

우도에서

샘
타

Phases of Matter & Collective Excitations (in the eyes of a high energy theorist)





THANKS TO THE ORGANIZERS

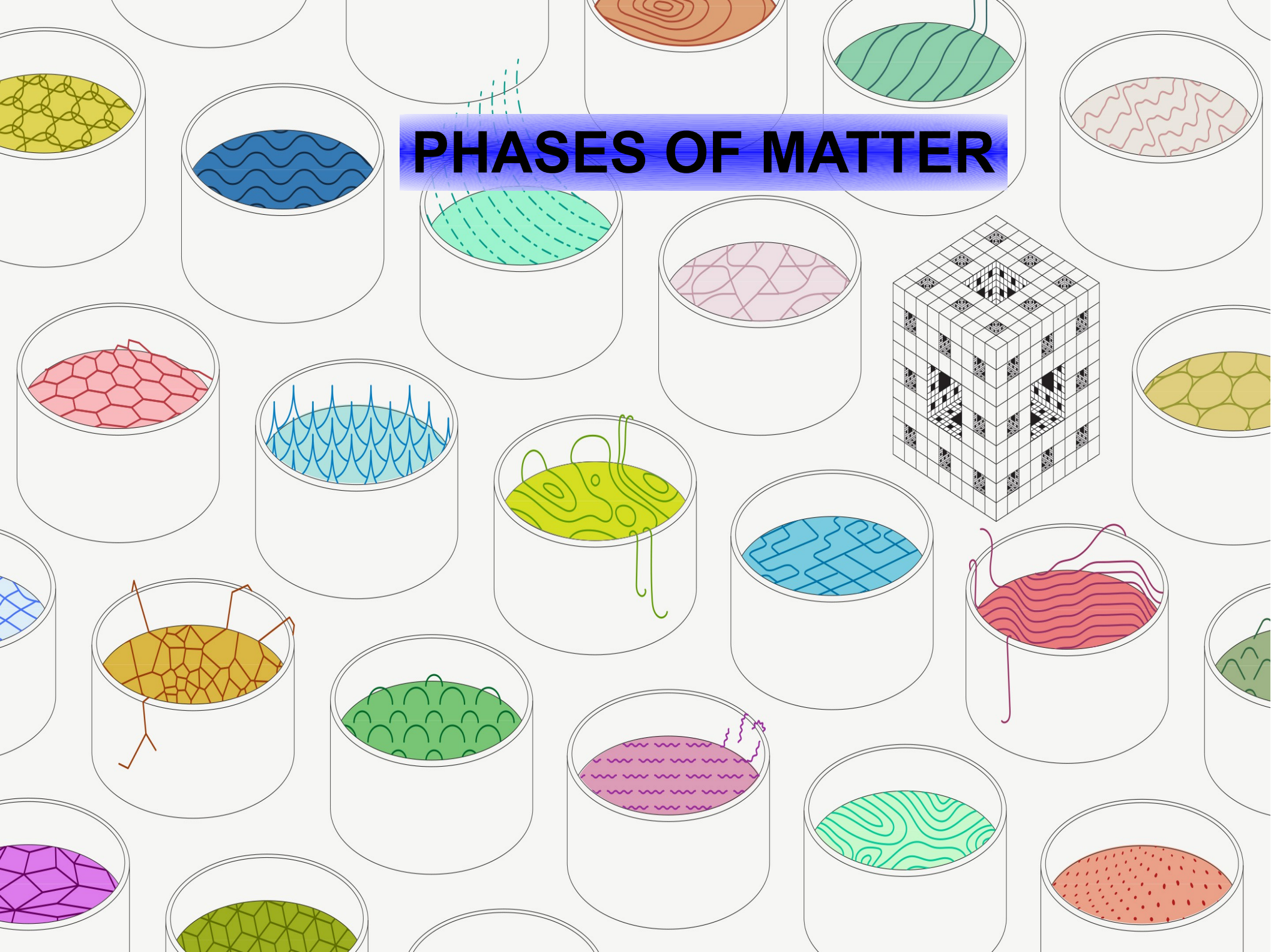
AND TO



APCTP
Asia Pacific Center for Theoretical Physics



PHASES OF MATTER

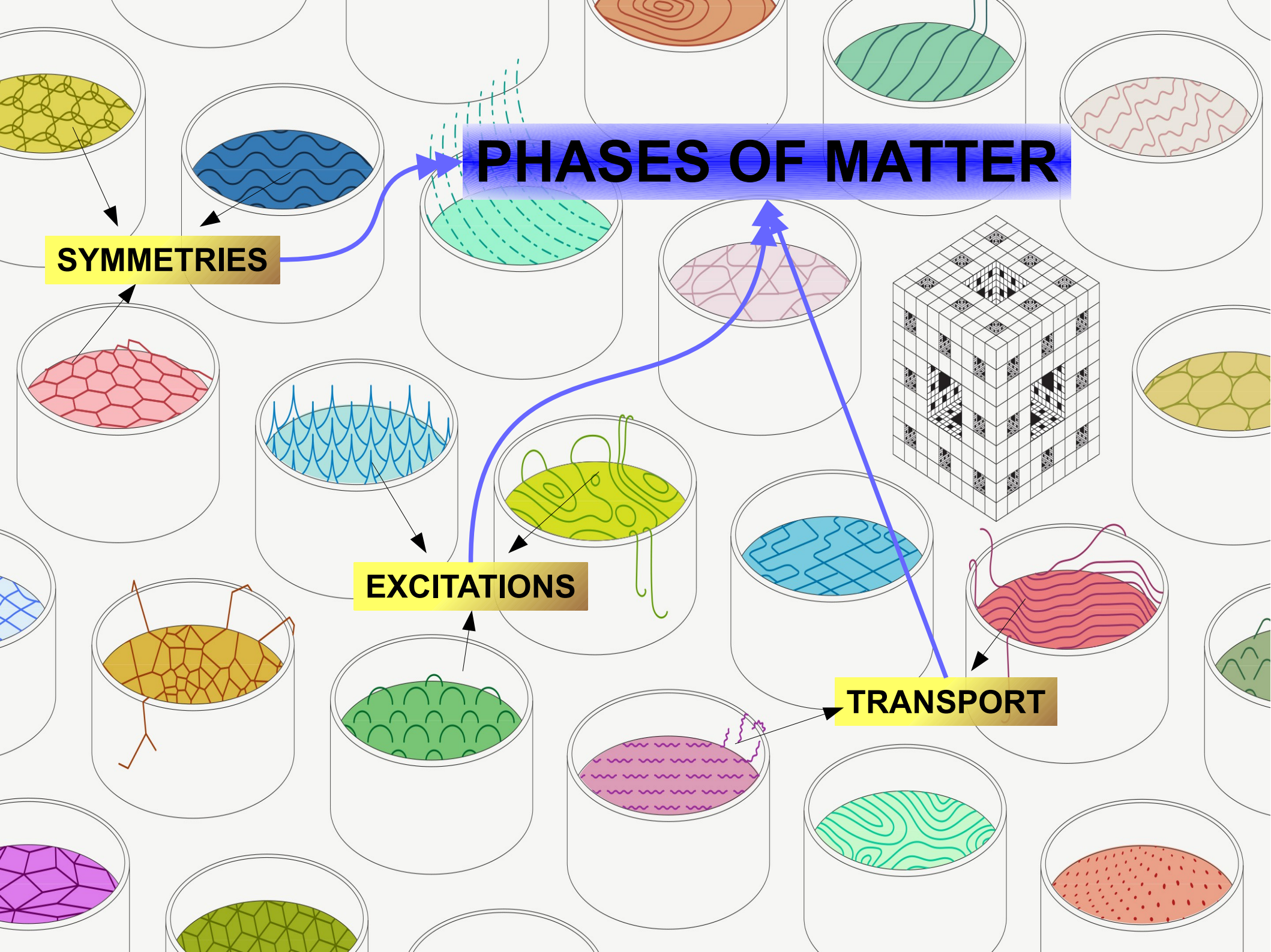


PHASES OF MATTER

SYMMETRIES

EXCITATIONS

TRANSPORT





DISCLAIMER



A LOT OF CONDENSED MATTER COMING !

(+ HOLOGRAPHIC APPLICATIONS, ISSUES, QUESTIONS)

[MB, Arxiv #]

**[I will be sloppy with references, especially mine]
[and with notations ... sorry!]**

LECTURES

1

SOLIDS, LATTICES & SUPERLATTICES

2

LIQUIDS & VISCOELASTICITY

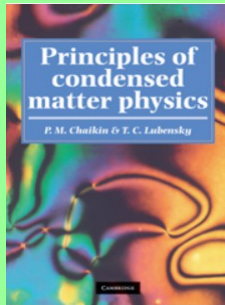
3

**QUASICRYSTALS &
INCOMMENSURATE STRUCTURES**

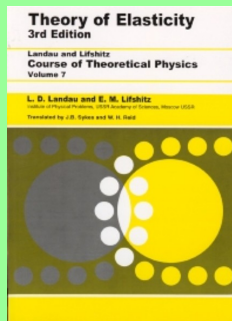


**Part
One**

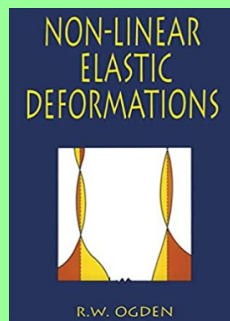
SOLIDS, LATTICES & SUPERLATTICES



*[Principles of Condensed Matter Physics,
Chaikin, Lubensky, Cambridge University Press]*



*[Theory of Elasticity, Volume 7,
Landau- Lifshitz]*



*[Non-Linear Elastic Deformations,
Ogden, Dover Civil and Mechanical Engineering]*

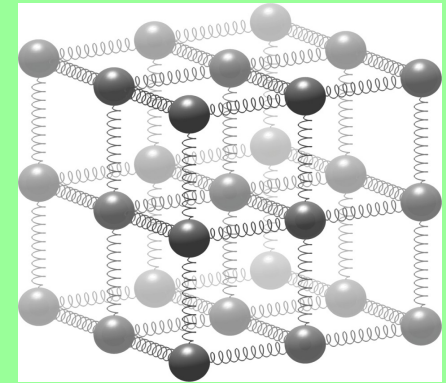
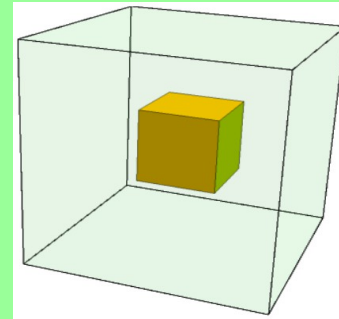
Zoology of condensed matter: Framids, ordinary stuff, extra-ordinary stuff

Alberto Nicolis, Riccardo Penco, Federico Piazza, Riccardo Rattazzi

arXiv:1501.03845

What is a solid ?

- Shape & Volume fixed
- Rigid ----> "elastic"
- Transverse sound (phonons)



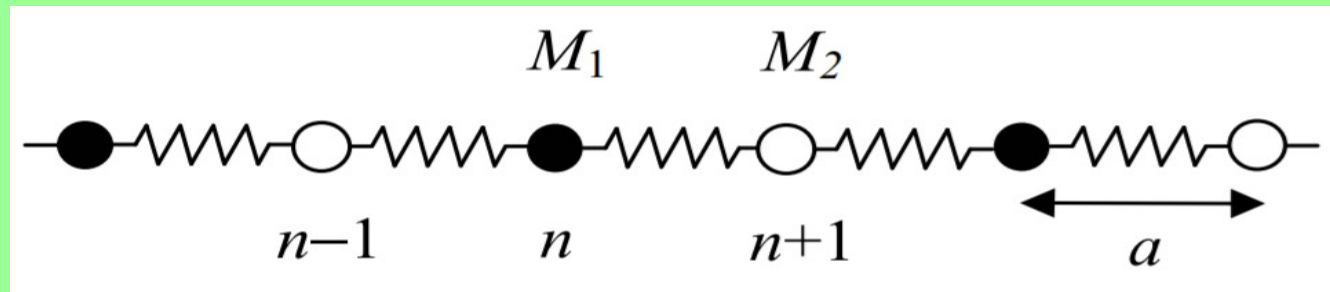
- $g(\omega) \sim \omega^2$: Debye

- $C(T) \sim T^3$: Debye



Phonons from springs

1d chain



$$F_n = C(u_{n+1} - u_n) + C(u_{n-1} - u_n)$$

**Hooke's
law**

$$M_1 \frac{d^2 u_n}{dt^2} = -C(2u_n - u_{n+1} - u_{n-1})$$

$$M_2 \frac{d^2 u_{n+1}}{dt^2} = -C(2u_{n+1} - u_{n+2} - u_n)$$



Phonons from springs

PHONONS :

$$\begin{bmatrix} 2C - M_1\omega^2 & -2C \cos qa \\ -2C \cos qa & 2C - M_2\omega^2 \end{bmatrix} \begin{bmatrix} A_1 \\ A_2 \end{bmatrix} = 0.$$

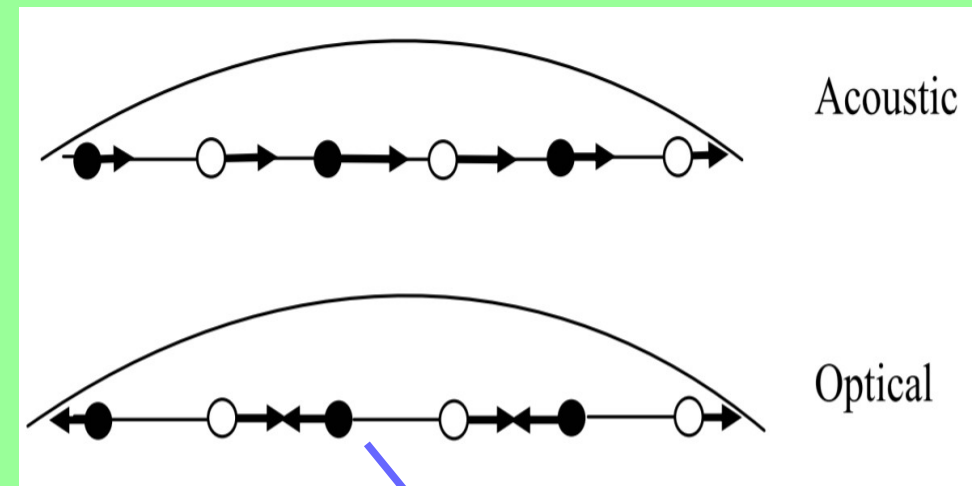
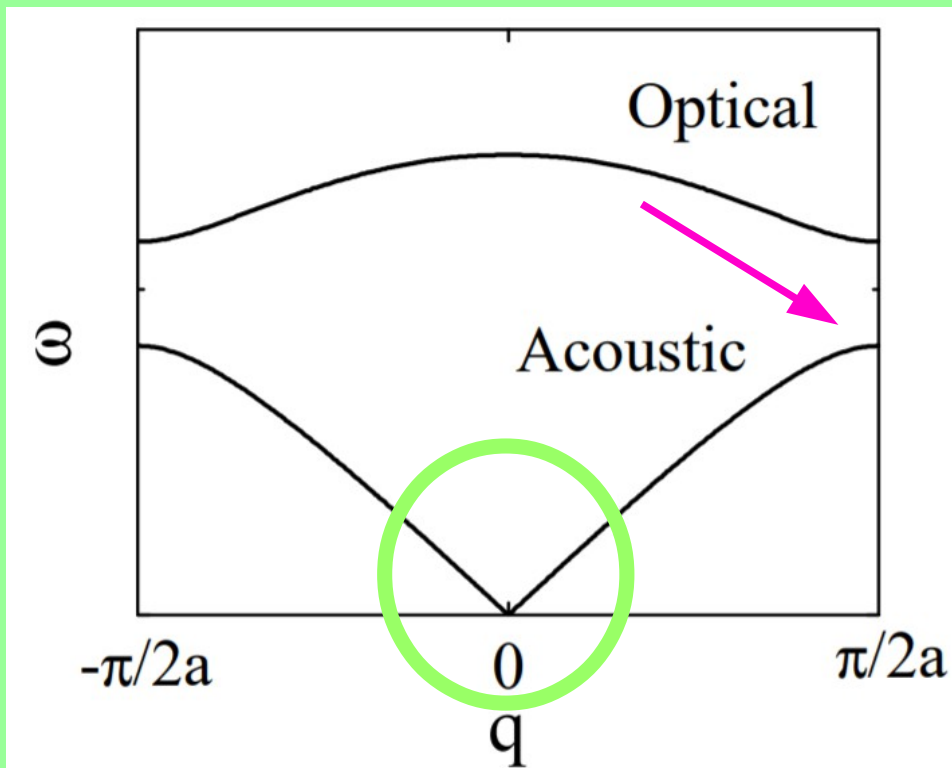


Heat



Sound

$$\omega^2 = C \left(\frac{1}{M_1} + \frac{1}{M_2} \right) \pm C \sqrt{\left(\frac{1}{M_1} + \frac{1}{M_2} \right)^2 - \frac{4 \sin^2 qa}{M_1 M_2}}.$$



$$\omega^2 = \frac{2Ca^2}{M_1 + M_2} q^2 + \dots$$

Vibrational density of states

$$N_{q < k} = \left(\frac{L}{2\pi} \right)^3 \frac{4}{3} \pi k^3 = \frac{V k^3}{6\pi^2}$$

Debye model

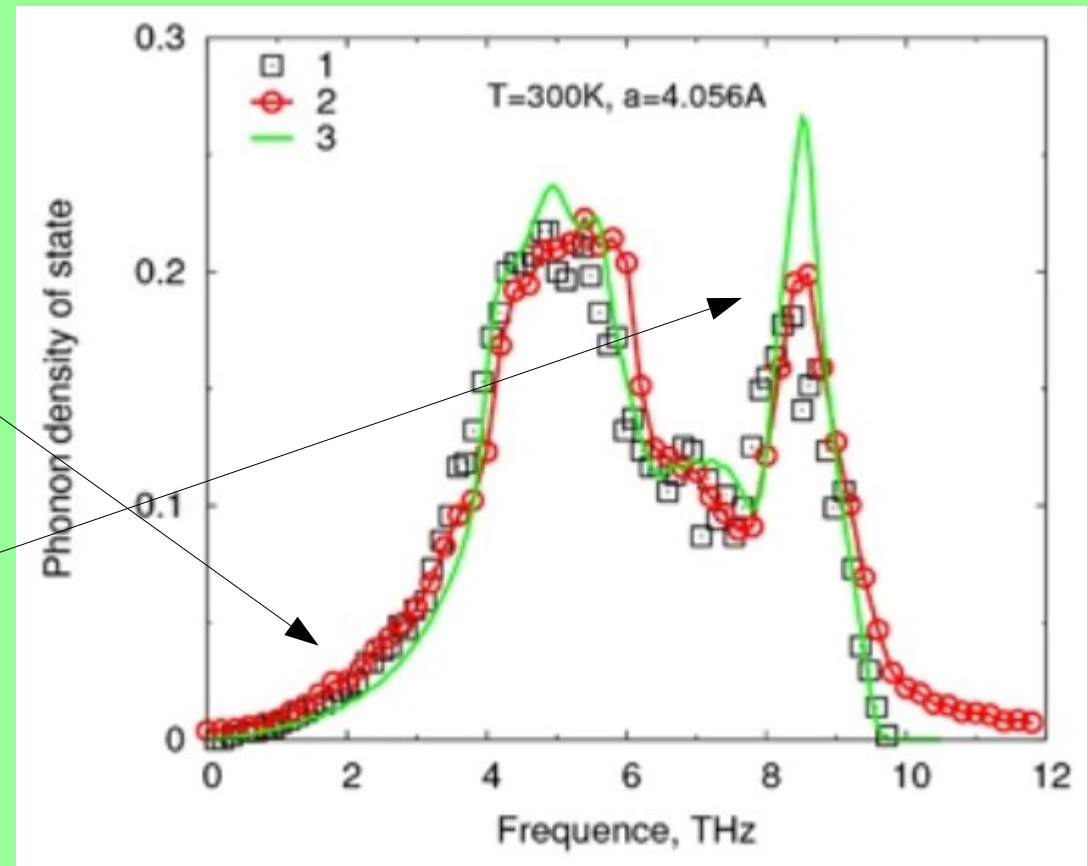
$$g(\omega) d\omega = f(k) dk = \frac{k^2}{2\pi^2} dk$$

$$\omega = v k$$

$$g(\omega) = \frac{1}{2\pi^2 v^3} \omega^2$$

Van- Hove singularities

$$\frac{d\omega}{dk} = 0$$



Specific heat

$$U = 3 \int d\omega g(\omega) \hbar \omega n(\omega)$$

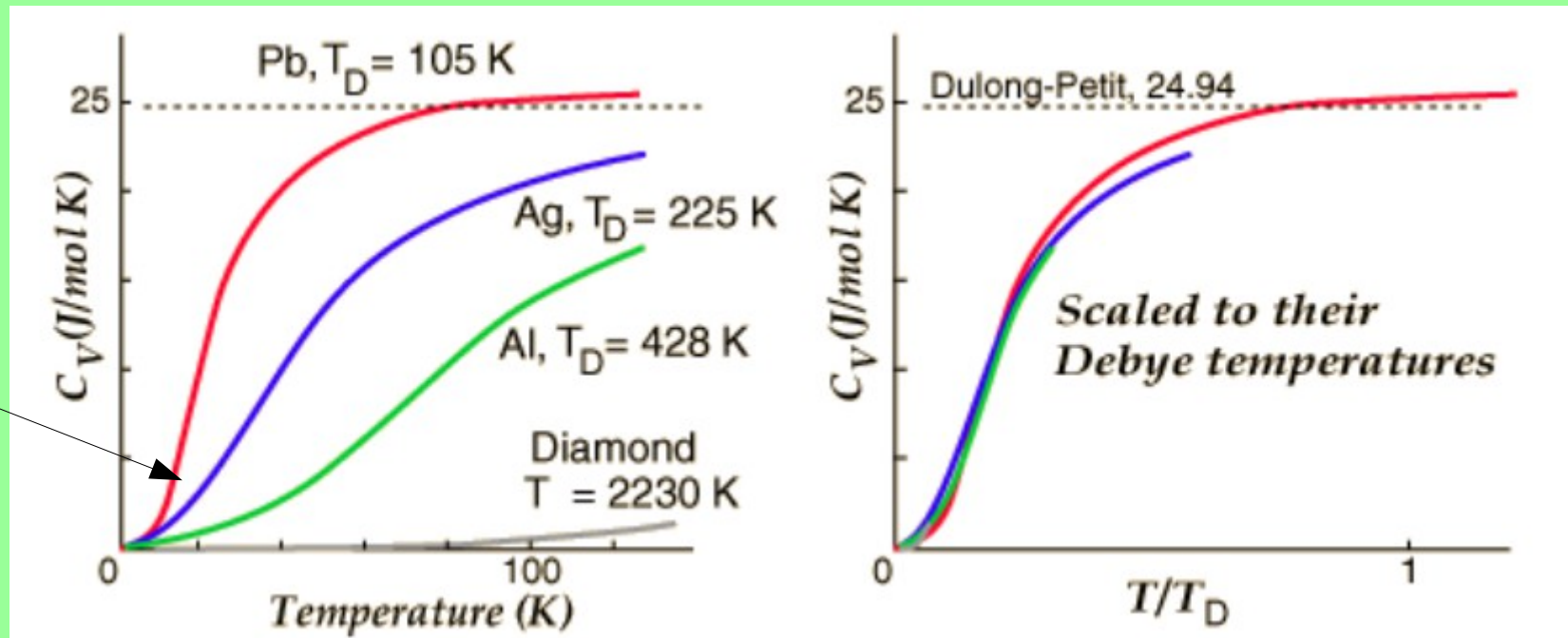
$$C = \frac{\partial U}{\partial T}$$

$$C(T) = k_B \int_0^\infty \left(\frac{\hbar \omega}{2 k_B T} \right)^2 \sinh \left(\frac{\hbar \omega}{2 k_B T} \right)^{-2} g(\omega) d\omega,$$

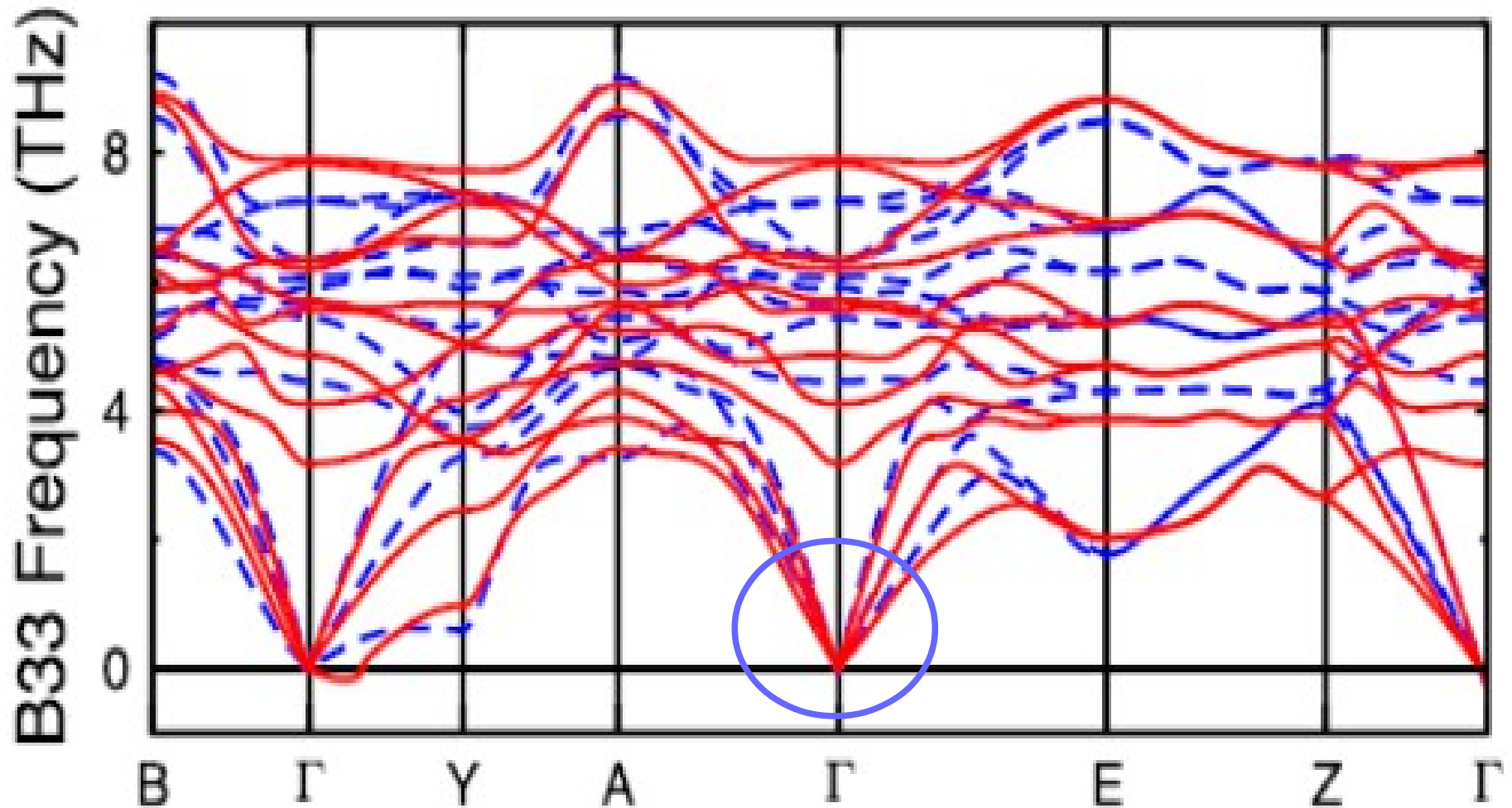
$$C(T) \sim T^d \quad [\leftarrow g(\omega) \sim \omega^{d-1}]$$

At low temperature !

