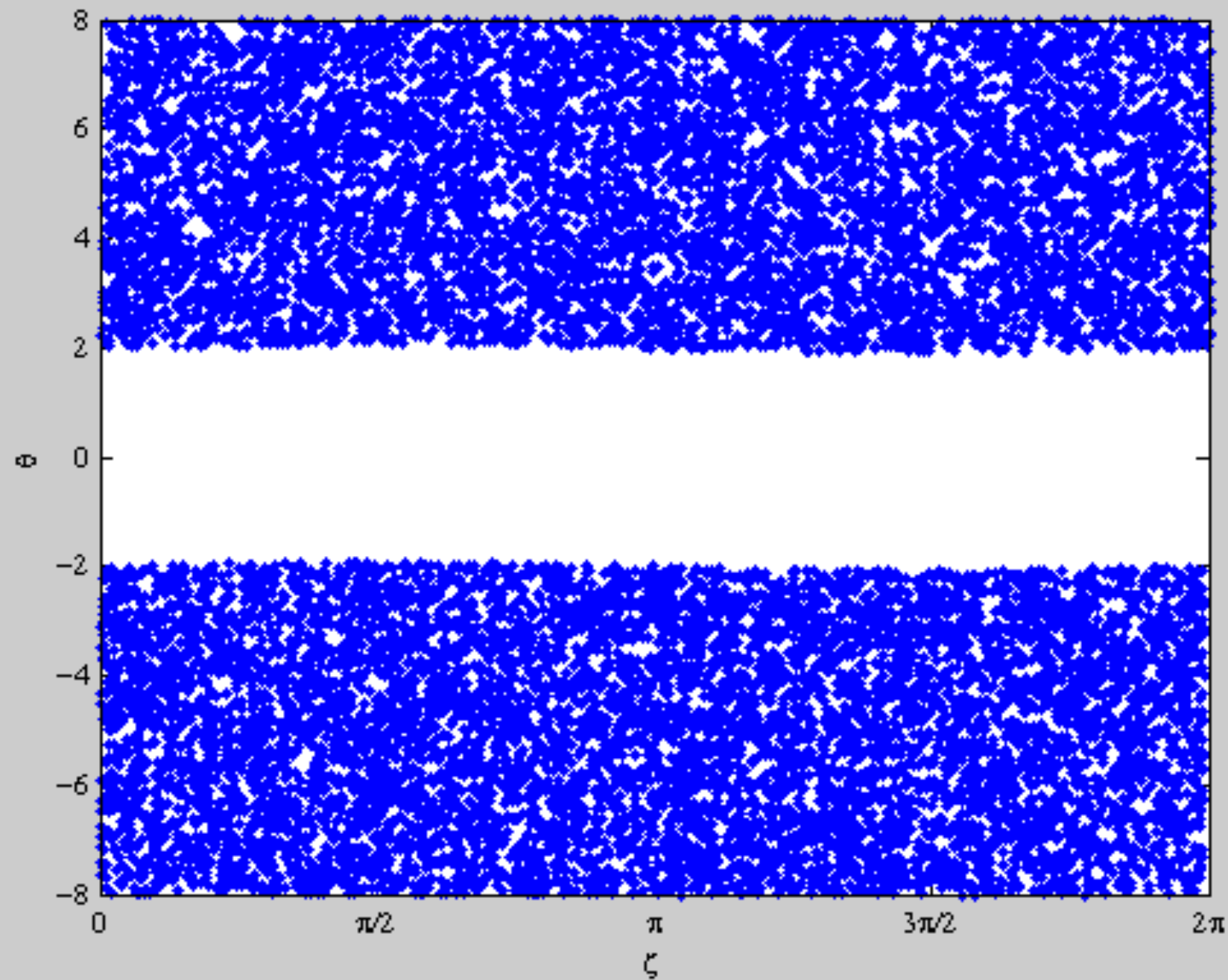


Wave



Electrons

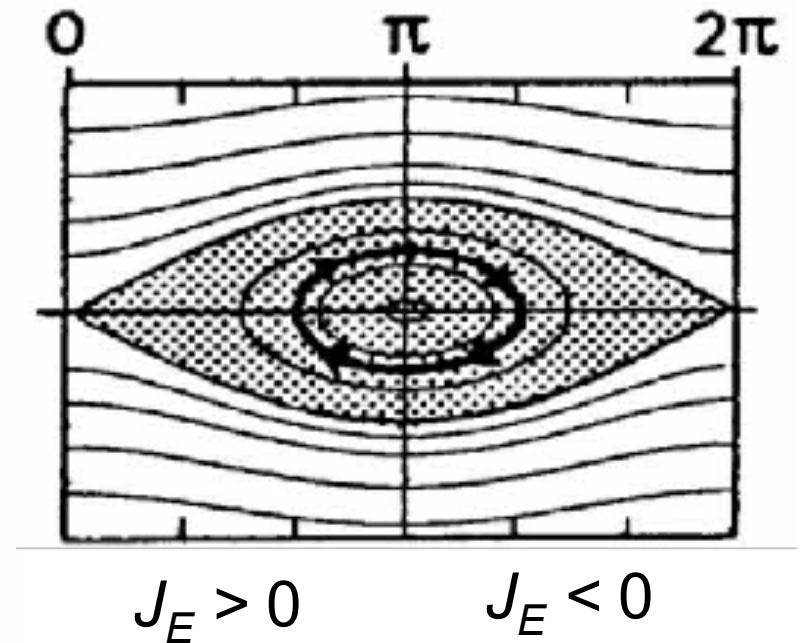
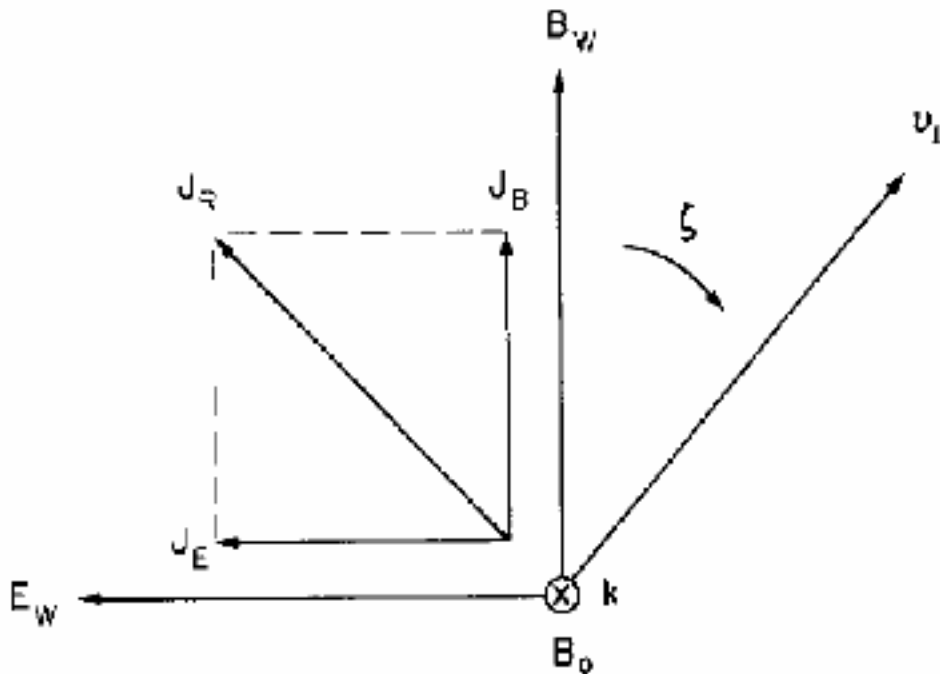


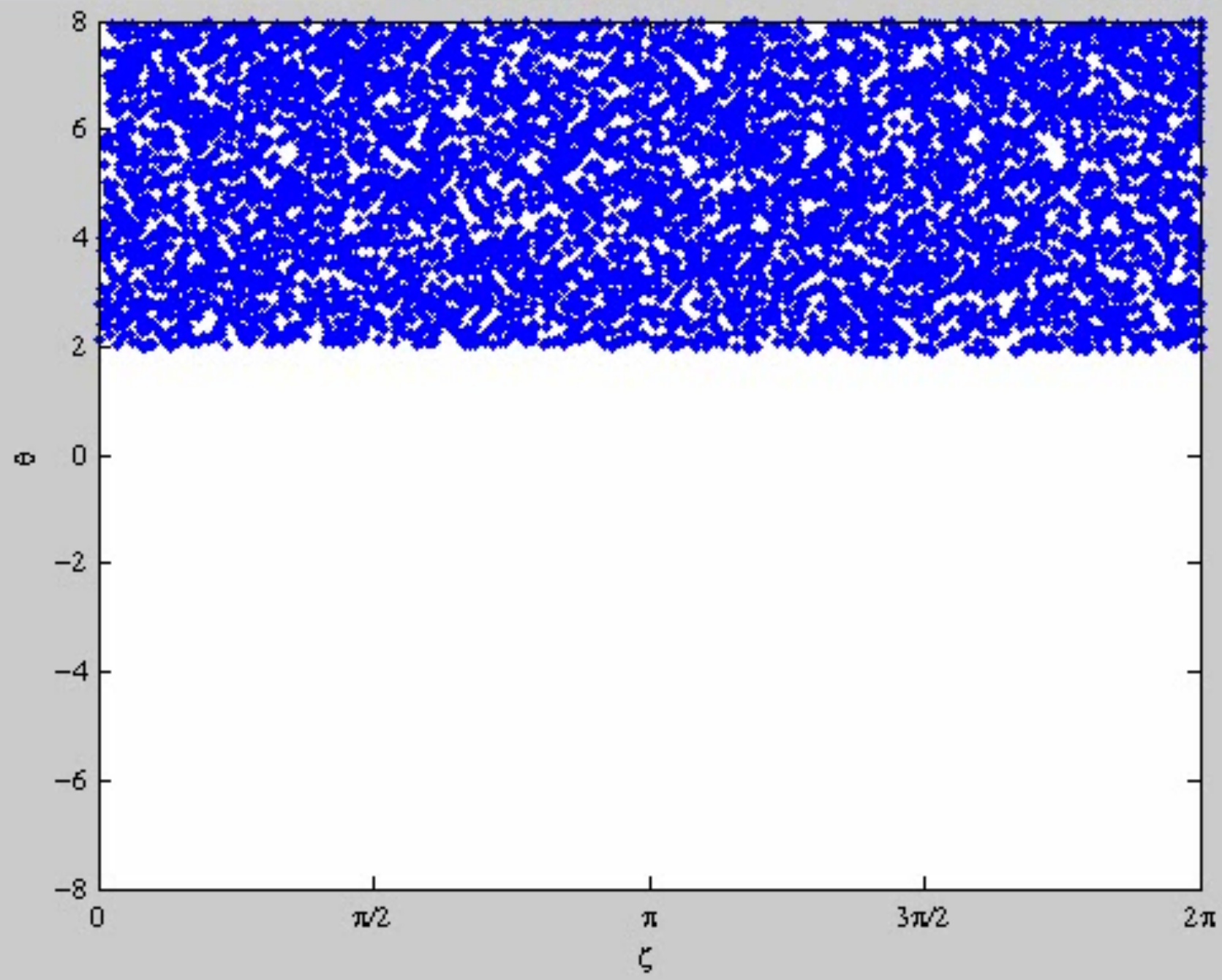


Wave Equations

$$\frac{\partial B_w}{\partial t} + V_g \frac{\partial B_w}{\partial h} = -\frac{\mu_0 V_g}{2} J_E$$

$$c^2 k^2 - \omega^2 - \frac{\omega \omega_{pe}^2}{\Omega_e - \omega} = \mu_0 c^2 k \frac{J_B}{B_w}$$

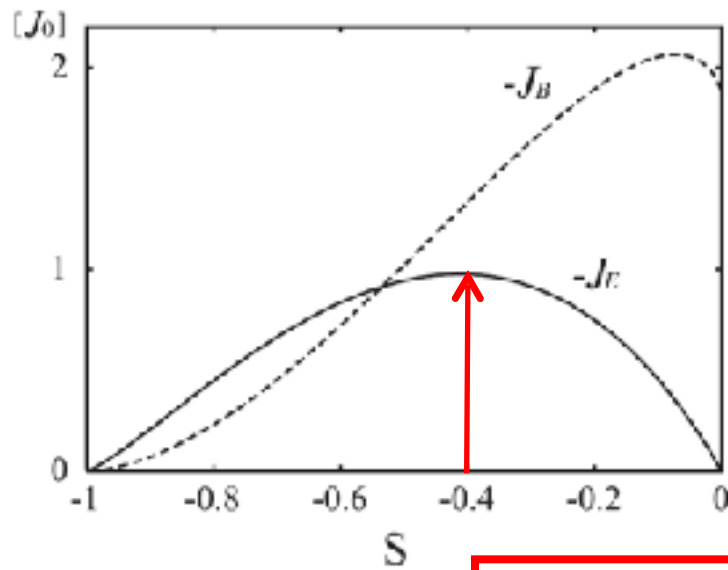
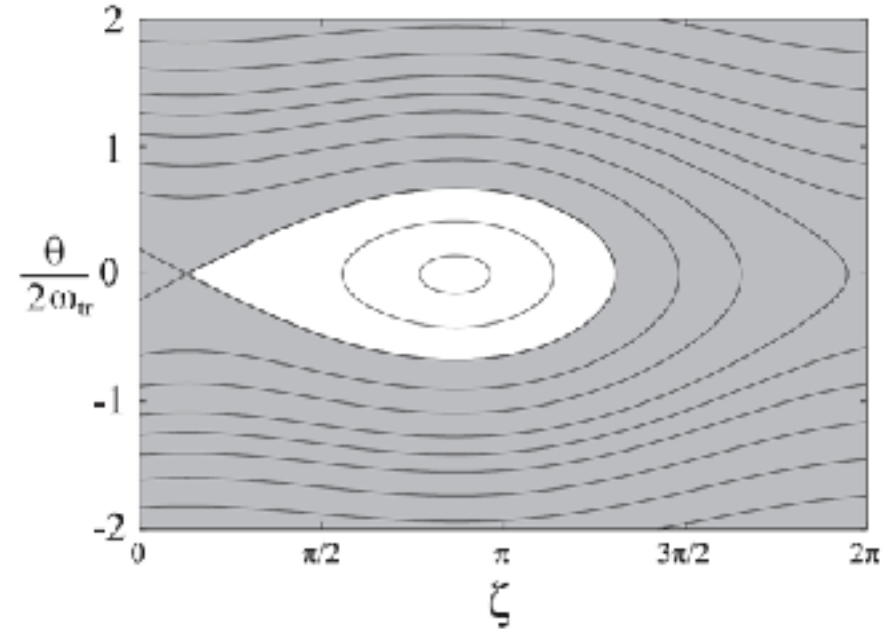




Nonlinear Wave Growth due to Formation of Electromagnetic Electron Hole

$$\frac{\partial B_w}{\partial t} + V_g \frac{\partial B_w}{\partial h} = -\frac{\mu_0 V_g}{2} J_E$$

$$c^2 k^2 - \omega^2 - \frac{\omega \omega_{pe}^2}{\Omega_e - \omega} = \mu_0 c^2 k \frac{J_B}{B_w}$$



Maximum -
 J_E

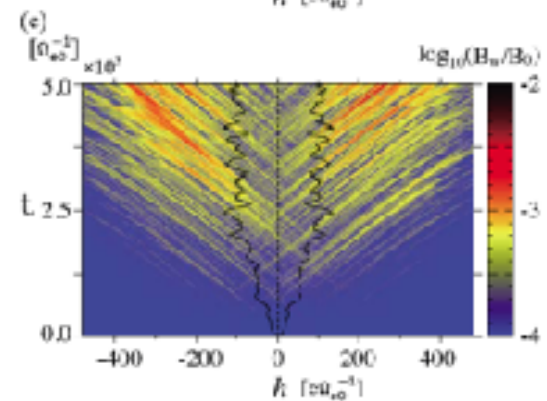
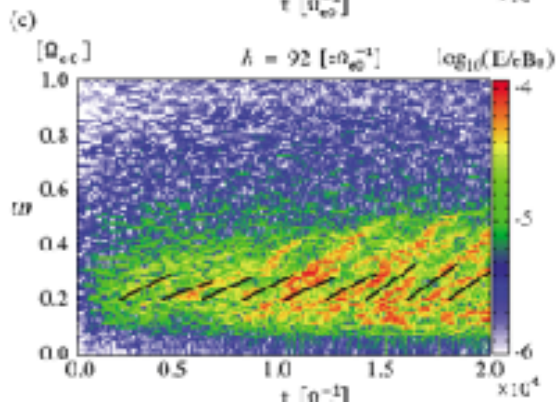
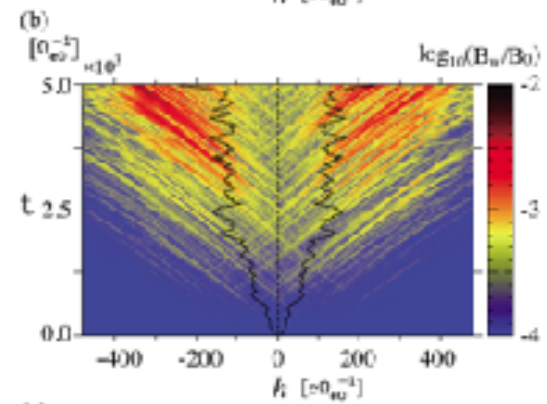
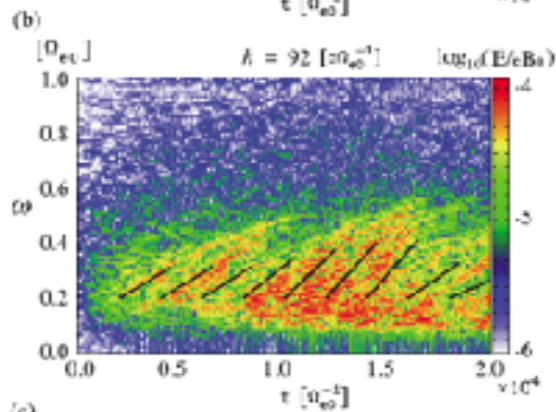
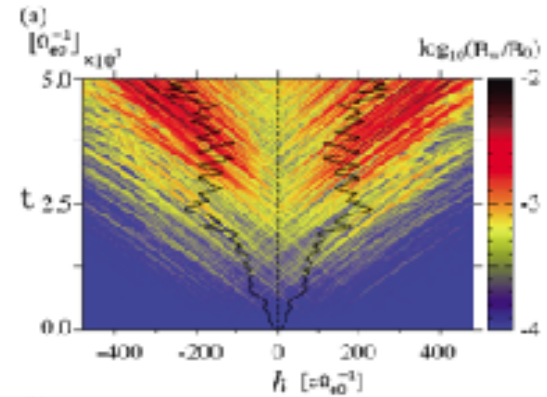
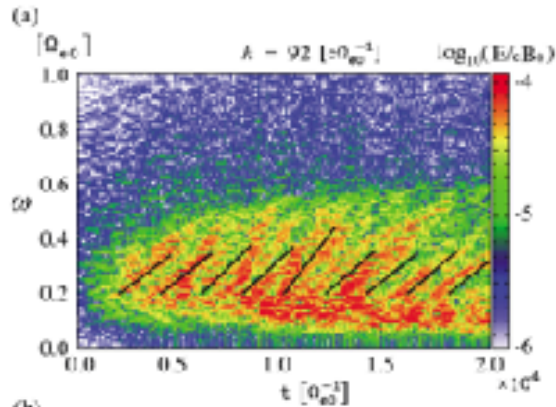
$$S_{EQ} = -0.4$$

$$S = -\frac{1}{s_0 \omega \Omega_w} \left(s_1 \frac{\partial \omega}{\partial t} + c s_2 \frac{\partial \Omega_e}{\partial h} \right)$$

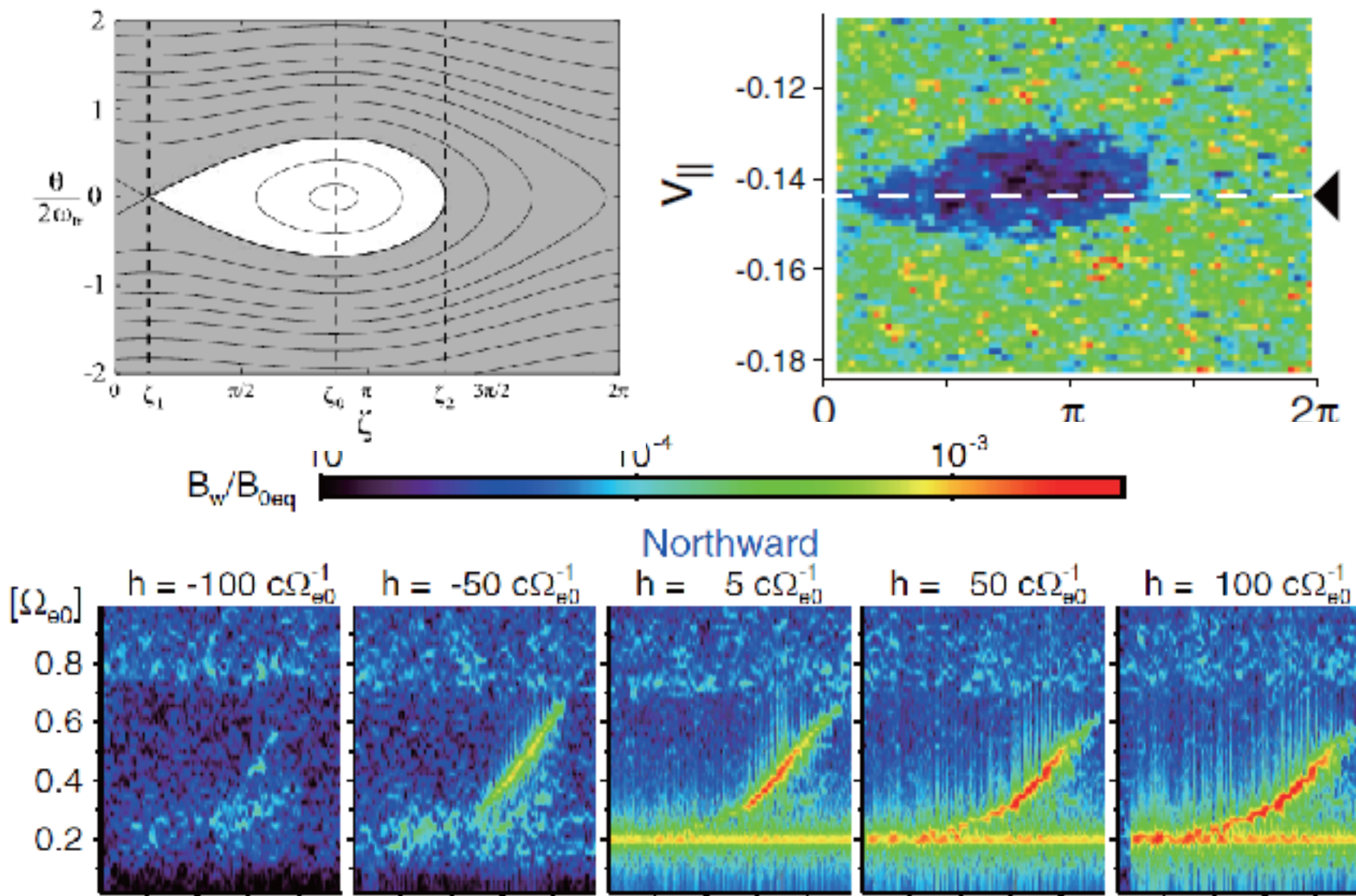
$$\frac{\partial \omega}{\partial t} = \frac{0.4 s_0 \omega}{s_1} \Omega_w$$

[Omura et al., JGR, 2008]

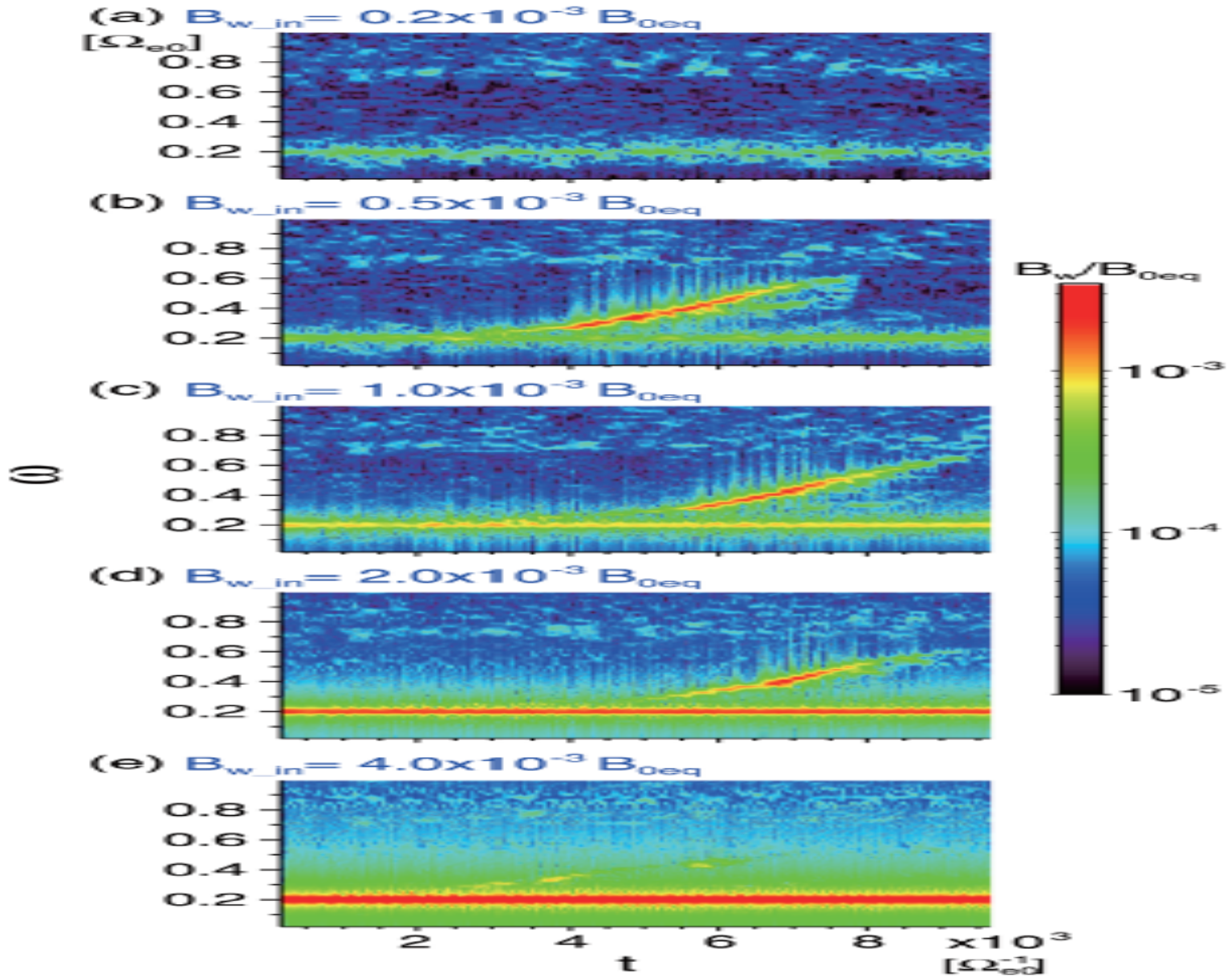
Frequency Sweep Rate Dependence on Wave Amplitude at Equator, which is controlled by Energetic Electron Density



