APCTP Focus Program in Nuclear Physics 2019 Nuclear Many-Body Theories: Beyond the mean field approaches





Self-consistent multiparticle-multihole configuration mixing description of nuclei

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Introduction

Self-consistent Multiparticle-Multihole Configuration Mixing Method (MPMH):

 \star Method applied in atomic physics and quantum chemistry:

Multi-Configuration Hartree-Fock (MCHF), Multi-Configuration Self-Consistent Field (MCSCF)

 \star Based on the determination of a Configuration Interaction (CI) wave function \Rightarrow allows:

- explicit symmetry preservations (particle number, spherical symmetry, Pauli principle),
- indiscriminate treatment of long-range correlations,
- treatment of ground and excited states in even-even, odd-even & odd-odd nuclei on the same footing.

 \star The underlying mean-field and the single-particle states evolve with the correlations of the system

➡ fully self-consistent approach

Outline

Formalism of the MPMH method

- \rightarrow role and interpretation of the orbital optimization
- Applications with the Gogny D1S interaction
 - Numerical algorithm
 - → doubly iterative convergence process
 - Description of even-even sd-shell nuclei
 - → Effect of the orbital optimization on ground and excited states properties: Charge radii, excitation energies, transition probabilities, inelastic electron and proton scattering...

Towards an "ab-initio" theory

→ implementation of a chiral interaction: preliminaries

Outline

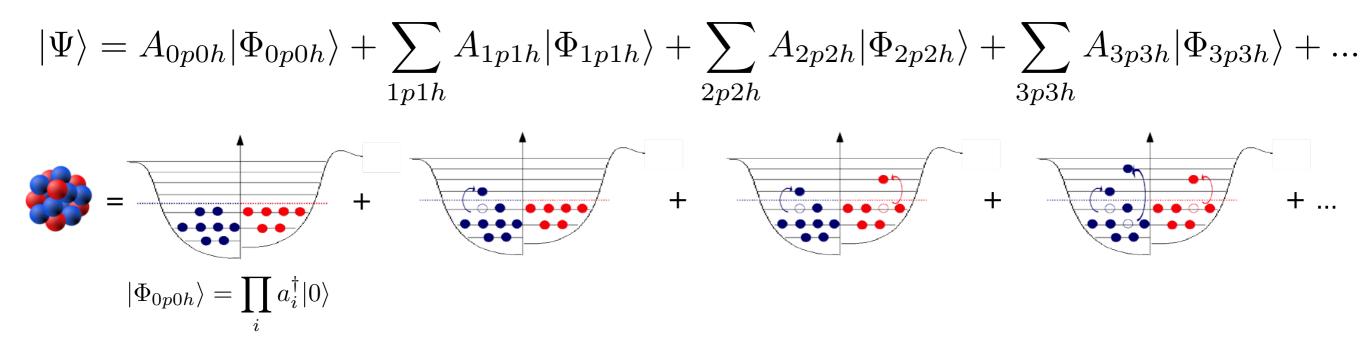
Formalism of the MPMH method

 \rightarrow role and interpretation of the orbital optimization

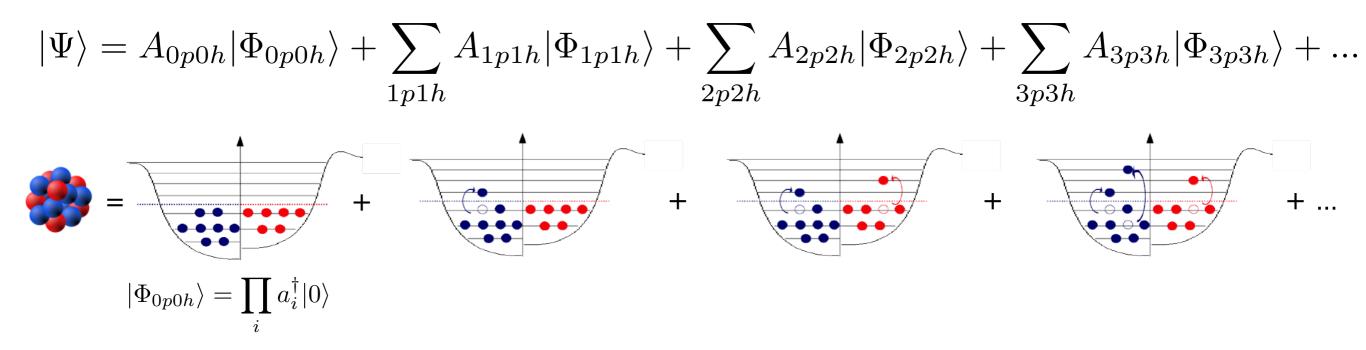
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***** Trial wave function $|\Psi\rangle$ = superposition of Slater determinants



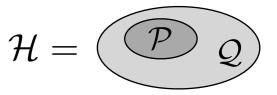
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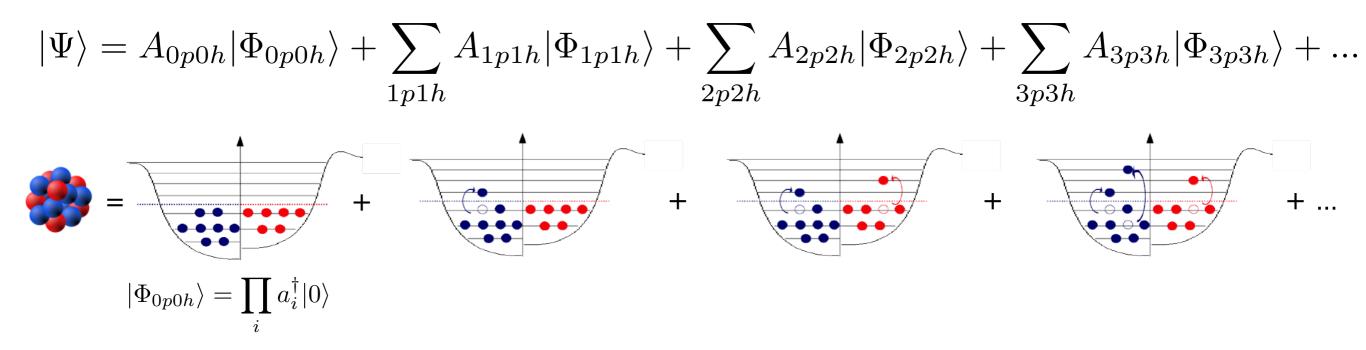
Combinatorial growth of the number of configurations \Rightarrow select the most relevant ones

Possible truncation schemes:

- Core + Valence space
- Excitation order (Np-Nh)
- Excitation energy
- etc (symmetry-constrained)



***** Trial wave function $|\Psi
angle$ = superposition of Slater determinants

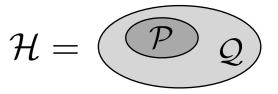


Combinatorial growth of the number of configurations \Rightarrow select the most relevant ones

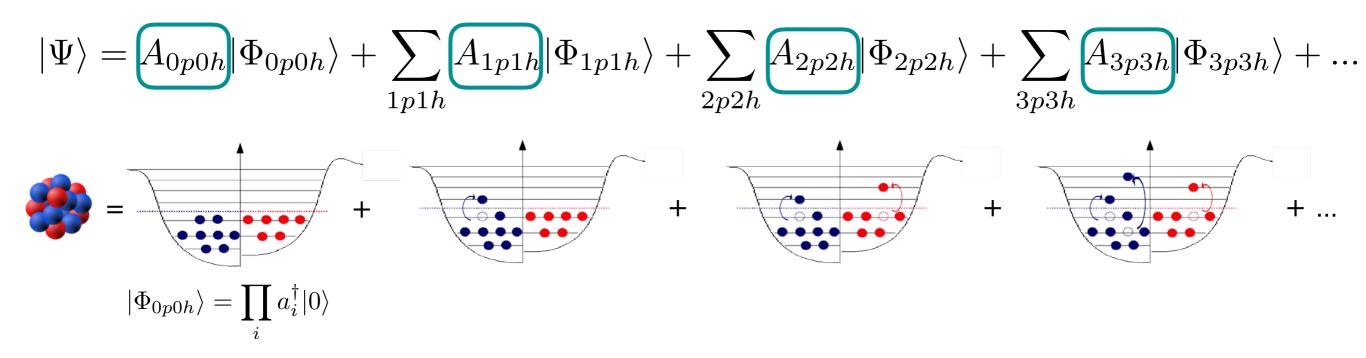
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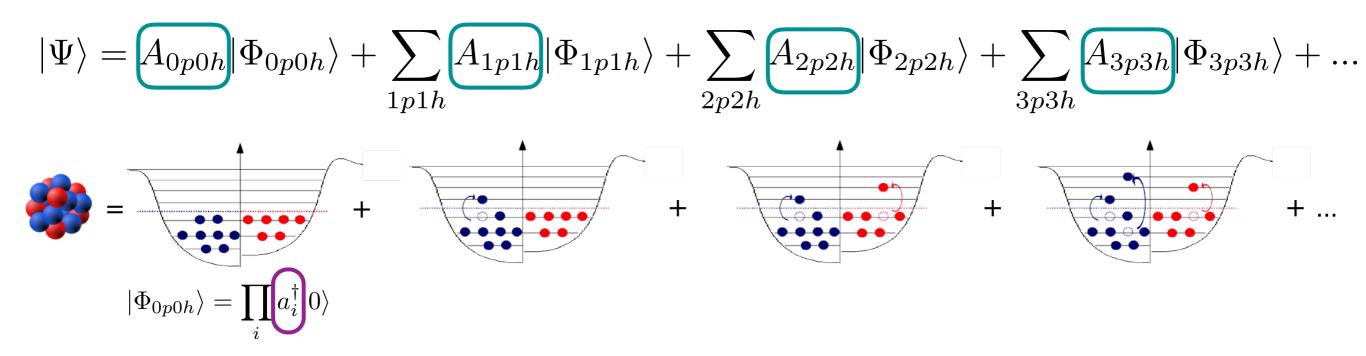
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$$\mathcal{H} = \mathcal{P}_{\mathcal{Q}}$$



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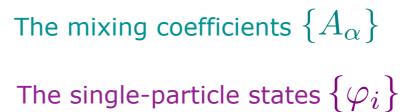
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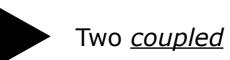
- ► Core + Valence space
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- Excitation energy
- etc (symmetry-constrained)



$$\mathcal{H} = \mathcal{P}_{\mathcal{Q}}$$



* Variational principle applied to the energy of the system: ${\cal E}[\Psi]=\langle\Psi|\hat{H}|\Psi
angle=0$



Two *coupled* equations to solve:

$$\begin{cases} \delta \mathcal{E}[\Psi]_{\{A_{\alpha}^{*}\}} = 0 \\ \delta \mathcal{E}[\Psi]_{\{\varphi_{i}^{*}\}} = 0 \end{cases}$$

Note: formalism shown here for a 2-body Hamiltonian

derivations for 2-body density-dependent or 3-body interaction available in C.R., N. Pillet, D. Peña Arteaga & J.-F. Berger, PRC 93, 024302 (2016).

Usual

CI diagonalization

 $\begin{pmatrix} & H & \\ & H & \end{pmatrix} \begin{pmatrix} A \\ \end{pmatrix} = E \begin{pmatrix} A \end{pmatrix}$

† 1st variational equation: The mixing coefficients

$$\delta \mathcal{E}[\Psi]_{\{A^*_{\alpha}\}} = 0 \implies \sum_{\beta} A_{\beta} \langle \phi_{\alpha} | \hat{H} | \phi_{\beta} \rangle = E A_{\alpha}$$

introduces explicit correlations in restricted configuration space \mathcal{P} All types of long-range correlations are treated at the same time:

Excitation order of the configuration

$$|n_{\alpha} - n_{\beta}| = 2$$

$$|n_{\alpha} - n_{\beta}| = 1$$

$$|n_{\alpha} - n_{\beta}| = 1$$

$$|n_{\alpha} - n_{\beta}| = 0$$

$$|n_{\alpha} - n_{\beta}| = 0$$

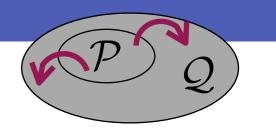
$$RPA$$

$$RPA$$

$$RPA$$

$$Pairing$$

★ 2nd variational equation: The single-particle states



variation of the single-particle states:

$$a_i^{\dagger} \to e^{i\hat{T}} a_i^{\dagger} e^{-i\hat{T}} \quad \Rightarrow \delta a_i^{\dagger} = i \left[\hat{T}, a_i^{\dagger} \right] \qquad \blacksquare$$

T = *hermitian* 1*-body operator*

◆ 1st order variation of the many-body wave function:

$$\begin{split} \delta\Psi\rangle &= i\hat{T}|\Psi\rangle_{\mathcal{P}} \\ &= |\delta\Psi\rangle_{\mathcal{P}} + |\delta\Psi\rangle_{\mathcal{Q}} \end{split}$$

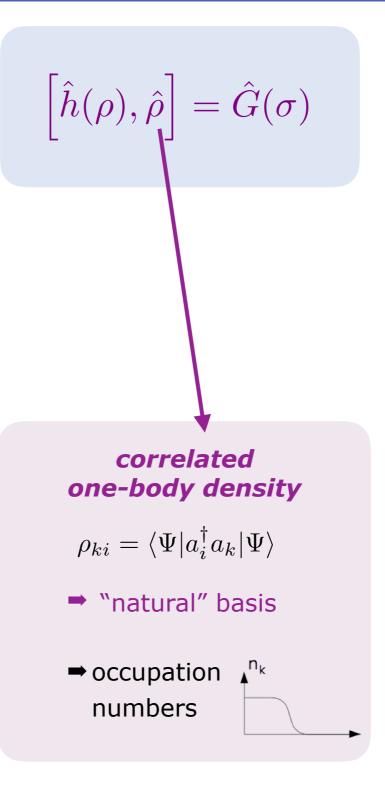
$$\begin{array}{ll} \twoheadrightarrow \ \underline{Note:} & \delta \mathcal{E}[\Psi]_{/\{\varphi_i^*\}} = \mathcal{P}\langle \Psi | \hat{H} | \delta \Psi \rangle + \langle \Psi | \hat{H} | \delta \Psi \rangle_{\mathcal{P}} \\ & = \mathcal{P}\langle \Psi | \hat{P} \hat{H} \hat{P} | \delta \Psi \rangle_{\mathcal{P}} + \mathcal{P}\langle \Psi | \hat{P} \hat{H} \hat{P} | \delta \Psi \rangle_{\mathcal{P}} + \mathcal{P}\langle \Psi | \hat{P} \hat{H} \hat{Q} | \delta \Psi \rangle_{\mathcal{Q}} + \mathcal{Q}\langle \Psi | \hat{Q} \hat{H} \hat{P} | \delta \Psi \rangle_{\mathcal{P}} \end{array}$$

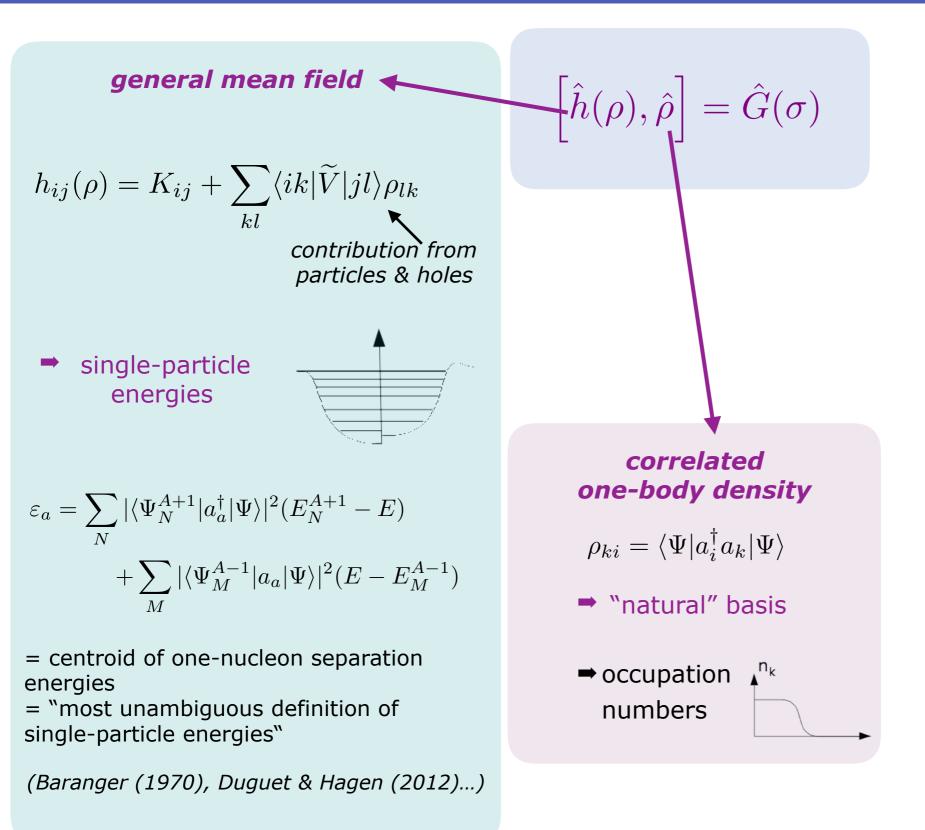
 \rightarrow the orbital optimization takes into account the coupling H_{PQ}/H_{QP} between P and Q spaces (however not H_{QQ})

