

# Lee-Yang zeros analysis of effective theories toward lattice QCD

Masayuki Wakayama

CENuM, Korea University  
Pukyong National University

The Future of lattice-QCD studies in Korea  
@ PKNU (2019.9.6)

# Contents

## 1. Canonical Approach

## 2. Lee-Yang zeros (LYZs)

## 3. Lattice QCD Results

MW, V.G. Bornyakov, D.L. Boyda, V.A. Goy, H. Iida, A.V. Molochkov, A. Nakamura, V.I. Zakharov, PLB793, 227 (2019)

## 4. Study of the NJL model

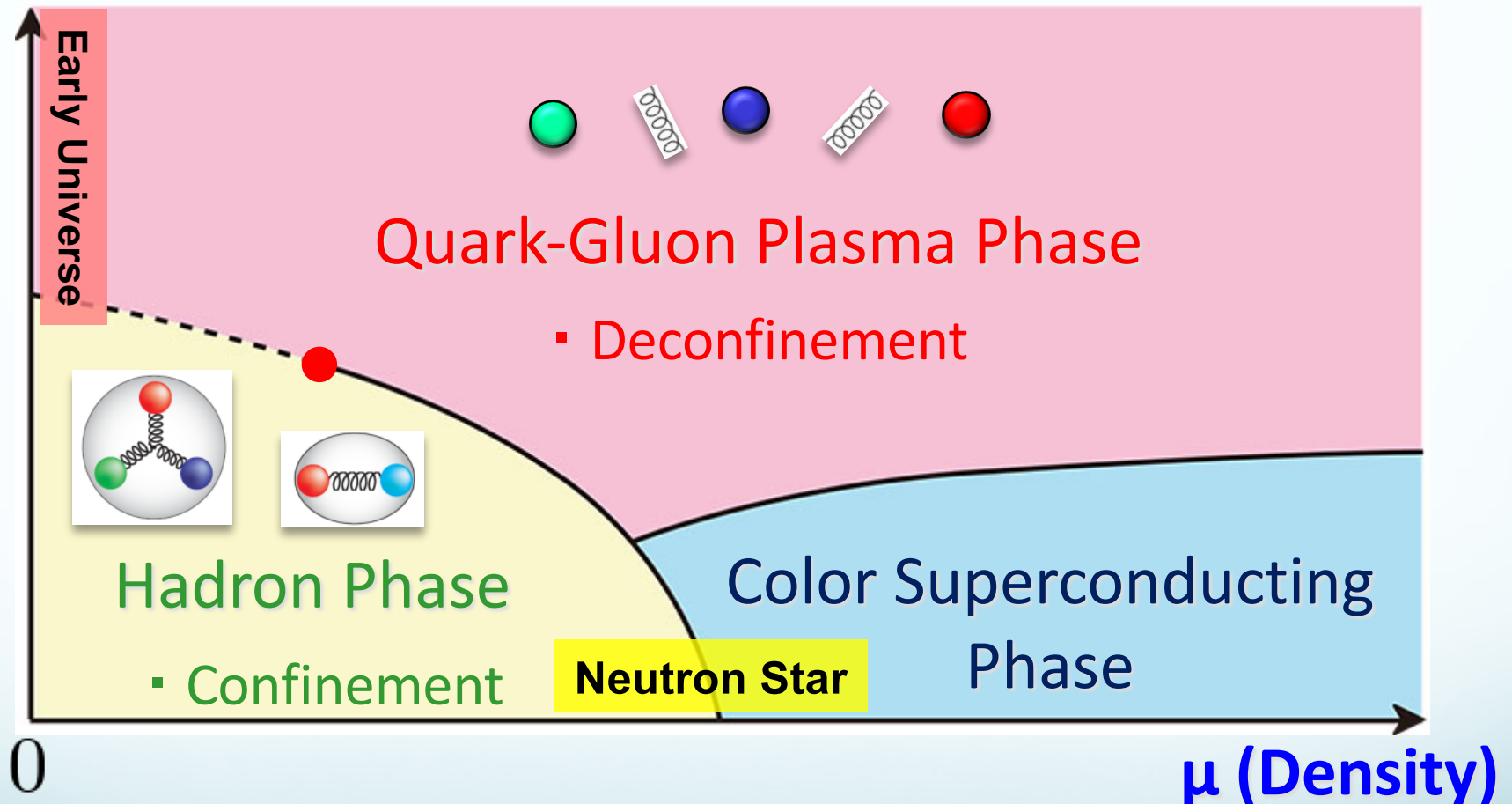
MW, A. Hosaka, PLB795, 548 (2019)

## 5. Study of the PNJL model and Progress of Recent Lattice QCD Calculations

## 6. Summary & Future works

# QCD Phase diagram (Prediction)

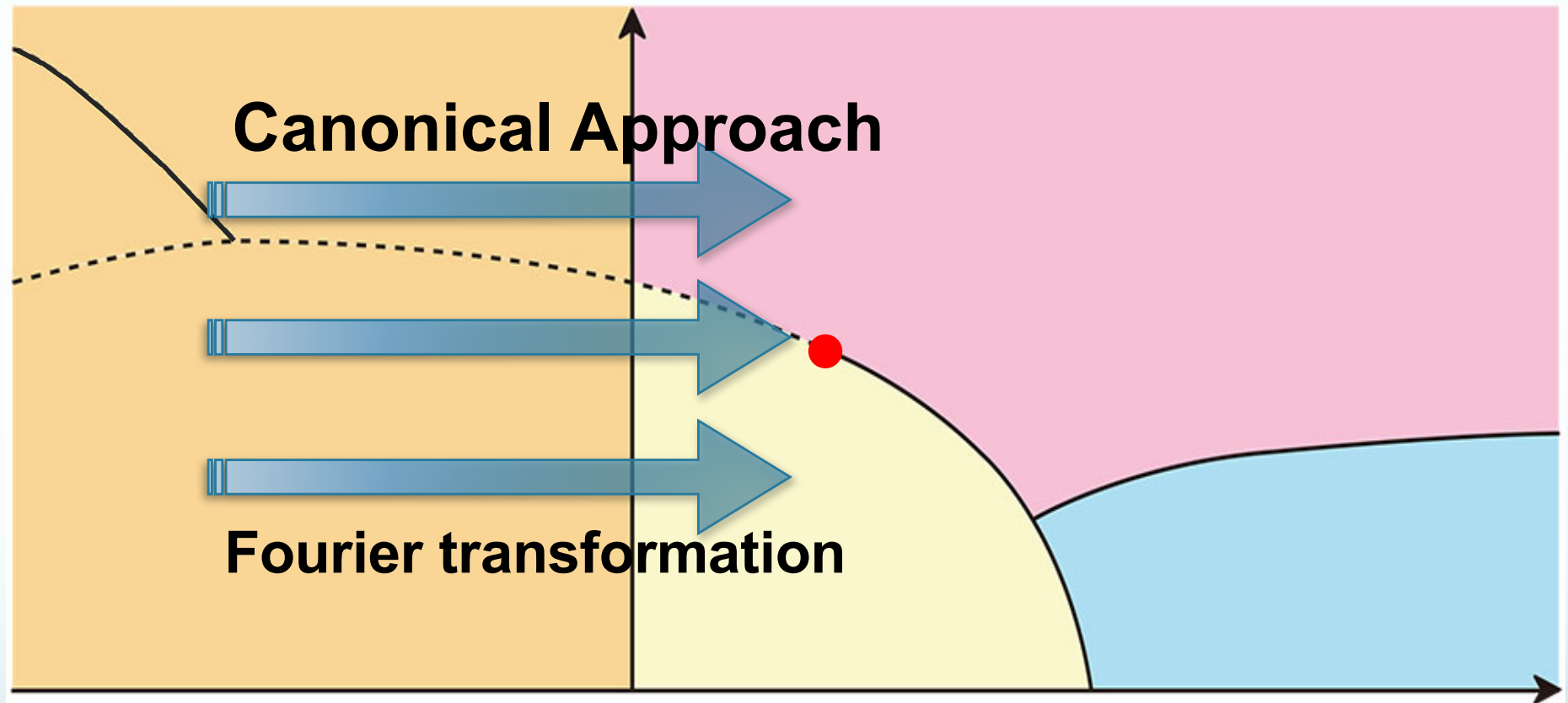
T (Temperature)



Where are the critical point and the phase transition line?  
Lattice QCD at finite density: Existence of the sign problem

# QCD Phase diagram (Prediction)

T (Temperature)



$$[\det D(i\mu_{qI})]^* = \det D(i\mu_{qI}) \quad 0 \quad [\det D(\mu_q)]^* = \det D(-\mu_q^*) \quad \mu_q^2$$

Pure imaginary chemical potential:  $\mu_q = i\mu_{qI}$

# Canonical Approach

## Fugacity expansion

### Grand Canonical partition function

$$\begin{aligned}\underline{Z_{GC}(\mu_q, T, V)} &= \text{Tr} \left( e^{-(\hat{H} - \mu_q \hat{N})/T} \right) \\ &= \sum_n \langle n | e^{-(\hat{H} - \mu_q \hat{N})/T} | n \rangle \\ &= \sum_n \langle n | e^{-\hat{H}/T} | n \rangle e^{n\mu_q/T} \\ &= \sum_n \underline{Z(n, T, V)} \xi^n \quad \text{Fugacity: } \xi = e^{\mu_q/T}\end{aligned}$$

**Canonical partition function**

# Canonical Approach

## Fugacity expansion

### Grand Canonical partition function

$$\underline{Z_{GC}(\mu_q, T, V)} = \sum_{n=-\infty}^{\infty} \underline{Z(n, T, V)} \xi^n \quad \text{Fugacity: } \xi = e^{\mu_q/T}$$

**Canonical partition function**

## Fourier transformation

A. Hasenfrantz & D. Toussaint,  
Nucl. Phys. B371 (1992)

$$Z(n, T, V) = \int_0^{2\pi} \frac{d(\mu_{qI}/T)}{2\pi} e^{-in\mu_{qI}/T} \underline{Z_{GC}(\mu_q = i\mu_{qI}, T, V)}$$

**We can calculate  $Z_{GC}$  with Monte Carlo Method at pure imaginary  $\mu_q$ .**

$$[\det D(i\mu_{qI})]^* = \det D(i\mu_{qI})$$

# History

## Basic Idea of Canonical Approach

A. Hasenfrantz, D. Toussaint, Nucl. Phys. B371 (1992)

✗ **Numerical instability of (discrete) Fourier transformation**

Sign Problem ?  $\Rightarrow$  **No, this is caused by cancelation of significant digits !**

R.Fukuda, A.Nakamura, S.Oka, PRD93 (2016)

$$\begin{array}{r} 1.234567890123456 - 1.234567890123455 = 0.0000000000000001 \\ (16 \text{ significant digits}) \qquad \qquad \qquad (1 \text{ significant digit}) \end{array}$$



## **Multiple-precision arithmetic**

$$\begin{array}{r} 1.234567890123456666666666 \\ - 1.234567890123455555555555 = 0.000000000000000111111111 \\ (24 \text{ significant digits}) \qquad \qquad \qquad (10 \text{ significant digits}) \end{array}$$

