

# Applications of time-dependent density-matrix approach

Mitsuru Tohyama  
Kyorin University, Tokyo

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In collaboration with Peter Schuck

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# 1) Time-dependent Hartree-Fock theory (TDHF)

Hamiltonian

$$H = \sum_{\lambda\lambda'} \langle \lambda | t | \lambda' \rangle a_{\lambda}^{\dagger} a_{\lambda'} + \frac{1}{2} \sum_{\lambda_1\lambda_2\lambda_1'\lambda_2'} \langle \lambda_1\lambda_2 | v | \lambda_1'\lambda_2' \rangle a_{\lambda_1}^{\dagger} a_{\lambda_2}^{\dagger} a_{\lambda_2} a_{\lambda_1}$$


Kinetic energy

Effective interaction (Skyrme force)

$\phi_{\lambda}(\vec{r})$ : time independent

TDHF gives time-evolution of 1-body density matrix  $n_{\alpha\alpha'}$

$$n_{\alpha\alpha'} = \langle \Phi(t) | a_{\alpha'}^+ a_{\alpha} | \Phi(t) \rangle, \quad |\Phi(t)\rangle = e^{-iHt/\hbar} |\Phi_0\rangle$$

Equation of motion for  $n_{\alpha\alpha'}$

$$\begin{aligned} i\hbar \frac{d}{dt} n_{\alpha\alpha'} &= \langle \Phi(t) | [a_{\alpha'}^+ a_{\alpha}, H] | \Phi(t) \rangle \\ &= \langle \Phi(t) | \sum_{\lambda\lambda'} \langle \lambda | t | \lambda' \rangle (\delta_{\alpha\lambda} a_{\alpha'}^+ a_{\lambda'} - \delta_{\alpha'\lambda'} a_{\lambda}^+ a_{\alpha}) \\ &\quad + \frac{1}{2} \sum_{\lambda_1\lambda_2\lambda_1'\lambda_2'} \langle \lambda_1\lambda_2 | v | \lambda_1'\lambda_2' \rangle (\delta_{\alpha\lambda_1} a_{\alpha'}^+ a_{\lambda_2}^+ a_{\lambda_2} a_{\lambda_1'} - \delta_{\alpha\lambda_2} a_{\alpha'}^+ a_{\lambda_1}^+ a_{\lambda_2} a_{\lambda_1'} \\ &\quad - \delta_{\alpha'\lambda_1} a_{\lambda_1}^+ a_{\lambda_2}^+ a_{\lambda_2} a_{\alpha} + \delta_{\alpha'\lambda_2} a_{\lambda_1}^+ a_{\lambda_2}^+ a_{\lambda_1} a_{\alpha}) | \Phi(t) \rangle \end{aligned}$$

$$\rho_{\lambda_1' \lambda_2' \alpha' \lambda_2} = \langle \Phi(t) | a_{\alpha'}^+ a_{\lambda_2}^+ a_{\lambda_2} a_{\lambda_1'} | \Phi(t) \rangle \approx n_{\lambda_1' \alpha'} n_{\lambda_2' \lambda_2} - n_{\lambda_1' \lambda_2} n_{\lambda_2' \alpha'}$$

$$\varepsilon_{\alpha\alpha'} = \langle \alpha | t | \alpha' \rangle + \sum_{\lambda\lambda'} \langle \alpha\lambda | v | \alpha' \lambda' \rangle_A n_{\lambda'\lambda} = \langle \alpha | h | \alpha' \rangle$$



$$i\hbar \frac{d}{dt} n_{\alpha\alpha'} = \sum_{\lambda} (\varepsilon_{\alpha\lambda} n_{\lambda\alpha'} - \varepsilon_{\lambda\alpha'} n_{\alpha\lambda})$$


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TDHF eq. in fixed  
single-particle basis

$$\left( \begin{array}{l} \rho(\vec{r}, \vec{r}'; t) = \sum_{\alpha\alpha'} n_{\alpha\alpha'} \phi_{\alpha}(\vec{r}) \phi_{\alpha'}^*(\vec{r}') \\ i\hbar \frac{d\rho}{dt} = [h, \rho] \end{array} \right)$$

$$\sum_{\lambda} \rho_{\alpha\lambda\alpha'\lambda} = (N-1)n_{\alpha\alpha'} \approx \sum_{\lambda} (n_{\alpha\alpha'} n_{\lambda\lambda} - n_{\alpha\lambda} n_{\lambda\alpha'}) \Rightarrow n_{\alpha\alpha'} = \sum_{\lambda} n_{\alpha\lambda} n_{\lambda\alpha'}$$


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# Limitation of Hartree-Fock theory (HF)

HF ground state = a stationary solution of TDHF eq.

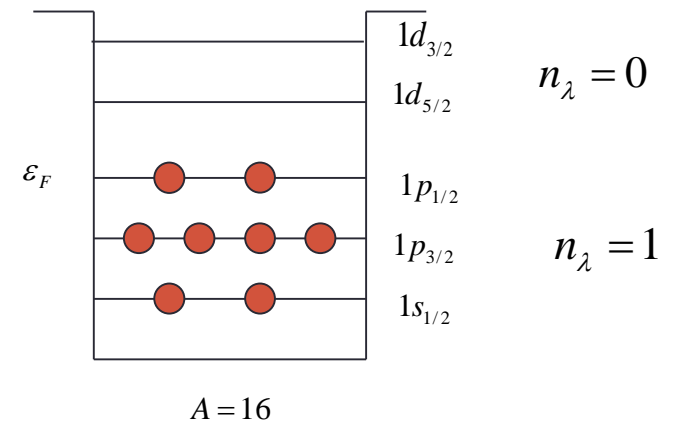
$$i\hbar \frac{d}{dt} n_{\alpha\alpha'} = \sum_{\lambda} (\varepsilon_{\alpha\lambda} n_{\lambda\alpha'} - \varepsilon_{\lambda\alpha'} n_{\alpha\lambda}) = 0$$

$\varepsilon_{\alpha\alpha'}$  and  $n_{\alpha\alpha'}$  can be diagonal as  $\varepsilon_{\alpha\alpha'} = \delta_{\alpha\alpha'} \varepsilon_{\alpha}$  and  $n_{\alpha\alpha'} = \delta_{\alpha\alpha'} n_{\alpha}$  ( $\hbar\phi_{\alpha} = \varepsilon_{\alpha} \phi_{\alpha}$ )

$$n_{\alpha\alpha'} = \sum_{\lambda} n_{\alpha\lambda} n_{\lambda\alpha'} \Rightarrow n_{\alpha} = n_{\alpha} n_{\alpha} \Rightarrow n_{\alpha} = 1 \text{ or } 0$$

$|\Phi_0\rangle$  is a single Slater determinant

$$|\Phi_0\rangle = \prod_{i=1}^A a_i^+ |0\rangle$$



# Occupation probabilities of doubly closed-shell nuclei

Nucleus	$j^p$	SF(HF)	SF(Exp)(e,e'p)	SF(Exp)/SF(HF)
$^{16}\text{O}$	$1/2^+$	2.00	$1.27 \pm 0.13$	$0.64 \pm 0.07$
$^{40}\text{Ca}$	$3/2^+$	4.00	$2.58 \pm 0.19$	$0.65 \pm 0.05$
$^{48}\text{Ca}$	$1/2^+$	2.00	$1.07 \pm 0.07$	$0.54 \pm 0.04$
$^{208}\text{Pb}$	$1/2^+$	2.00	$0.98 \pm 0.09$	$0.49 \pm 0.05$

$2j+1$

J. Lee et al.,nucl-ex/0511023

$$n_\alpha < 1 \longrightarrow$$

Ground state is highly correlated.  
HF is too simple

# Limitation of random phase approximation (RPA)

## RPA formulation

- Small amplitude limit of TDHF
- Equation-of-motion approach



## Small amplitude limit of TDHF

$$|\Psi(t)\rangle \approx |\Psi_0\rangle + \lambda \sum e^{-i\omega_\mu t/\hbar} |\Psi_\mu\rangle, \quad \lambda \ll 1$$

$$\begin{aligned} i\hbar \frac{d}{dt} n_{\alpha\alpha'} &= i\hbar \frac{d}{dt} \langle \Psi(t) | a_\alpha^+ a_\alpha | \Psi(t) \rangle = \langle \Psi(t) | [a_\alpha^+, a_\alpha, H] | \Psi(t) \rangle \\ &\approx \lambda \sum \left( \omega_\mu \langle \Psi_0 | a_\alpha^+ a_\alpha | \Psi_\mu \rangle e^{-i\omega_\mu t/\hbar} - \omega_\mu \langle \Psi_\mu | a_\alpha^+ a_\alpha | \Psi_0 \rangle e^{i\omega_\mu t/\hbar} \right) \\ &= \lambda \sum \left( \langle \Psi_0 | [a_\alpha^+, a_\alpha, H] | \Psi_\mu \rangle e^{-i\omega_\mu t/\hbar} + \langle \Psi_\mu | [a_\alpha^+, a_\alpha, H] | \Psi_0 \rangle e^{i\omega_\mu t/\hbar} \right) \end{aligned}$$



$$\langle \Psi_0 | [a_\alpha^+, a_\alpha, H] | \Psi_\mu \rangle = \omega_\mu \langle \Psi_0 | a_\alpha^+ a_\alpha | \Psi_\mu \rangle = \omega_\mu \tilde{\chi}_{\alpha\alpha'}^\mu$$

$\tilde{\chi}_{\alpha\alpha'}^\mu$  : Transition amplitude

$$\langle \Psi_0 | [a_\alpha^\dagger, a_\alpha, H] | \Psi_\mu \rangle \approx (\varepsilon_\alpha - \varepsilon_{\alpha'}) \tilde{x}_{\alpha\alpha'}^\mu + (n_{\alpha'} - n_\alpha) \sum_{\lambda_1 \lambda_1'} \langle \alpha \lambda_1 | v | \alpha' \lambda_1' \rangle_A \tilde{x}_{\lambda_1 \lambda_1'}^\mu = \omega_\mu \tilde{x}_{\alpha\alpha'}^\mu$$

Using  $\tilde{x}_{mi}^\mu = x_{mi}^\mu$  and  $\tilde{x}_{im}^\mu = -y_{mi}^\mu$ , we obtain RPA equations

$$\begin{aligned} (\varepsilon_m - \varepsilon_i) x_{mi}^\mu + \sum_{nj} \langle mj | v | in \rangle_A x_{nj}^\mu + \sum_{nj} \langle mn | v | ij \rangle_A y_{nj}^\mu &= \omega_\mu x_{mi}^\mu \\ (\varepsilon_m - \varepsilon_i) y_{mi}^\mu + \sum_{nj} \langle ij | v | mn \rangle_A x_{nj}^\mu + \sum_{nj} \langle in | v | mj \rangle_A y_{nj}^\mu &= -\omega_\mu y_{mi}^\mu \end{aligned}$$

$i, j$ : hole states

$m, n$ : particle states

Matrix form

$$\begin{pmatrix} A & B \\ B^* & A^* \end{pmatrix} \begin{pmatrix} x^\mu \\ y^\mu \end{pmatrix} = \omega_\mu \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} x^\mu \\ y^\mu \end{pmatrix}$$

$$A_{minj} = (\varepsilon_m - \varepsilon_i) \delta_{mn} \delta_{ij} + \langle mj | v | in \rangle_A$$

$$B_{minj} = \langle mn | v | ij \rangle_A$$

## Equation-of-motion approach

$$Q_\mu^+ = \sum_{mi} x_{mi}^\mu a_m^+ a_i - \sum_{mi} y_{mi}^\mu a_i^+ a_m$$

$$Q_\mu |\Psi_0\rangle = 0, \quad Q_\mu^+ |\Psi_0\rangle = |\Psi_\mu\rangle, \quad H |\Psi_0\rangle = E_0 |\Psi_0\rangle, \quad H |\Psi_\mu\rangle = E_\mu |\Psi_\mu\rangle$$

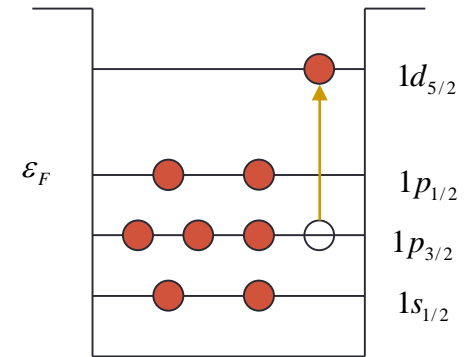
$$\langle \Psi_0 | [a_\alpha^+ a_\alpha, H] | \Psi_\mu \rangle = (E_\mu - E_0) \langle \Psi_0 | a_\alpha^+ a_\alpha | \Psi_\mu \rangle$$

$$\langle \Psi_0 | [[a_\alpha^+ a_\alpha, H], Q_\mu^+] | \Psi_0 \rangle = \omega_\mu \langle \Psi_0 | [a_\alpha^+ a_\alpha, Q_\mu^+] | \Psi_0 \rangle$$

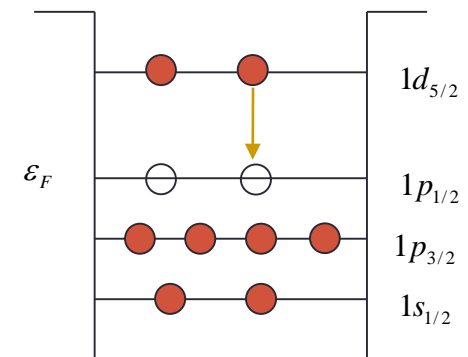


RPA equations

$$\begin{pmatrix} A & B \\ B^* & A^* \end{pmatrix} \begin{pmatrix} x^\mu \\ y^\mu \end{pmatrix} = \omega_\mu \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} x^\mu \\ y^\mu \end{pmatrix}$$