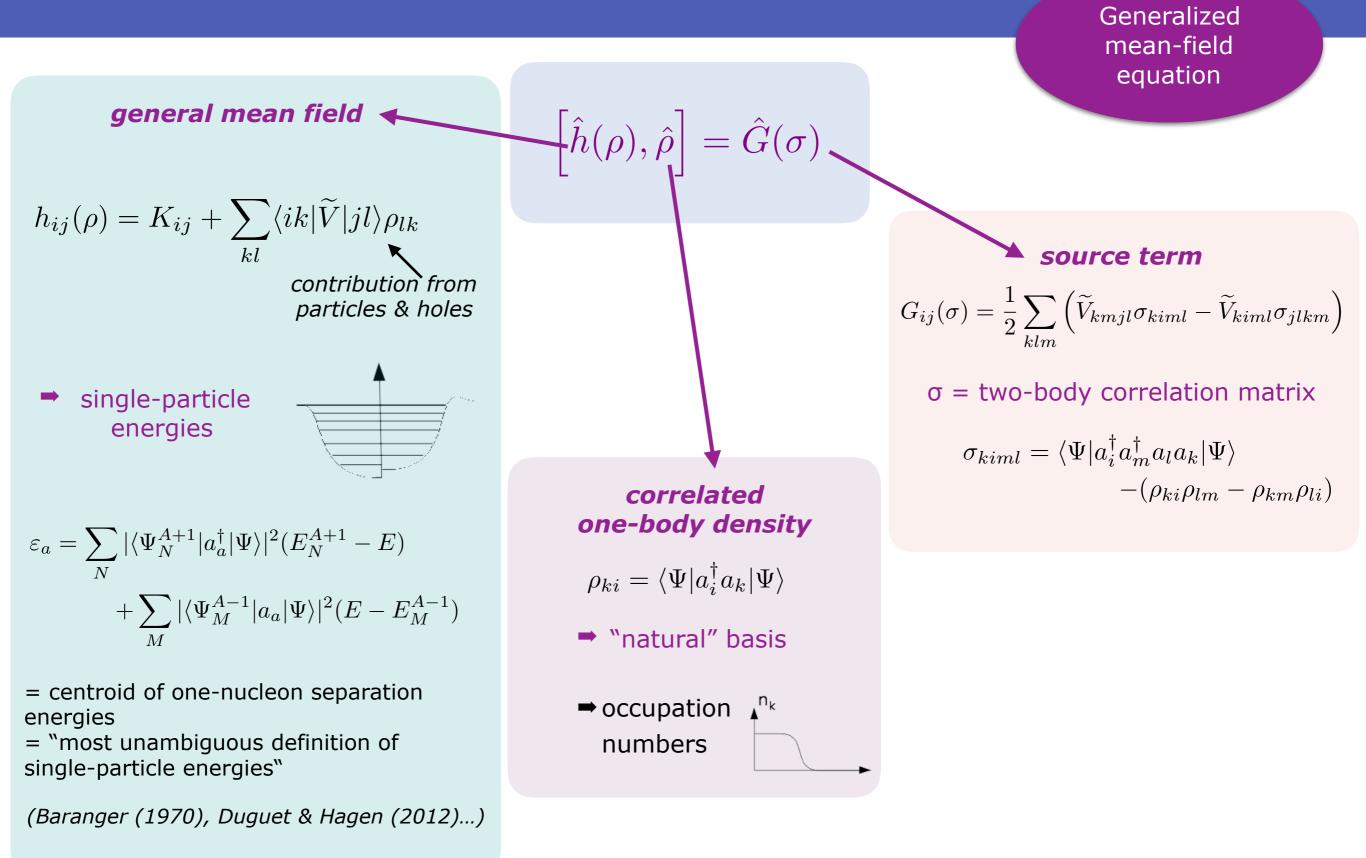
$$\delta \mathcal{E}[\Psi]_{\{\varphi_i^*\}} = \langle \Psi | \left[\hat{H}, \hat{T} \right] | \Psi \rangle = 0 \quad \longleftrightarrow \quad \left[\hat{h}(\rho), \hat{\rho} \right] = \hat{G}(\sigma)$$

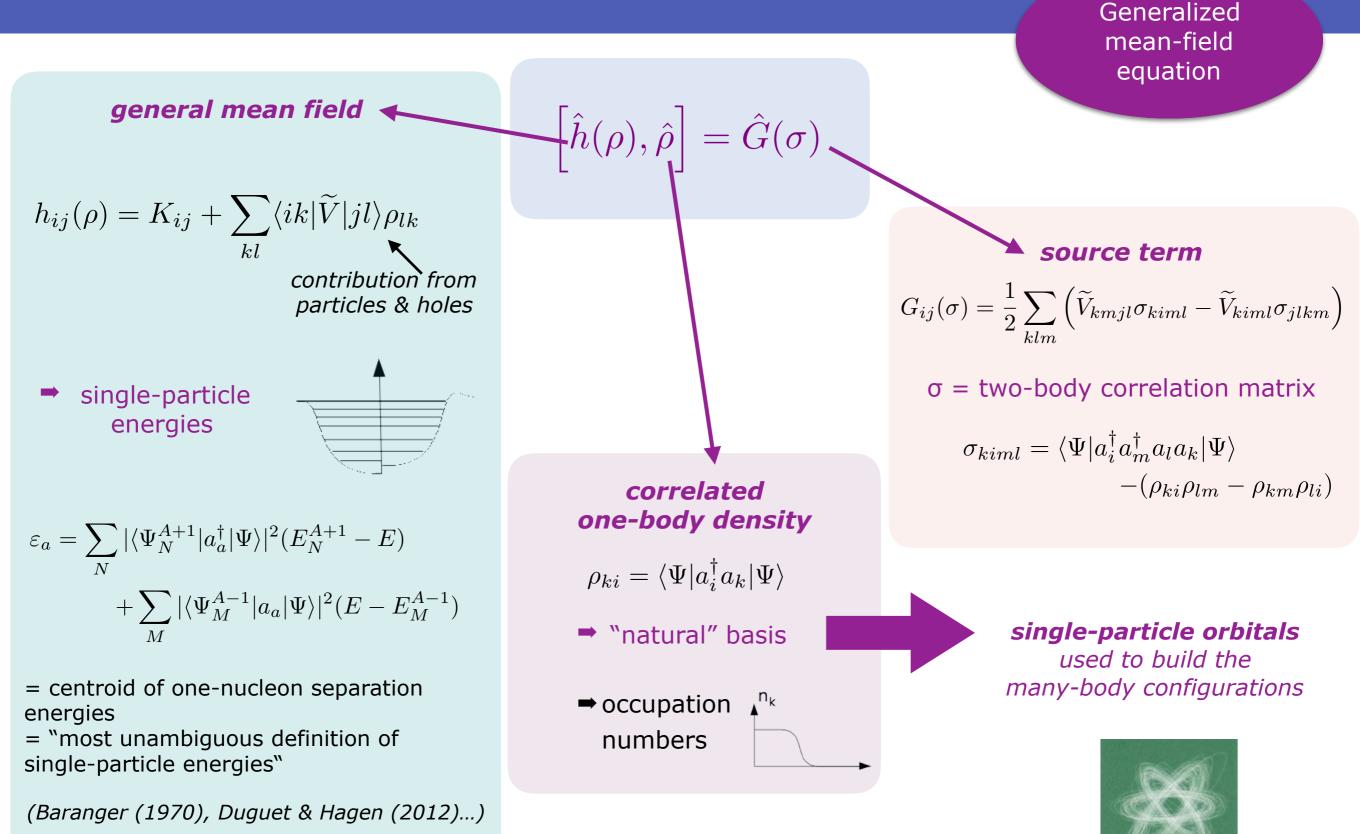
"Generalized Brillouin condition"

$$\left[\hat{h}(\rho), \hat{\rho} \right] = \hat{G}(\sigma)$$

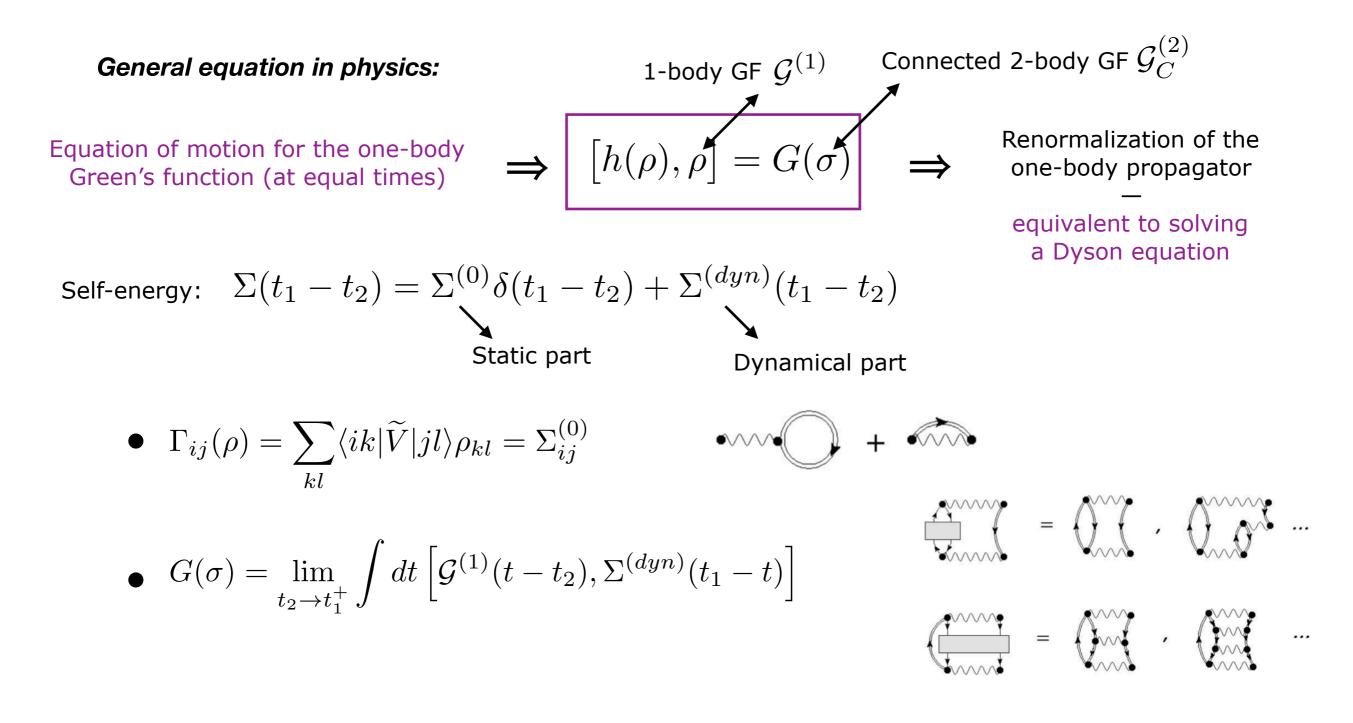








★ Interpretation of the orbital equation:



Consistency between correlations and single-particle picture

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★ Gogny D1S interaction (Dechargé, Gogny PRC 21, 1568 (1980)):

$$\textbf{ } \mathcal{E}[\Psi] = \langle \Psi | \hat{H}[\rho] | \Psi \rangle$$

modified coupled equations to solve:

1)
$$\delta \mathcal{E}[\Psi]_{/A_{\alpha}^{*}} = 0 \Leftrightarrow \sum_{\beta} A_{\beta} \langle \phi_{\alpha} | \hat{H}[\rho] + \hat{\mathcal{R}}[\rho, \sigma] | \phi_{\beta} \rangle = \lambda A_{\alpha}$$

rearrangement terms

• where
$$\hat{\mathcal{R}}[\rho,\sigma] = \int d^3r \langle \Psi | \frac{\delta V[\rho]}{\delta \rho(\vec{r})} |\Psi\rangle \hat{\rho}(\vec{r})$$

• ρ and σ -dependency \Rightarrow non-linear equation

2)
$$\delta \mathcal{E}[\Psi]_{/\varphi_i^*} = 0 \Leftrightarrow \left[\hat{h}(\rho, \sigma), \hat{\rho}\right] = \hat{G}(\sigma)$$

• where
$$h_{ij}(\rho,\sigma) = K_{ij} + \sum_{kl} \langle ik | \widetilde{V} | jl \rangle \rho_{lk} + \frac{1}{4} \sum_{klmn} \langle kl | \frac{\partial \widetilde{V}}{\partial |\rho_{ji}} | mn \rangle \langle \Psi | a_k^{\dagger} a_l^{\dagger} a_n a_m | \Psi \rangle$$

 \Rightarrow explicit dependence on σ

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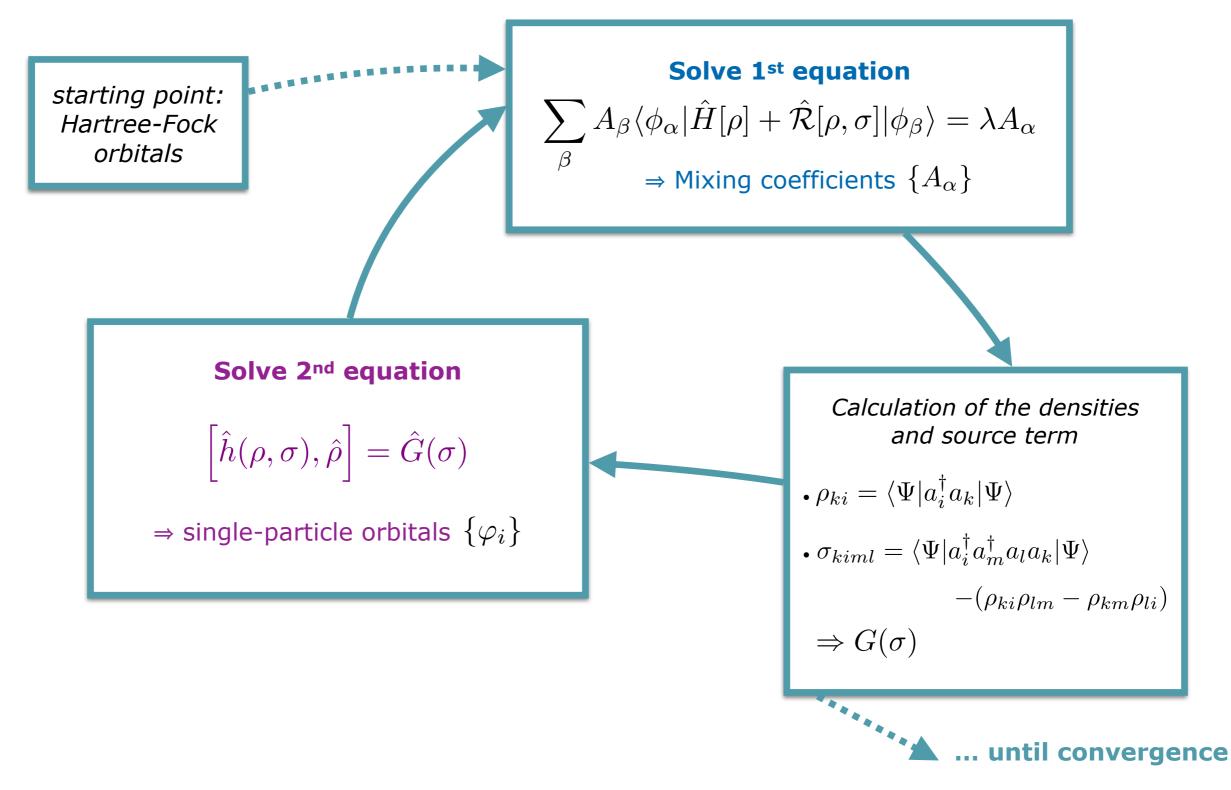
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MPMH method: Numerical algorithm

The full solution requires a doubly-iterative algorithm:



C.R., N. Pillet, D. Peña Arteaga & J.-F. Berger, PRC 93, 024302 (2016).

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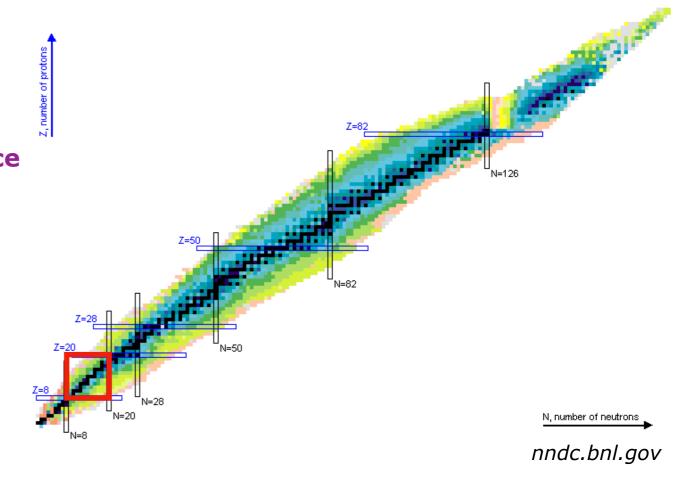
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- → Effect of the orbital optimization on ground and excited states properties: Charge radii, excitation energies, transition probabilities, inelastic electron and proton scattering...
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Framework

- Even-even nuclei with $10 \leqslant (Z,N) \leqslant 18$
- truncation scheme: core of 160 + valence space
- 9 major oscillator shells

Ex: ${}^{28}Si \rightarrow 12p-12h$ $-1f_{7/2}$ $-1f_{7/2}$ - - - 1d_{3/2} - 2S_{1/2} -00-00-00- 1d_{5/2} $-1d_{5/2}$ $-1p_{1/2}$ $-1p_{1/2}$ $-1p_{3/2}$ -00-00-1p_{3/2} $-1S_{1/2}$ — 1s_{1/2} Neutrons Protons



Calculation of ground- and excited-state properties:

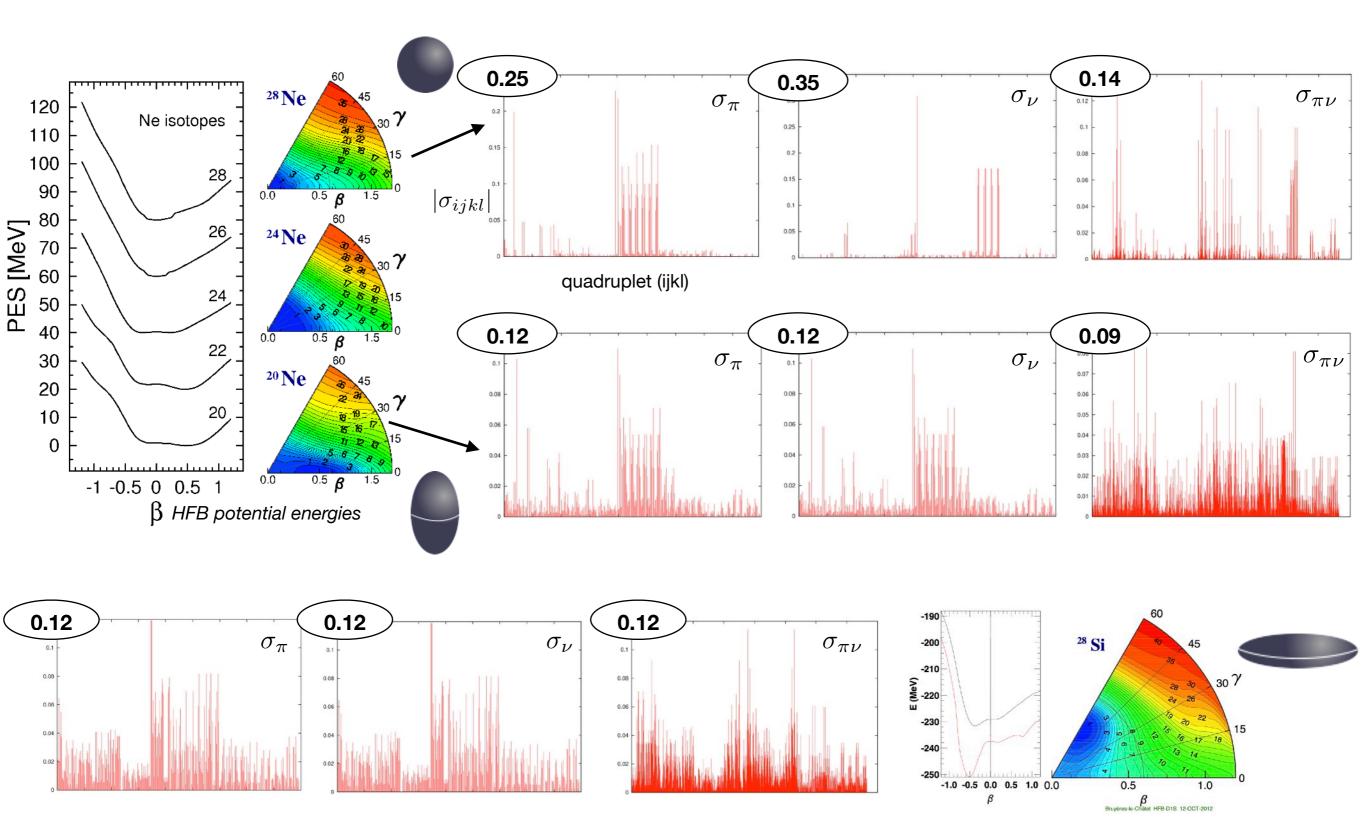
- Binding and separation energies, charge radii
- Excitation energies
- Magnetic dipole moments and quadrupole spectroscopic moments
- Transition probabilities B(E2), B(M1)...