Electron Hole for Nonlinear Wave Growth



Rising-Tone Emissions Triggered by Waves with Different Amplitudes



Linear Dispersion Relation

$$c^2 k^2 - \omega_0^2 - \frac{\omega_0 \omega_{pe}^2}{\Omega_e - \omega_0} = 0$$

Nonlinear Dispersion Relation

$$c^2 k^2 - \omega^2 - \frac{\omega \omega_{pe}^2}{\Omega_e - \omega} = \mu_0 c^2 k \frac{J_B}{B_w}$$

Assuming $\omega_1 \ll \omega_0$ where $\omega = \omega_0 + \omega_1$

Nonlinear Frequency Shift

$$\omega_1 = -\frac{\mu_0 V_g J_B}{2 B_w} \quad (> 0 : \text{Electron Hole})$$

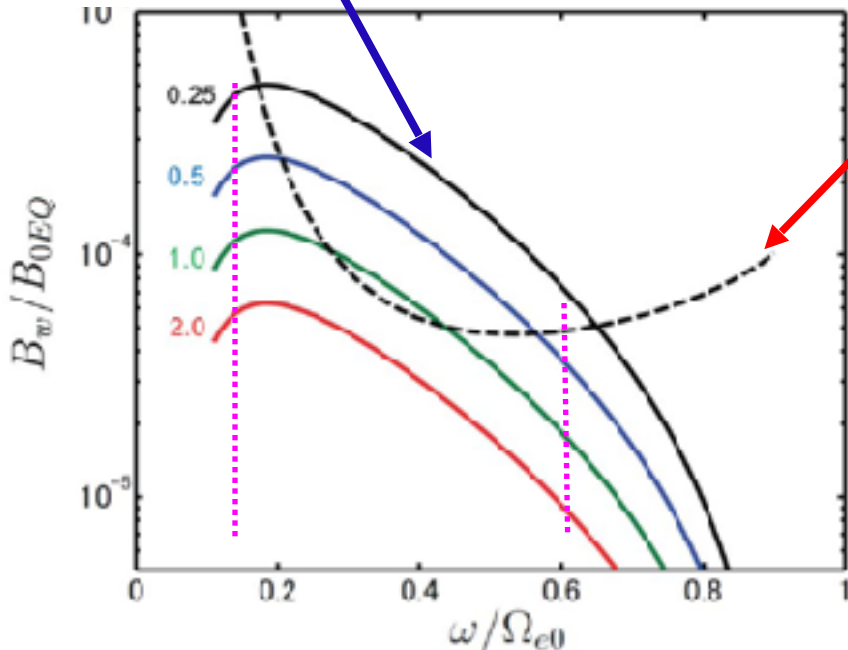
Optimum Wave Growth Condition

$$\frac{\omega_1}{T_N} \sim \frac{\partial \omega}{\partial t} = \frac{0.4 s_0 \omega}{s_1} \Omega_w$$

Nonlinear Transition Time

$$\tau = T_N / T_{tr} \quad T_{tr} = \frac{2\pi}{\omega_{tr}} = \frac{2\pi}{\delta} \left(\frac{m_0 \gamma}{k V_{\perp 0} e B_w} \right)^{1/2}$$

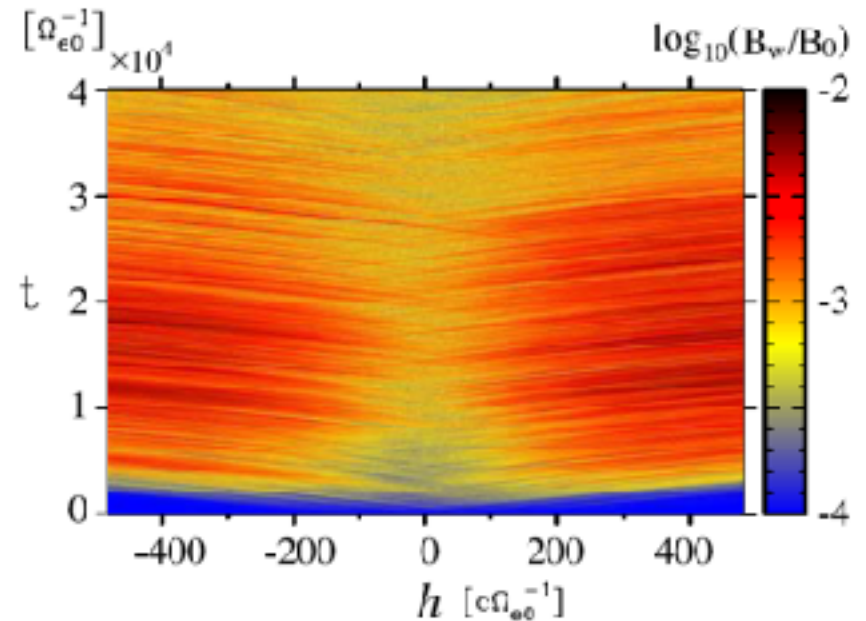
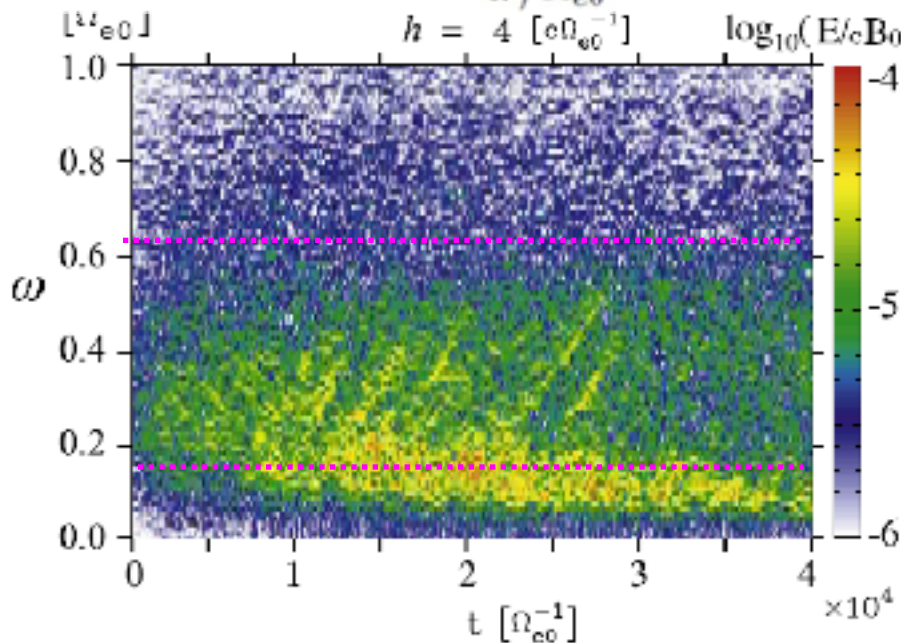
Optimum Wave Amplitude and Threshold Amplitude



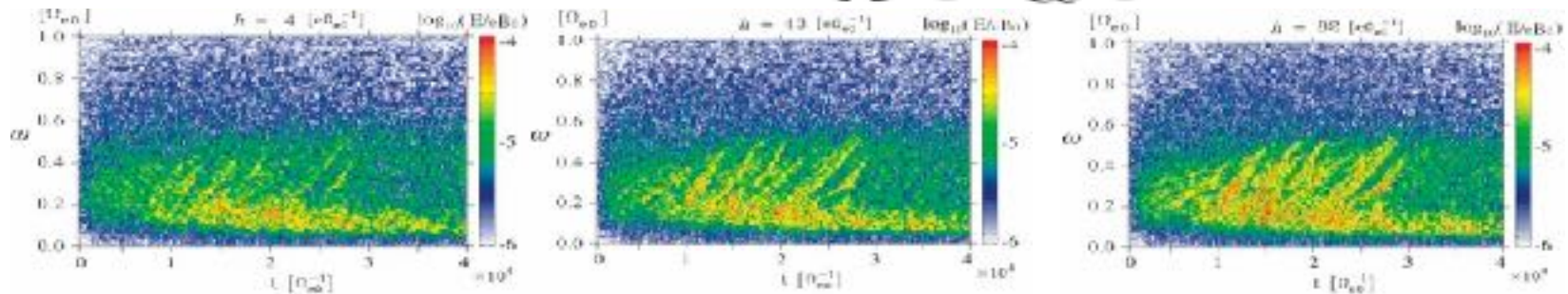
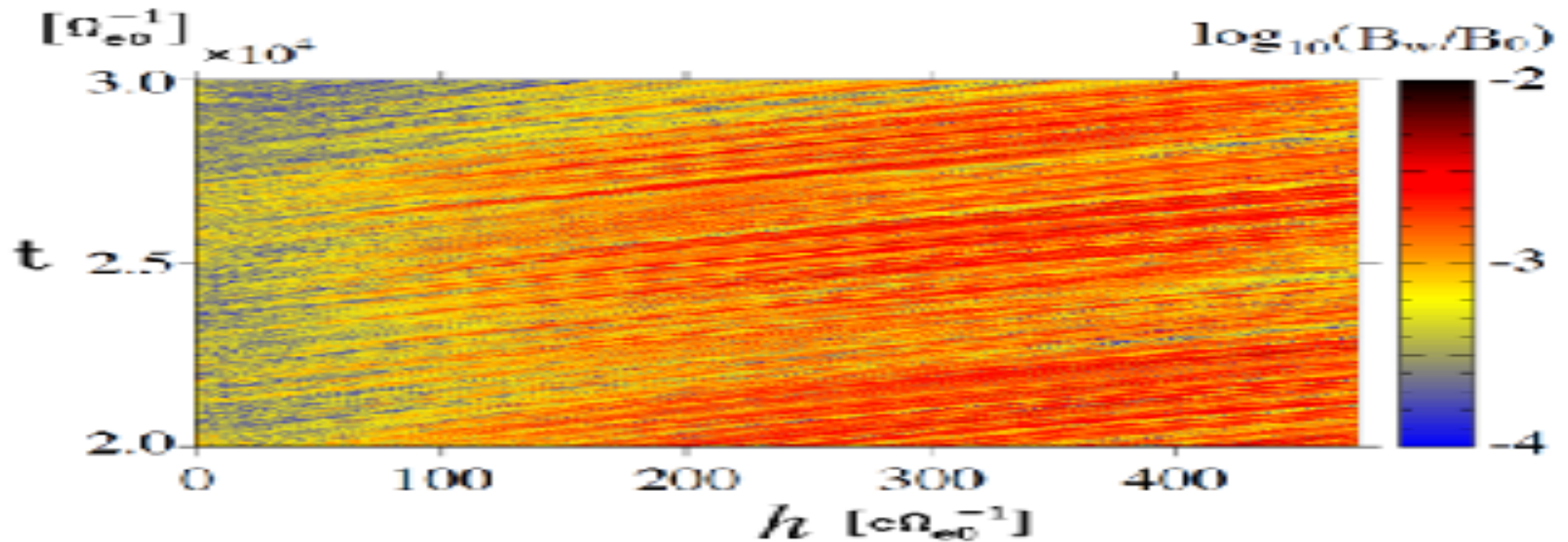
Electron Hybrid Simulation
 [Kato and Omura, 2007]

$$\tau = T_N/T_{tr}$$

$$\tau = 0.25 \sim 0.5$$



Nonlinear Wave Growth through Propagation : Convective Instability



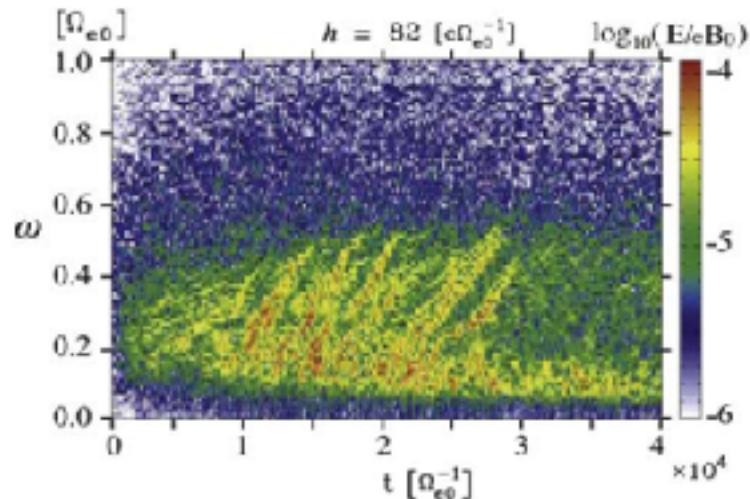
Equator

Self-sustaining Mechanism

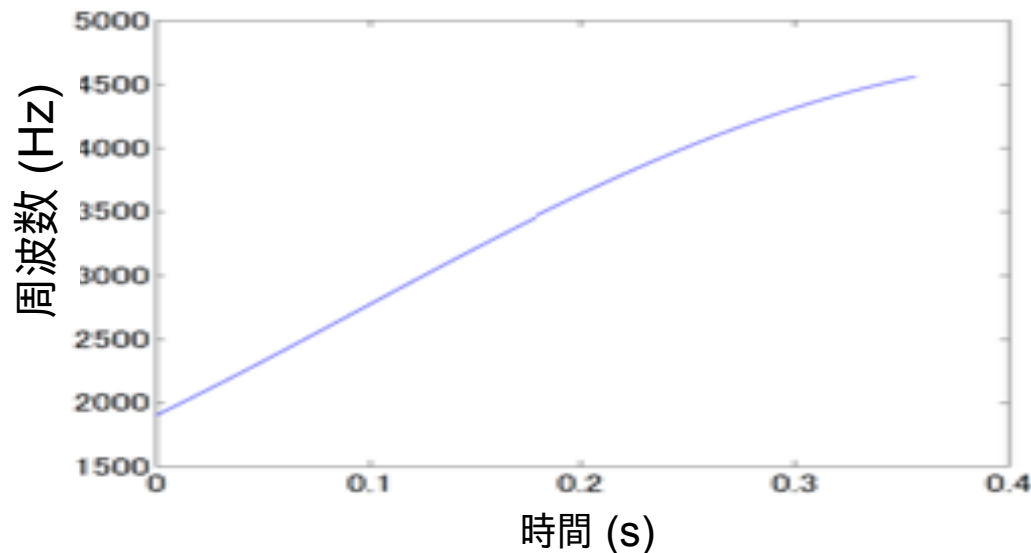
$$S = - \frac{1}{s_0 \omega \Omega_w} \left(s_1 \frac{\partial \omega}{\partial t} + c s_2 \frac{\partial \Omega_e}{\partial h} \right) \sim -0.4$$

Frequency and Amplitude Variation of Chorus: Model 1

Chorus Emissions Reproduced
by Particle Simulation



Reproducing chorus sound

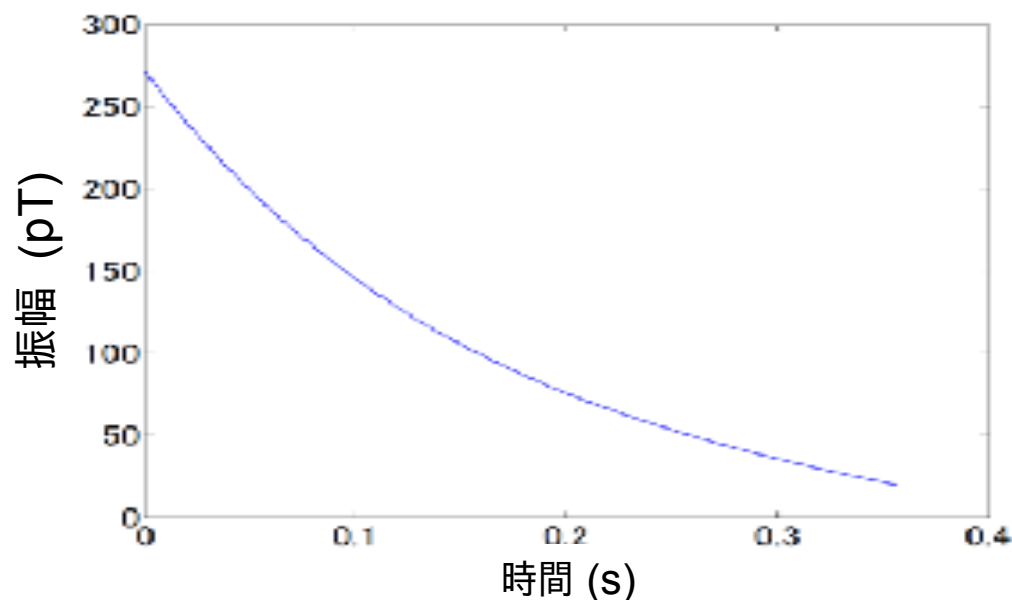


Chorus Equations

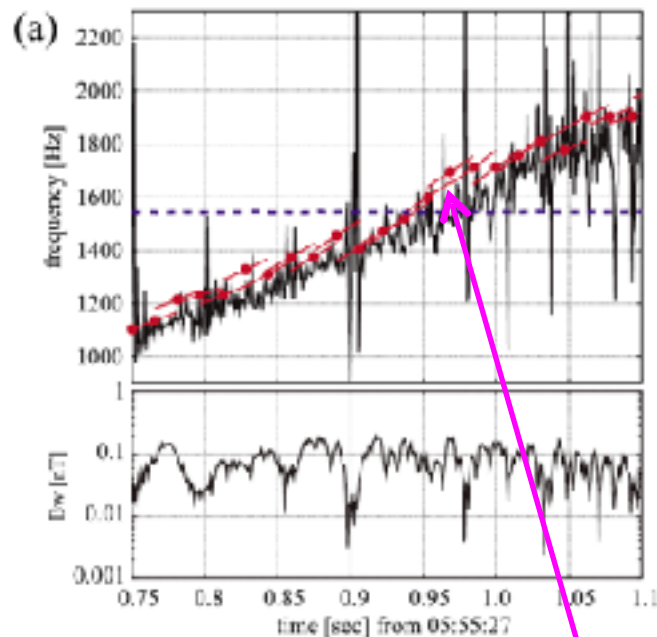
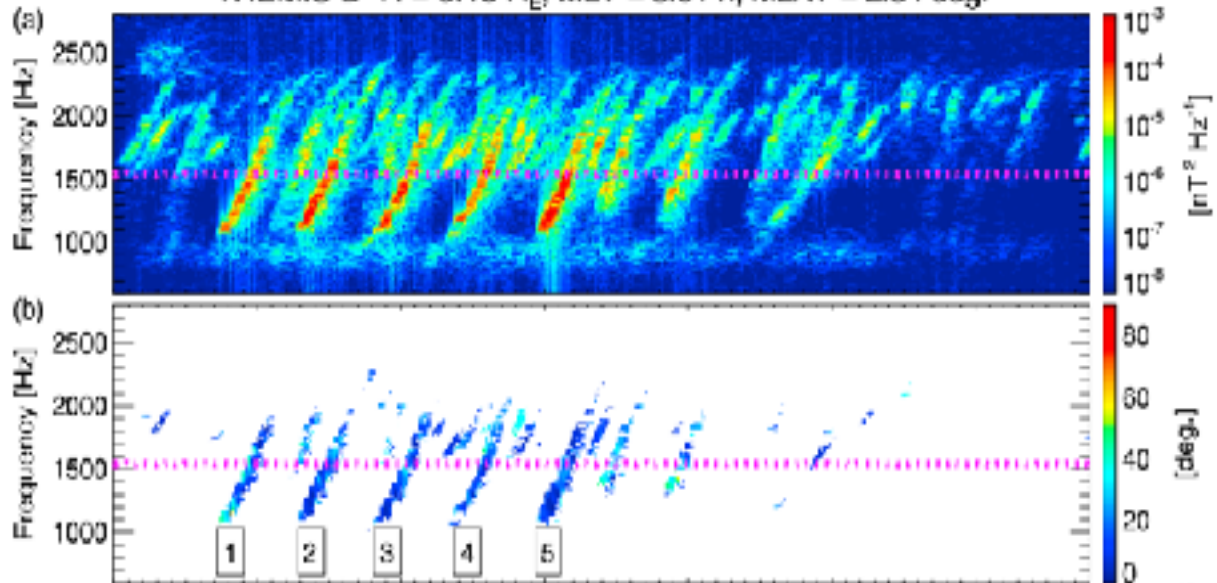
$$\frac{\partial \tilde{\omega}}{\partial \tilde{t}} = \frac{2s_0}{5s_1} \tilde{\omega} \tilde{\Omega}_{w0}$$

$$\frac{\partial \tilde{\Omega}_{w0}}{\partial \tilde{t}} = \tilde{V}_g \left[\frac{Q \tilde{\omega}_{ph}^2}{2 \tilde{U}_{\parallel}} \left(\frac{\chi \tilde{V}_{\perp 0}}{\pi \gamma} \right)^{3/2} \left(\frac{\xi \tilde{\Omega}_{w0}}{\tilde{\omega}} \right)^{1/2} \right. \\ \left. \times \exp \left(\frac{\gamma^2 \tilde{V}_R^2}{2 \tilde{U}_{\parallel}^2} \right) \frac{5s_2 \tilde{a}}{s_0 \tilde{\omega}} \right]$$

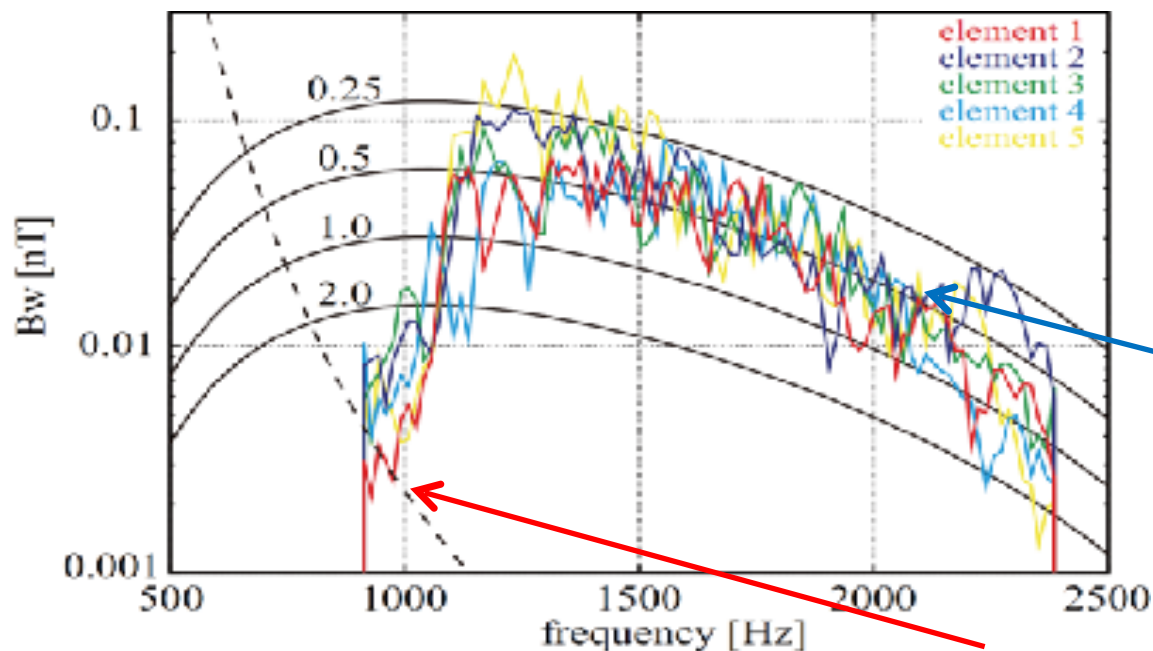
[Omura et al., JGR, 2008, 2009]



THEMIS D R = 6.10 R_E, MLT = 5.61 h, MLAT = 2.54 deg.