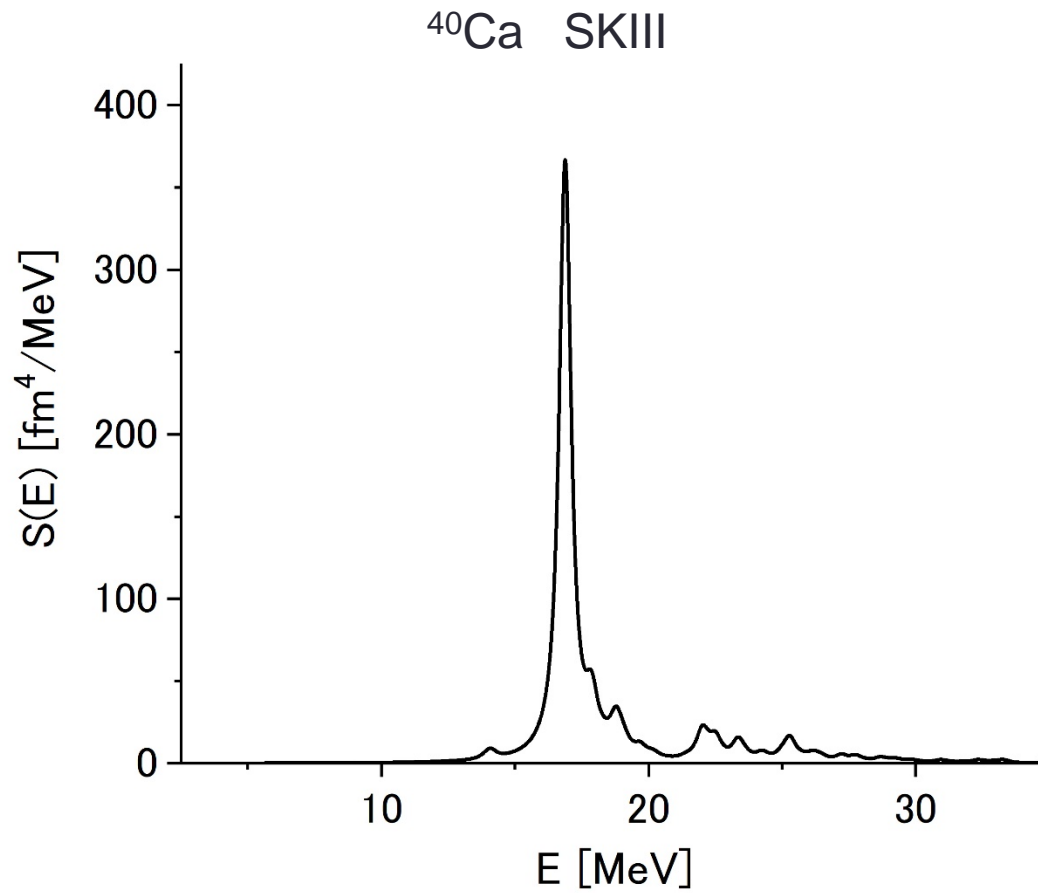


$A=16$

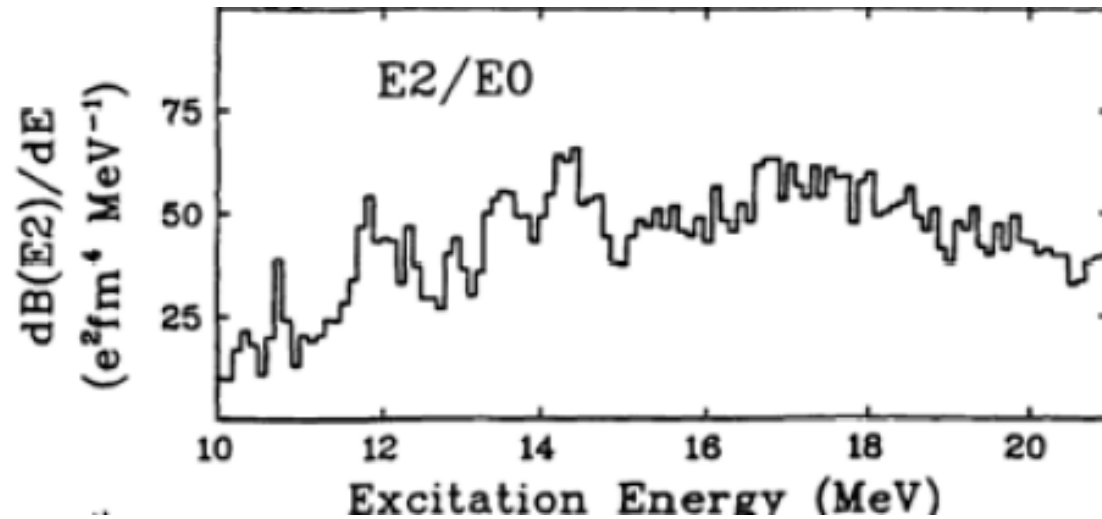


$A=16$

# $E2$ strength distribution in RPA



# $^{40}\text{Ca}(e, e'x)$



H. Diesner et al. Phys. Rev. Lett. 72, 1994(1994)

Strong fragmentation  $\longrightarrow$  RPA is insufficient

## 2) Time-dependent density-matrix approach (TDDM)

TDDM gives time-evolution of 1-body and 2-body density matrices,  $n_{\alpha\alpha'}$  and  $C_{\alpha\beta\alpha'\beta'}$

$$\begin{aligned}n_{\alpha\alpha'} &= \langle \Phi(t) | a_{\alpha'}^+ a_{\alpha} | \Phi(t) \rangle \\C_{\alpha\beta\alpha'\beta'} &= \langle \Phi(t) | a_{\alpha'}^+ a_{\beta'}^+ a_{\beta} a_{\alpha} | \Phi(t) \rangle - (n_{\alpha\alpha'} n_{\beta\beta'} - n_{\alpha\beta'} n_{\alpha'\beta}) \\|\Phi(t)\rangle &= e^{-iHt/\hbar} |\Phi_0\rangle\end{aligned}$$

### Advantages of TDDM

- TDDM is straightforward extension of TDHF
- TDDM gives self-consistent extensions of HF and RPA

Equation for  $n_{\alpha\alpha'}$

$$\begin{aligned}
 i\hbar \frac{d}{dt} n_{\alpha\alpha'} &= \langle \Phi(t) | [a_{\alpha'}^+, a_{\alpha}, H] | \Phi(t) \rangle \\
 &= \langle \Phi(t) | \sum_{\lambda\lambda'} \langle \lambda | t | \lambda' \rangle (\delta_{\alpha\lambda} a_{\alpha'}^+ a_{\lambda'} - \delta_{\alpha'\lambda} a_{\lambda'}^+ a_{\alpha}) \\
 &\quad + \frac{1}{2} \sum_{\lambda_1\lambda_2\lambda_1'\lambda_2'} \langle \lambda_1\lambda_2 | v | \lambda_1'\lambda_2' \rangle (\delta_{\alpha\lambda_1} a_{\alpha'}^+ a_{\lambda_2}^+ a_{\lambda_2'} a_{\lambda_1'} - \delta_{\alpha\lambda_2} a_{\alpha'}^+ a_{\lambda_1}^+ a_{\lambda_2'} a_{\lambda_1'} \\
 &\quad - \delta_{\alpha'\lambda_1} a_{\lambda_1}^+ a_{\lambda_2}^+ a_{\lambda_2'} a_{\alpha} + \delta_{\alpha'\lambda_2} a_{\lambda_1}^+ a_{\lambda_2}^+ a_{\lambda_1'} a_{\alpha}) | \Phi(t) \rangle
 \end{aligned}$$

$$\langle \Phi(t) | a_{\alpha}^+ a_{\lambda_2}^+ a_{\lambda_2} a_{\lambda_1} | \Phi(t) \rangle = n_{\lambda_1 \alpha} n_{\lambda_2 \lambda_2} - n_{\lambda_1 \lambda_2} n_{\lambda_2 \alpha} + \underline{C_{\lambda_1 \lambda_2 \alpha \lambda_2}}$$



$$i\hbar \frac{d}{dt} n_{\alpha\alpha'} = \sum_{\lambda} (\varepsilon_{\alpha\lambda} n_{\lambda\alpha'} - \varepsilon_{\lambda\alpha} n_{\alpha\lambda}) + \sum_{\lambda_2 \lambda_1 \lambda_2'} \langle \alpha \lambda_2 | v | \lambda_1 \lambda_2' \rangle C_{\lambda_1 \lambda_2' \alpha \lambda_2} - \sum_{\lambda_1 \lambda_2 \lambda_2'} \langle \lambda_1 \lambda_2 | v | \alpha \lambda_2' \rangle C_{\alpha \lambda_2' \lambda_1 \lambda_2}$$

For  $C_{\alpha\beta\alpha'\beta'} = 0$

$$\Rightarrow i\hbar \frac{d}{dt} n_{\alpha\alpha'} = \sum_{\lambda} (\varepsilon_{\alpha\lambda} n_{\lambda\alpha'} - \varepsilon_{\lambda\alpha} n_{\alpha\lambda})$$

TDHF equation



Equation for  $C_{\alpha\beta\alpha'\beta'}$

$$i\hbar \frac{d}{dt} C_{\alpha\beta\alpha'\beta'} = \langle \Phi(t) | [a_{\alpha'}^{\dagger} a_{\beta'}^{\dagger} a_{\beta} a_{\alpha}, H] | \Phi(t) \rangle$$
$$= (\varepsilon_{\alpha} + \varepsilon_{\beta} - \varepsilon_{\alpha'} - \varepsilon_{\beta'}) C_{\alpha\beta\alpha'\beta'} + B_{\alpha\beta\alpha'\beta'} + P_{\alpha\beta\alpha'\beta'} + H_{\alpha\beta\alpha'\beta'} + T_{\alpha\beta\alpha'\beta'}$$

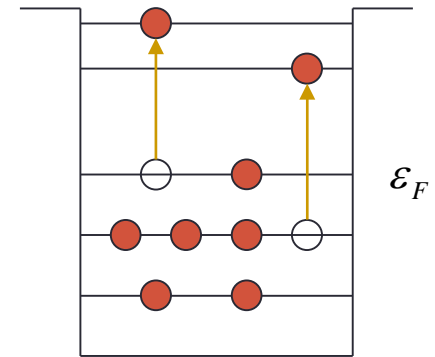
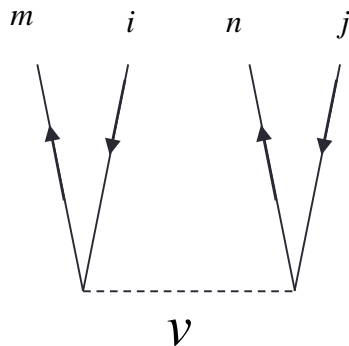
## B term: 2 particle – 2 hole excitations

$$B_{\alpha\beta\alpha'\beta'} = \langle \alpha\beta | v | \alpha' \beta' \rangle_A \left[ \bar{n}_\alpha \bar{n}_\beta n_{\alpha'} n_{\beta'} - n_\alpha n_\beta \bar{n}_{\alpha'} \bar{n}_{\beta'} \right], \quad \bar{n}_\alpha = 1 - n_\alpha$$

For  $n_{\alpha\alpha'} = n_\alpha \delta_{\alpha\alpha'}$ ,  $n_\alpha = 0, 1$

$$B_{mnij} = \langle mn | v | ij \rangle_A$$

$i, j$ : hole states  
 $m, n$ : particle states

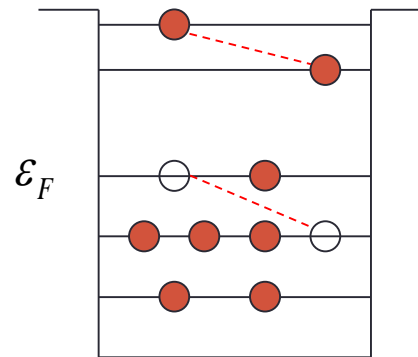
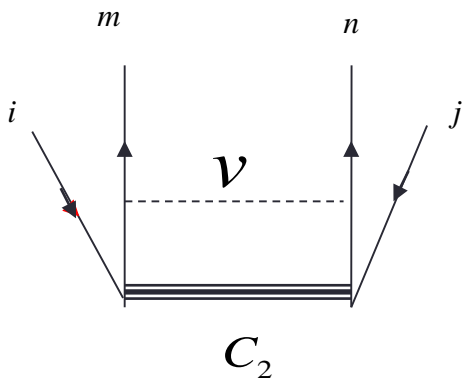


$P$  term: particle – particle and hole – hole correlations

$$P_{\alpha\beta\alpha'\beta'} = \sum_{\lambda\lambda'} \left[ (1 - n_\alpha - n_\beta) \langle \alpha\beta | v | \lambda\lambda' \rangle C_{\lambda\lambda'\alpha'\beta'} - C_{\alpha\beta\lambda\lambda'} \langle \lambda\lambda' | v | \alpha'\beta' \rangle (1 - n_{\alpha'} - n_{\beta'}) \right]$$

For  $n_{\alpha\alpha'} = n_\alpha \delta_{\alpha\alpha'}$ ,  $n_\alpha = 0, 1$

$$P_{mnij} = \sum_{m'n'} \langle mn | v | m'n' \rangle C_{m'n'ij} + \sum_{i'j'} \langle i'j' | v | ij \rangle C_{mni'j'}$$

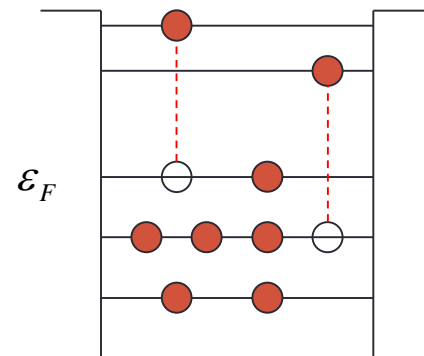
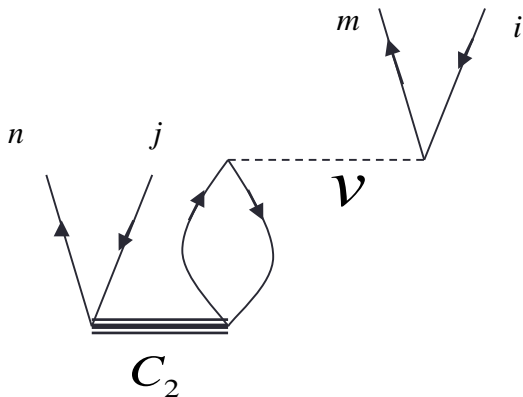


# H term: particle – hole correlations

$$H_{\alpha\beta\alpha'\beta'} = \sum_{\lambda\lambda'} (n_{\alpha'} - n_{\alpha}) \langle \alpha\lambda | v | \alpha'\lambda' \rangle_A C_{\lambda'\beta\lambda\beta'} + \{ \alpha \leftrightarrow \beta, \alpha' \leftrightarrow \beta' \}$$