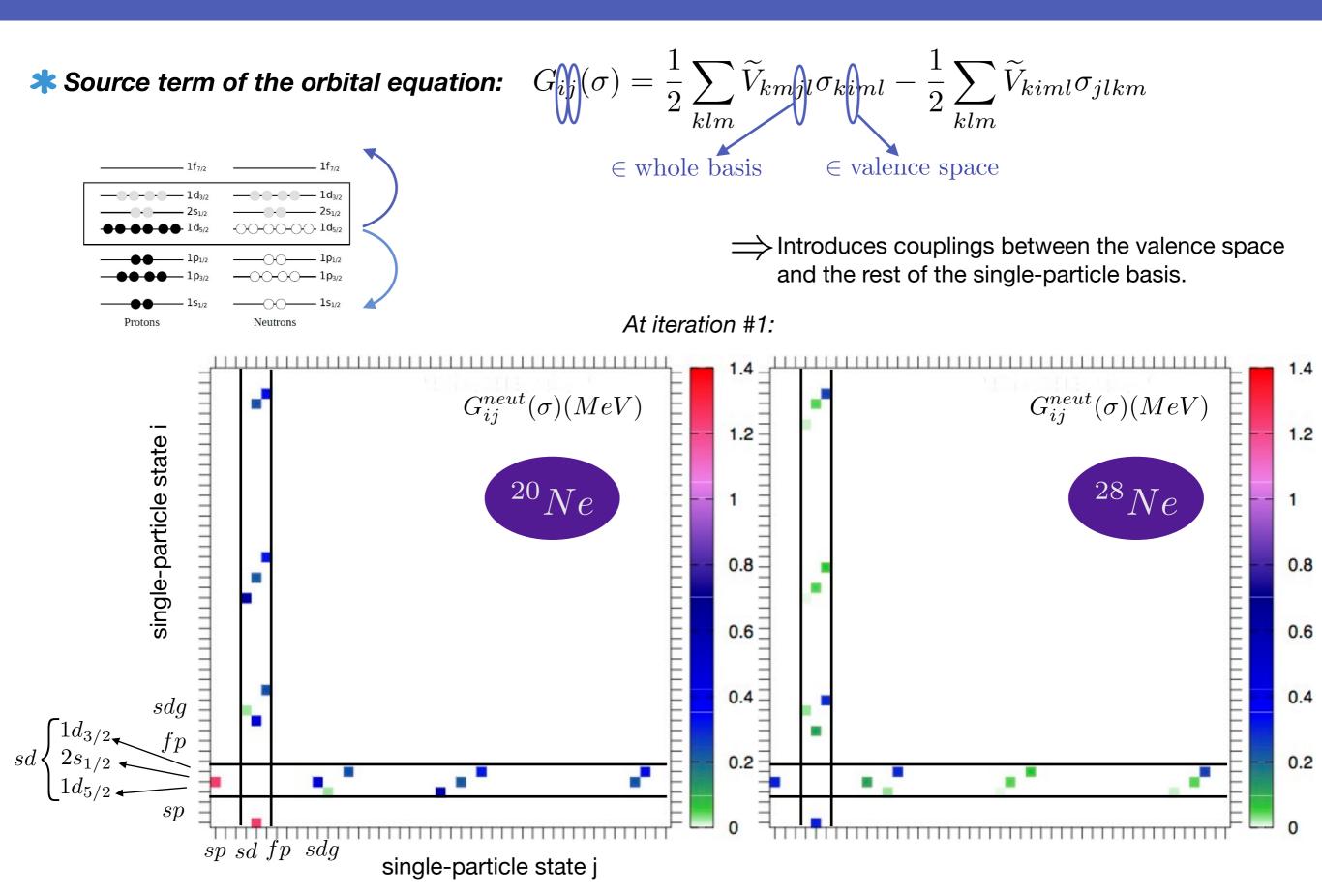
How are these observables impacted by the optimization of orbitals?

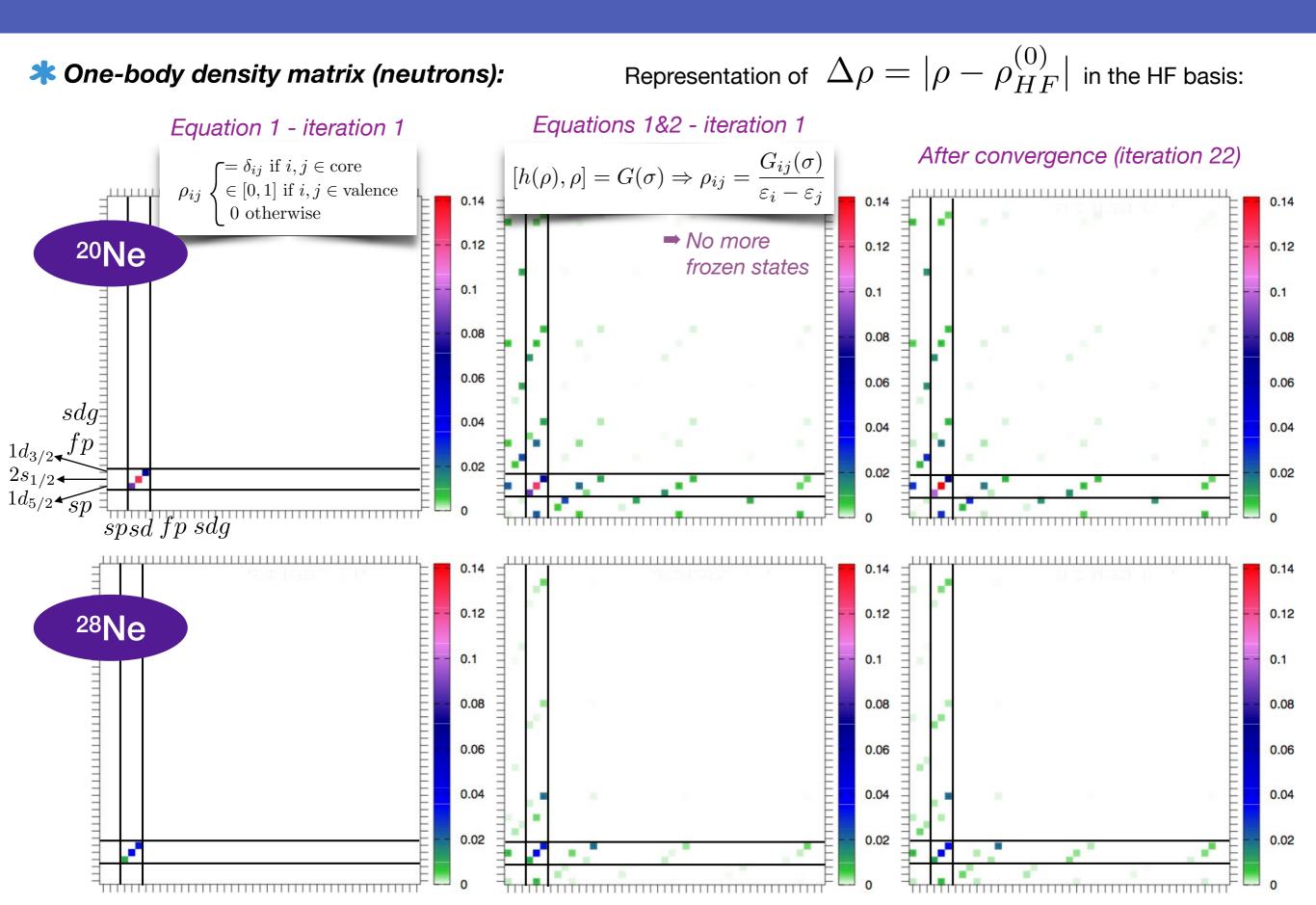
C. Robin, N. Pillet, M. Dupuis, J. Le Bloas, D. Peña Arteaga and J.F. Berger, PRC 95 044315 (2017).

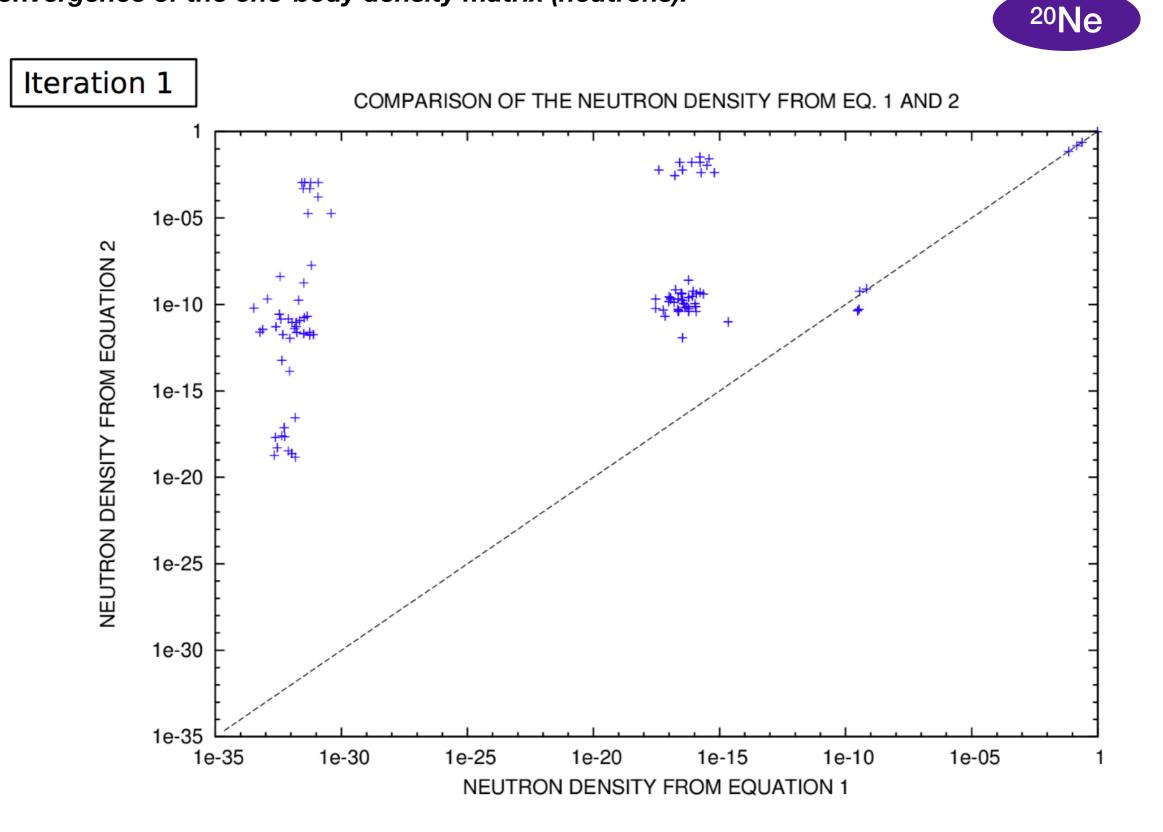
Symmetry-preserving scheme

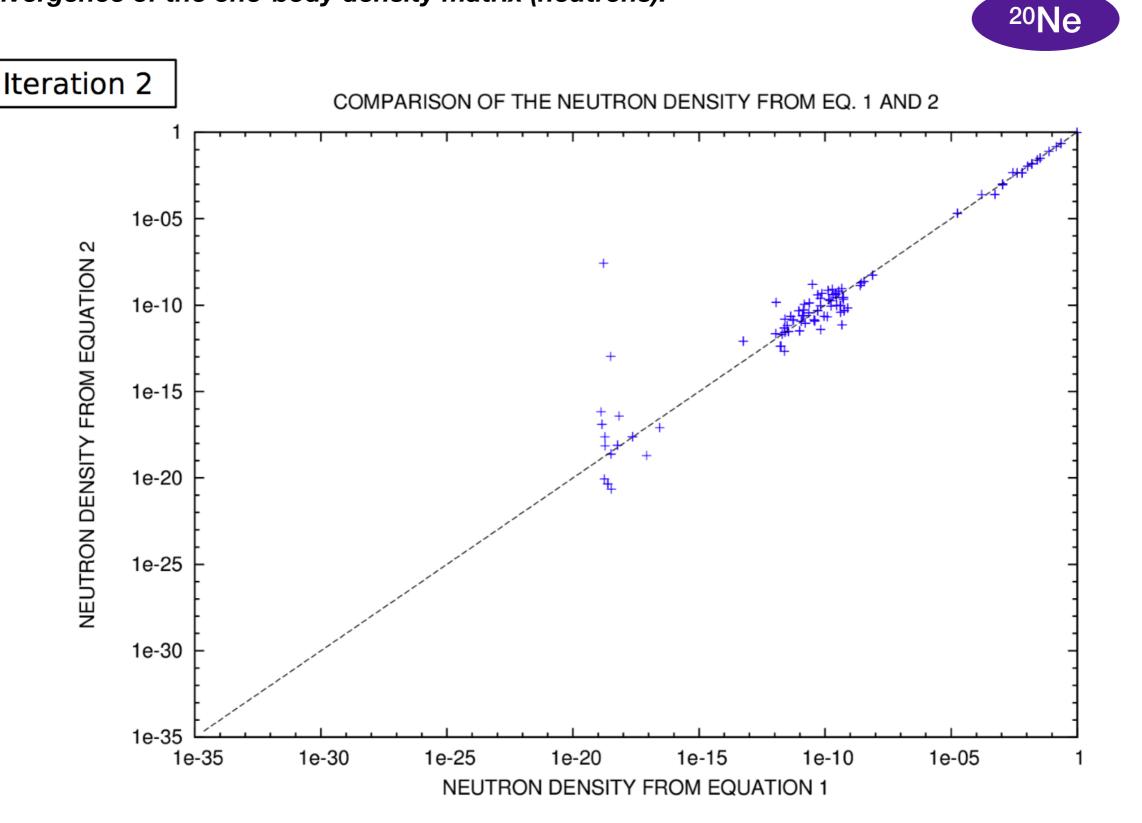
 \Rightarrow The information about deformation is contained in the two-body correlation matrices σ :