Debye Law



Reality check

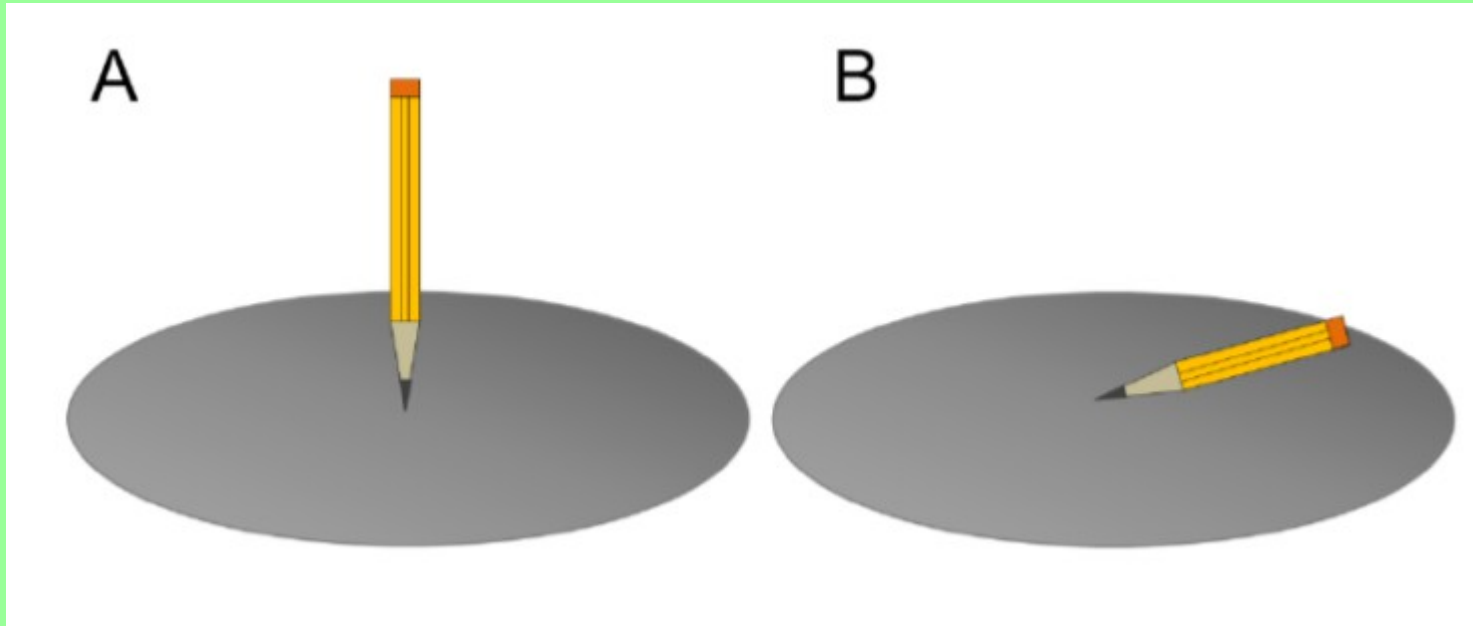


e.g. **Nickel titanium (Nitinol)**

<https://www.youtube.com/watch?v=NcwBTn0zWHw>

Towards a modern approach

Spontaneous symmetry breaking



An Introduction to Spontaneous Symmetry Breaking

by Aron J. Beekman, Louk Rademaker, Jasper van Wezel

- Published as SciPost Phys. Lect. Notes 11 (2019)

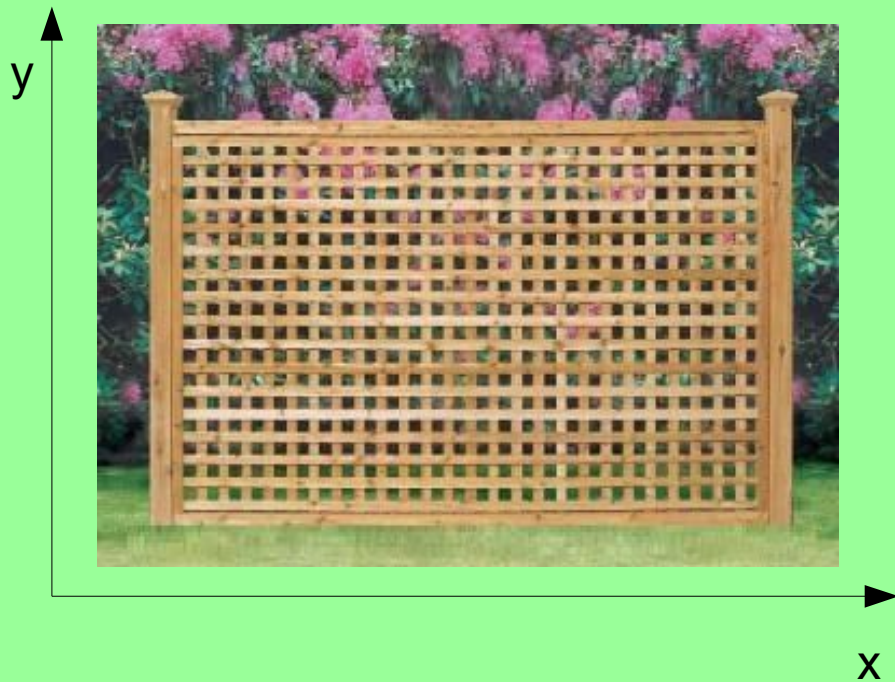
SOLID = SYSTEM THAT BREAKS SPONTANEOUSLY TRANSLATIONS

PHONONS = CORRESPONDING GOLDSTONE BOSONS

Caveats (1 example)

SPACETIME
SYMMETRIES

Be careful with the
Goldstone Theorem



How many broken generators ?

\mathcal{P}_x \mathcal{P}_y \mathcal{J}

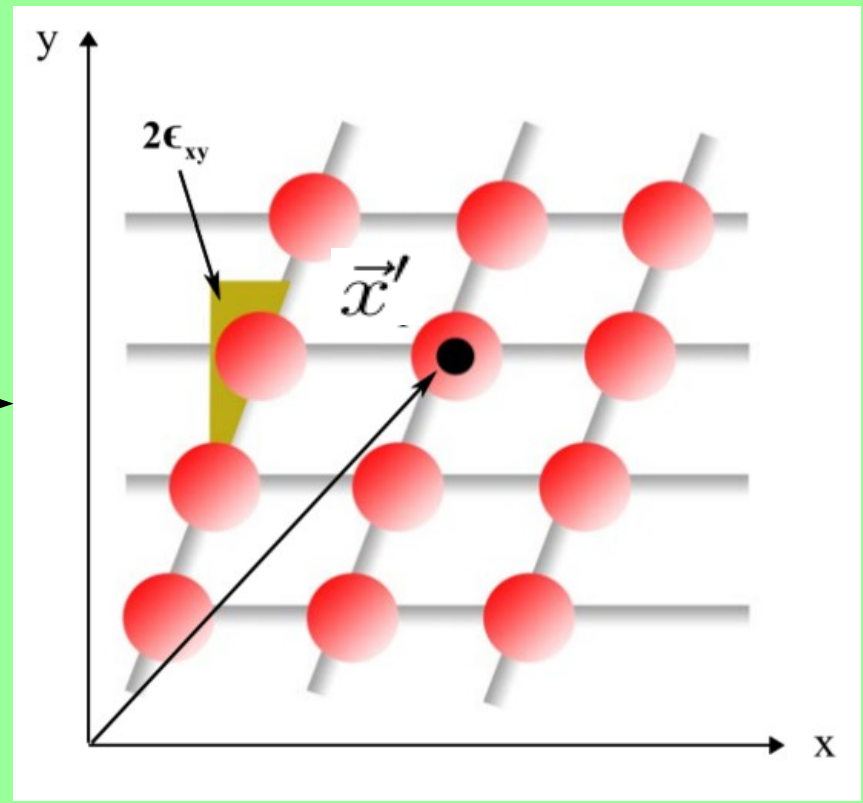
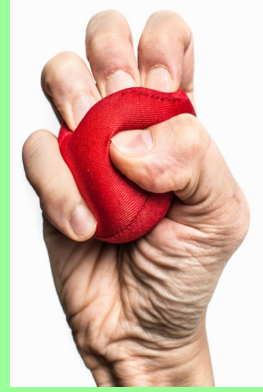
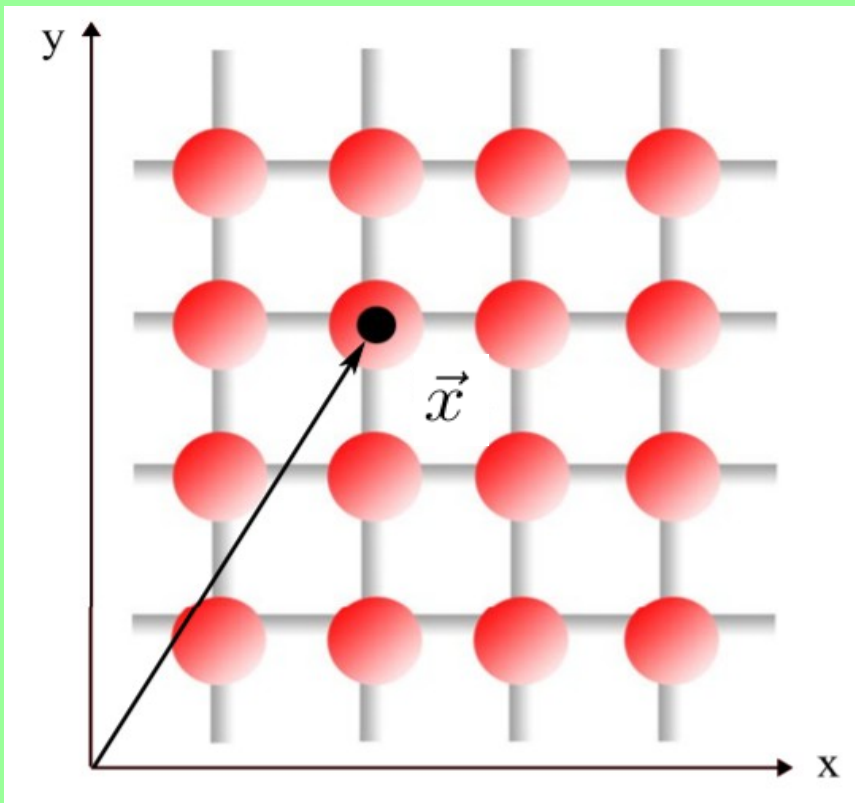


And how many phonons (Goldstones) ?
(other ex. 2D membrane in 3D)

2

*[Inverse
Higgs
constraint]*

Theory of elasticity



$$\text{displacement } \vec{u} \equiv \vec{x}' - \vec{x}$$

$$\text{strain tensor } \epsilon_{ij} \equiv \frac{1}{2} (\partial_i u_j + \partial_j u_i)$$

$$u_i = \epsilon_{ij} dx^j$$

Theory of elasticity

dynamics

$$\rho \ddot{u}_i = \nabla_j \sigma_{ji}$$

constitutive equation

$$\sigma_{ij} = C_{ijkl} \epsilon_{kl}$$

ISOTROPIC SYSTEM

$$\sigma_{ij} = K \delta_{ij} \epsilon_{kk} + 2G \left(\epsilon_{ij} - \frac{1}{d} \delta_{ij} \epsilon_{kk} \right)$$

bulk modulus

trace

transverse & traceless

shear modulus

"shear"
It does not
change the
volume

Theory of elasticity

And finally we get:

$$\omega_{T,L} = \pm v_{T,L} k$$

$$v_T^2 = \frac{G}{\rho}, \quad v_L^2 = \frac{G + K}{\rho}$$

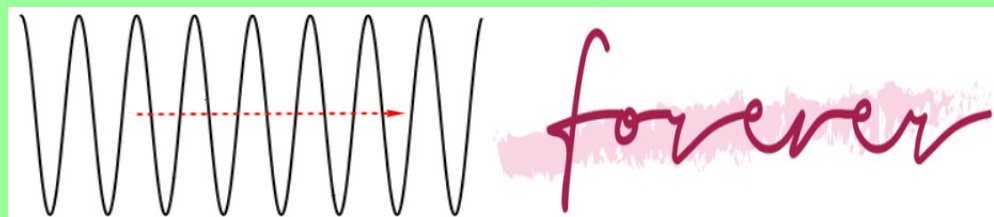
**OUR
PHONONS**

Few comments

- *Bulk modulus from thermodynamics*

$$K = -V \frac{dP}{dV}$$

- *No attenuation/dissipation*



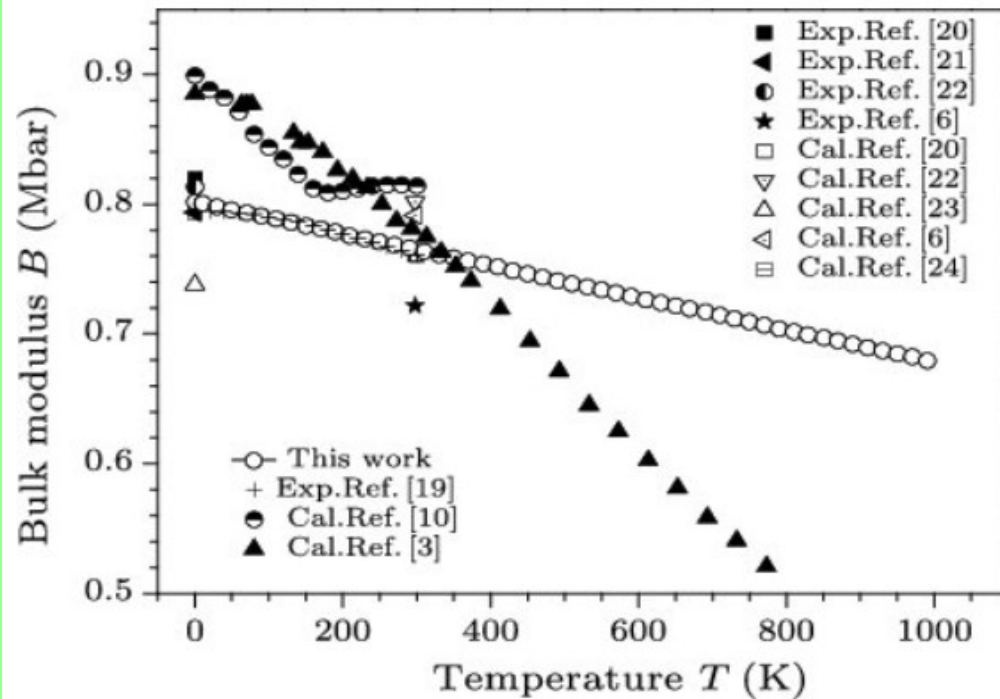
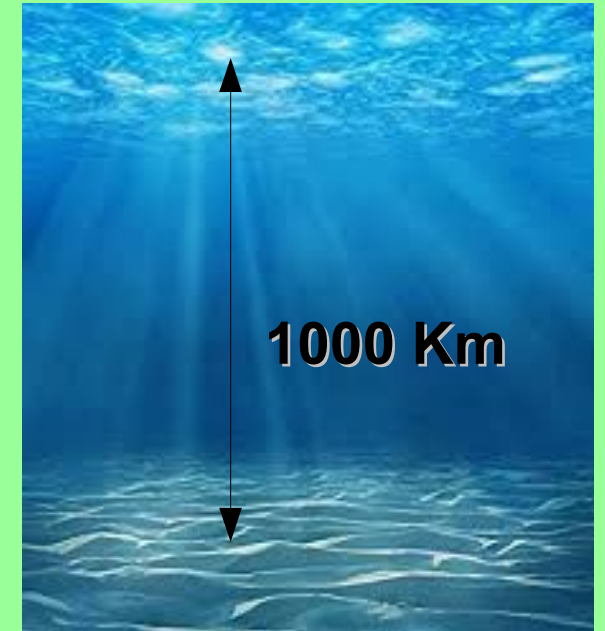
- *For relativistic theories*

$$\rho \rightarrow \epsilon + p \quad (\chi_{\pi\pi})$$

*Momentum
susceptibility*

Some experimental data

Material	Young's Modulus, Y (Pa)	Bulk Modulus, B (Pa)	Shear Modulus, S (Pa)
Aluminum	7.0×10^{10}	7.5×10^{10}	2.5×10^{10}
Brass	9.0×10^{10}	6.0×10^{10}	3.5×10^{10}
Copper	11×10^{10}	14×10^{10}	4.4×10^{10}
Iron	21×10^{10}	16×10^{10}	7.7×10^{10}
Lead	1.6×10^{10}	4.1×10^{10}	0.6×10^{10}
Nickel	21×10^{10}	17×10^{10}	7.8×10^{10}
Silicone rubber	0.001×10^{10}	0.2×10^{10}	0.0002×10^{10}
Steel	20×10^{10}	16×10^{10}	7.5×10^{10}
Tendon (typical)	0.12×10^{10}	—	—



*Rigidity diminishes
Increasing temperature*



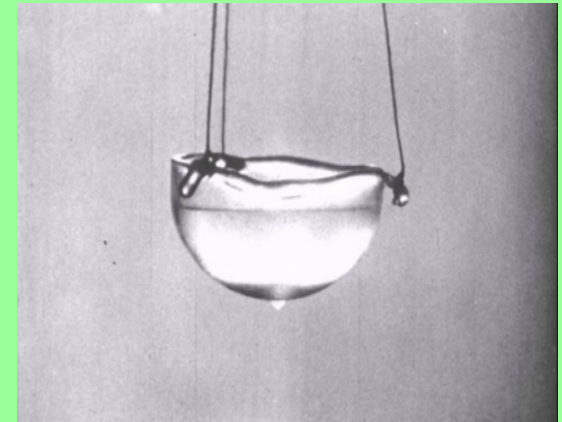
A systematic classification

**E
N
E
R
G
Y**

Relativistic lorentz invariance

*Different spontaneous
breaking patterns*

*[Nicolis, Penco,
Piazza, Rattazzi, 2015]*

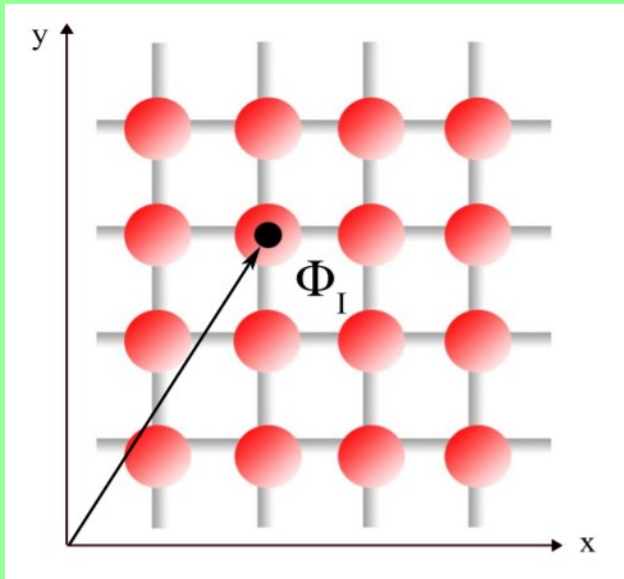


~~Relativistic lorentz invariance~~

First step to be modern



Build a field theory language



Set of "comoving coordinates"

$$\Phi^i = x^i + \phi^i(t, x)$$

Equilibrium

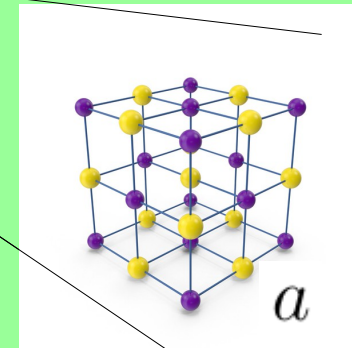
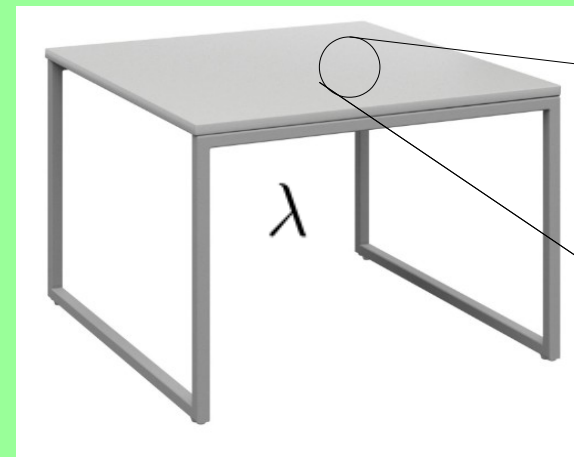
PHONONS

$$\varepsilon_{ij} = \frac{1}{2} (\partial_i \phi_j + \partial_j \phi_i)$$

Assumptions :

$$\lambda \gg a$$

*Isotropy and homogeneity
at large scales*



The effective action

Lowest order :

$$\mathcal{I}^{IJ} = g^{\mu\nu} \partial_\mu \Phi^I \partial_\nu \Phi^J$$

$$Z = \det (\mathcal{I}^{IJ})$$

$$d-2 \text{ traces } X_n = \text{tr} \{ (\mathcal{I}^{IJ})^n \}$$

Most general action

$$S = - \int d^d x \sqrt{-g} V (Z, \{X_n\})$$

For the moment flat space

The formalism

SSB pattern

Spacetime translations

$$x^I \rightarrow x^I + b^I$$



Internal shifts

$$\phi^I \rightarrow \phi^I + a^I$$

Diagonal subgroup

$$a = -b$$

homogeneity

Homogeneous stress tensor

$$T_{\mu\nu} = - \frac{2}{\sqrt{-g}} \frac{\delta S}{\delta g^{\mu\nu}} \Big|_{g=\eta} = -\eta_{\mu\nu} V + 2 \partial_\mu \phi^I \partial_\nu \phi_I V_X + 2 (\partial_\mu \phi^I \partial_\nu \phi_I X - \partial_\mu \phi^I \partial_\nu \phi^J \mathcal{I}_{IJ}) V_Z . \quad (5)$$



Some phenomenology

[MB,1708.08477]

$$T^{tt} \equiv \rho = V,$$

$$T_x^x \equiv p = -V + X V_X + 2 Z V_Z,$$

$$T_y^x = 2 \partial_x \phi^I \partial_y \phi^I V_X,$$

Shear modulus

$$G = 2 V_X$$

Bulk modulus

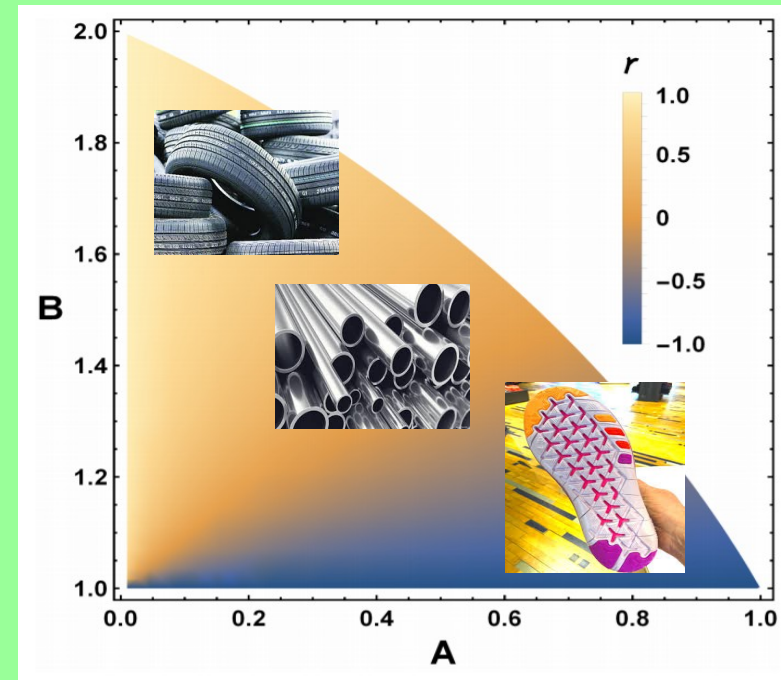
$$K = 2 Z V_Z + 4 Z^2 V_{ZZ} + 4 X Z V_{XZ} + X^2 V_{XX}$$

$$V(X, Z) = \rho_{\text{eq}} X^A Z^{(B-A)/2}$$

$$G = \rho_{\text{eq}} 2^A A, \quad K = \rho_{\text{eq}} 2^A B (B - 1)$$

Poisson's ratio

$$\nu \equiv \frac{K - G}{K + G}$$



The collective excitations (phonons)

$$\phi^I = \phi_{\text{str}}^I + \pi^I$$

and expand the
action at 2nd order

[MB,1708.08477]

$$\delta S_2 = \int d^3x \left[N_T \dot{\pi}_T^2 + N_L \dot{\pi}_L^2 + 2N_{TL} \dot{\pi}_T \dot{\pi}_L - c_T^2 (\partial_x \pi_T)^2 - c_L^2 (\partial_x \pi_L)^2 - 2c_{TL}^2 \partial_x \pi_T \partial_x \pi_L \right], \quad (\text{A3})$$

$$\pi_{L/T} = a_{L/T} e^{i\omega t - ikx}$$

$$\begin{pmatrix} \#(\omega, k) & \#(\omega, k) \\ \#(\omega, k) & \#(\omega, k) \end{pmatrix} \begin{pmatrix} a_T \\ a_L \end{pmatrix}$$

$$\omega_{T,L} = \pm v_{T,L} k$$

$$v_T^2 = \frac{G}{\epsilon + p}, \quad v_L^2 = \frac{G + K}{\epsilon + p}$$

Solid VS fluids part I

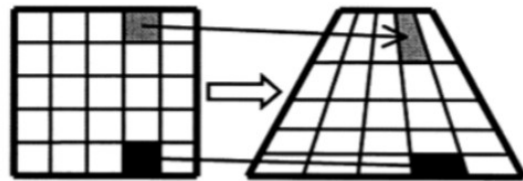
$$G = 2V_X$$

No shear modulus

No propagating shear waves

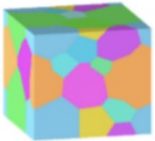
$$S = - \int d^d x V(Z)$$

IT'S A FLUID

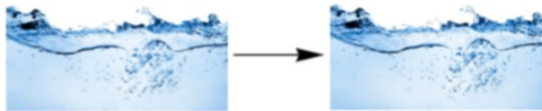
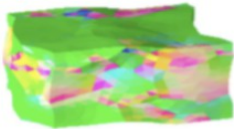


$$\phi^a \rightarrow \xi^a(\phi), \quad \det \frac{\partial \xi^a}{\partial \phi^b} = 1.$$

volume preserving diffeomorphism



SOLID



FLUID

*"A fluid
takes the shape
of the
container ..."*

SYMMETRIES



EFT is nice but ...