For  $n_{\alpha\alpha'} = n_{\alpha} \delta_{\alpha\alpha'}$ ,  $n_{\alpha} = 0, 1$

$$H_{mnij} = \sum_{m'i'} [ \langle mi' | v | im' \rangle_A C_{m'ni'j} + \langle ni' | v | jm' \rangle_A C_{m'mi'i} - \langle ni' | v | im' \rangle_A C_{m'mi'j} - \langle mi' | v | jm' \rangle_A C_{m'ni'i} ]$$



$T$  term: coupling to  $C_3$

$$T_{\alpha\beta\alpha'\beta'} = \sum_{\lambda\lambda'\lambda''} \langle \alpha\lambda | v | \lambda'\lambda'' \rangle C_{\lambda'\lambda''\beta\alpha'\lambda\beta'} + \{\alpha \leftrightarrow \beta, \alpha' \leftrightarrow \beta'\}$$

Equations for  $n_{\alpha\alpha'}$  and  $C_{\alpha\beta\alpha'\beta'}$

$$i\hbar \frac{dn_{\alpha\alpha'}}{dt} = \langle \Phi(t) | [a_{\alpha}^+, a_{\alpha}, H] | \Phi(t) \rangle = F_1(n, C_2)$$

$$i\hbar \frac{dC_{\alpha\beta\alpha'\beta'}}{dt} = \langle \Phi(t) | [a_{\alpha}^+, a_{\beta}^+, a_{\beta} a_{\alpha}, H] | \Phi(t) \rangle = F_2(n, C_2, C_3)$$

⋮

Bogoliubov-Born-Green-Kirkwood-Yvon  
(BBGKY) hierarchy

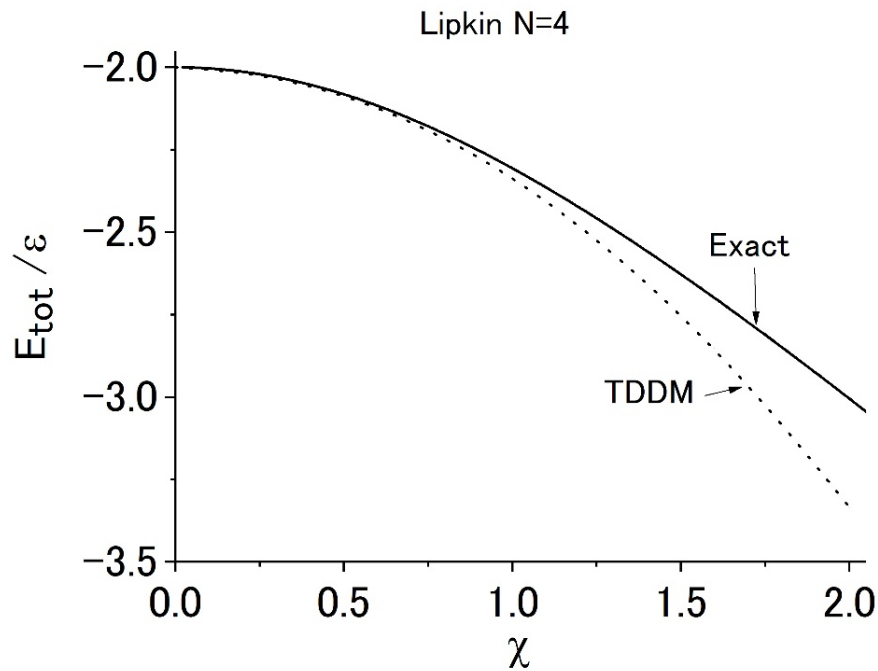
To truncate BBGKY we need to approximate  $C_3$

## Truncation schemes of BBGKY hierarchy

- Simplest truncation (TDDM):

$$C_3 = 0$$

(Wang & Cassing, Ann. Phys. 159, 328(1985))



TDDM overestimates  
2-body correlations

• TDDM1: (Tohyama & Schuck, Eur. Phys. J. A 50, 7(2014))

$$C_{p_1 p_2 h_1 p_3 p_4 h_2} \approx \sum_h C_{hh_1 p_3 p_4} C_{p_1 p_2 h_2 h}$$

$$C_{p_1 h_1 h_2 p_2 h_3 h_4} \approx \sum_p C_{h_1 h_2 p_2 p} C_{p_1 p h_3 h_4}$$

Coupled-Cluster-Doubles (CCD)-like ground state

$$|Z\rangle = e^Z |\text{HF}\rangle, \quad Z = \frac{1}{4} \sum_{pp'hh'} z_{pp'hh'} a_p^+ a_{p'}^+ a_h a_{h'}$$

gives

$$C_{pp'hh'} \approx z_{pp'hh'}, \quad C_{hh'pp'} \approx z_{pp'hh'}^*$$

$$C_{p_1 p_2 h_1 p_3 p_4 h_2} \approx \sum_h z_{p_3 p_4 h h_1}^* z_{p_1 p_2 h_2 h}, \quad C_{p_1 h_1 h_2 p_2 h_3 h_4} \approx \sum_p z_{p_2 p h_1 h_2}^* z_{p_1 p h_3 h_4}$$



• TDDM2: Lipkin model with large  $N$

Tohyama & Schuck, Eur. Phys. J. A 53, 186 (2017)

$$C_{p_1 p_2 h_1 p_3 p_4 h_2} \approx \frac{1}{N} \sum_h C_{h h_1 p_3 p_4} C_{p_1 p_2 h_2 h}$$
$$C_{p_1 h_1 h_2 p_2 h_3 h_4} \approx \frac{1}{N} \sum_p C_{h_1 h_2 p_2 p} C_{p_1 p h_3 h_4}$$

$$N = 1 + \frac{1}{4} \sum_{pp'hh'} C_{pp'hh'} C_{pp'hh'}^*$$

# Ground-state calculation

Ground state = a stationary solution of TDDM equations

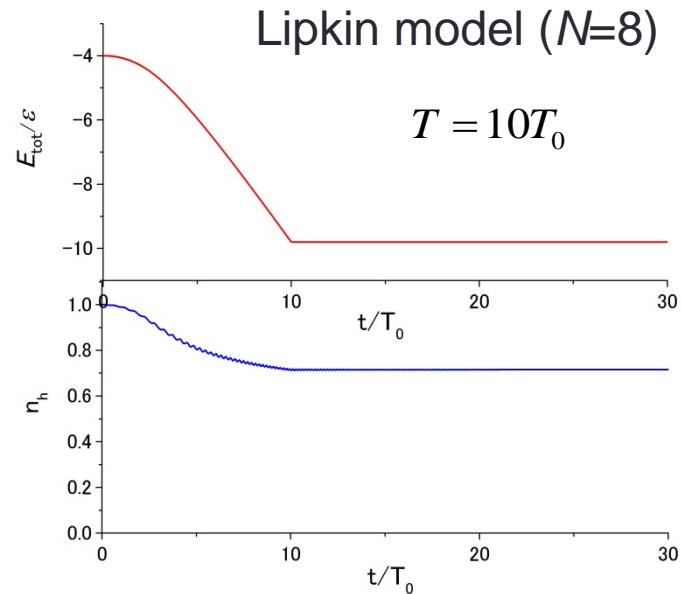
$$i\hbar \frac{dn_{\alpha\alpha'}}{dt} = 0, \quad i\hbar \frac{dC_{\alpha\beta\alpha'\beta'}}{dt} = 0$$

$$\Rightarrow \begin{cases} (\varepsilon_{\alpha} - \varepsilon_{\alpha'})n_{\alpha\alpha'} + \sum_{\lambda_1\lambda_2\lambda_3} \left\{ \langle \alpha\lambda_3 | v | \lambda_1\lambda_2 \rangle C_{\lambda_1\lambda_2\alpha'\lambda_3} - C_{\alpha\lambda_3\lambda_1\lambda_2} \langle \lambda_1\lambda_2 | v | \alpha'\lambda_3 \rangle \right\} = 0 \\ (\varepsilon_{\alpha} + \varepsilon_{\beta} - \varepsilon_{\alpha'} - \varepsilon_{\beta'})C_{\alpha\beta\alpha'\beta'} + B_{\alpha\beta\alpha'\beta'} + P_{\alpha\beta\alpha'\beta'} + H_{\alpha\beta\alpha'\beta'} + T_{\alpha\beta\alpha'\beta'} = 0 \end{cases}$$

Adiabatic method is convenient:

Starting from HF ground state, we solve TDDM equations with slowly increasing interaction

$$v \Rightarrow v \times \frac{t}{T} \quad \text{with } T \gg T_0 = \frac{2\pi \hbar}{\varepsilon}$$



## Excited-state calculation

- Small amplitude limit of TDDM (STDDM)
- Equation-of-motion approach

## Small amplitude limit of TDDM (STDDM)

$$|\Psi(t)\rangle \approx |\Psi_0\rangle + \lambda \sum e^{-i\omega_\mu t/\hbar} |\Psi_\mu\rangle, \quad \lambda \ll 1$$

$$\begin{aligned} i\hbar \frac{d}{dt} n_{\alpha\alpha'} &= i\hbar \frac{d}{dt} \langle \Psi(t) | a_\alpha^+ a_\alpha | \Psi(t) \rangle = \langle \Psi(t) | [a_\alpha^+, a_\alpha, H] | \Psi(t) \rangle \\ &\approx \lambda \sum \left( \omega_\mu \langle \Psi_0 | a_\alpha^+ a_\alpha | \Psi_\mu \rangle e^{-i\omega_\mu t/\hbar} - \omega_\mu \langle \Psi_\mu | a_\alpha^+ a_\alpha | \Psi_0 \rangle e^{i\omega_\mu t/\hbar} \right) \\ &= \lambda \sum \left( \langle \Psi_0 | [a_\alpha^+, a_\alpha, H] | \Psi_\mu \rangle e^{-i\omega_\mu t/\hbar} + \langle \Psi_\mu | [a_\alpha^+, a_\alpha, H] | \Psi_0 \rangle e^{i\omega_\mu t/\hbar} \right) \end{aligned}$$



$$\langle \Psi_0 | [a_\alpha^+, a_\alpha, H] | \Psi_\mu \rangle = \omega_\mu \langle \Psi_0 | a_\alpha^+ a_\alpha | \Psi_\mu \rangle = \omega_\mu \tilde{x}_{\alpha\alpha'}^\mu$$



$\tilde{x}_{\alpha\alpha'}^\mu$  : Transition amplitude

$$\begin{aligned}
\langle \Psi_0 | [a_\alpha^\dagger a_\alpha, H] | \Psi_\mu \rangle &= (\varepsilon_\alpha - \varepsilon_{\alpha'}) \tilde{x}_{\alpha\alpha'}^\mu + \sum_{\lambda\lambda_1\lambda_1'} \left( \langle \alpha\lambda_1 | v | \lambda\lambda_1' \rangle_A n_{\lambda\alpha'} - \langle \lambda\lambda_1 | v | \alpha'\lambda_1' \rangle_A n_{\alpha\lambda} \right) \tilde{x}_{\lambda_1'\lambda_1}^\mu \\
&\quad + \sum_{\lambda_2\lambda_1'\lambda_2'} \langle \alpha\lambda_2 | v | \lambda_1'\lambda_2' \rangle \tilde{X}_{\lambda_1'\lambda_2'\alpha'\lambda_2}^\mu - \sum_{\lambda_1\lambda_2\lambda_2'} \langle \lambda_1\lambda_2 | v | \alpha'\lambda_2' \rangle \tilde{X}_{\alpha\lambda_2'\lambda_1\lambda_2}^\mu \\
&= \omega_\mu \tilde{x}_{\alpha\alpha'}^\mu
\end{aligned}$$

$$\begin{pmatrix} n_h = 1 \\ n_p = 0 \\ \tilde{X}_{\alpha\beta\alpha'\beta'}^\mu = 0 \end{pmatrix} \longrightarrow \tilde{x}_{\alpha\alpha'}^\mu = \begin{pmatrix} x_{mi}^\mu \\ -y_{mi}^\mu \end{pmatrix} \quad \text{RPA}$$

Similarly for  $C_2$

$$i\hbar \frac{d}{dt} C_{\alpha\beta\alpha'\beta'} = i\hbar \frac{d}{dt} \langle \Psi(t) | a_{\alpha}^+ a_{\beta'}^+ a_{\beta} a_{\alpha} | \Psi(t) \rangle = \langle \Psi(t) | [a_{\alpha}^+ a_{\beta'}^+ a_{\beta} a_{\alpha}, H] | \Psi(t) \rangle$$

$$\Rightarrow \langle \Psi_0 | [a_{\alpha}^+ a_{\beta'}^+ a_{\beta} a_{\alpha}, H] | \Psi_{\mu} \rangle = \omega_{\mu} \langle \Psi_0 | a_{\alpha}^+ a_{\beta'}^+ a_{\beta} a_{\alpha} | \Psi_{\mu} \rangle = \omega_{\mu} \tilde{X}_{\alpha\beta\alpha'\beta'}^{\mu}$$

STDDM equation

$$\begin{pmatrix} a & c \\ b & d \end{pmatrix} \begin{pmatrix} \tilde{x}^{\mu} \\ \tilde{X}^{\mu} \end{pmatrix} = \omega_{\mu} \begin{pmatrix} \tilde{x}^{\mu} \\ \tilde{X}^{\mu} \end{pmatrix}$$

