APCTP Focus Program in Nuclear Physics 2019 Nuclear Many-Body Theories: Beyond the mean field approaches

Self-consistent multiparticle-multihole configuration mixing description of nuclei

Caroline Robin

Institute for Nuclear Theory, University of Washington, Seattle, WA, USA JINA-CEE, Michigan State University, East Lansing, MI, USA

Nathalie Pillet *CEA, DAM, DIF, France*

In collaboration with:

Rémi Bernard, *ENS Cachan, France* Ingo Tews, *LANL, USA* Guillaume Hupin, *IPN Orsay, France* Marc Dupuis, *CEA, DAM, DIF, France*

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Introduction

Self-consistent Multiparticle-Multihole Configuration Mixing Method (MPMH):

★ Method applied in atomic physics and quantum chemistry:

↠ Multi-Configuration Hartree-Fock (MCHF), Multi-Configuration Self-Consistent Field (MCSCF)

★ Based on the determination of a Configuration Interaction (CI) wave function ➡ allows:

- ‣ explicit symmetry preservations (particle number, spherical symmetry, Pauli principle),
- ‣ indiscriminate treatment of long-range correlations,
- ‣ treatment of ground and excited states in even-even, odd-even & odd-odd nuclei on the same footing.

★ The underlying mean-field and the single-particle states evolve with the correlations of the system

➡ **fully self-consistent approach**

Outline

✦ Formalism of the MPMH method

- → *role and interpretation of the orbital optimization*
- ✦ Applications with the Gogny D1S interaction
	- ✦ Numerical algorithm
		- → *doubly iterative convergence process*
	- ✦ Description of even-even sd-shell nuclei
		- → *Effect of the orbital optimization on ground and excited states properties: Charge radii, excitation energies, transition probabilities, inelastic electron and proton scattering…*

✦ *Towards an "ab-initio" theory*

→ *implementation of a chiral interaction: preliminaries*

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Trial wave function $|\Psi\rangle$ = superposition of Slater determinants

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Combinatorial growth of the number of configurations \Rightarrow select the most relevant ones

Possible truncation schemes:

- ‣ *Core + Valence space*
- ‣ *Excitation order (Np-Nh)*
- ‣ *Excitation energy*
- ‣ *etc (symmetry-constrained)*

 \rightarrow defines subspace $\,P\,$ of Hilbert space

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$$
\mathcal{H} = \begin{pmatrix} \overline{\mathcal{D} \mathcal{Q}} \end{pmatrix}
$$

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$$
\mathcal{H} = \begin{pmatrix} \mathcal{D} & \mathcal{Q} \end{pmatrix}
$$

 Variational principle applied to the energy of the system: $\mathcal{E}[\Psi] = \langle \Psi | H$ \hat{H} $|\Psi\rangle = 0$

Two *coupled* equations to solve:

$$
\left\{ \begin{array}{c} \delta \mathcal{E}[\Psi]_{/\{A^*_\alpha\}}=0 \\[0.1cm] \delta \mathcal{E}[\Psi]_{/\{\varphi_i^*\}}=0 \end{array} \right.
$$

Note: formalism shown here for a 2-body Hamiltonian

derivations for 2-body density-dependent or 3-body interaction available in *C.R., N. Pillet, D. Peña Arteaga & J.-F. Berger, PRC 93, 024302 (2016).*

Usual

CI diagonalization

 $\sum_{i=1}^{n}$

 $\sqrt{ }$

@*A*

 $\sum_{i=1}^{n}$

 $\Big\} = E$

 $\sqrt{ }$

@*A*

 $\sum_{i=1}^{n}$

A

A

 $\sqrt{ }$

@ *H*

★ *1st variational equation: The mixing coefficients*

$$
\delta \mathcal{E}[\Psi]_{/\{A^*_{\alpha}\}} = 0 \implies \sum_{\beta} A_{\beta} \langle \phi_{\alpha} | \hat{H} | \phi_{\beta} \rangle = EA_{\alpha}
$$

 τ introduces explicit correlations in restricted configuration space $\mathcal P$ All types of long-range correlations are treated at the same time:

Interaction vertex h↵*|V*^ˆ *[|]*ⁱ *RPA, pairing Particle-vibration coupling RPA Pairing |n*↵ *n|* = 0 *|n*↵ *n|* = 1 *|n*↵ *n|* = 2 *Excitation order of the configuration*