- 1) Very hard to include dissipation
(problem of the field theory formalism)**

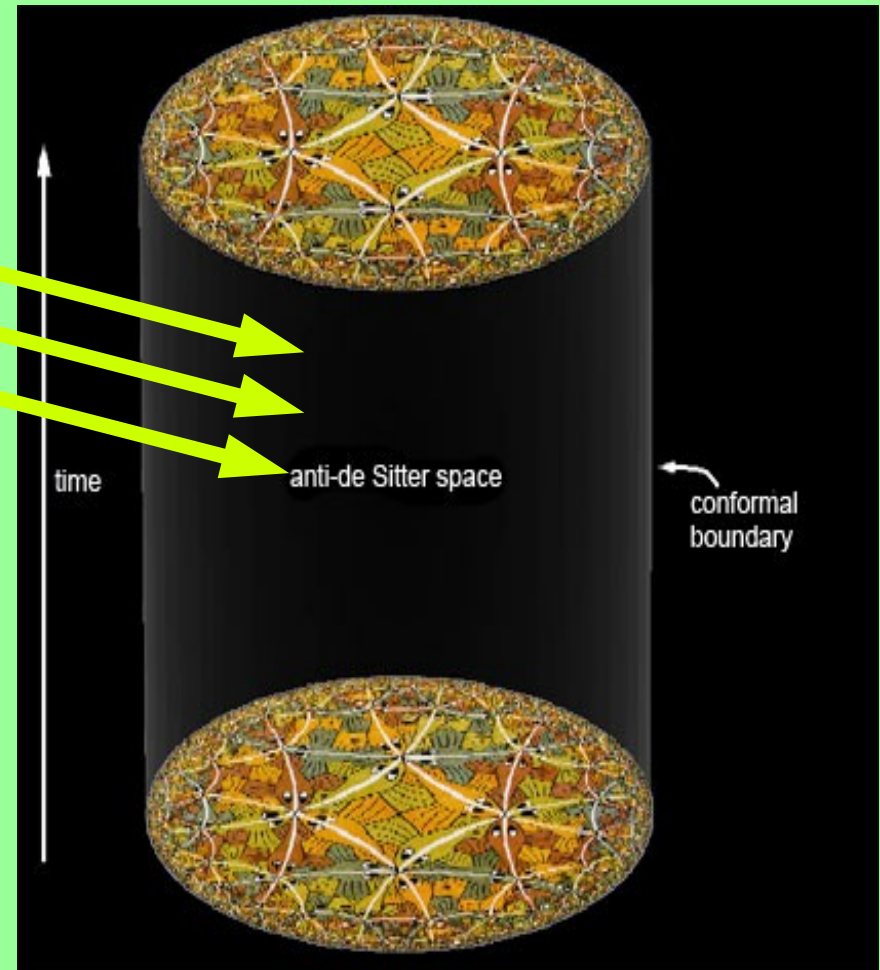
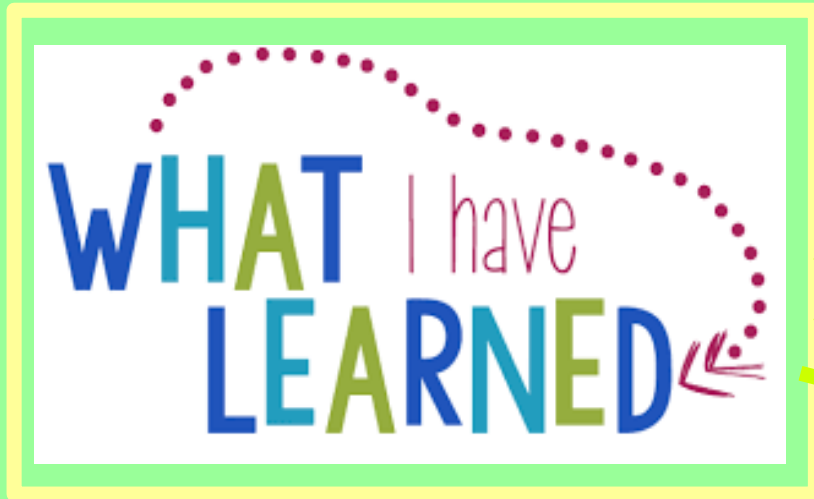
*Fundamental for attenuation, viscous effects,
Viscoelastic materials, finite T effects, ...*



- 2) It does not predict any transport coefficient
(microscopics are needed)**



Holographic counterpart



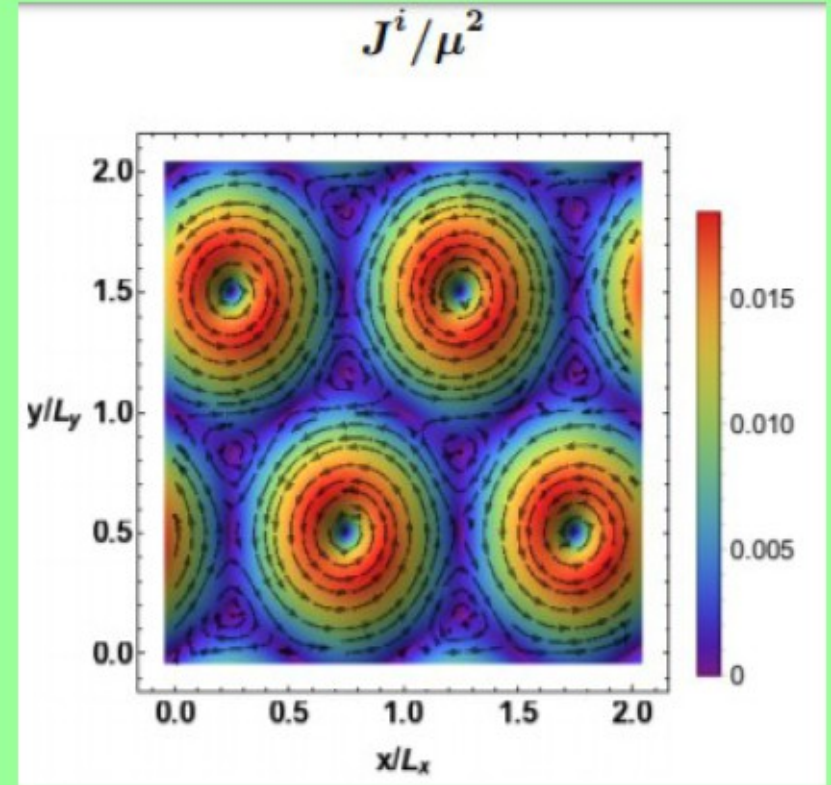
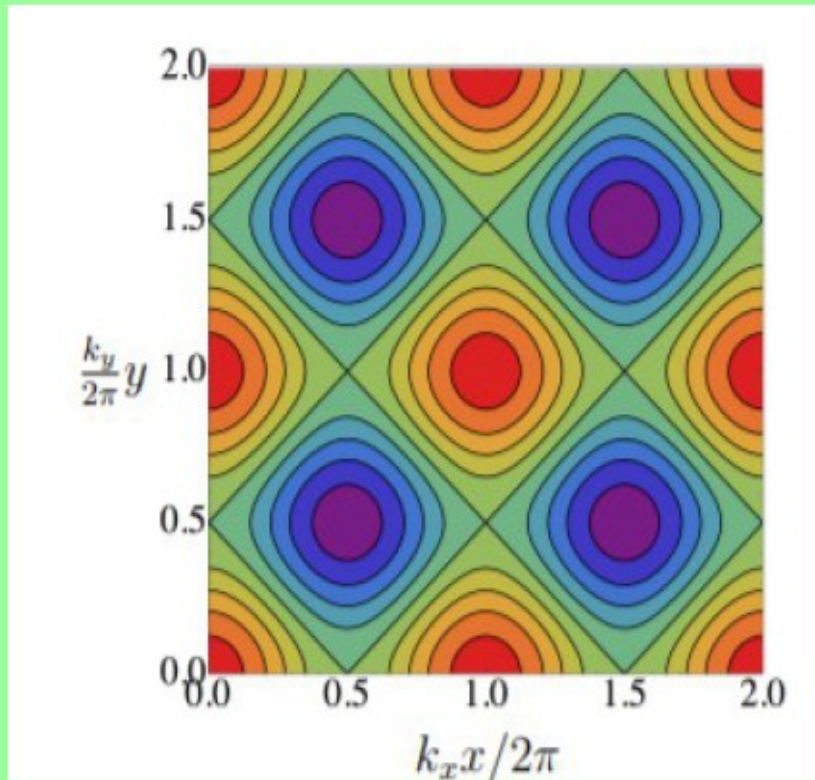
The key concept

**Breaking translations
Spontaneously !**

OUR GOAL !



If you are strong & patient (not like me)



Black hole instabilities at finite momentum!

The solution wants to "fall" into a
inhomogeneous background,
"a lattice"

[Donos, Krikun,
Andrade, Pantelidou,
Li, Zaanen, Cremonini,
Schalm, ...]

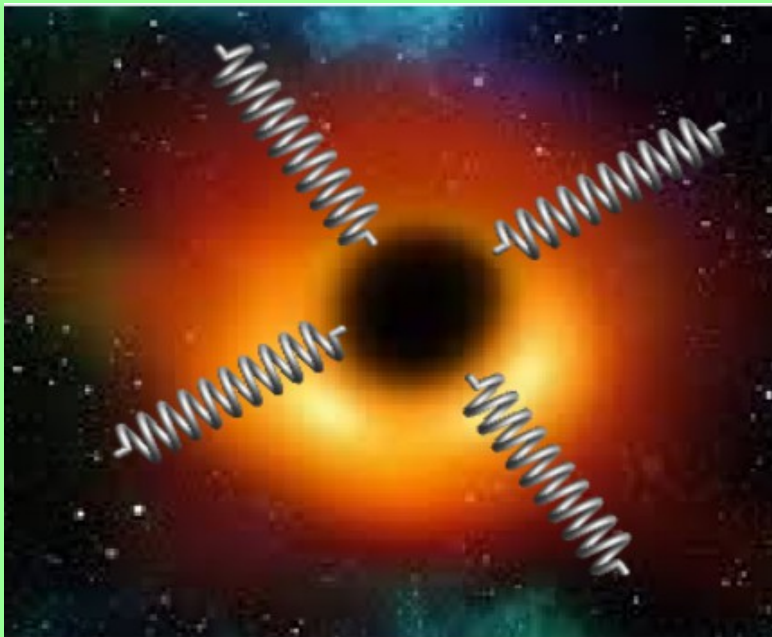
How to embed this into Holography

$$S = M_P^2 \int d^4x \sqrt{-g} \left[\frac{R}{2} + \frac{3}{\ell^2} - m^2 V(X) - \frac{1}{4} F^2 \right],$$

gravity

$$ds^2 = \frac{1}{u^2} [-f(u) dt^2 - 2 dt du + dx^2 + dy^2]$$

HEY
OLD
FRIEND



**Asymptotically AdS
ELASTIC black hole**

(analytic background = easy)

[MB, 1411.1003, 1510.09089]

Lorentz-Violating Massive gravity

From the dictionary :

[MB,1510.09089]

$$\partial_\mu T_{CFT}^{\mu\nu} = 0$$

←→ Diffeomorphisms invariance

WHAT DO WE WANT?



$$\partial_i T^{ij} \neq 0$$

→ Momentum
dissipation

(cf. Drude model)

Caveats: 1) Breaking lorentz invariance (energy conserved)

2) We want to break it spontaneously !!!

Explicit VS Spontaneous

How do we do it:

$$\phi^I = x^I$$

$$V(X) = X^n$$

$$\phi^I(u, x) = \phi_0^I(x) + \phi_1^I(x) u^{5-2n} + \dots$$

$$n < 5/2$$

$$x^I = \phi_0^I(x) \equiv \textit{source}$$

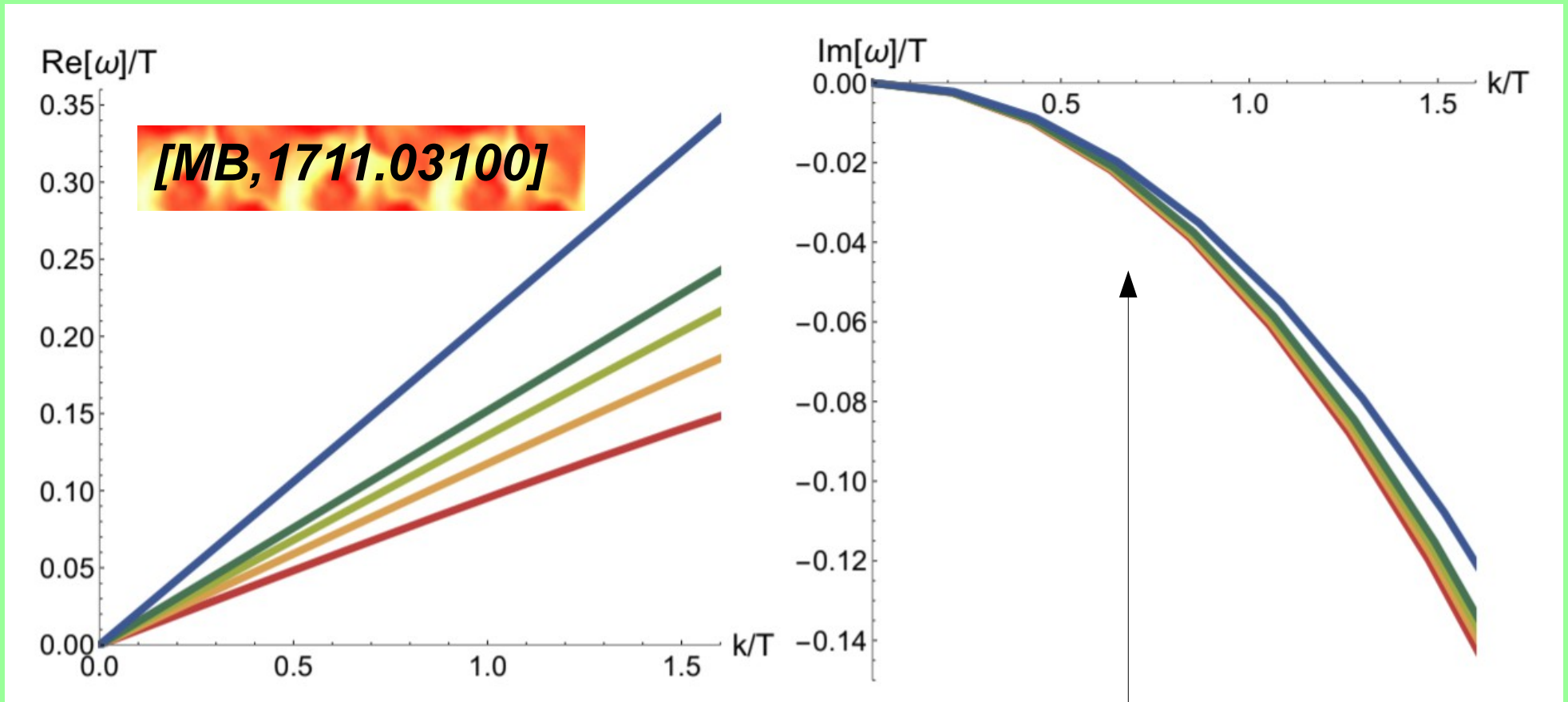
Explicit breaking

$$n > 5/2$$

$$x^I = \phi_0^I(x) \equiv \langle \mathcal{O}^I \rangle$$

Spontaneous breaking

Where are the phonons



**Transverse
Phonons
(it is a solid !)**

$$\omega = v k - i \frac{\Gamma}{2} k^2 + \dots$$

The elastic modulus

$$\text{stress}(\omega, k) = G \text{ strain} - i\omega\eta \text{ strain} + \dots$$

↓

$$\langle T^{ij} \rangle$$

↓

$$h_{ij}^{(0)}$$

[MB, 1711.03100]

KUBO FORMULA

$$G = \text{Re} \langle T^{ij} T^{ij} \rangle^R (\omega = k = 0)$$

Remarks

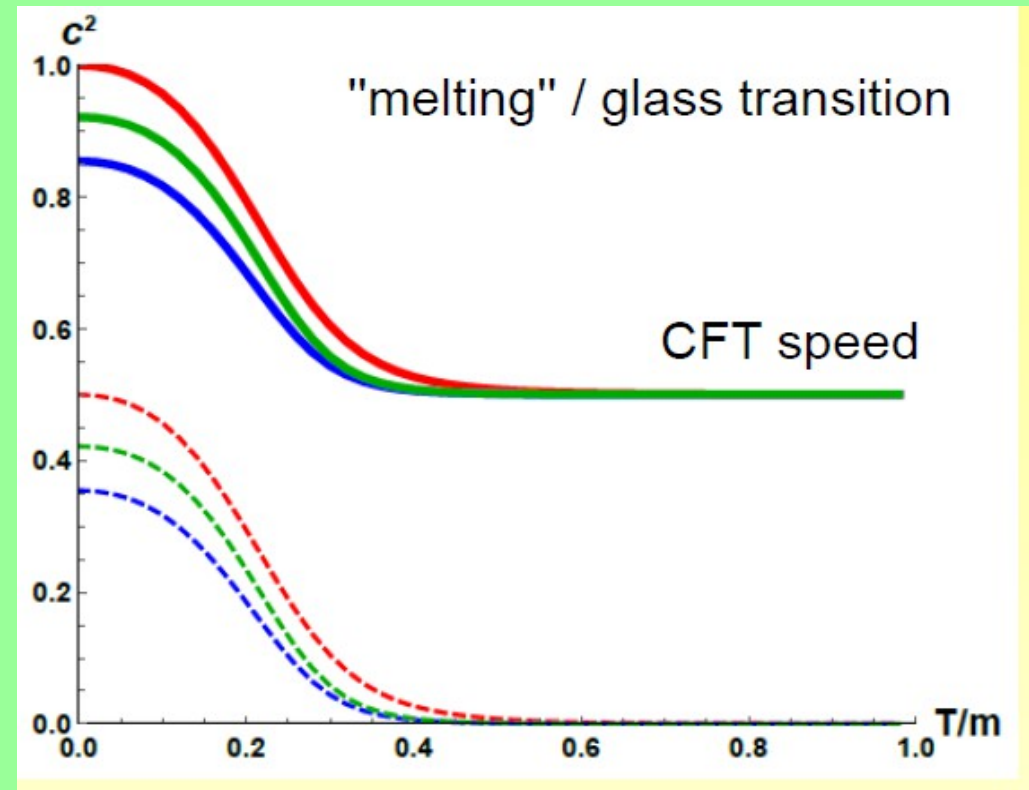
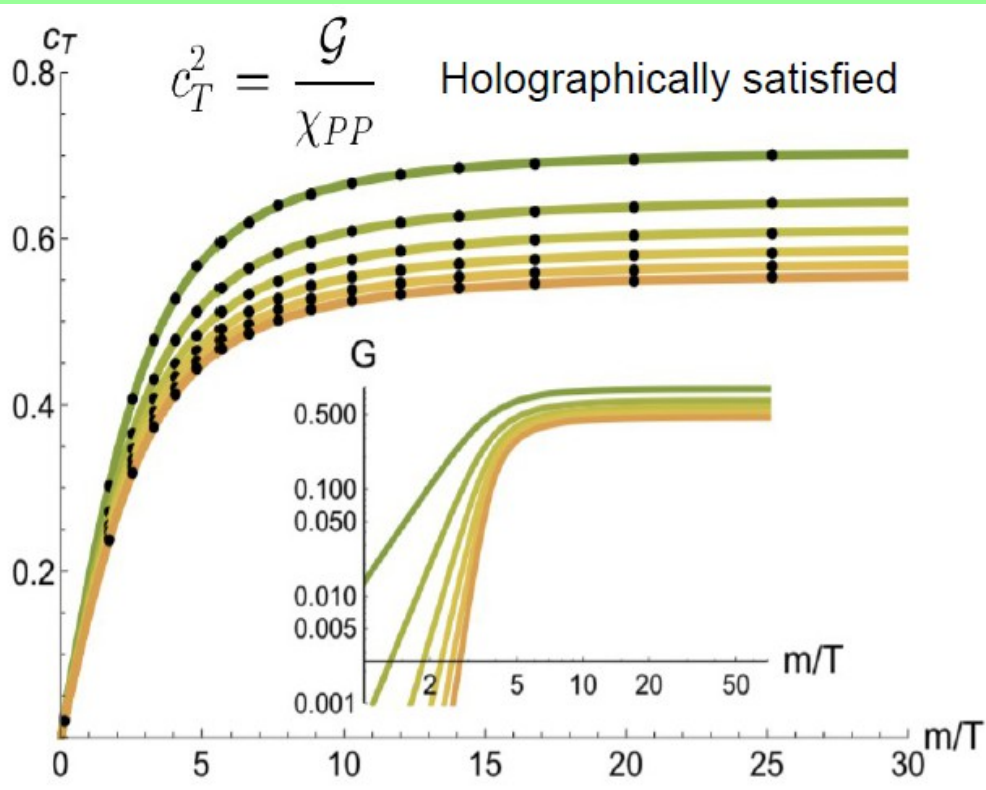
1) the source can also be implemented with the scalars (gauge choice)

[useful later]

2) perturbative formula

$$G = m^2 \int_0^{u_h} \frac{V'(v^2)}{v^2} dv, \quad m/T \ll 1.$$

How does a holographic solid sound



The formulas for the speeds works perfectly !

+

$$v_L^2 = \frac{1}{2} + v_T^2$$

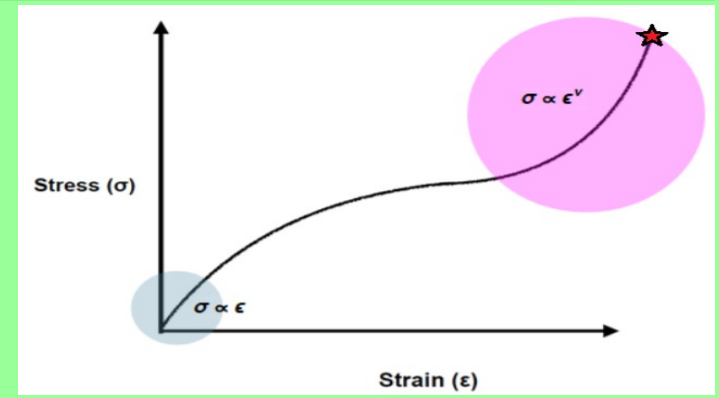
**Conformal
symmetry**

[MB,1711.03100,1910.05281]

Beyond linear elasticity

$$\sigma_{ij} = C_{ijkl}\epsilon_{kl} + \dots \rightarrow \sigma(\epsilon)$$

[MB,1807.07474,2006.10774]



(NONLINEAR) STRESS-STRAIN CURVE

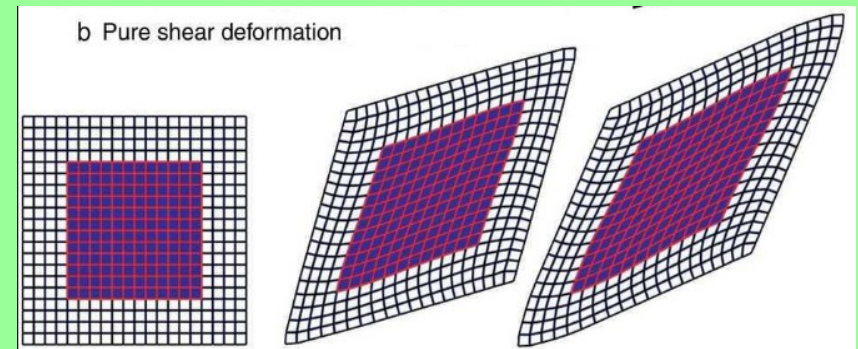
$$\phi_{\text{str}}^I = O_J^I x^J, \quad O_J^I = \alpha \begin{pmatrix} \sqrt{1 + \epsilon^2/4} & \epsilon/2 \\ \epsilon/2 & \sqrt{1 + \epsilon^2/4} \end{pmatrix}$$

BULK STRAIN

$$\epsilon_{ii} = 2(\alpha - 1)$$

SHEAR STRAIN

$$\epsilon$$

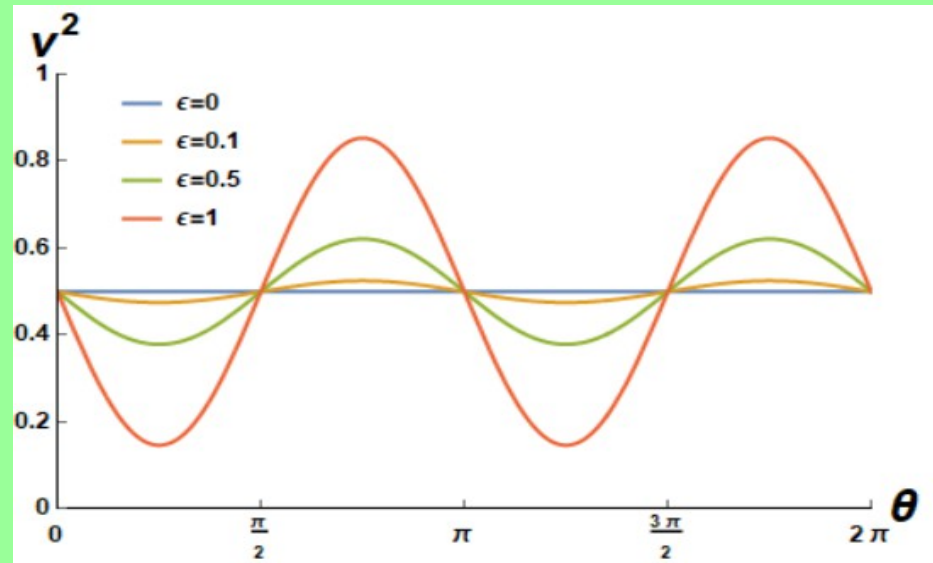


Beyond linear elasticity

*Phonon speeds
become anisotropic*

$$\omega_{\pm} = c_{\pm}(\alpha, \varepsilon) k$$

[MB,1807.07474,2006.10774]

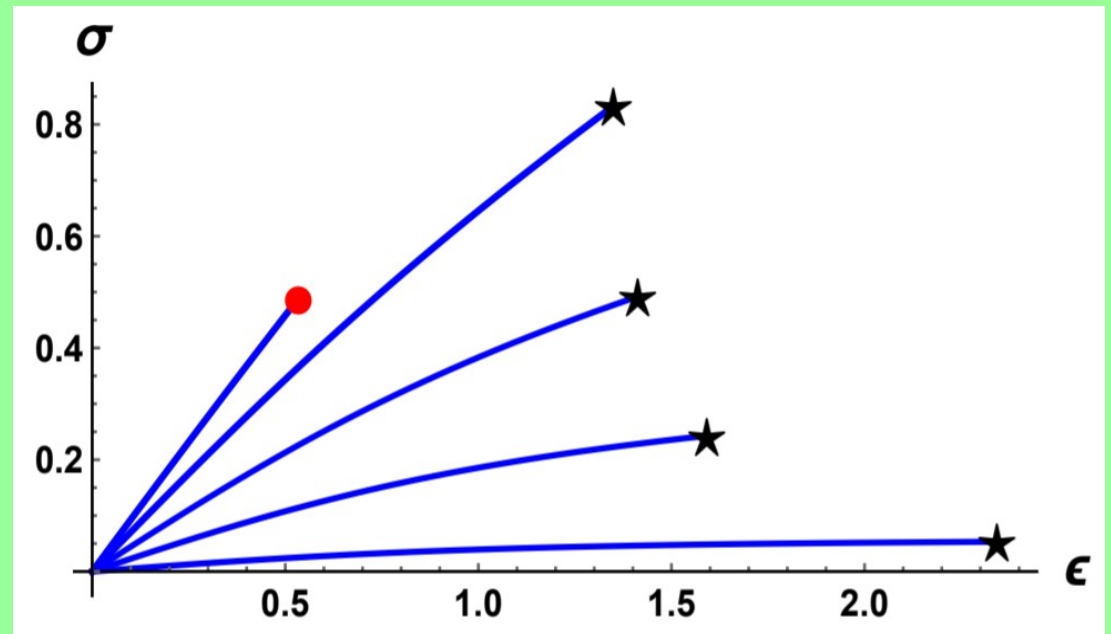


*Non-linear large
Deformations curves
+ breaking*

$$V(X, Z) = \rho_{\text{eq}} X^A Z^{(B-A)/2}$$

$$\sigma(\varepsilon) \sim A \varepsilon^{2A}$$

$$\Delta T_{ii}(\kappa) \sim (B - 1) \kappa^{2B}$$



Beyond holographic linear elasticity

[MB,1807.07474,2006.10774]

Same as before :

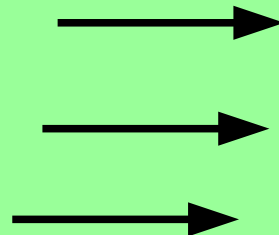
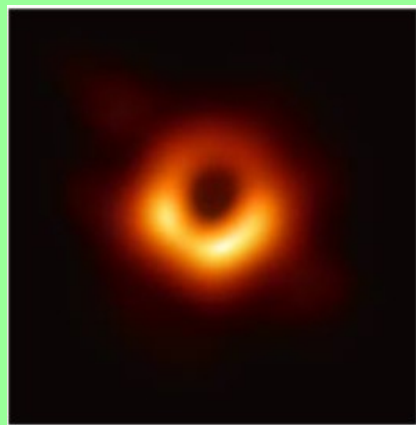
$$\Phi^I(u, x) = O_j^I x^j$$

$$+ : ds^2 = \frac{1}{u^2} \left(-f(u) e^{-\chi(u)} dt^2 + \frac{du^2}{f(u)} + \gamma_{ij}(u) dx^i dx^j \right)$$