# Equation-of-motion approach

$$Q_\mu^+ = \sum_{\lambda\lambda'} x_{\lambda\lambda'}^\mu a_\lambda^+ a_{\lambda'} + \sum_{\lambda_1\lambda_2\lambda_1'\lambda_2'} X_{\lambda\lambda'}^\mu a_{\lambda_1}^+ a_{\lambda_2}^+ a_{\lambda_2'} a_{\lambda_1'} : \quad Q_\mu^+ |\Psi_0\rangle = |\Psi_\mu\rangle, \quad Q_\mu |\Psi_0\rangle = 0$$

$$\langle \Psi_0 | [a_\alpha^+ a_\alpha, H] | \Psi_\mu \rangle = (E_\mu - E_0) \langle \Psi_0 | a_\alpha^+ a_\alpha | \Psi_\mu \rangle = \omega_\mu \langle \Psi_0 | a_\alpha^+ a_\alpha | \Psi_\mu \rangle$$

$$\langle \Psi_0 | [a_\alpha^+ a_\beta^+ a_\beta a_\alpha, H] | \Psi_\mu \rangle = \omega_\mu \langle \Psi_0 | a_\alpha^+ a_\beta^+ a_\beta a_\alpha | \Psi_\mu \rangle$$



$$\begin{pmatrix} A & B \\ C & D \end{pmatrix} \begin{pmatrix} x^\mu \\ X^\mu \end{pmatrix} = \omega_\mu \begin{pmatrix} S_1 & T_1 \\ T_2 & S_2 \end{pmatrix} \begin{pmatrix} x^\mu \\ X^\mu \end{pmatrix}$$

$$A = \langle \Psi_0 | [[a_\alpha^+ a_\alpha, H], a_\lambda^+ a_\lambda] | \Psi_0 \rangle$$

$$B = \langle \Psi_0 | [[a_\alpha^+ a_\alpha, H], a_{\lambda_1}^+ a_{\lambda_2}^+ a_{\lambda_2'} a_{\lambda_1'}] | \Psi_0 \rangle$$

$$C = \langle \Psi_0 | [[a_\alpha^+ a_\beta^+ a_\beta a_\alpha, H], a_\lambda^+ a_\lambda] | \Psi_0 \rangle$$

$$D = \langle \Psi_0 | [[a_\alpha^+ a_\beta^+ a_\beta a_\alpha, H], a_{\lambda_1}^+ a_{\lambda_2}^+ a_{\lambda_2'} a_{\lambda_1'}] | \Psi_0 \rangle$$

$$S_1 = \langle \Psi_0 | [a_\alpha^+ a_\alpha, a_\lambda^+ a_\lambda] | \Psi_0 \rangle$$

$$T_1 = \langle \Psi_0 | [a_\alpha^+ a_\alpha, a_{\lambda_1}^+ a_{\lambda_2}^+ a_{\lambda_2'} a_{\lambda_1'}] | \Psi_0 \rangle$$

$$T_2 = \langle \Psi_0 | [a_\alpha^+ a_\beta^+ a_\beta a_\alpha, a_\lambda^+ a_\lambda] | \Psi_0 \rangle$$

$$S_2 = \langle \Psi_0 | [a_\alpha^+ a_\beta^+ a_\beta a_\alpha, a_{\lambda_1}^+ a_{\lambda_2}^+ a_{\lambda_2'} a_{\lambda_1'}] | \Psi_0 \rangle$$



## Extended second RPA (ESRPA)

Under HF assumption

$$\begin{pmatrix} n_h = 1 \\ n_p = 0 \\ C_2 = 0 \end{pmatrix} \longrightarrow \text{Second RPA (SRPA)}$$

SRPA operator

$$Q_\mu^+ = \sum_{mi} x_{mi}^\mu a_m^+ a_i - \sum_{mi} y_{mi}^\mu a_i^+ a_m + \sum_{mnij} X_{mnij}^\mu a_m^+ a_n^+ a_j a_i - \sum_{mnij} Y_{mnij}^\mu a_i^+ a_j^+ a_n a_m$$

$i, j$  : hole states  
 $m, n$  : particle states

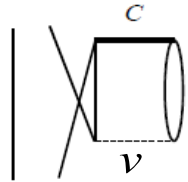
# One-body part of ESRPA (1b-ESRPA)

$$Ax^\mu = \omega_\mu S_1 x^\mu$$

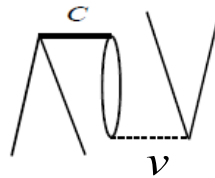
$$S_1 = (n_{\alpha'} - n_\alpha) \delta_{\alpha\lambda} \delta_{\alpha'\lambda'}$$

$$A = [(\varepsilon_\alpha - \varepsilon_{\alpha'}) \delta_{\alpha\lambda} \delta_{\alpha'\lambda'} + (n_{\lambda'} - n_\lambda) \langle \alpha\lambda' | v | \alpha'\lambda \rangle] (n_{\alpha'} - n_\alpha)$$

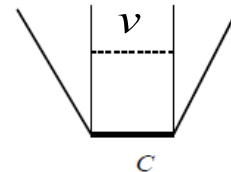
$$+ \delta_{\alpha\lambda} \sum_{\gamma'\gamma''} \langle \gamma\gamma' | v | \alpha'\gamma'' \rangle C_{\lambda'\gamma''\gamma'} + \sum_{\gamma'} \langle \lambda'\gamma | v | \alpha'\gamma' \rangle_A C_{\alpha\gamma'\lambda\gamma} - \sum_{\gamma'} \langle \gamma\gamma' | v | \alpha'\lambda \rangle C_{\alpha\lambda'\gamma'} + \dots$$



Self-energy



Vertex corrections



# 3) Applications

## Lipkin model

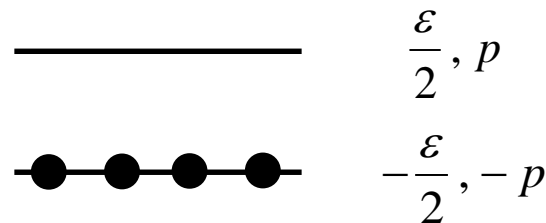
$$H = \varepsilon J_0 + \frac{V}{2} (J_+^2 + J_-^2)$$

$$J_0 = \frac{1}{2} \sum_{p=1}^N (a_p^+ a_p - a_{-p}^+ a_{-p}), \quad J_+ = J_-^+ = \sum_{p=1}^N a_p^+ a_{-p}$$

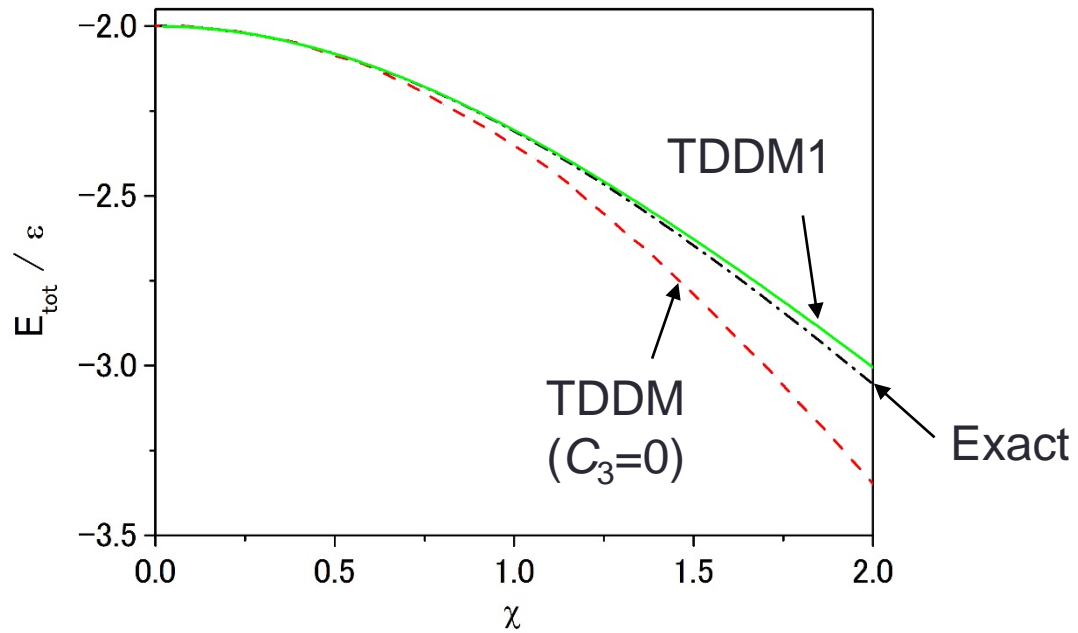
$$\text{For } \chi = \frac{(N-1)|V|}{\varepsilon} \leq 1 \quad |\text{HF}\rangle = \prod_{p=1}^N a_{-p}^+ |0\rangle$$

$$\text{For } \chi > 1 \quad |\text{DHF}(\alpha)\rangle = \prod_{p=1}^N c_{-p}^+ |0\rangle$$

$$\begin{pmatrix} c_{-p} \\ c_p \end{pmatrix} = \begin{pmatrix} \cos \alpha & \sin \alpha \\ -\sin \alpha & \cos \alpha \end{pmatrix} \begin{pmatrix} a_{-p} \\ a_p \end{pmatrix}, \quad \cos 2\alpha = 1/\chi$$