★ *2nd variational equation: The single-particle states*

✦ variation of the single-particle states:

$$
a_i^{\dagger} \rightarrow e^{i\hat{T}}a_i^{\dagger}e^{-i\hat{T}} \quad \Rightarrow \delta a_i^{\dagger} = i\left[\hat{T}, a_i^{\dagger}\right]
$$

T = hermitian 1-body operator

✦ 1st order variation of the many-body wave function:

$$
\begin{aligned}\n\left| \delta \Psi \right\rangle &= i \hat{T} | \Psi \rangle_{\mathcal{P}} \\
&= |\delta \Psi \rangle_{\mathcal{P}} + |\delta \Psi \rangle_{\mathcal{Q}}\n\end{aligned}
$$

$$
\begin{aligned}\n\text{ } &\text{ } \delta \mathcal{E}[\Psi]_{/\{\varphi_i^*\}} = \mathcal{P} \langle \Psi | \hat{H} | \delta \Psi \rangle + \langle \Psi | \hat{H} | \delta \Psi \rangle_{\mathcal{P}} \\
& = \mathcal{P} \langle \Psi | \hat{P} \hat{H} \hat{P} | \delta \Psi \rangle_{\mathcal{P}} + \mathcal{P} \langle \Psi | \hat{P} \hat{H} \hat{P} | \delta \Psi \rangle_{\mathcal{P}} + \mathcal{P} \langle \Psi | \hat{P} \hat{H} \hat{Q} | \delta \Psi \rangle_{\mathcal{Q}} + \mathcal{Q} \langle \Psi | \hat{Q} \hat{H} \hat{P} | \delta \Psi \rangle_{\mathcal{P}}\n \end{aligned}
$$

 \rightarrow the orbital optimization takes into account the coupling H_{PQ}/H_{QP} between P and Q spaces (however not H_{QQ})

$$
\delta \mathcal{E}[\Psi]_{/\{\varphi_i^*\}} = \langle \Psi | \left[\hat{H}, \hat{T}\right] | \Psi \rangle = 0 \qquad \qquad \left[\hat{h}(\rho), \hat{\rho}\right] = \hat{G}(\sigma)
$$
\n"Generalized Brillouin condition" Generalized

mean-field equation

Generalized mean-field equation

$$
\left[\hat{h}(\rho),\hat{\rho}\right]=\hat{G}(\sigma)
$$

Generalized mean-field equation

Generalized mean-field equation

★ *Interpretation of the orbital equation:*

➡ *Consistency between correlations and single-particle picture*

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★ Gogny D1S interaction *(Dechargé, Gogny PRC 21, 1568 (1980)):*

$$
V[\rho] = \sum_{j=1,2} (W_j + B_j P_\sigma - H_j P_\tau - M_j P_\sigma P_\tau) e^{-\frac{(\vec{r}_1 - \vec{r}_2)^2}{\mu_j^2}} + t_3 (1 + x_0 P_\sigma) \delta(\vec{r}_1 - \vec{r}_2) \rho^\alpha \left(\frac{\vec{r}_1 + \vec{r}_2}{2}\right)
$$
\n**Density**\n
$$
+ i W_{LS} \vec{\nabla}_{12} \delta(\vec{r}_1 - \vec{r}_2) \times \vec{\nabla}_{12} (\sigma_1 + \sigma_2)
$$
\n**Central part:**\ntwo gaussians (two ranges $\mu = 0.7 \text{ fm}$ and $\mu = 1.2 \text{ fm}$)

\n(many-body effects ...)