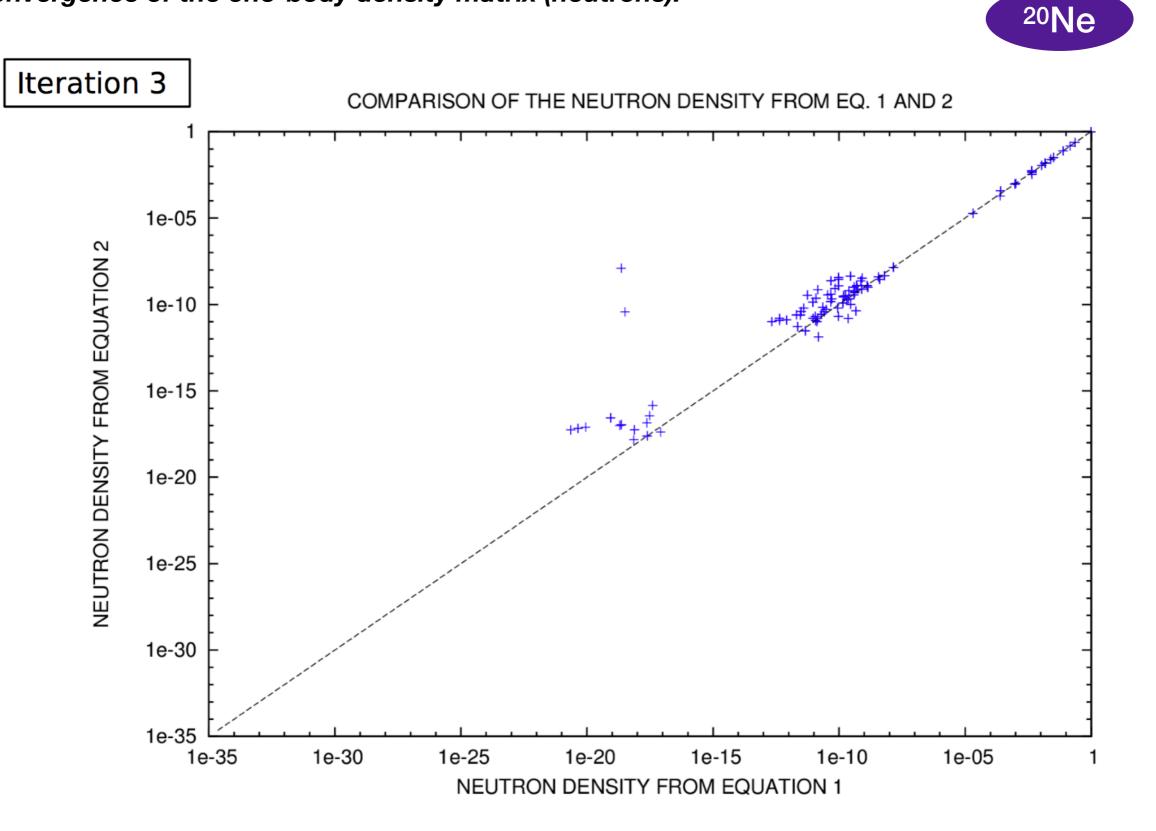


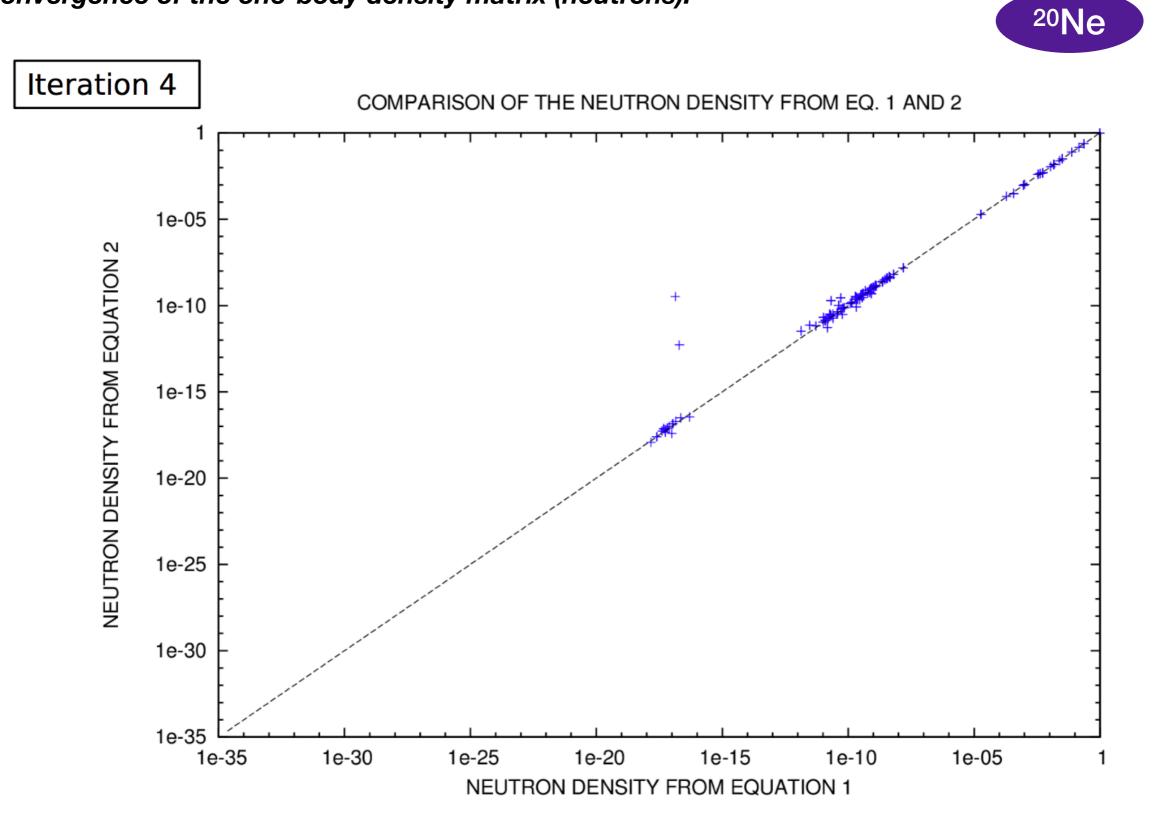




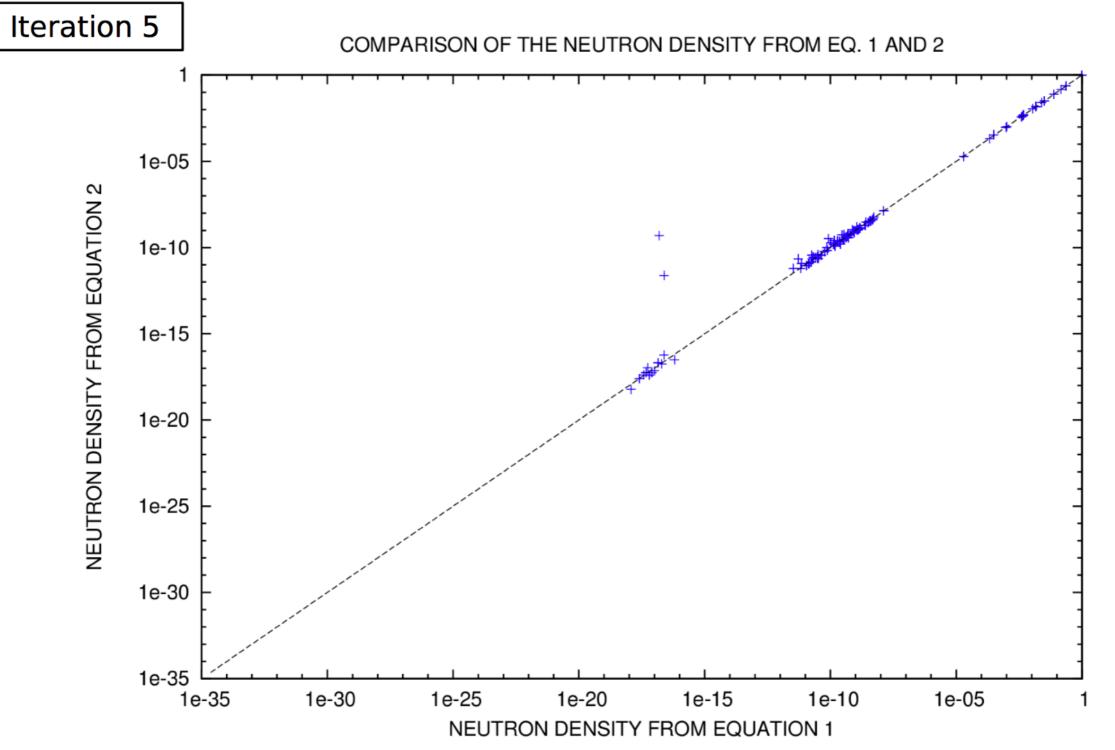


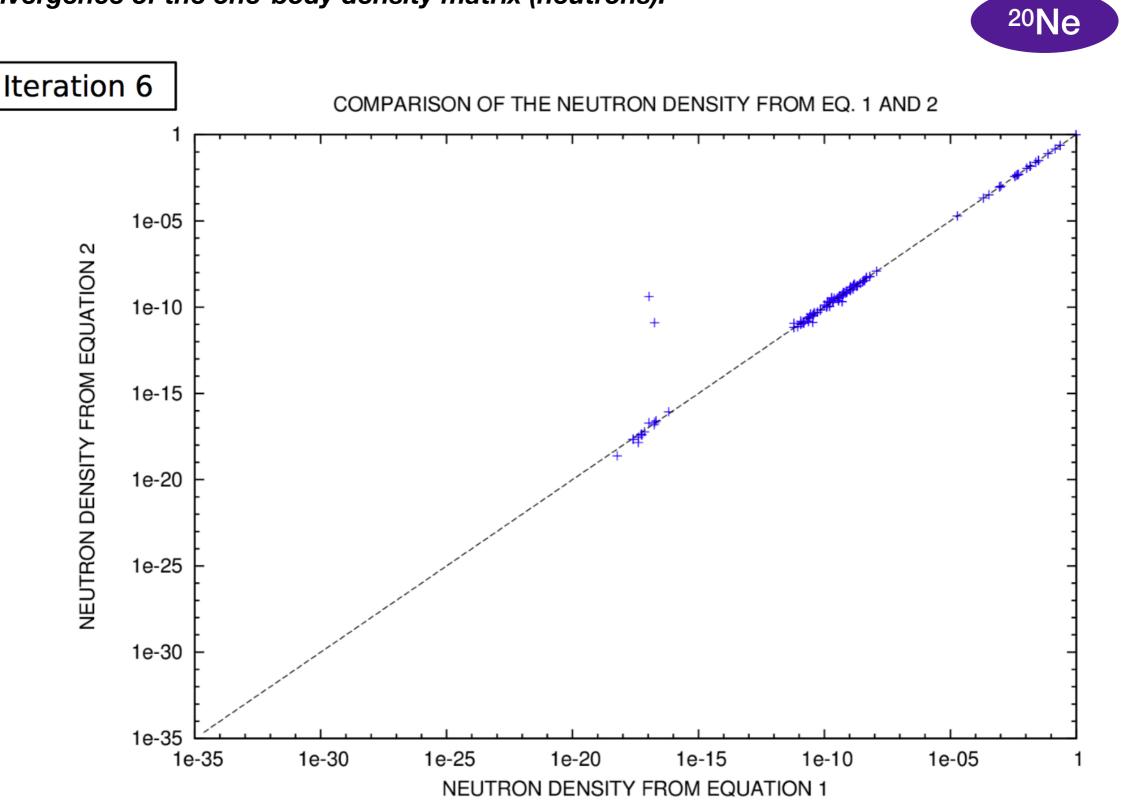


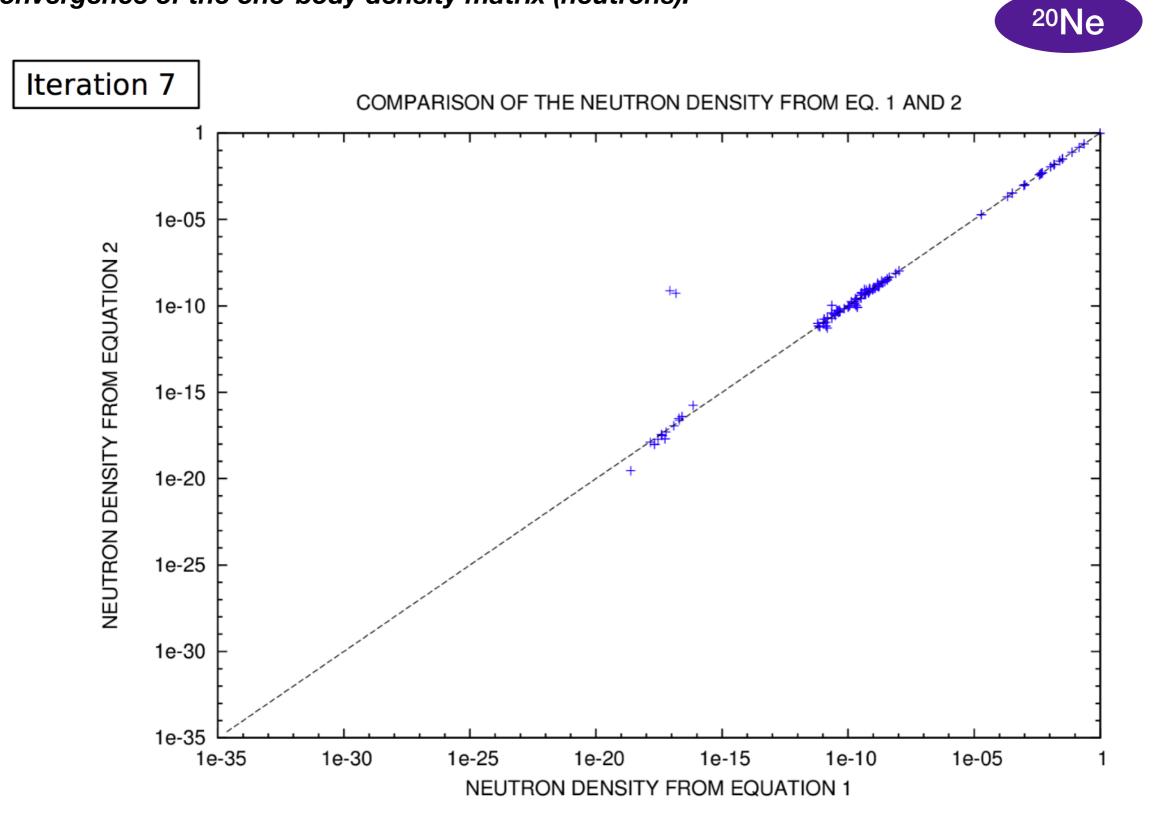


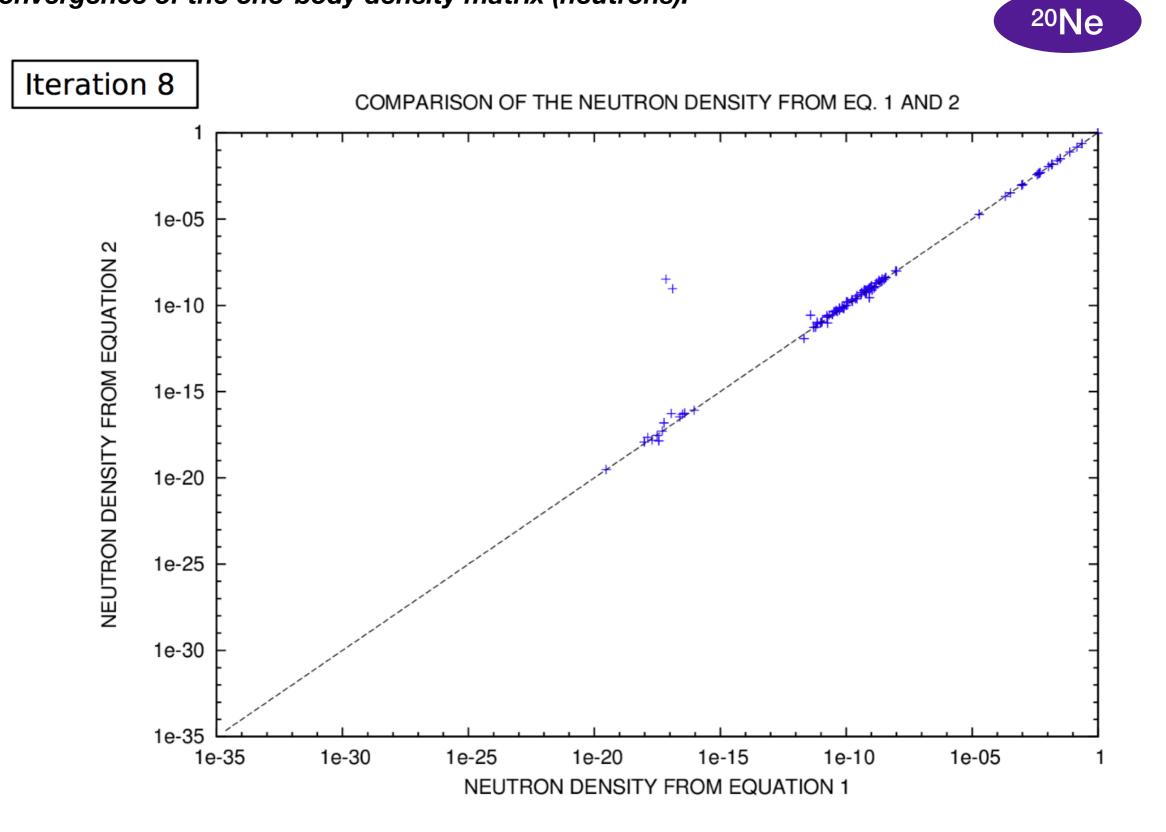


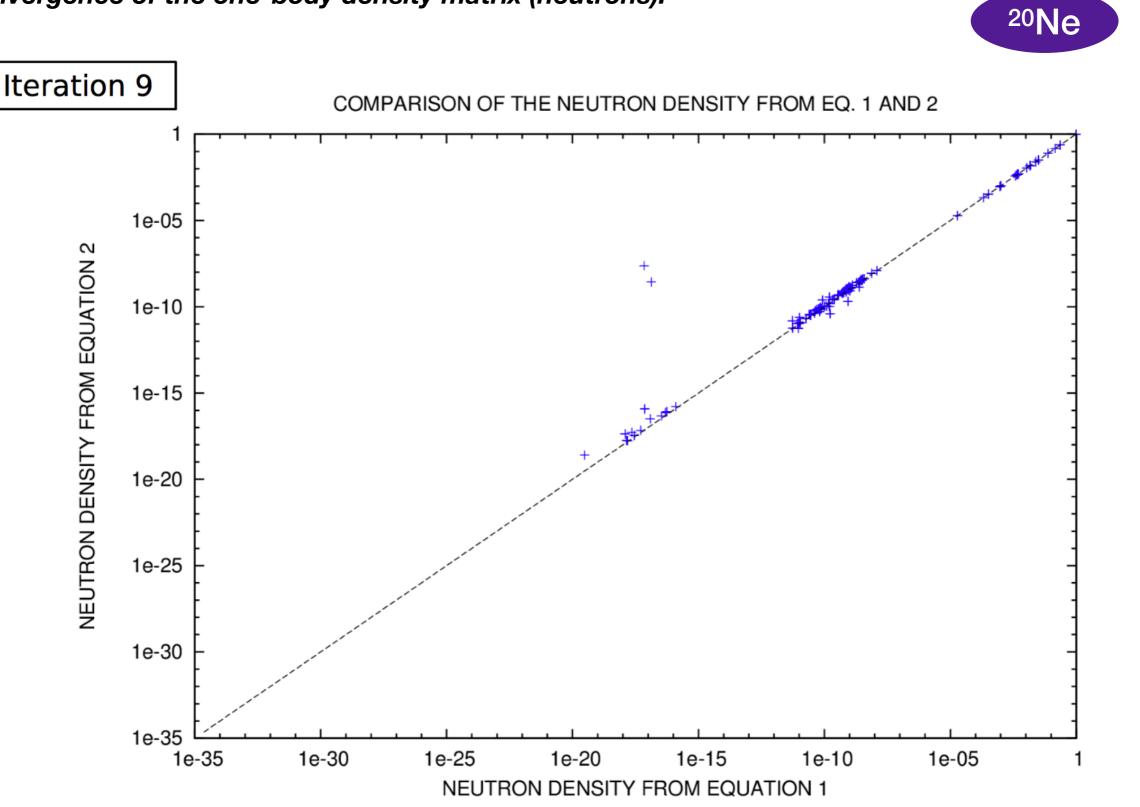


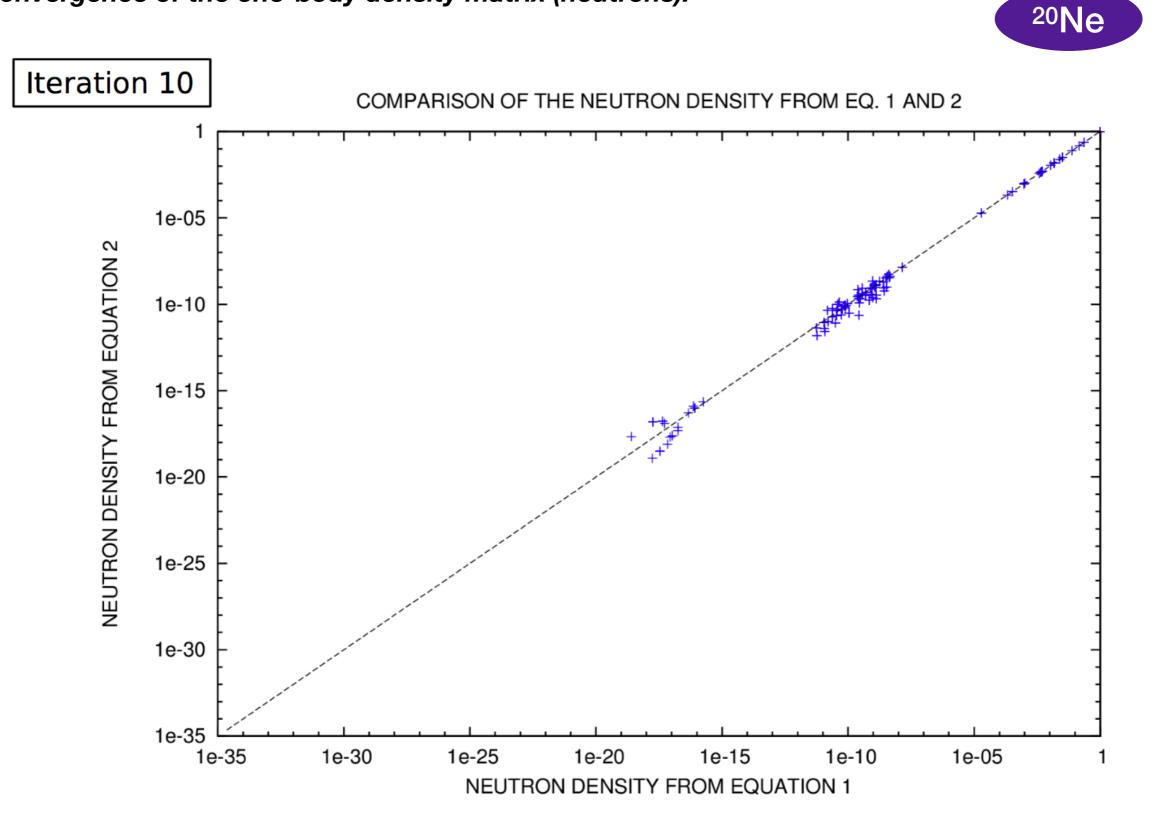


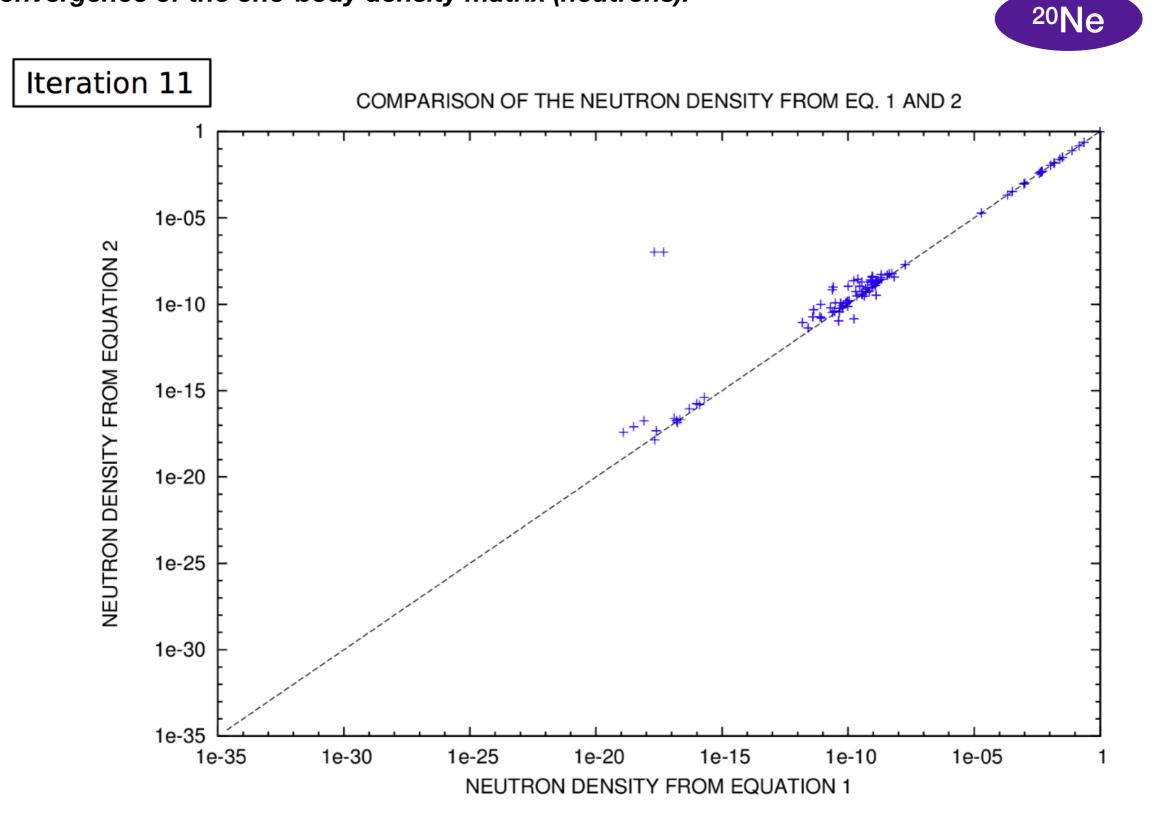


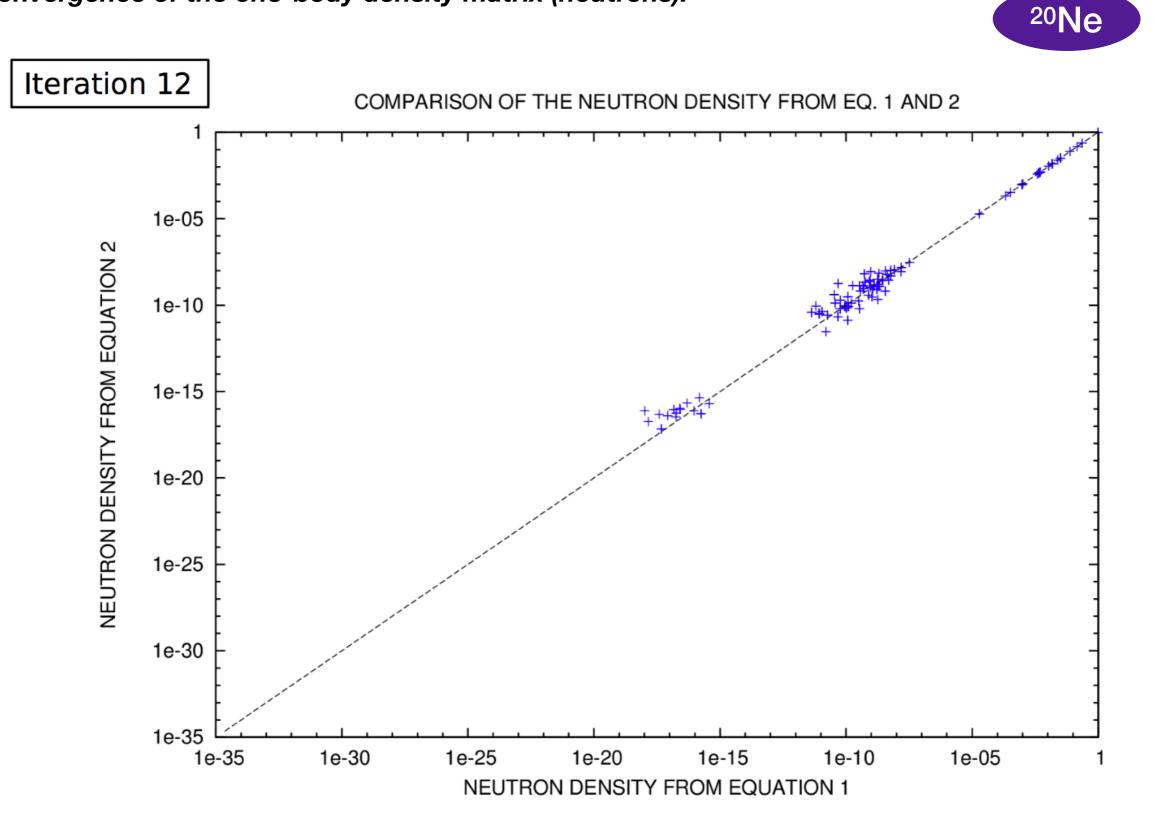


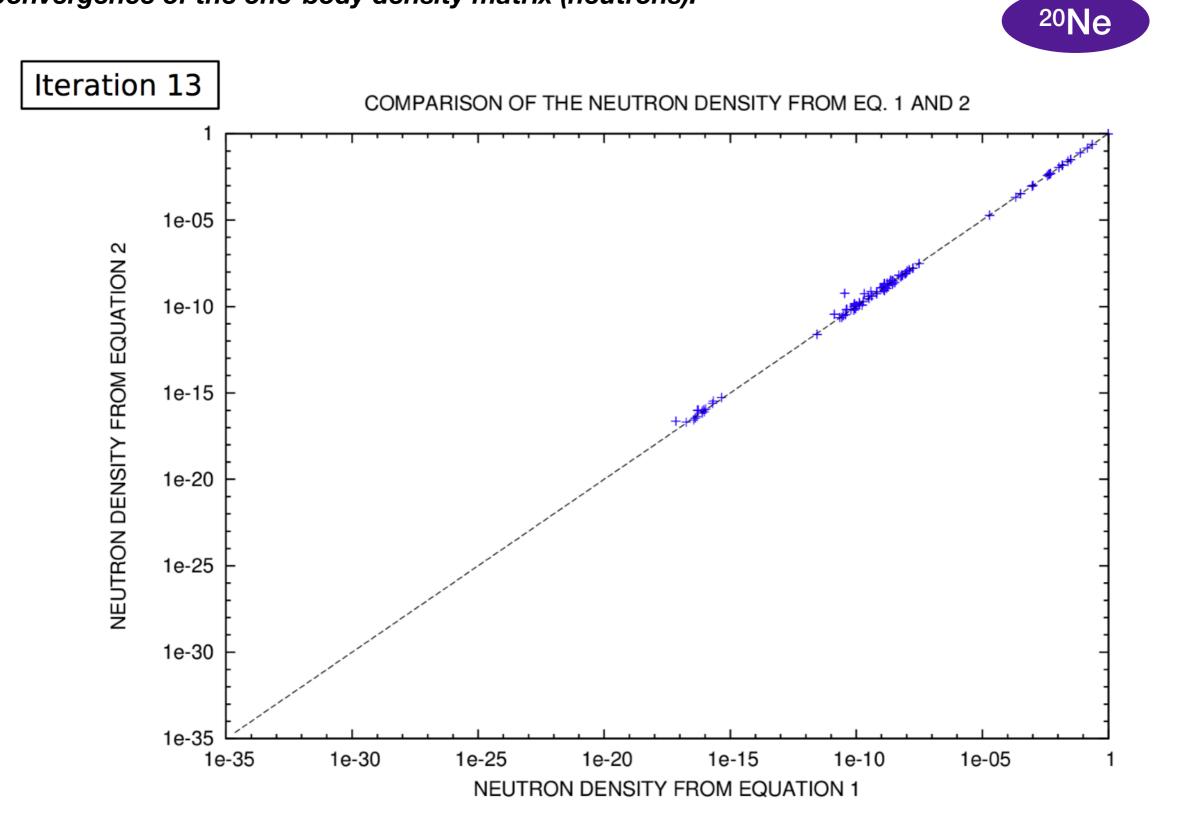