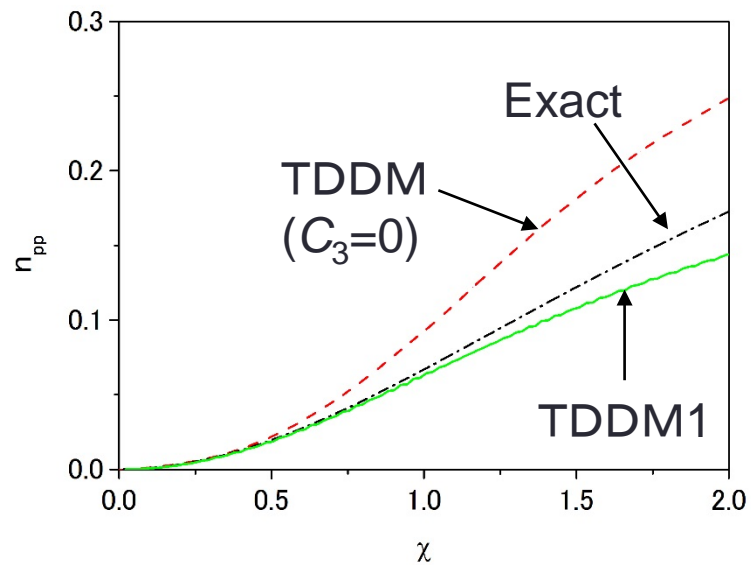
## Ground state energy $N=4$



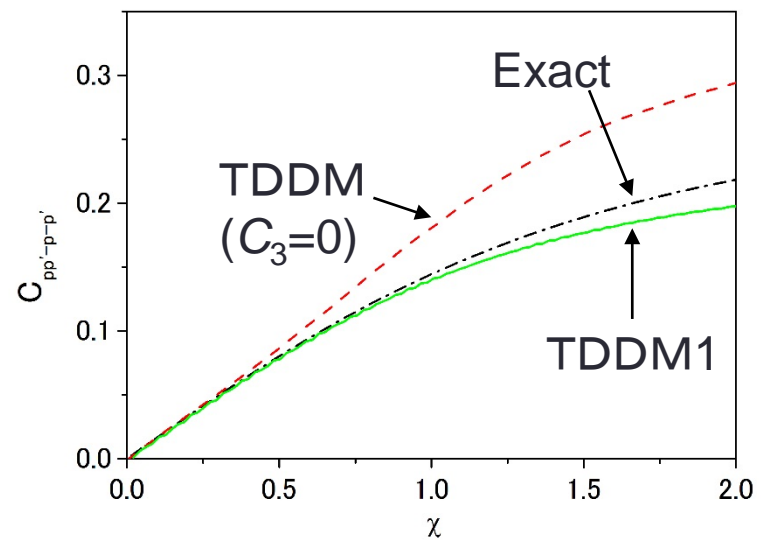
$$\chi = (N-1) \frac{|V|}{\epsilon}$$

$N=4$

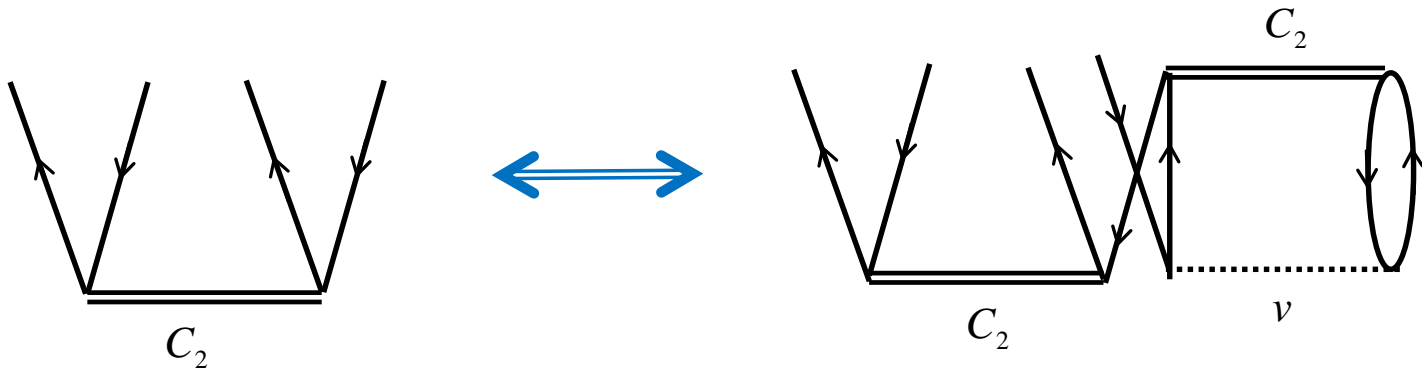
Occupation probability



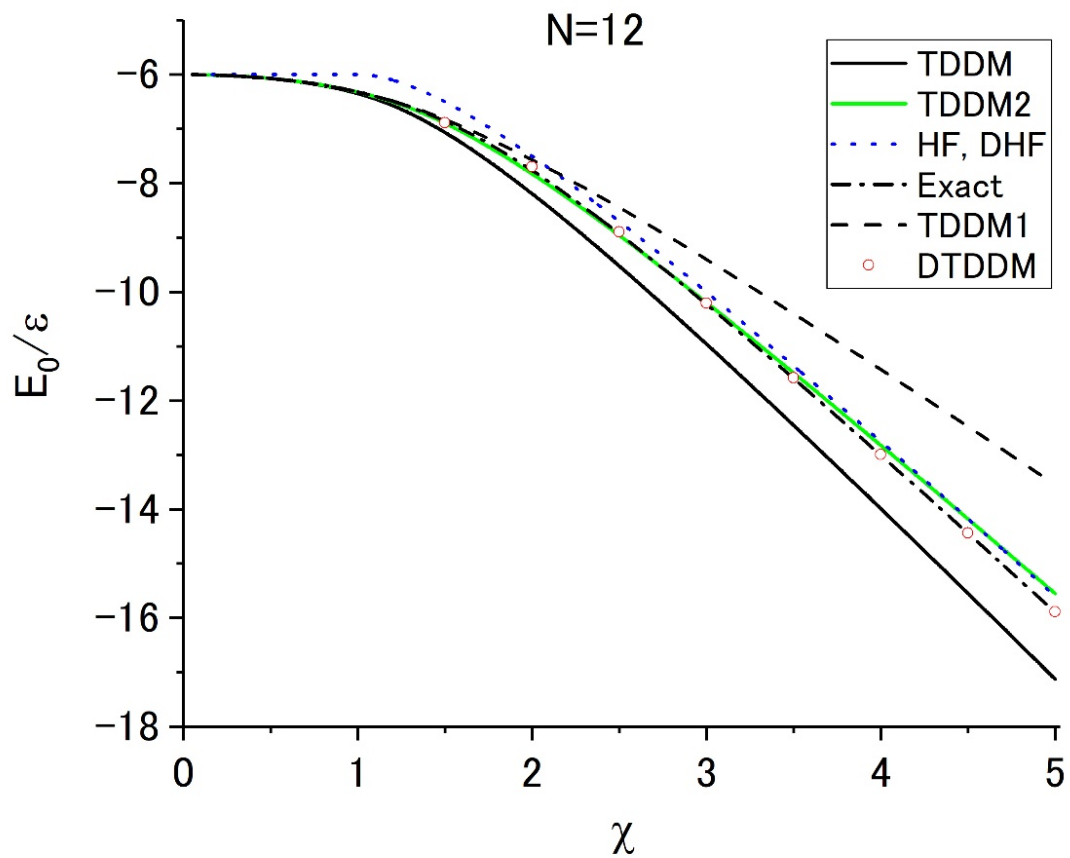
Correlation matrix  $C_2$



Self-energy contributions from  $C_3$   
suppress excess correlations



$$C_3 \approx C_2 \times C_2$$

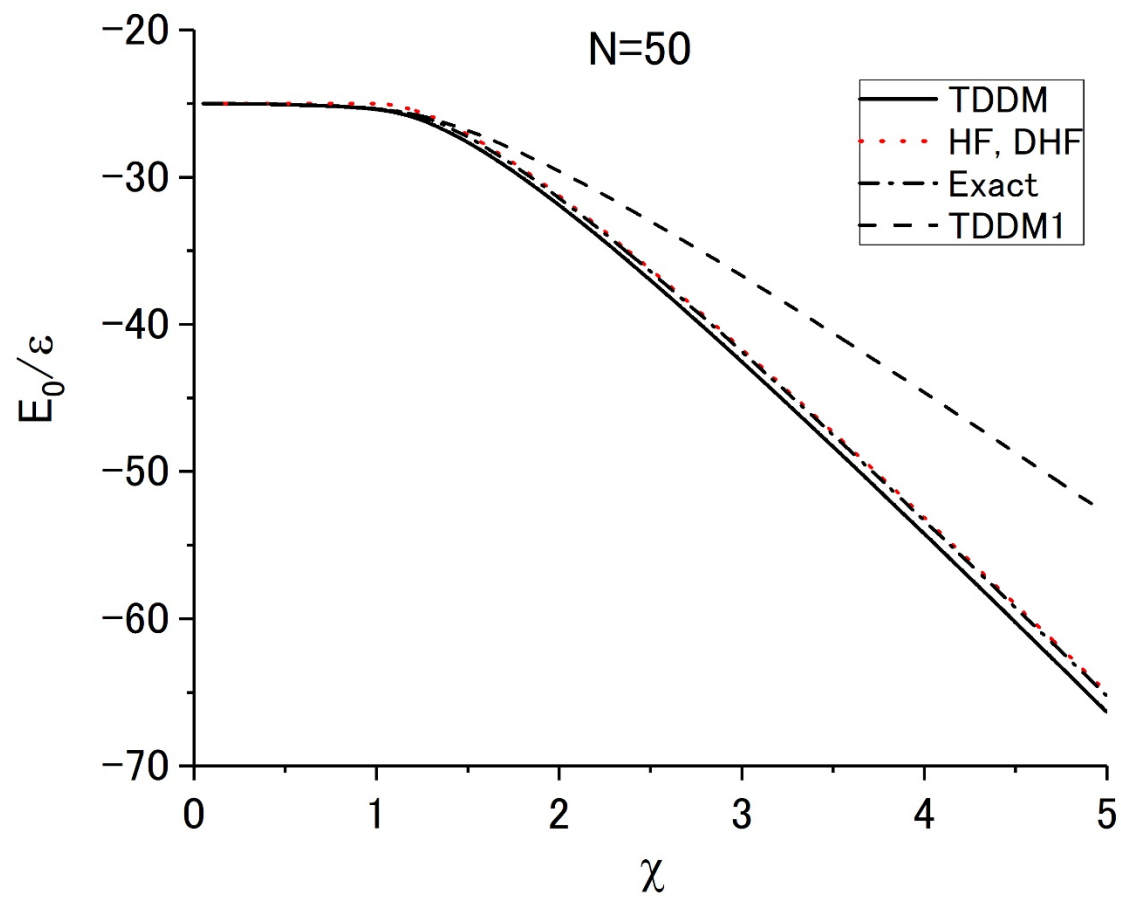


TDDM2:

$$C_{p_1 p_2 h_1 p_3 p_4 h_2} \approx \frac{1}{N} \sum_h C_{h h_1 p_3 p_4} C_{p_1 p_2 h_2 h}$$

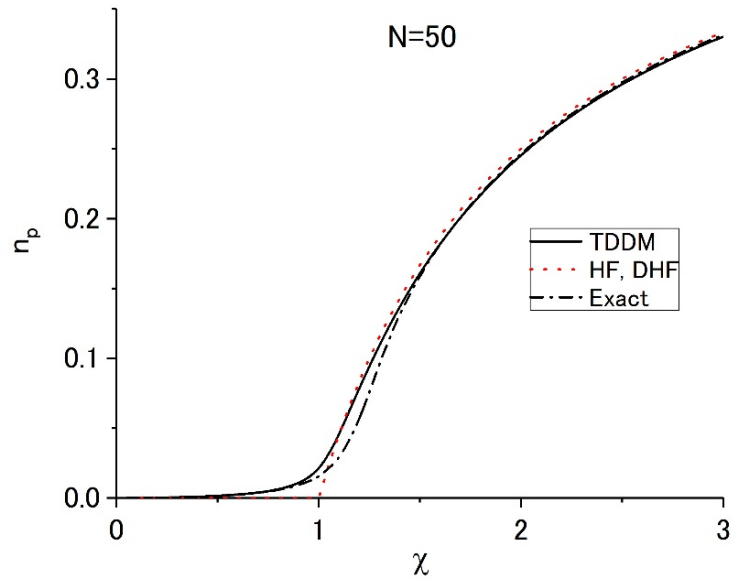
$$C_{p_1 h_1 h_2 p_2 h_3 h_4} \approx \frac{1}{N} \sum_p C_{h_1 h_2 p_2 p} C_{p_1 p h_3 h_4}$$

$$N = 1 + \frac{1}{4} \sum_{pp'hh'} C_{pp'hh'} C_{pp'hh'}^*$$

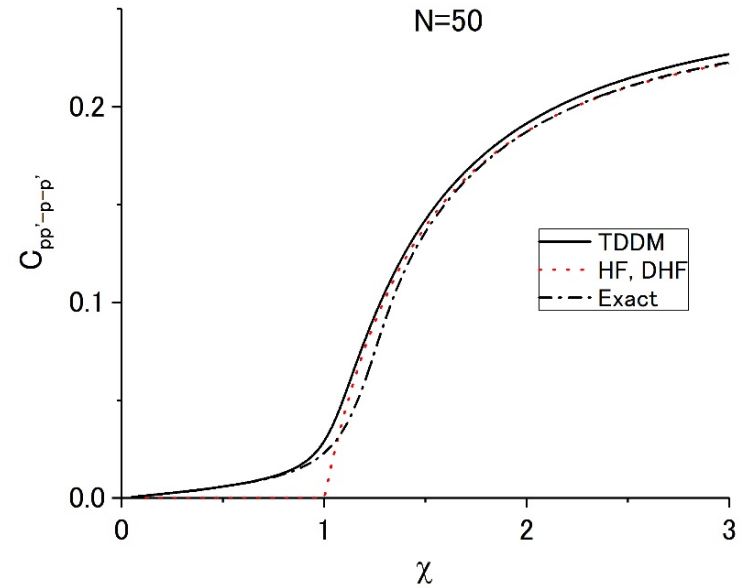




## Occupation probability



## Correlation matrix $C_2$



$$C_{pp'-p-p'}(\text{DHF}) = (n_{p-p}(\text{DHF}))^2$$

Deformed HF (DHF) is good approximation for large  $N$  and  $\chi$

$$|\Phi_0\rangle \approx \frac{1}{\sqrt{2}}(|\text{DHF}(\alpha)\rangle + |\text{DHF}(-\alpha)\rangle), \quad \cos 2\alpha = \frac{1}{\chi}$$

$$n_p = \langle \Phi_0 | a_p^+ a_p | \Phi_0 \rangle \approx \frac{1}{2} (\langle \text{DHF}(\alpha) | a_p^+ a_p | \text{DHF}(\alpha) \rangle + \langle \text{DHF}(-\alpha) | a_p^+ a_p | \text{DHF}(-\alpha) \rangle) = n_p(\text{DHF})$$

$$n_{p-p} = \langle \Phi_0 | a_{-p}^+ a_p | \Phi_0 \rangle \approx \frac{1}{2} (\langle \text{DHF}(\alpha) | a_{-p}^+ a_p | \text{DHF}(\alpha) \rangle + \langle \text{DHF}(-\alpha) | a_{-p}^+ a_p | \text{DHF}(-\alpha) \rangle) = 0$$

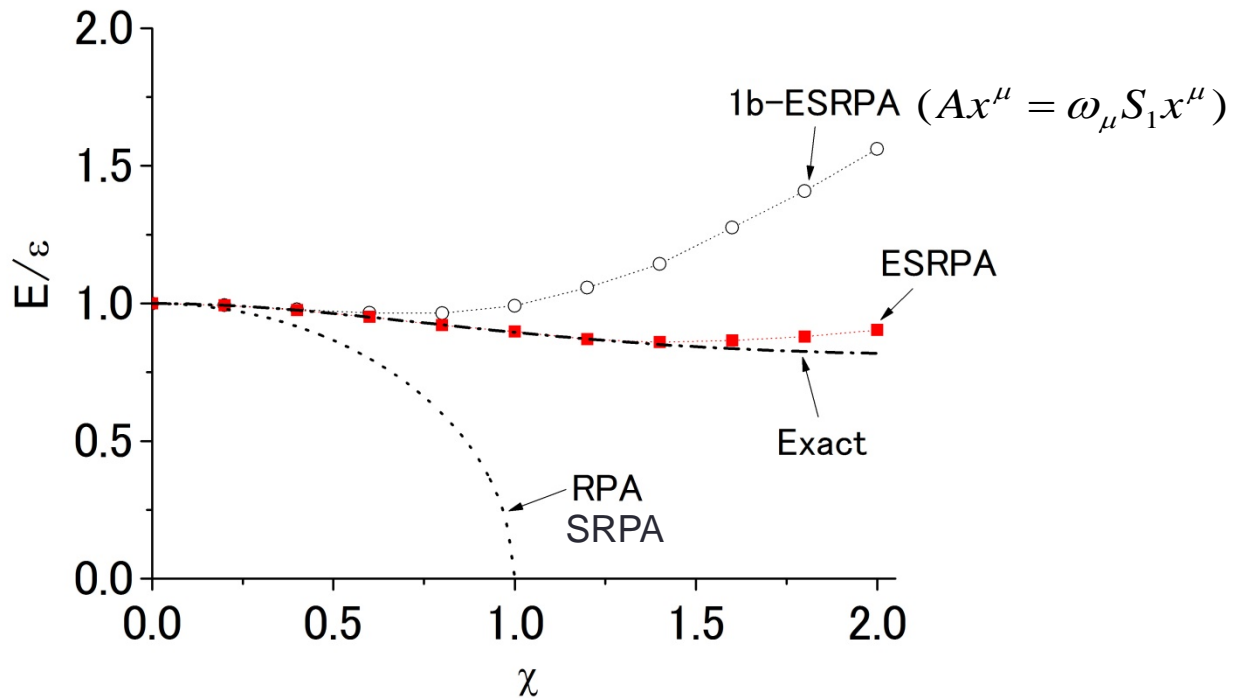
$$\rho_{pp'-p-p'} = \langle \Phi_0 | a_{-p}^+ a_{-p}^+ a_p a_p | \Phi_0 \rangle = C_{pp'-p-p'} \approx (n_{p-p}(\text{DHF}))^2$$

$$\rho_{pp'p''p-p'-p''} = \langle \Phi_0 | a_p^+ a_{-p}^+ a_{-p}^+ a_p a_p a_p | \Phi_0 \rangle \approx n_p(\text{DHF})(n_{p-p}(\text{DHF}))^2 = n_p C_{pp'-p-p'}$$