\n**Sylon**\n**Spin-Orbit (zero-range)**\nCauchy

$$
\rightarrow \ E[\Psi] = \langle \Psi | \hat{H}[\rho] | \Psi \rangle
$$
\n
$$
\longleftarrow
$$
\n

➡ **modified coupled equations to solve:**

1)
$$
\delta \mathcal{E}[\Psi]_{A^*_{\alpha}} = 0 \Leftrightarrow \sum_{\beta} A_{\beta} \langle \phi_{\alpha} | \hat{H}[\rho] + \hat{\mathcal{R}}[\rho, \sigma] | \phi_{\beta} \rangle = \lambda A_{\alpha}
$$

rearrangement terms

$$
\text{ where } \hat{\mathcal{R}}[\rho,\sigma] = \int d^3r \langle \Psi|\frac{\delta V[\rho]}{\delta \rho(\vec{r})}|\Psi\rangle \hat{\rho}(\vec{r})
$$

• ρ and σ -dependency \rightarrow non-linear equation

$$
\sum \delta \mathcal{E}[\Psi]_{/\varphi_i^*} = 0 \Leftrightarrow \left[\hat{h}(\rho, \sigma), \hat{\rho}\right] = \hat{G}(\sigma)
$$

$$
\bullet \text{ where } h_{ij}(\rho, \sigma) = K_{ij} + \sum_{kl} \langle ik|\widetilde{V}|jl\rangle \rho_{lk} + \frac{1}{4} \sum_{klmn} \langle kl|\frac{\partial \widetilde{V}}{\partial |\rho_{ji}}|mn\rangle \langle \Psi|a_k^\dagger a_l^\dagger a_n a_m|\Psi\rangle
$$

➡ explicit dependence on σ

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MPMH method: Numerical algorithm

The full solution requires a doubly-iterative algorithm:

C.R., N. Pillet, D. Peña Arteaga & J.-F. Berger, PRC 93, 024302 (2016).

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Framework

- $\bullet\,$ Even-even nuclei with $\;10 \leqslant (Z,N) \leqslant 18$
- truncation scheme: **core of 16O + valence space**
- 9 major oscillator shells

Ex: 28Si → *12p-12h* $-1f_{7/2}$ $-1f_{7/2}$ \bigcirc \bigcirc \bigcirc \bigcirc \bigcirc $1d_{3/2}$ $-2s_{1/2}$ $-1d_{5/2}$ $-1p_{1/2}$ $-1p_{1/2}$ $-1p_{3/2}$ \bigcirc \bigcirc \bigcirc $1p_{3/2}$ $-1s_{1/2}$ $\frac{1}{2}$ **Neutrons** Protons

Calculation of ground- and excited-state properties:

- ‣ Binding and separation energies, charge radii
- ‣ Excitation energies
- ‣ Magnetic dipole moments and quadrupole spectroscopic moments
- ‣ Transition probabilities B(E2), B(M1)…

■ How are these observables impacted by the optimization of orbitals?

C. Robin, N. Pillet, M. Dupuis, J. Le Bloas, D. Peña Arteaga and J.F. Berger, PRC 95 044315 (2017).

Symmetry-preserving scheme

 \rightarrow The information about deformation is contained in the two-body correlation matrices σ :

*** Convergence of the one-body density matrix (neutrons): 20Ne

NEUTRON DENSITY FROM EQUATION 1

*** Convergence of the one-body density matrix (neutrons): 20Ne

K Effect on the many-body wave function:

Orbital transformation: $b_i^{\dagger} = e^{i\hat{T}}a_i^{\dagger}e^{-i\hat{T}}$

$$
\qquad \qquad \blacktriangleright
$$

$$
\overbrace{\mathcal{P}^{(i)}}^{(i)} \xrightarrow{\mathcal{Q}^{(i)}}
$$

$$
|\Psi^{(f)}\rangle = \sum_{\alpha \in \mathcal{P}^{(f)}} A_{\alpha}^{(f)} |\phi_{\alpha}^{(f)}\rangle
$$

=
$$
\sum_{\beta \in \mathcal{P}^{(i)}} A_{\beta}^{(i)} |\phi_{\beta}^{(i)}\rangle + \sum_{\beta \in \mathcal{Q}^{(i)}} A_{\beta}^{(i)} |\phi_{\beta}^{(i)}\rangle
$$
 How big?