$$\frac{\partial \omega}{\partial t} = \frac{0.4 s_0 \omega}{s_1} \Omega_w$$



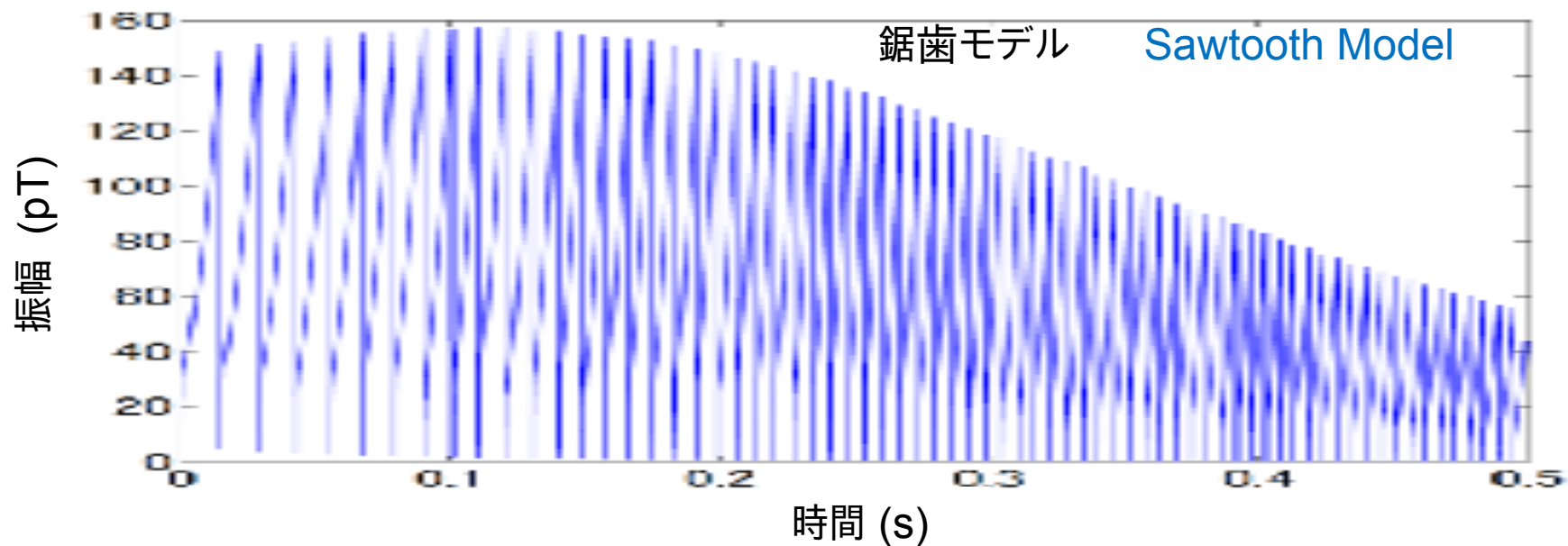
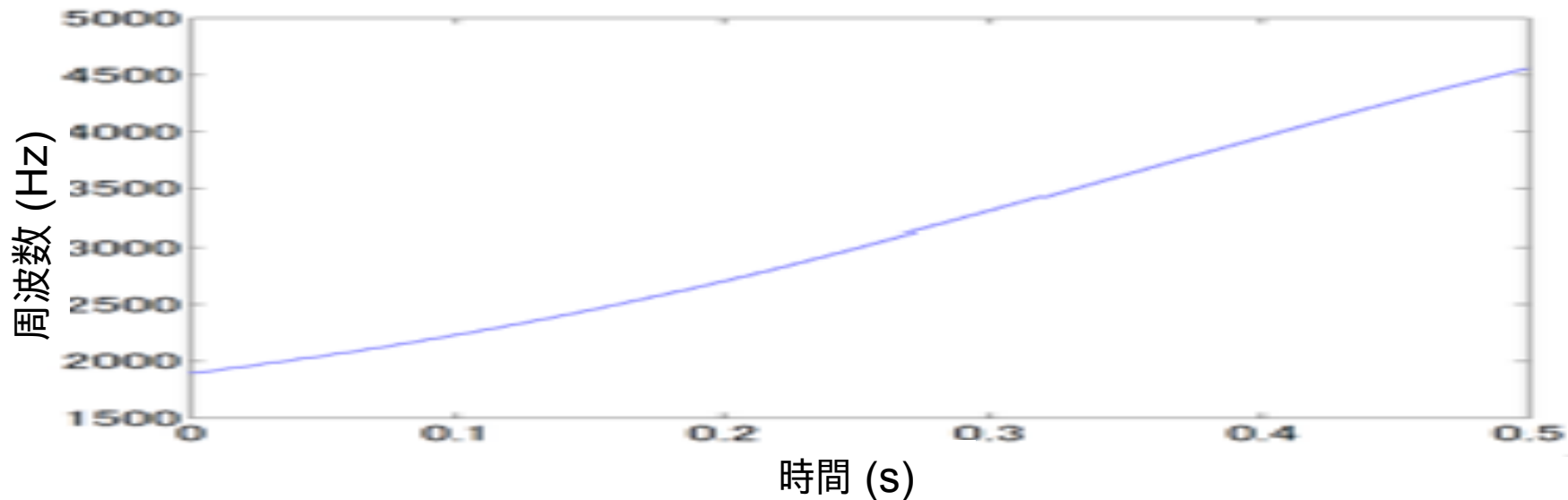
Optimum Amplitude

Threshold Amplitude

[Kurita et al., JGR, 2012]

Frequency and Amplitude Variation of Chorus: Model 2

Reproducing chorus sound



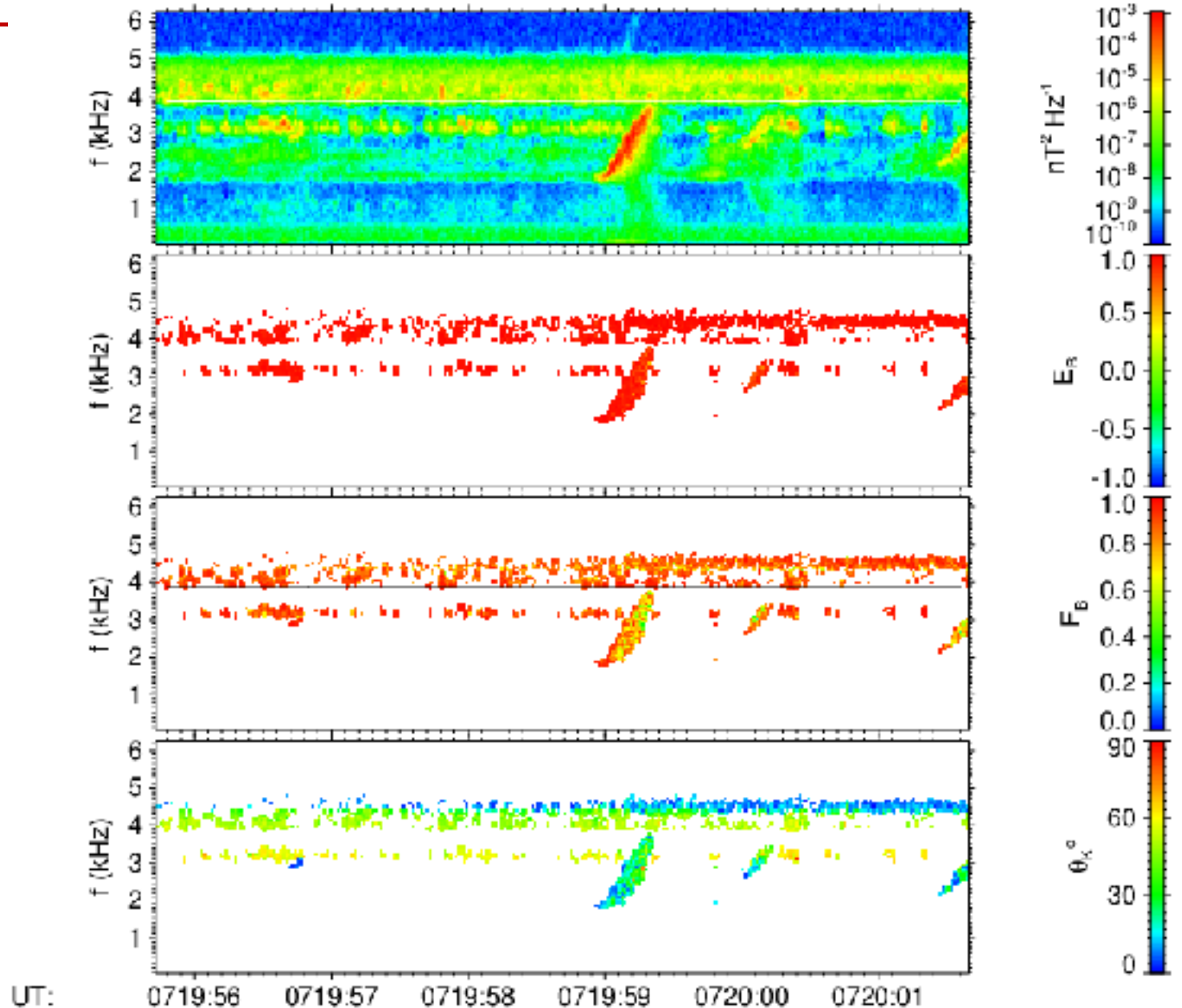
Wave Observation, Van Allen Probe A, 8 June 2014

sum of the power-spectral densities of magnetic components

ellipticity of the magnetic field polarization

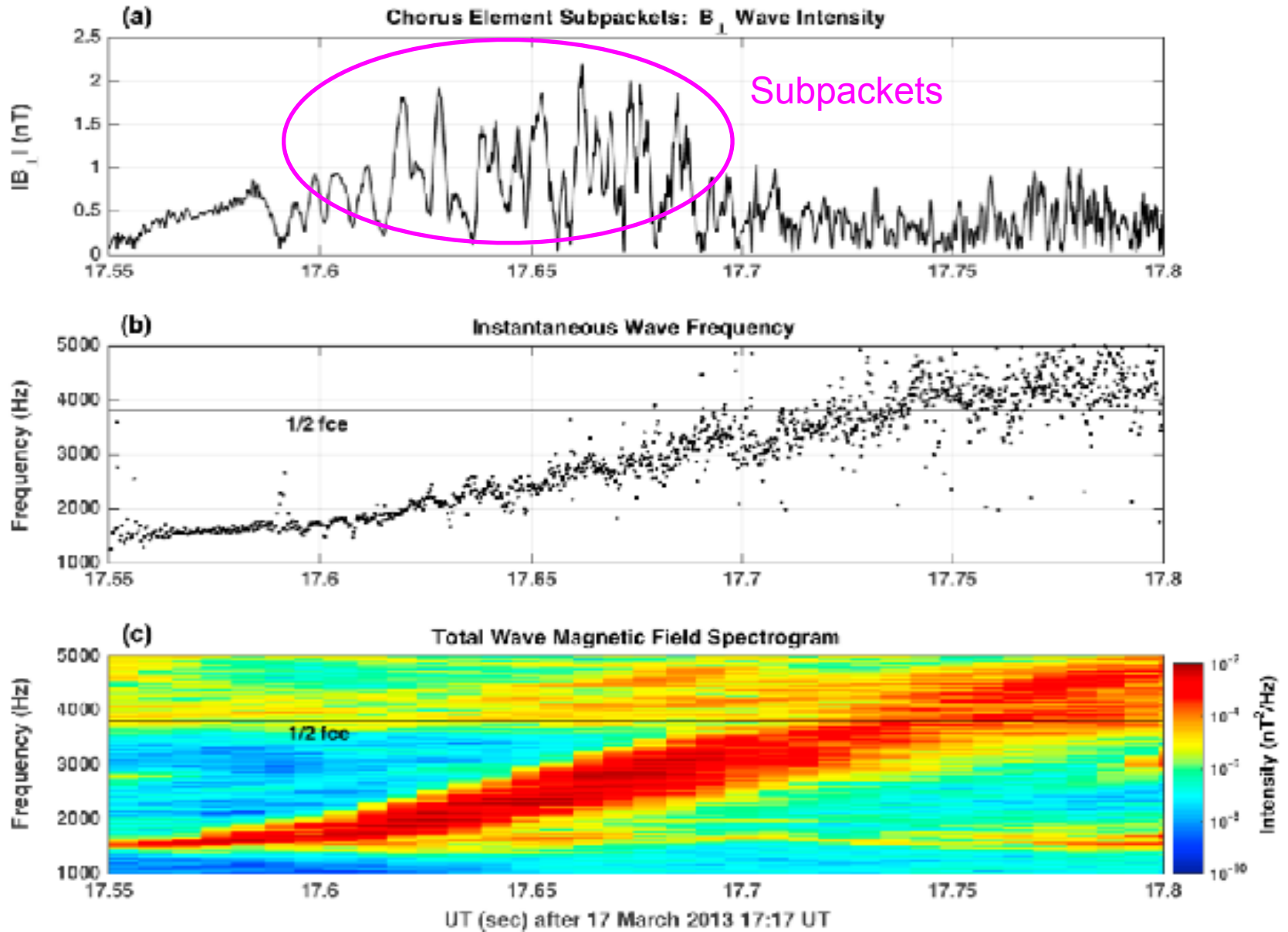
planarity of the magnetic field polarization

angle between the wave vector and the background magnetic field



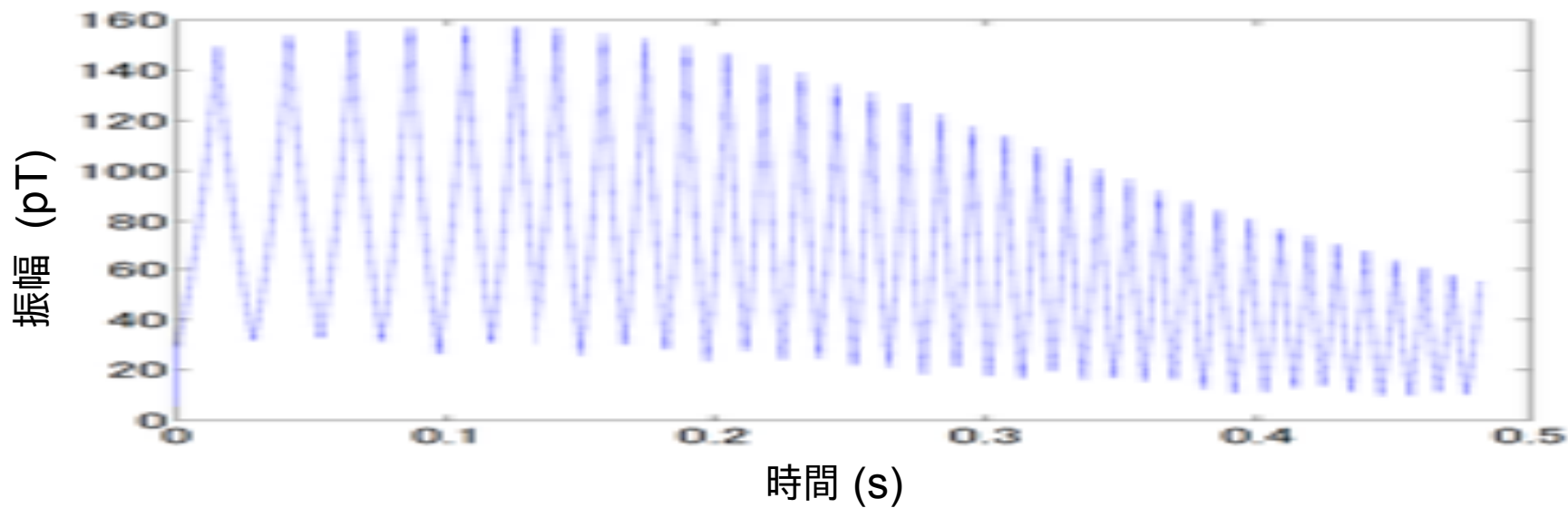
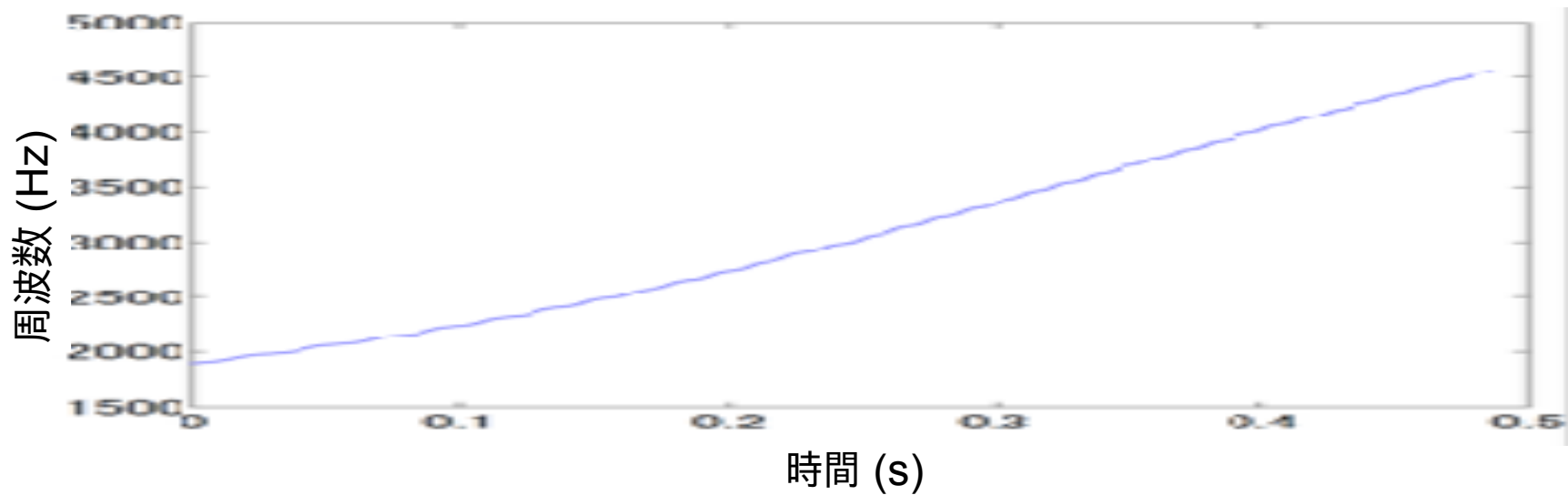
[Kletzing, 2014]

Subpacket Structure in Chorus Element



Frequency and Amplitude Variation of Chorus: Model 3

Reproducing chorus sound

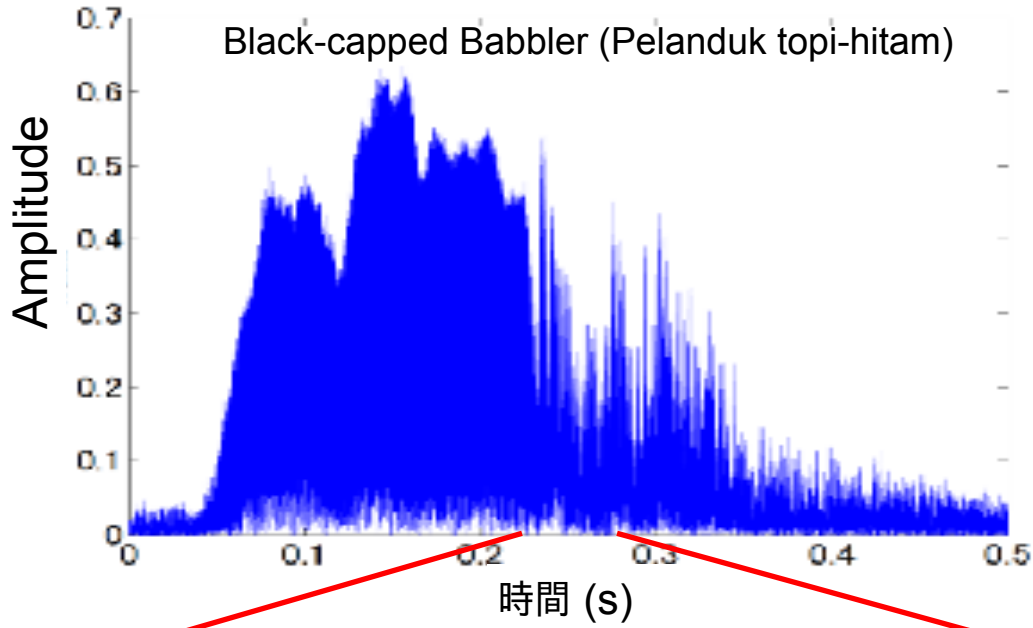




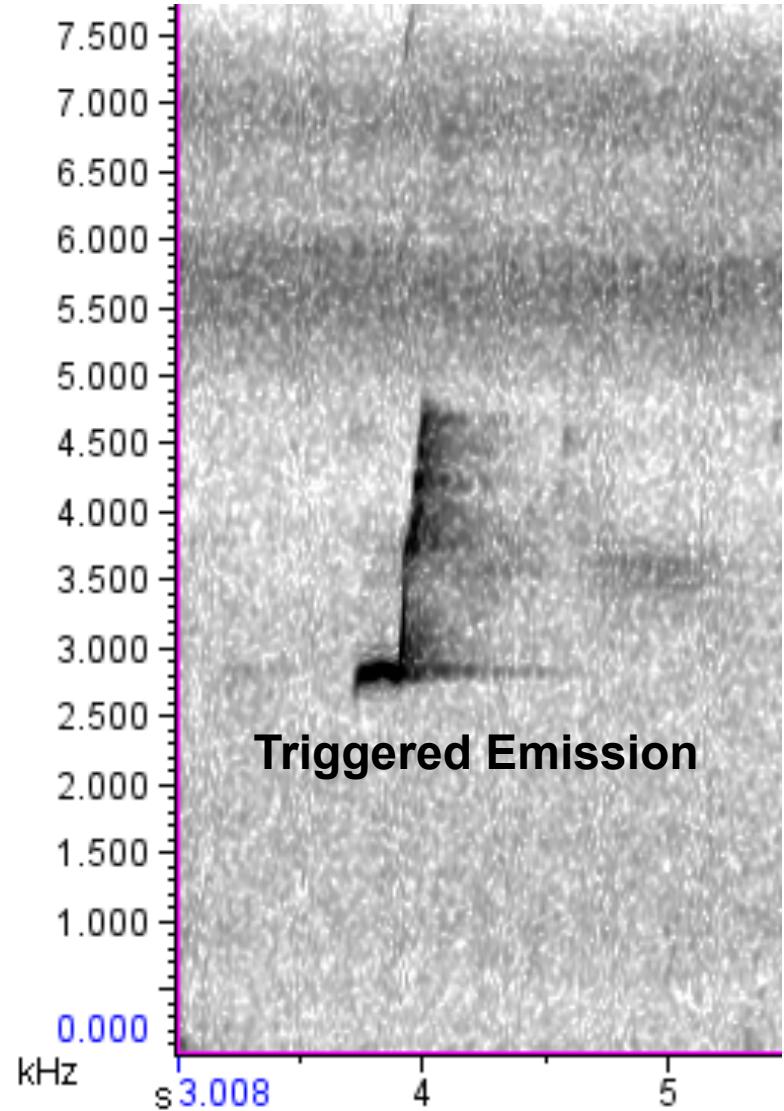
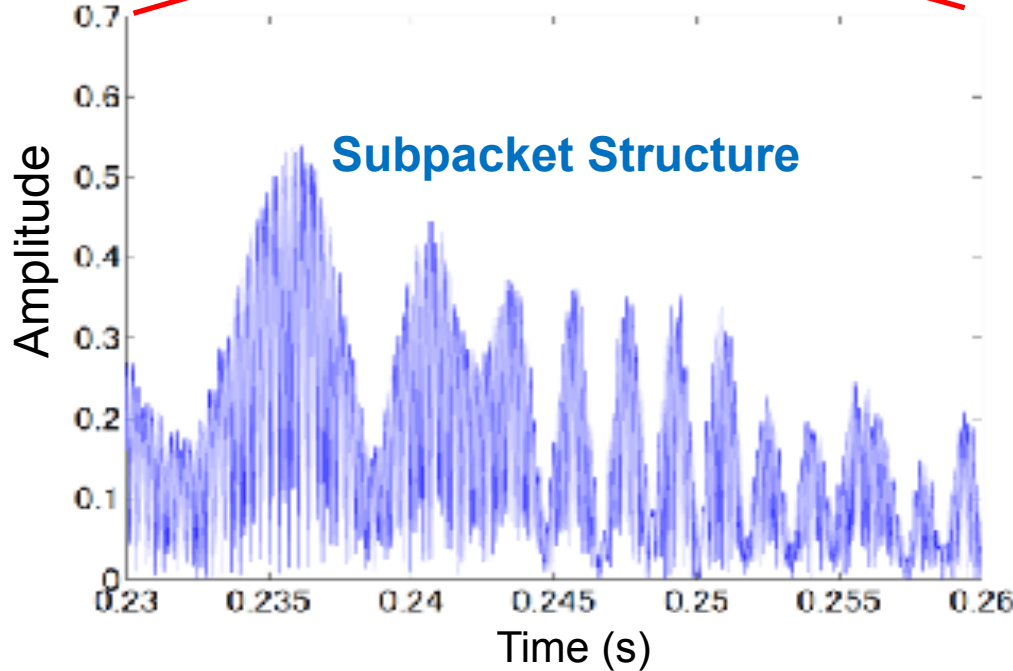
[藤田素子 提供]

Subpacket Structure in Dawn Chorus in Indonesia

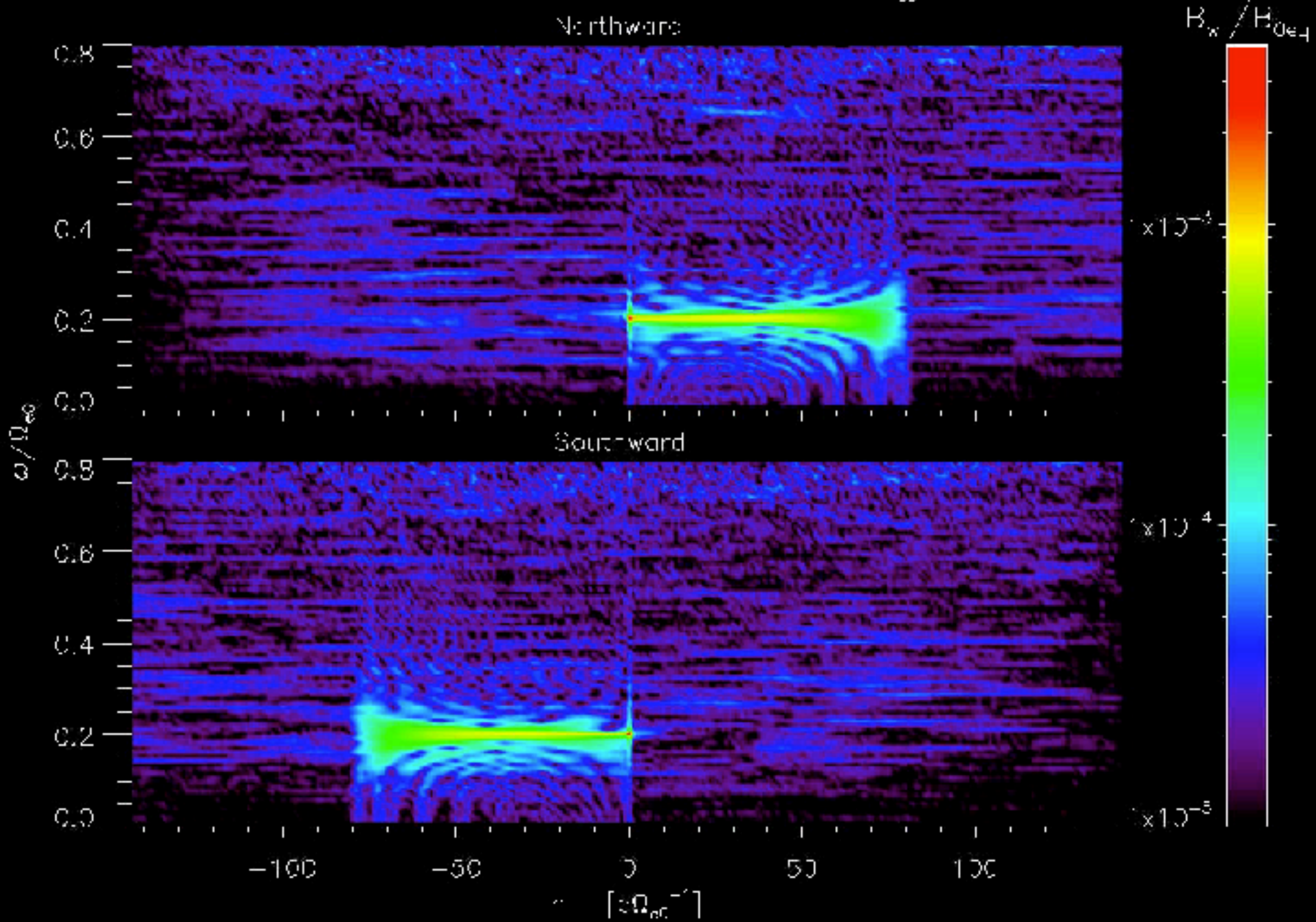
Black-capped Babbler (Pelanduk topi-hitam)