# History

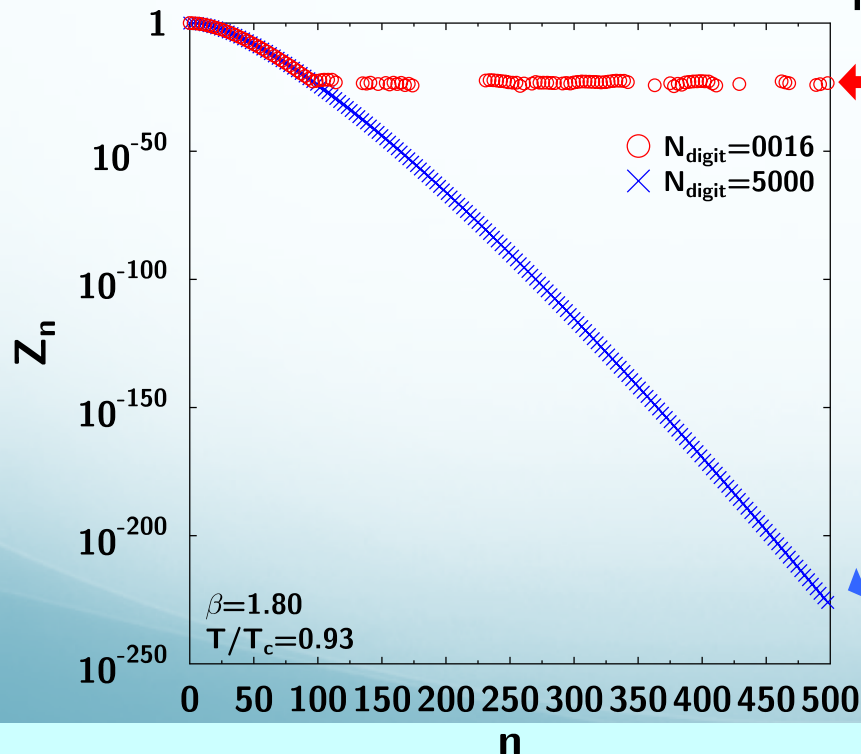
## Basic Idea of Canonical Approach

A. Hasenfrantz, D. Toussaint, Nucl. Phys. B371 (1992)

✗ **Numerical instability of (discrete) Fourier transformation**

Sign Problem ?  $\Rightarrow$  **No, this is caused by cancelation of significant digits !**

R.Fukuda, A.Nakamura, S.Oka, PRD93 (2016)



In **double-precision** arithmetic, cancelation of significant digits occurs at high  $n$  region.

In **multiple-precision** arithmetic, we can evaluate  $Z_n$  up to high  $n$  region with accuracy.



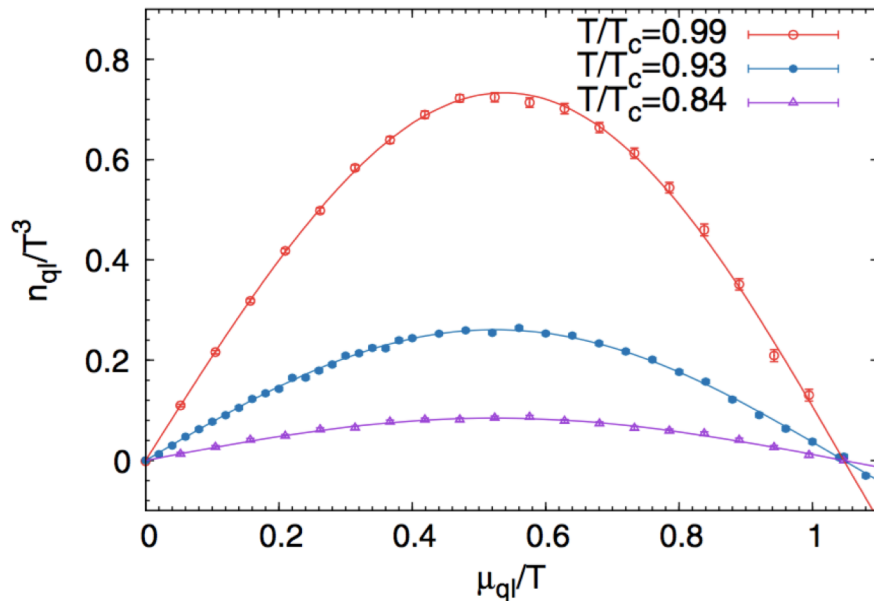
# Number density Integration method

How to calculate  $Z_{GC}(\mu_q = i\mu_{qI}, T, V)$

V.G. Bornyakov et al.,  
PRD95, 094506 (2017)

## Quark number density

$$\begin{aligned}\frac{n_q}{T^3} &= \frac{1}{VT^2} \frac{\partial}{\partial \mu_q} \ln Z_{GC} \\ &= \frac{1}{VT^3} \frac{1}{Z_{GC}} \int \mathcal{D}U \det D(\mu_q) e^{-S_G} \text{Tr} \left[ D^{-1} \frac{\partial D}{\partial (\mu_q/T)} \right]\end{aligned}$$



$$n_q = i n_{qI} \quad \theta = \frac{\mu_{qI}}{T}$$

Approximated by a Fourier series.

$$\frac{n_{qI}}{T^3}(\theta) \sim \sum_{k=1}^{N_{\text{sin}}} f_k \sin(k\theta)$$

# Outline

## Lattice QCD

$$n_q(\mu_q = i\mu_{qI}, T, V)$$

Integration method

V. Bornyakov et al., PRD95(2017)

$$\frac{n_q}{T^3} = \frac{1}{VT^2} \frac{\partial}{\partial \mu_q} \ln Z_{GC}$$

$$Z_{GC}(\mu_q = i\mu_{qI}, T, V)$$

Fourier transformation

$$Z(n, T, V)$$

$$Z_{GC}(\mu_q, T, V) = \sum_{n=-\infty}^{\infty} Z(n, T, V) \xi^n \quad \xi = e^{\mu_q/T}$$

If we get  $Z_n$  for all  $n$ , we can search at **ANY** density!

# Outline

## Lattice QCD

$$n_q(\mu_q = i\mu_{qI}, T, V)$$

Integration method

V. Bornyakov et al., PRD95(2017)

$$\frac{n_q}{T^3} = \frac{1}{VT^2} \frac{\partial}{\partial \mu_q} \ln Z_{GC}$$

$$Z_{GC}(\mu_q = i\mu_{qI}, T, V)$$

Fourier transformation

$$Z(n, T, V)$$

$$Z_{GC}(\mu_q, T, V) = \sum_{n=-N_{\max}}^{N_{\max}} Z(n, T, V) \xi^n \quad \xi = e^{\mu_q/T}$$

In numerical calculations, n is **finite**.

# Contents

## 1. Canonical Approach

## 2. Lee-Yang zeros (LYZs)

## 3. Lattice QCD Results

MW, V.G. Bornyakov, D.L. Boyda, V.A. Goy, H. Iida, A.V. Molochkov, A. Nakamura, V.I. Zakharov, PLB793, 227 (2019)

## 4. Study of the NJL model

MW, A. Hosaka, PLB795, 548 (2019)

## 5. Study of the PNJL model and Progress of Recent Lattice QCD Calculations

## 6. Summary & Future works

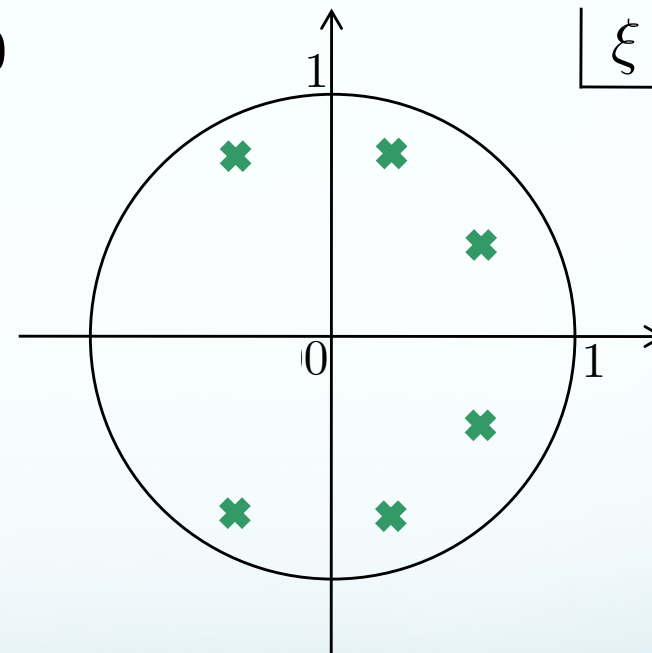
# Lee-Yang Zeros

Zeros of  $Z_{GC}$  called Lee-Yang Zeros contain a valuable information on the phase transitions of a system.

T.D. Lee & C.N. Yang, Phys. Rev. 87, 404&410 (1952)

$$Z_{GC}(\mu_q, T, V) = \sum_{n=-N_{\max}}^{N_{\max}} Z(n, T, V) \xi^n = 0$$

There are  $2N_{\max}$  LYZs in the complex  $\xi = e^{\mu_q/T}$  plane.



$N_{\max} \sim \text{small}$

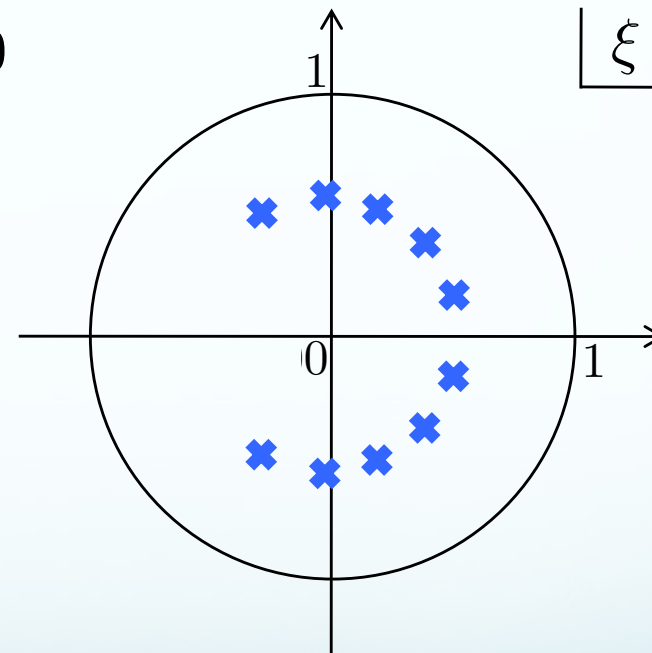
# Lee-Yang Zeros

Zeros of  $Z_{GC}$  called Lee-Yang Zeros contain a valuable information on the phase transitions of a system.

T.D. Lee & C.N. Yang, Phys. Rev. 87, 404&410 (1952)

$$Z_{GC}(\mu_q, T, V) = \sum_{n=-N_{\max}}^{N_{\max}} Z(n, T, V) \xi^n = 0$$

There are  $2N_{\max}$  LYZs in the complex  $\xi = e^{\mu_q/T}$  plane.



