

# *Chiral kinetic theory in electromagnetic fields*



*Pengfei Zhuang  
(Tsinghua University)*

- 1. Equal-time kinetic theory*
- 2. Classical limit: Boltzmann equation*
- 3. Quantum correction: off-shell oscillations*



## Classical and quantum kinetic theory

### ● Classical kinetic theory

$$\begin{aligned} (p^\mu \partial_\mu + \partial^\mu m^2 \partial_\mu^p) f(x, p) &= C \text{ [transport]} \\ + (p^2 - m^2) f(x, p) &= 0 \text{ [on-shell constraint]} \end{aligned}$$

Many classical transport codes in HIC like AMPT, BAMPS and UrQMD

### ● Quantum kinetic theory

from  $\psi(x)\psi^\dagger(x)$  in quantum mechanics to  $W(x, p)$  in quantum kinetic theory

$$W(x, p) = \int d^4 y e^{i p y} \left\langle \hat{\psi}\left(x + \frac{y}{2}\right) e^{i q \int_{-\frac{1}{2}}^{\frac{1}{2}} ds \hat{A}(x + s y) y} \hat{\psi}\left(x - \frac{y}{2}\right) \right\rangle$$

For QED: D.Vasak, M.Gyulassy and H.Elze, *Ann.Phys.* 173, 462(1987)

For QGP: H.Elze and U.Heinz, *Phys. Rept.* 183, 81(1989)

## Equal-time kinetic theory

### ● Difficulty in covariant kinetic theory

$$W(x, p) = \int d^4 y e^{i p y} \left\langle \hat{\psi}\left(x + \frac{y}{2}\right) e^{i q \int_{-\frac{1}{2}}^{\frac{1}{2}} ds \hat{A}(x + s y) y} \hat{\psi}\left(x - \frac{y}{2}\right) \right\rangle$$

**Advantage:** Covariant kinetic equations obtained from Dirac and Maxwell equations.

**Disadvantage:**  $W(x, p)$  at a fixed time  $x_0$  is related to  $\hat{\psi}$  and  $\hat{A}$  at all times ( $\int dy_0, \int ds$ ), but physics distributions are defined in equal-time space  $W(\vec{x}, \vec{p}; t)$ .

**Conclusion:** We should go to the equal-time formalism.

### ● Equal-time Wigner function

$$\begin{aligned} W(\vec{x}, \vec{p}; t) &= \int d^3 \vec{y} e^{-i \vec{p} \cdot \vec{y}} \left\langle \hat{\psi}\left(\vec{x} + \frac{\vec{y}}{2}; t\right) e^{-i q \int_{-\frac{1}{2}}^{\frac{1}{2}} ds \hat{A}(\vec{x} + s \vec{y}; t) \cdot \vec{y}} \hat{\psi}^+\left(\vec{x} - \frac{\vec{y}}{2}; t\right) \right\rangle \\ &= \int dp_0 W(x, p) \gamma_0 \end{aligned}$$

For QED: I.Bialynicki-Birula, P.Gornicki and J.Rafelski (BGR), PRD44, 1825(1991)

### ● However, $W(\vec{x}, \vec{p}; t)$ is not equivalent to $W(x, p)$ !

One should consider all energy moments

$$W_n(\vec{x}, \vec{p}; t) = \int dp_0 p_0^n W(x, p) \gamma_0 \quad (n = 0, 1, 2, \dots, \infty).$$

### ● Question: how to construct a full equal-time kinetic theory?

PZ and U.Heinz, Ann.Phys.245, 311(1996), PRD53, 2096(1996), PRD57, 6525(1998)  
S.Ochs and U.Heinz, Ann. Phys. 266, 351(1998)

**We take NJL as an example to show the 3 steps to construct a full equal-time theory**

$$\mathcal{L} = \bar{\psi}(i\gamma^\mu \mathcal{D}_\mu - m_0)\psi + G(\bar{\psi}\psi)^2 + (\bar{\psi}i\gamma_5 \vec{\tau}\psi)^2, \quad \mathcal{D}_\mu = \partial_\mu + iqA_\mu, \quad q = \text{diag}(q_u, q_d)$$