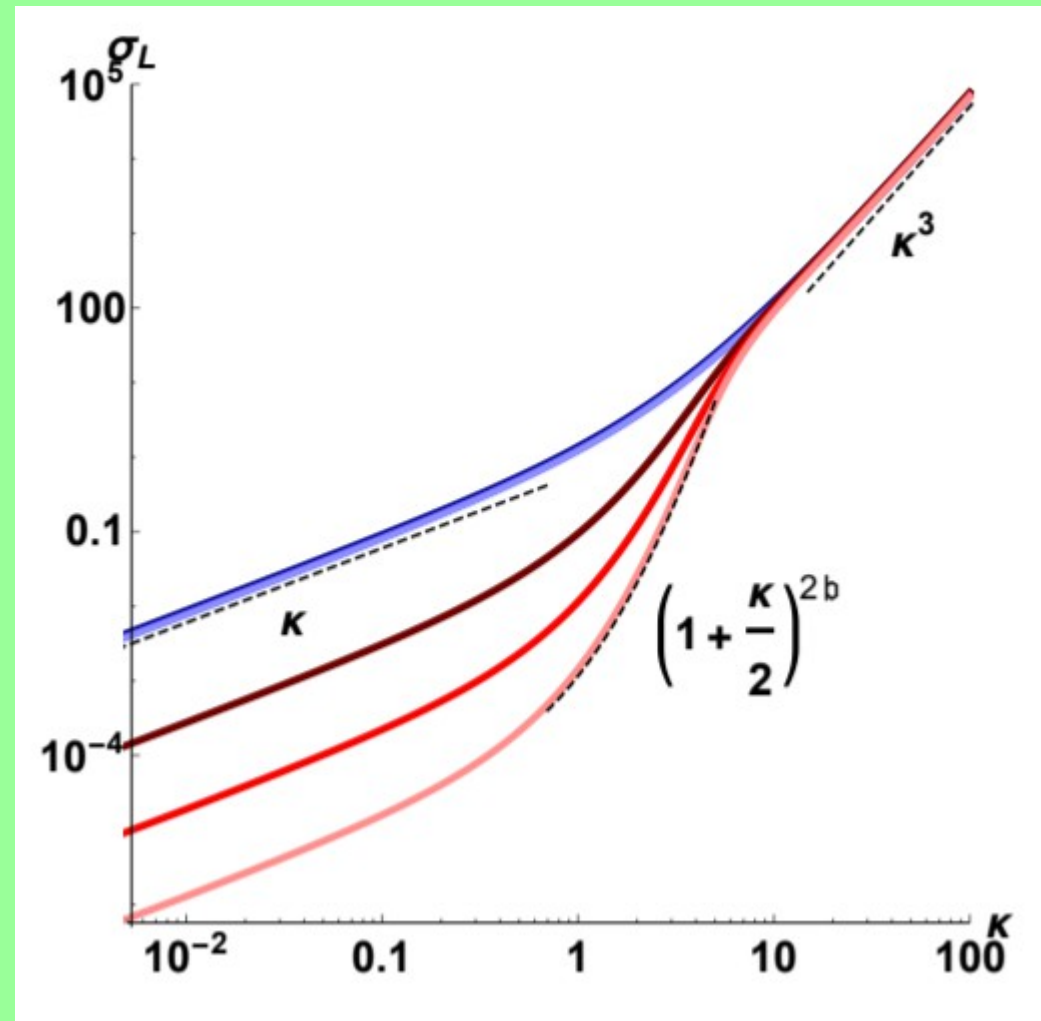
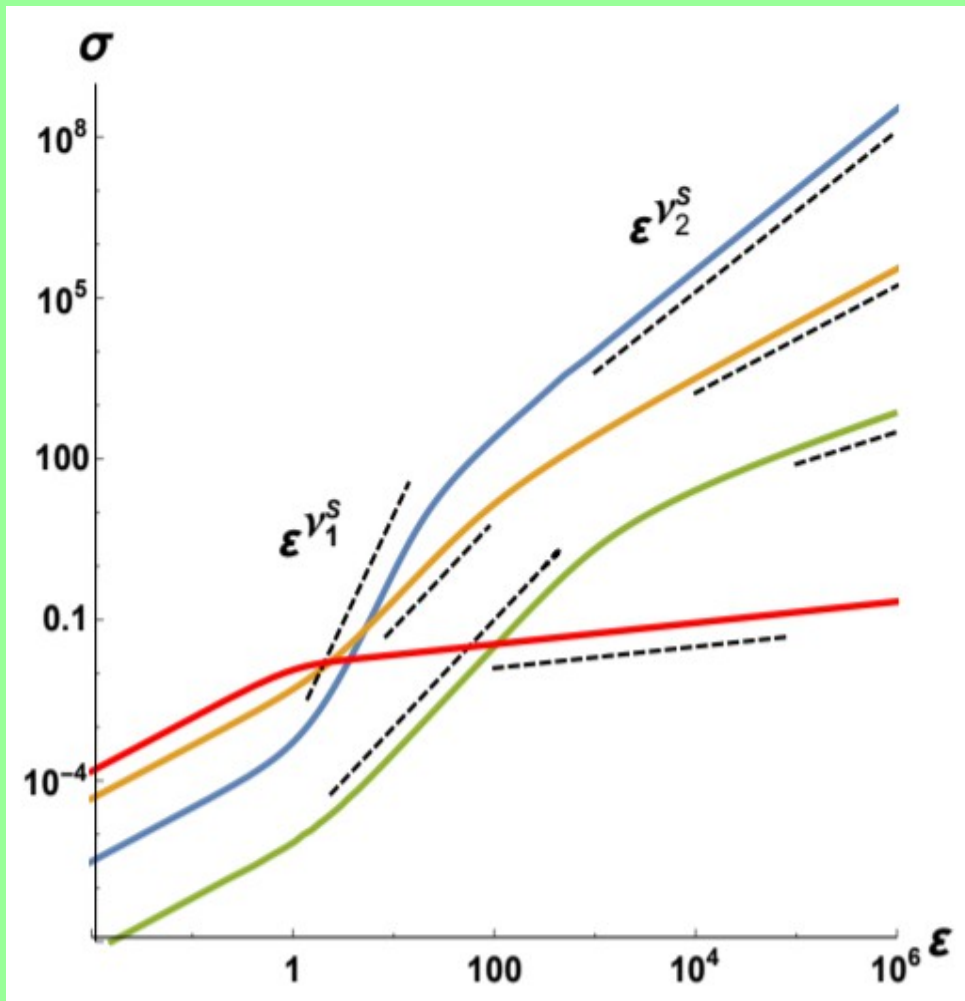
Anisotropic black hole with finite background strain (spin 2 hair)

$$\hat{\gamma} = \exp [h_+(u) \hat{\sigma}_+ + h_\times(u) \hat{\sigma}_\times]$$

$$h_\times = h \cos \theta \quad h_+ = h \sin \theta$$



Beyond holographic linear elasticity



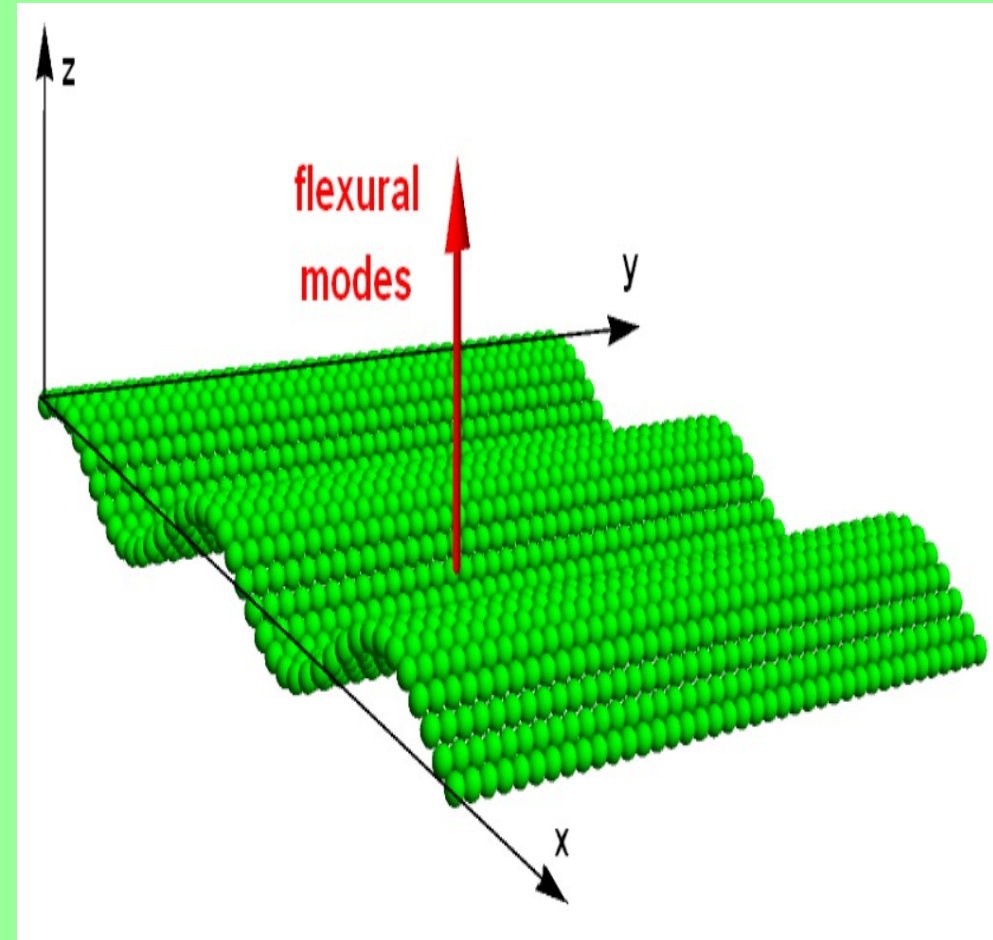
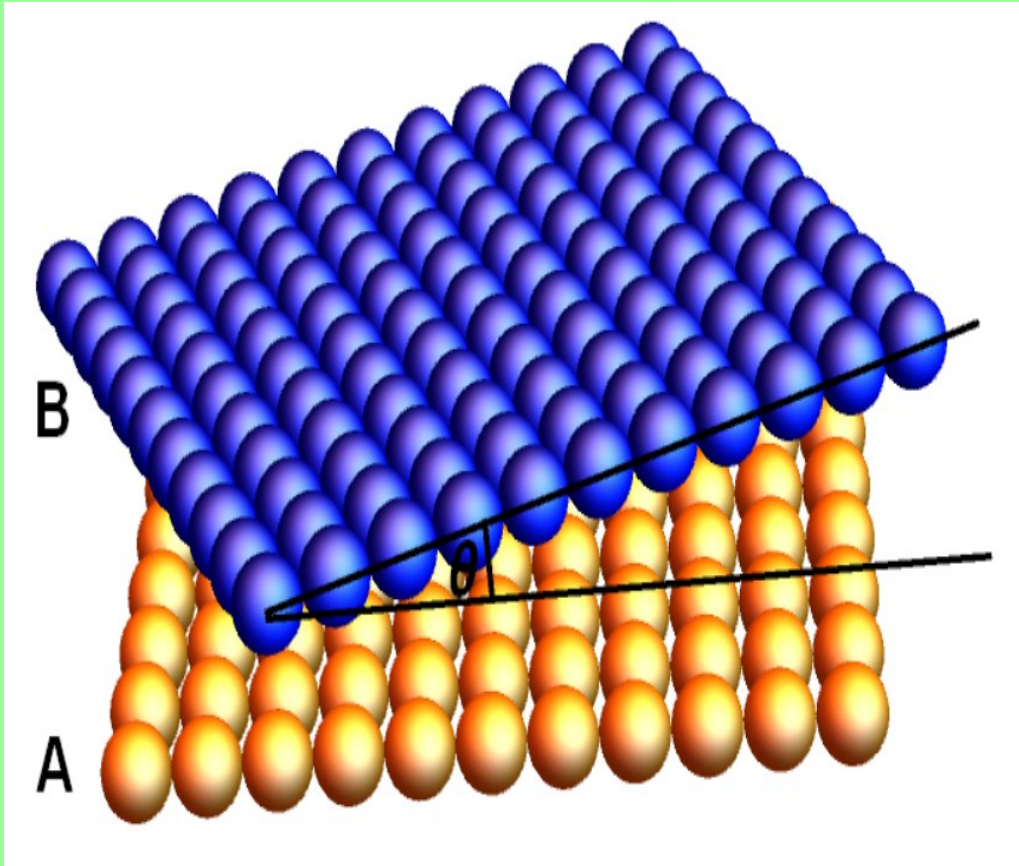
*A lot of phenomenology to explore
+ a nice example of nonlinear response
In holography (cf. nonlinear conductivity) !*

[MB, 2006.10774]

More advanced topics

*Most famous example:
twisted bilayer graphene*

[MB, "coming soon"]



$$\phi_A^I = \alpha x^I$$

$$\phi_B^I = R_J^I(\theta) x^J$$

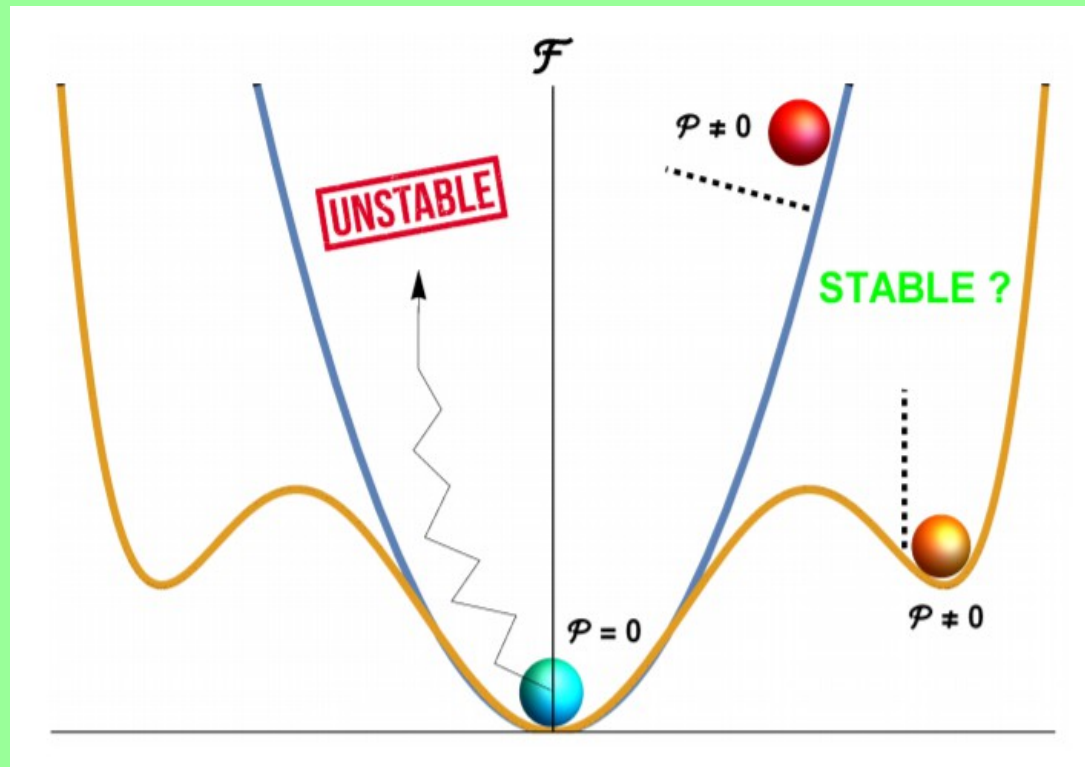
$$\omega = A k^2$$

More advanced topics

STABILITY



[MB,2001.05737]



Pattern formation outside of equilibrium

M. C. Cross and P. C. Hohenberg

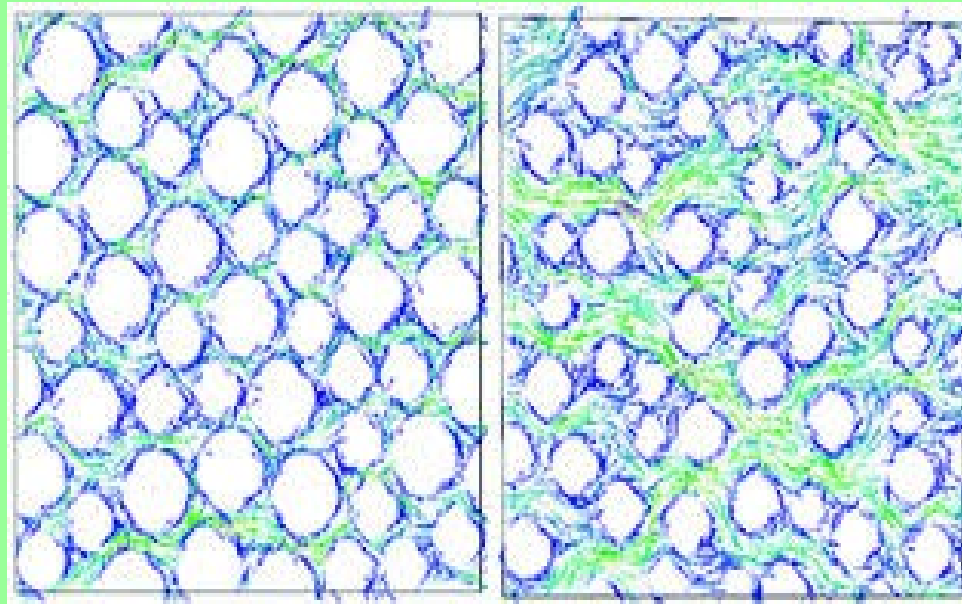
Rev. Mod. Phys. **65**, 851 – Published 1 July 1993

More advanced topics

Hydrodynamics & Strain pressure

[MB,2001.05737]

[Armas, Jain]



Unified Hydrodynamic Theory for Crystals, Liquid Crystals, and Normal Fluids

P. C. Martin, O. Parodi, and P. S. Pershan

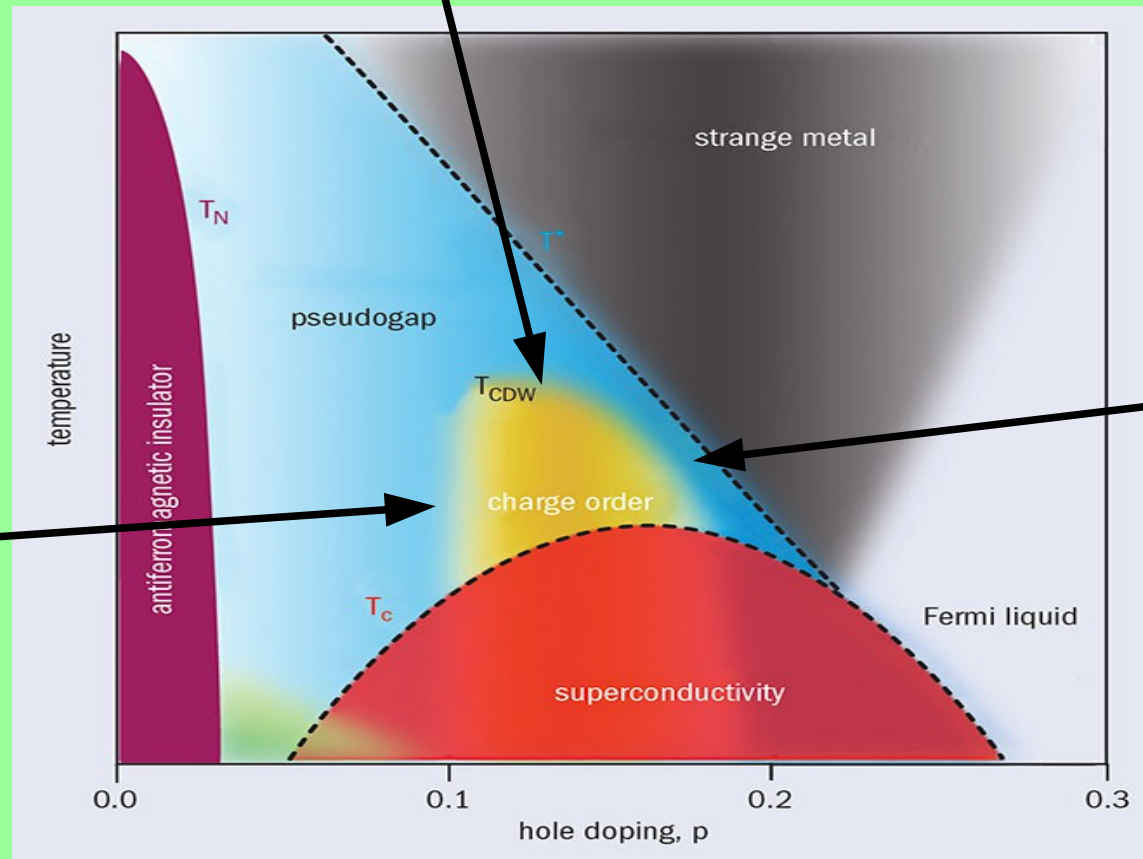
Phys. Rev. A **6**, 2401 – Published 1 December 1972

More advanced topics

Phenomenology I

[Hartnoll,
Goutraux
et Al.]

[Fradkin,
Emery,
Kivelson]



Colloquium: Theory of intertwined orders in high temperature superconductors

Eduardo Fradkin, Steven A. Kivelson, and John M. Tranquada
Rev. Mod. Phys. **87**, 457 – Published 26 May 2015

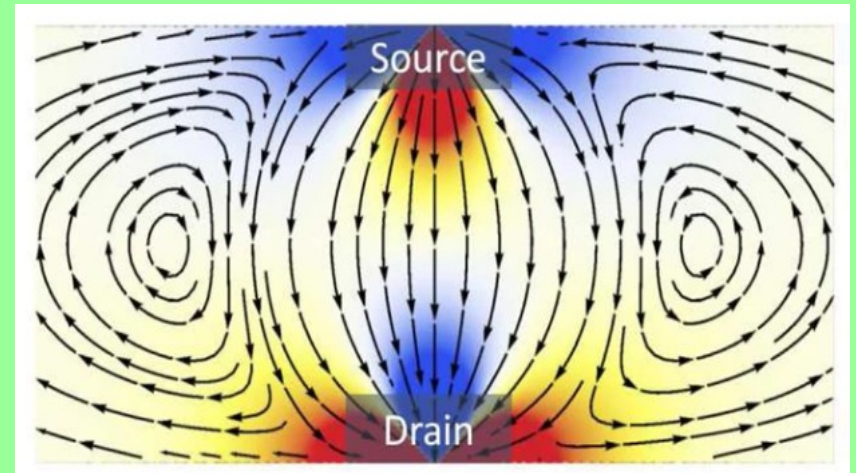
More advanced topics

Phenomenology II

**Phonons in the critical region
(glassy features ?)**



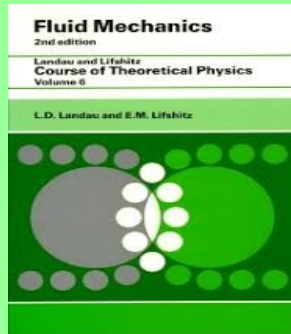
Phonons hydrodynamics



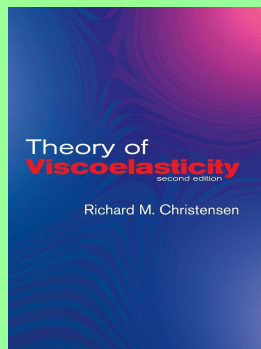




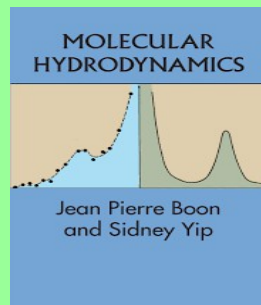
LIQUIDS & VISCOELASTICITY



[Fluid Mechanics, Landau & Lifshitz]



[Theory of Viscoelasticity, Christensen]



[Molecular Hydrodynamics, Boon & Yip]

Lectures on hydrodynamic fluctuations in relativistic theories

Pavel Kovtun

arXiv:1205.5040

What is a liquid ?

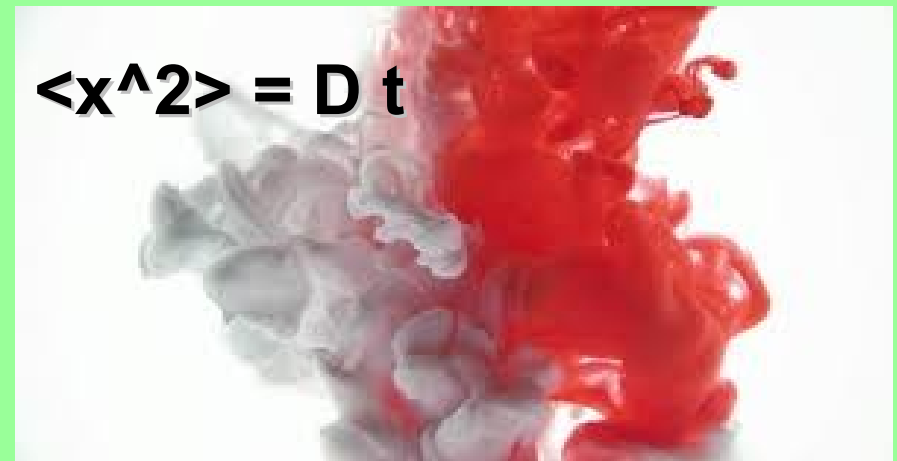
- Shape not fixed but volume fixed



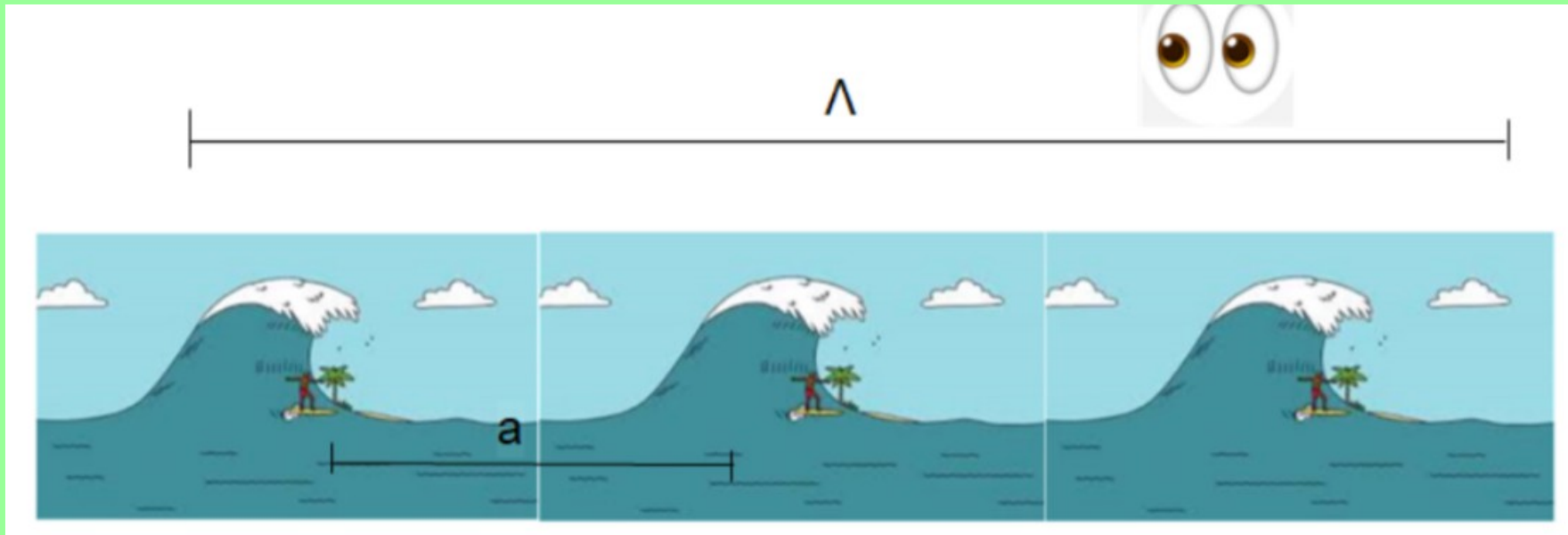
- Not Rigid ----> "viscous"
(response only to shear strain rate)

$$\sigma = \eta \dot{\epsilon}$$

- Shear diffusion



Hydrodynamics



$$\Lambda \gg a$$

$$\omega/T, k/T \ll 1$$

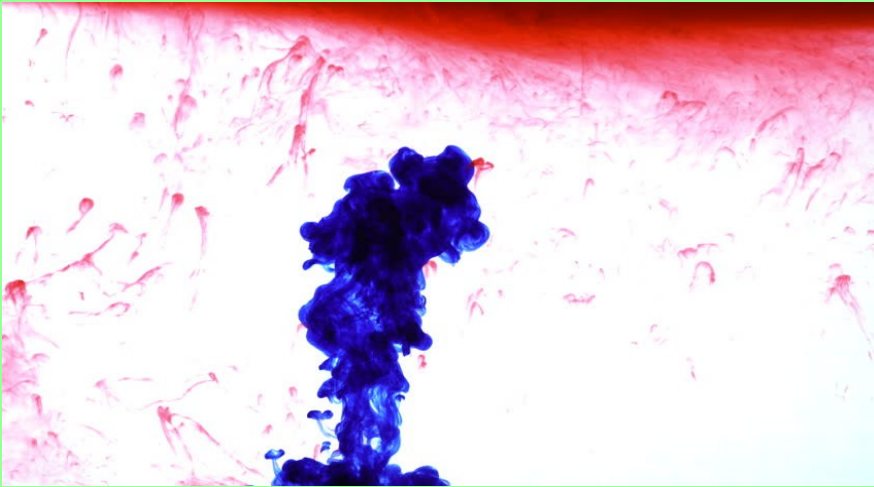
$$T^{\mu\nu} = (\text{slow}) + (\text{fast}) + (\text{faster}) + (\text{much faster}) + \dots$$

**Gradient
expansion**

 ∂ ∂^2 ∂^3 ∂^4

**Perturbative
expansion**

Example: diffusion



Transverse sector :
Shear diffusion

Dissipation
Viscosity

Continuity equation :

$$\frac{\partial \phi}{\partial t} + \nabla \cdot \mathbf{j} = 0,$$

Fick's law :

$$\mathbf{j} = -D(\phi, \mathbf{r}) \nabla \phi(\mathbf{r}, t).$$

$$\omega = -i D k^2 + \dots$$

Example: relativistic hydrodynamics

$$D = \frac{\eta}{sT} = \frac{1}{4\pi T}$$

Difficulties with liquids

$$\partial_{\mu} T^{\mu\nu} = 0$$

+

$$T^{\mu\nu} = \dots + \dots$$

+ symmetries

$$\partial_{\mu} J^{\mu} = 0$$

$$J^{\mu} = \dots + \dots$$

Very different from what we discussed for solids !