$N_{\max} \sim \text{large}$

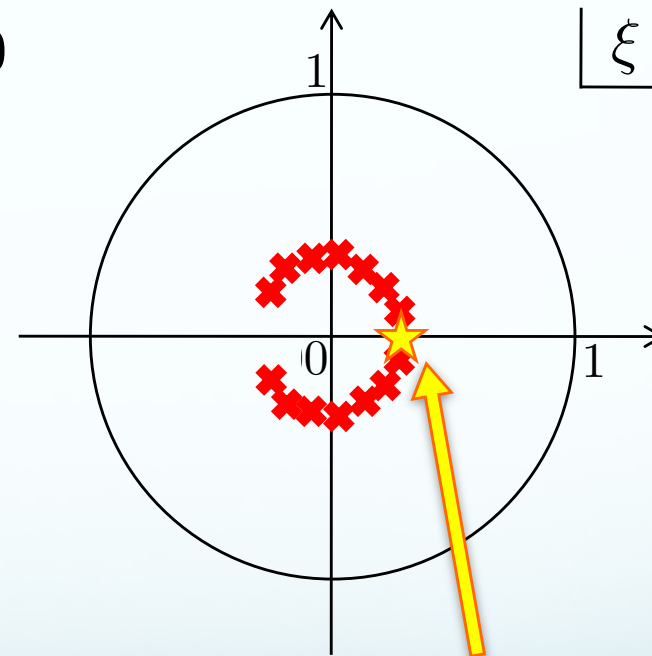
# Lee-Yang Zeros

Zeros of  $Z_{GC}$  called Lee-Yang Zeros contain a valuable information on the phase transitions of a system.

T.D. Lee & C.N. Yang, Phys. Rev. 87, 404&410 (1952)

$$Z_{GC}(\mu_q, T, V) = \sum_{n=-N_{\max}}^{N_{\max}} Z(n, T, V) \xi^n = 0$$

There are  $2N_{\max}$  LYZs in the complex  $\xi = e^{\mu_q/T}$  plane.



**Phase Transition**  
 $N_{\max} \sim \text{infinity}$   
 $(V \sim \text{infinity})$

# Lee-Yang Zeros

Zeros of  $Z_{GC}$  called Lee-Yang Zeros contain a valuable information on the phase transitions of a system.

T.D. Lee & C.N. Yang, Phys. Rev. 87, 404&410 (1952)

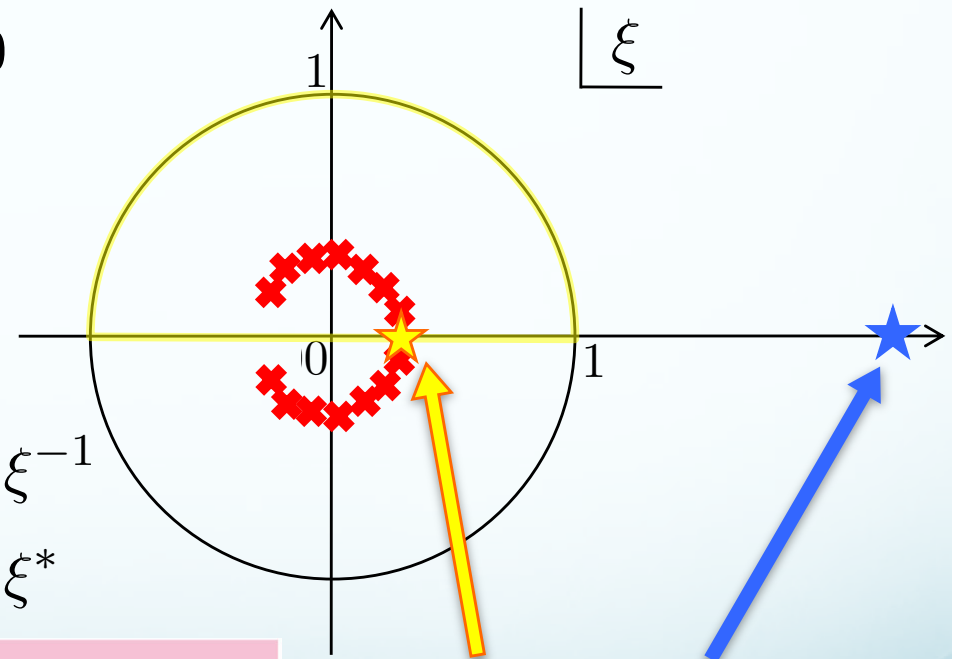
$$Z_{GC}(\mu_q, T, V) = \sum_{n=-N_{\max}}^{N_{\max}} Z(n, T, V) \xi^n = 0$$

There are  $2N_{\max}$  LYZs in the complex  $\xi = e^{\mu_q/T}$  plane.

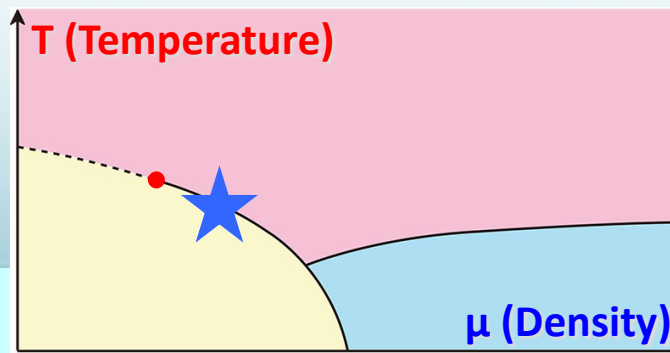
## Z(n) properties

$$Z(n, T, V) = Z(-n, T, V) \quad \longrightarrow \quad \xi \leftrightarrow \xi^{-1}$$

$$Z(n, T, V) : \text{Real values} \quad \longrightarrow \quad \xi \leftrightarrow \xi^*$$



$$\xi = e^{\mu_q/T} = \star$$



**Phase Transition**  
 $N_{\max} \sim \text{infinity}$   
 $(V \sim \text{infinity})$



# Outline

## Lattice QCD

$$n_q(\mu_q = i\mu_{qI}, T, V)$$

Integration method

V. Bornyakov et al., PRD95(2017)

$$\frac{n_q}{T^3} = \frac{1}{VT^2} \frac{\partial}{\partial \mu_q} \ln Z_{GC}$$

$$Z_{GC}(\mu_q = i\mu_{qI}, T, V)$$

Fourier transformation

$$Z(n, T, V)$$

$$Z_{GC}(\mu_q, T, V) = \sum_{n=-N_{\max}}^{N_{\max}} Z(n, T, V) \xi^n$$

$$\xi = e^{\mu_q/T}$$

Lee-Yang zeros

Phase transition point

# Contents

## 1. Canonical Approach

## 2. Lee-Yang zeros (LYZs)

## 3. Lattice QCD Results

MW, V.G. Bornyakov, D.L. Boyda, V.A. Goy, H. Iida, A.V. Molochkov, A. Nakamura, V.I. Zakharov, PLB793, 227 (2019)

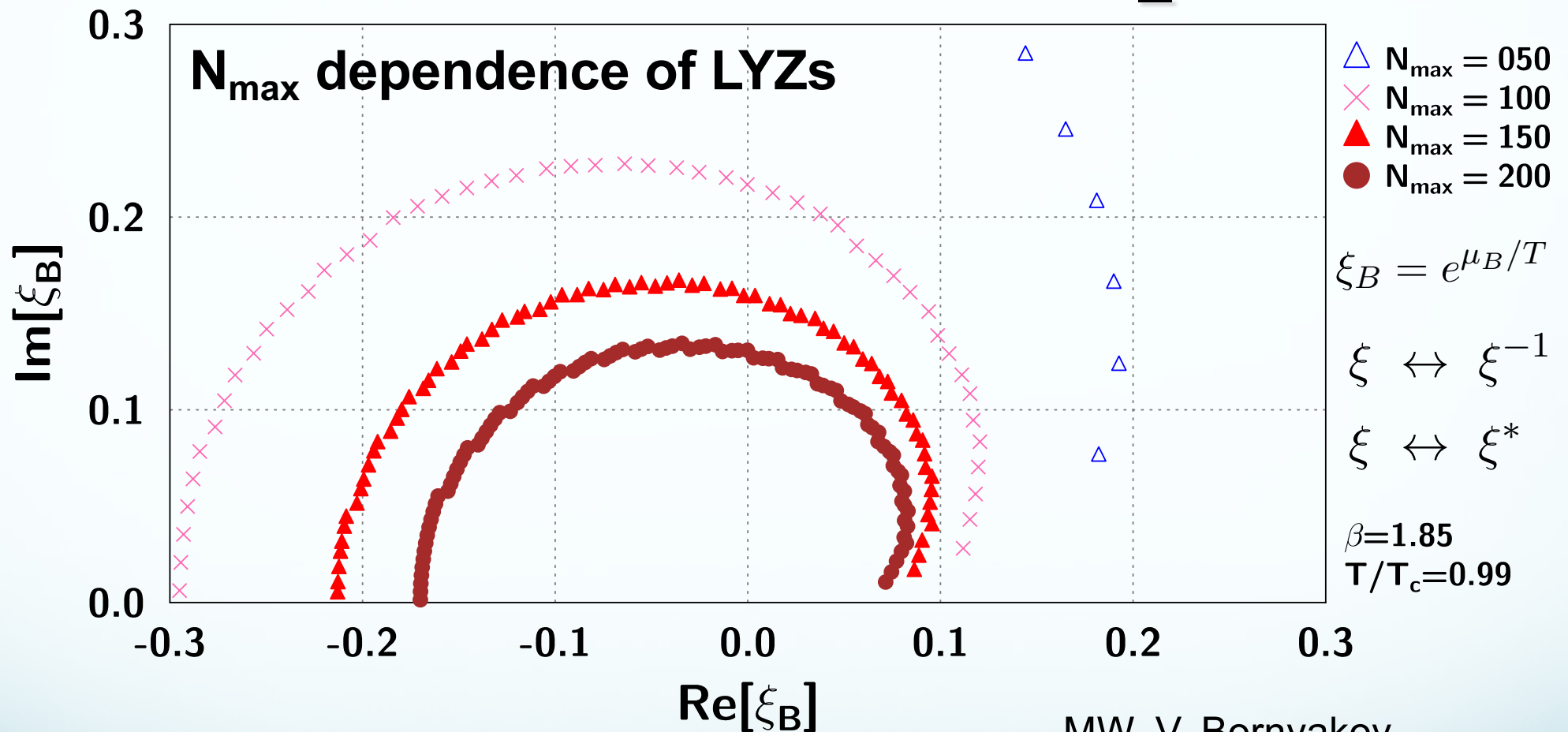
## 4. Study of the NJL model

MW, A. Hosaka, PLB795, 548 (2019)