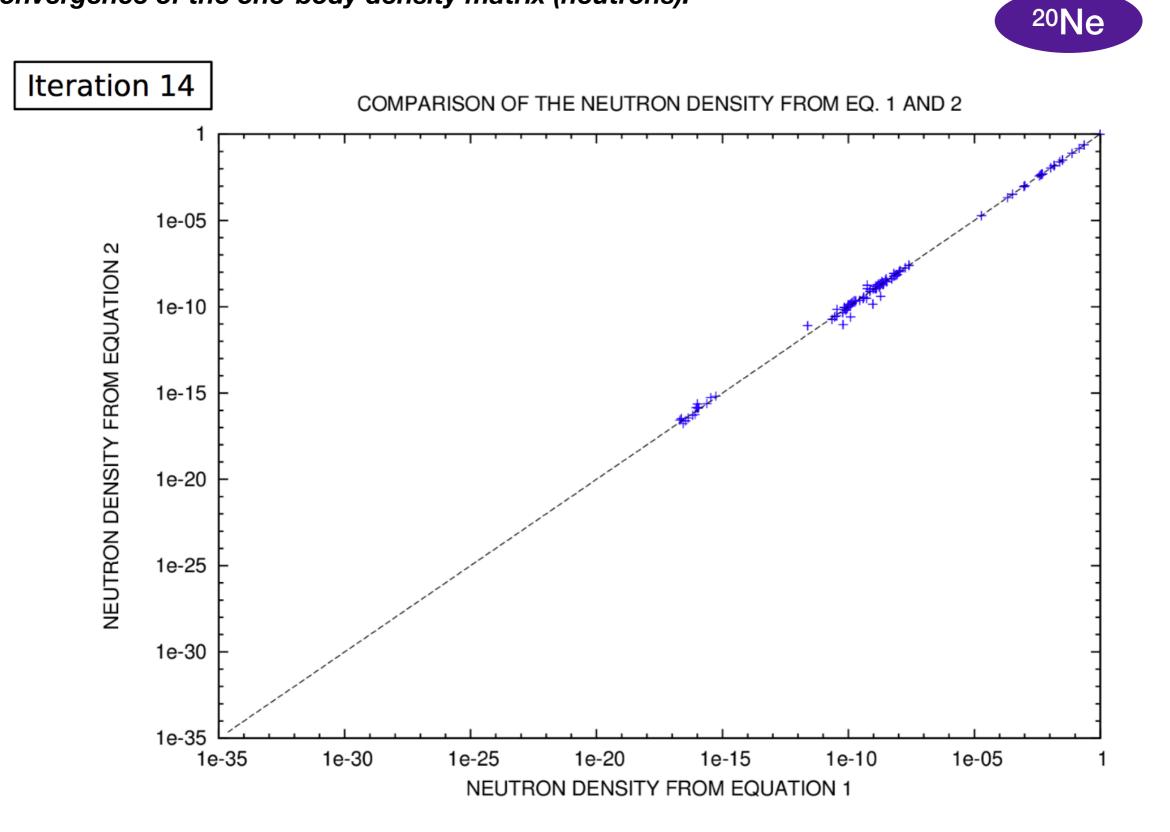


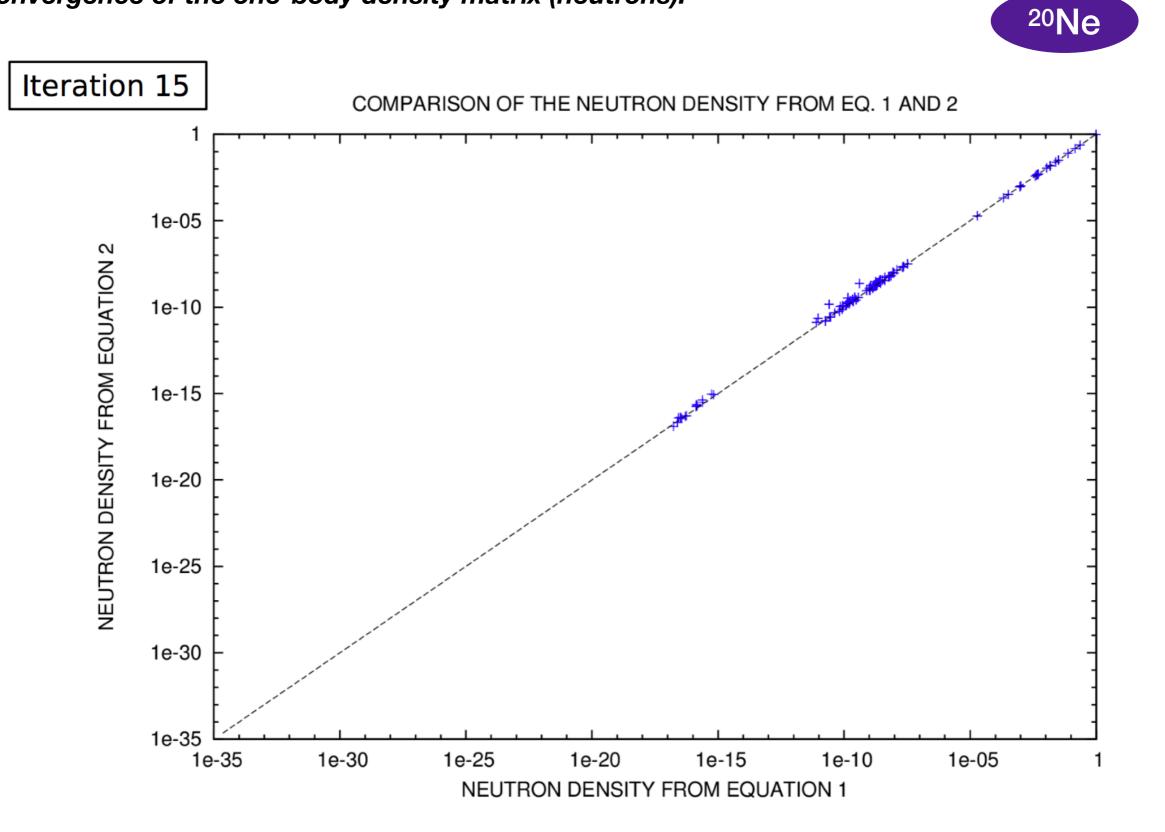


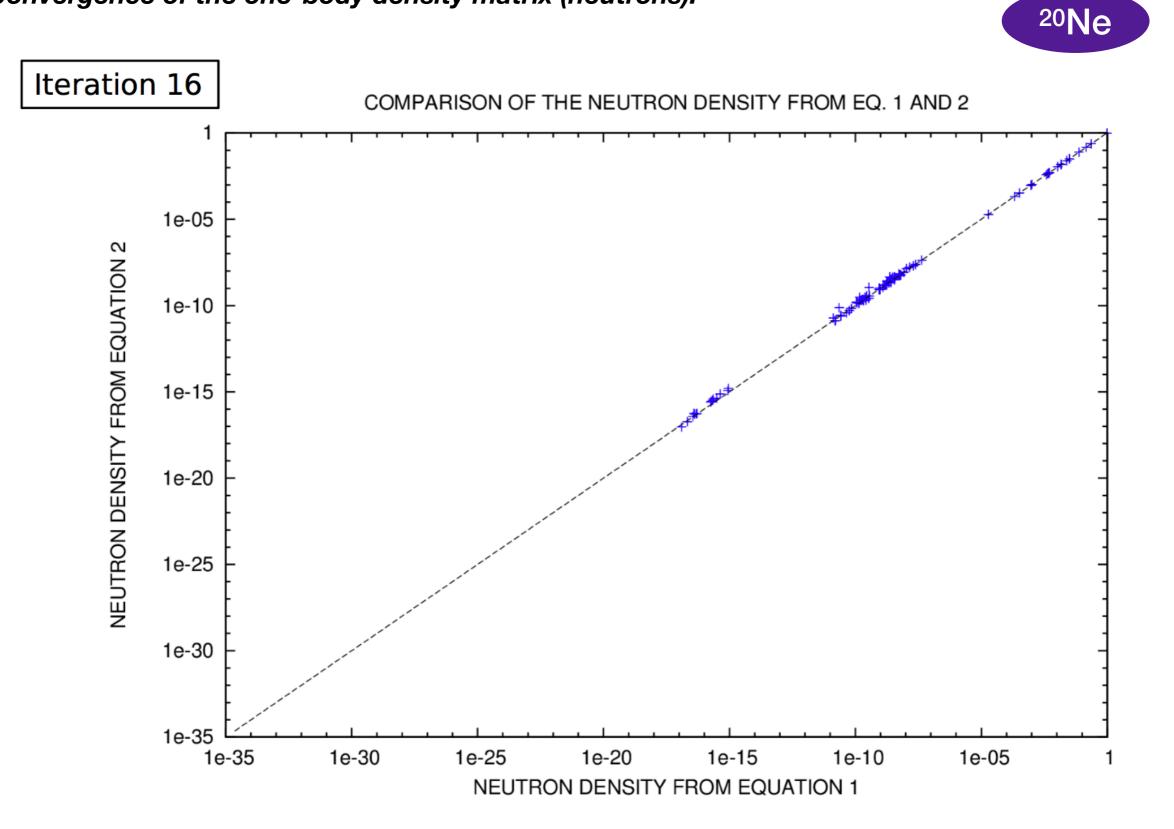


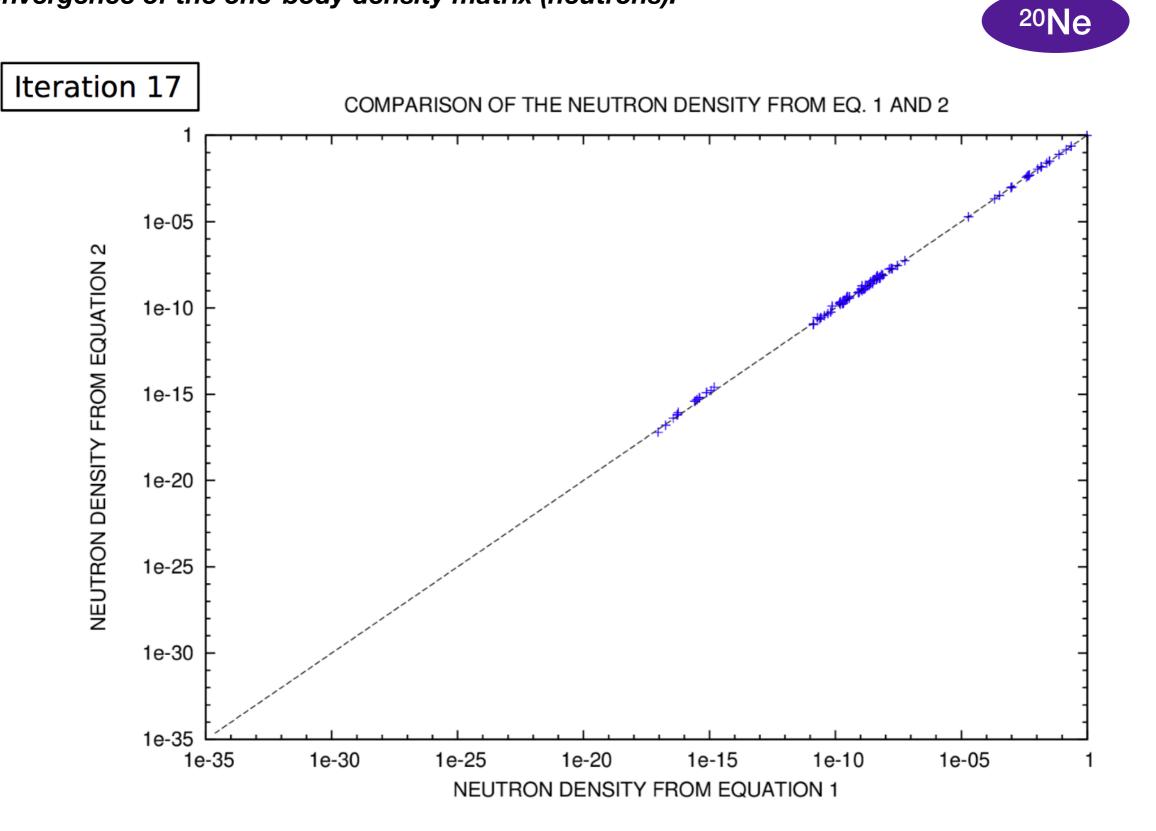


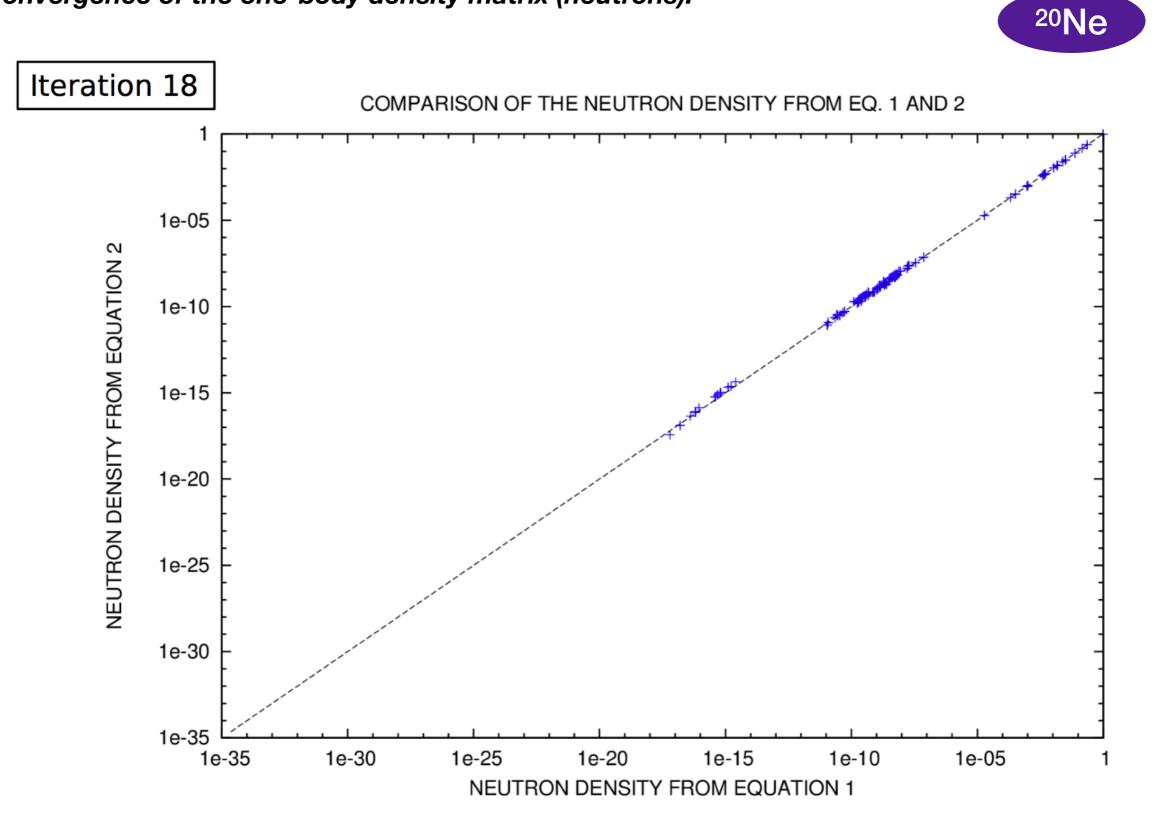


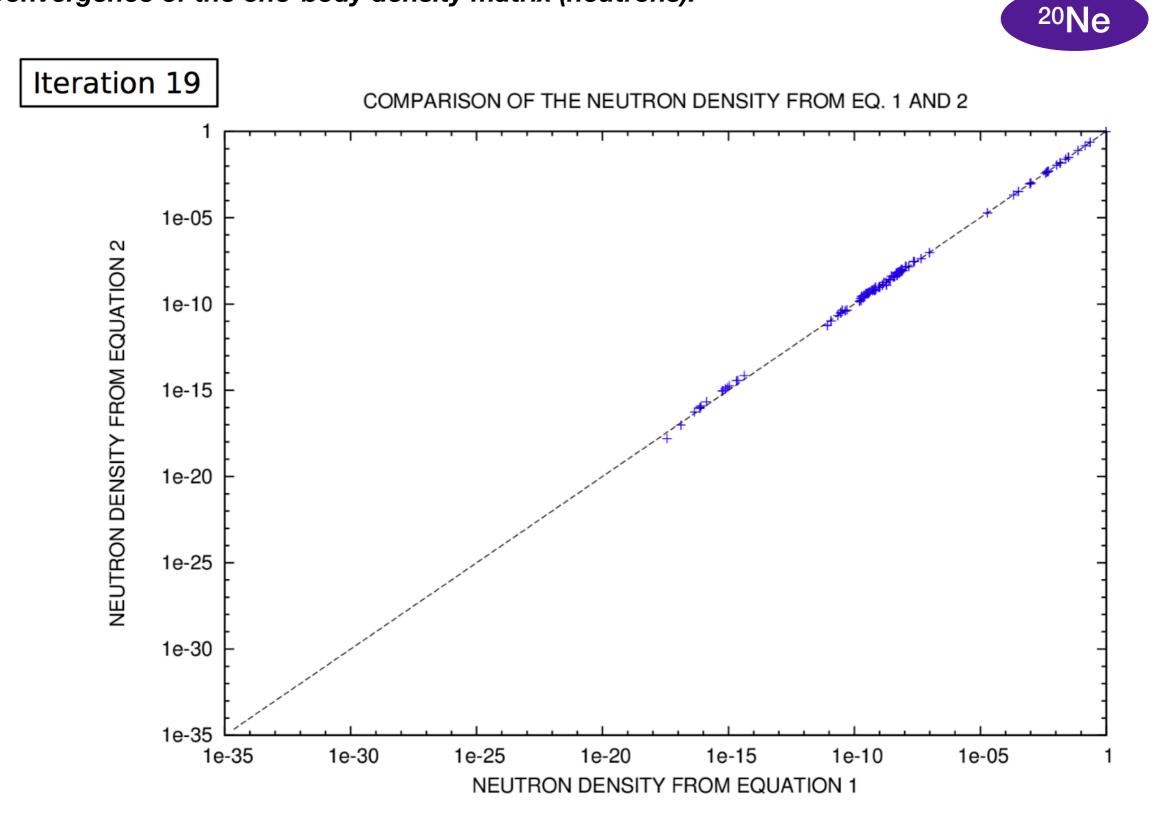


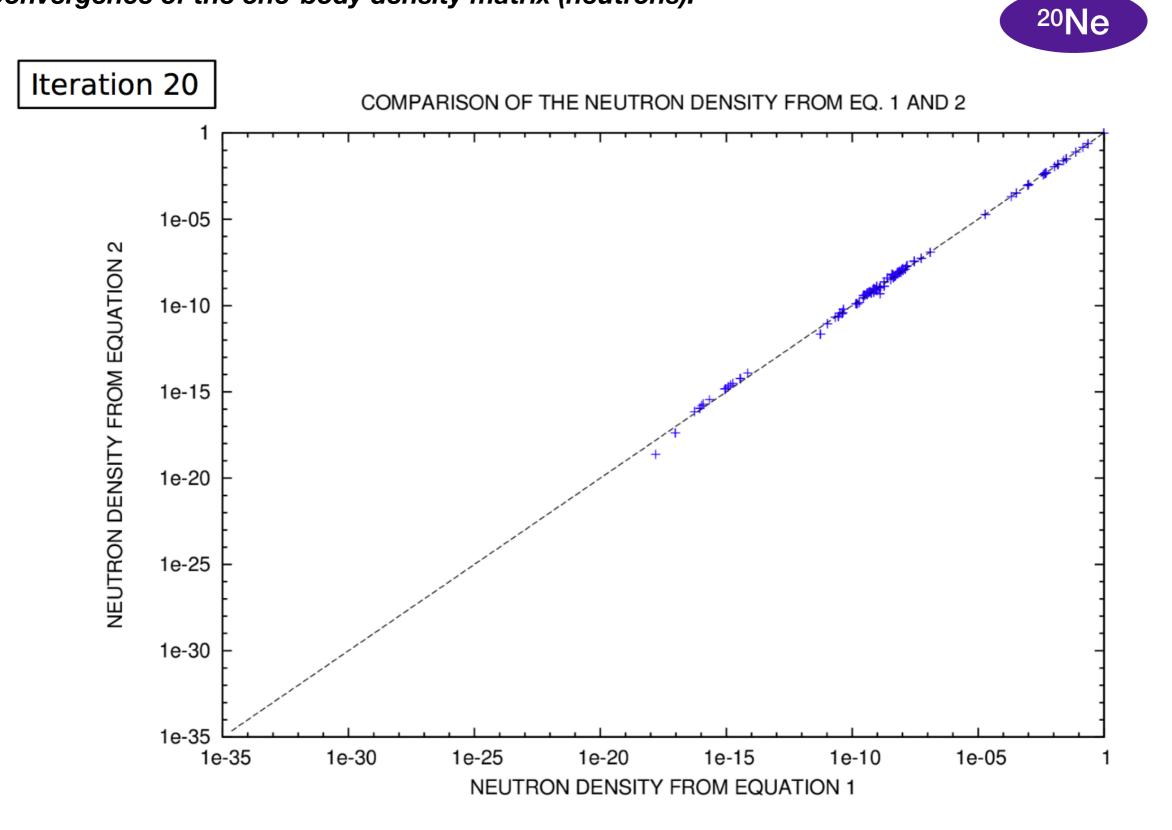


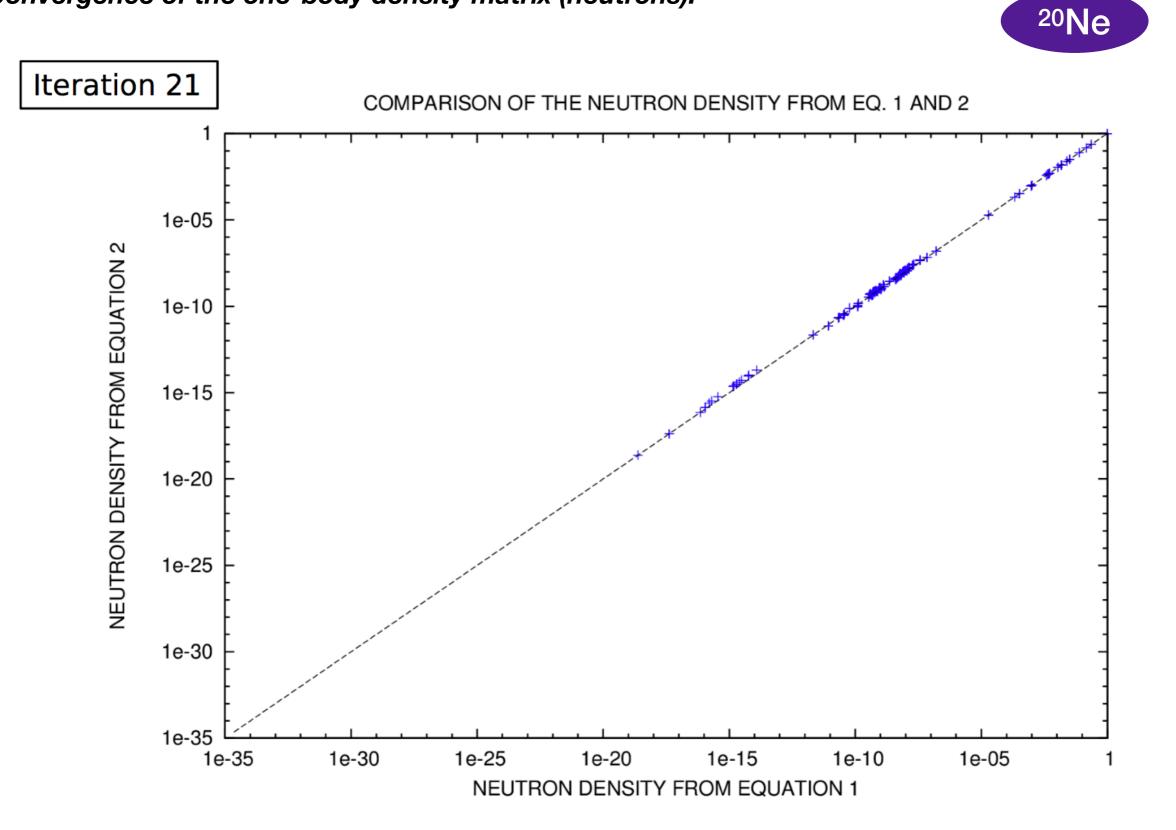


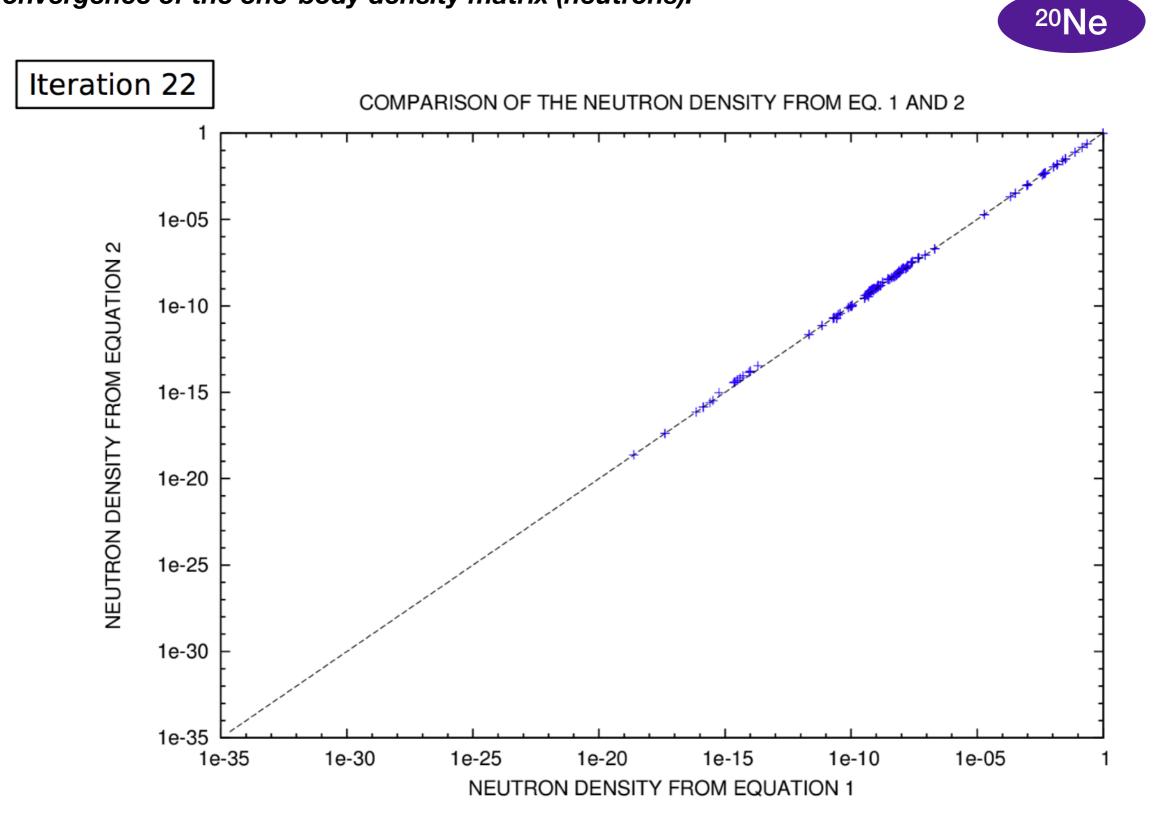






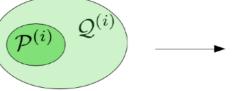


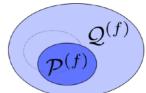




***** Effect on the many-body wave function:

Orbital transformation: $b_i^{\dagger} = e^{i\hat{T}}a_i^{\dagger}e^{-i\hat{T}}$





$$\Rightarrow |\Psi^{(f)}\rangle = \sum_{\alpha \in \mathcal{P}^{(f)}} A_{\alpha}^{(f)} |\phi_{\alpha}^{(f)}\rangle$$
$$= \sum_{\beta \in \mathcal{P}^{(i)}} A_{\beta}^{(i)} |\phi_{\beta}^{(i)}\rangle + \sum_{\beta \in \mathcal{Q}^{(i)}} A_{\beta}^{(i)} |\phi_{\beta}^{(i)}\rangle$$
How big

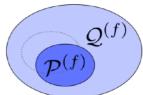
?