*chiral condensate:*  $\sigma(x) = 2G\langle\bar{\psi}\psi\rangle$

## 1<sup>st</sup> step: Covariant kinetic theory

### Quarks in external electromagnetic fields

$$W(x, p) = \int d^4 y e^{ipy} e^{iq \int_{-\frac{1}{2}}^{\frac{1}{2}} ds A(x+sy)y} \left\langle \hat{\psi}\left(x + \frac{y}{2}\right) \hat{\bar{\psi}}\left(x - \frac{y}{2}\right) \right\rangle$$

### Dirac equation at mean field level

$$(i\gamma^\mu \mathcal{D}_\mu - m)\psi = 0, \quad m = m_0 - \sigma$$

### Covariant kinetic equations

$$(\gamma^\mu K_\mu - M)W(x, p) = -\frac{i\hbar}{2} \gamma^\mu u_\mu \frac{W(x, p) - W^{th}(x, p)}{\theta} \rightarrow 2 \text{ equations (real \& emaginary parts)}$$

with electromagnetic operator  $K_\mu$  and chiral operator  $M$ :

$$K_\mu = \Pi_\mu + \frac{i\hbar}{2} D_\mu, \quad \Pi_\mu = p_\mu - iq\hbar \int_{-1/2}^{1/2} ds s F_{\mu\nu}(x - i\hbar s \partial_p) \partial_p^\nu, \quad D_\mu = \partial_\mu - q \int_{-1/2}^{1/2} ds F_{\mu\nu}(x - i\hbar s \partial_p) \partial_p^\nu$$

$$M = M_1 + iM_2, \quad M_1 = m_0 - \cos\left(\frac{\hbar}{2} \partial_x \partial_p\right) \sigma(x), \quad M_2 = \sin\left(\frac{\hbar}{2} \partial_x \partial_p\right) \sigma(x),$$

### Covariant transport and constraint equations

$$W^+ = \gamma_0 W \gamma_0$$

$$\begin{cases} [\gamma^\mu (K_\mu + K_\mu^+) - (M + M^+)]W(x, p) = 0, & \text{contains } p_\mu, \text{ constraint equation} \\ [\gamma^\mu (K_\mu - K_\mu^+) - (M - M^+)]W(x, p) = i\hbar \gamma^\mu u_\mu \frac{W - W^{th}}{\theta}, & \text{contains } \partial_\mu, \text{ transport equation} \end{cases}$$

## 2<sup>nd</sup> step: Equal-time hierarchy

- $p_0$ -integrating the covariant equations:

$$\begin{cases} \text{Transport equations for } W(\vec{x}, \vec{p}; t) \\ \text{Constraint equation for } W_1(\vec{x}, \vec{p}; t) \end{cases}$$

- $p_0$ -integrating  $p_0$  · (covariant equations):

$$\begin{cases} \text{Transport equations for } W_1(\vec{x}, \vec{p}; t) \\ \text{Constraint equation for } W_2(\vec{x}, \vec{p}; t) \end{cases}$$

.....

- *Spin decomposition:*

$$W(x, p) = \frac{1}{4} \left[ F + i\gamma^5 P + \gamma^\mu V_\mu + \gamma^5 \gamma^\mu A_\mu + \frac{1}{2} \sigma^{\mu\nu} S_{\mu\nu} \right]$$

$$W(\vec{x}, \vec{p}; t) = \frac{1}{4} [f_0 + \gamma^5 f_1 - i\gamma^0 \gamma^5 f_2 + \gamma^0 f_3 + \gamma^5 \gamma^0 \vec{\gamma} \cdot \vec{g}_0 + \gamma^0 \vec{\gamma} \cdot \vec{g}_1 - i\vec{\gamma} \cdot \vec{g}_2 - \gamma^5 \vec{\gamma} \cdot \vec{g}_3]$$