## 5. Study of the PNJL model and Progress of Recent Lattice QCD Calculations

## 6. Summary & Future works

# Lattice QCD Results ( $T/T_c=0.99$ )

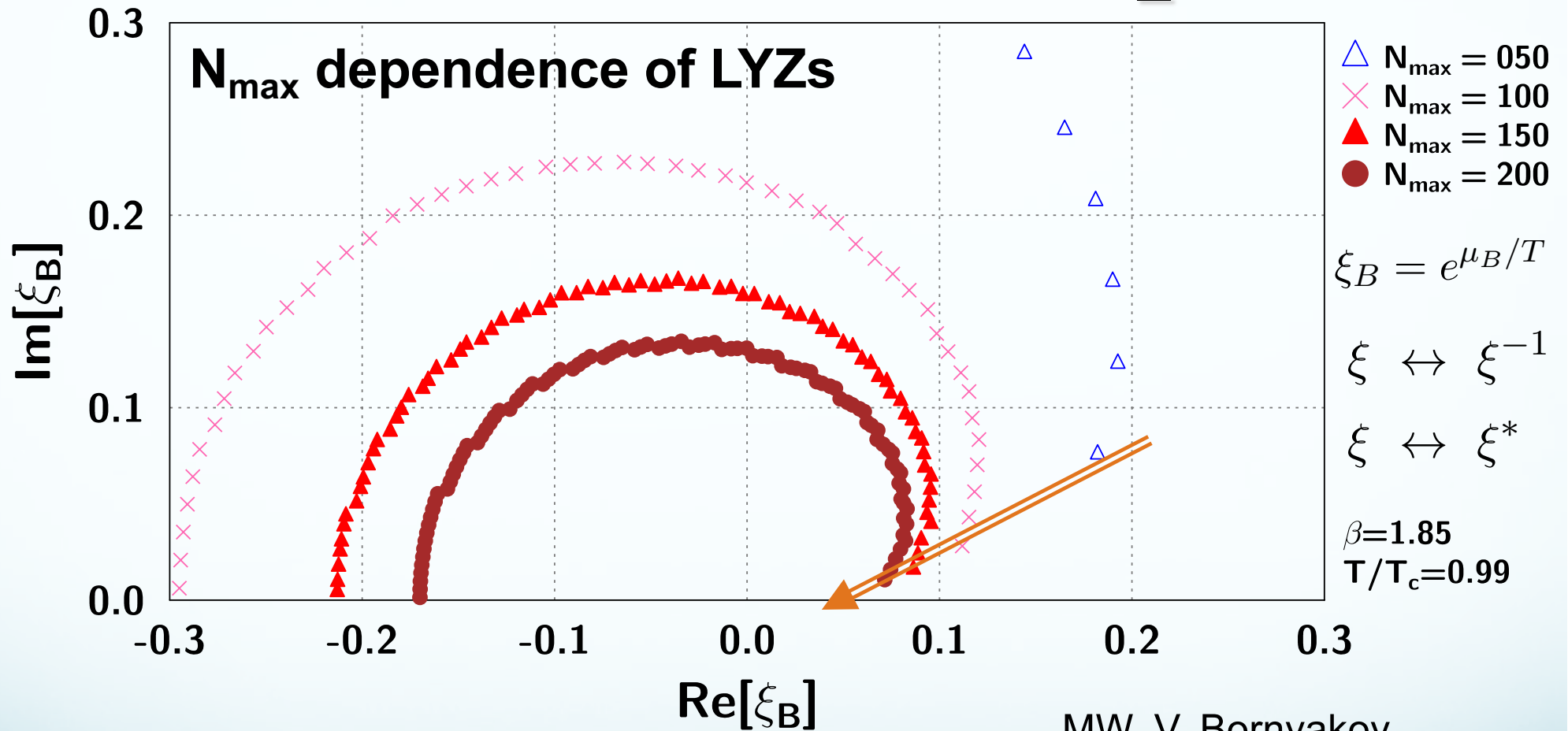


**As  $N_{\max}$  increases, right edges of LYZs approach to the real positive axis.**

**Phase transition point:  $\mu_B/T \sim 3-3.5$  ?**

MW, V. Bornyakov,  
 D. Boyda, V. Goy,  
 H. Iida, A. Molochkov,  
 A. Nakamura, V. Zakharov,  
 PLB793, 227 (2019)

# Lattice QCD Results ( $T/T_c=0.99$ )



**As  $N_{\max}$  increases, right edges of LYZs approach to the real positive axis.**

**Phase transition point:  $\mu_B/T \sim 3-3.5$  ?**

MW, V. Bornyakov,  
 D. Boyda, V. Goy,  
 H. Iida, A. Molochkov,  
 A. Nakamura, V. Zakharov,  
 PLB793, 227 (2019)

# Outline

## NJL model

$$n_q(\mu_q = i\mu_{qI}, T)$$

## Lattice QCD

$$n_q(\mu_q = i\mu_{qI}, T, V)$$

Integration method  
V. Bornyakov et al., PRD95(2017)

$$\frac{n_q}{T^3} = \frac{1}{VT^2} \frac{\partial}{\partial \mu_q} \ln Z_{GC}$$

$$Z_{GC}(\mu_q = i\mu_{qI}, T, V)$$

We can check whether the canonical approach works well or not from the NJL model.

Fourier transformation

$$Z(n, T, V)$$

$$Z_{GC}(\mu_q, T, V) = \sum_{n=-N_{\max}}^{N_{\max}} Z(n, T, V) \xi^n$$

$$\xi = e^{\mu_q/T}$$

## NJL model

$$n_q(\mu_q, T)$$

Lee-Yang zeros

Phase transition point

# Contents

## 1. Canonical Approach

## 2. Lee-Yang zeros (LYZs)

## 3. Lattice QCD Results

MW, V.G. Bornyakov, D.L. Boyda, V.A. Goy, H. Iida, A.V. Molochkov, A. Nakamura, V.I. Zakharov, PLB793, 227 (2019)

## 4. Study of the NJL model

MW, A. Hosaka, PLB795, 548 (2019)

## 5. Study of the PNJL model and Progress of Recent Lattice QCD Calculations

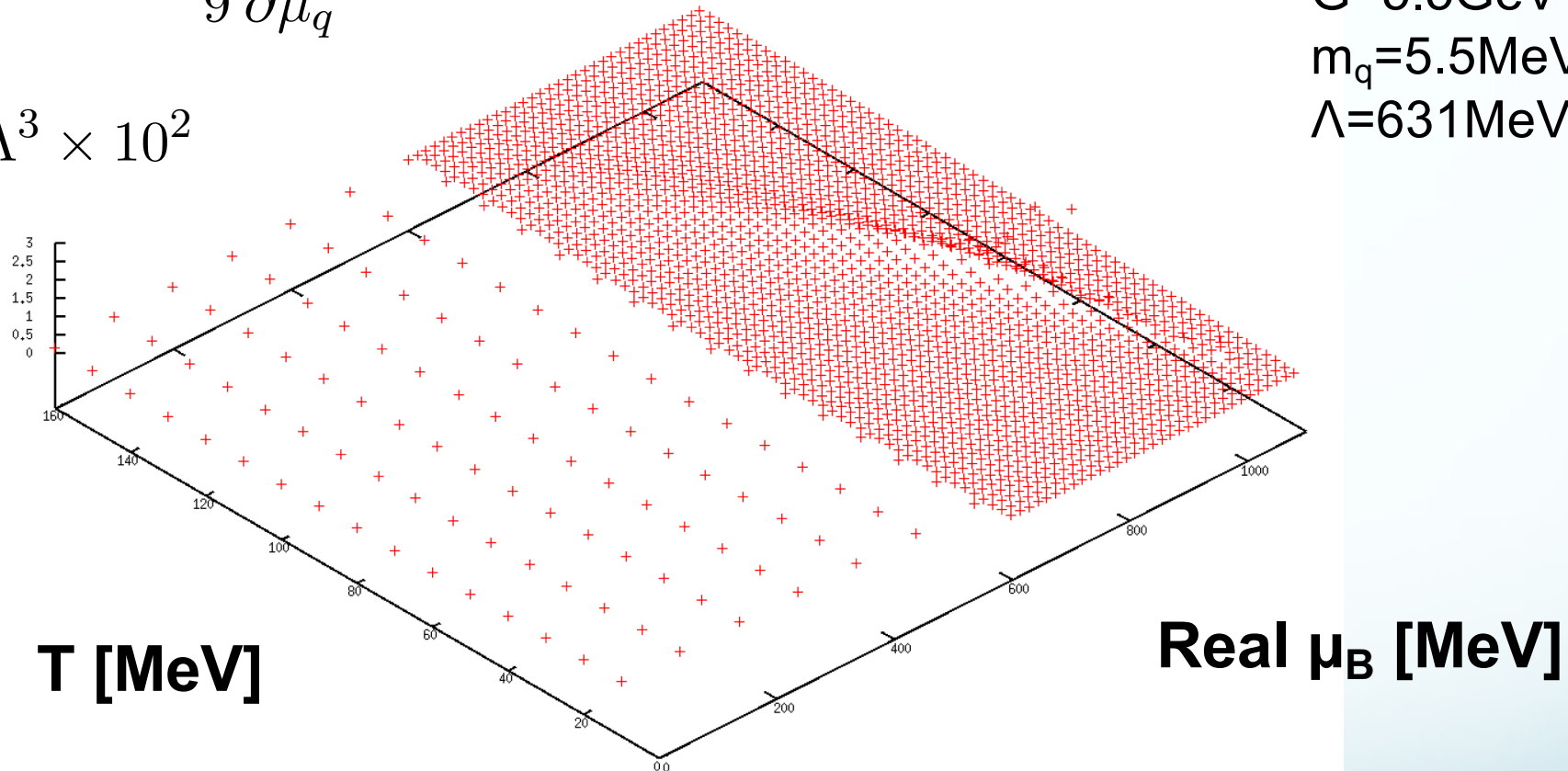
## 6. Summary & Future works

# Susceptibility in the NJL model

$$\chi_B = \frac{1}{9} \frac{\partial n_q}{\partial \mu_q}$$

$$\chi_B T / \Lambda^3 \times 10^2$$

"out\_Tmu\_chi" u (\$1):(\$2):(\$3\*100) + Two-flavor NJL  
G=5.5GeV<sup>-2</sup>  
m<sub>q</sub>=5.5MeV  
Λ=631MeV