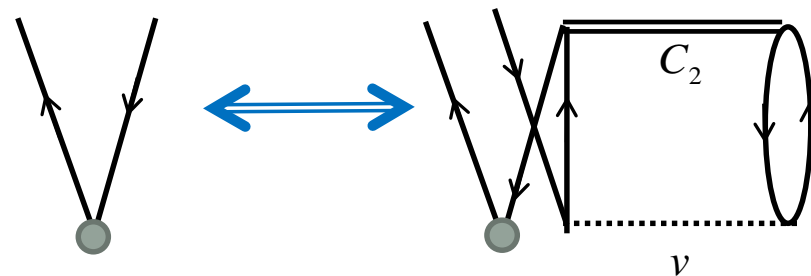


TDDM with  $C_3 = 0$  becomes good

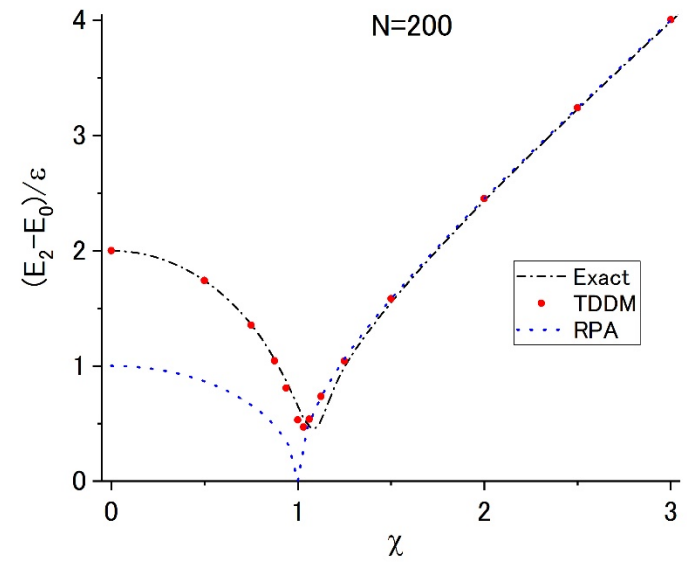
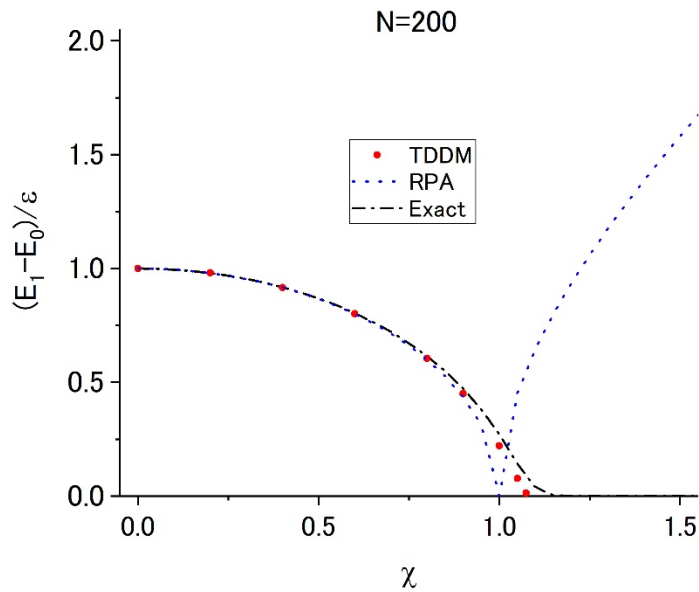
# Excited states $N=4$



Self-energy contributions in 1b-ESRPA



# Excited states in STDDM ( $N=200$ , $C_3=0$ )

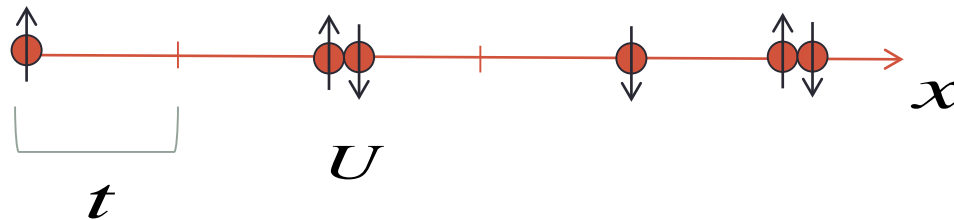


# 1D-Hubbard model ( $N=6$ at half-filling)

$$H = -t \sum_{\langle i,j \rangle, \sigma} c_{i,\sigma}^+ c_{j,\sigma} + U \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow} \quad , \quad \hat{n}_{i\sigma} = c_{i,\sigma}^+ c_{i,\sigma}$$

↑  
hopping

↑  
on-site repulsion

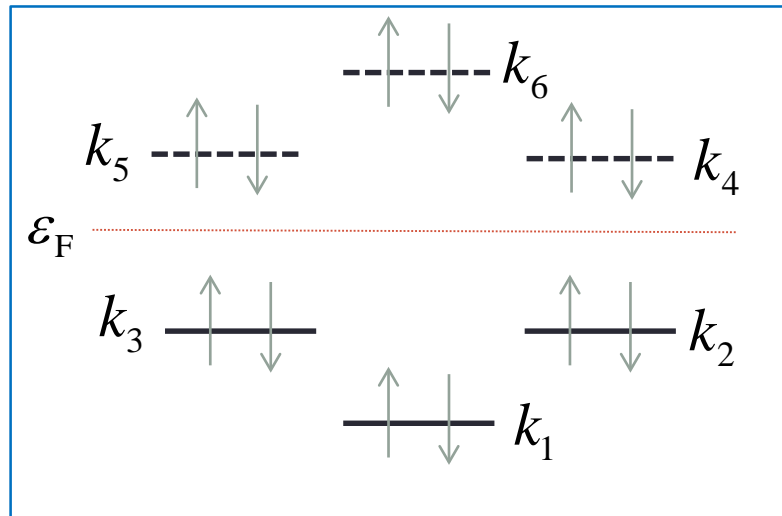


## In momentum space

$$c_{i,\sigma} = \frac{1}{\sqrt{N}} \sum_k a_{k,\sigma} e^{ikx_i}$$

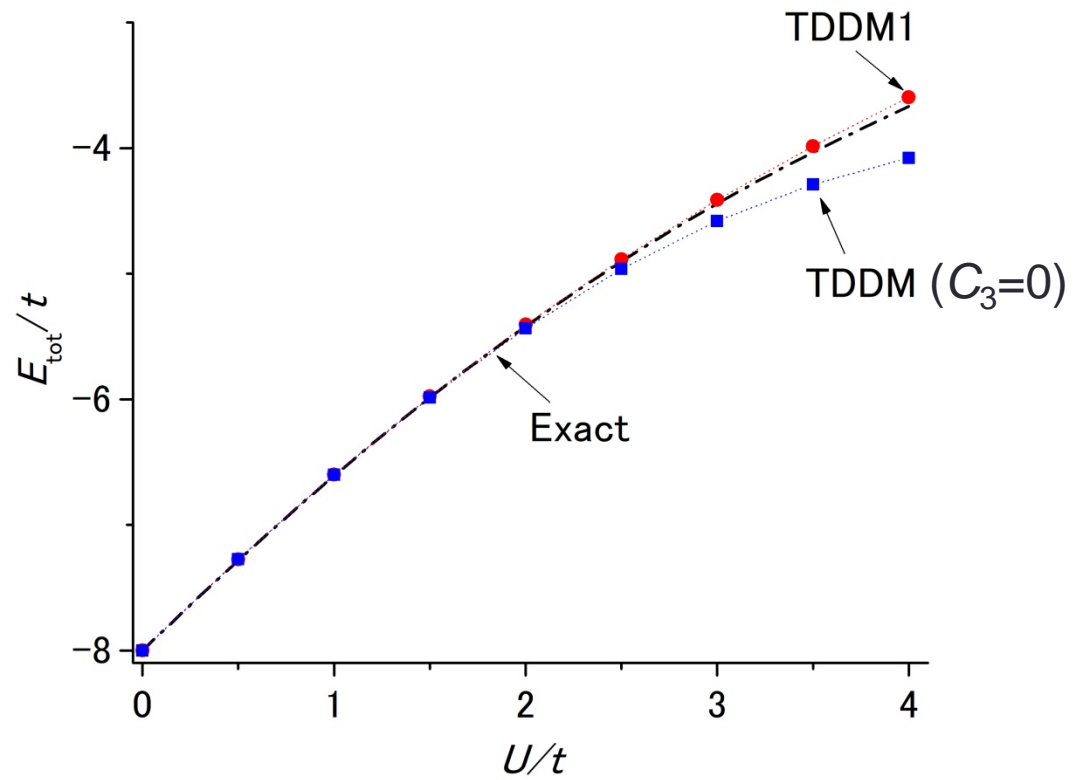
$$H = \sum_{k,\sigma} \varepsilon_k a_{k,\sigma}^+ a_{k,\sigma} + \frac{U}{2N} \sum_{k,p,q,\sigma} a_{k,\sigma}^+ a_{k+q,\sigma} a_{p,-\sigma}^+ a_{p-q,-\sigma}$$

$$\varepsilon_k = -2t \cos k_k, \quad k_1 = 0, \quad k_{2,3} = \pm \frac{\pi}{3}, \quad k_{4,5} = \pm \frac{2\pi}{3}, \quad k_6 = -\pi$$



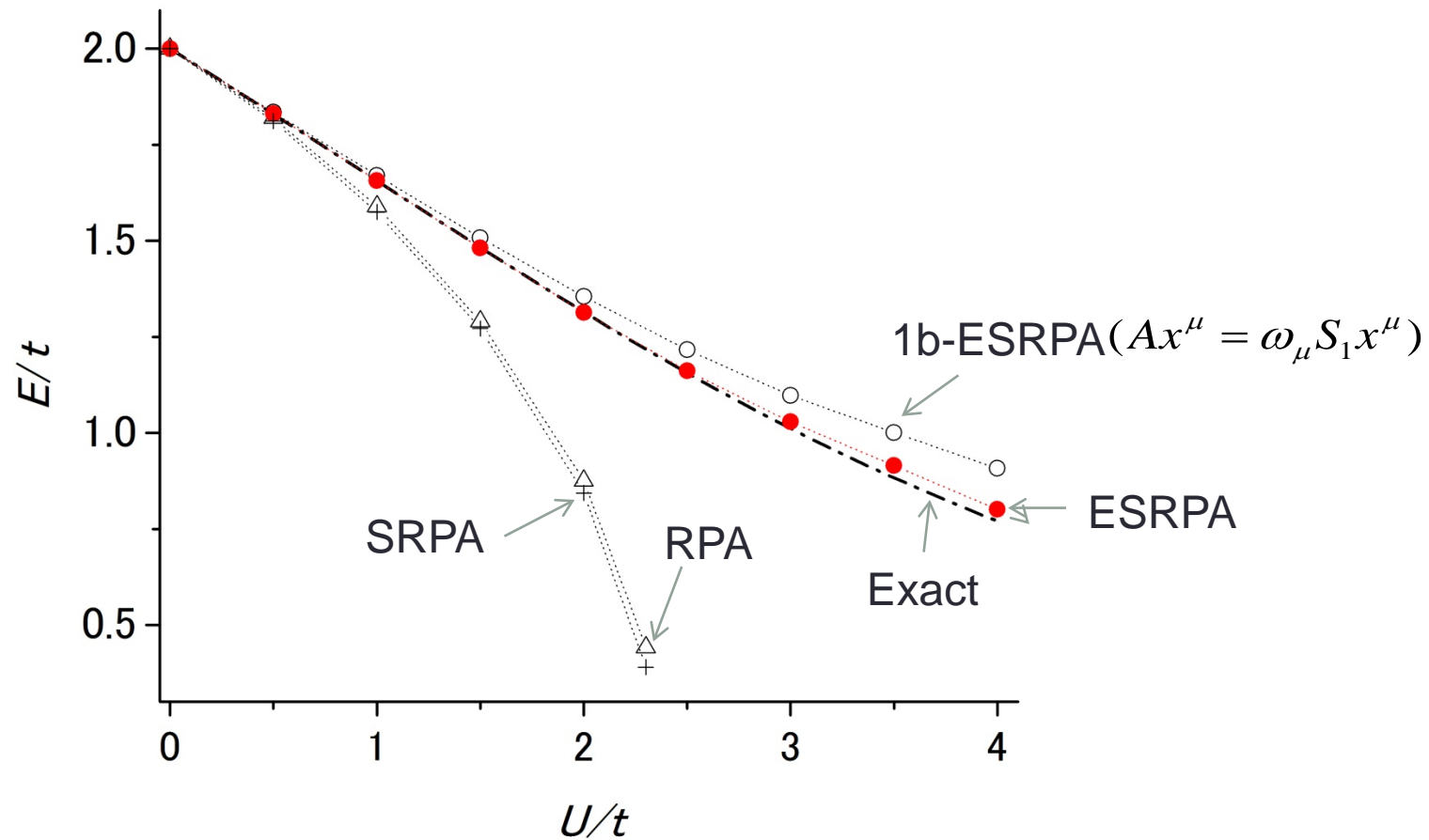
$$|\text{HF}\rangle = \prod_{i=1,\sigma}^3 a_{k_i,\sigma}^+ |0\rangle$$

# Ground state energy ( $N=6$ )



# 1st excited state (spin mode)

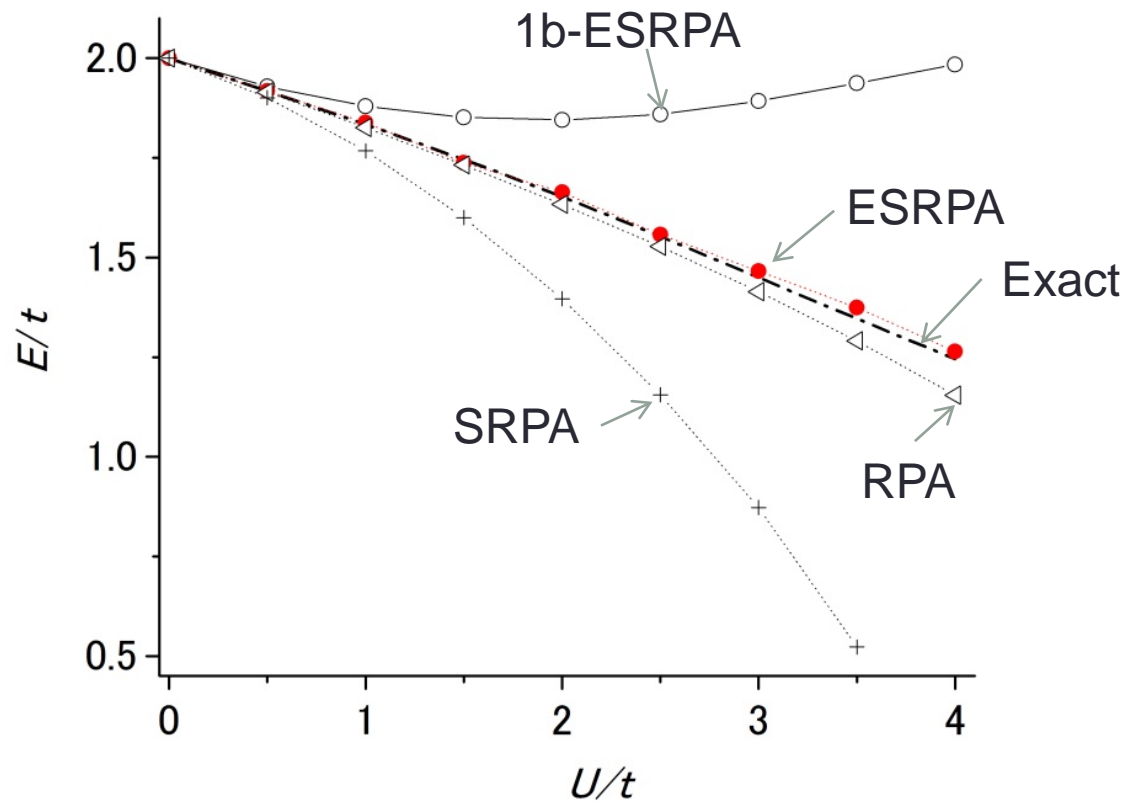
$$\Delta q = \pi : \left( -\frac{\pi}{3} \uparrow \Rightarrow \frac{2\pi}{3} \uparrow \right) - \left( -\frac{\pi}{3} \downarrow \Rightarrow \frac{2\pi}{3} \downarrow \right)$$