→ 16 transport and 16 constraint equations

- *Chiral condensate is coupled to Wigner function*

$$\sigma(x) = G \int d^3 \vec{p} f_3(\vec{x}, \vec{p}; t)$$

### 3<sup>rd</sup> step: Truncating the hierarchy

#### ● *Semi-classical expansion*

$$\begin{aligned}W(x, p) &= W^{(0)}(x, p) + \hbar W^{(1)}(x, p) + \dots \\W(\vec{x}, \vec{p}; t) &= W^{(0)}(\vec{x}, \vec{p}; t) + \hbar W^{(1)}(\vec{x}, \vec{p}; t) + \dots \\K_\mu &= K_\mu^{(0)} + \hbar K_\mu^{(1)} + \dots \\M &= M^{(0)} + \hbar M^{(1)} + \dots\end{aligned}$$

#### ● *Constraint equations to the zeroth order in $\hbar$ (classical limit)*

1) *on-shell condition*:  $E_p^2 = m^2 + \vec{p}^2$

2) *only 2 independent components*:  $f_0^{(0)}$  and  $\vec{g}_0^{(0)}$

$$\begin{aligned}f_1^{(0)} &= \frac{\vec{p}}{E_p} \cdot \vec{g}_0^{(0)}, & f_2^{(0)} &= 0, & f_3^{(0)} &= \frac{m}{E_p} f_0^{(0)} \\ \vec{g}_1^{(0)} &= \frac{\vec{p}}{E_p} f_0^{(0)}, & \vec{g}_2^{(0)} &= \frac{\vec{p} \times \vec{g}_0^{(0)}}{m}, & \vec{g}_3^{(0)} &= \frac{E_p^2 \vec{g}_0^{(0)} - (\vec{p} \cdot \vec{g}_0^{(0)}) \vec{p}}{E_p m}\end{aligned}$$

3) *gap equation*:

$$(m_0 - \sigma(x)) \left( 1 + 2G \int \frac{d^3 \vec{p}}{(2\pi)^3} \frac{f_0^{(0)}(\vec{x}, \vec{p}; t)}{E_p} \right) - m_0 = 0$$

#### ● *Physics of the components*

$$\begin{aligned}f_0^{(0)} &: \text{number density}, & \vec{g}_0^{(0)} &: \text{spin density} \\ f_1^{(0)} &: \text{helicity density}, & f_2^{(0)} &: \text{topologic charge density}, & f_3^{(0)} &: \text{mass density} \\ \vec{g}_1^{(0)} &: \text{number current}, & \vec{g}_3^{(0)} &: \text{magnetic moment}\end{aligned}$$

## Classical transport equations

### ● Transport equations to the first order in $\hbar$

Vlasov equation for number density  $f_0^{(0)}$ :

$$\left(D_t + \frac{\vec{p}}{E_p} \cdot \vec{D}\right) f_0^{(0)} - \frac{1}{2E_p} (\vec{\nabla} m^2 \cdot \vec{\nabla}_p) f_0^{(0)} = 0$$

Bargmann-Michel-Telegdi equation for spin density  $\vec{g}_0^{(0)}$

V.Bargmann, L.Michel and V.Telegdi, Phys. Rev. Lett. **2**, 435(1959).

$$\left(D_t + \frac{\vec{p}}{E_p} \cdot \vec{D}\right) \vec{g}_0^{(0)} - \frac{1}{2E_p} (\vec{\nabla} m^2 \cdot \vec{\nabla}_p) \vec{g}_0^{(0)} = \frac{q}{E_p^2} \left[ \vec{p} \times (\vec{E} \times \vec{g}_0^{(0)}) - E_p \vec{B} \times \vec{g}_0^{(0)} \right]$$

$$D_t = \partial_t + q\vec{E} \cdot \vec{\nabla}_p, \quad \vec{D} = \vec{\nabla} + q\vec{B} \times \vec{\nabla}_p$$

*the effective collision terms on the right hand side is due to the quark spin interaction with the electromagnetic fields.*