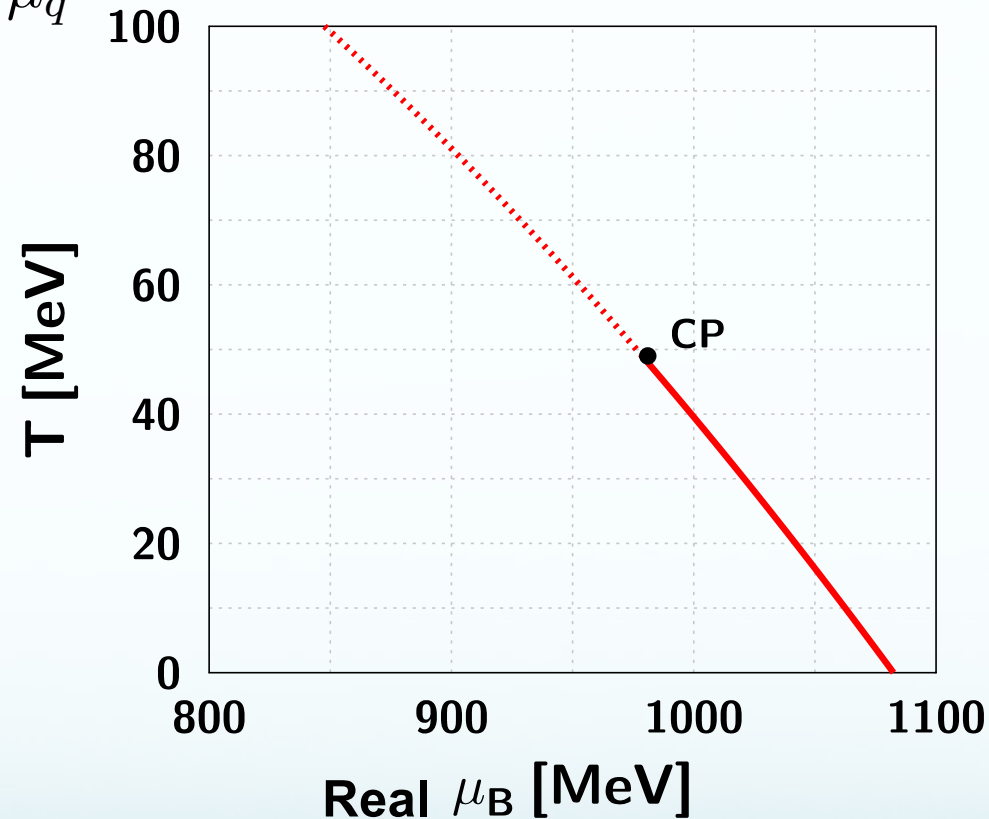
**Critical Point:  $(T, \mu_B) \sim (49, 981)$  [MeV]**

# Phase transition of the NJL model

$$\chi_B = \frac{1}{9} \frac{\partial n_q}{\partial \mu_q}$$

$$\chi_B T / \Lambda^3 \times 10^2$$

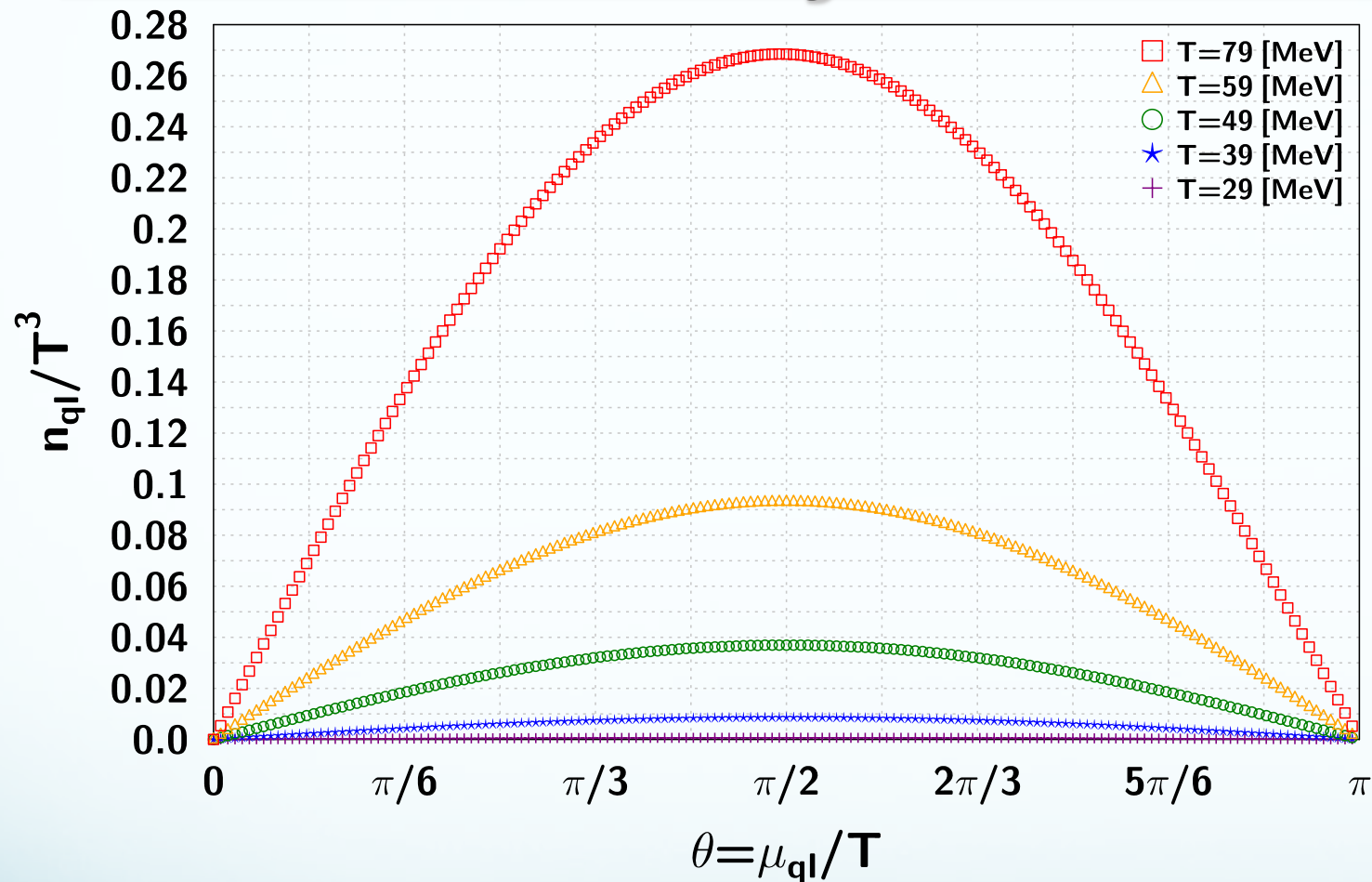
Two-flavor NJL  
 $G=5.5\text{GeV}^{-2}$   
 $m_q=5.5\text{MeV}$   
 $\Lambda=631\text{MeV}$



**Critical Point:  $(T, \mu_B) \sim (49, 981)$  [MeV]**



# Number density in the NJL model



Number density

$$\frac{n_q}{T^3} = \frac{1}{VT^2} \frac{\partial}{\partial \mu_q} \ln Z_{GC}$$

$$n_q = i n_{qI}$$

$$\mu_q = i \mu_{qI}$$

Number density integration method (V. Bornyakov et al., PRD95(2017))

$$\frac{n_q}{T^3} = \frac{1}{VT^2} \frac{\partial}{\partial \mu_q} \ln Z_{GC} \sim \sum_{k=1}^{N_{\text{sin}}} f_k \sin(k\theta)$$

**We fit the number density as it was done in the lattice simulations.**

# Outline

## NJL model

$$n_q(\mu_q = i\mu_{qI}, T)$$

## Lattice QCD

$$n_q(\mu_q = i\mu_{qI}, T, V)$$

Integration method

V. Bornyakov et al., PRD95(2017)

$$\frac{n_q}{T^3} = \frac{1}{VT^2} \frac{\partial}{\partial \mu_q} \ln Z_{GC}$$

$$Z_{GC}(\mu_q = i\mu_{qI}, T, V)$$

Fourier transformation

$$Z(n, T, V)$$

We can check whether the canonical approach works well or not from the NJL model.