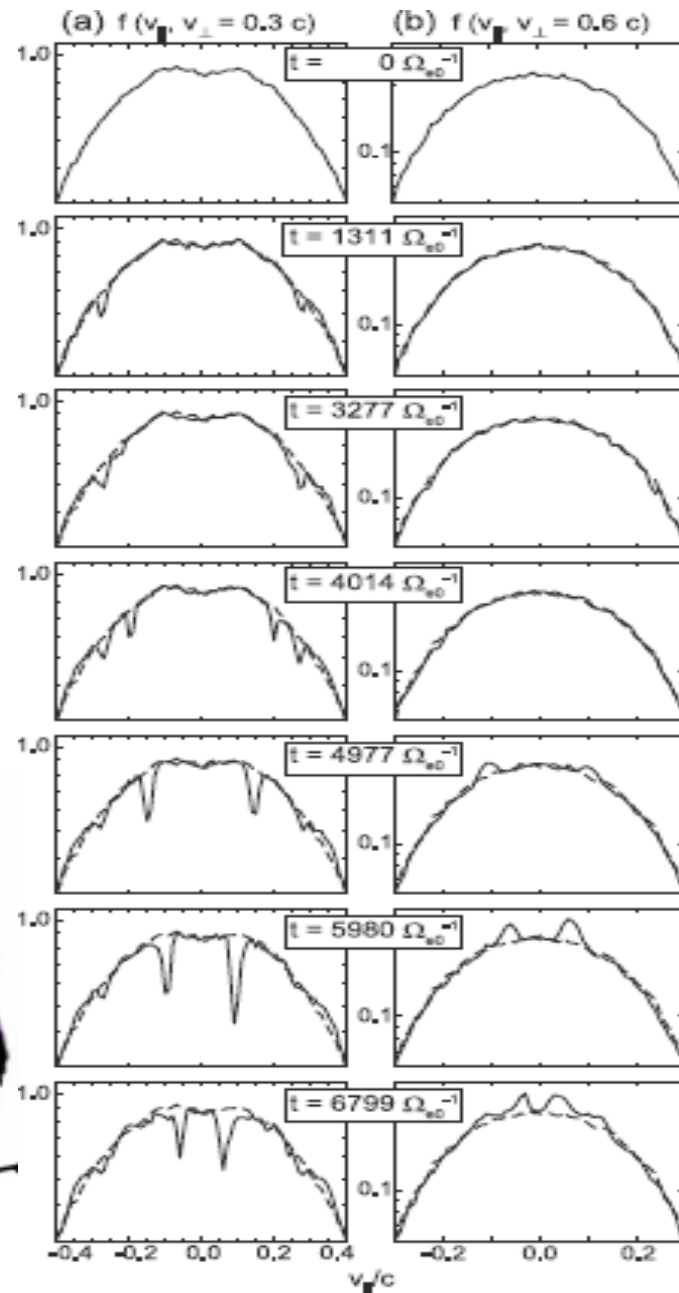
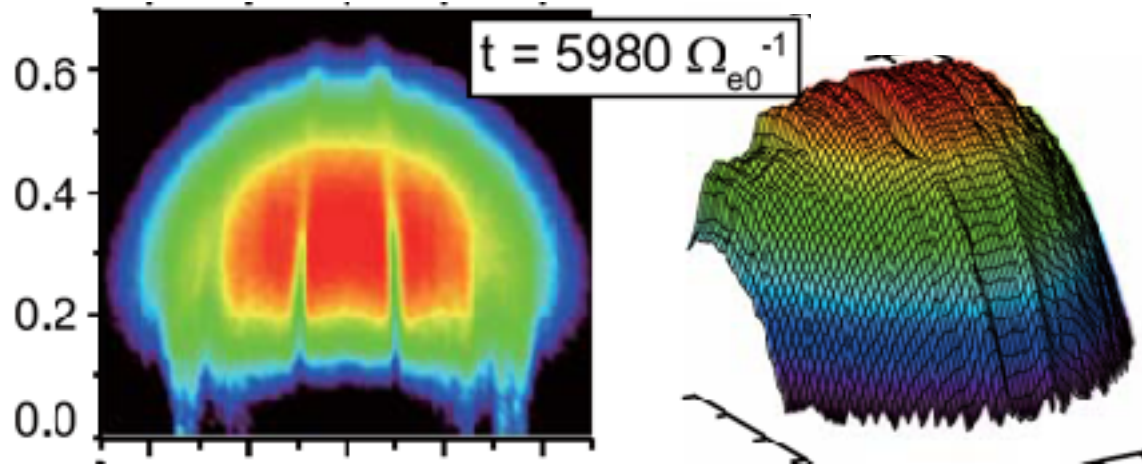
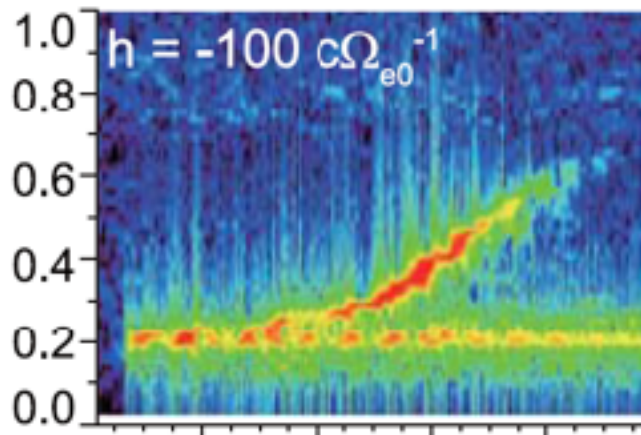
Dawn Chorus in Indonesia



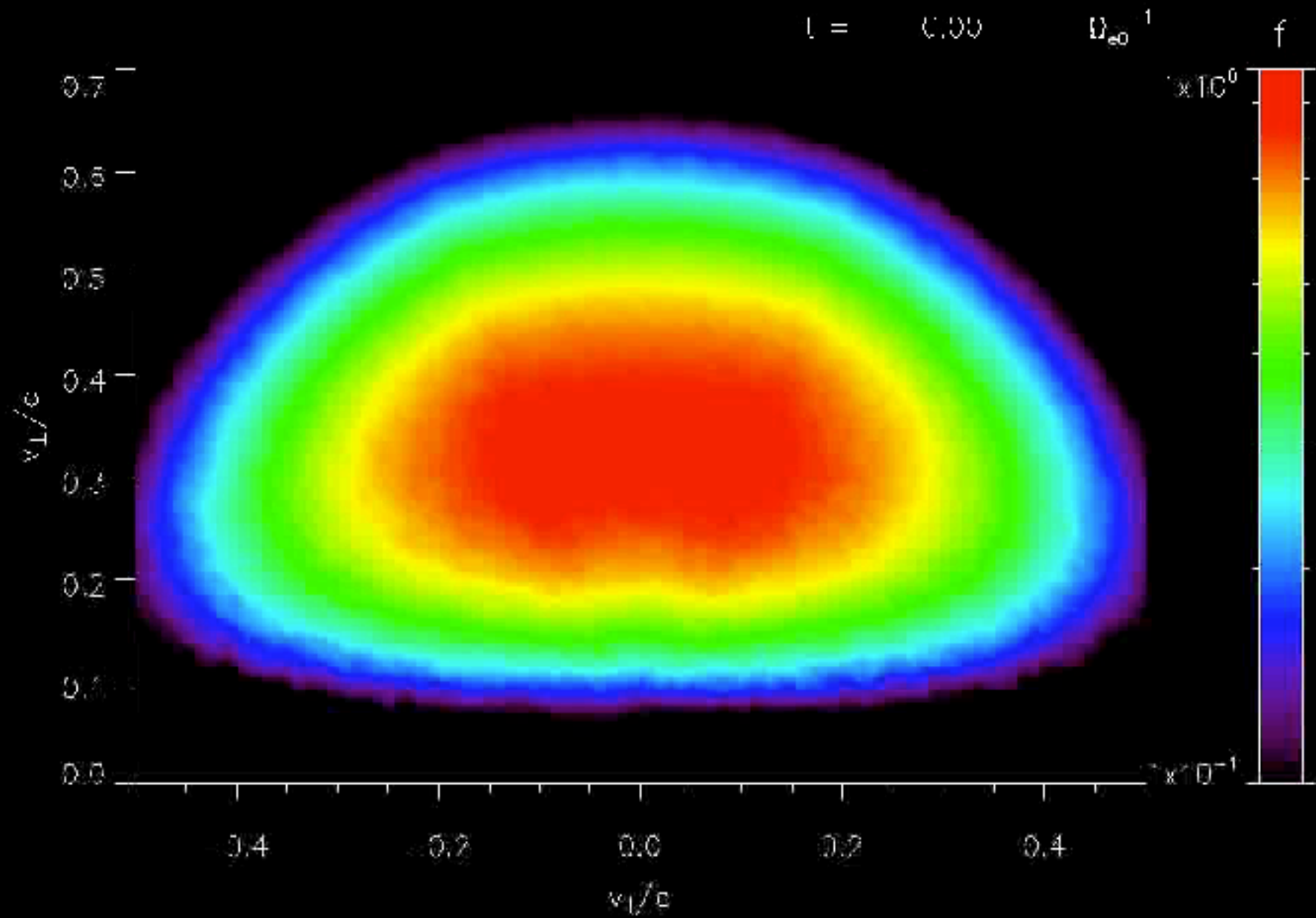
$\Omega_{SD} : 327.6'$



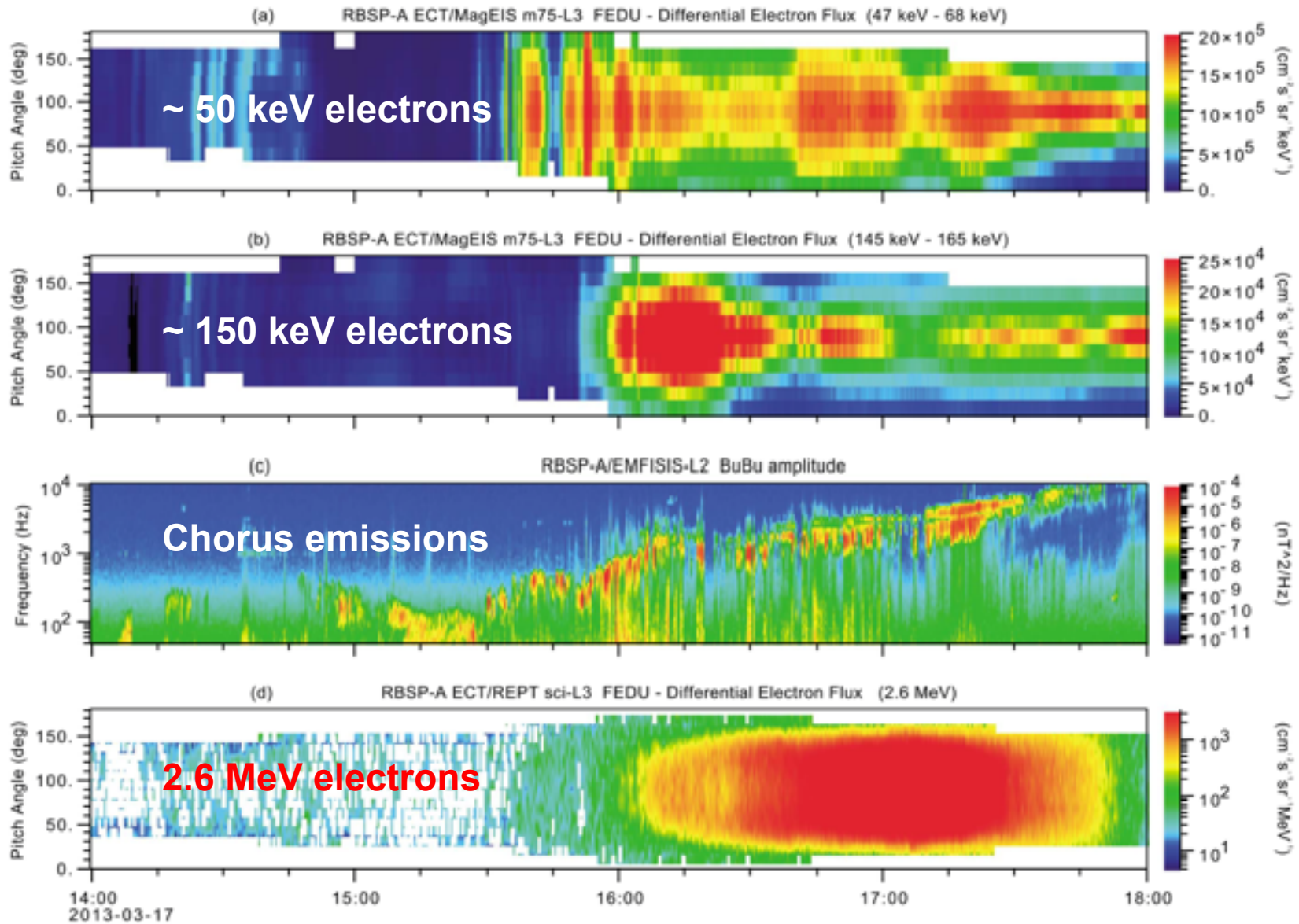
Formation of Electron Hole and Bump



[Hikishima et al., JGR, 2010]



Rapid-acceleration of MeV Electrons



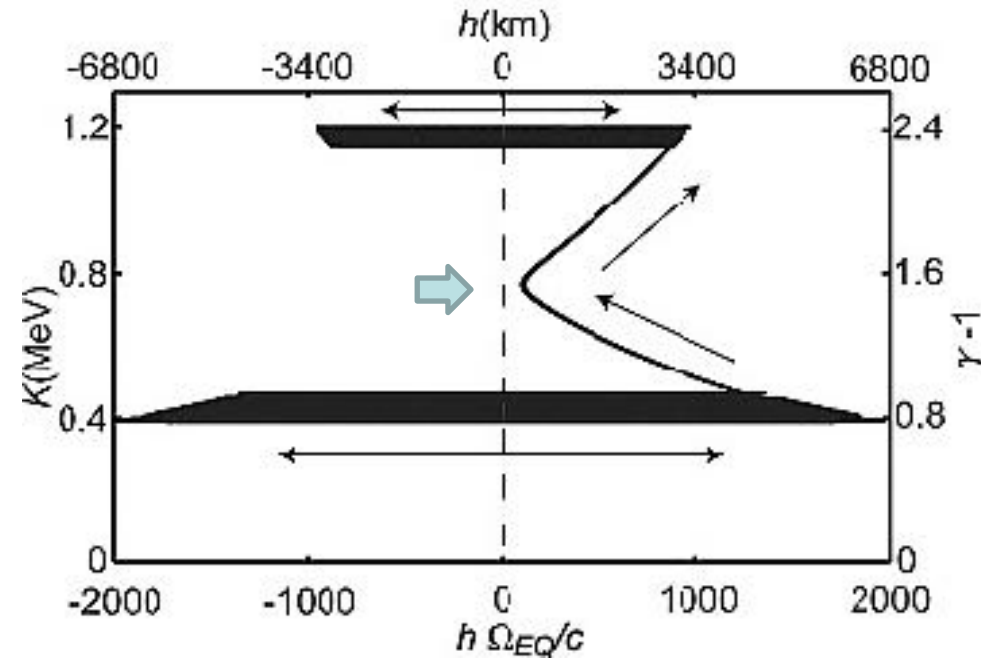
Two Nonlinear Acceleration Processes

$$V_R = \frac{\omega - \Omega_{ce}/\gamma}{k}$$

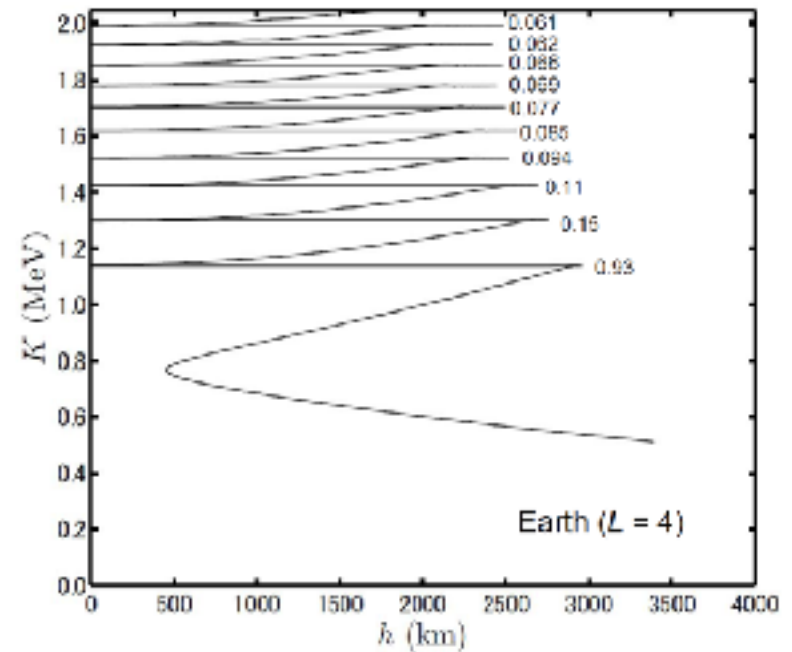
$$\gamma = \frac{1}{\sqrt{1 - (v/c)^2}}$$

Relativistic Turning Acceleration (RTA)

Ultra-Relativistic Acceleration (URA)

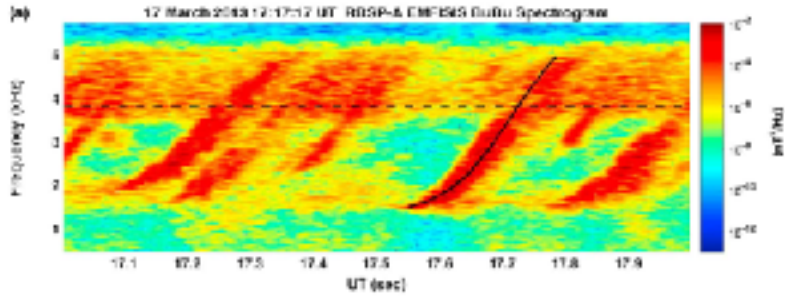


[Omura, et al., 2007]

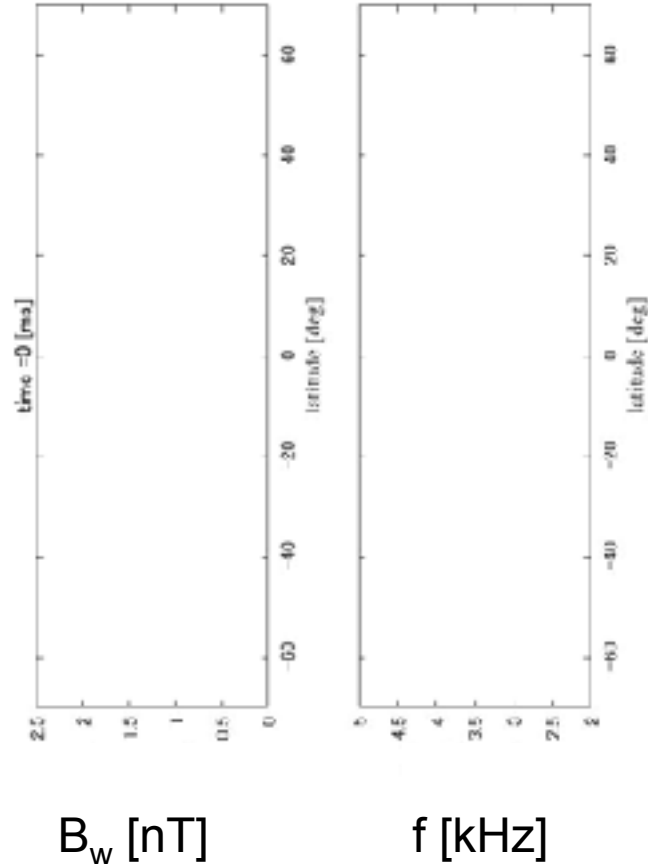
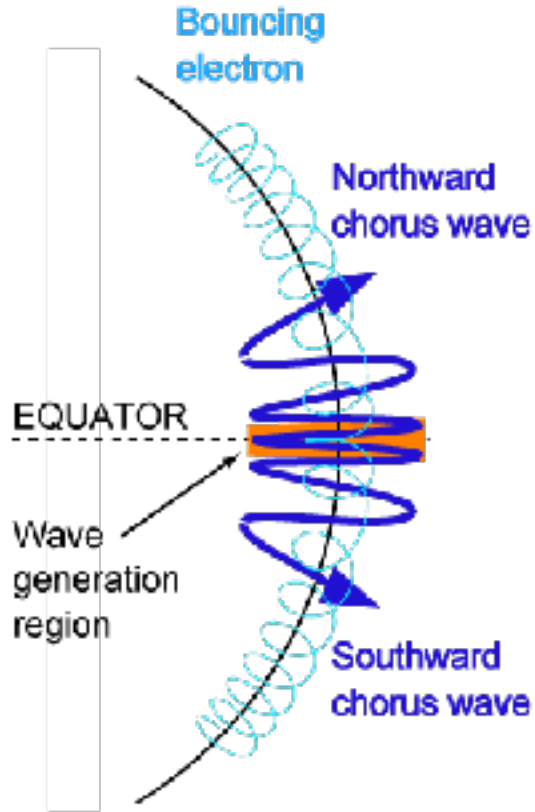
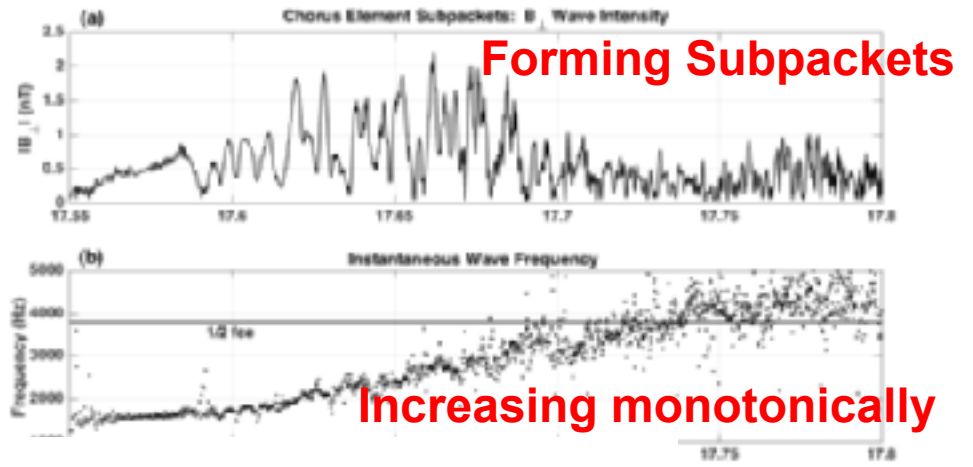


[Summers and Omura, 2007]

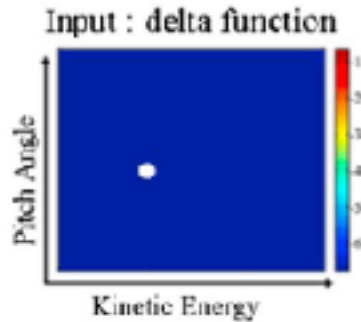
Chorus Waves



[Foster et al., 2017]



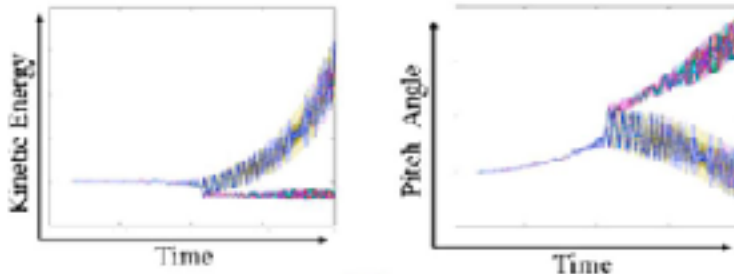
Numerical Green's Functions



$$\delta(E - E_0, \alpha - \alpha_0)$$

×

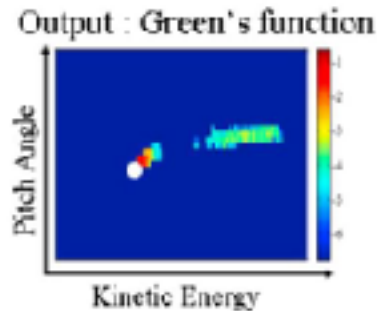
Test particle simulation through 1-cycle chorus emission



\mathcal{C}

$$m_e \frac{d(\gamma \mathbf{v})}{dt} = -e [\mathbf{E}_w + \mathbf{v} \times (\mathbf{B}_0 + \mathbf{B}_w)]$$

||



$$G(E, E_0, \alpha, \alpha_0)$$

[Omura et al., 2015]

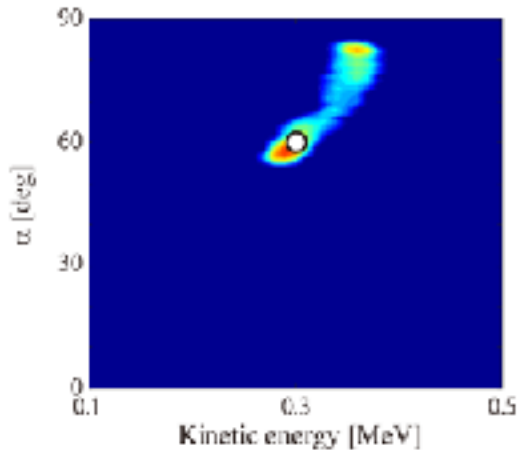
Numerical Green's Function Method

Test particle simulation:
Linearity

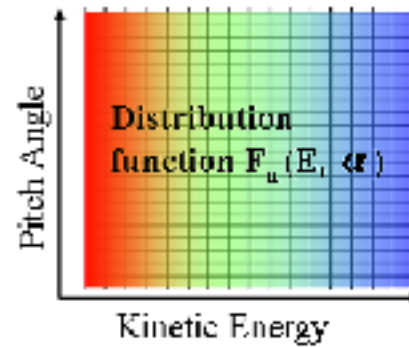
$$C[f_a + f_b] = C[f_a] + C[f_b]$$

$$WC[f_a] = C[wf_a]$$

Green's function



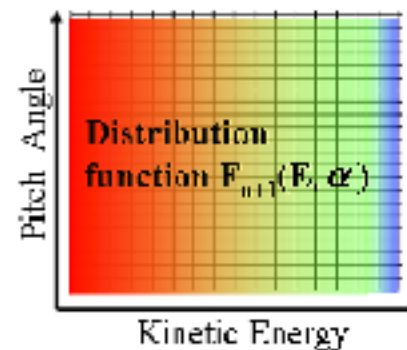
Input : Electron distribution function at n cycles