### ● A homogeneous solution

$$f_0^{(0)} = \frac{(\vec{B} \times \vec{p})^2}{m^2 B^2}$$

$$\vec{g}_0^{(0)} = \frac{q}{m^2} \left( \vec{B} + \frac{\vec{p}}{E_p} \times \vec{E} \right)$$



## Quantum off-shell effect

### ● Constraint equations to the first order in $\hbar$

$$\begin{aligned}
 \int dp_0 p_0 F^{(1)} - \frac{1}{2} \vec{D} \cdot \vec{g}_2^{(0)} &= m f_0^{(1)} & \int dp_0 p_0 P^{(1)} - \frac{1}{2} \vec{D} \cdot \vec{g}_3^{(0)} &= 0 \\
 \int dp_0 p_0 V_0^{(1)} - \vec{p} \cdot \vec{g}_1^{(1)} &= m f_3^{(1)} & \int dp_0 p_0 \vec{V}^{(1)} - \frac{1}{2} \vec{D} \times \vec{g}_0^{(0)} - \vec{p} f_0^{(1)} &= 0 \\
 \int dp_0 p_0 A_0^{(1)} - \vec{p} \cdot \vec{g}_0^{(1)} &= 0 & \int dp_0 p_0 \vec{A}^{(1)} - \frac{1}{2} \vec{D} \times \vec{g}_1^{(0)} - \vec{p} f_1^{(1)} &= m \vec{g}_3^{(1)} \\
 \int dp_0 p_0 S_{0i}^{(1)} \vec{e}_i + \frac{1}{2} \vec{D} f_3^{(0)} + \vec{p} \times \vec{g}_3^{(1)} &= 0 & \int dp_0 p_0 S_{jk}^{(1)} \varepsilon^{jki} \vec{e}_i - \vec{p} \times \vec{g}_2^{(1)} &= m \vec{g}_0^{(1)}
 \end{aligned}$$

### ● Shell shift from $\delta(p_0 - E_p)$ to $\delta(p_0 - (E_p + \hbar \delta E_p))$ ?

There is no solution of a definite shell shift  $\delta E_p$  !

### ● From on-shell to off-shell

$$\begin{aligned}
 \delta(p_0 - E_p) &\rightarrow \delta(p_0 - E_p) - \hbar \mathcal{A}(p) \\
 \mathcal{A}(p) &: \text{a continuous function of } p_0
 \end{aligned}$$

The off-shell terms  $\Delta E_p = \int dp_0 p_0 W^{(0)}(x, p) \mathcal{A}(p)$  is determined by the constraint equations:

$$\begin{aligned}
 \Delta E_p(f_0) &= -\frac{\vec{B} \cdot \vec{g}_0^{(0)}}{2E_p}, & \Delta E_p(f_1) &= -\frac{\vec{B} \cdot \vec{p}}{2E_p^2} f_0^{(0)}, & \Delta E_p(f_2) &= -\frac{\vec{E} \cdot \vec{g}_0^{(0)}}{2m} \\
 \Delta E_p(f_3) &= \frac{\vec{p} \cdot (\vec{E} \times \vec{g}_0^{(0)})}{2mE_p} - \frac{\vec{B} \cdot \vec{g}_0^{(0)}}{2m} + \frac{(\vec{B} \cdot \vec{p})(\vec{p} \cdot \vec{g}_0^{(0)})}{2mE_p^2}, & \Delta E_p(\vec{g}_0) &= -\left( \frac{\vec{E} \times \vec{p}}{2E_p^2} - m \frac{\vec{B}}{2E_p} \right) f_0^{(0)} \\
 \Delta E_p(\vec{g}_1) &= -\frac{\vec{E} \times \vec{g}_0^{(0)}}{2E_p} - \frac{\vec{B}(\vec{p} \cdot \vec{g}_0^{(0)})}{2E_p^2}, & \Delta E_p(\vec{g}_2) &= \frac{m \vec{E}}{2E_p^2} f_0^{(0)}, & \Delta E_p(\vec{g}_3) &= \frac{m \vec{B}}{2E_p^2} f_0^{(0)}
 \end{aligned}$$