## 2nd excited state (spin mode)

$$\Delta q = \frac{\pi}{3} : \left( \frac{\pi}{3} \uparrow \Rightarrow \frac{2\pi}{3} \uparrow \right) - \left( \frac{\pi}{3} \downarrow \Rightarrow \frac{2\pi}{3} \downarrow \right)$$



Self-energy + coupling to  $X^\mu$  are important

# E1 and E2 excitations in $^{40}\text{Ca}$ and $^{48}\text{Ca}$

## Ground-state calculations (TDDM)

Single-particle states:

$$1d_{5/2}, 1d_{3/2}, 2s_{1/2}, 1f_{7/2} \quad \underbrace{(1f_{5/2}, 2p_{3/2}, 2p_{1/2})}_{^{48}\text{Ca}} \quad \text{for } n_{\alpha\alpha} \text{ and } C_{pp'hh'}$$

Residual interaction: simplified Skyrme III

$$v_2 = t_0(1 + x_0 P_\sigma) \delta^3(\vec{r} - \vec{r}'), \quad v_3 = t_3 \delta^3(\vec{r} - \vec{r}') \delta^3(\vec{r} - \vec{r}'')$$

# Occupation probabilities

$^{40}\text{Ca}$

$^{48}\text{Ca}$

orbit	$\epsilon_\alpha$ [MeV]		$n_{\alpha\alpha}$	
	proton	neutron	proton	neutron
$1d_{5/2}$	-15.6	-22.9	0.923	0.924
$1d_{3/2}$	-9.4	-16.5	0.884	0.884
$2s_{1/2}$	-8.5	-15.9	0.846	0.846
$1f_{7/2}$	-3.4	-10.4	0.154	0.154

orbit	$\epsilon_\alpha$ [MeV]		$n_{\alpha\alpha}$	
	proton	neutron	proton	neutron
$1d_{5/2}$	-22.6	-22.4	0.963	0.965
$1d_{3/2}$	-17.1	-17.0	0.952	0.940
$2s_{1/2}$	-15.1	-16.4	0.905	0.932
$1f_{7/2}$	-10.6	-10.6	0.059	0.919
$2p_{3/2}$	-1.7	-3.8	-	0.103
$2p_{1/2}$	0.1	-2.0	-	0.064
$1f_{5/2}$	-2.2	-1.9	0.022	0.116

## Excited-state calculations (STDDM)

Single-particle states:

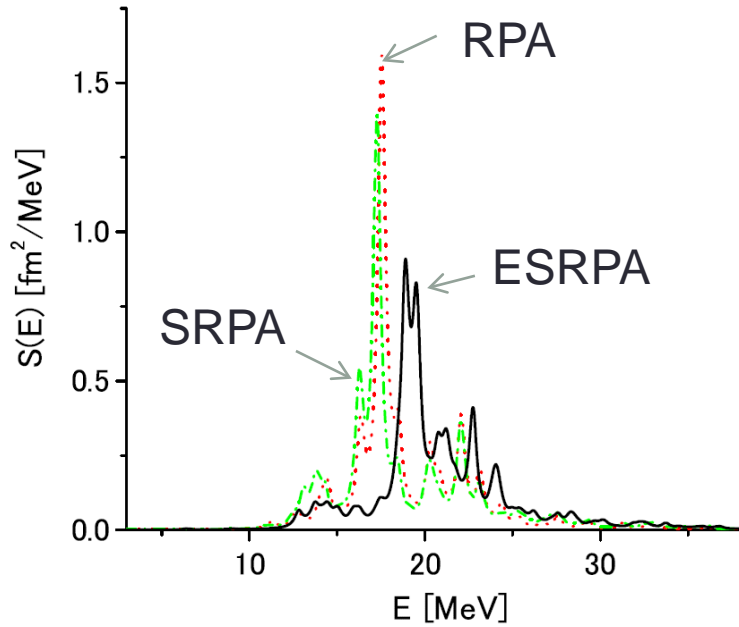
for  $x_{\alpha\alpha}^{\mu}$  :  $\varepsilon_{\alpha} \leq 50$  MeV ,  $\ell \leq 11/2$

for  $X_{pp'hh'}^{\mu}$  :  $2p_{3/2}$  ,  $2p_{1/2}$  ,  $1d_{5/2}$  ,  $1d_{3/2}$  ,  $2s_{1/2}$  ,  $1f_{7/2}$  ( $1f_{5/2}$  ,  $2p_{3/2}$  ,  $2p_{1/2}$ )  
 $^{48}\text{Ca}$

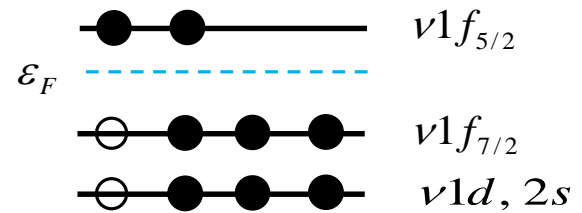
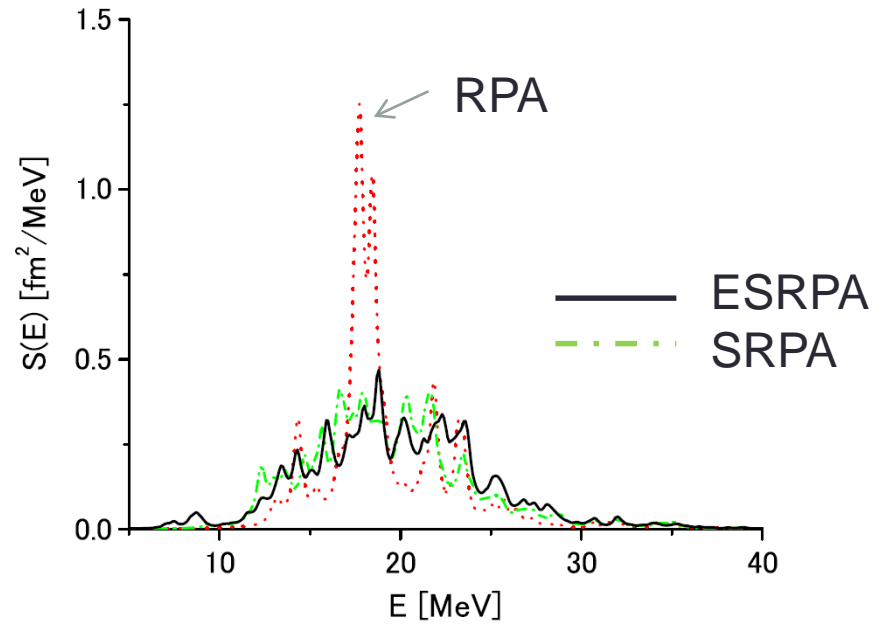
Residual interaction: simplified Skyrme III

$$v_2 = t_0(1 + x_0 P_{\sigma}) \delta^3(\vec{r} - \vec{r}') , v_3 = t_3 \delta^3(\vec{r} - \vec{r}') \delta^3(\vec{r} - \vec{r}'')$$

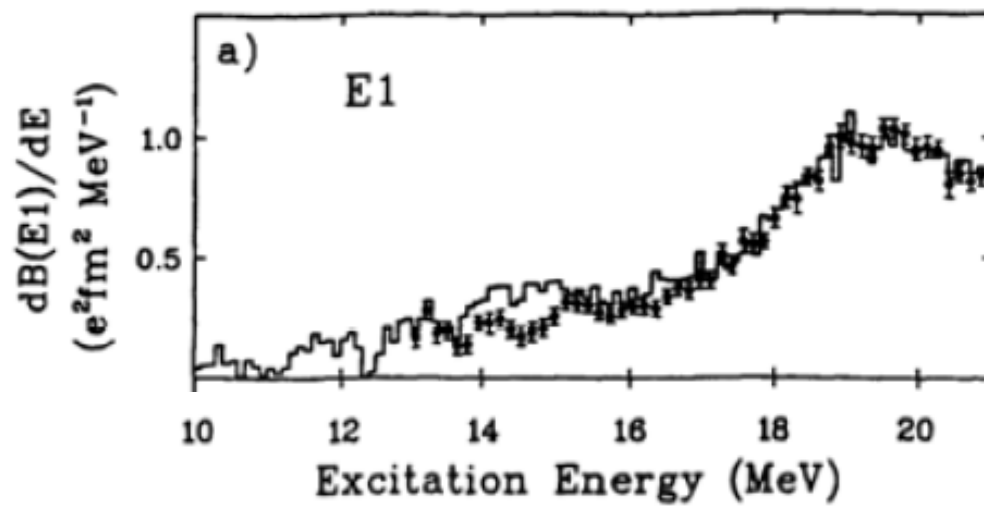
$^{40}\text{Ca}$   $E1$



$^{48}\text{Ca}$   $E1$



$^{40}\text{Ca}(e, e'x)$

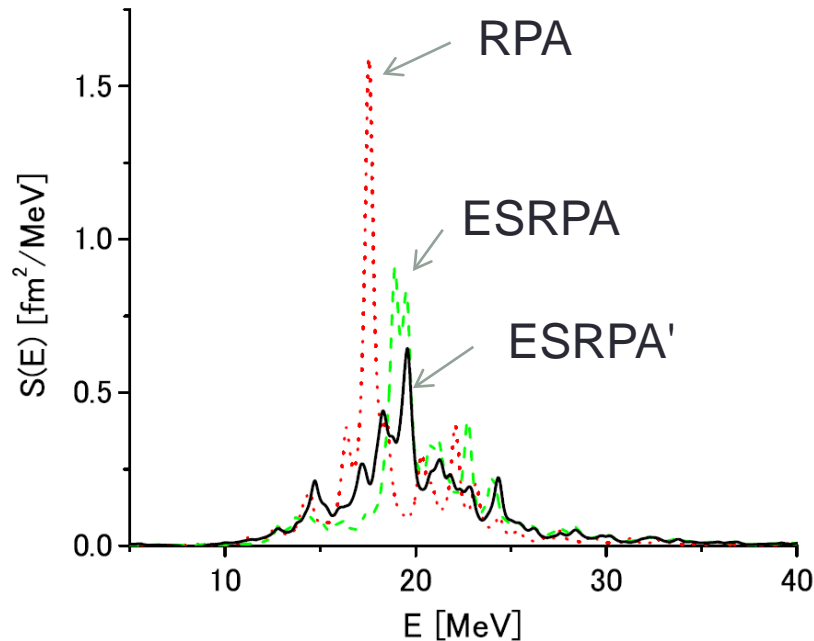


H. Diesner et al. Phys. Rev.Lett. 72, 1994(1994)

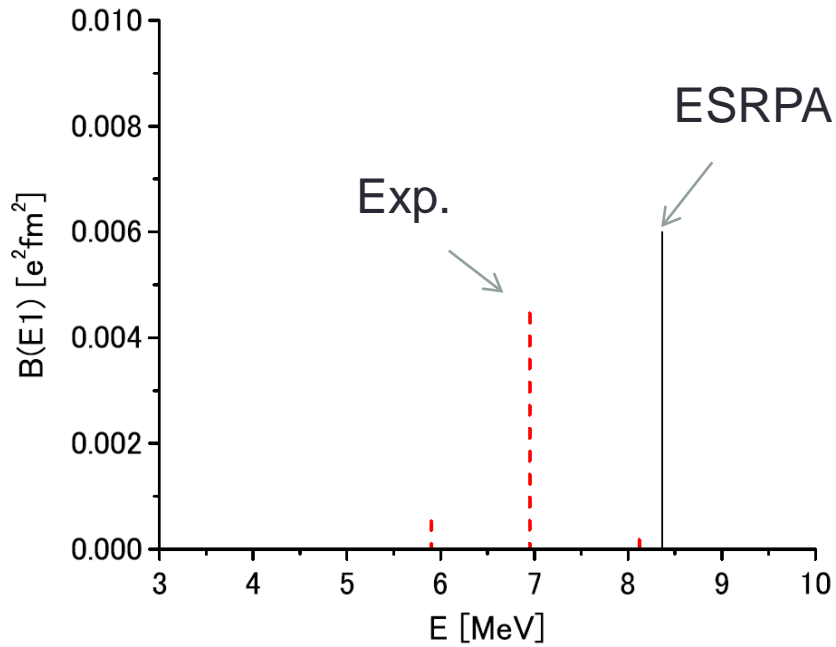
# Contributions of 3p-1h and 1p-3h states in $^{40}\text{Ca}$

Norm matrix for 3p-1h state:  $S_2 \approx (1-n_p)(1-n_{p'})n_{p''}n_h \neq 0$

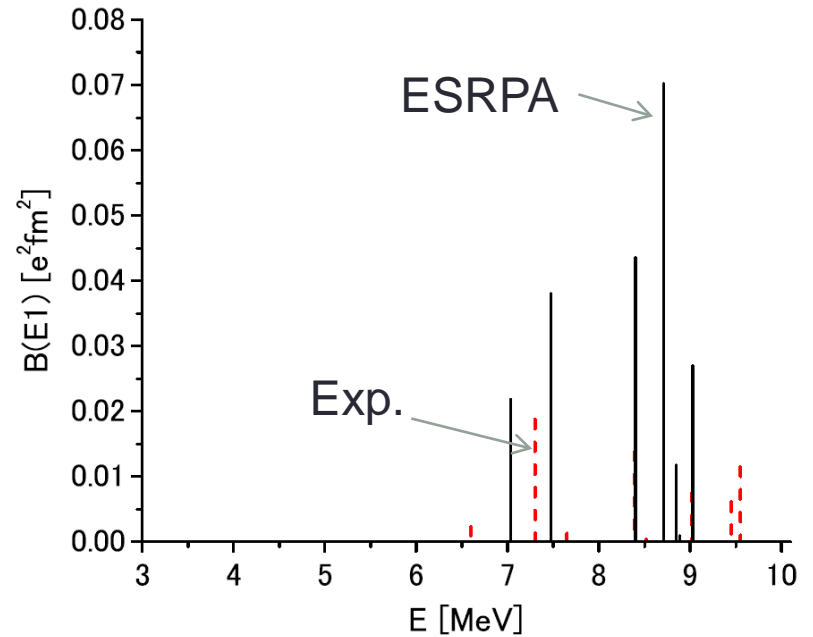
$$\text{ESRPA}' : X_{pp'hh'}^\mu + X_{hh'pp'}^\mu + X_{pp'p''h}^\mu + X_{phh'h''}^\mu + \dots$$