**Where is the action ?
(e.g. action for a diffusive mode)**

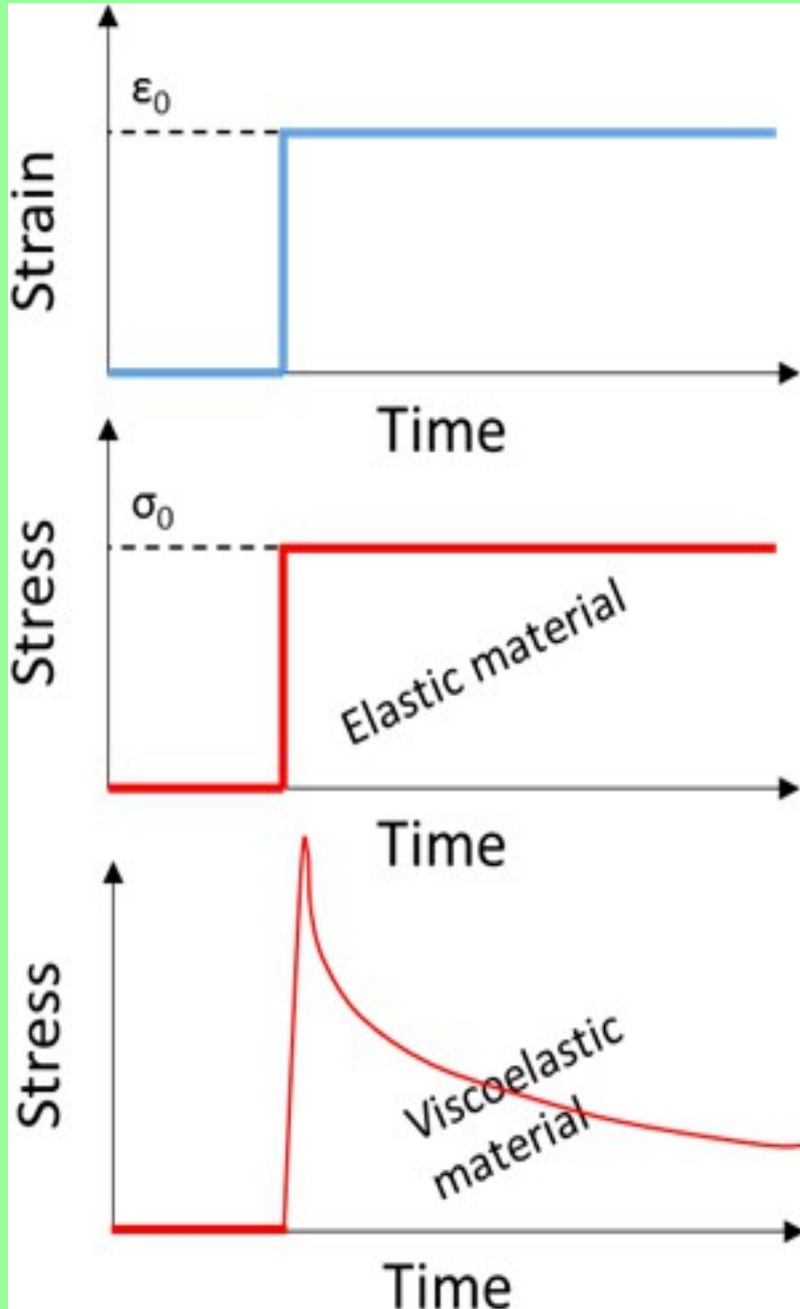


DISSIPATION



HERMITIAN ACTION

Viscoelastic materials



Mozzarella cheese



Human skin



Turbine blades



Volcanic lava



Naval ship propellers

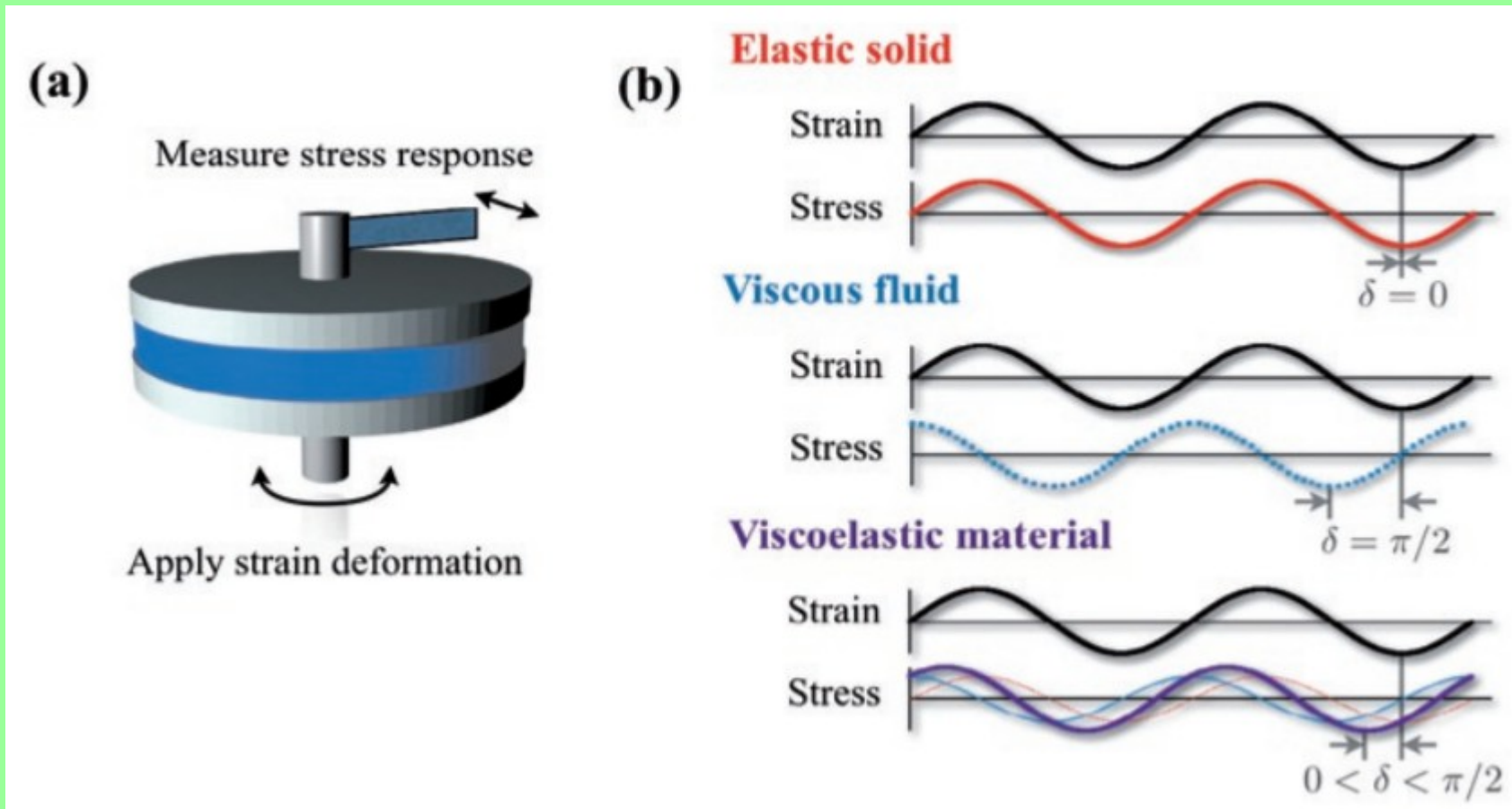


Memory foams

They combine elastic and viscous response

Complex dynamics

Experimental tests



stress $\sigma(t) = \sigma_0 \cos(\omega t)$

strain $\epsilon(t) = \epsilon_0 \cos(\omega t - \delta)$

Complex modulus
 $\langle T_{ij} T_{ij} \rangle$

$$\sigma(\omega) = G(\omega) \epsilon(\omega), \quad G = G' + i G''$$

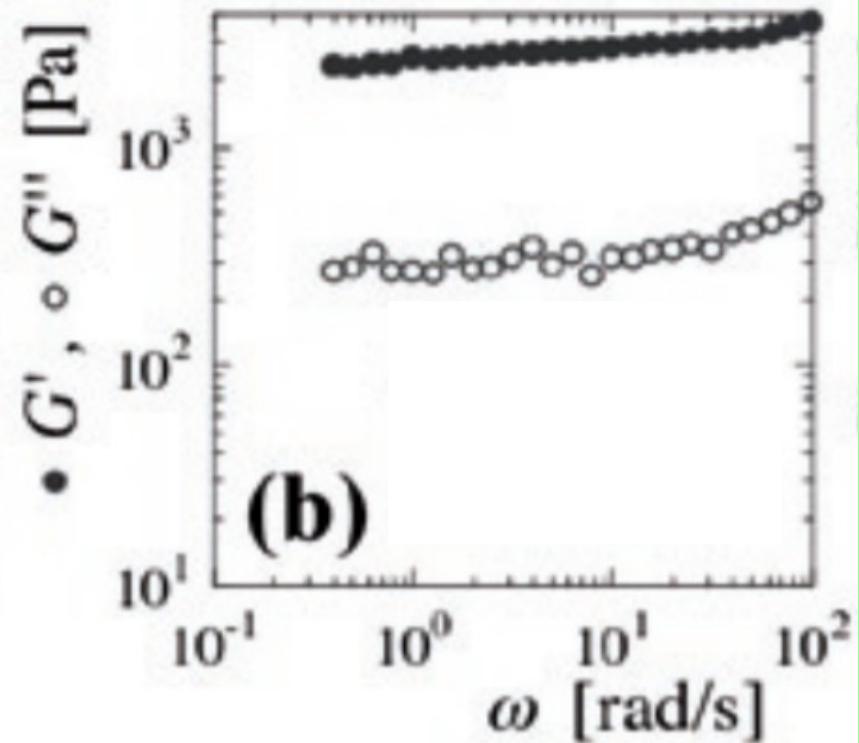
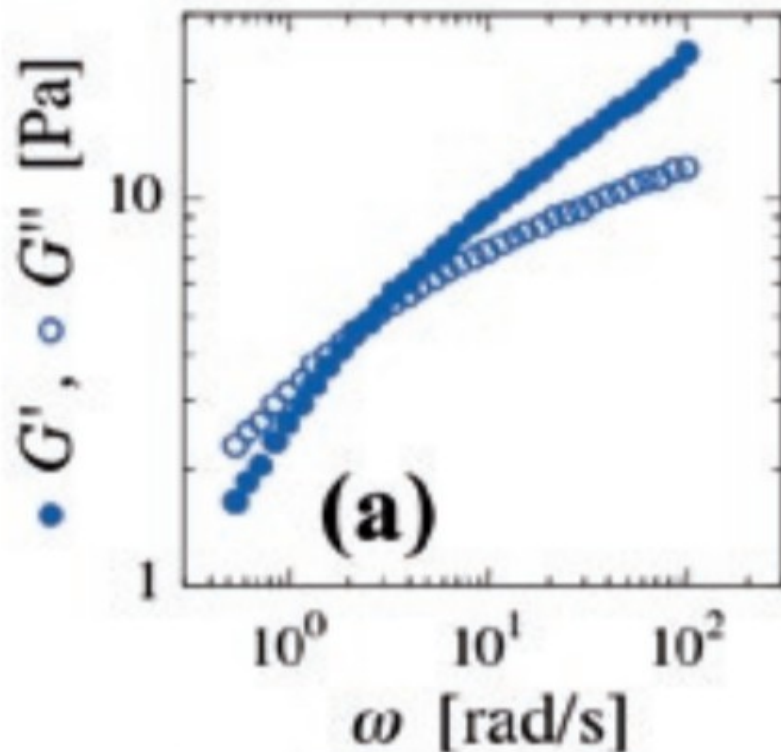
Solids VS fluids (again)

$$G = G' + i G''$$

Complex dynamical modulus

Elastic response
static and in phase

Dissipative/viscous response
response to a strain rate, out of phase



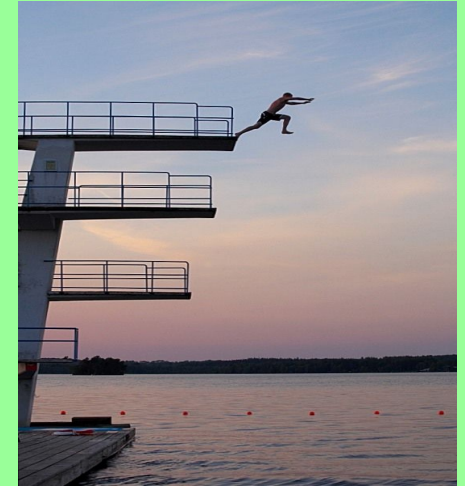
Are liquids and solids really different ?



NOT IF

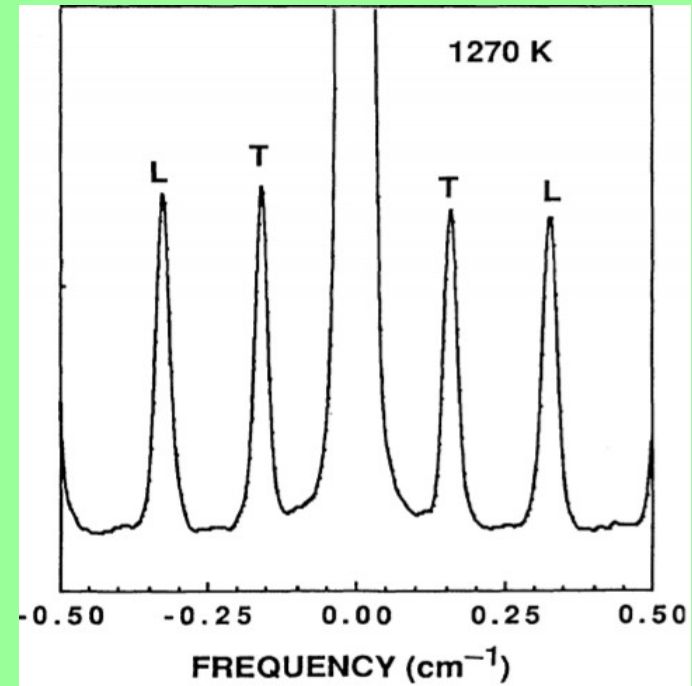
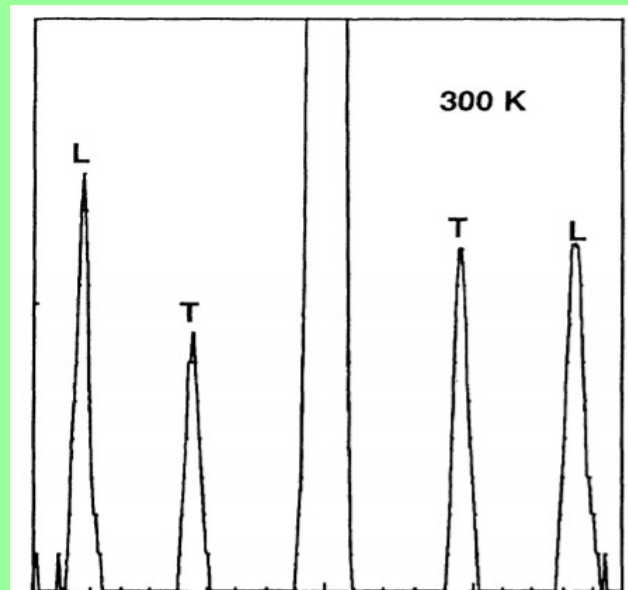
$$\omega > \omega_F \equiv \frac{1}{\tau}$$

Proved in several experiments !!



High-frequency longitudinal and transverse dynamics in water

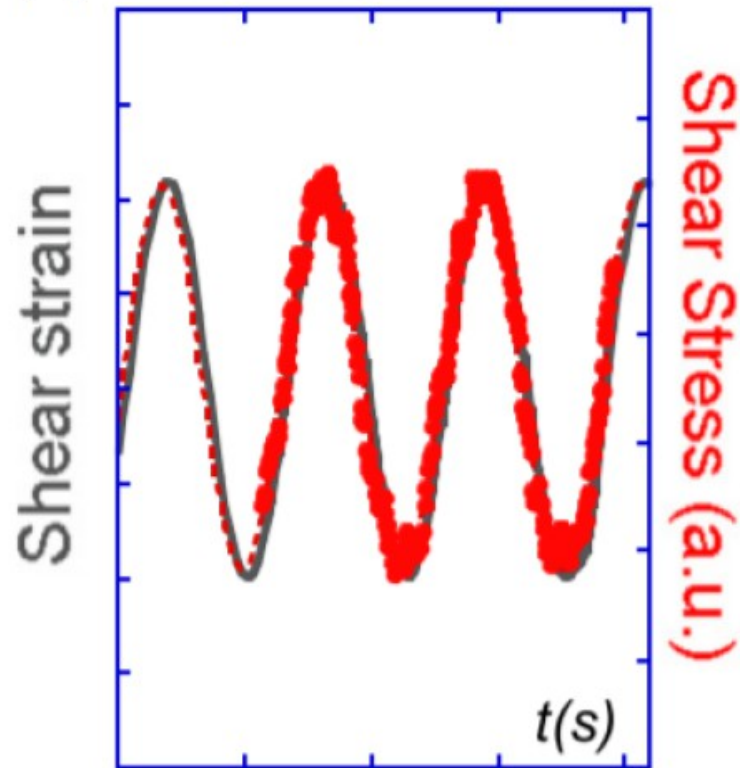
INTENSITY (arb. units)



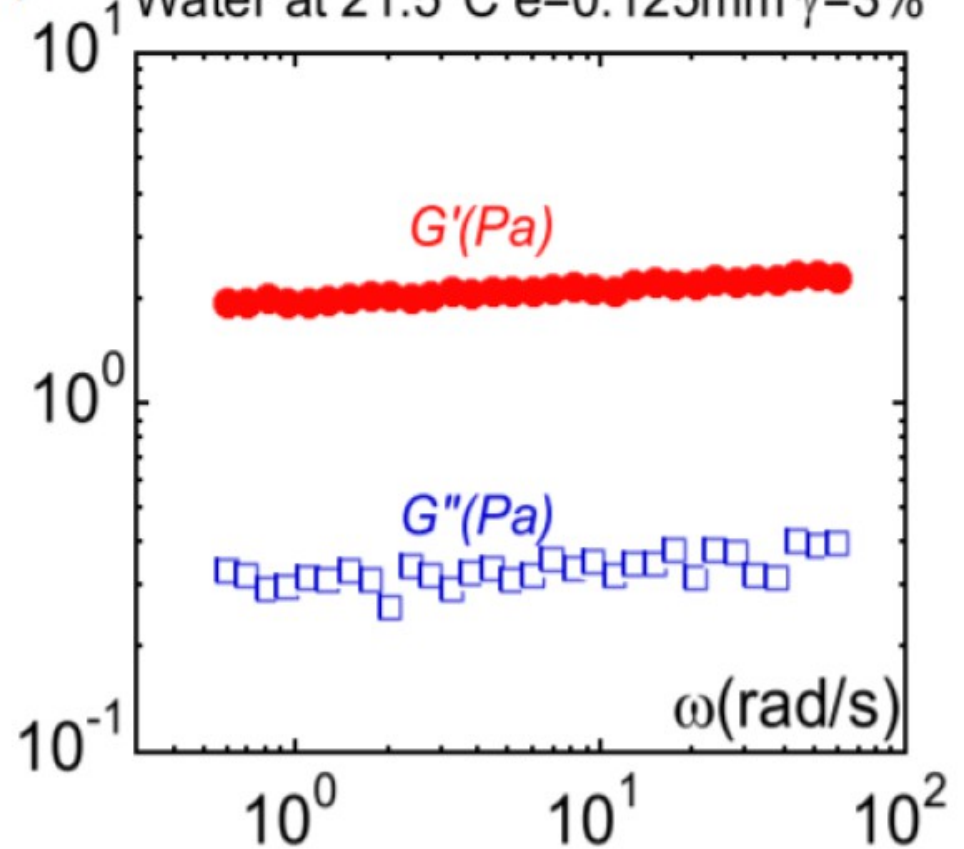
This is



(a) Water 3% e=0.125mm



(b) Water at 21.5°C e=0.125mm $\gamma=3\%$

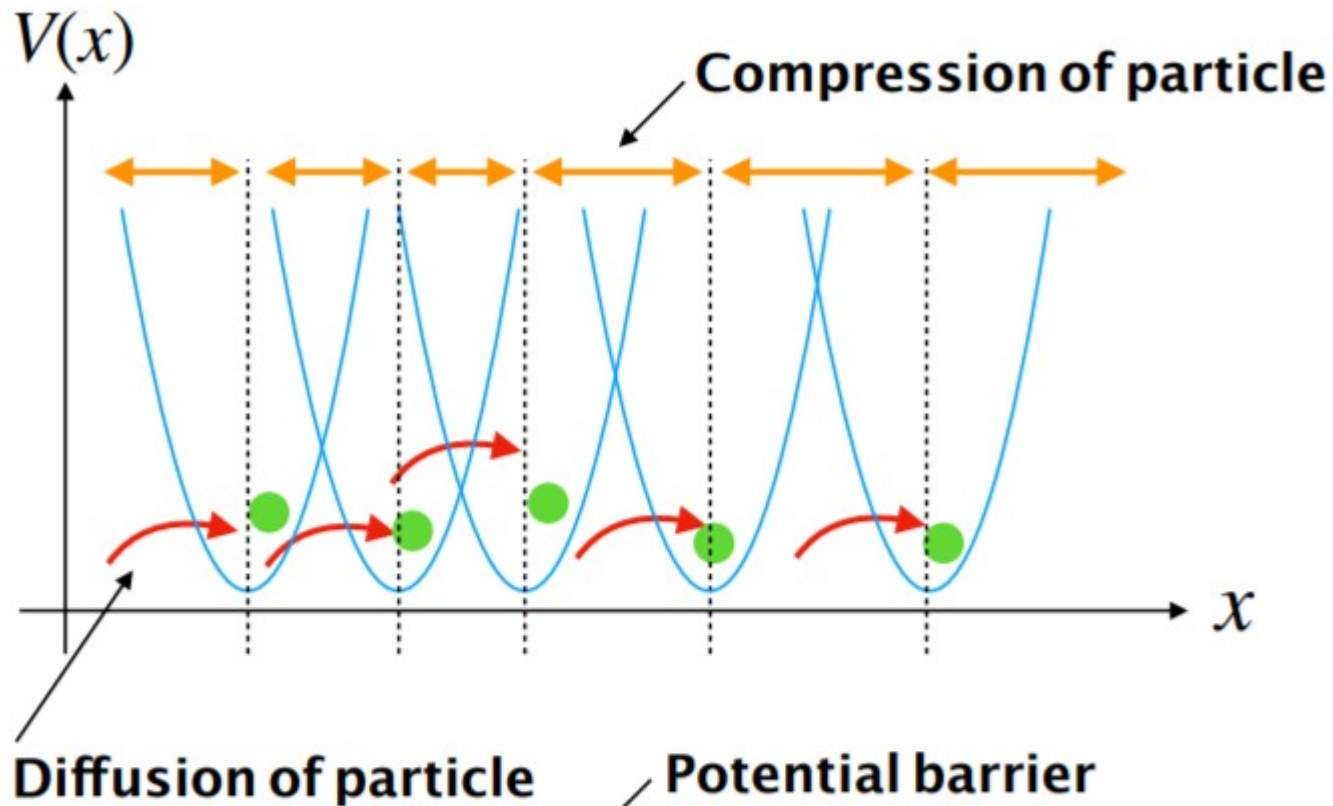


LIQUIDS SUPPORT PROPAGATING SHEAR WAVES ALSO AT LOW FREQUENCIES !!!

LIQUIDS BEHAVE LIKE ELASTIC SOLIDS ALSO AT LOW FREQUENCY !!!

[Noirez et al, *J. Phys.: Condens. Matt.* 2012]

Let us think more about liquids

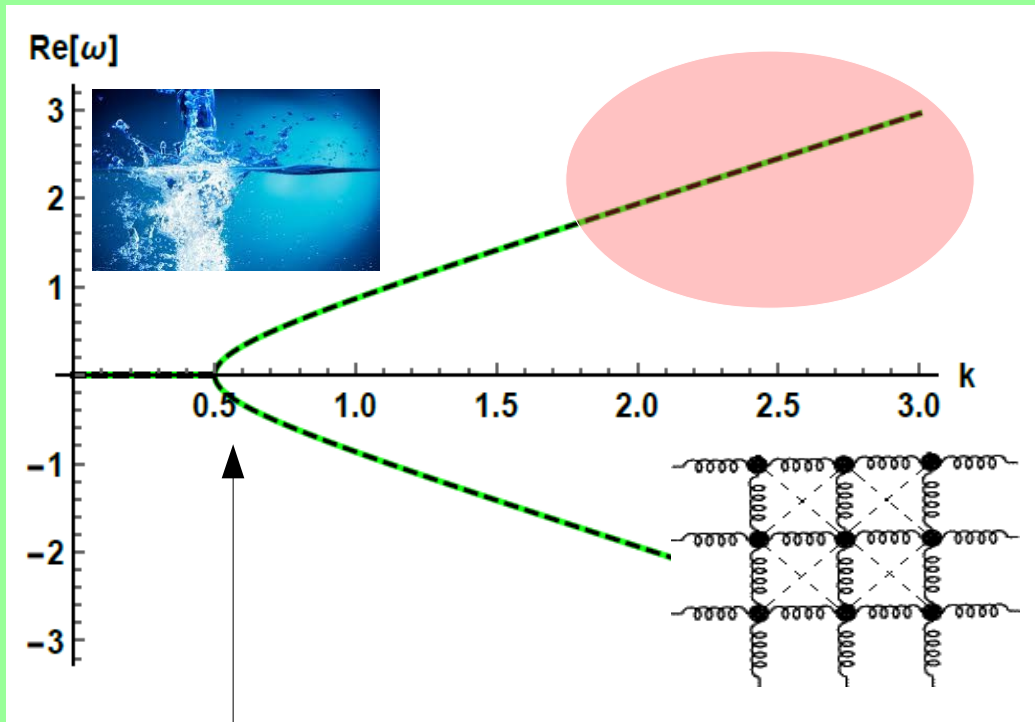


$$\tau$$

Average time of rearrangements of molecules

Average time of particles jumps through the potential barrier

The Maxwell-Frenkel approach



K-gap

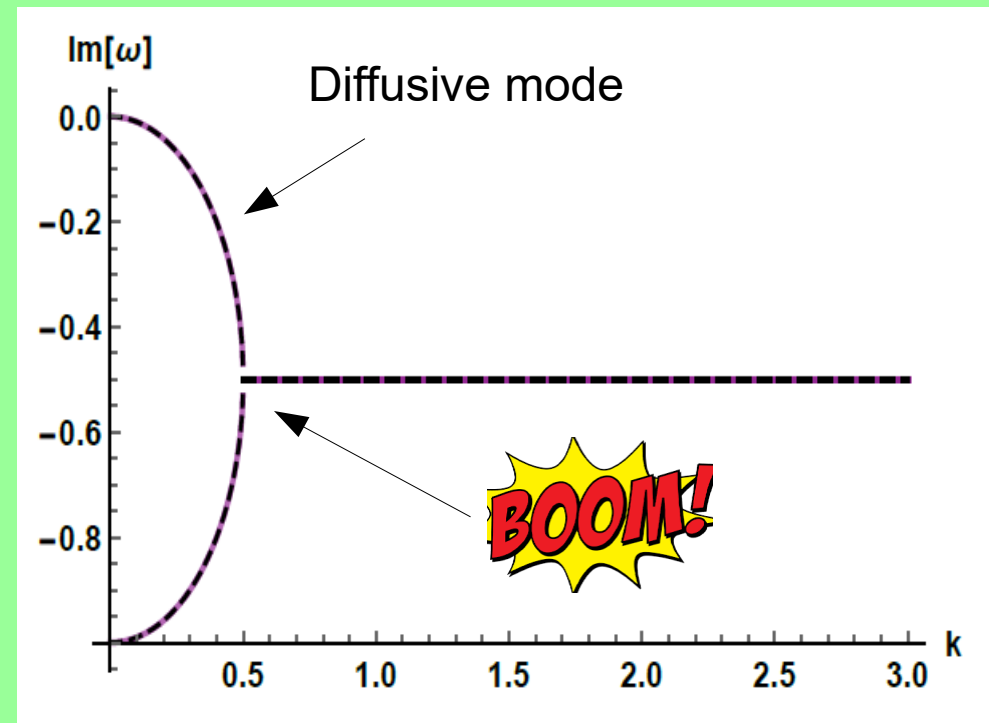
[MB,1904.01419]