## Quantum transport equations

### ● Transport equations to the second order in $\hbar$ :

Vlasov equation for number density  $f_0^{(1)}$ :

$$\left(D_t + \frac{\vec{p}}{E_p} \cdot \vec{D}\right) f_0^{(1)} = \frac{\vec{E}}{2E_p^2} \cdot (\vec{D} \times \vec{g}_0^{(0)}) - \frac{\vec{B}}{2E_p^3} \cdot (\vec{p} \cdot \vec{D}) \vec{g}_0^{(0)} + \frac{(\vec{B} \times \vec{p})(\vec{E} \times \vec{g}_0^{(0)})}{E_p^4}$$

Bargmann-Michel-Telegdi equation for spin density  $\vec{g}_0^{(1)}$ :

$$\begin{aligned} \left(D_t + \frac{\vec{p}}{E_p} \cdot \vec{D}\right) \vec{g}_0^{(1)} &= \frac{q}{E_p^2} \left[ \vec{p} \times (\vec{E} \times \vec{g}_0^{(1)}) - E_p \vec{B} \times \vec{g}_0^{(1)} \right] - \left( \frac{\vec{B}}{2E_p^3} + \frac{\vec{E} \times \vec{p}}{2E_p^4} \right) \vec{p} \cdot \vec{D} f_0^{(0)} \\ &\quad - \left( \frac{(\vec{p} \cdot \vec{E})(\vec{E} \times \vec{p})}{E_p^5} + \frac{\vec{p} \times (\vec{B} \times \vec{E})}{2E_p^4} \right) f_0^{(0)} \end{aligned}$$

## Application 1: Chiral kinetic equation (in chiral limit)

To the zeroth order in  $\hbar$  (classical limit):

$$\text{classical constraint equations } \begin{cases} p^\mu J_\mu^{\chi(0)} = 0 \\ p_\mu J_\nu^{\chi(0)} - p_\nu J_\mu^{\chi(0)} = 0 \end{cases}$$

$$\text{classical transport equation (Vlasov equation): } p^\mu \left( \partial_\mu - QF_{\mu\nu} \partial_p^\nu \right) f_\chi^{(0)} = 0$$

To the first order in  $\hbar$ :

$$\text{constraint equations } \begin{cases} p^\mu J_\mu^{\chi(1)} = 0 \\ \epsilon^{\mu\nu\rho\sigma} \left( \partial_\rho - QF_{\rho\theta} \partial_p^\theta \right) J_\sigma^{\chi(0)} = -2\chi \left( p^\mu J_{\chi(1)}^\nu - p^\nu J_{\chi(1)}^\mu \right) \end{cases}$$

general transport equation for  $f_\chi = f_\chi^{(0)} + \hbar f_\chi^{(1)}$ :

$$\begin{aligned} 0 = & \delta \left( p^2 - \hbar \frac{\chi Q}{p \cdot n} p_\lambda \tilde{F}^{\lambda\nu} n_\nu \right) \\ & \times \left\{ p \cdot \nabla + \hbar \frac{\chi}{2(p \cdot n)^2} [(\partial_\mu n_\sigma) p^\sigma - QF_{\mu\alpha} n^\alpha] \epsilon^{\mu\nu\lambda\rho} n_\nu p_\lambda \nabla_\rho \right. \\ & \left. - \hbar \frac{\chi}{2p \cdot n} \epsilon^{\mu\nu\lambda\rho} (\partial_\mu n_\nu) p_\lambda \nabla_\rho + \hbar \frac{\chi Q}{2p \cdot n} p_\lambda \left( \partial_\sigma \tilde{F}^{\lambda\nu} \right) n_\nu \partial_p^\sigma \right\} f_\chi \end{aligned}$$

- 1) Complete solution to  $\hbar^1$
- 2) General non-equilibrium distribution  $f_\chi$
- 3) General electromagnetic fields
- 4) Frame dependence  $n_\mu$
- 5) on-shell but shifted shell

A.Huang, S.Shi, Y.Jiang, J.Liao and PZ, PRD98, 036010(2018)

M.Stephanov and Y.Yin, PRL109, 162001 (2012);

D.Son and N.Yamamoto. PRD, 87, 85016(2013);

J.Chen, S.Pu, Q.Wang and X.Wang, PRL110, 262301(2013);

Y.Hidaka, S.Pu and D.Yang. PRD95, 091901(2017);

Wu, Hou, Ren, PRD 96 (2017)096015; and .....