### $^{40}\text{Ca}$ $E1$



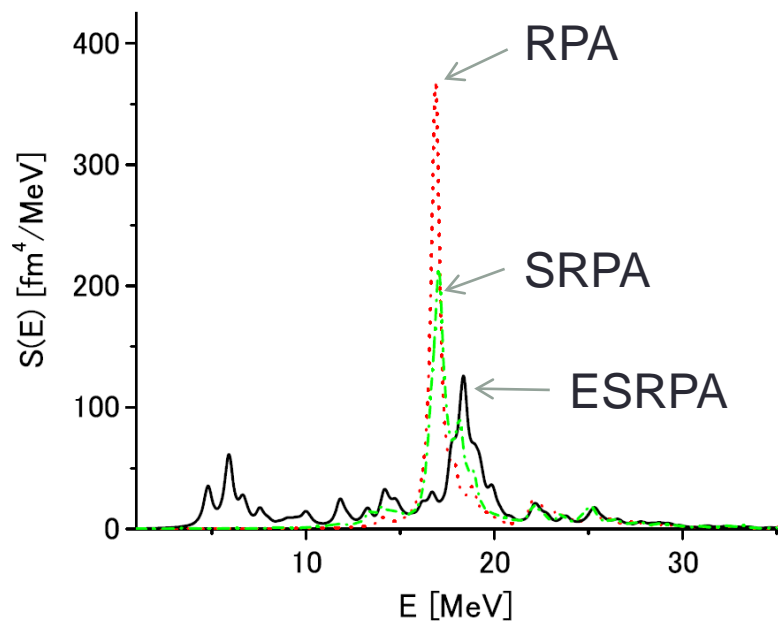
### $^{48}\text{Ca}$ $E1$



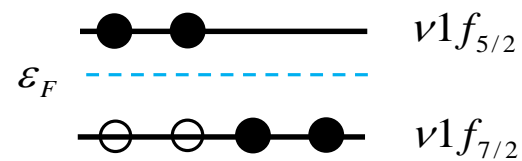
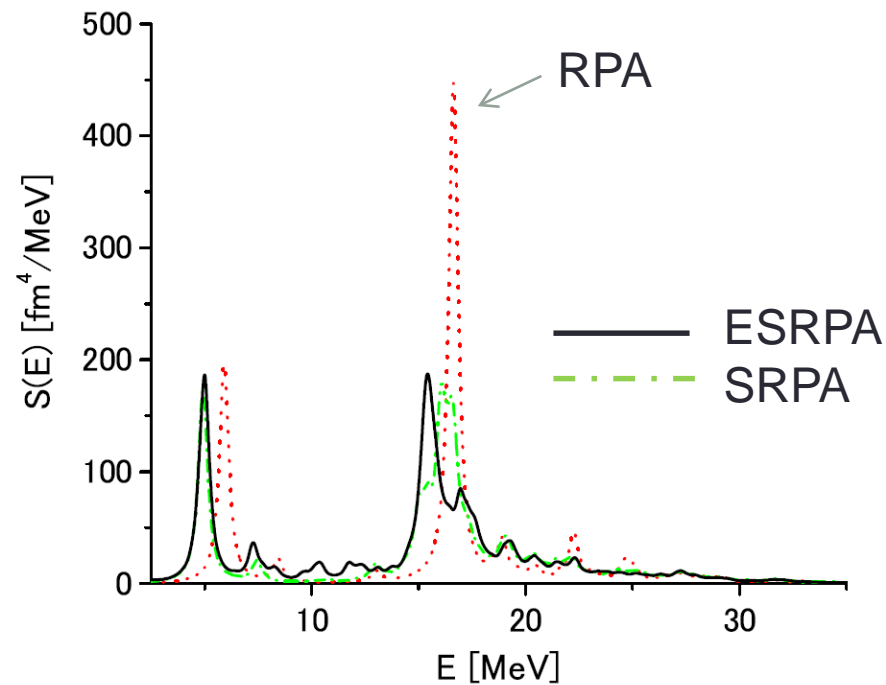
T. Hartmann et al., Phys. Rev. Lett. 85, 274(2000)



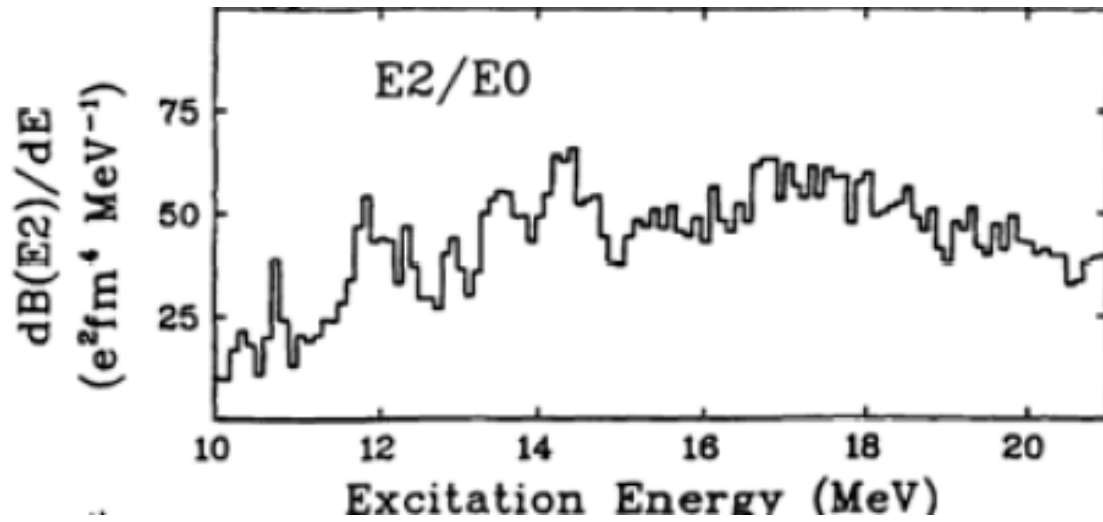
### $^{40}\text{Ca}$ $E2$



### $^{48}\text{Ca}$ $E2$



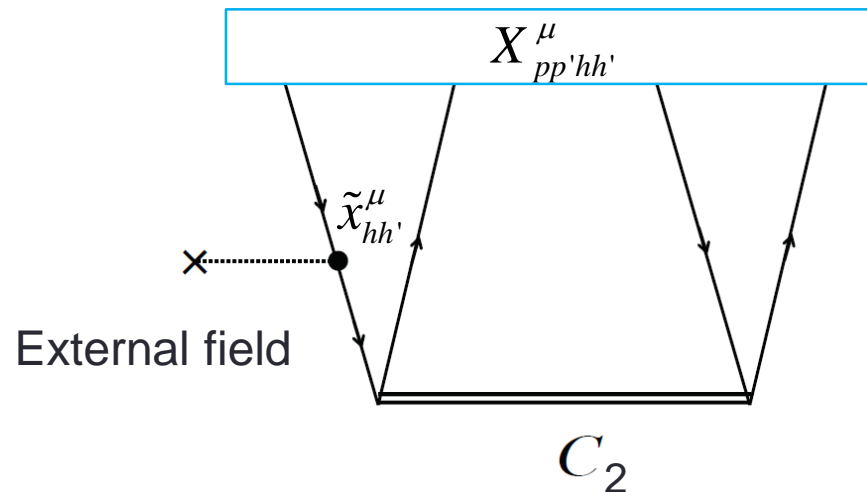
$^{40}\text{Ca}(e,e'x)$



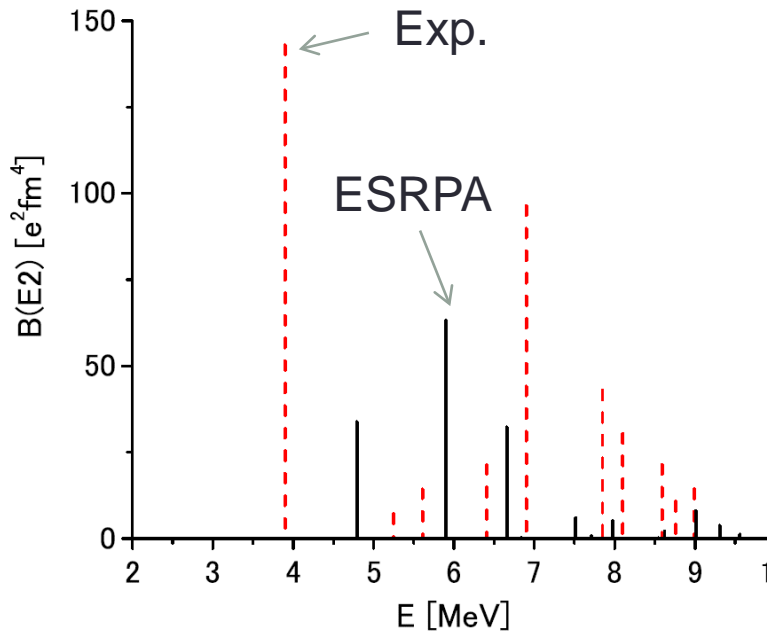
H. Diesner et al. Phys. Rev. Lett. 72, 1994(1994)

## Reasons for strong fragmentation in $^{40}\text{Ca}$

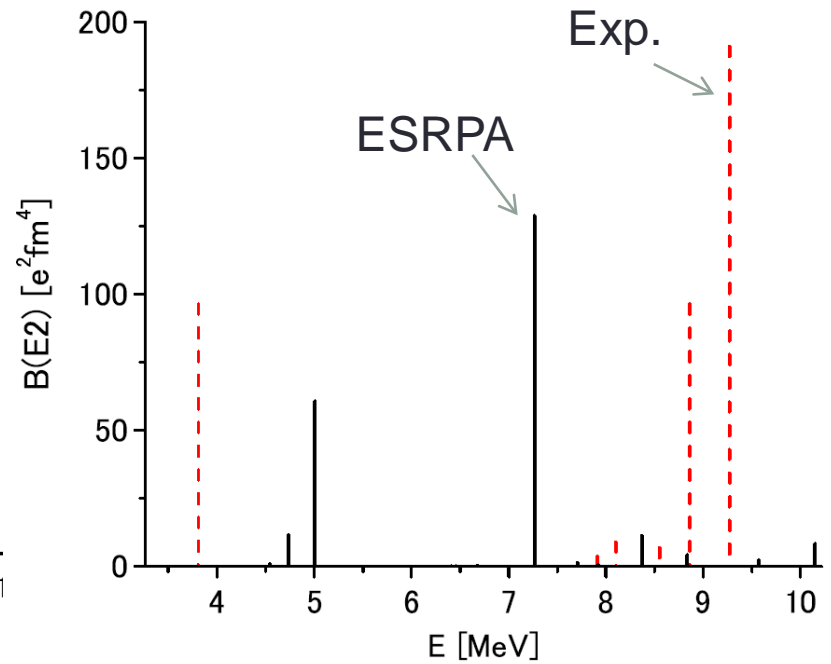
- Partial occupation of  $1f_{7/2}$  states
- Contributions of h-h and p-p amplitudes



### $^{40}\text{Ca}$ $E2$



### $^{48}\text{Ca}$ $E2$



T. Hartmann et al., Phys. Rev. Lett. 85, 274(2000)

## 4) Summary

- TDDM is a straightforward extension of TDHF
- $C_3 \approx C_2 \times C_2$  gives a better truncation scheme of BBGKY hierarchy except for large  $N$  Lipkin model.
- TDDM g.s. +ESRPA works for solvable models  
Excited states :self-energy + coupling to  $X_{\alpha\beta\alpha'\beta'}^\mu$  are important
- Ground-state correlations are important for fragmentation of  $E1$  and  $E2$  strengths in  $^{40}\text{Ca}$  and  $^{48}\text{Ca}$



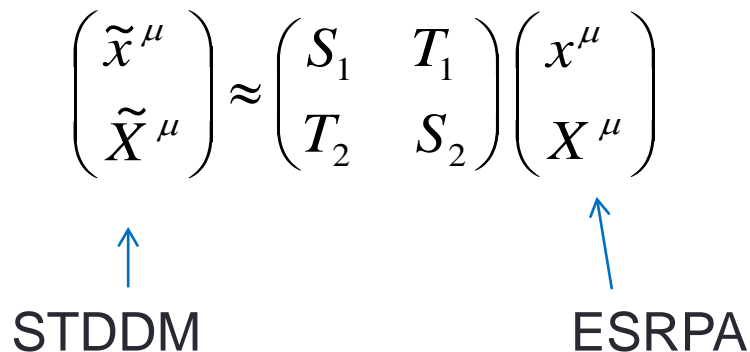
## Ortho-normalization condition in ESRPA

$$\begin{pmatrix} x^{\mu*} & X^{\mu*} \end{pmatrix} \begin{pmatrix} S_1 & T_1 \\ T_2 & S_2 \end{pmatrix} \begin{pmatrix} x^\nu \\ X^\nu \end{pmatrix} = \delta_{\mu\nu}$$

$\begin{pmatrix} x^{\mu*} & X^{\mu*} \end{pmatrix}$ : left eigen vector

## Relation of ESRPA and STDDM

$$\begin{pmatrix} \tilde{x}^\mu \\ \tilde{X}^\mu \end{pmatrix} \approx \begin{pmatrix} S_1 & T_1 \\ T_2 & S_2 \end{pmatrix} \begin{pmatrix} x^\mu \\ X^\mu \end{pmatrix}$$



STDDM ESRPA