Propagating shear mode
at low frequency

From the collision of the diffusive mode
and a lower non-hydro mode

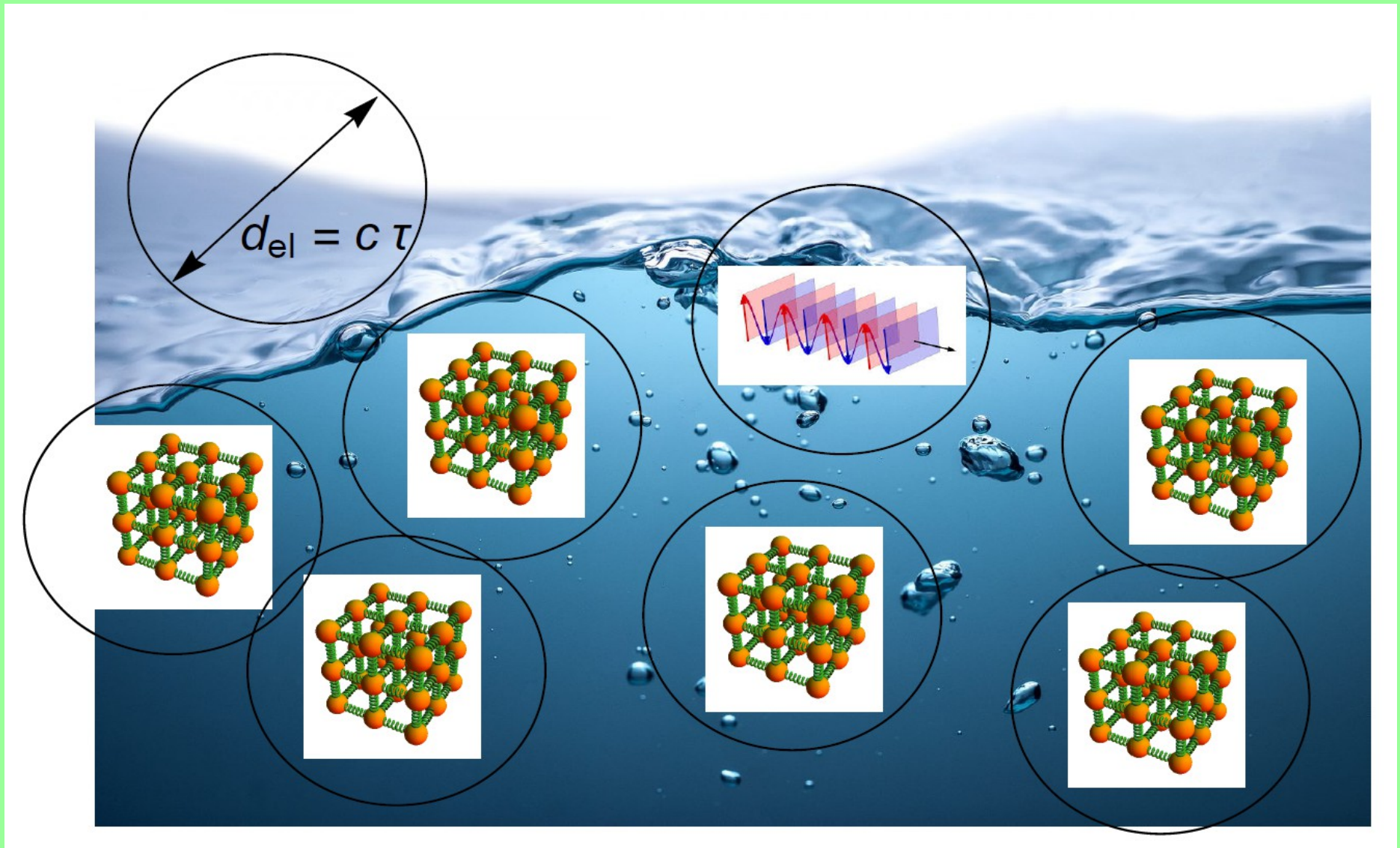
$$\omega^2 + \omega \frac{i}{\tau} - \mathcal{V}^2 k^2 = 0$$

$$\omega = -\frac{i}{2\tau} \pm \sqrt{\mathcal{V}^2 k^2 - \frac{1}{4\tau^2}}$$



Physical meaning

[MB,1904.01419]



$$k > k_g = \frac{1}{2c\tau}$$

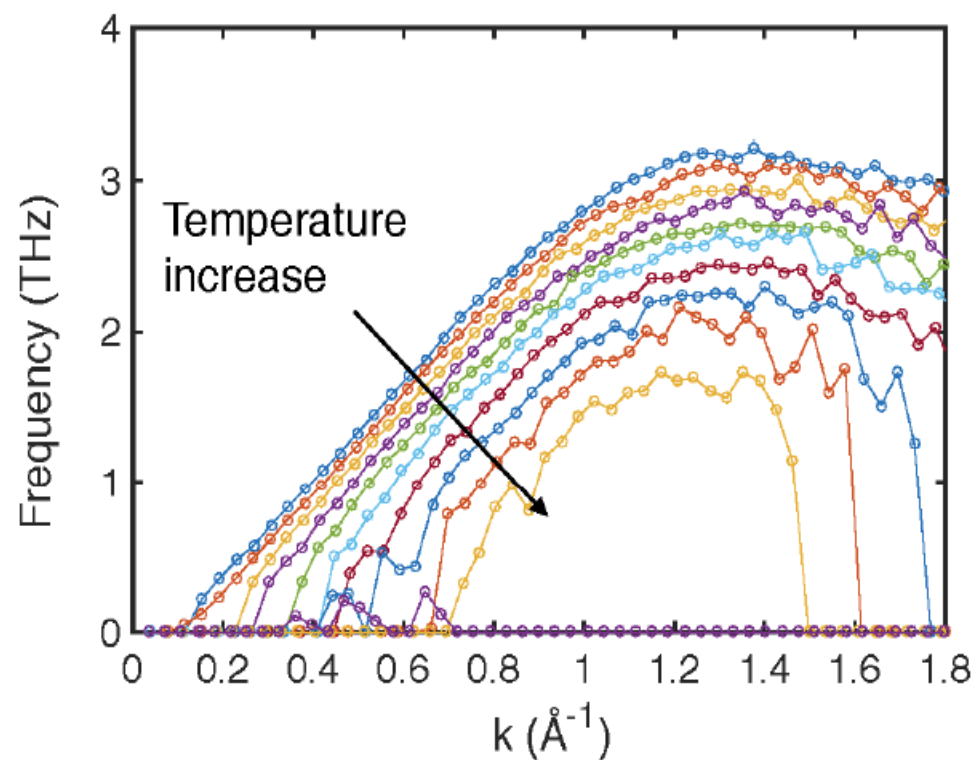
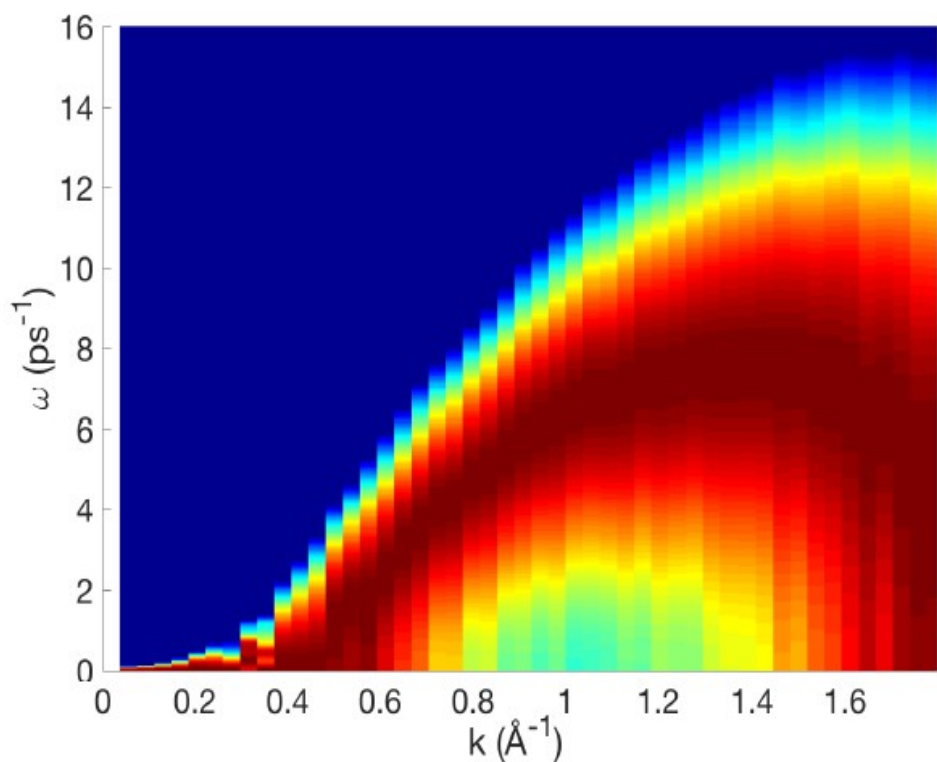
$$d_{el} = c\tau$$

Molecular dynamic simulations

[C. Yang, M. T. Dove, V. V. Brazhkin, K. Trachenko, PRL 2017]

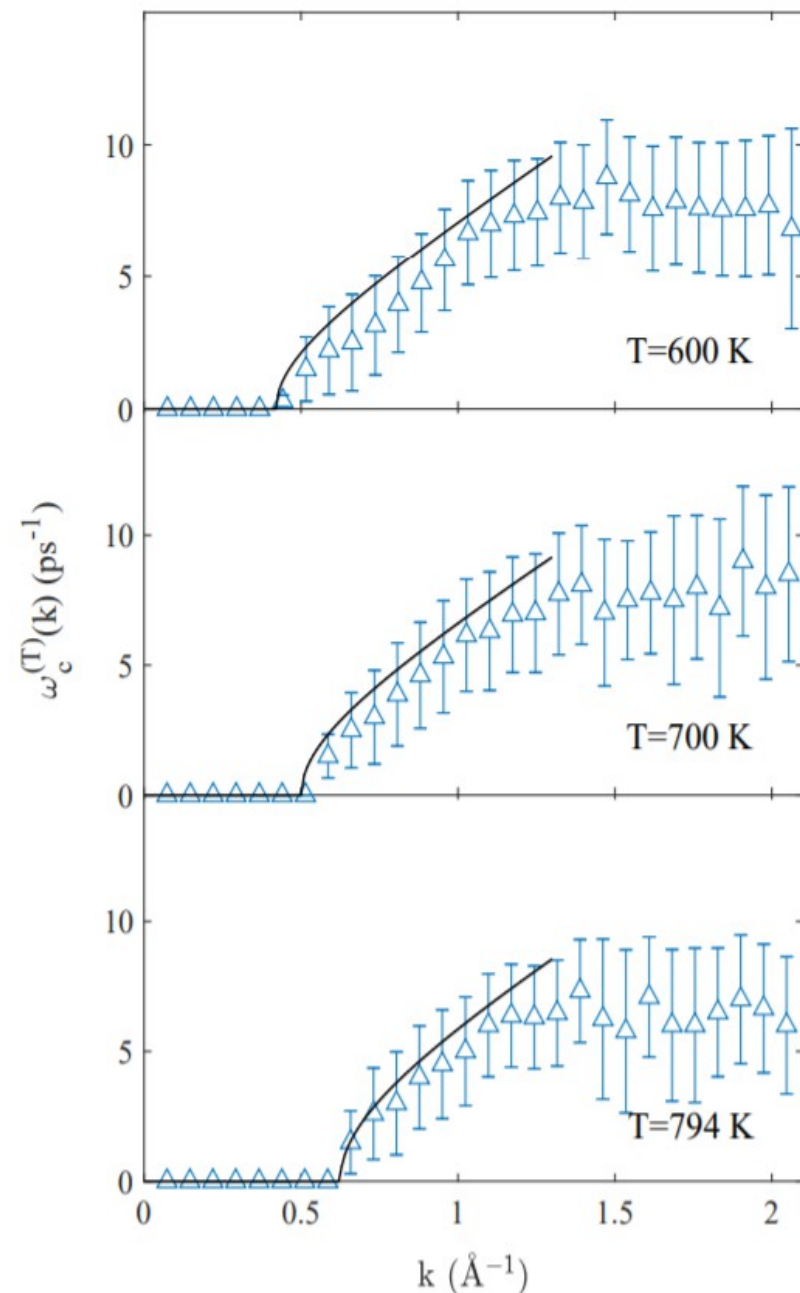
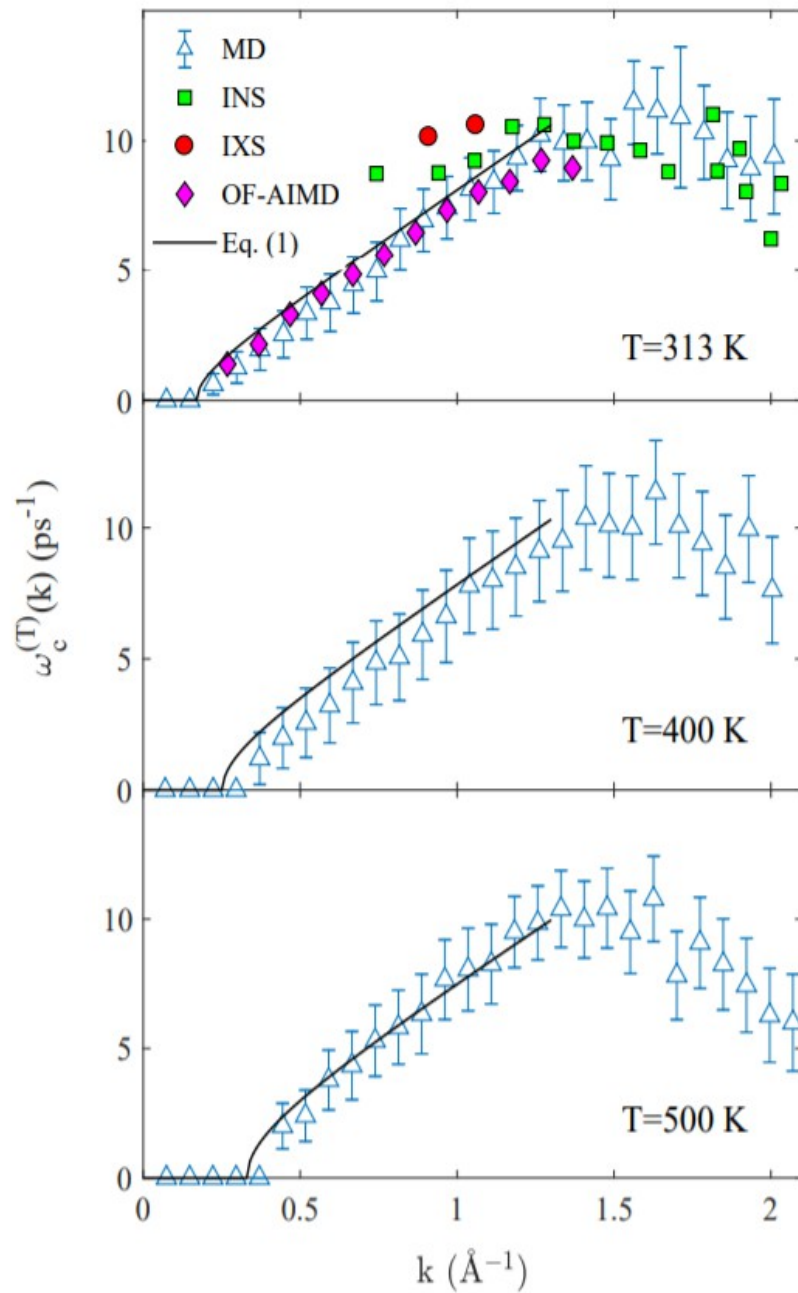
K-gap observed in molecular dynamical simulations

The K-gap increases with temperature in agreement with the idea that tau decreases.



Experiments (Ga)

[Trachenko et Al, 2020]



A field theory toy model

work W done to move the liquid.

$$W \propto F s$$

F is the viscous force $F \propto \eta \frac{ds}{dt}$

[MB, 2004.13613]

New dissipative term

$$L_d \propto \phi_1 \frac{\partial \phi_2}{\partial t} - \phi_2 \frac{\partial \phi_1}{\partial t}$$

[It needs two scalar fields ...]

$$L_\psi = \frac{1}{2} \left[\left(\frac{\partial \psi_1}{\partial t} \right)^2 - c^2 \left(\frac{\partial \psi_1}{\partial x} \right)^2 - \left(\frac{\partial \psi_2}{\partial t} \right)^2 + c^2 \left(\frac{\partial \psi_2}{\partial x} \right)^2 \right] + \quad (23)$$

$$+ \frac{1}{2\tau} \left(\psi_2 \frac{\partial \psi_1}{\partial t} - \psi_1 \frac{\partial \psi_2}{\partial t} \right)$$

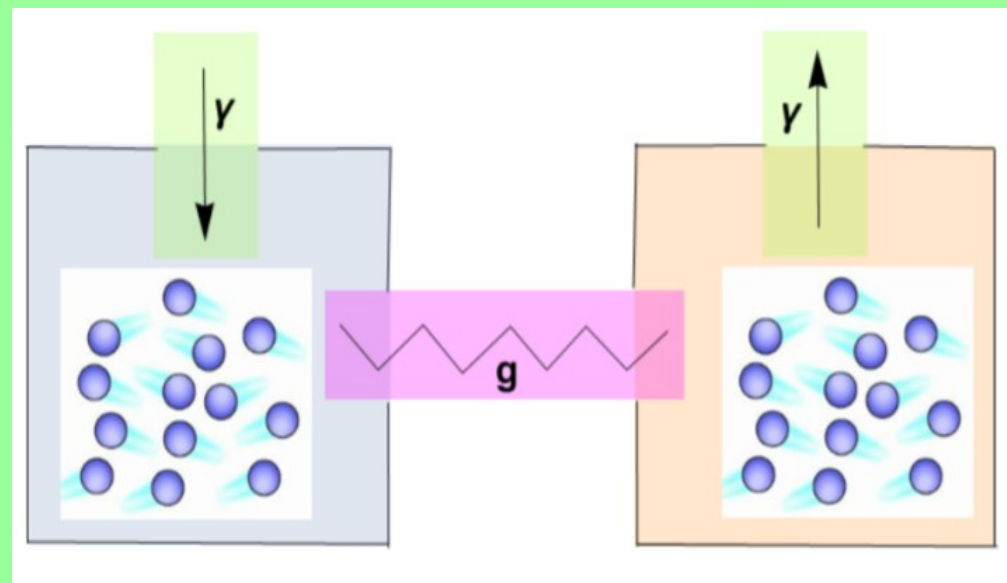
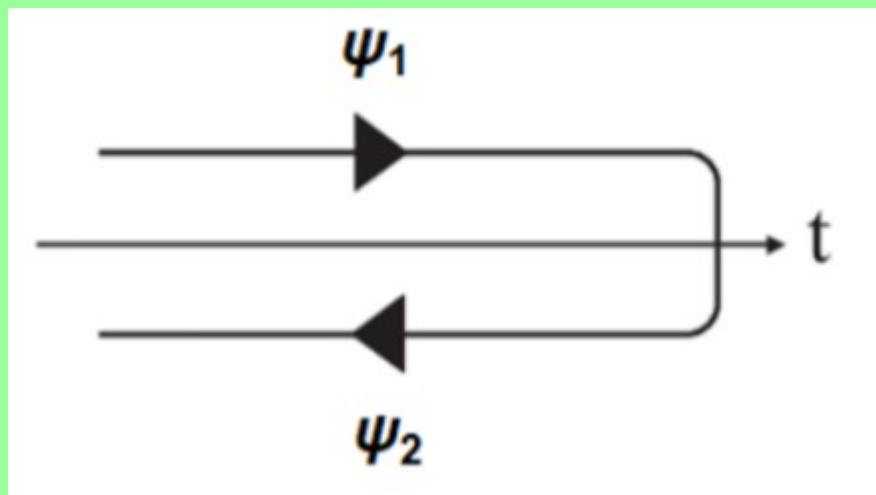
**Non-hermitian
PT symmetric**

A field theory toy model

[MB, 2004.13613]

Keldysh-Schwinger interpretation

$$e^{W(\zeta_1, \zeta_2)} = \int D\psi_1 D\psi_2 e^{i \int_{-\infty}^{\infty} dt (\mathcal{L}(\psi_1; \xi_1) - \mathcal{L}(\psi_2; \xi_2)) + i \mathcal{L}_{1,2}(\infty)}$$



Non-hermitian (= dissipation)

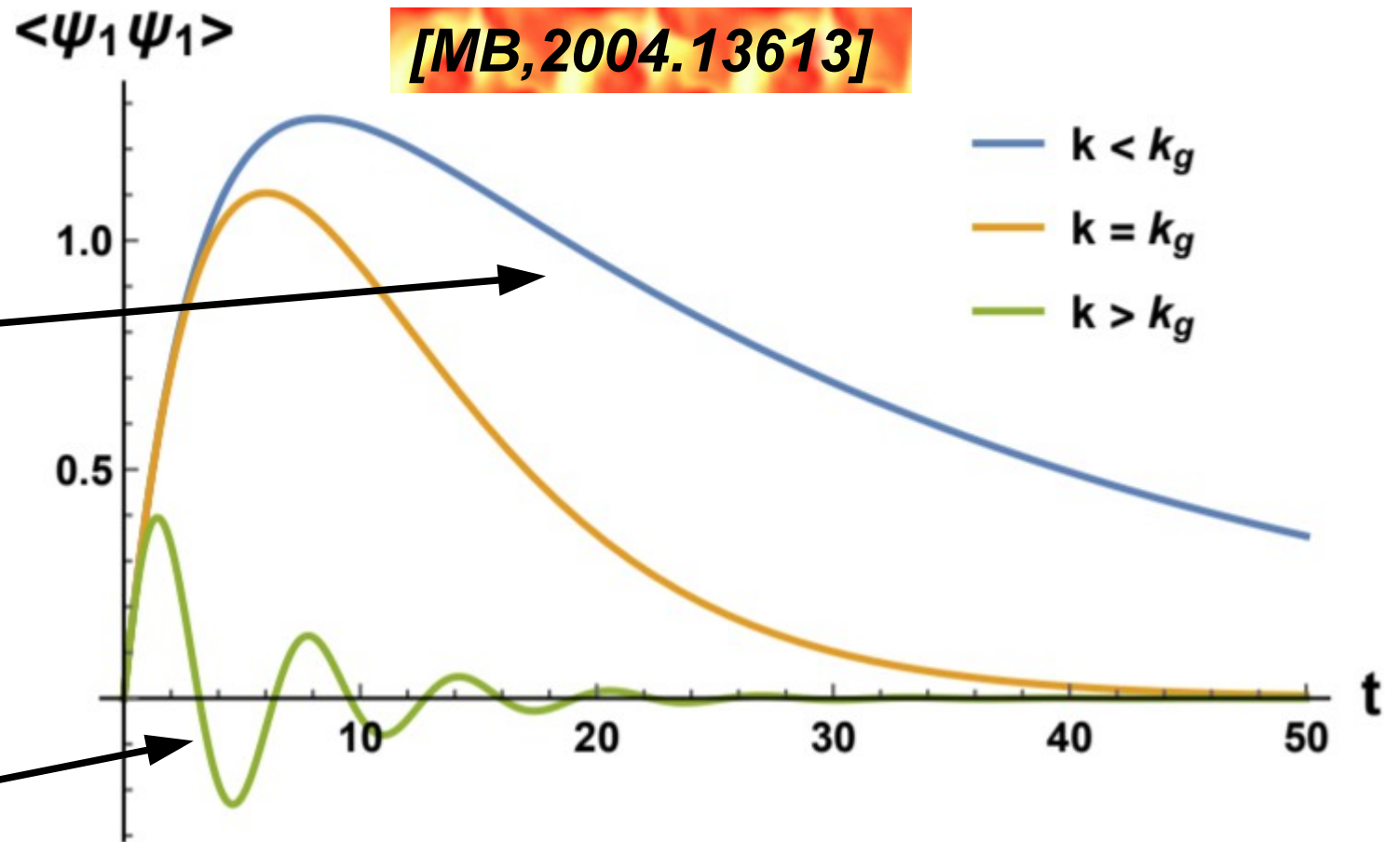
PT symmetry (=unitary)

$$\frac{dO(t)}{dt} = i e^{iH^\dagger t} (H^\dagger O - O H) e^{-iHt}$$

A field theory toy model

FLUID

SOLID



$$\langle \psi_1 \psi_1 \rangle = -\frac{\omega^2 - c^2 k^2}{(\omega^2 - c^2 k^2)^2 + \tau^{-2} \omega^2} \quad \langle \psi_1 \psi_1 \rangle_t = \frac{\sin(\omega_R |t|)}{2\omega_R} e^{-\frac{|t|}{2\tau}}$$

$$\langle \psi_1 \psi_2 \rangle = \frac{i\omega\tau^{-1}}{(\omega^2 - c^2 k^2)^2 + \tau^{-2} \omega^2} \quad \langle \psi_1 \psi_2 \rangle_t = \frac{\sin(\omega_R t)}{2\omega_R} e^{-\frac{|t|}{2\tau}}$$

A field theory toy model

Interaction potential

$$\mathcal{D} = \frac{1}{(2\pi)^3} \int_0^\infty \frac{e^{i\mathbf{k}\cdot\mathbf{r}}}{\omega^2 - c^2\mathbf{k}^2 + \frac{i\omega}{\tau}} d\mathbf{k}$$



$$\mathcal{D} = -\frac{1}{4\pi c^2 r} e^{\frac{ir}{c}} \sqrt{\omega^2 + \frac{i\omega}{\tau}}$$

solid-regime

$$\omega\tau \gg 1$$

$$\mathcal{D} = -\frac{1}{4\pi c^2 r} e^{\frac{i\omega r}{c}}$$

hydro-regime

$$\omega\tau \ll 1$$

$$\mathcal{D} = -\frac{1}{4\pi c^2 r} e^{\frac{ir}{c}} \sqrt{\frac{\omega}{2\tau}} e^{-\frac{r}{c}} \sqrt{\frac{\omega}{2\tau}}$$

[MB, 2004.13613]

$$d^2 \propto DT$$

Relativistic hydrodynamics

$$\omega = -i \frac{\eta}{\epsilon + p} k^2$$

SHEAR DIFFUSION
(linear order)

$$|v| = \left| \frac{\partial \omega}{\partial k} \right| \sim k > c$$



Not a real problem (in my opinion), only issue for simulations

$$\omega^2 + \underline{i\omega\tau_\pi^{-1}} = v^2 k^2, \quad v^2 = \frac{\eta}{(\epsilon + p)\tau_\pi},$$