Convolution integral

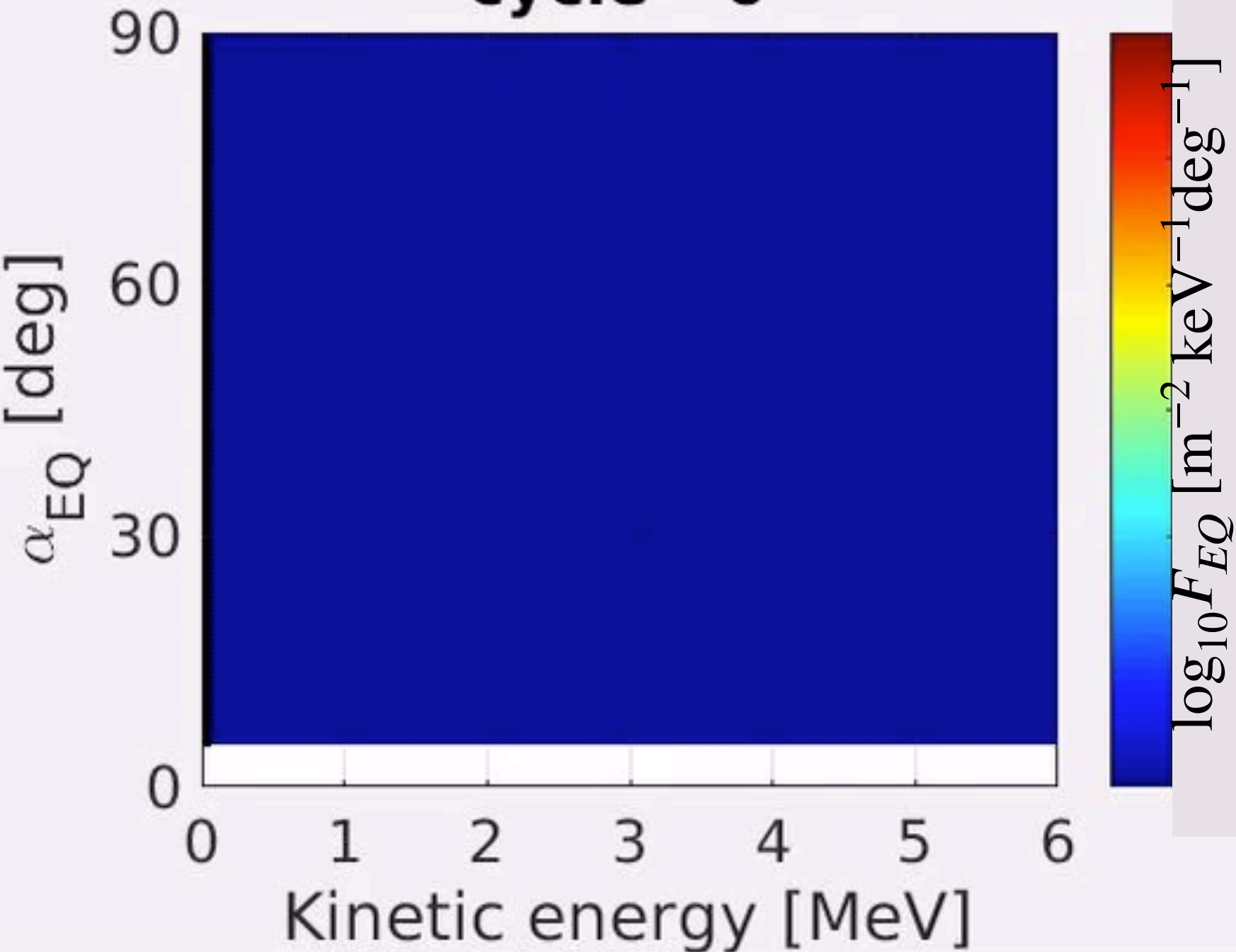
$$f_n(E, \alpha) = \sum_{\alpha_0} \sum_{E_0} f_{n-1}(E_0, \alpha_0) G(E, E_0, \alpha, \alpha_0) \Delta E \Delta \alpha$$

Output : Distribution after $n+1$ cycle chorus emission

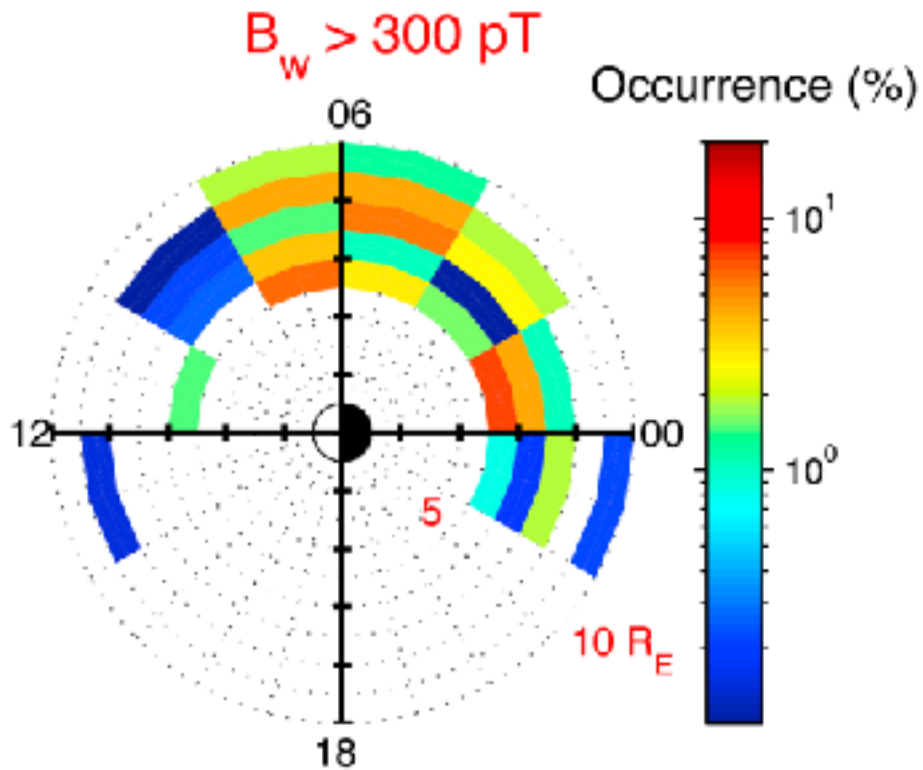


[Omura et al., 2015]

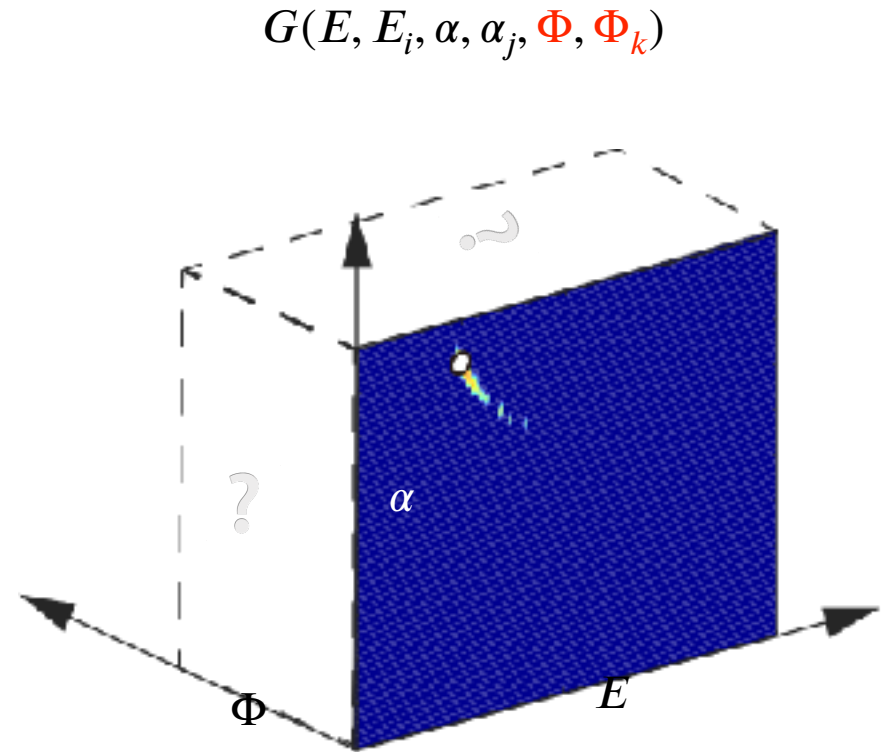
cycle = 0



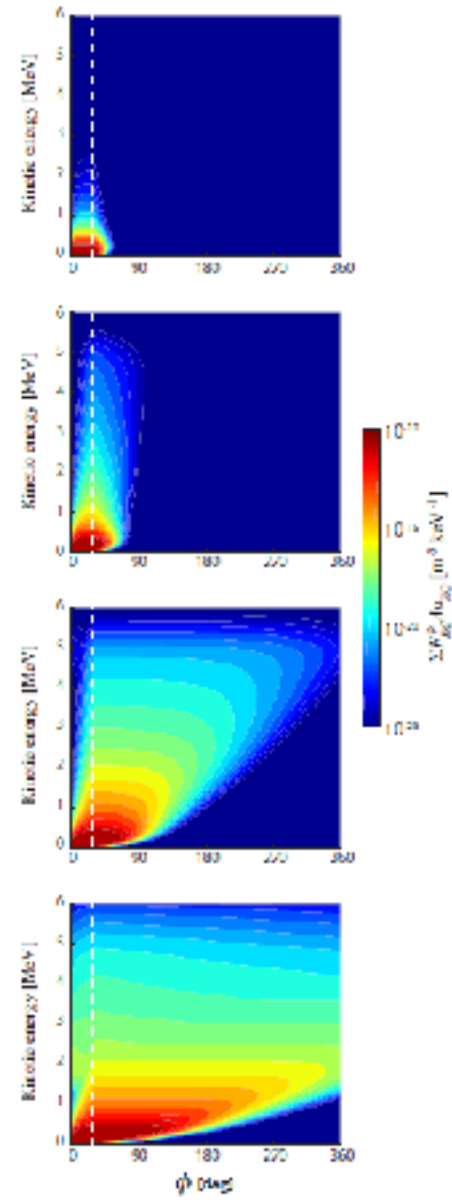
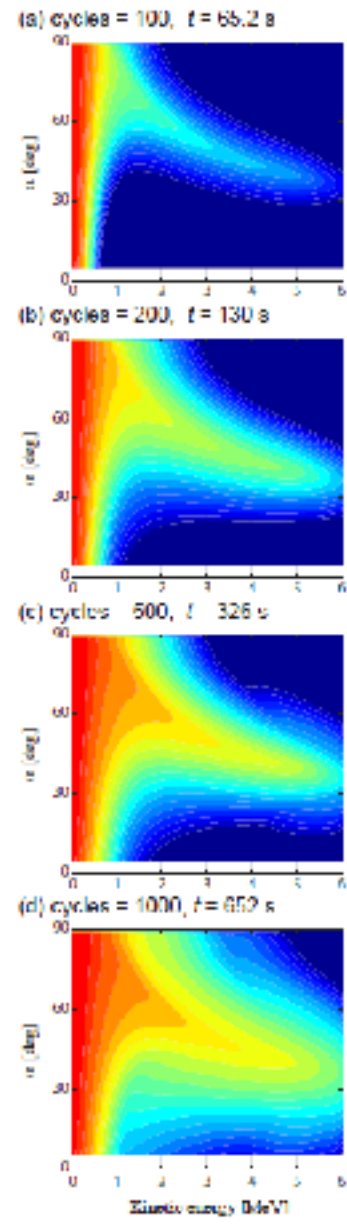
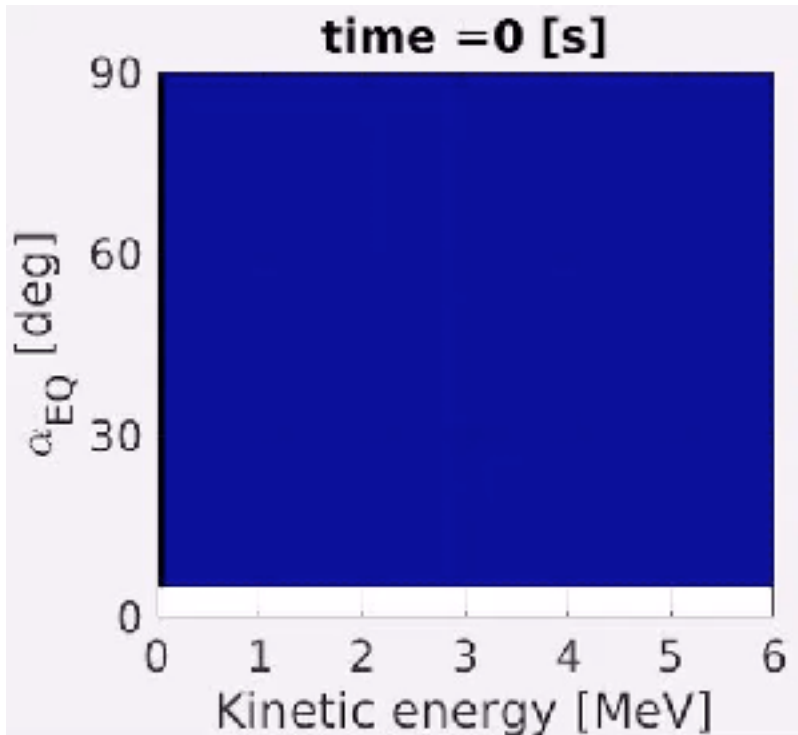
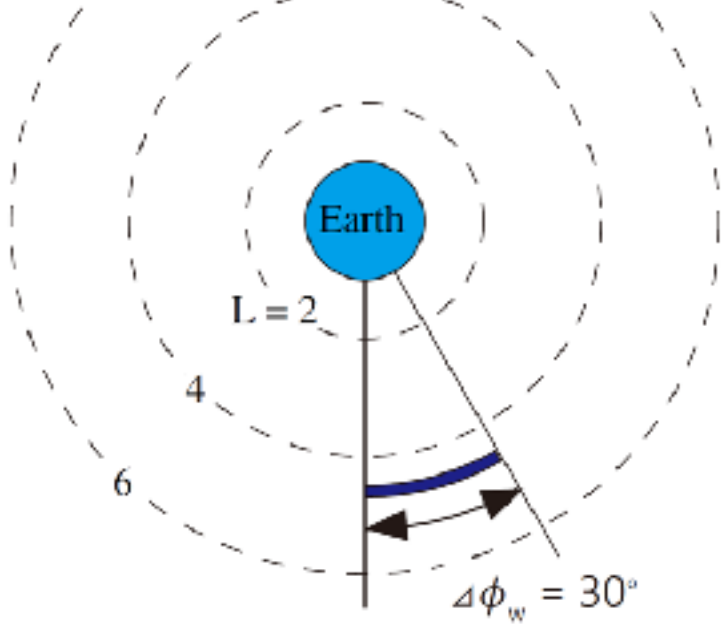
Longitudinal Dependency



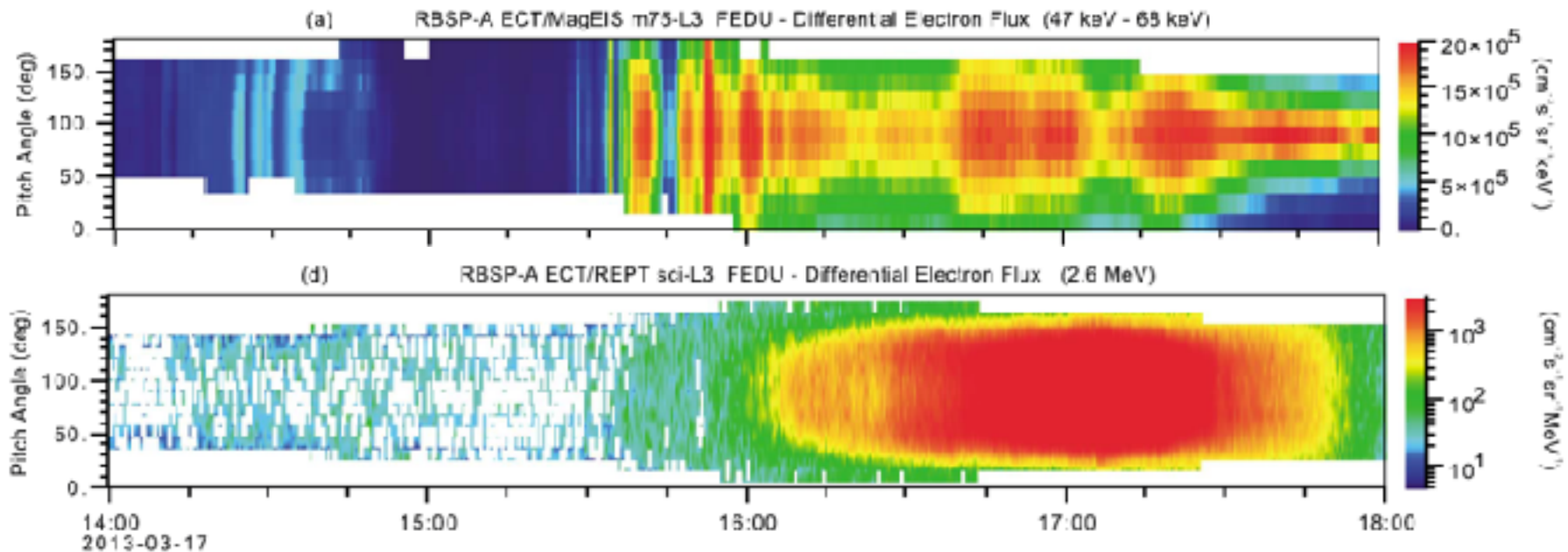
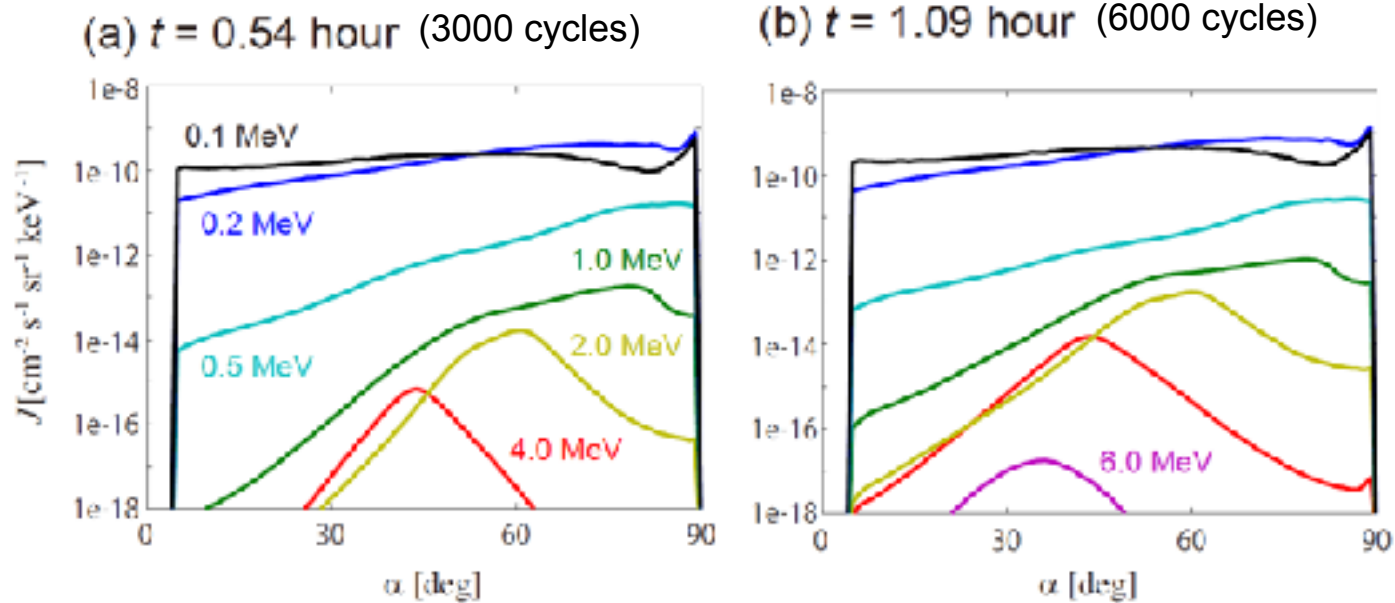
[Li et al., JGR, 2011]



Constant influx: 10 - 30 keV electrons



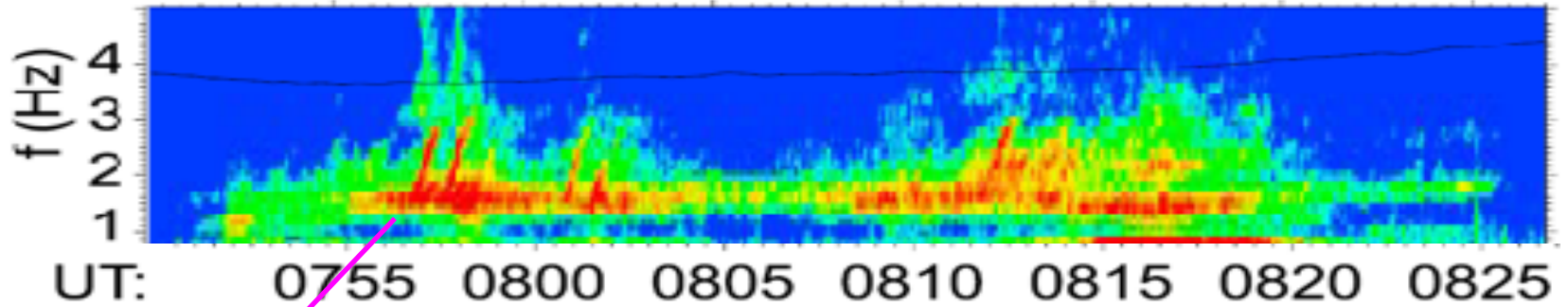
Differential Electron Flux (Simulation and Observation)



EMIC Rising-tone Emissions

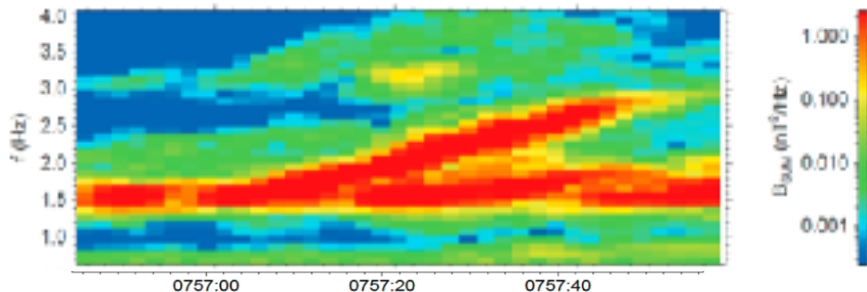
30 March 2002

CLUSTER 4



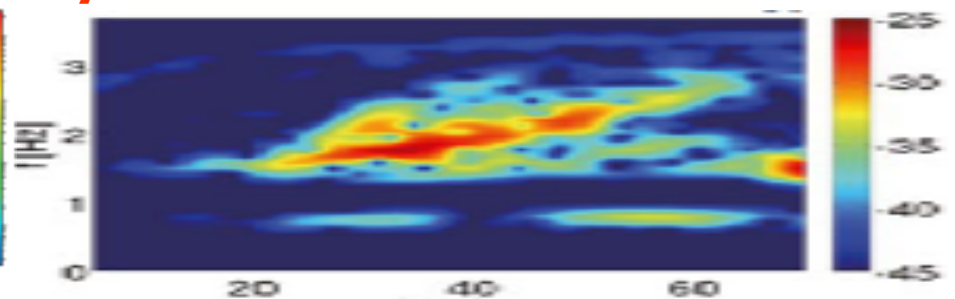
[Pickett et al., GRL, 2010]

Nonlinear Wave Growth Theory



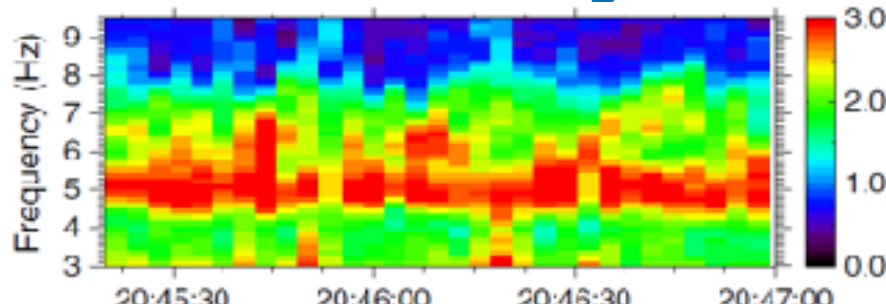
[Omura et al., JGR, 2010]

Hybrid Code Simulations



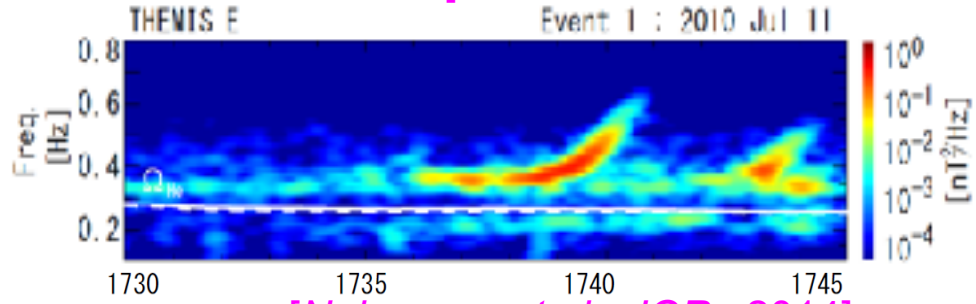
[Shoji and Omura, JGR, 2011, 2012, 2013, 2014]