1st equation only 1st+2nd equations Starting from HF orbitals nucleus | Weight of P⁽ⁱ⁾ | Weight of Q⁽ⁱ⁾ | Weight of P⁽ⁱ⁾ | Weight of Q⁽ⁱ⁾ **20Ne** 100% 0% 98% 2% 66% 34% **24Mg** 100% 0% 97% 3% and 100% and 200 minutes and 200 minutes and 30% and 2011 to 2011 10:00 minutes and 2011 10:0 **28Si** 100% 0% 95% 4% 56% 56% 100% **32S 100% 0% 83% 7% 61% 39% 83% 81% 32S 28Ne 100% 0% 85% 15% 28Ne 28 100% 28Ne 28Ne 28Ne 28 15% 2016**

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$$
\qquad \qquad \blacktriangleright
$$

$$
\overbrace{\hspace{1.5cm}}^{\mathcal{D}^{(i)}}\hspace{1.5cm}\mathcal{Q}^{(i)}\hspace{1.5cm}\longrightarrow
$$

 $\mathcal{Q}^{(f)}$ $\mathcal{D}^{(f)}$

$$
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$$

=
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$$
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1st equation only 1st+2nd equations Starting from HF orbitals 1st+2nd equations Starting from HO orbitals nucleus Weight of P⁽ⁱ⁾ Weight of Q⁽ⁱ⁾ Weight of P⁽ⁱ⁾ Weight of Q⁽ⁱ⁾ Weight of P⁽ⁱ⁾ Weight of Q⁽ⁱ⁾ **20Ne** 100% 0% 98% 2% 66% 34% **24Mg** 100% 0% 97% 3% 61% 39% **28Si** 100% 0% 95% 4% 55% 45% **32S** 100% 0% 93% 7% 61% 39% **28Ne** 100% 0% 85% 15% 78% 22%

The weight of the initial Q space increases when starting further from the final solution

K Effect on the many-body wave function:

Orbital transformation:
$$
b_i^{\dagger} = e^{i\hat{T}} a_i^{\dagger} e^{-i\hat{T}}
$$

$$
|\phi^{(f)}\rangle = e^{iT} |HF\rangle
$$

= |HF\rangle + i $\sum_{ph} T_{ph} a_p^{\dagger} a_h |HF\rangle - \frac{1}{2} \sum_{php'h'} T_{ph} T_{p'h} a_p^{\dagger} a_h a_p^{\dagger} a_{h'} |HF\rangle + ...$

 \Rightarrow final reference state = superposition of mpmh excitations on the initial HF reference state = richer

➡ Pure HF component decreases: self-consistent procedure appears to fragment the wave function

Reference state built on optimized orbitals

➡ "better" than HF state

✦*Charge radii:*

✦*Charge radii:*

Ne •

 Mg \bullet

Si

S

Ar

4

5

3

← **Excitation energies:** $30S$ and $30Si$: T=0 component of the Gogny force (lack of tensor term, *Pillet et al. PRC 85, 044315 (2012)*) 5 5 $E(X_1^+)$ - Eqs. 1+2 $E^*(2_1^+)$ - Eq. 1 4 4 mp-mh (MeV) mp-mh (MeV) 3 3 Ne \bullet *Orbital* Mg ٠ 2 *optimization* 2 Si S 1 1 Ar $\overline{2}$ 3 5 $\overline{2}$ 4 1 experiment (MeV) experiment (MeV) $\langle \Delta E^* \rangle = 235 \text{ keV}$
 $\sigma(\Delta E^*) = 323 \text{ keV}$ $\langle \Delta E^* \rangle = 373\,\,{\rm keV}$ $\sigma(\Delta E^*) = 517 \text{ keV}$ All All $\langle \Delta E^* \rangle = 142 \text{ keV}$ $\langle \Delta E^* \rangle = 226 \text{keV}$ 305 & 305i 30S & 30Si excluded $\sigma(\Delta E^*) = 122 \text{ keV}$ excluded $\sigma(\Delta E^*) = 214 \text{ keV}$

 2^{+}

✦ *Transition probabilities B(E2)*

Conclusion from the study with Gogny

***** First implementation of the fully self-consistent multiparticle-multihole configuration mixing method

✦ Construction of a general mean-field and natural orbitals consistent with the correlation of the system, complete convergence reached.

✦ Effect of orbital optimization always positive.

 With single valence shell: large impact on the ground-state wave function, but small effect on the transition probabilities…

- **■** solve orbital equation for each many-body state
- ➡ try truncation schemes involving larger single-particle spaces (excitation order, excitation energy, symmetry-constrained combinations etc.)