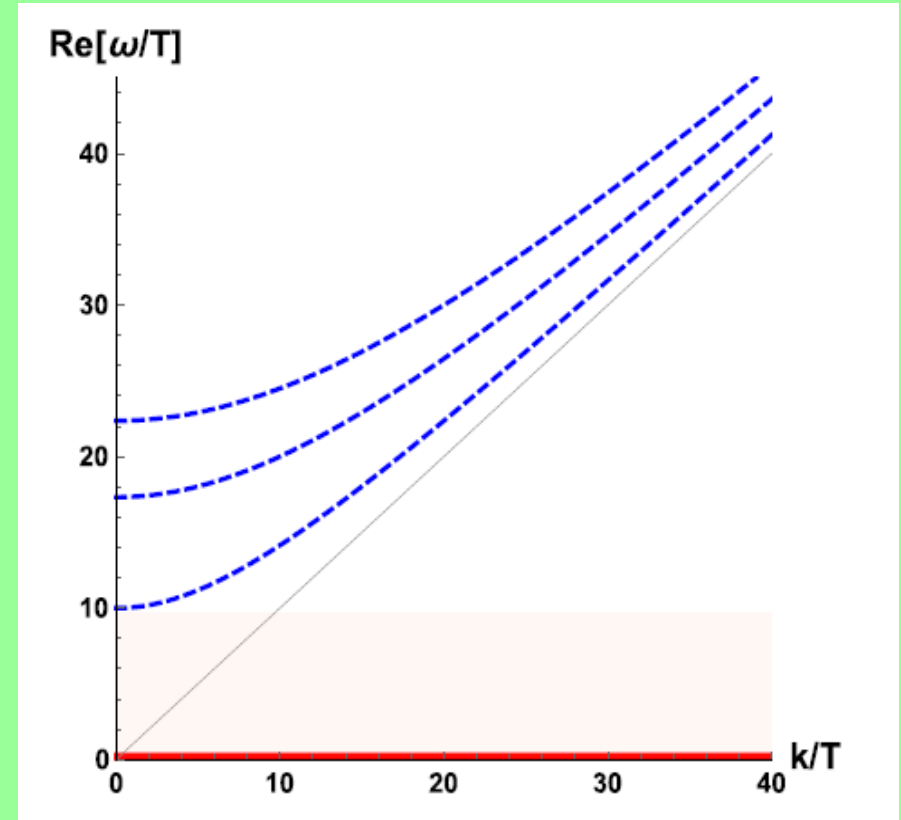
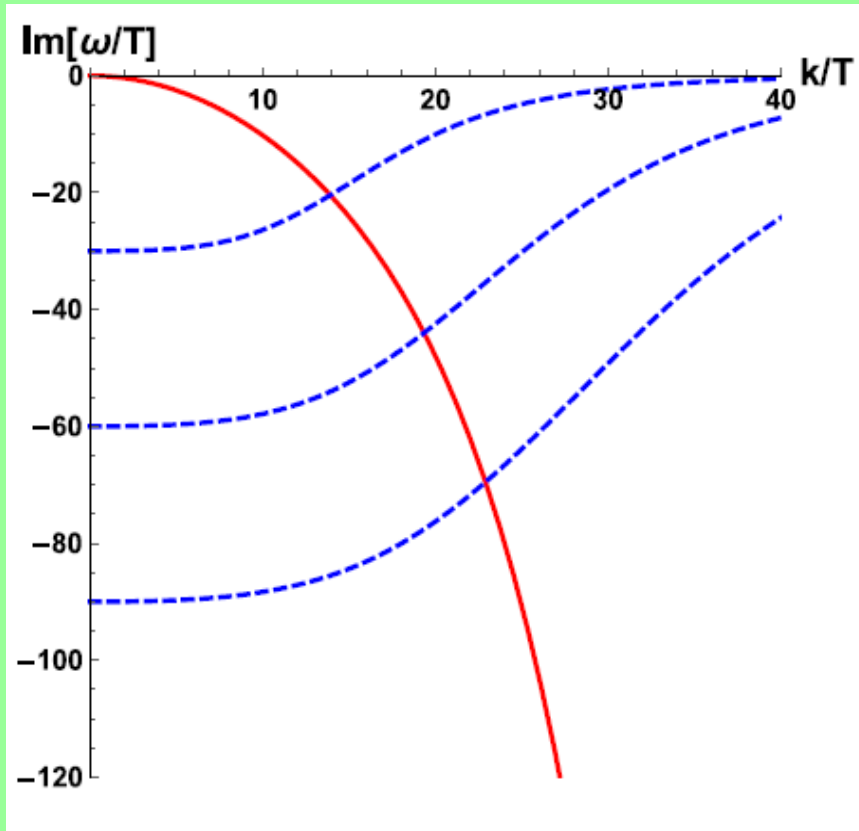
Israel-Stewart formalism

→ Same (telegraph) equation

Holographic inputs (step 0)



Schwarzschild Black hole → *Relativistic hydrodynamics*



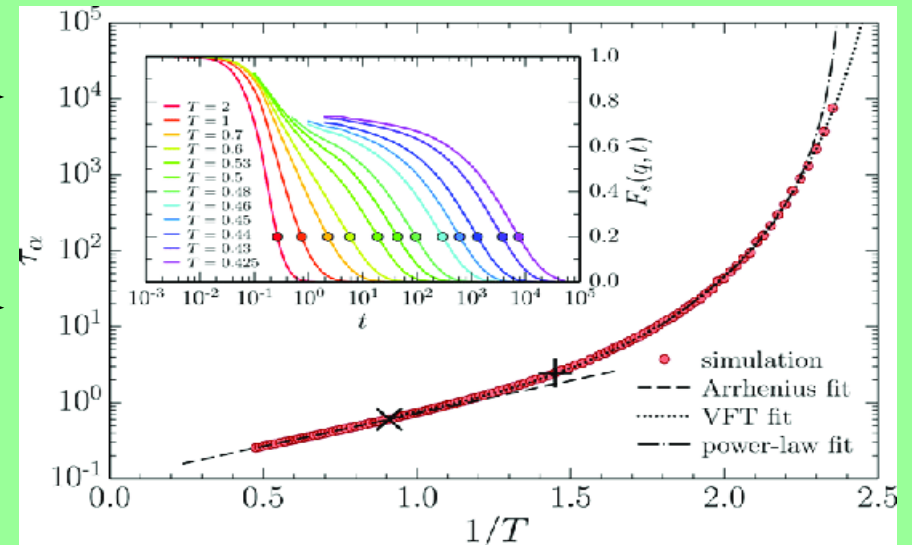
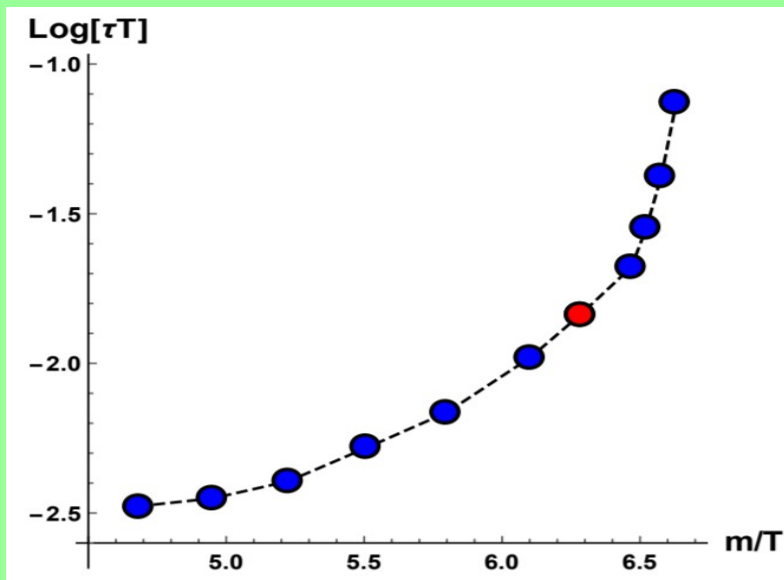
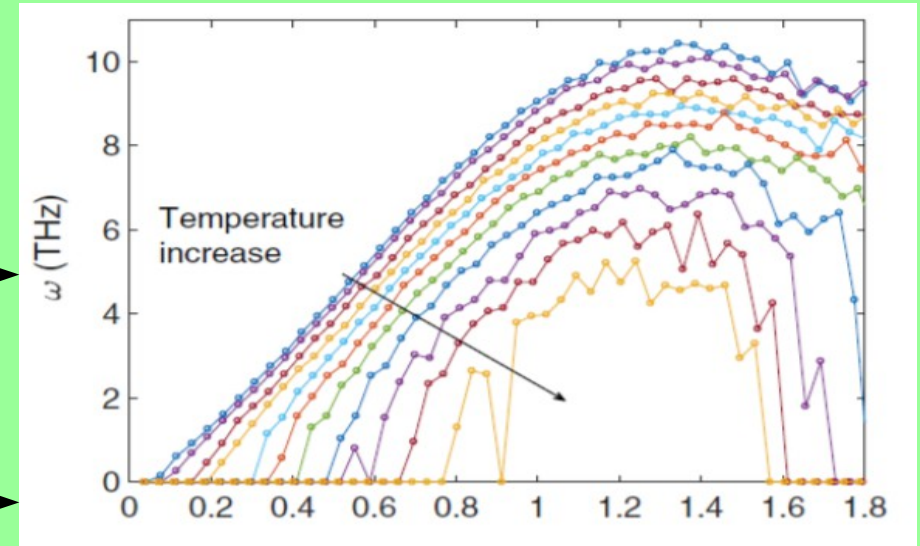
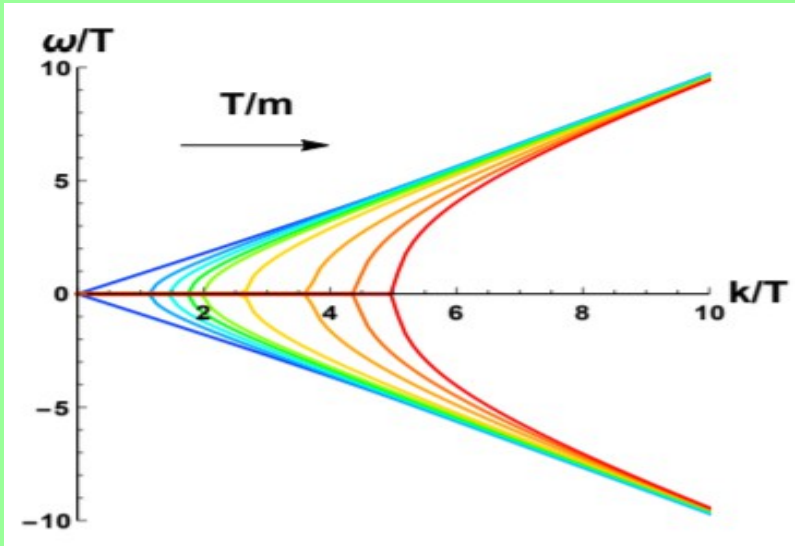
No k -gap, only crossing of modes



Holographic inputs

[MB, 1807.10530,
1808.05391]

Let us add a scale
Example 1: linear axions

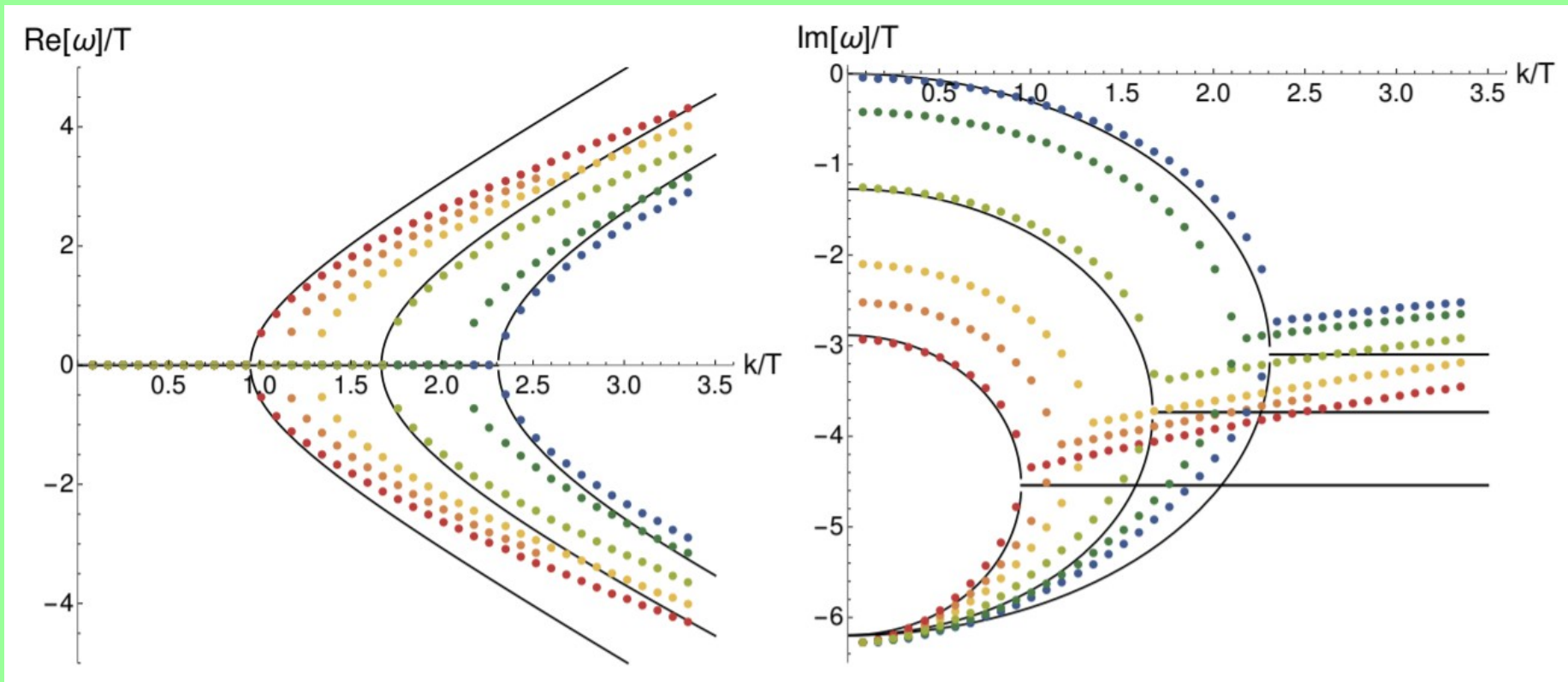


Holographic inputs

[MB, 1905.00804,
1912.07321]

**Coulomb
interactions**

$$\left(\omega^2 \delta A_x^{(0)} + \lambda \delta A_x^{(1)} \right) = 0,$$



Hydro theory:

Relativistic magnetohydrodynamics

Juan Hernandez, Pavel Kovtun

arXiv:1703.08757

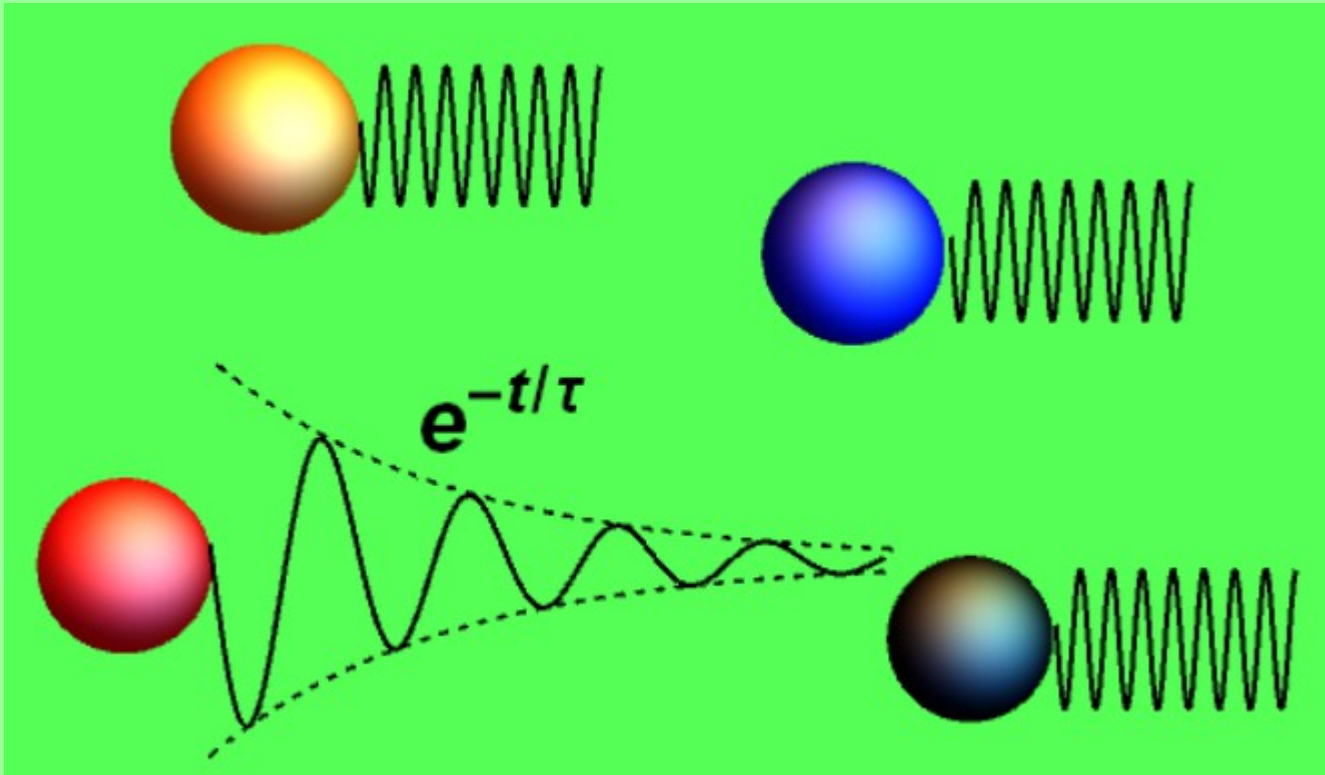
"Holographic" inputs

Holography and hydrodynamics with weakly broken symmetries

Sašo Grozdanov, Andrew Lucas, Napat Poovuttikul

arXiv:1810.10016

QUASI-HYDRODYNAMICS



$$\begin{aligned}\partial_t S + \partial_x \mathcal{J} &= 0, \\ \partial_t \mathcal{J} + \frac{\mathfrak{D}}{\tau} \partial_x S &= -\frac{1}{\tau} \mathcal{J}.\end{aligned}$$

$$\omega^2 + \frac{i}{\tau} \omega - \frac{\mathfrak{D}}{\tau} k^2 = 0,$$

1 CHARGE NOT CONSERVED BUT SLOWLY RELAXING

Another example

GENERALIZED GLOBAL SYMMETRIES (e.g. two-form dynamics)

Generalized global symmetries and holography

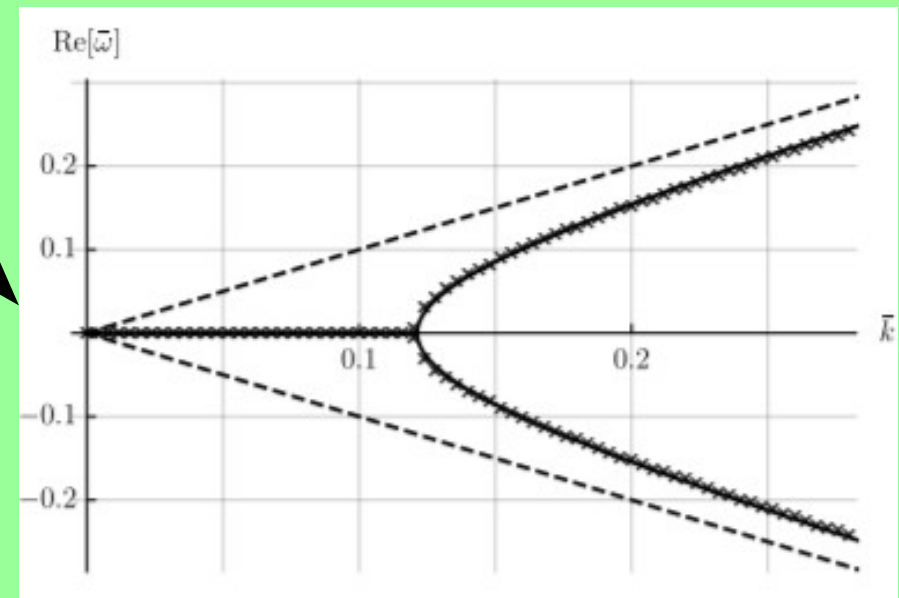
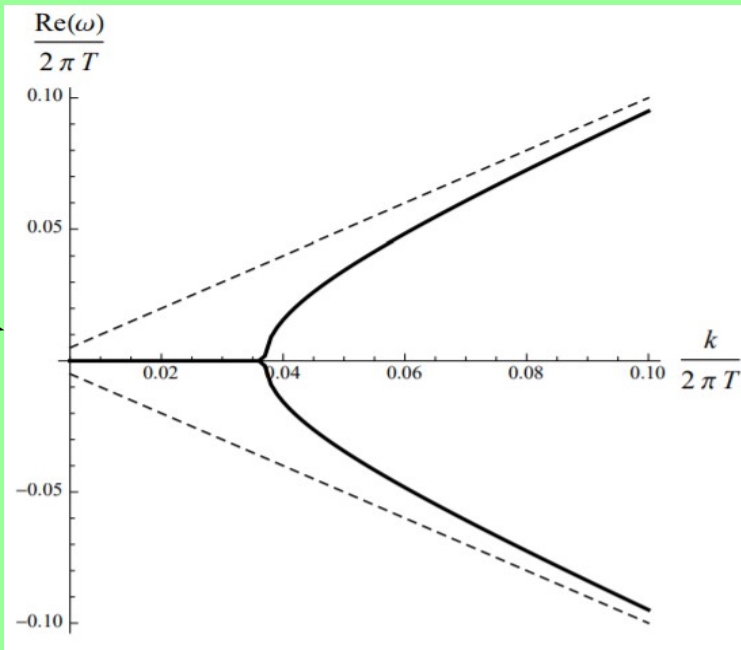
Diego M. Hofman, Nabil Iqbal

arXiv:1707.08577

Generalised global symmetries in states with dynamical defects: the case of the transverse sound in field theory and holography

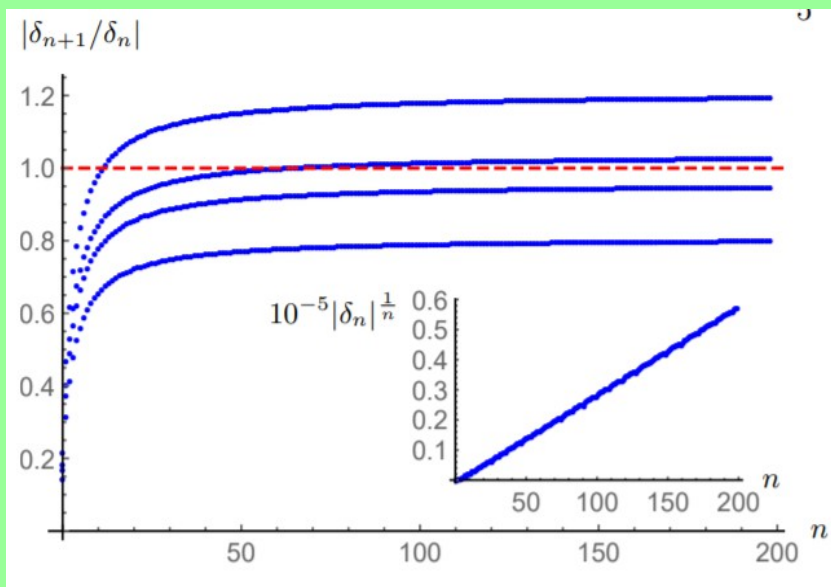
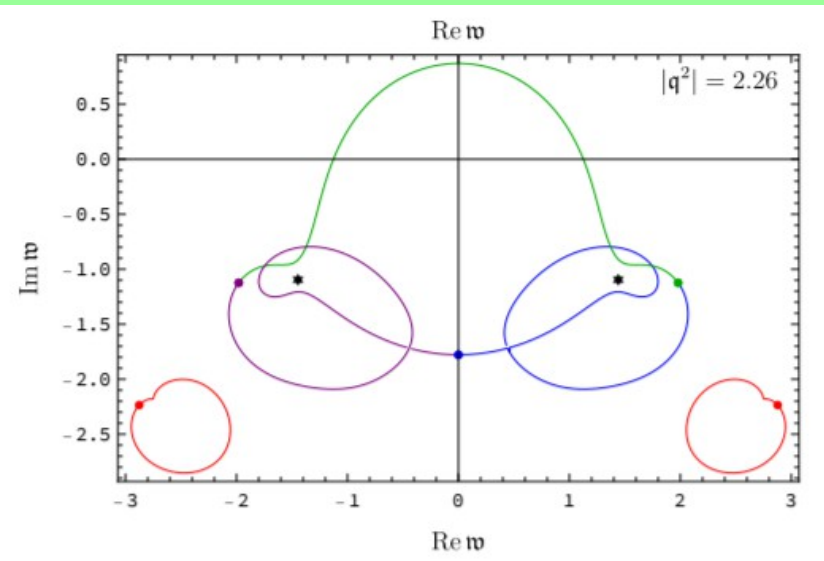
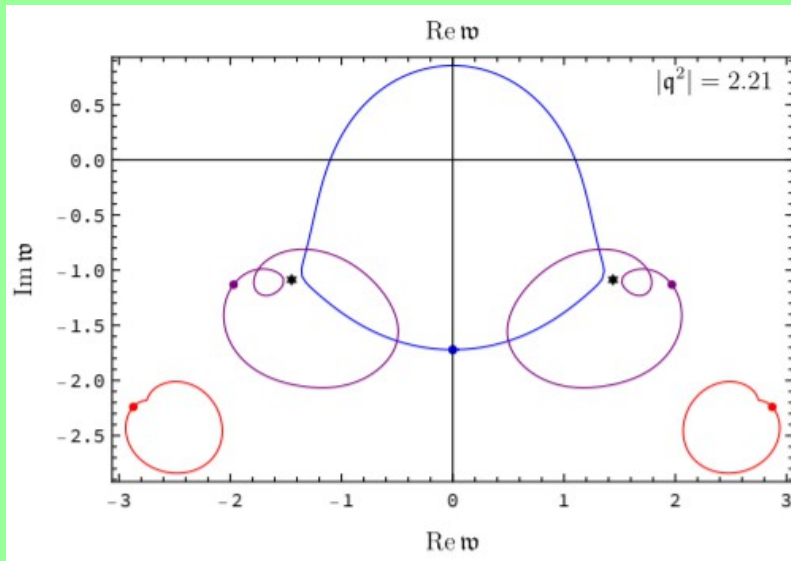
Sašo Grozdanov, Napat Poovuttikul

arXiv:1801.03199



Convergence of Hydrodynamics

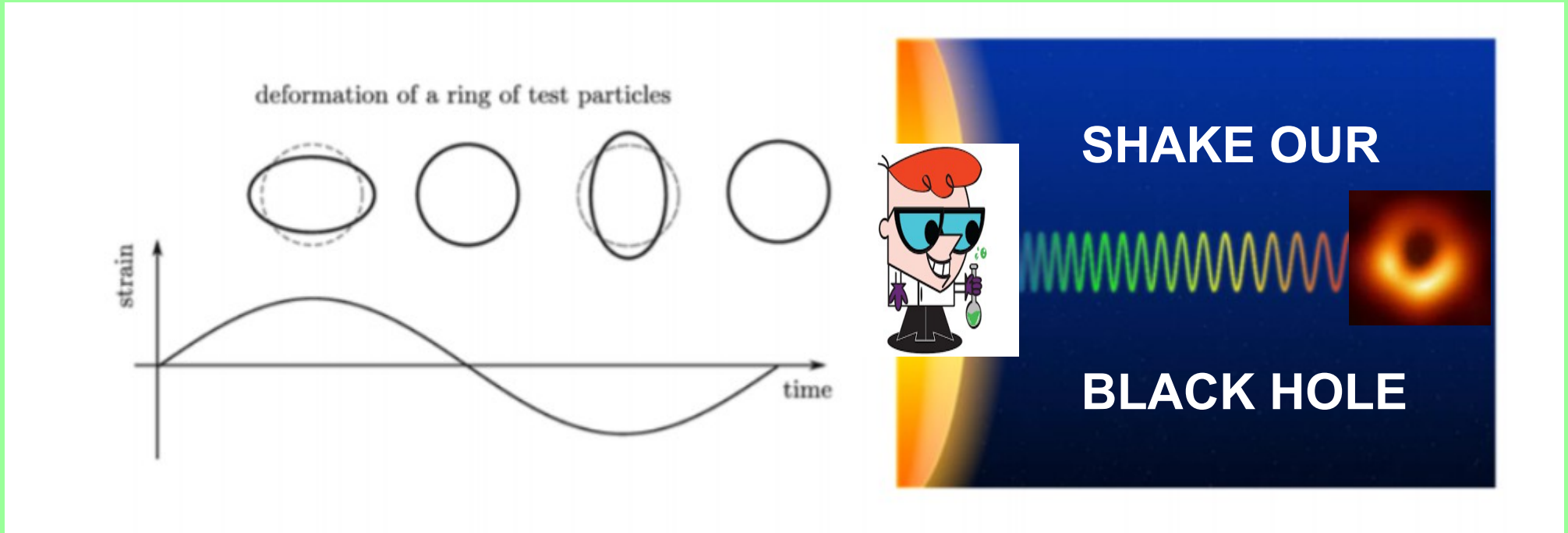
Radius of convergence \longrightarrow **Collisions in complex space**



K-gap sets the radius of convergence of hydrodynamics

[Grozdanov et Al.]
[Heller et Al.]