Radiation Belt Slot Region



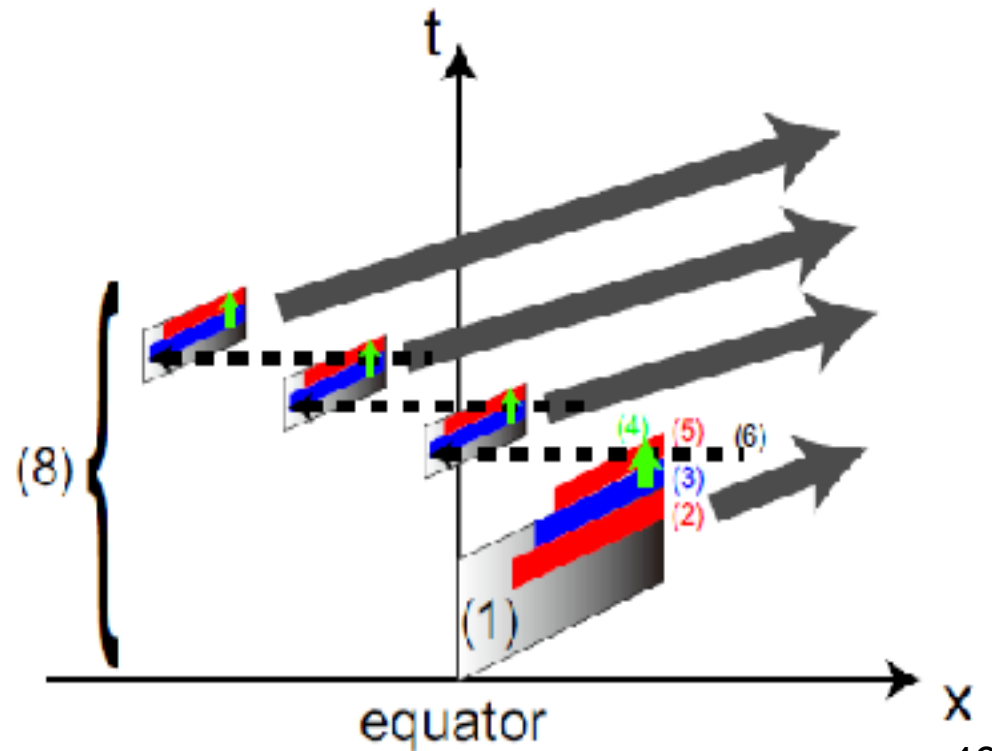
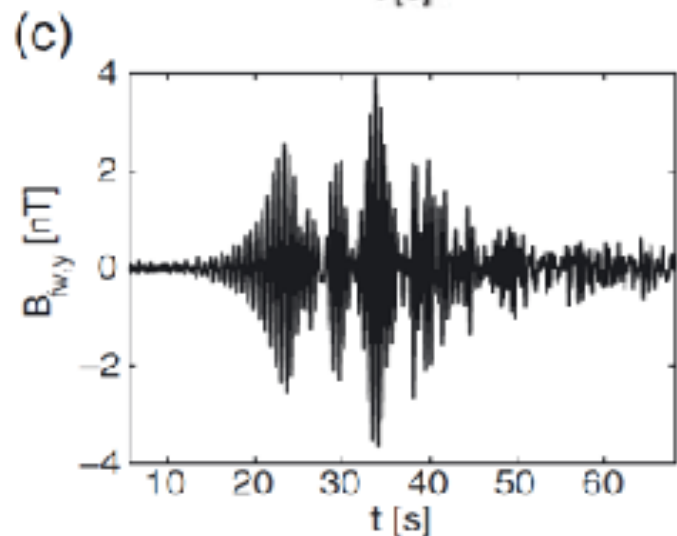
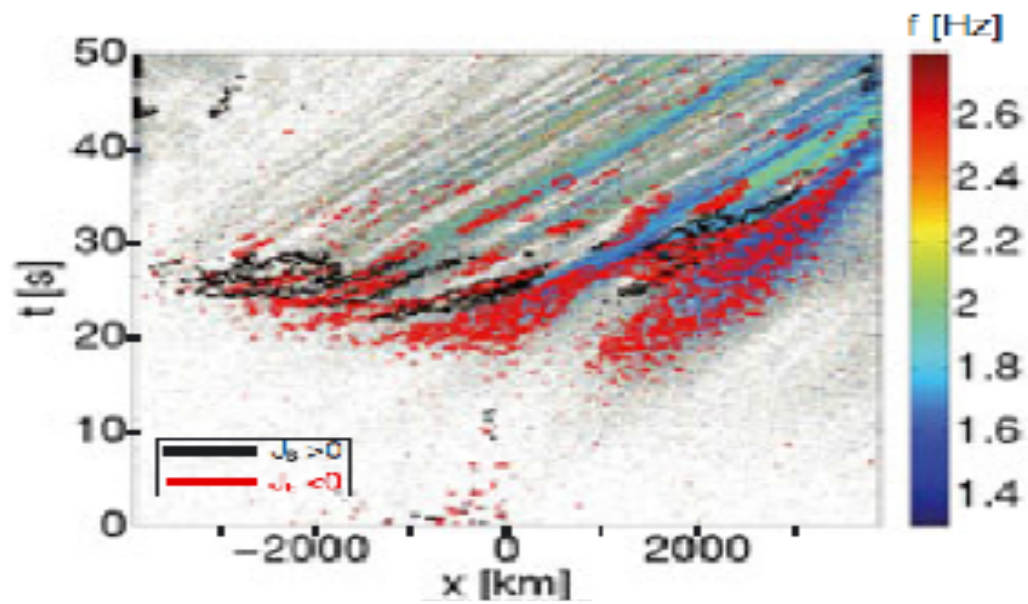
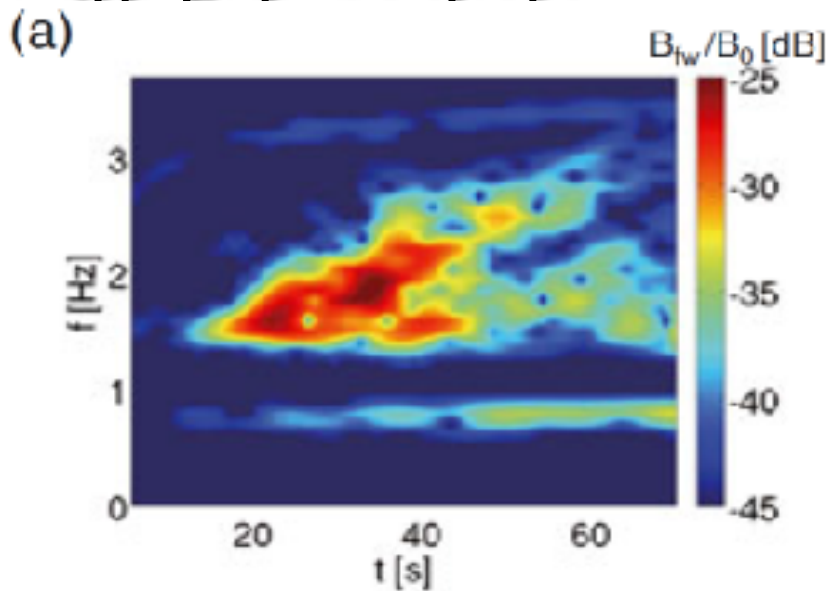
Akebono [Sakaguchi et al., GRL, 2013]

Outside Plasmapause

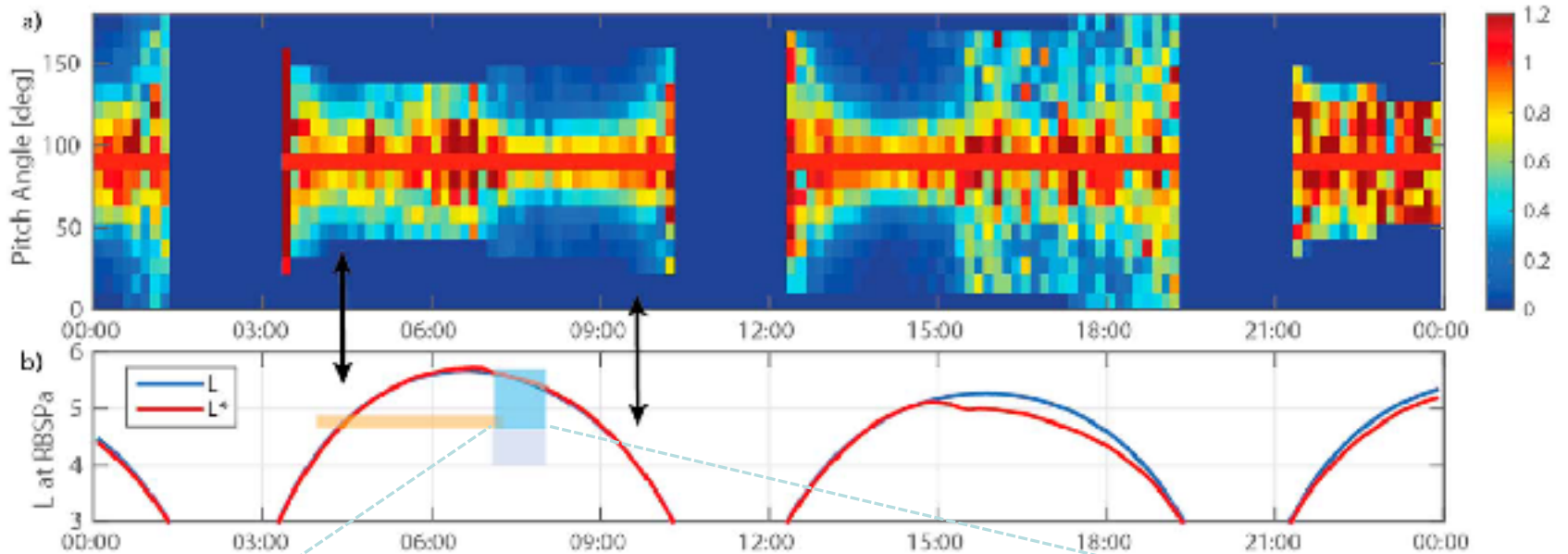


[Nakamura et al., JGR, 2014]

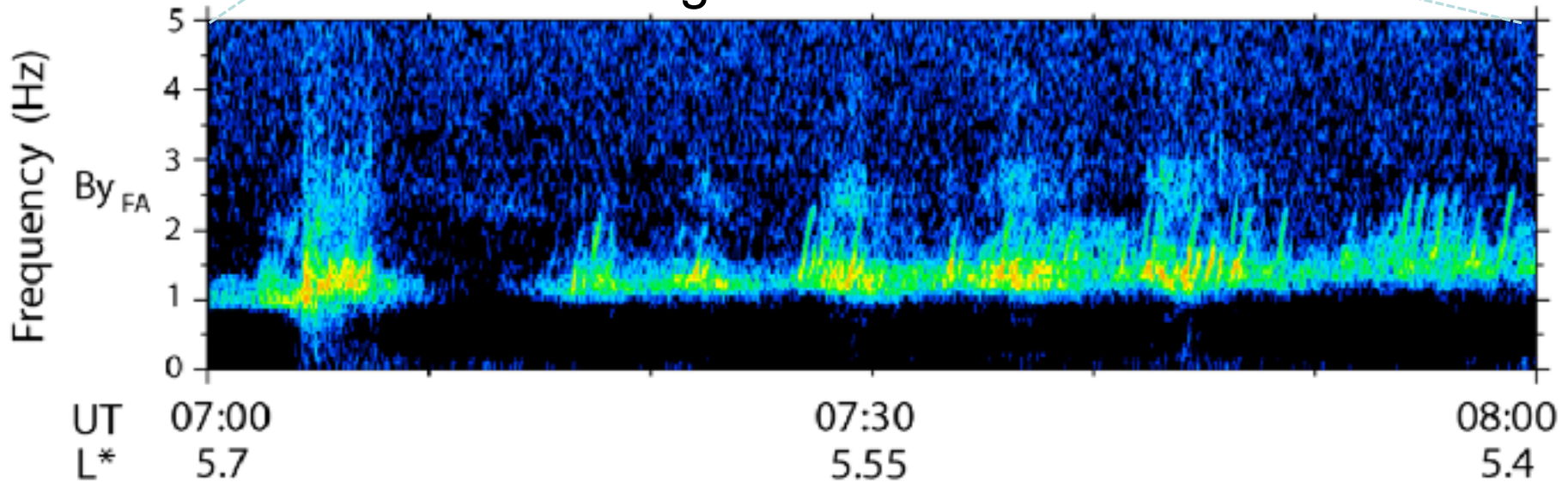
EMIC Rising Tone Emissions



[Shoji and Omura, JGR, 2013]



EMIC rising-tone emissions



Anomalous Cyclotron Resonance

The first-order resonance condition

$$\omega - kv_{\parallel} = -\frac{\Omega_e}{\gamma}$$

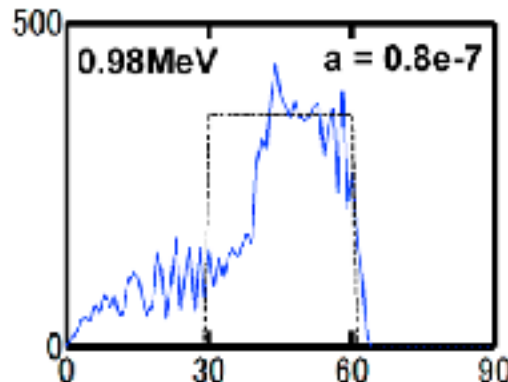
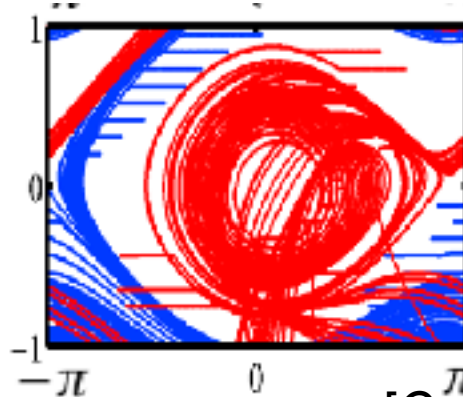
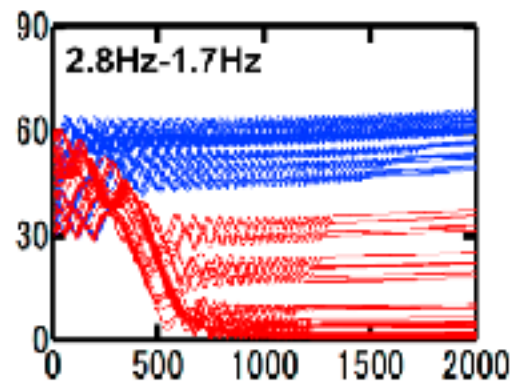
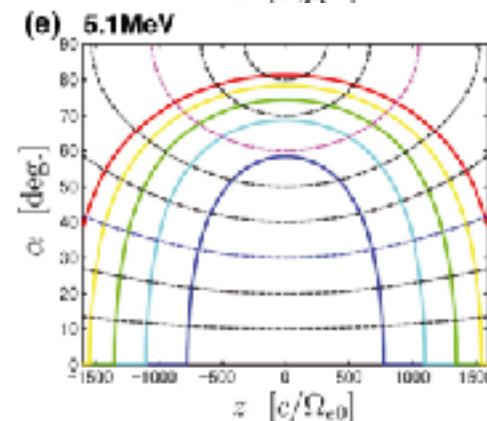
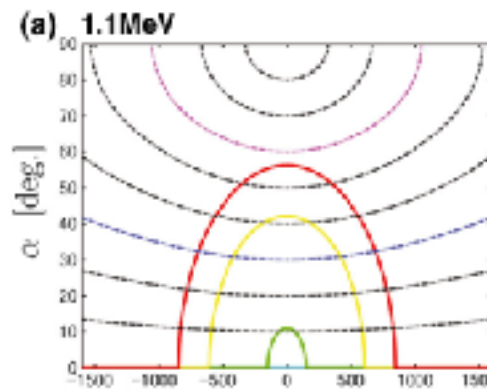
$$\omega < \Omega_H \ll \Omega_e \quad V_R = \frac{\Omega_e}{\gamma k}$$

The second-order resonance condition

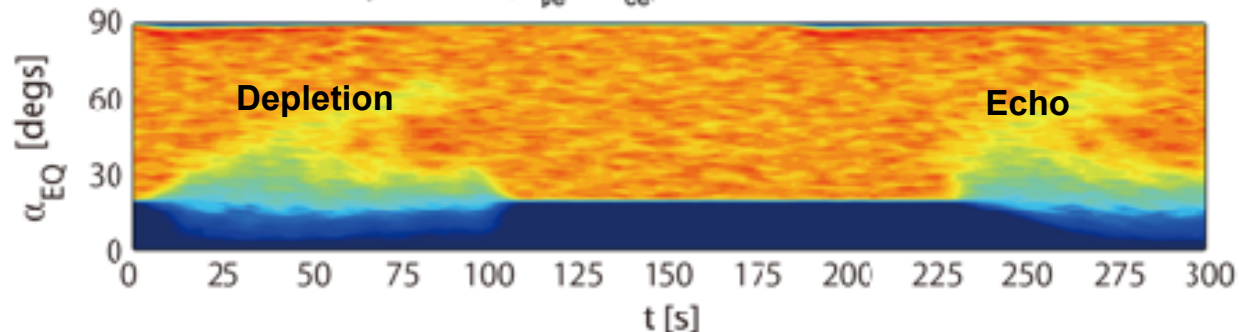
$$\frac{d\theta}{dt} = \omega_{tr}^2 (\sin \xi + S) \quad |S| < 1$$

$$\frac{d\xi}{dt} = -\theta \quad S = -\frac{1}{\omega_{tr}^2} \left(s_1 \frac{\partial \omega}{\partial t} + s_2 V_p \frac{\partial \Omega_e}{\partial z} \right)$$

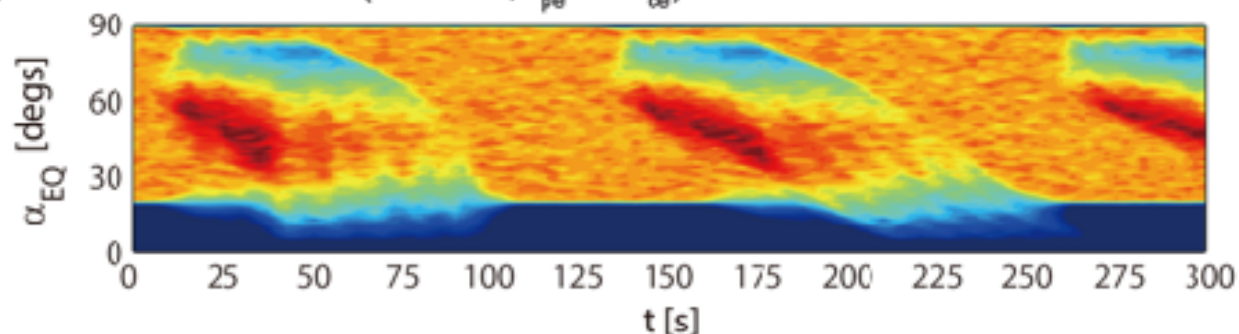
$$\left(\frac{d\alpha}{dt} \right)_{\text{wave}} = -\frac{1}{kv_{\perp}} \left(s_1 \frac{\partial \omega}{\partial t} + 2s_2 V_p \Omega_e a z \right)$$



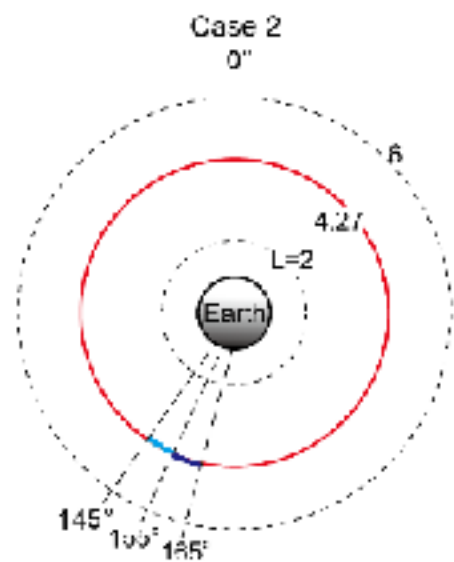
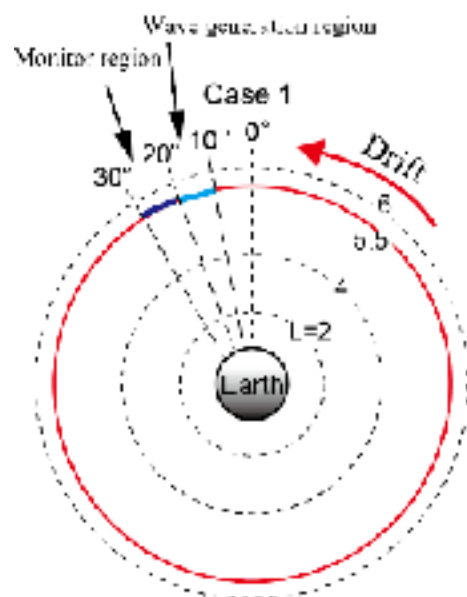
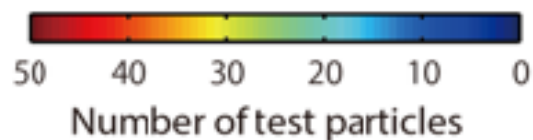
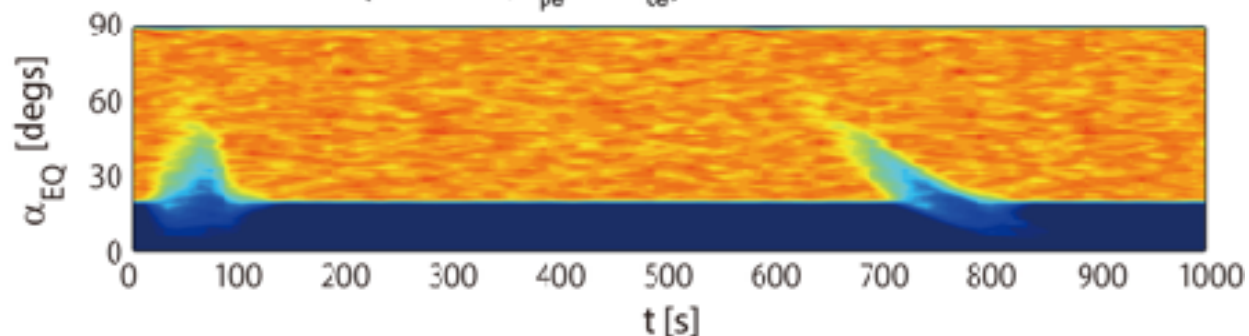
(a) 5.6 MeV in Case 1 (H-band, $f_{pe} = 4f_{ce}$)



(b) 5.6 MeV in Case 2 (H-band, $f_{pe} = 18f_{ce}$)

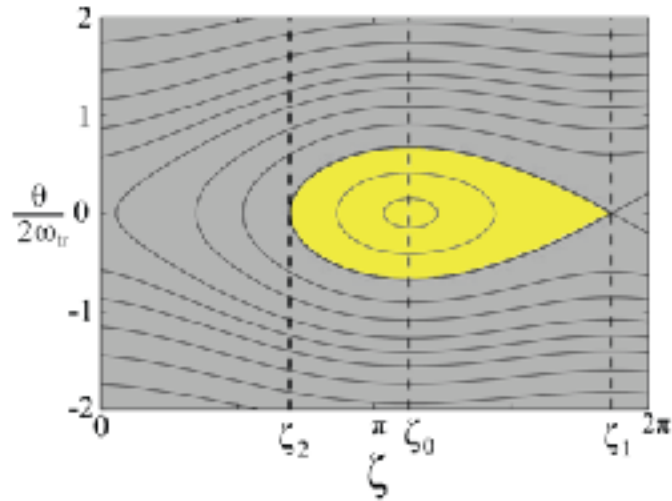
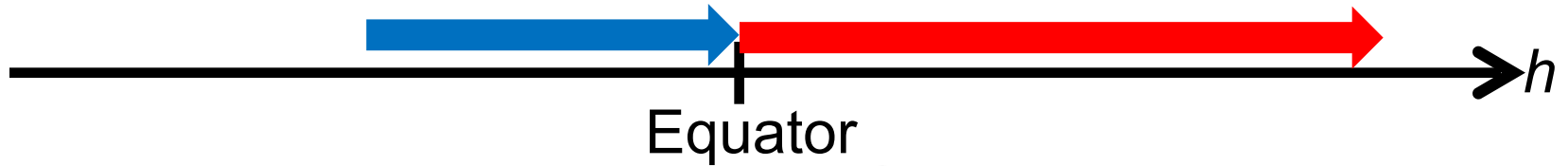


(c) 1.0 MeV in Case 2 (H-band, $f_{pe} = 18f_{ce}$)



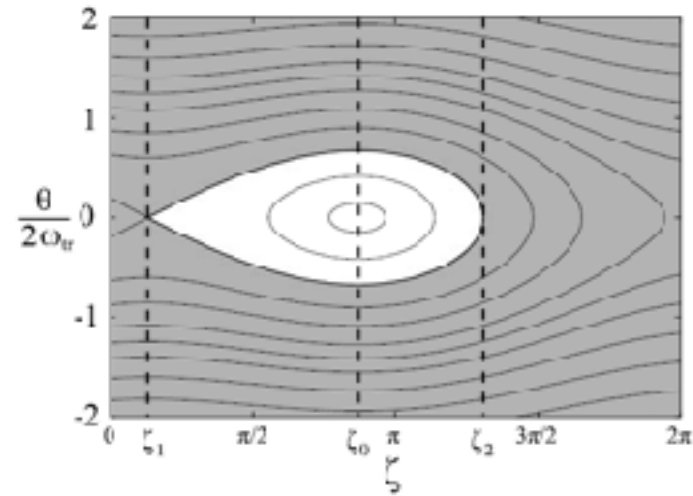
Nonlinear Wave Growth near Equator

$$\frac{\partial \Omega_e}{\partial h} \sim 0 \quad S = -\frac{s_1}{s_0 \omega \Omega_w} \frac{\partial \omega}{\partial t}$$