$$Z_{GC}(\mu_q, T, V) = \sum_{n=-N_{\max}}^{N_{\max}} Z(n, T, V) \xi^n$$

$$\xi = e^{\mu_q/T}$$

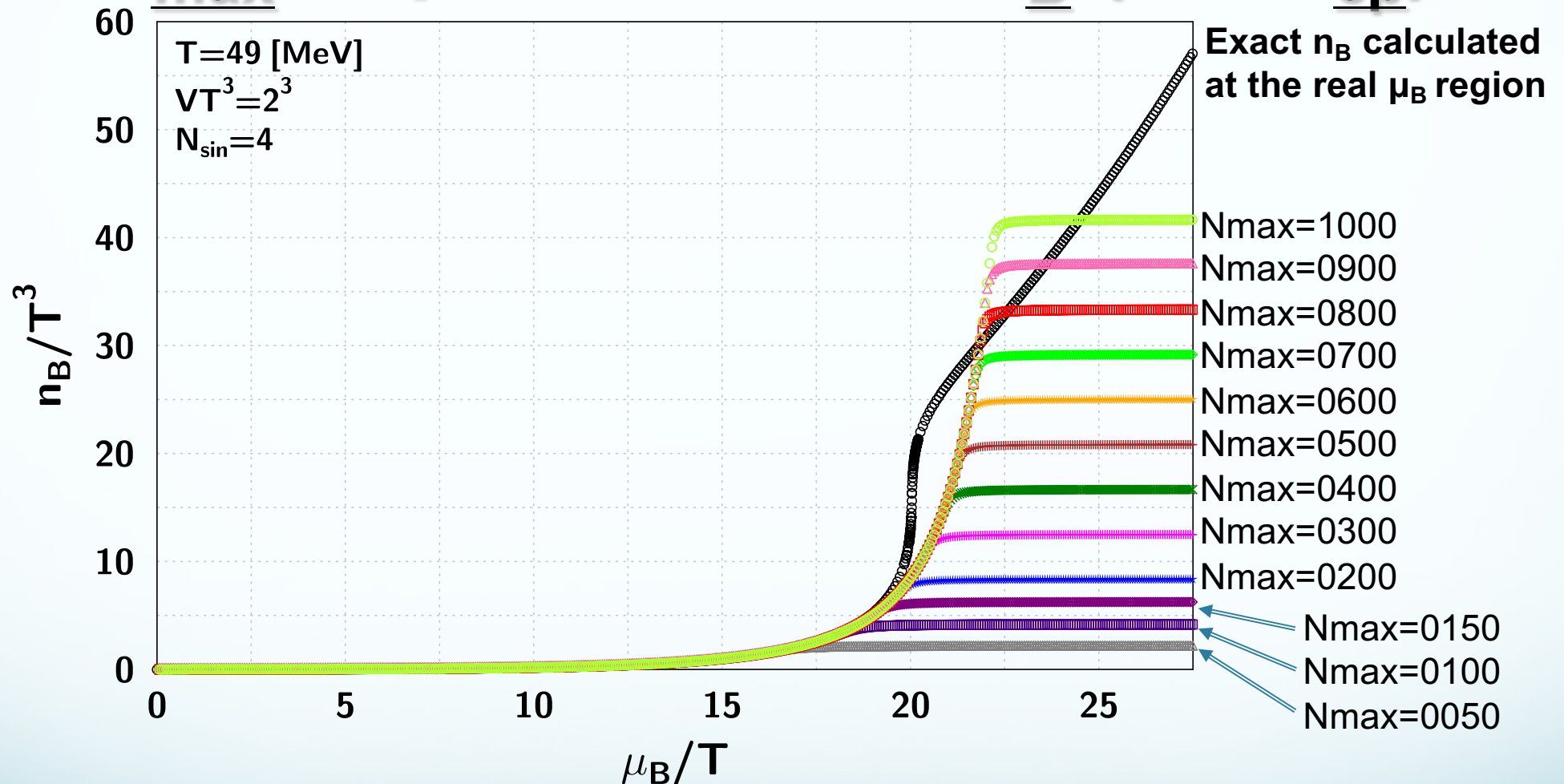
## NJL model

$$n_q(\mu_q, T)$$

Lee-Yang zeros

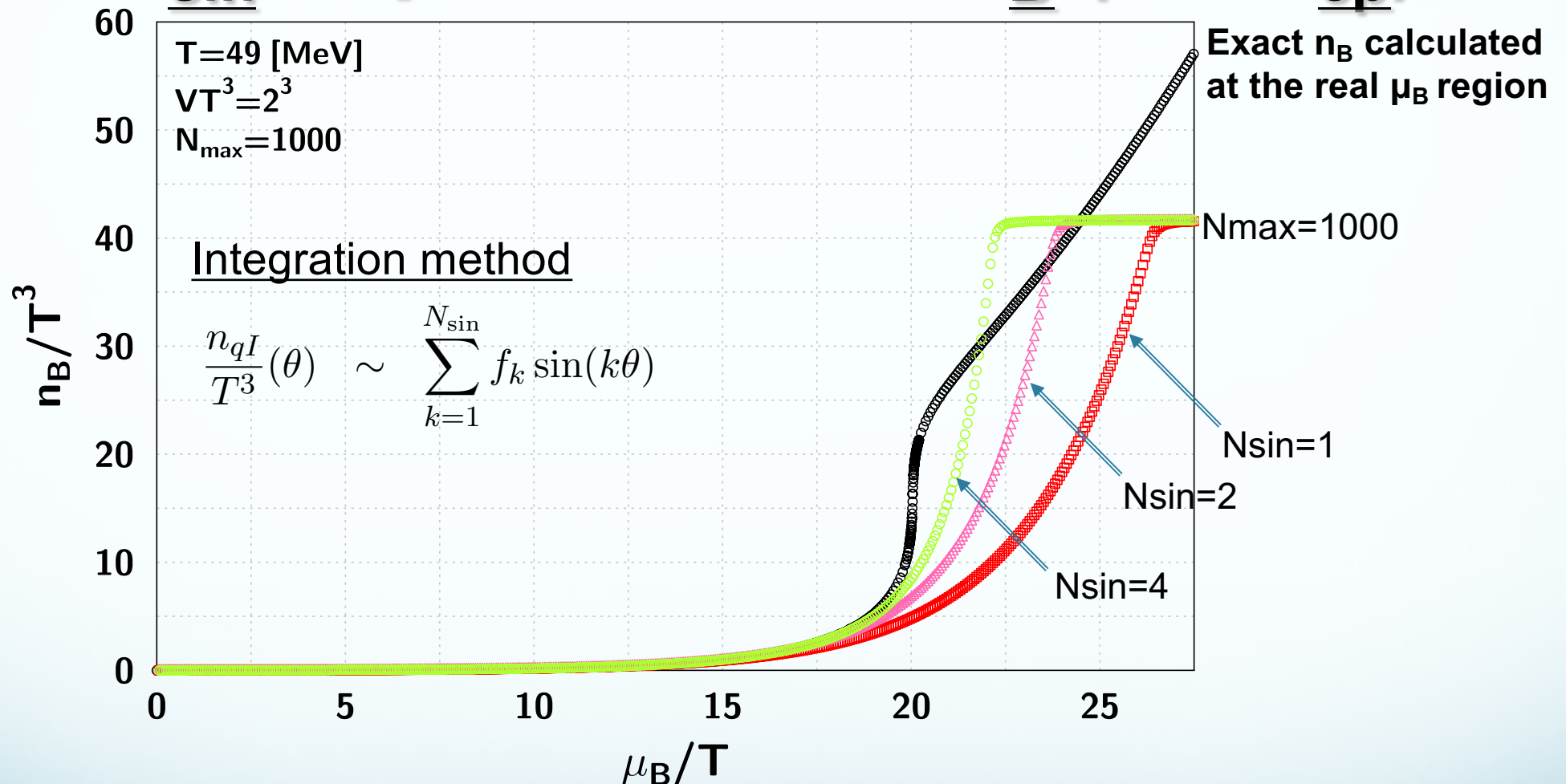
Phase transition point

# $N_{\max}$ dependence of $n_B$ ( $T = T_{cp}$ )



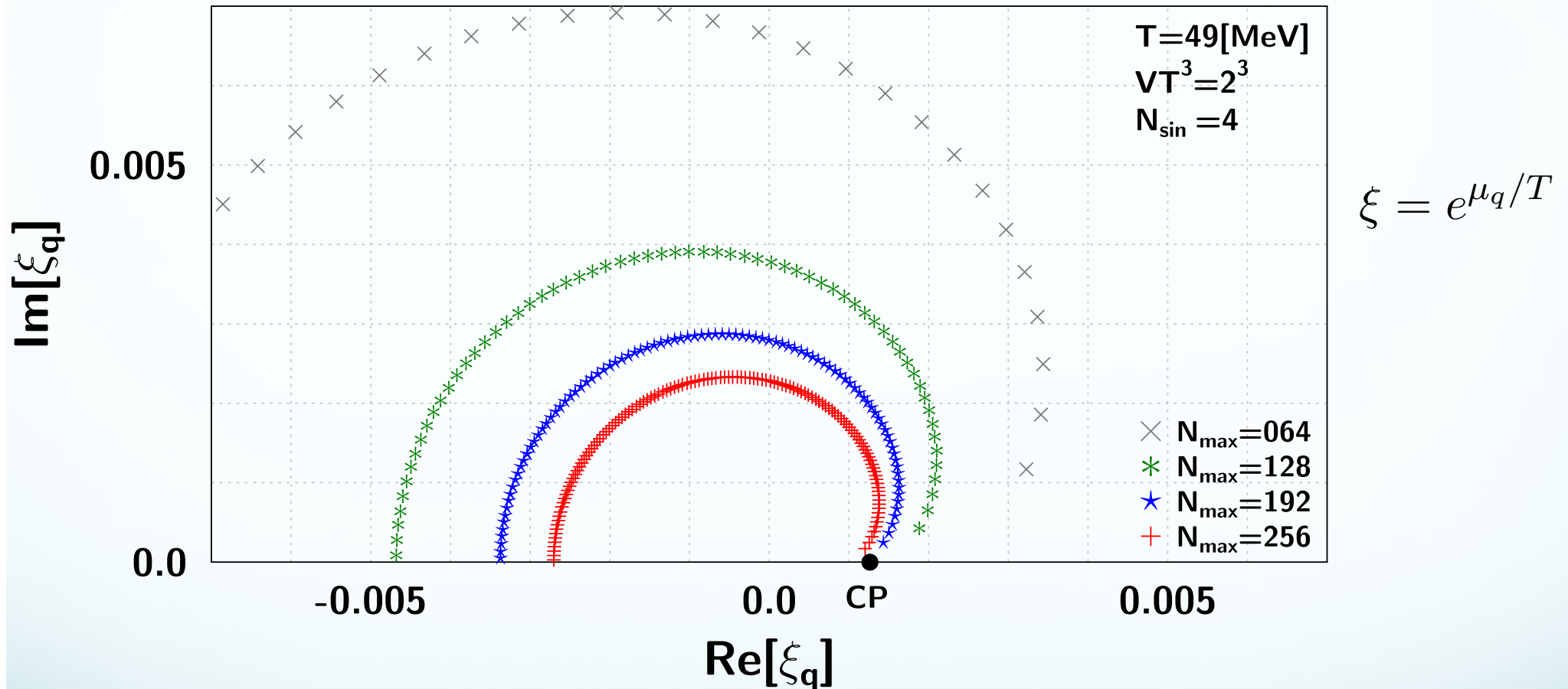
Under the phase transition density, exact  $n_B$  calculated at the real  $\mu_B$  region can be reconstructed from the results of the canonical approach of  $N_{\max} \geq 200$ .

# $N_{\text{sin}}$ dependence of $n_B$ ( $T = T_{\text{cp}}$ )



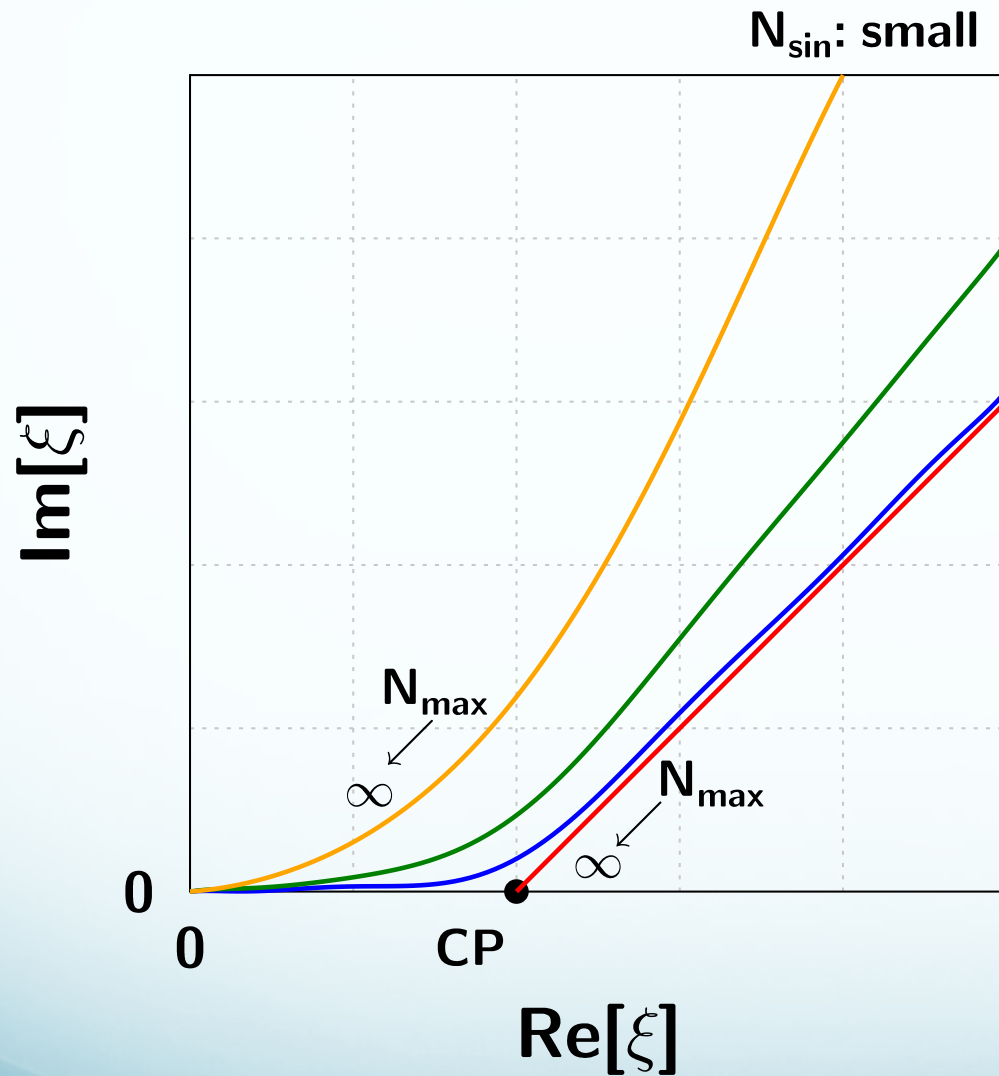
As  $N_{\text{sin}}$  increases, the difference around the phase transition point becomes small.