	1 st equation only		1 st +2 nd equations Starting from HF orbitals	
nucleus	Weight of P ⁽ⁱ⁾	Weight of Q ⁽ⁱ⁾	Weight of P ⁽ⁱ⁾	Weight of Q ⁽ⁱ⁾
²⁰ Ne	100%	0%	98%	2%
²⁴ Mg	100%	0%	97%	3%
²⁸ Si	100%	0%	95%	4%
32 S	100%	0%	93%	7%
²⁸ Ne	100%	0%	85%	15%

***** Effect on the many-body wave function:

Orbital transformation: $b_i^{\dagger} = e^{i\hat{T}}a_i^{\dagger}e^{-i\hat{T}}$

$$\mathcal{P}^{(i)}$$
 $\mathcal{Q}^{(i)}$ \longrightarrow



$$\Rightarrow |\Psi^{(f)}\rangle = \sum_{\alpha \in \mathcal{P}^{(f)}} A_{\alpha}^{(f)} |\phi_{\alpha}^{(f)}\rangle$$
$$= \sum_{\beta \in \mathcal{P}^{(i)}} A_{\beta}^{(i)} |\phi_{\beta}^{(i)}\rangle + \sum_{\beta \in \mathcal{Q}^{(i)}} A_{\beta}^{(i)} |\phi_{\beta}^{(i)}\rangle$$
How big?

1st+2nd equations 1st+2nd equations 1st equation only **Starting from HF orbitals Starting from HO orbitals** Weight of P⁽ⁱ⁾ Weight of Q⁽ⁱ⁾ Weight of P⁽ⁱ⁾ Weight of Q(i) Weight of P⁽ⁱ⁾ Weight of Q(i) nucleus ²⁰Ne 100% 0% 98% 2% 66% 34% 0% 100% 97% 3% 61% 39% ²⁴Mg 0% 100% 95% 4% 55% 45% ²⁸Si 32**S** 100% 0% 93% 7% 61% 39% ²⁸Ne 100% 0% 85% 15% 78% 22%

The weight of the initial Q space increases when starting further from the final solution

***** Effect on the many-body wave function:

Orbital transformation:
$$b_i^{\dagger} = e^{i\hat{T}}a_i^{\dagger}e^{-i\hat{T}}$$

$$\Rightarrow |\phi^{(f)}\rangle = e^{iT} |HF\rangle$$

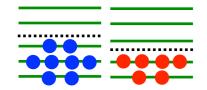
$$= |HF\rangle + i \sum_{ph} T_{ph} a_p^{\dagger} a_h |HF\rangle - \frac{1}{2} \sum_{php'h'} T_{ph} T_{p'h'} a_p^{\dagger} a_h a_{p'}^{\dagger} a_{h'} |HF\rangle + \dots$$

$$= |HF\rangle + i \sum_{ph} T_{ph} a_p^{\dagger} a_h |HF\rangle - \frac{1}{2} \sum_{php'h'} T_{ph} T_{p'h'} a_p^{\dagger} a_h a_{p'}^{\dagger} a_{h'} |HF\rangle + \dots$$

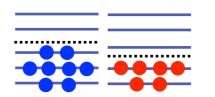
➡ final reference state = superposition of mpmh excitations on the initial HF reference state = richer

Pure Hartree-Fock component in correlated ground state				
nucleus	1 st equation only	1 st + 2 nd equations		
²⁶ Ne	71%	62%		
²⁸ Si	60%	24%		
32 S	58%	39%		
³⁴ S	39%	17%		

New reference-state component		
1 st + 2 nd equations		
69%		
26%		
47%		
18%		



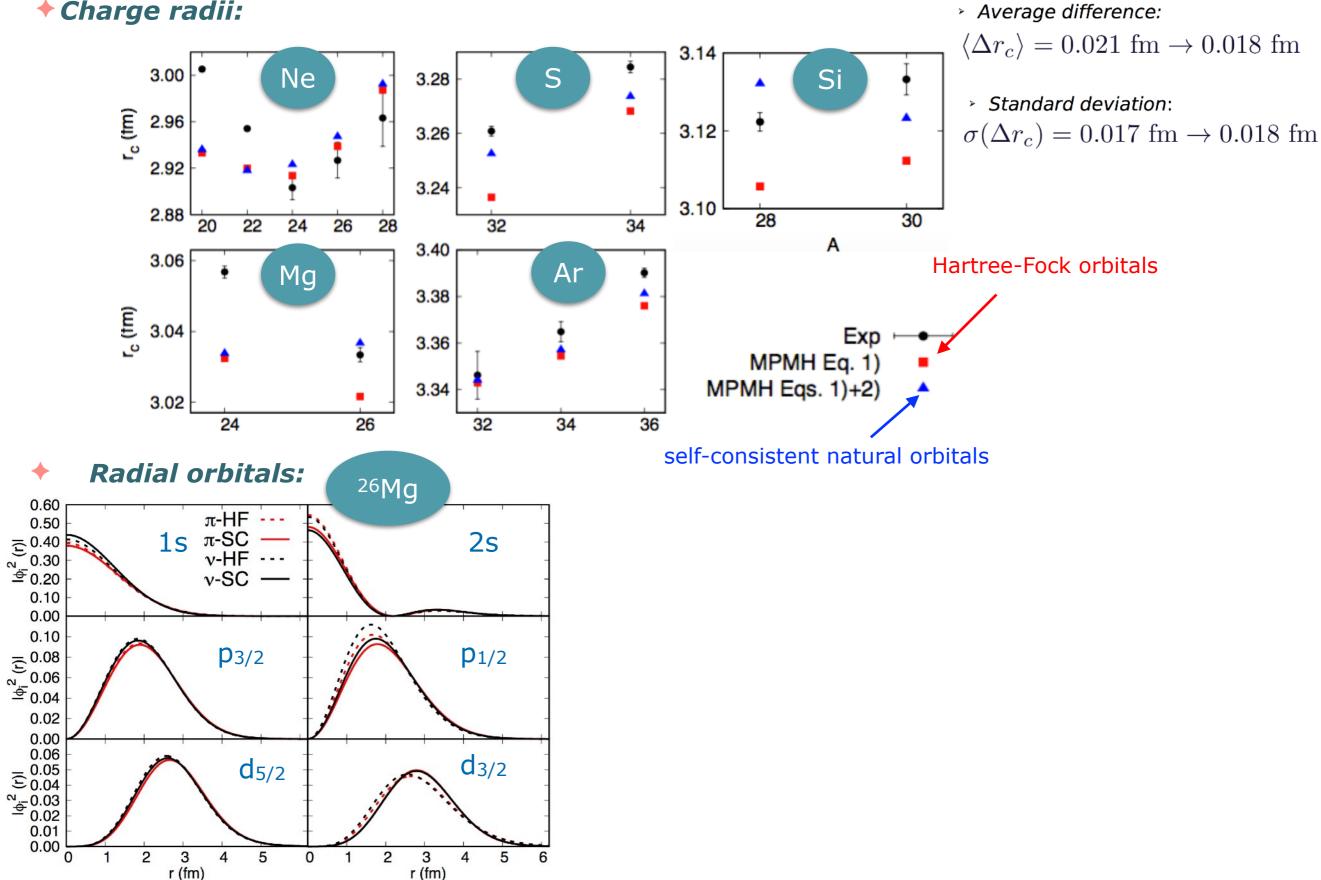
Pure HF component decreases: self-consistent procedure appears to fragment the wave function



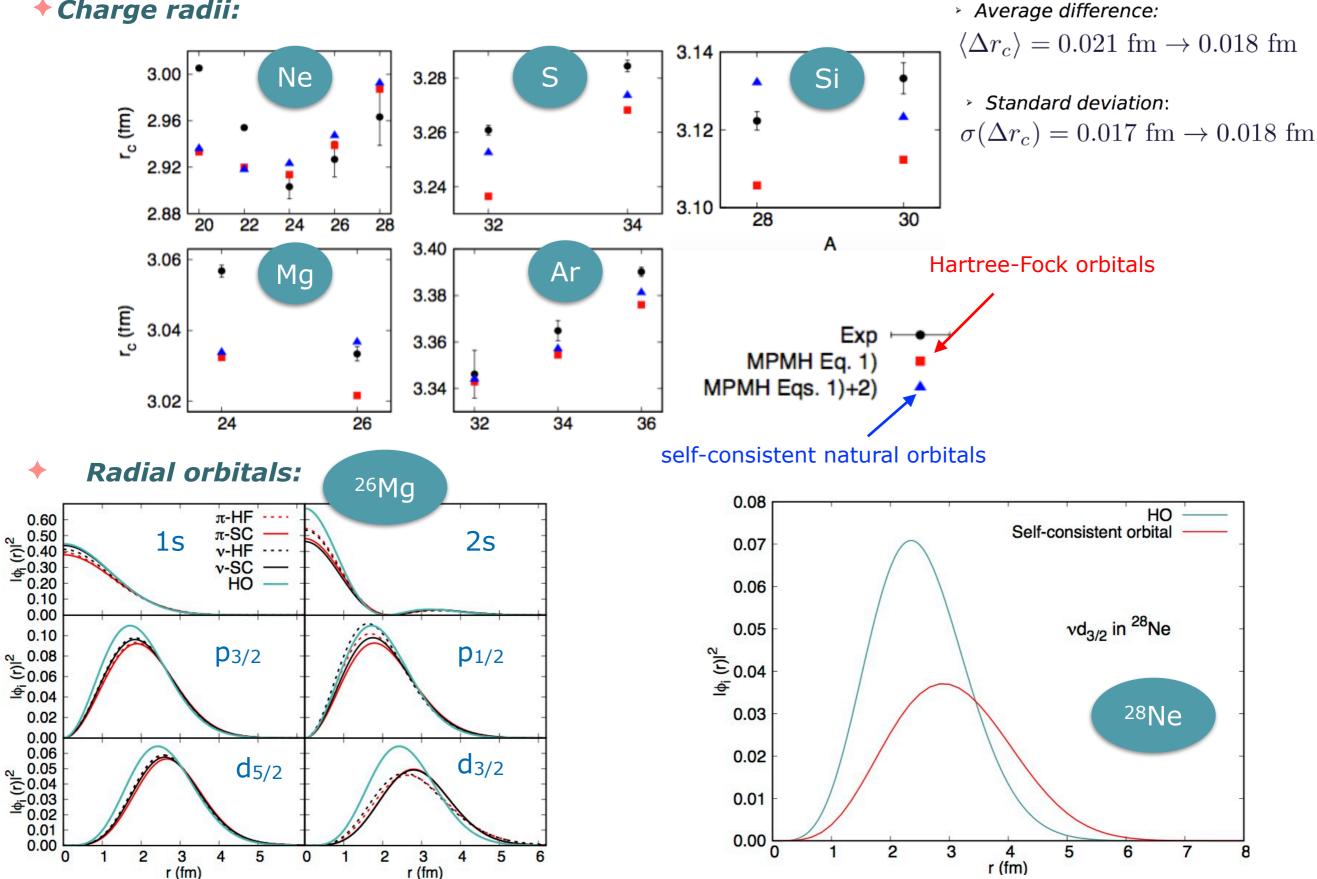
Reference state built on optimized orbitals

➡ "better" than HF state

+ Charge radii:



+ Charge radii:

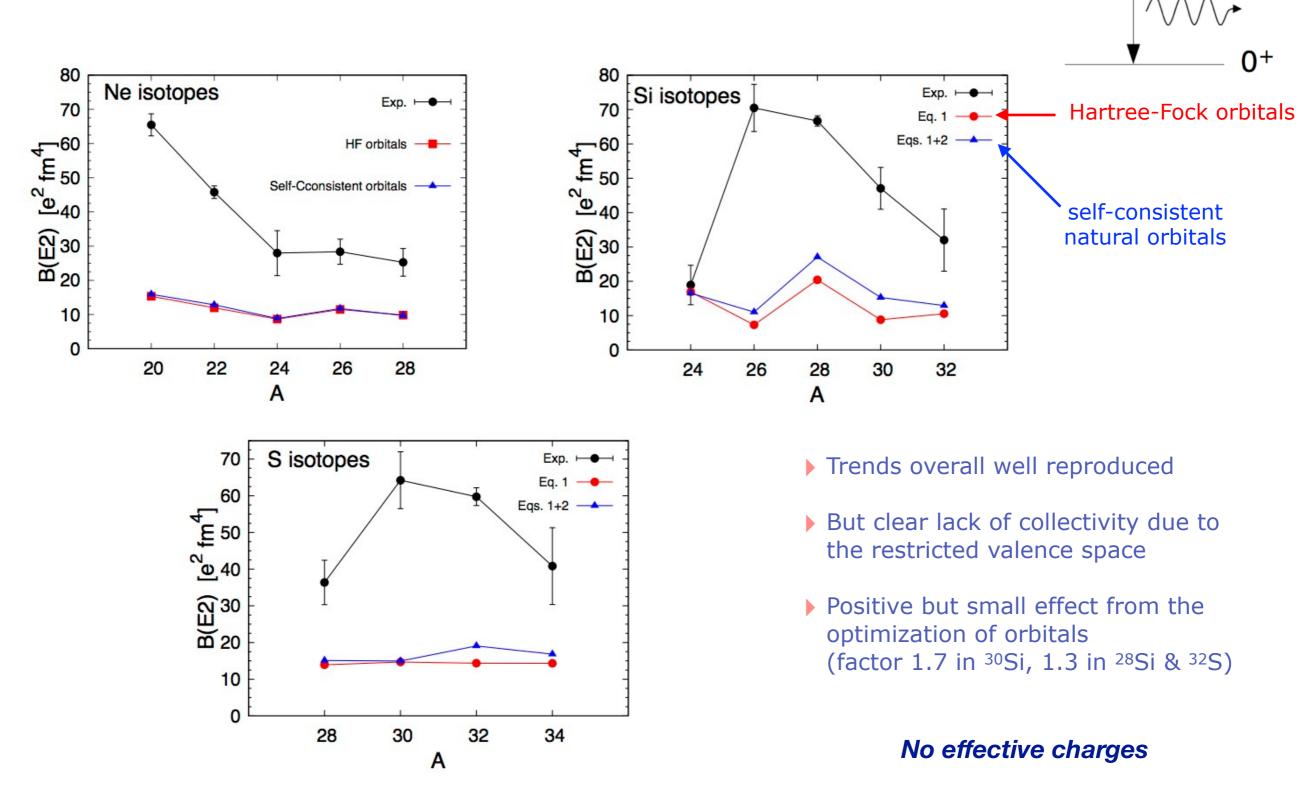


³⁰S and ³⁰Si: + Excitation energies: T=0 component of the Gogny force (lack of tensor term, Pillet et al. PRC 85, 044315 (2012)) 5 5 E*(21) - Eq. 1 E (21) - Eqs. 1+2 4 4 mp-mh (MeV) mp-mh (MeV) 3 3 Ne Ne • . Orbital Mg Mg • • 2 2 optimization Si Si S S 1 1 Ar Ar 3 2 3 2 5 4 4 1 experiment (MeV) experiment (MeV) $\langle \Delta E^* \rangle = 235 \text{ keV}$ $\sigma(\Delta E^*) = 323 \text{ keV}$ $\langle \Delta E^* \rangle = 373 \text{ keV}$ All $\sigma(\Delta E^*) = 517 \text{ keV}$ All $\langle \Delta E^* \rangle = 142 \text{ keV}$ $\langle \Delta E^* \rangle = 226 \text{keV}$ ³⁰S & ³⁰Si ³⁰S & ³⁰Si excluded excluded $\sigma(\Delta E^*) = 122 \text{ keV}$ $\sigma(\Delta E^*) = 214 \text{ keV}$

5

2+

Transition probabilities B(E2)



Conclusion from the study with Gogny

* First implementation of the fully self-consistent multiparticle-multihole configuration mixing method

 Construction of a general mean-field and natural orbitals consistent with the correlation of the system, complete convergence reached.

Effect of orbital optimization always positive.

With single valence shell: large impact on the ground-state wave function, but small effect on the transition probabilities...