## Application 2: Mass correction to CKE

Introduce chiral components

$$f_\chi = f_0 + \chi f_1, \quad \chi = \pm$$

For small quark mass, constant magnetic field, and to the first order in  $\hbar$ ,

$$\partial_t f_\chi + \dot{\vec{x}} \cdot \vec{\nabla} f_\chi + \dot{\vec{p}} \cdot \vec{\nabla}_p f_\chi = \frac{\hbar m}{\sqrt{G}} A \left[ \vec{g}_0^{(0)} \right]$$

**Conclusion:**

*mass correction to CME is linear in  $\hbar$  and therefore should be small.*

*Z.Wang and PZ, PRD100, 014015(2019)*

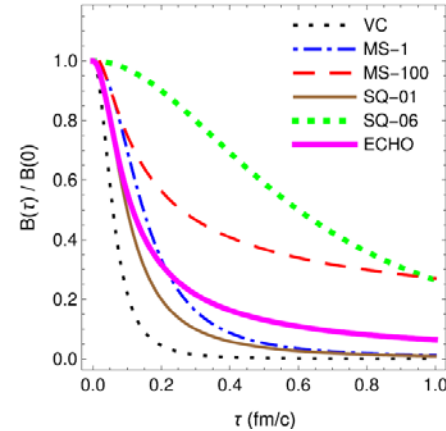
## Application 3: Chiral Magnetic effect in HIC

Strong electromagnetic fields before thermalization in heavy ion collisions  
 → possible non-equilibrium CME

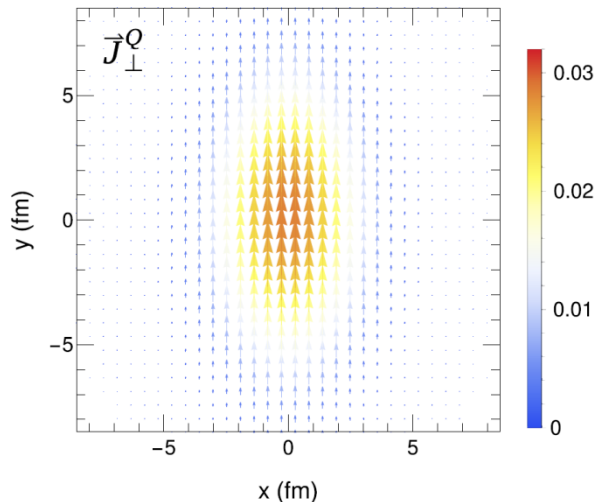
Suppose  $\vec{E} = 0$  and  $\vec{B} = B(t)\vec{e}_y$ ,

$$\left\{ \begin{array}{l} (\partial_t + \dot{\vec{x}} \cdot \vec{\nabla} + \dot{\vec{p}} \cdot \vec{\nabla}_p) f_\chi = -\frac{f_\chi - f_{\chi th}}{\tau_R} \\ \dot{\vec{x}} = \frac{1}{1 + Q\vec{B} \cdot \vec{b}_\chi} \frac{\vec{p}}{|\vec{p}|} (1 + 2Q\vec{B} \cdot \vec{b}_\chi) \\ \dot{\vec{p}} = \frac{1}{1 + Q\vec{B} \cdot \vec{b}_\chi} Q \frac{\vec{p}}{|\vec{p}|} \times \vec{B} \end{array} \right.$$