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***** But:

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- ✦ In MPMH, we have to do the CI diagonalization and calculation of the mean field/source term at each iteration
- \rightarrow use matrix elements (e.g. in HO basis) as only input would be very inefficient
	- \rightarrow need potential in coordinate space and ideally Gaussians

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See e.g. A. Gezerlis, I. Tews, E. Epelbaum et al., Phys. Rev. C 90, 054323 (2014)

At each order:

contact terms $+$ long-range pion-exchange terms

Chiral expansion:

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At each order:

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Chiral expansion:

★ Chiral interaction at leading order with Gaussian regulators:

cut-off R_0 = 1 fm

✦ contact term:

$$
V_{contact}^{LO}(r) = (C_S + C_T \sigma_1 \cdot \sigma_2) \times \left(\alpha e^{-(r/R_0)^2}\right) \longrightarrow \text{purely gaussian}
$$
\n
$$
+ \text{long-range one-pion exchange:}
$$
\n
$$
V_{OPE}^{LO}(r) = \left(W_S^{(0)}(r) \vec{\tau}_1 \cdot \vec{\tau}_2 \sigma_1 \cdot \sigma_2 + W_T^{(0)}(r) \vec{\tau}_1 \cdot \vec{\tau}_2 S_{12}\right) \times \left(1 - e^{-(r/R_0)^2}\right)^2
$$
\n
$$
\longrightarrow \text{regularity}
$$
\n
$$
W_S^{(0)}(r) = \frac{M_\pi^3}{12\pi} \left(\frac{g_A}{2F_\pi}\right)^2 \frac{e^{-M_\pi r}}{M_\pi r}
$$
\n
$$
W_T^{(0)}(r) = \frac{M_\pi^3}{12\pi} \left(\frac{g_A}{2F_\pi}\right)^2 \frac{e^{-M_\pi r}}{M_\pi r} \left(1 + \frac{3}{M_\pi r} + \frac{3}{(M_\pi r)^2}\right)
$$

↠ Yukawa or Yukawa-like x Gaussians

★ Strategy: fit the regularized Yukawa or Yukawa-like functions to a sum of Gaussians

$$
W_{S,reg}^{(0)}(r) \propto \frac{e^{-M_{\pi}r}}{r} \times (1 - e^{-(r/R_0)^2})^2 \simeq \sum_i a_i^S e^{-(r/b_i^S)^2}
$$

$$
W_{T,reg}^{(0)}(r) \propto \frac{e^{-M_{\pi}r}}{r} \left(1 + \frac{3}{M_{\pi}r} + \frac{3}{(M_{\pi}r)^2}\right) \times (1 - e^{-(r/R_0)^2})^2 \simeq \sum_i a_i^T e^{-(r/b_i^T)^2}
$$

to use the machinery already developed in the original code for the Gogny interaction

Note: such fits of Yukawa to Gaussians already applied in *J. Dobaczewski & J. Engel, Phys. Rev. Lett. 94, 232502 (2005), or more recently in e.g. R. Navarro Perez et al. PRC 97, 054304 (2018).*

↠ *Central term:*

 M_π^3

 $\int g_A$

 \setminus^2

Courtesy of I. Tews

r (*fm*)

↠ *Test for the central term:*

Use the relation
$$
\frac{e^{-M_\pi r}}{r} = \frac{2}{\sqrt{\pi}} \int_0^\infty dX e^{-r^2 X^2 - M_\pi^2 / 4X^2}
$$
 (exact)

to do the exact integration of the central term and check the accuracy of the Gaussian fit

↠ impact on observables to be investigated…

✴ Average difference:

$$
\langle \Delta \widetilde{V} \rangle = \frac{1}{N} \sum_{\{ijkl\} = 1}^{N} |\widetilde{V}_{ijkl}^{exact} - \widetilde{V}_{ijkl}^{fit}|
$$

$$
= 2.10 \times 10^{-5} \text{ MeV}
$$

✴ standard deviation:

$$
s=\sqrt{\langle\Delta\widetilde{V}^2\rangle-\langle\Delta\widetilde{V}\rangle^2}
$$

 $= 1.20 \times 10^{-4}$ MeV

★ Finish the implementation of the tensor term

★ Implement the next orders: NLO, N2LO

- \rightarrow finite range spin-orbit
- ↠ three-body interaction

★ Check convergence of the results with respect to the cut-off and the size of the single-particle basis …

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Thank you!

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