- ➡ solve orbital equation for each many-body state
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Conclusion from the study with Gogny

* First implementation of the fully self-consistent multiparticle-multihole configuration mixing method

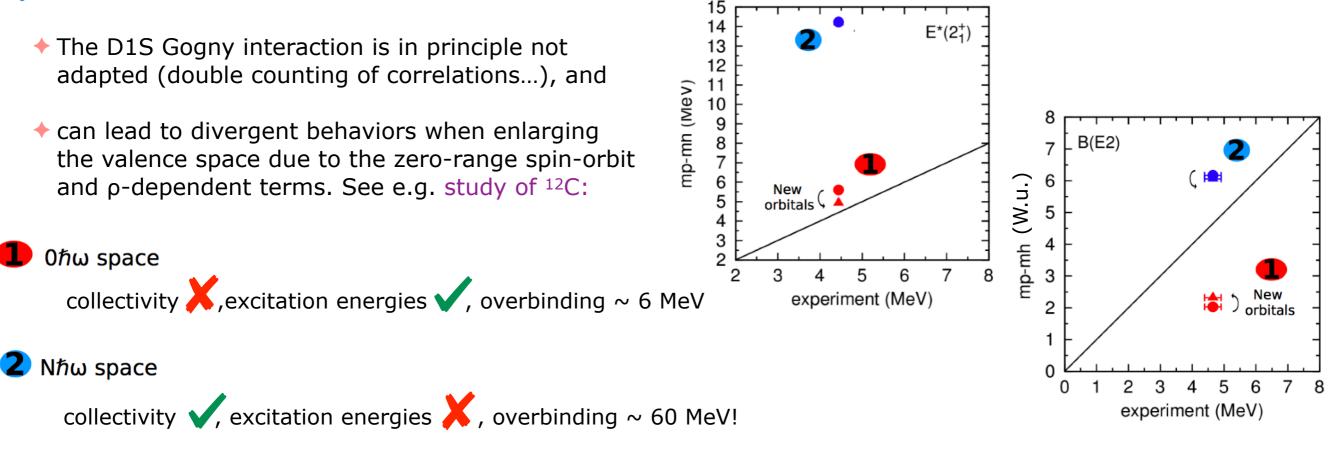
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***** But:



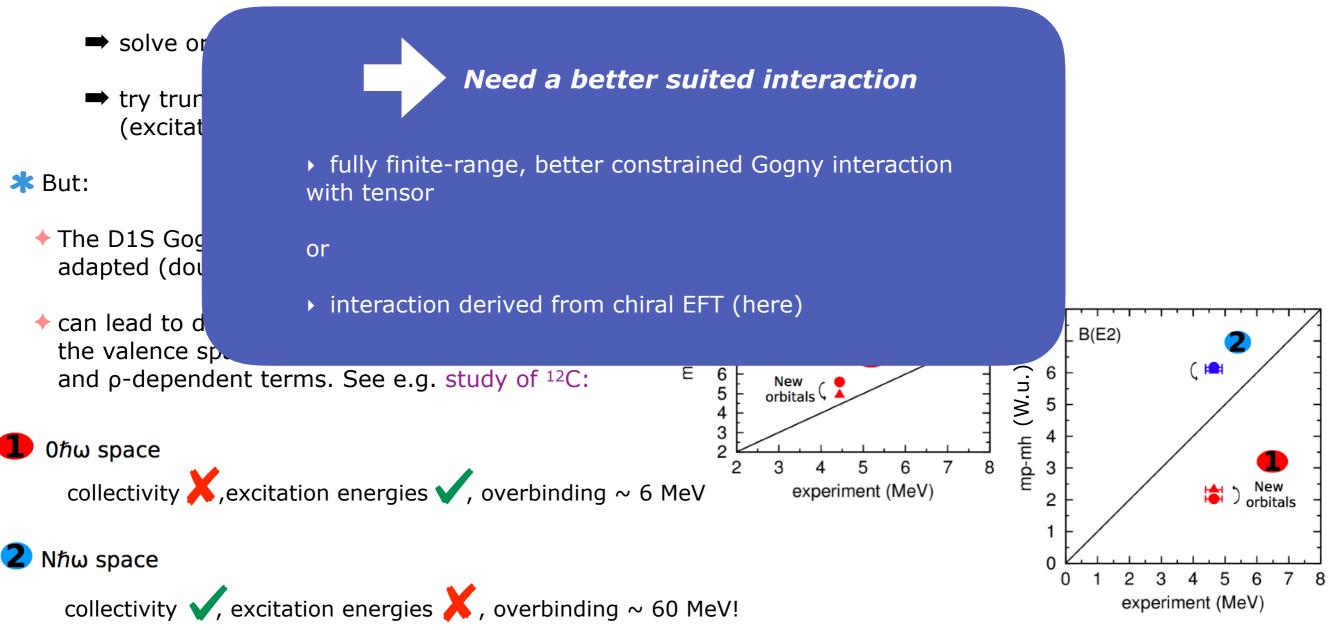
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Outline

✦ Formalism of the MPMH method

- \rightarrow role and interpretation of the orbital optimization
- Applications with the Gogny D1S interaction
 - Numerical algorithm
 - \rightarrow doubly iterative convergence process
 - Description of even-even sd-shell nuclei
 - → Effect of the orbital optimization on ground and excited states properties: Charge radii, excitation energies, transition probabilities, inelastic electron and proton scattering...

Towards an "ab-initio" theory

 \rightarrow implementation of a chiral interaction: preliminaries

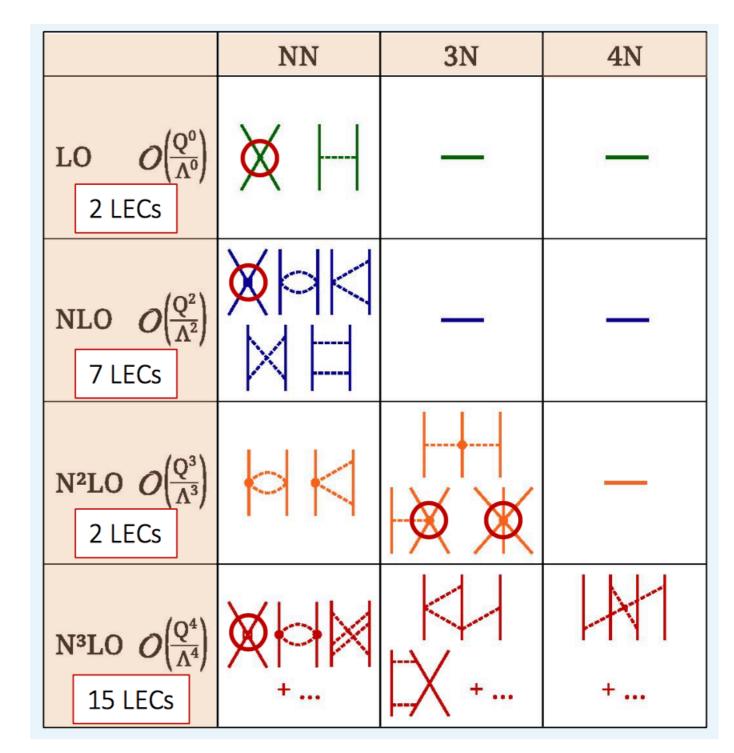
- in collaboration with I. Tews (LANL), R. Bernard (ENS Cachan) and G. Hupin (IPN Orsay)

- In MPMH, we have to do the CI diagonalization and calculation of the mean field/source term at each iteration
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 - See e.g. A. Gezerlis, I. Tews, E. Epelbaum et al., Phys. Rev. C 90, 054323 (2014)
 - At each order:

contact terms + long-range pion-exchange terms

Chiral expansion:

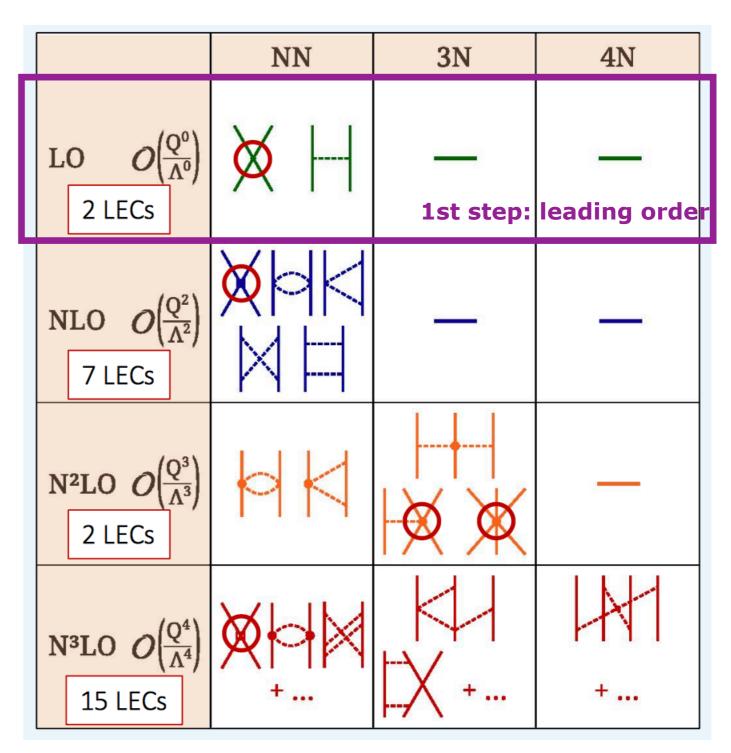


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contact terms + long-range pion-exchange terms



Chiral expansion:

★ Chiral interaction at leading order with Gaussian regulators:

cut-off $R_0 = 1$ fm

-> Yukawa or Yukawa-like x Gaussians

***** Strategy: fit the regularized Yukawa or Yukawa-like functions to a sum of Gaussians

$$W_{S,reg}^{(0)}(r) \propto \frac{e^{-M_{\pi}r}}{r} \times (1 - e^{-(r/R_0)^2})^2 \simeq \sum_i a_i^S e^{-(r/b_i^S)^2}$$

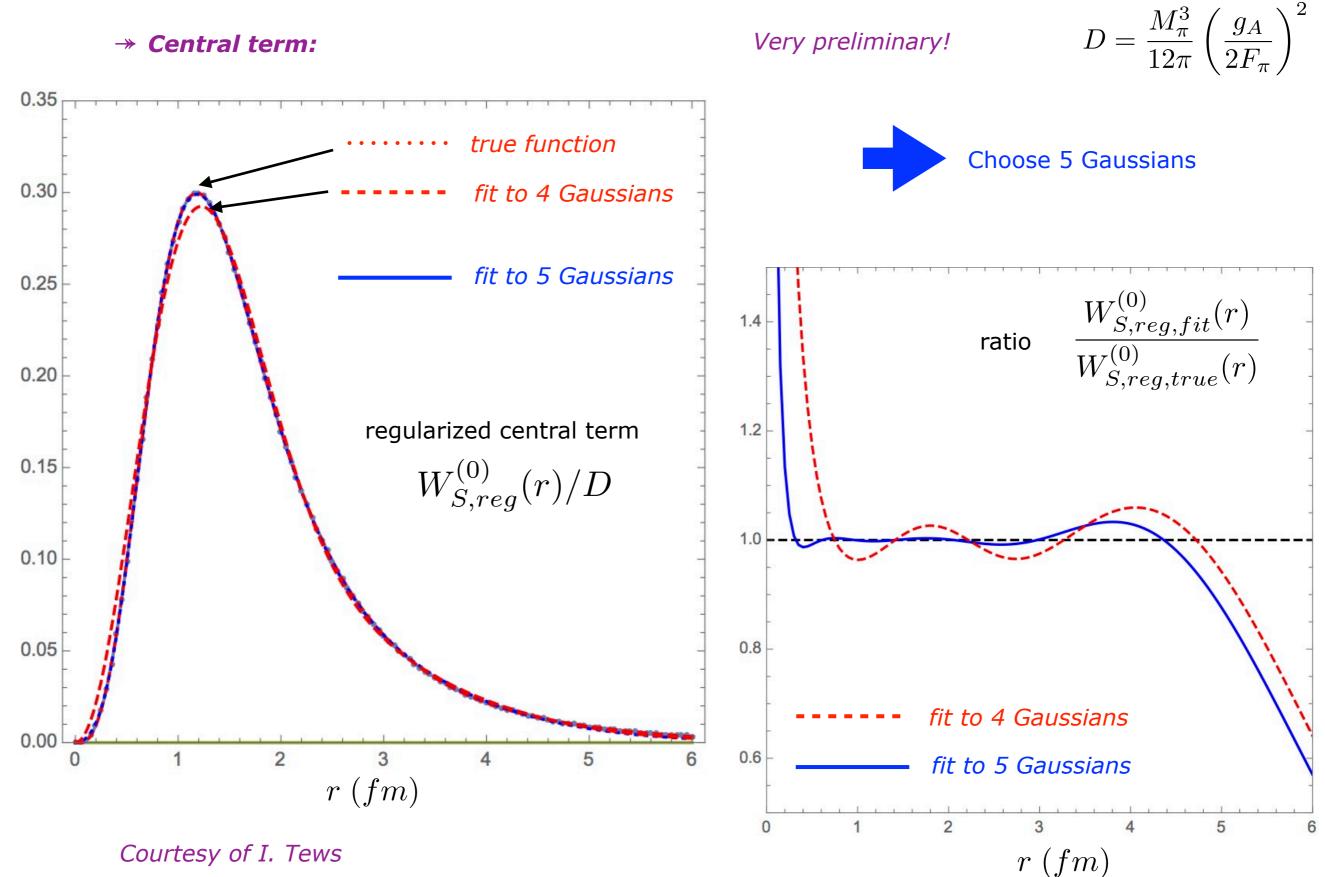
$$W_{T,reg}^{(0)}(r) \propto \frac{e^{-M_{\pi}r}}{r} \left(1 + \frac{3}{M_{\pi}r} + \frac{3}{(M_{\pi}r)^2}\right) \times (1 - e^{-(r/R_0)^2})^2 \simeq \sum_i a_i^T e^{-(r/b_i^T)^2}$$

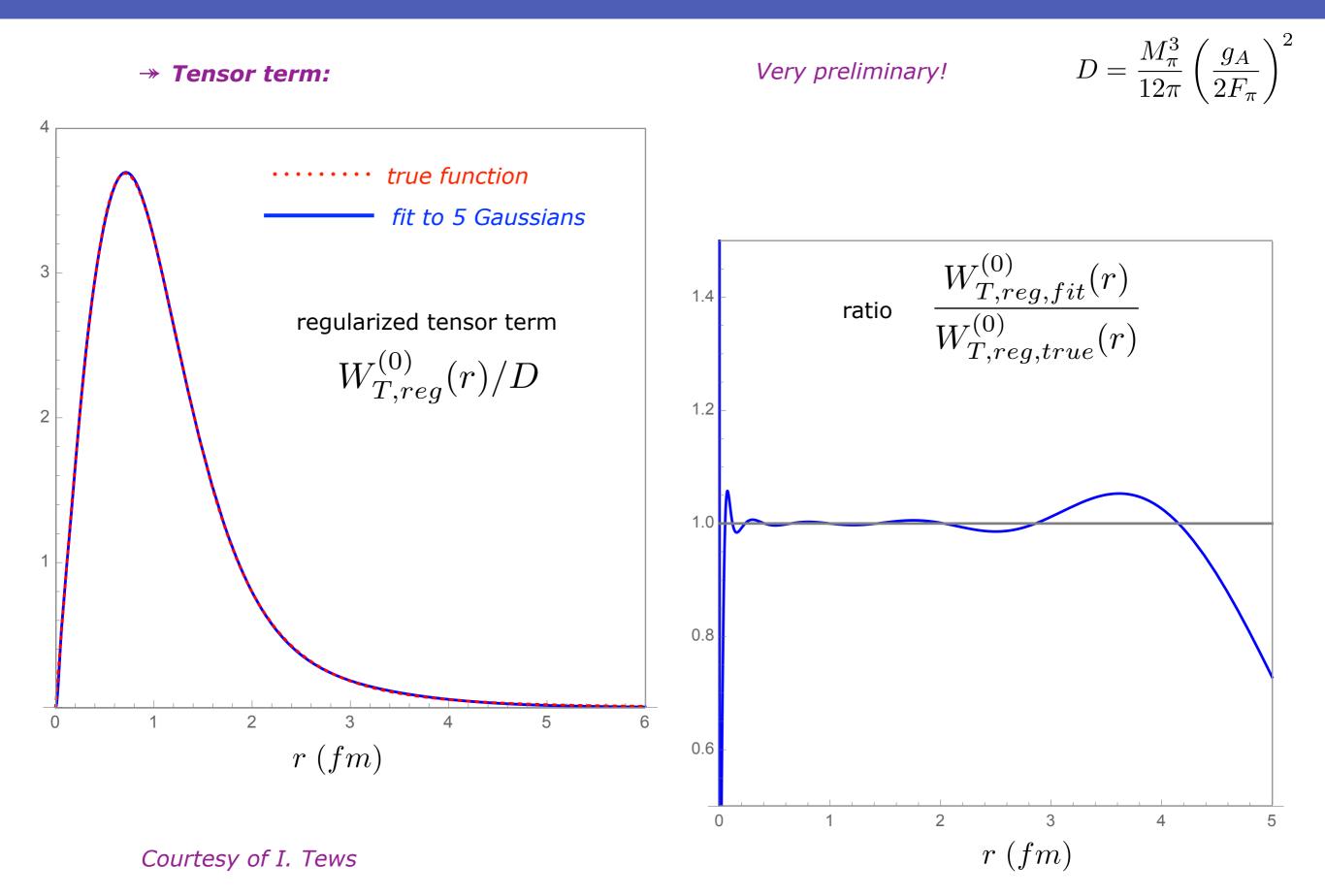
to use the machinery already developed in the original code for the Gogny interaction

Note: such fits of Yukawa to Gaussians already applied in J. Dobaczewski & J. Engel, Phys. Rev. Lett. 94, 232502 (2005), or more recently in e.g. R. Navarro Perez et al. PRC 97, 054304 (2018).

Central term:



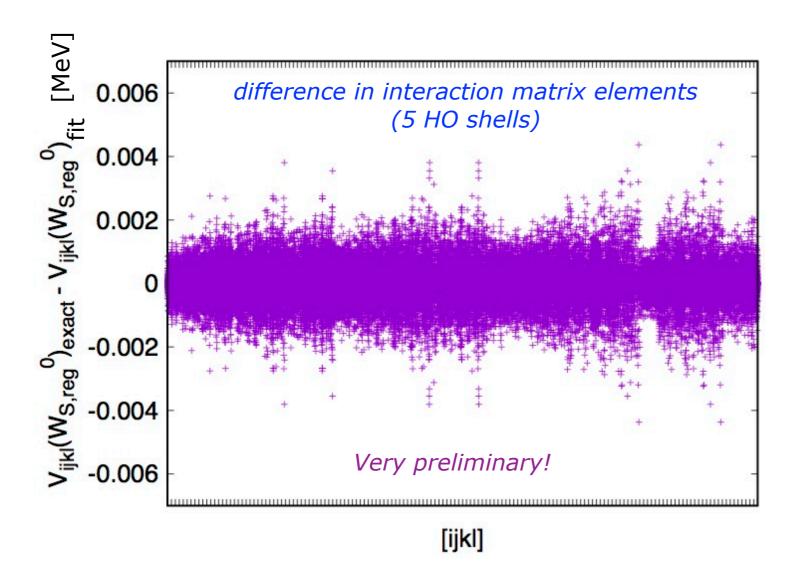




Test for the central term:

Use the relation
$$\frac{e^{-M_{\pi}r}}{r} = \frac{2}{\sqrt{\pi}} \int_{0}^{\infty} dX e^{-r^{2}X^{2} - M_{\pi}^{2}/4X^{2}}$$
 (exact)

to do the exact integration of the central term and check the accuracy of the Gaussian fit



→ impact on observables to be investigated...

* Average difference:

$$\begin{split} \langle \Delta \widetilde{V} \rangle &= \frac{1}{N} \sum_{\{ijkl\}=1}^{N} |\widetilde{V}_{ijkl}^{exact} - \widetilde{V}_{ijkl}^{fit}| \\ &= 2.10 \times 10^{-5} \text{ MeV} \end{split}$$

* standard deviation:

$$s = \sqrt{\langle \Delta \widetilde{V}^2 \rangle - \langle \Delta \widetilde{V} \rangle^2}$$

 $= 1.20 \times 10^{-4} \text{ MeV}$



 \star Finish the implementation of the tensor term

 \star Implement the next orders: NLO, N²LO

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- three-body interaction

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Thank you!

This work is supported by INT US-DOE Grant DE-FG02-00ER41132 and JINA-CEE US-NSF Grant PHY-1430152