# $N_{\max}$ dependence ( $T = T_{\text{cp}}$ )



As  $N_{\max}$  increases, edges of LYZs approach to the real axis.  
But we find that for the finite  $N_{\text{sin}}$ , edges of LYZs pass over the expected CP.

# Schematic flows of the edges of LYZs



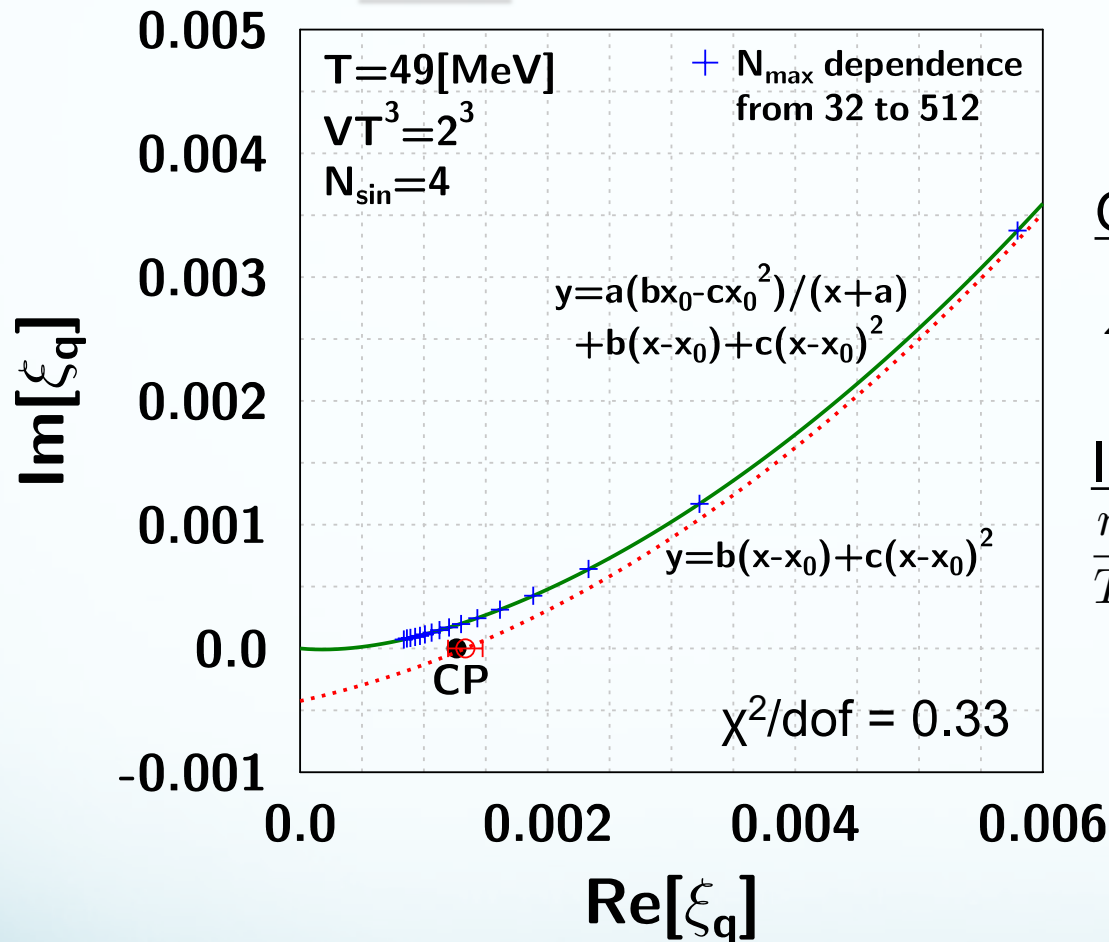
Grand canonical partition function

$$Z_{\text{GC}}(\mu_q, T, V) = \sum_{n=-N_{\text{max}}}^{N_{\text{max}}} Z(n, T, V) \xi^n$$

Integration method

$$\frac{n_q I}{T^3}(\theta) \sim \sum_{k=1}^{N_{\text{sin}}} f_k \sin(k\theta)$$

# N<sub>max</sub> dependence (T = T<sub>cp</sub>)



$$\xi = e^{\mu_q/T}$$

Grand canonical partition function

$$Z_{\text{GC}}(\mu_q, T, V) = \sum_{n=-N_{\text{max}}}^{N_{\text{max}}} Z(n, T, V) \xi^n$$

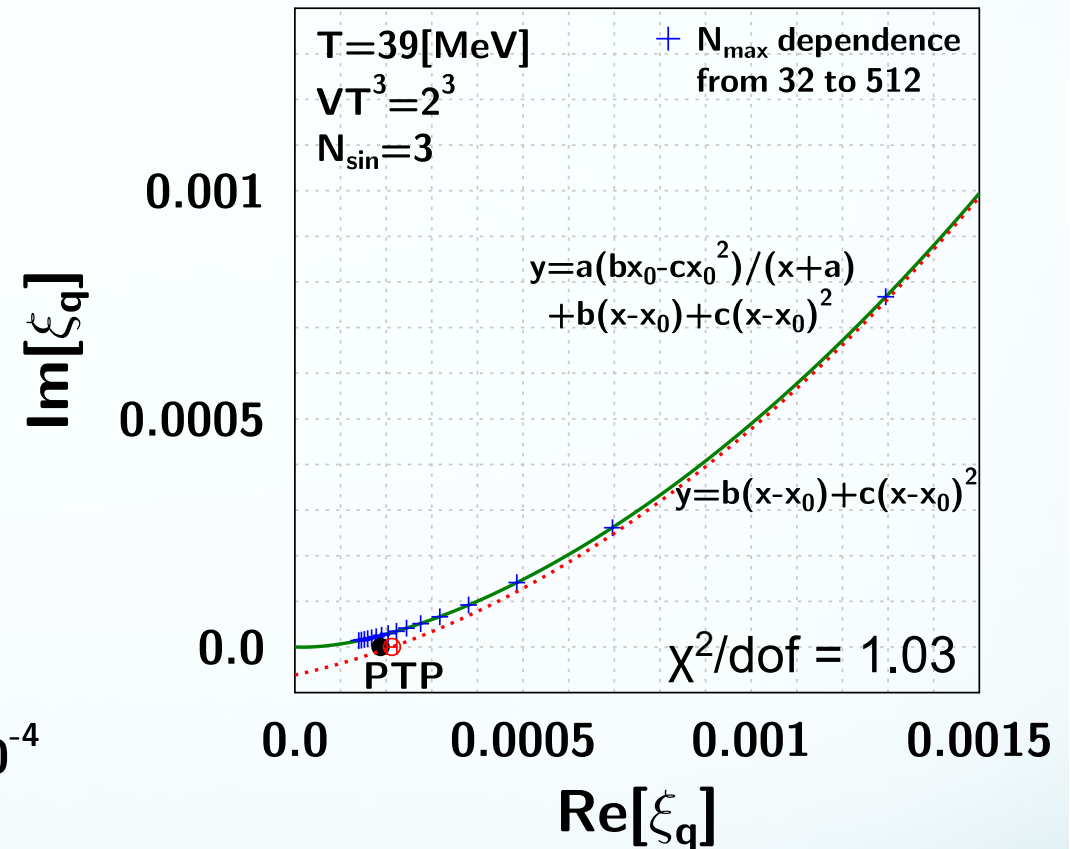
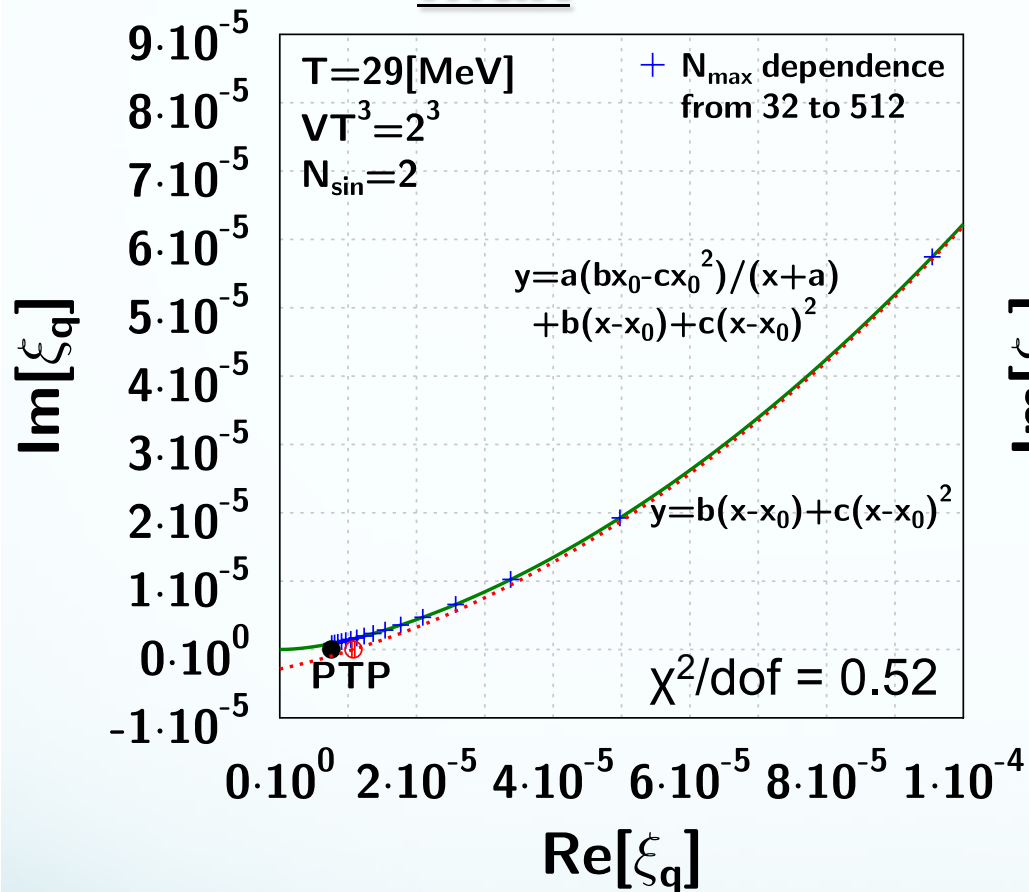
Integration method

$$\frac{n_q}{T^3} = \frac{1}{VT^2} \frac{\partial}{\partial \mu_q} \ln Z_{\text{GC}} \sim \sum_{k=1}^{N_{\text{sin}}} f_k \sin(k\theta)$$

M.W., A. Hosaka,  
 PLB975, 548 (2019)

We have succeeded in subtracting the first term associated with the finite  $N_{\text{sin}}$  effect from the fitted function. The resulting curve represented the dotted curve nicely reproduces the expected CP in the NJL model.