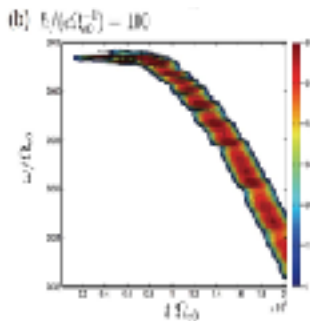
Electron Hill
for **Falling Tone**

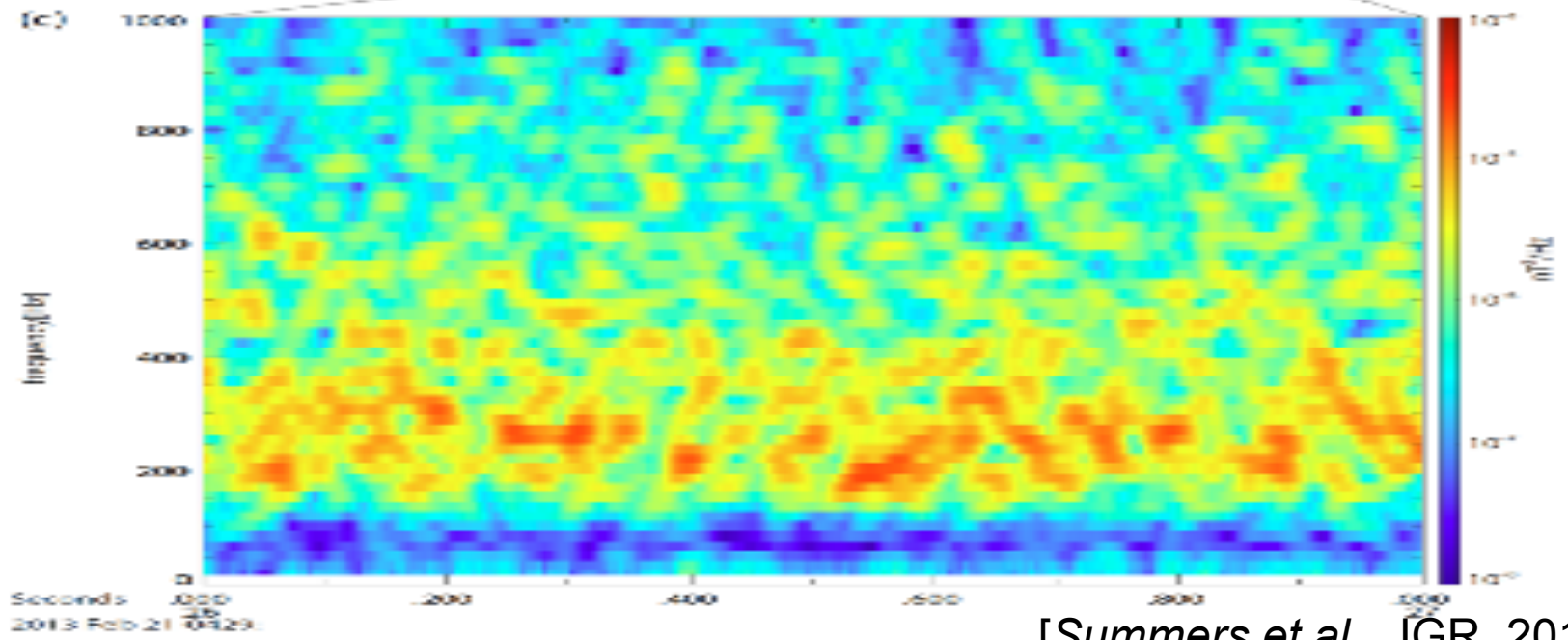
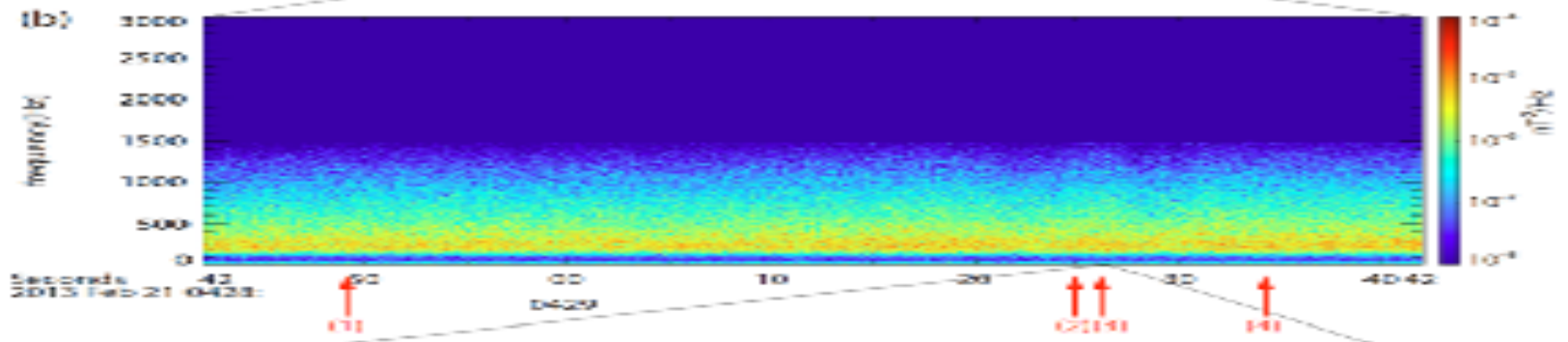
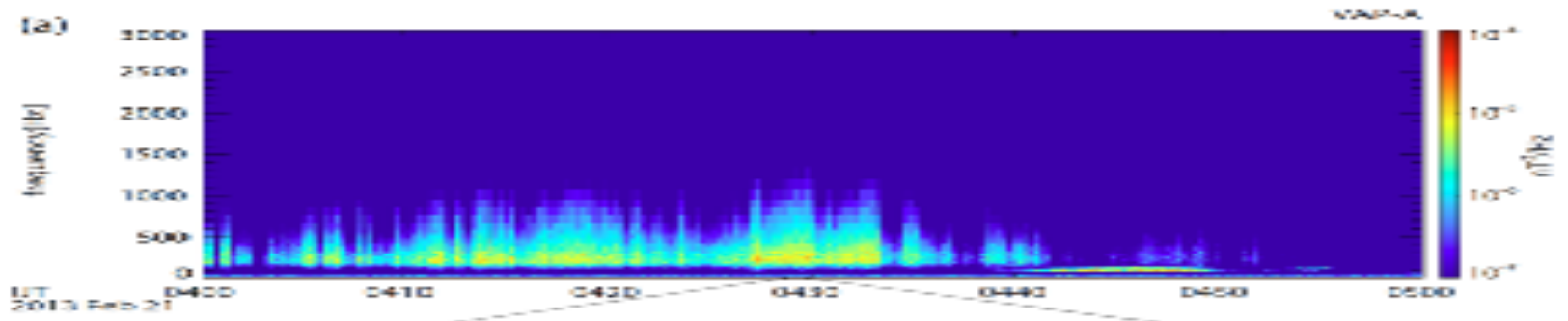
$$S > 0$$



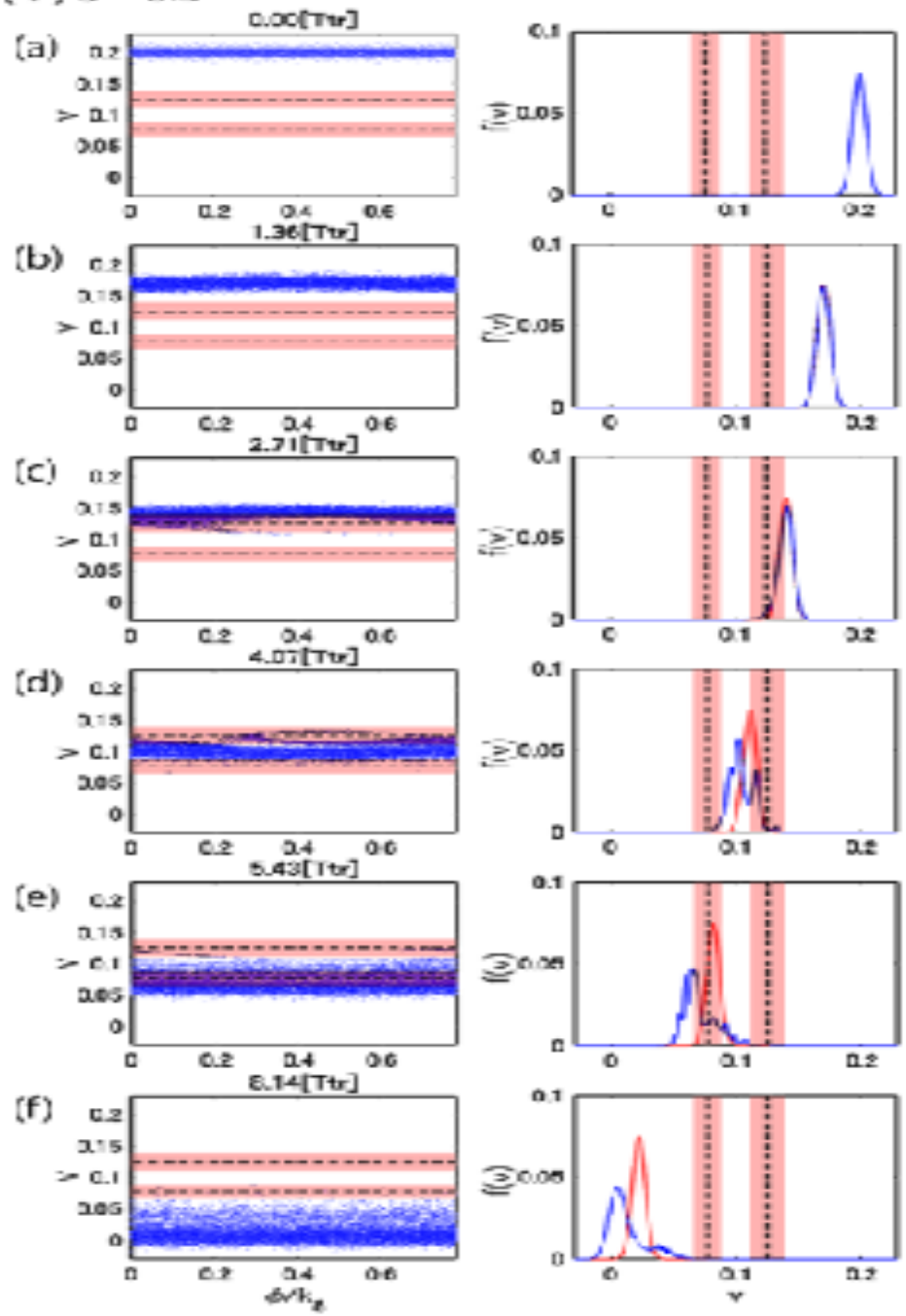
Electron Hole
for **Rising Tone**

$$S < 0$$

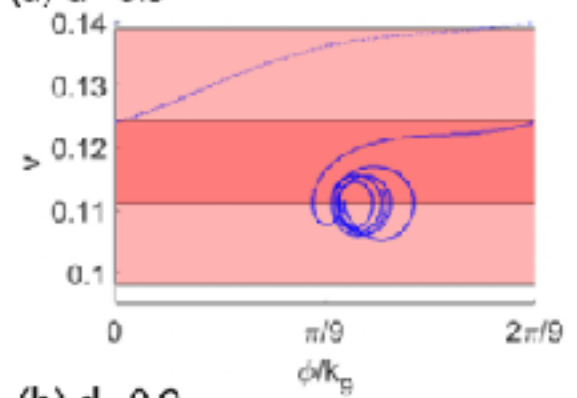




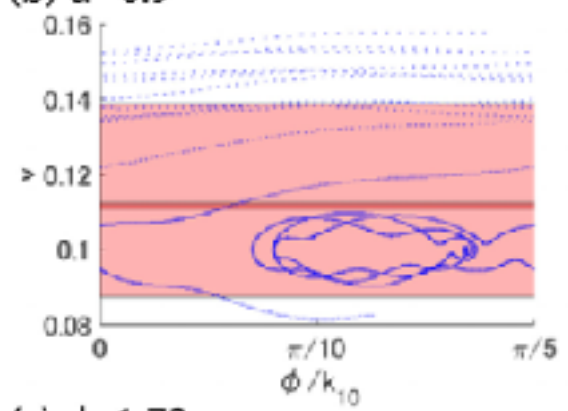
(1) $S = 0.5$



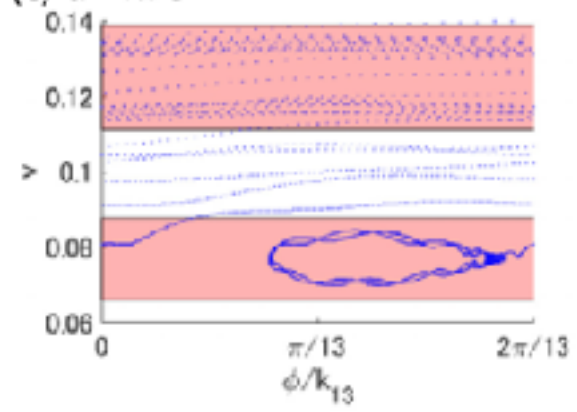
(a) $d=0.5$



(b) $d=0.9$



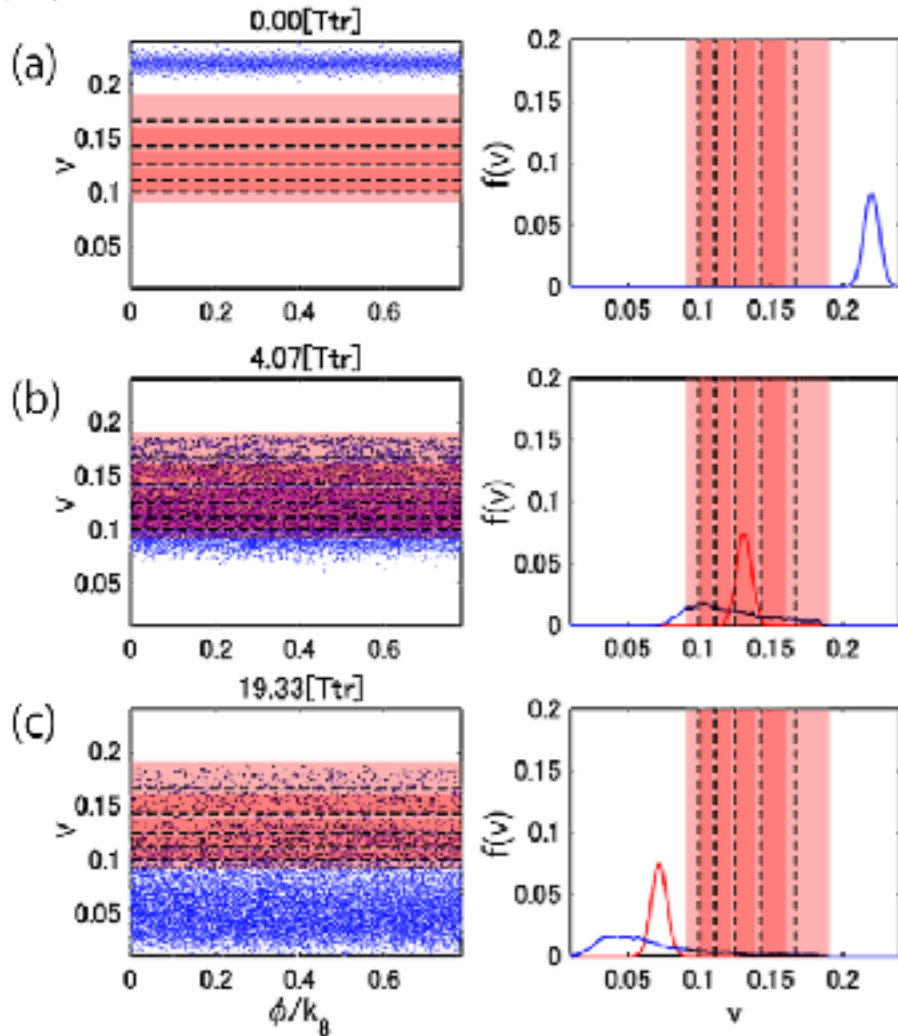
(c) $d=1.73$



[Tobita and Omura, PoP, 2018]

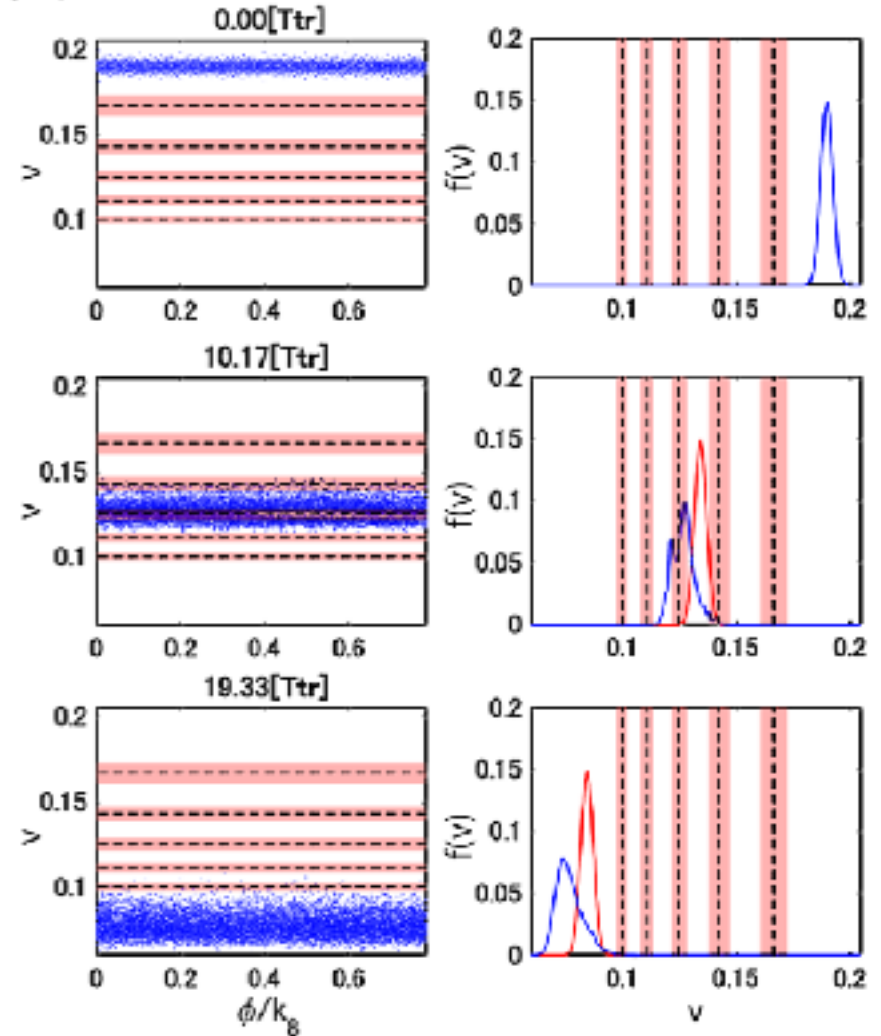
Incoherent Waves

(I) $d=0.5$



Coherent Waves

(II) $d=2$



Separability Criterion

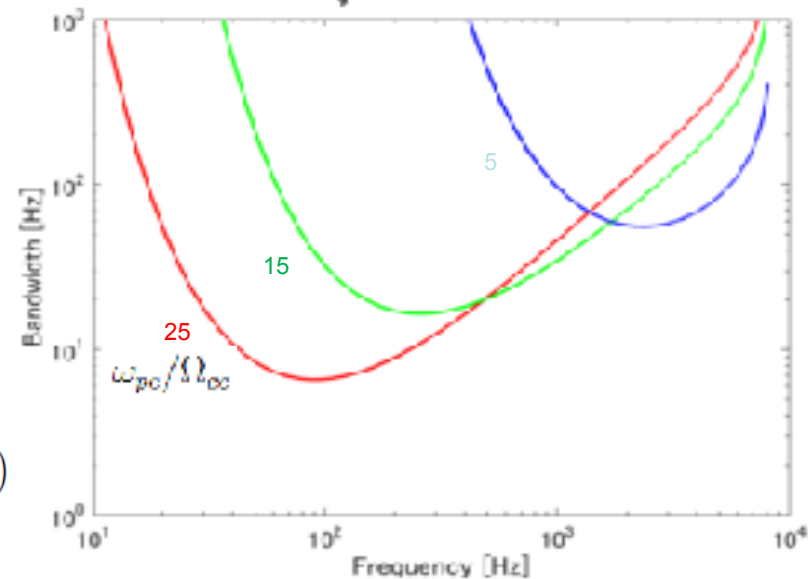
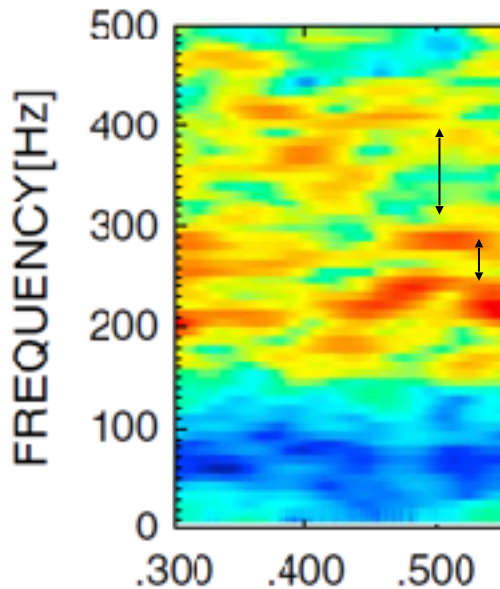
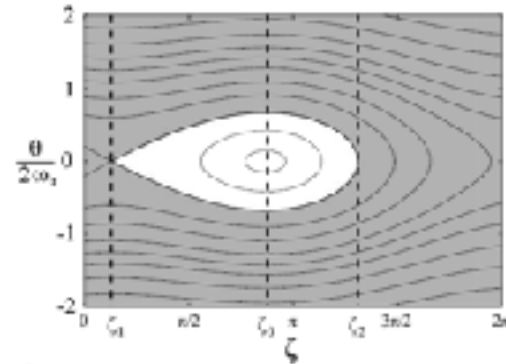
Assuming a hiss emission comprising n coherent waves, we have n trapping potentials in the velocity phase space.

$$V_R^j - V_{tr}^j < v_{\parallel} < V_R^j + V_{tr}^j$$

$$(j = 1, 2, 3, \dots, n)$$

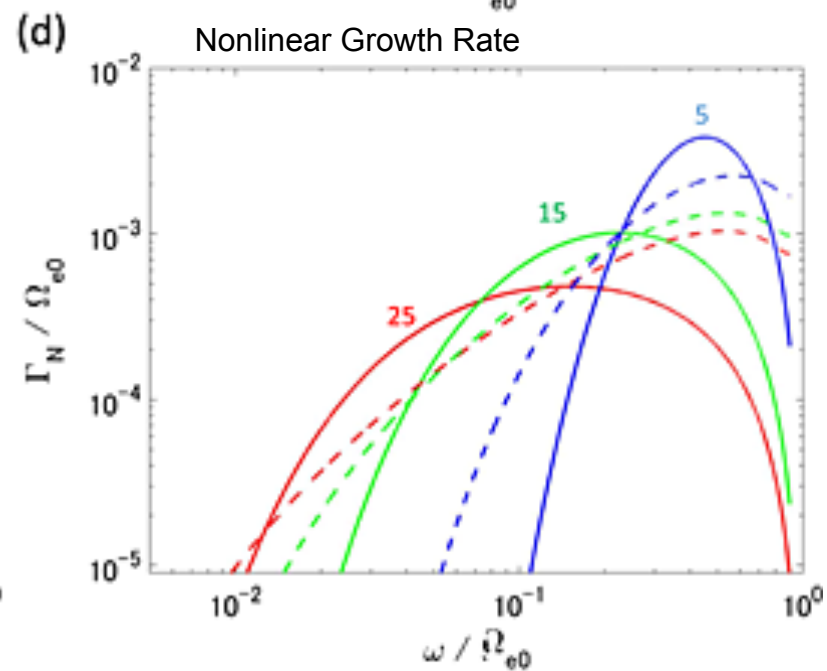
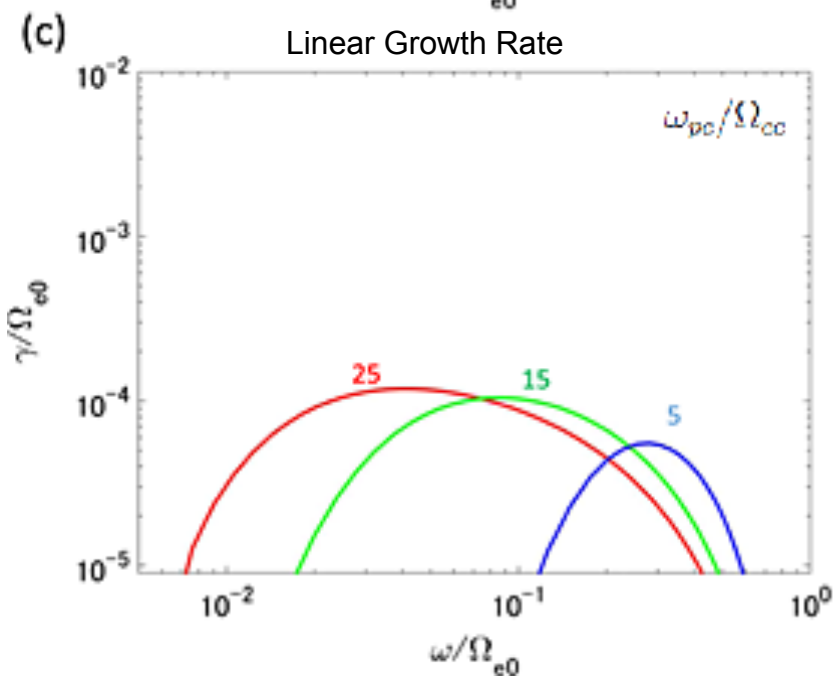
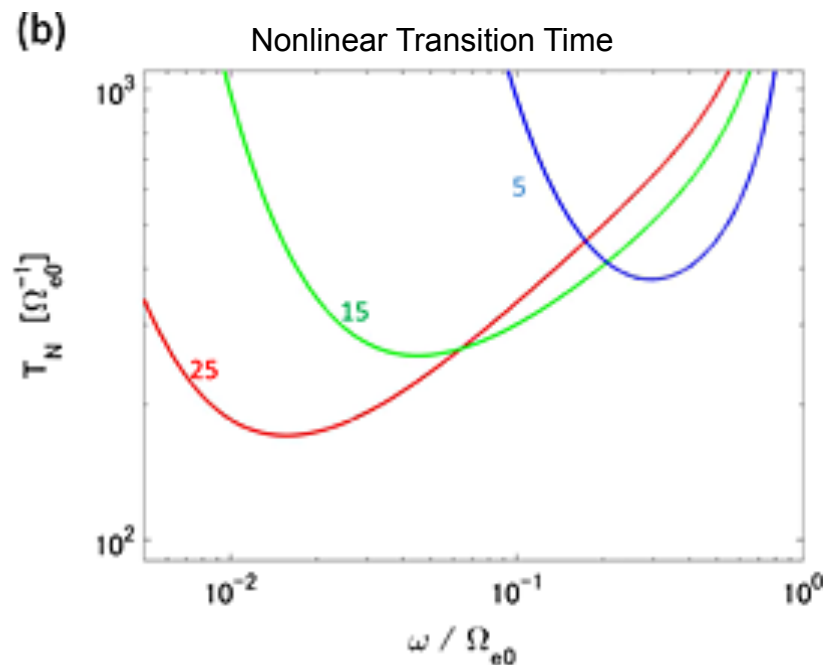
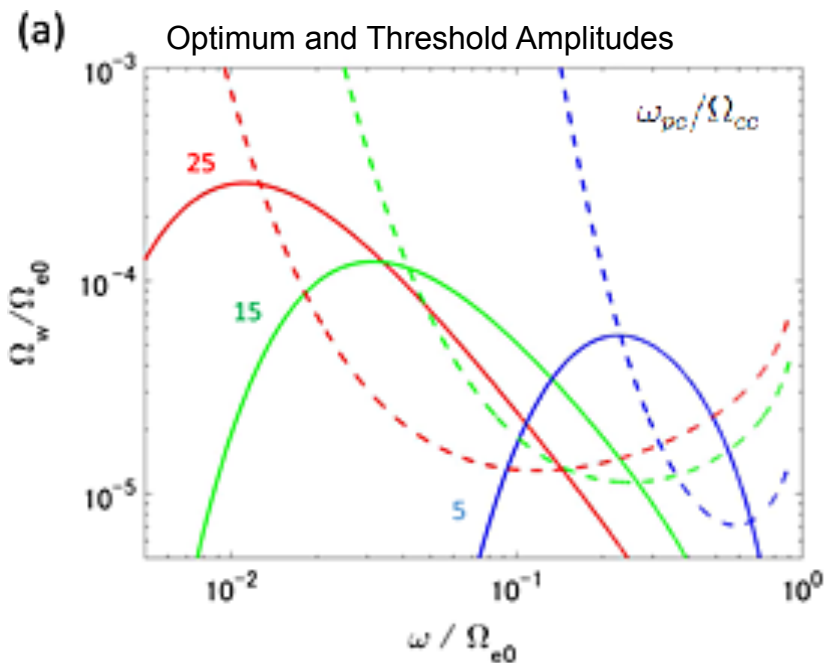
We assume that the frequencies are separated so that there is no overlap of the trapping potentials.