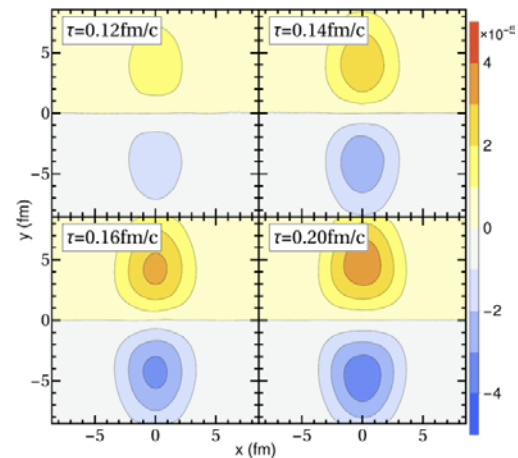
+ initial distribution + initial imbalance ( $1 \pm \lambda$  for  $\chi = \pm$ ) +  $B(t)$



Transverse charge current



Net charge density

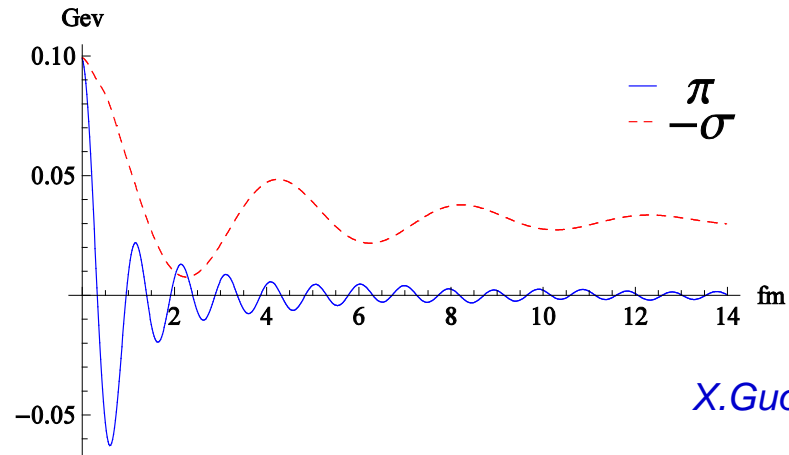


A.Huang, Y.Jiang, S.Shi, J.Liao and PZ, PLB777, 177(2018)

## Application 4: Off-shell oscillations

The solution of the **full** transport equations for a homogeneous system:

$$\begin{aligned}\partial_t f_0 &= 0 \\ \partial_t f_1 + 2mf_2 - 2\pi f_3 &= 0 \\ \partial_t f_2 + 2\vec{p} \cdot \vec{g}_3 - 2mf_1 &= 0 \\ \partial_t f_3 - 2\vec{p} \cdot \vec{g}_2 + 2\pi f_1 &= 0 \\ \partial_t \vec{g}_0 &= 0 \\ \partial_t \vec{g}_1 + 2m\vec{g}_2 - 2\pi\vec{g}_3 &= 0 \\ \partial_t \vec{g}_2 + 2\vec{p}f_3 - 2m\vec{g}_1 &= 0 \\ \partial_t \vec{g}_3 - 2\vec{p}f_2 + 2\pi\vec{g}_1 &= 0\end{aligned}$$



X.Guo and PZ, PRD98, 016007(2018)

**Conclusion:** *Off-shell effect* → *multi-component coupling* → *memory effect*  
→ *strong oscillations.*

## Summary

- 1) *To have physics distributions, we should go from covariant to equal-time formalism. The question is how to set up a complete equal-time theory. In general case, the full set  $\{W_n(\vec{x}, \vec{p}; t) = \int dp_0 p_0^n W(x, p), n = 0, 1, 2, \dots \infty\}$  is equivalent to  $W(x, p)$ . Only in on-shell case,  $W(\vec{x}, \vec{p}; t)$  itself is equivalent to  $W(x, p)$ .*
- 2) *In a strong electromagnetic field, spin is the first order quantum correction to the classical Boltzmann transport, it leads to CME, CVE and other chiral anomaly effects.*
- 3) *For off-shell particles, the multi-component coupling leads to a strong memory effect and in turn a strong oscillation.*