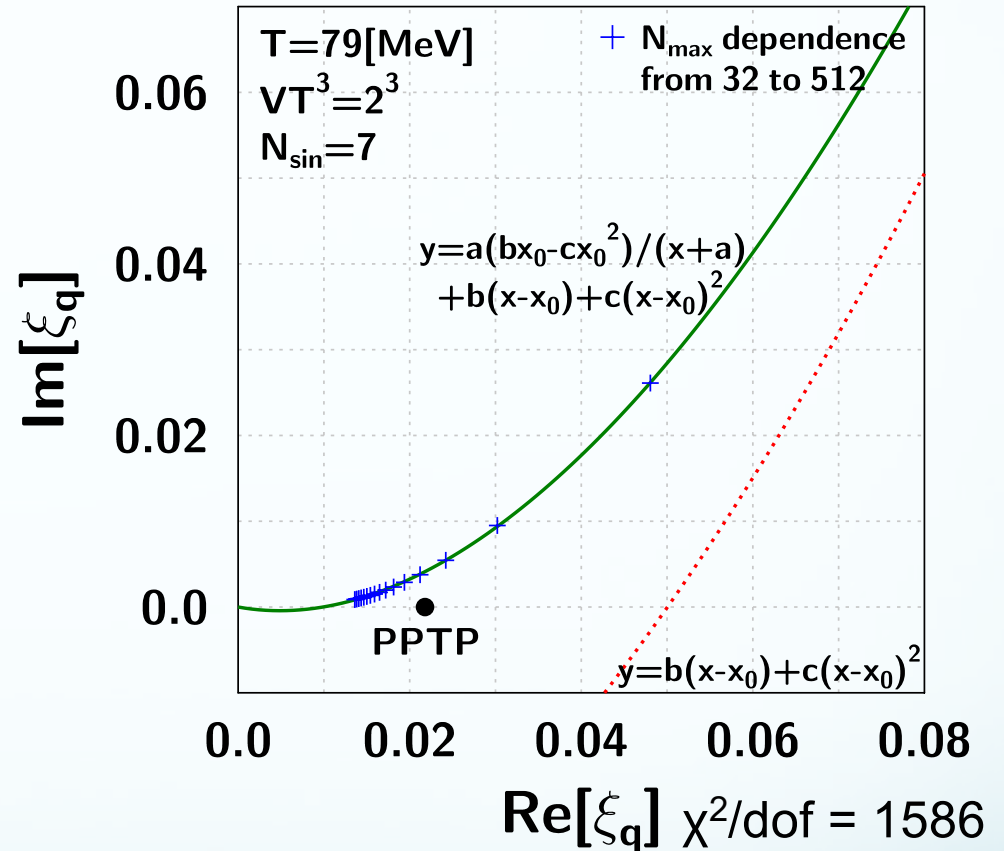
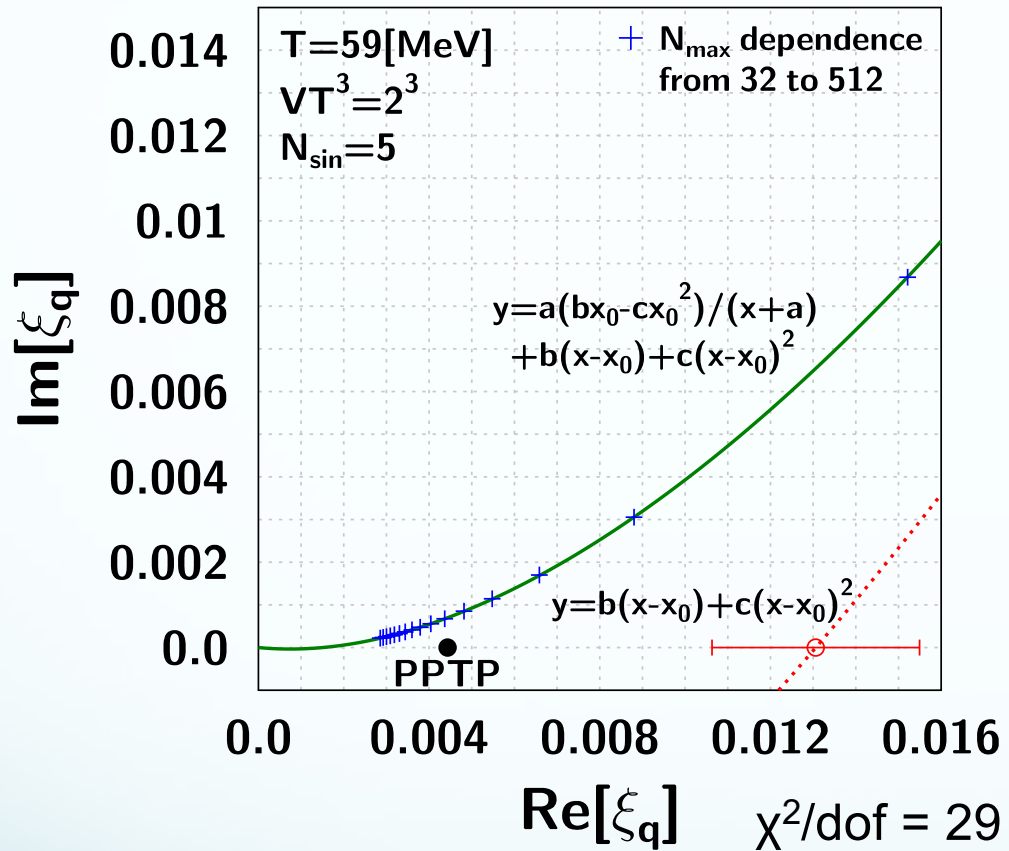
# $N_{\max}$ dependence ( $T < T_{cp}$ )



**This extrapolation procedure works well to obtain the expected phase transition points (PTP).**



# $N_{\max}$ dependence ( $T > T_{cp}$ )



Our results are different from the pseudo phase transition points (PPTP), which is consistent with the disappearance of PTP.

# Contents

## 1. Canonical Approach

## 2. Lee-Yang zeros (LYZs)

## 3. Lattice QCD Results

MW, V.G. Bornyakov, D.L. Boyda, V.A. Goy, H. Iida, A.V. Molochkov, A. Nakamura, V.I. Zakharov, PLB793, 227 (2019)

## 4. Study of the NJL model

MW, A. Hosaka, PLB795, 548 (2019)

## 5. Study of the PNJL model and Progress of Recent Lattice QCD Calculations

## 6. Summary & Future works

# $N_{\max}$ dependence ( $T < T_{cp}$ ) in the PNJL model

**Preliminaries**

$$y = \frac{b(cx_0 - dx_0^2)}{x + b} + c(x - x_0) + d(x - x_0)^2$$

$$y = c(x - x_0) + d(x - x_0)^2$$

**Zoom**

**The result and the expected PTP differ by about  $O(10)$ .  
There is room for improvement on the fitted function.**

# Progress of recent LQCD calculations

## Previous LQCD study

FEFU group, PLB793, 227 (2019)

- Clover fermion action
- Iwasaki gauge action
- Lattice size: 4x16x16x16
- $m_\pi/m_\rho \sim 0.80$  ( $m_\pi \sim 0.7\text{GeV}$ )
- $T/T_c = 0.84(4), 0.93(5), 0.99(5), 1.08(5), 1.20(6), 1.35(7)$
- # of  $\mu_l$ : 20-40 points
- 1800-3800 conf.

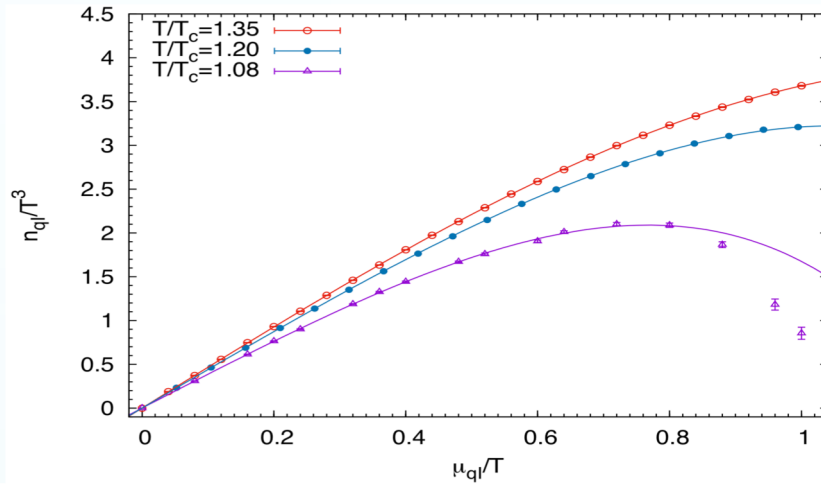
## More Realistic LQCD study

- Clover fermion action
- Iwasaki gauge action
- Lattice size: 4x**24x24x24**
- $m_\pi/m_\rho \sim$  **0.42** ( $m_\pi \sim 0.33\text{GeV}$ )
- $T/T_c \sim 0.95, 1.1$   
( $T_c = 168(10)\text{MeV}$ )
- # of  $\mu_l$ : 20 points
- 200, 700 conf. (preliminaries)

The search of lattice parameters ( $\beta, \kappa$ ) so as to be  $m_\pi/m_\rho \sim 0.42$  is done with a 16x16x16x16 lattice, which we regard as zero temperature.

# Progress of recent LQCD calculations

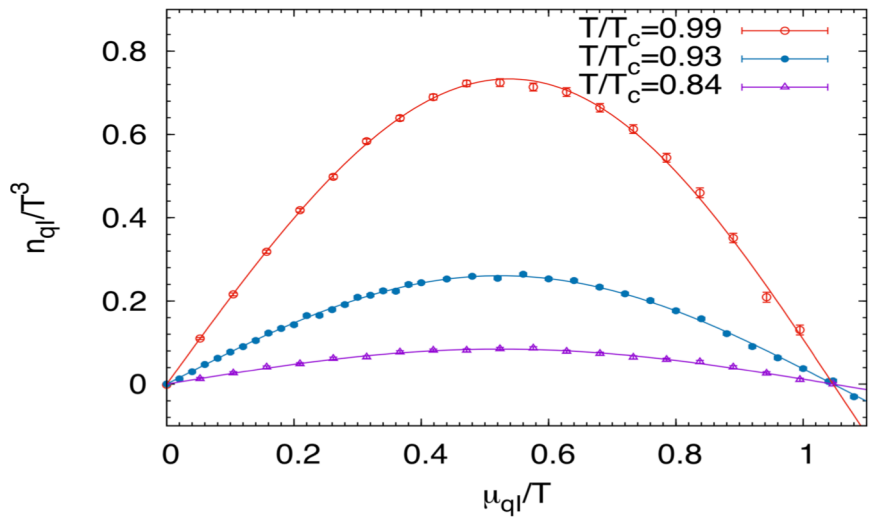
## Previous LQCD study



## More Realistic LQCD study

$T/T_c \sim 1.1$

Preliminaries



$T/T_c \sim 0.95$

# Summary

- We studied Lee-Yang zeros for  $Z_n$  obtained from the canonical approach in lattice QCD and the NJL model.
- The phase transition points can be roughly estimated from lattice QCD.
- We found the reasonable extrapolation procedure of the edge of LYZs in the NJL model.

## Future works

- We search an extrapolation procedure for the PNJL model.
- Realistic lattice QCD calculations and determine the QCD phase transitions