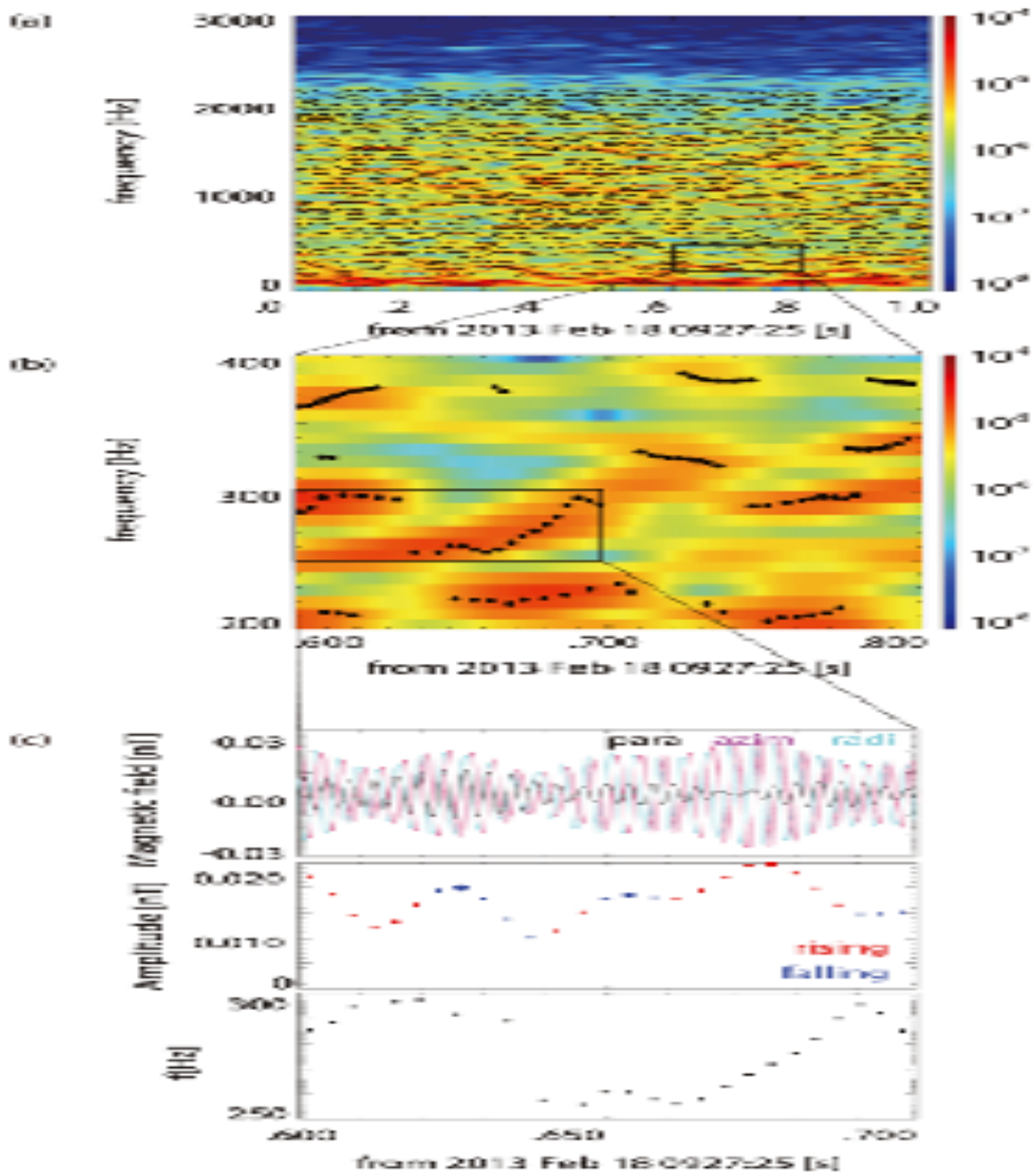
$$|V_R^{j+1} - V_R^j| \gg V_{tr}^j + V_{tr}^{j+1}$$



$$\Delta\omega = \left(\frac{\partial V_R}{\partial \omega} \right)^{-1} (2V_{tr})$$

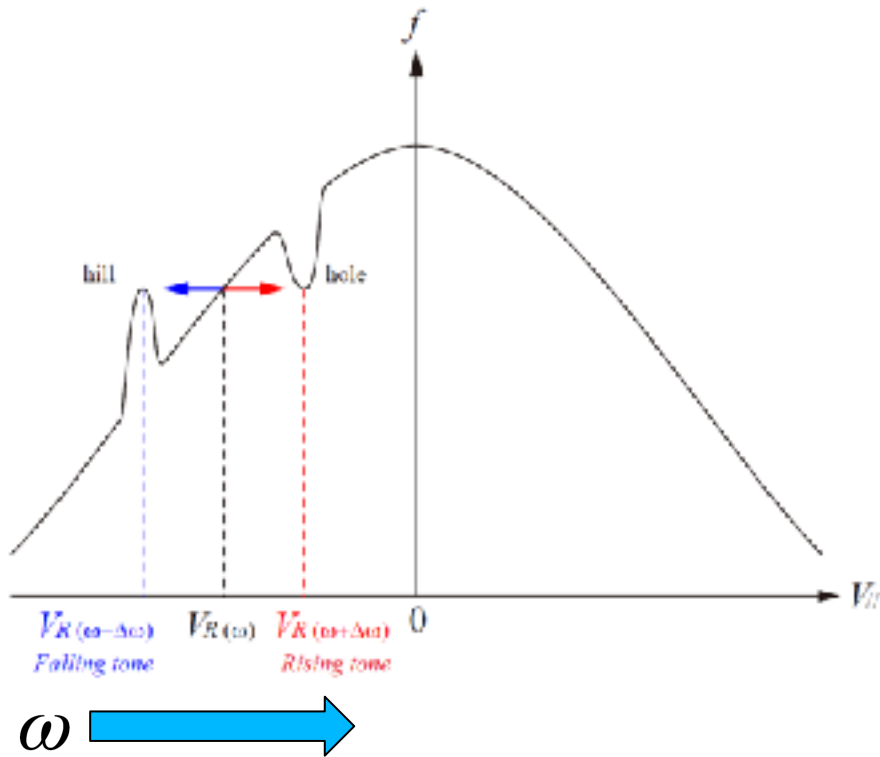
$$\Delta\omega = 4\omega_{tr} \left\{ 1 + \frac{\Omega_e/\gamma - \omega}{\omega} \chi^2 \left[\xi^2 + \frac{\Omega_e}{2(\Omega_e - \omega)} \right] \right\}^{-1}$$





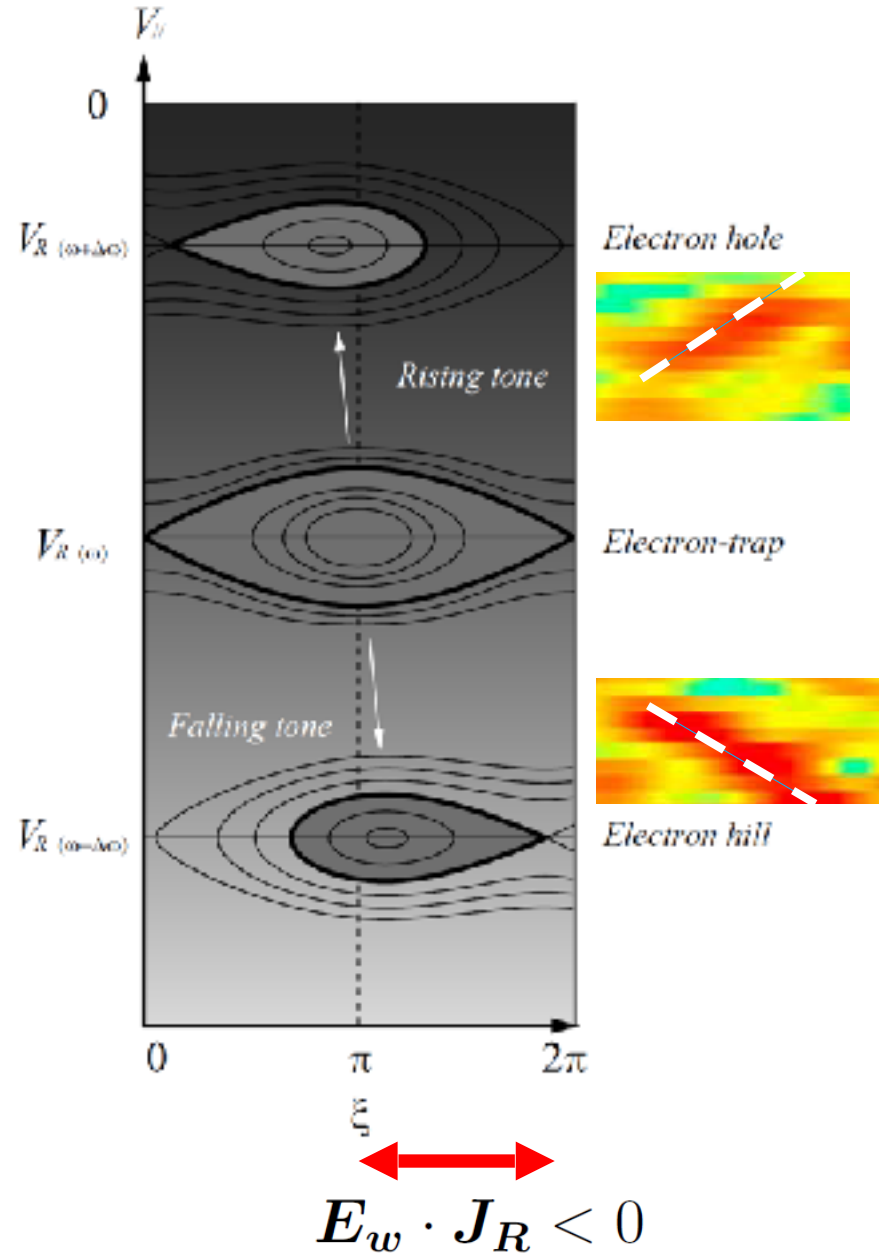
Formation of Electron Hole (rising tone) and Hill (falling tone)

hiss element
= chorus subpacket

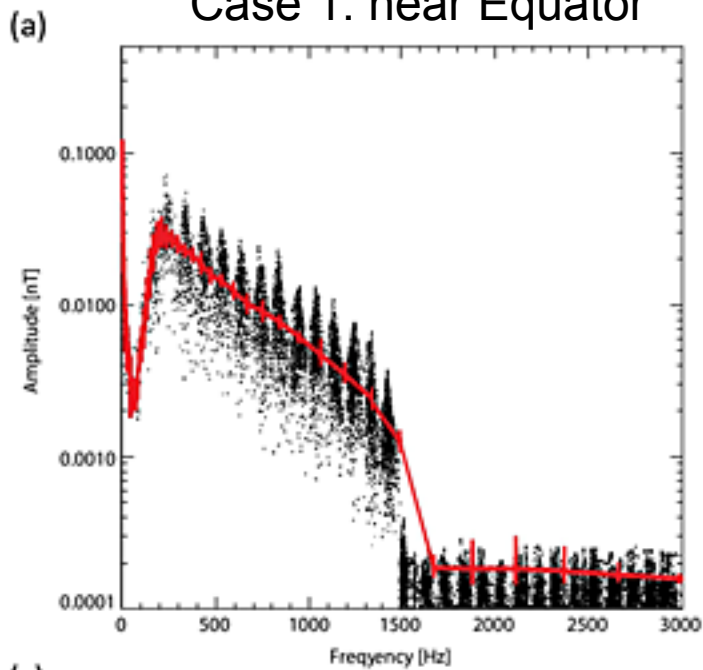


$$V_R = \frac{1}{k} \left(\omega - \frac{\Omega_e}{\gamma} \right)$$

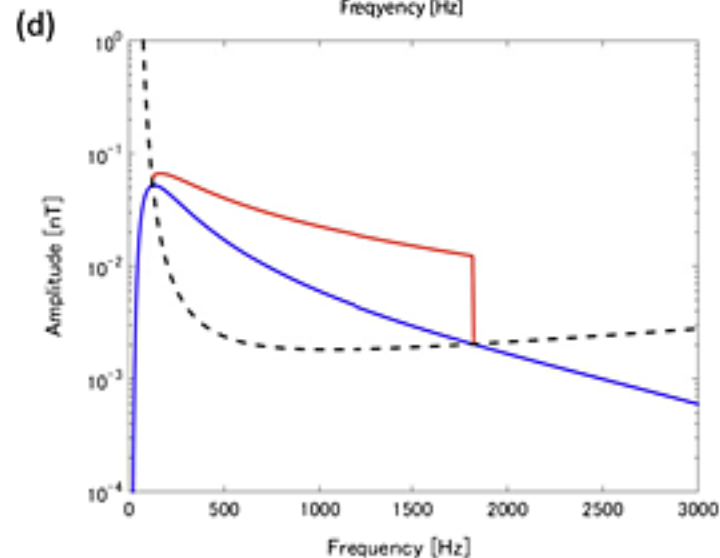
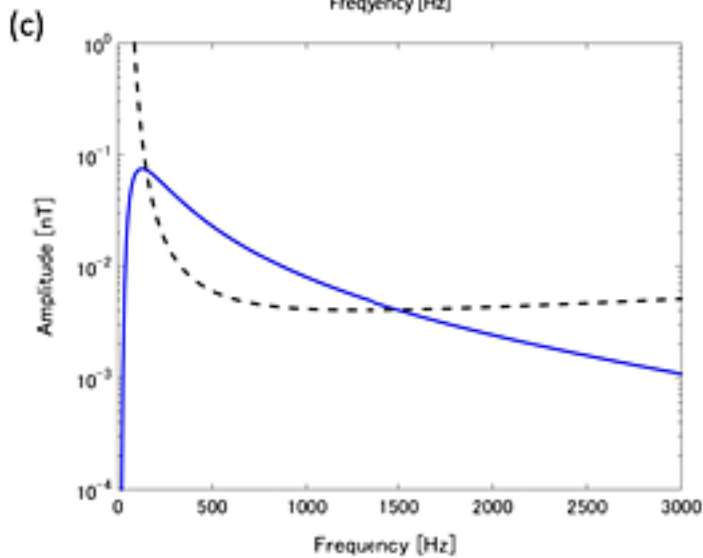
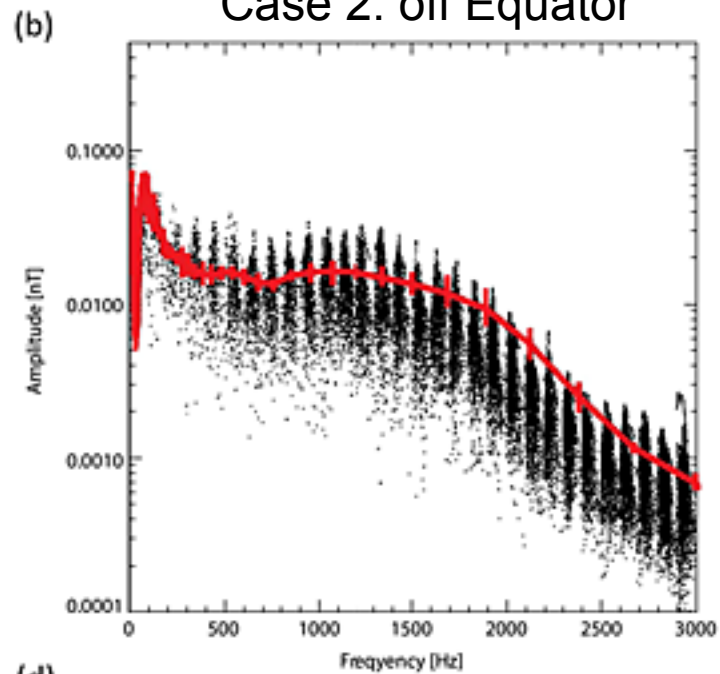
[Omura et al., JGR, 2015]



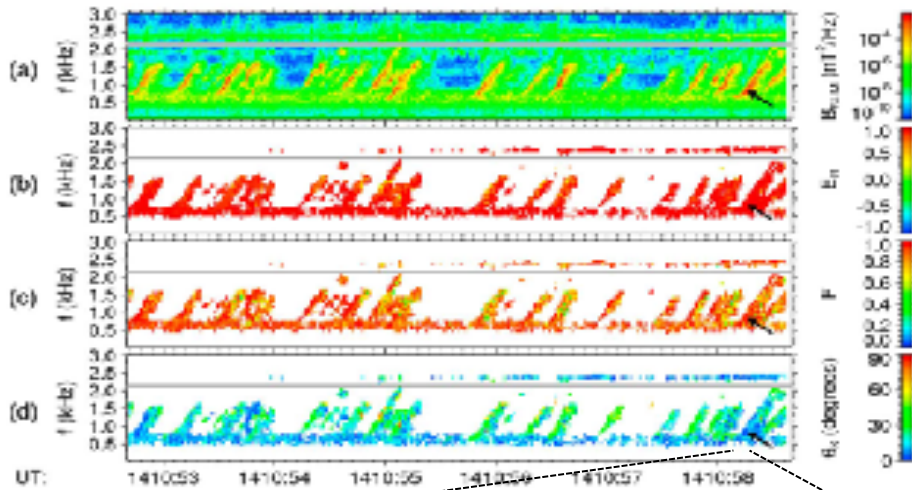
Case 1: near Equator



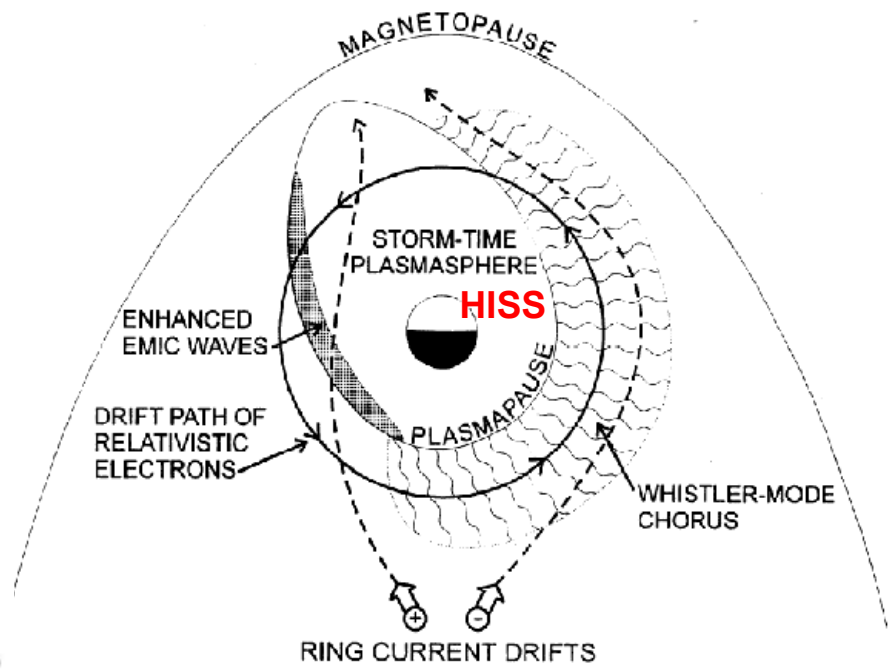
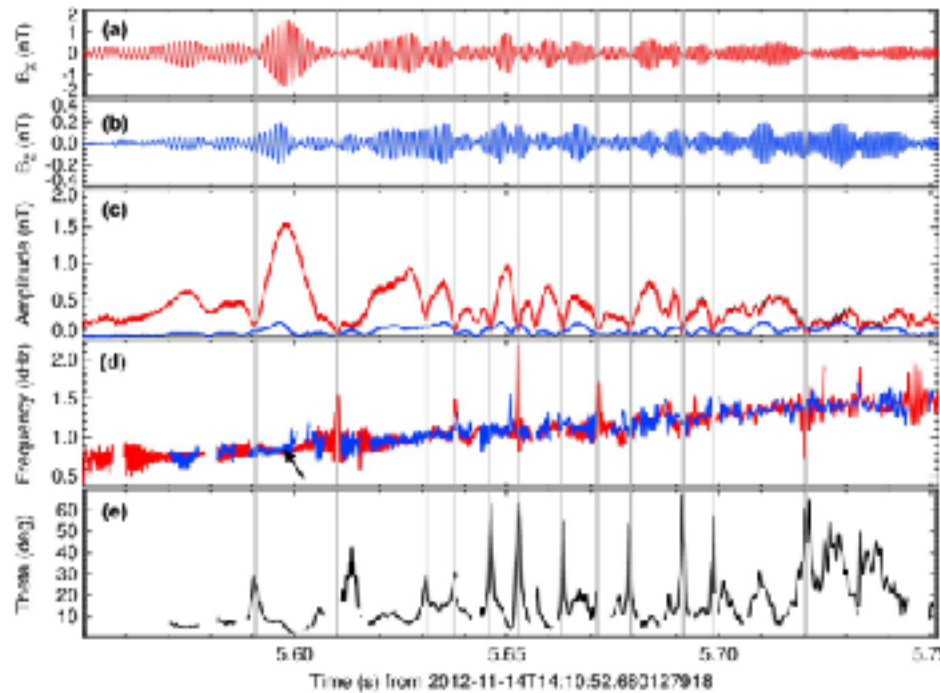
Case 2: off Equator



Summary 1



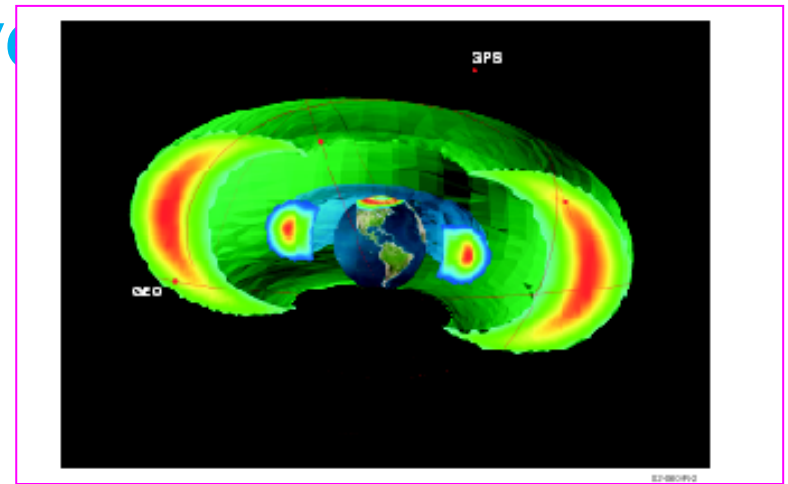
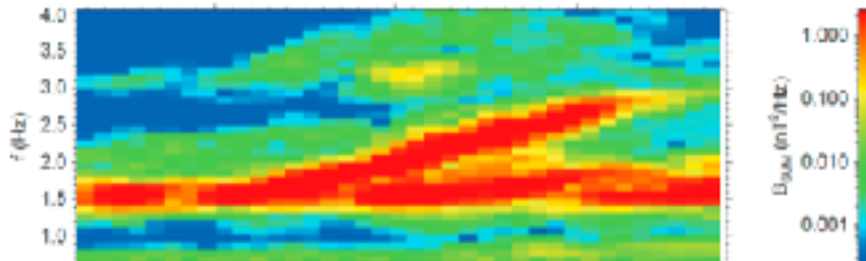
- **Absolute Instability:**
 Rising Tone: Electron Hole
 Falling Tone: Electron Hill
- **Optimum Amplitude**
- **Threshold Amplitude**
- **Sub-packet Formation**
- **Convective Instability**



[Summers et al., JGR, 1998]

Summary 2

1. Rapid formation of the relativistic electron flux (0.5 - 6 MeV) takes place through **nonlinear wave trapping** by **whistler-mode chorus** emissions near the equator.
2. A substantial amount of relativistic electrons (0.5 - 6 MeV) is precipitated through **nonlinear wave**
rising tone emissions



Review Articles

- Y. Omura, D. Nunn, and D. Summers, Generation processes of whistler-mode chorus emissions: Current status of nonlinear wave growth theory, *AGU Monograph "Dynamics of the Earth's Radiation Belts and Inner Magnetosphere"*, 10.1029/2012GM001347, 2012.
- Y. Omura, Theory and simulations of nonlinear wave-particle interactions in planetary radiation belts, *Radio Science Bulletin*, No. 349 (June) 52-58, 2014.