Holographic viscoelasticity



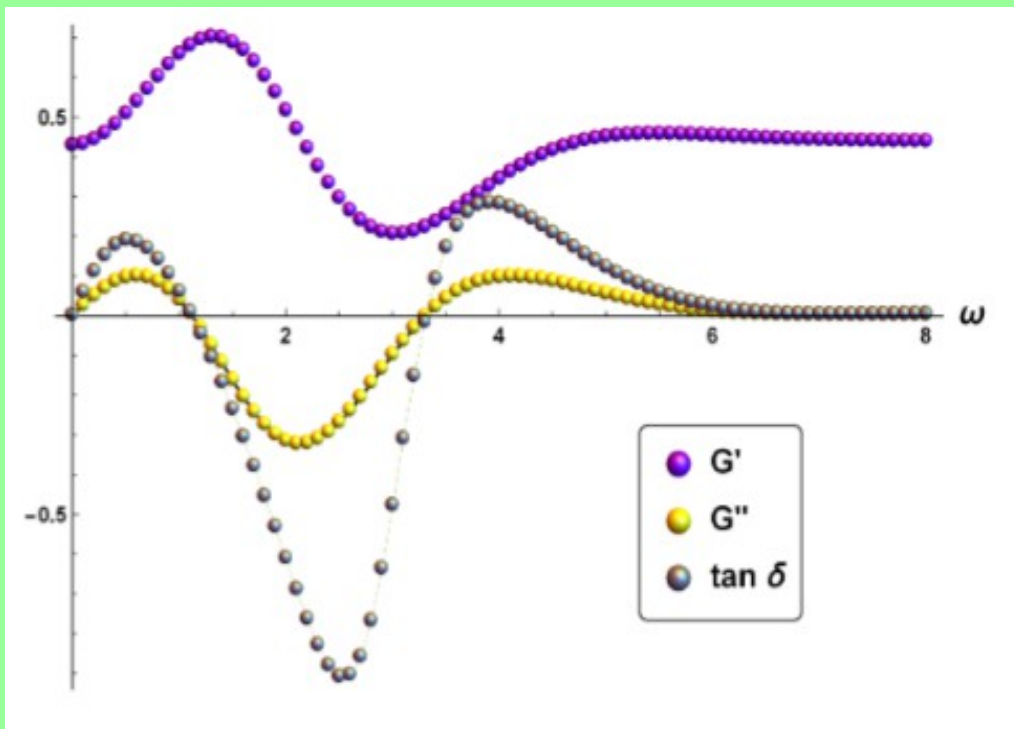
$$h_{xy}(t) = \gamma(t)$$

$$\gamma(t) = \gamma_0 \sin(2\pi\omega t)$$

$$T_{xy}(t)$$

[MB, 1903.02859,
1910.06331]

Holographic viscoelasticity



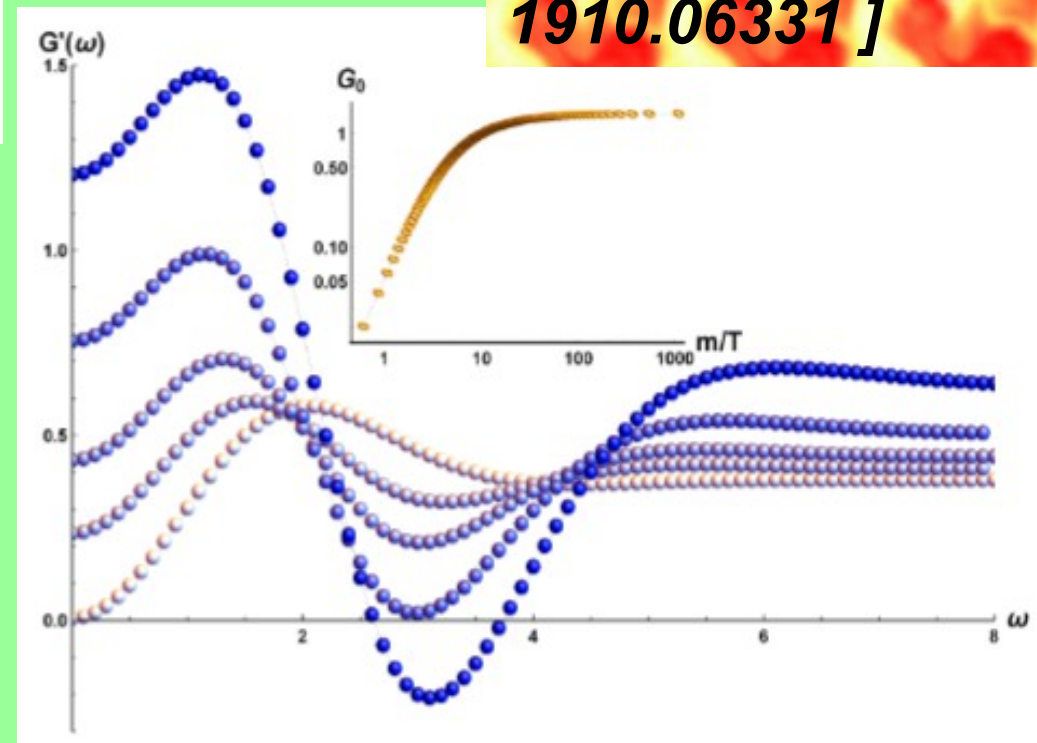
*At large frequencies the
viscosity goes to zero
= the system is a solid*

*Well-known fact,
Cf. Frenkel time*

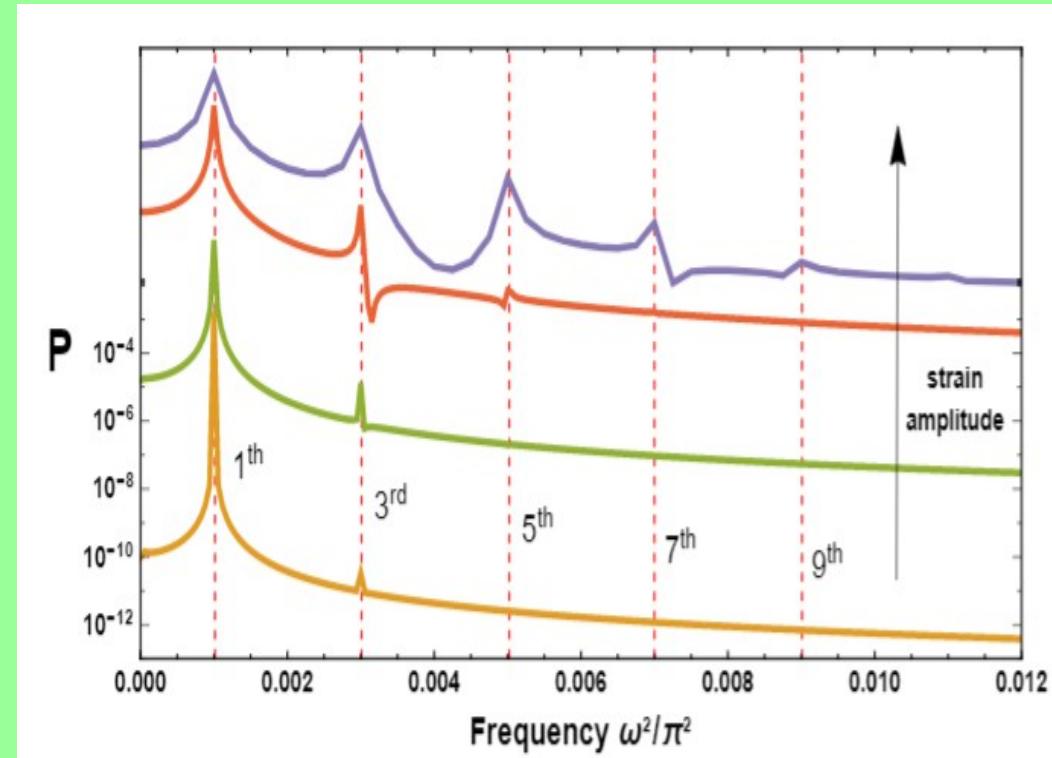
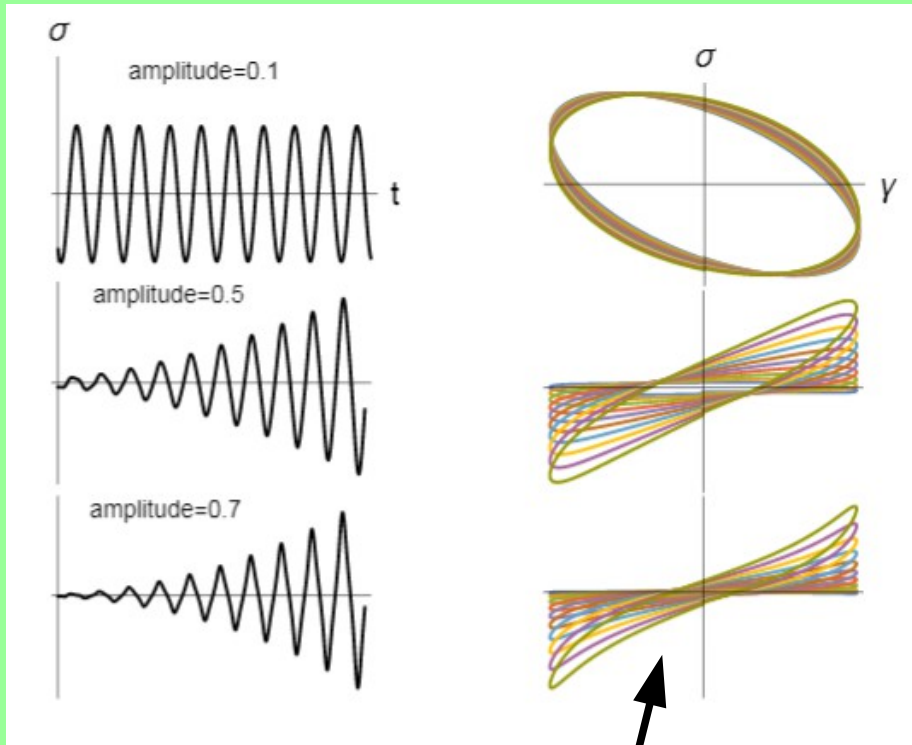
**[MB,1903.02859,
1910.06331]**

*The larger the graviton
mass, the more solid
our system*

FLUID \longrightarrow SOLID

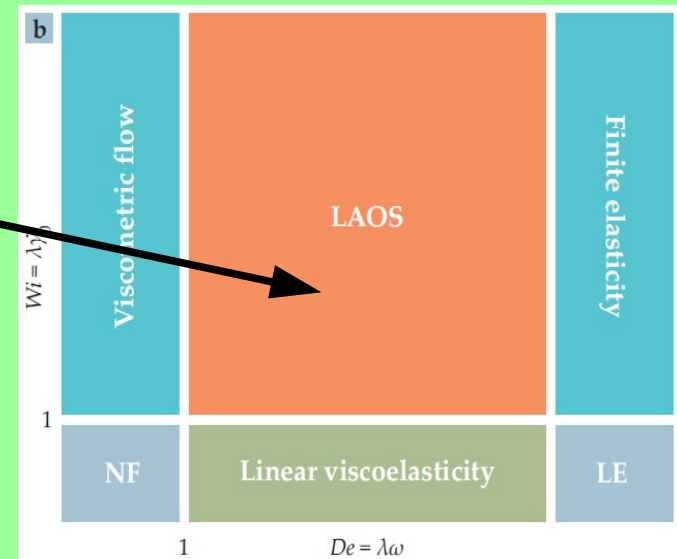


Holographic viscoelasticity



[MB, 1910.06331]

Nonlinear regime ! LAOS !

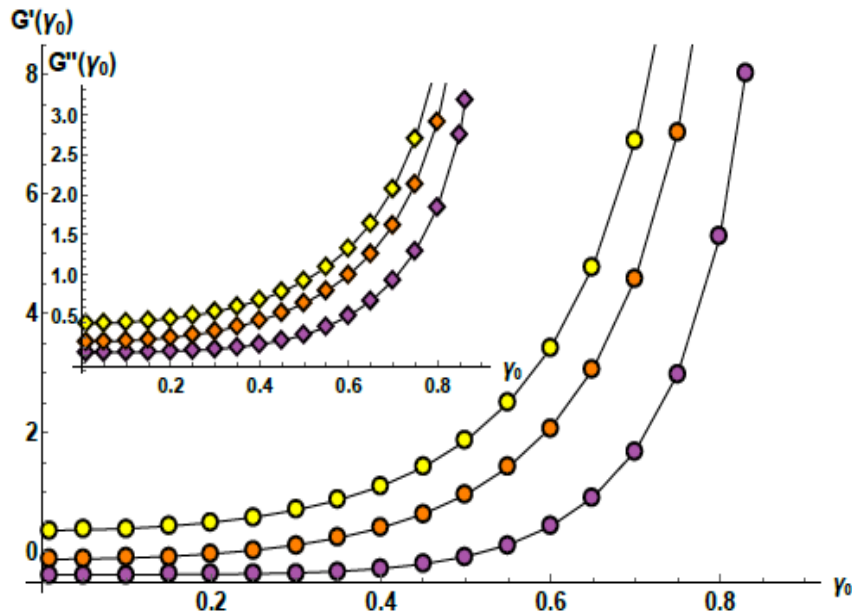


Holographic viscoelasticity

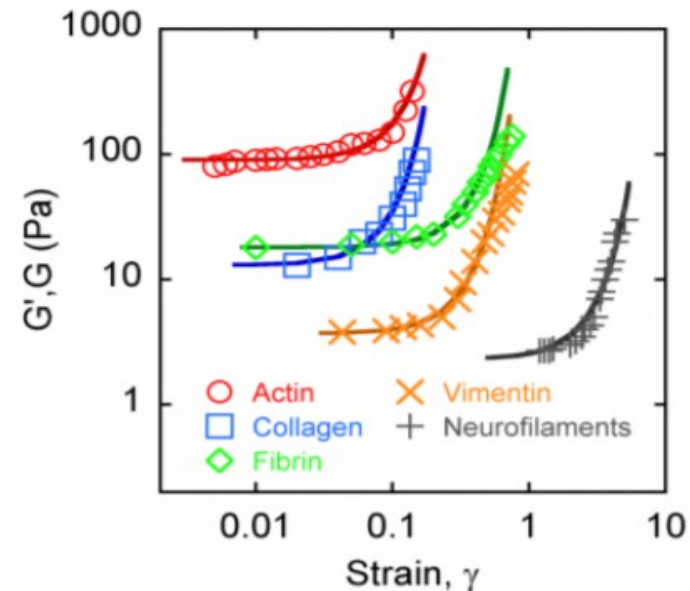
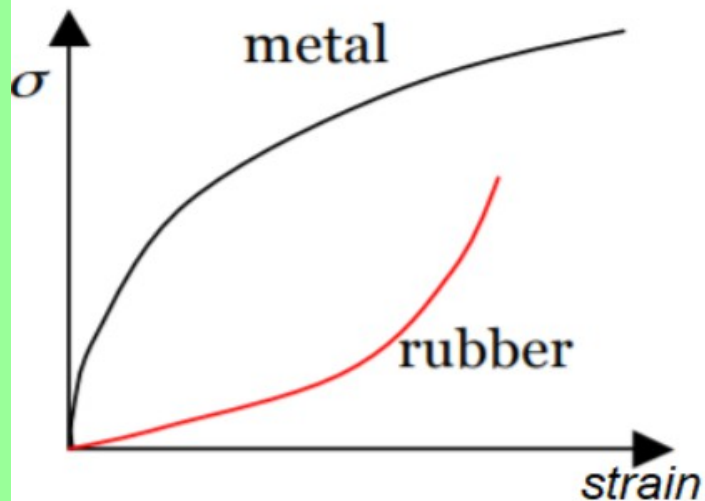
STRAIN STIFFENING

Nonlinear response similar to polymers and rubbers (not metals)

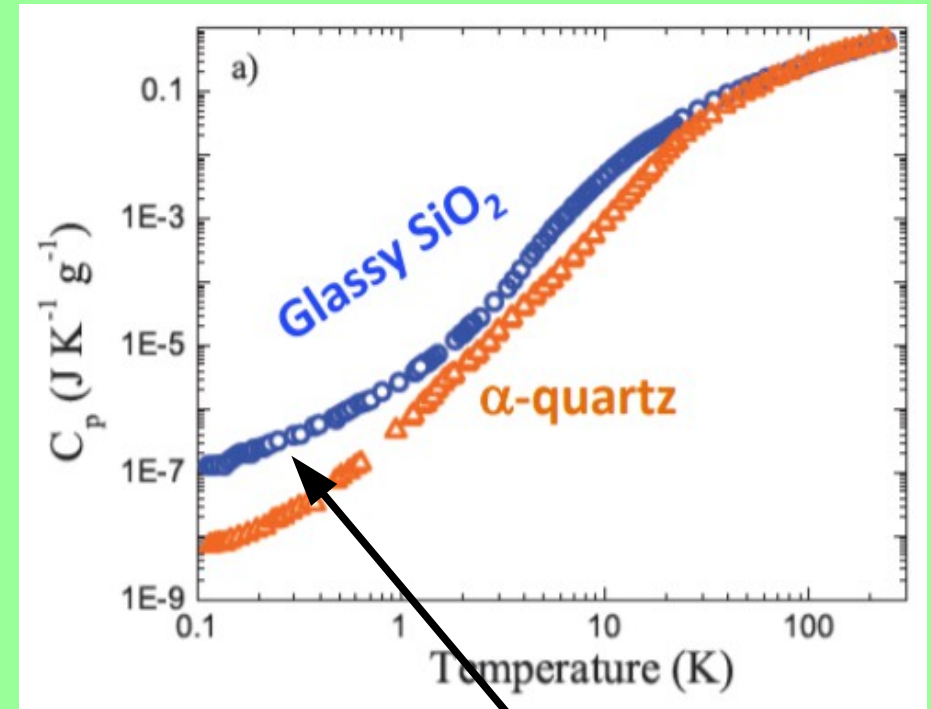
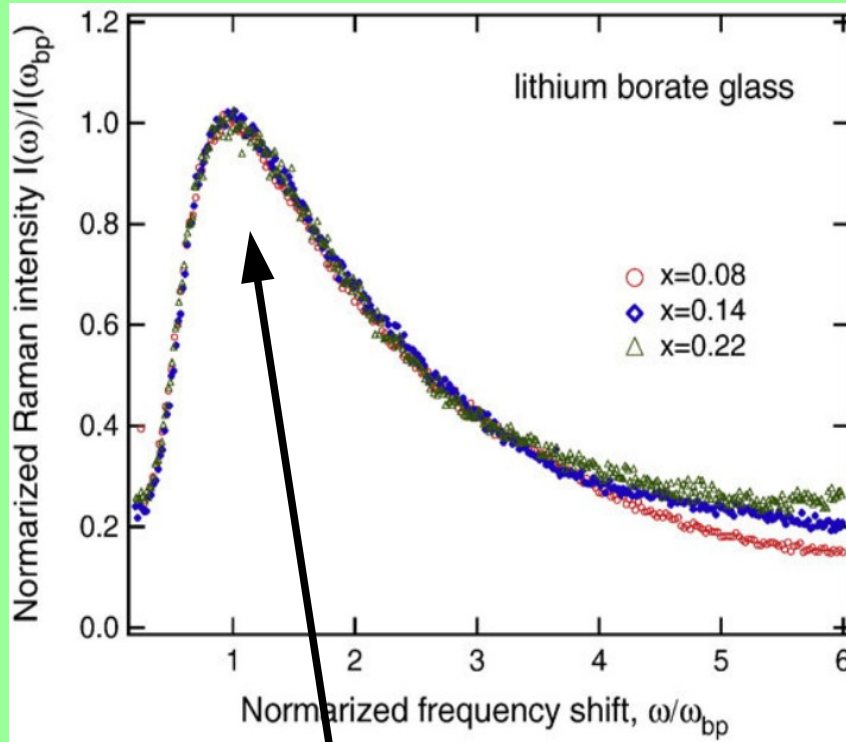
In agreement with negative thermal expansion coefficient



[MB,1910.06331]



Food for curiosity : glasses



"BOSON" PEAK (~ 1 THz)
EXCESS OF STATES

$$C_p \propto aT^3 + bT$$

Peak at $\sim 10\text{K}$

Low-T anomalous properties



Explanations

Theory 1

Theory 2

Theory ...

Theory 246



**Same properties observed now
in ordered crystals and
in incommensurate structures !!**

*That cannot be the end of the story
nor the universal explanation*

BUT ...

Methods (EFT-Hydro again)

DEBYE PHONONS



$$\omega = v k$$



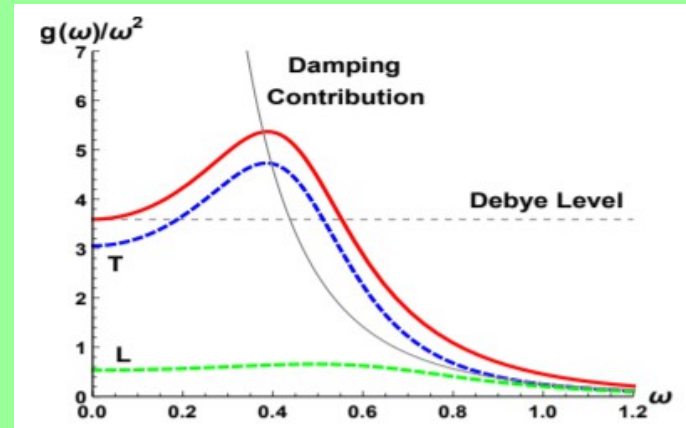
***NATURE OF COLLECTIVE LOW-ENERGY
DEGREES OF FREEDOM***

A new paradigm

[MB,1810.09516,1911.03351]

1) Damping and anharmonicity universally induce a boson peak

(theory predicts experimental density dependence for the 1st time and confirms correlation Boson peak an Ioffe-Regel frequency)



2) Piling up of soft optical-like gapped mode induces a boson peak

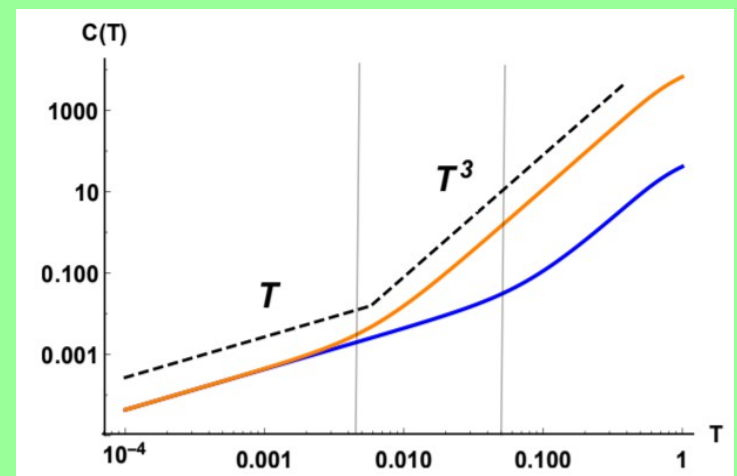
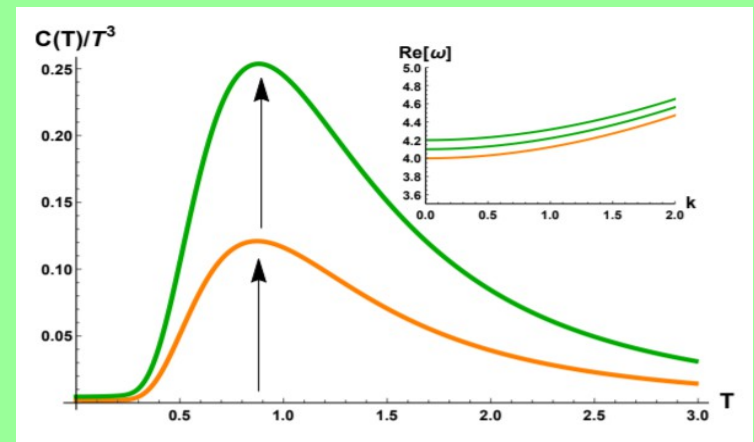
[MB,1812.07245,2008.01407]

*Confirmed by experiments
[Moratalla et Al, Cano et Al.]*

3) Low-energy diffusive modes (diffusons, phasons) give a linear in T specific heat

[MB,1905.03286,2008.01407]

*Confirmed by experiments in quasicrystals
[Cano et Al.]*



More advanced topics/questions

HOLOGRAPHY – HYDRO WHAT CAN WE LEARN MORE?



- VISCOELASTICITY
- BROKEN SYMMETRIES
- ACTION FOR HYDRO
- DISSIPATIVE-OPEN SYSTEMS

**K-GAP IS EVERYWHERE !
LOTS OF OPEN QUESTIONS ...**



Physics Reports

Volume 865, 15 June 2020, Pages 1-44



Gapped momentum states

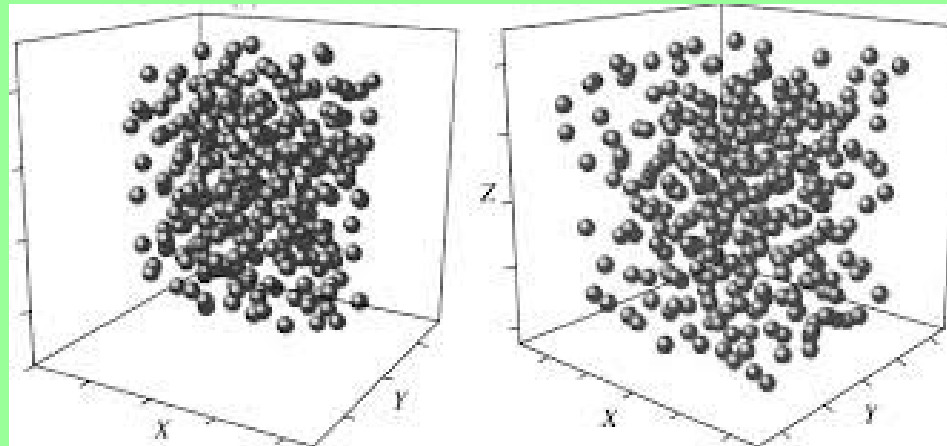
Matteo Baggioli ^a  , Mikhail Vasin ^b , Vadim Brazhkin ^b , Kostya Trachenko ^c 

Show more 

More advanced topics/questions

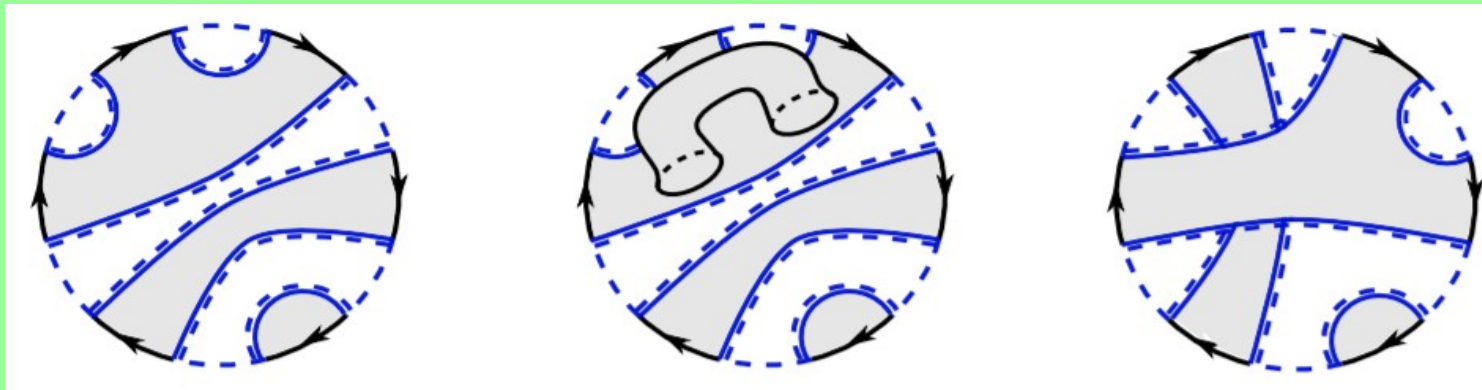
WHAT IS A GLASS AND ARE THOSE PROPERTIES REALLY ANOMALOUS?

WHAT ABOUT THERMAL CONDUCTIVITY ?



HOLOGRAPHIC GLASSES ?

GRAVITY AND GLASSES (see gravity ensemble)

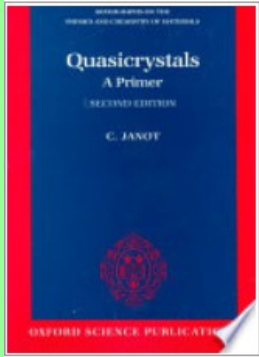






PART 3

QUASICRYSTALS & INCOMMENSURATE



Quasicrystals: A Primer, Christian Janot

www.rsc.org/csr

TUTORIAL REVIEW

Phonons, phasons and atomic dynamics in quasicrystals†

Marc de Boissieu*

Hydrodynamics of icosahedral quasicrystals

T. C. Lubensky, Sriram Ramaswamy, and John Toner
Phys. Rev. B **32**, 7444 – Published 1 December 1985

REVIEW ARTICLE

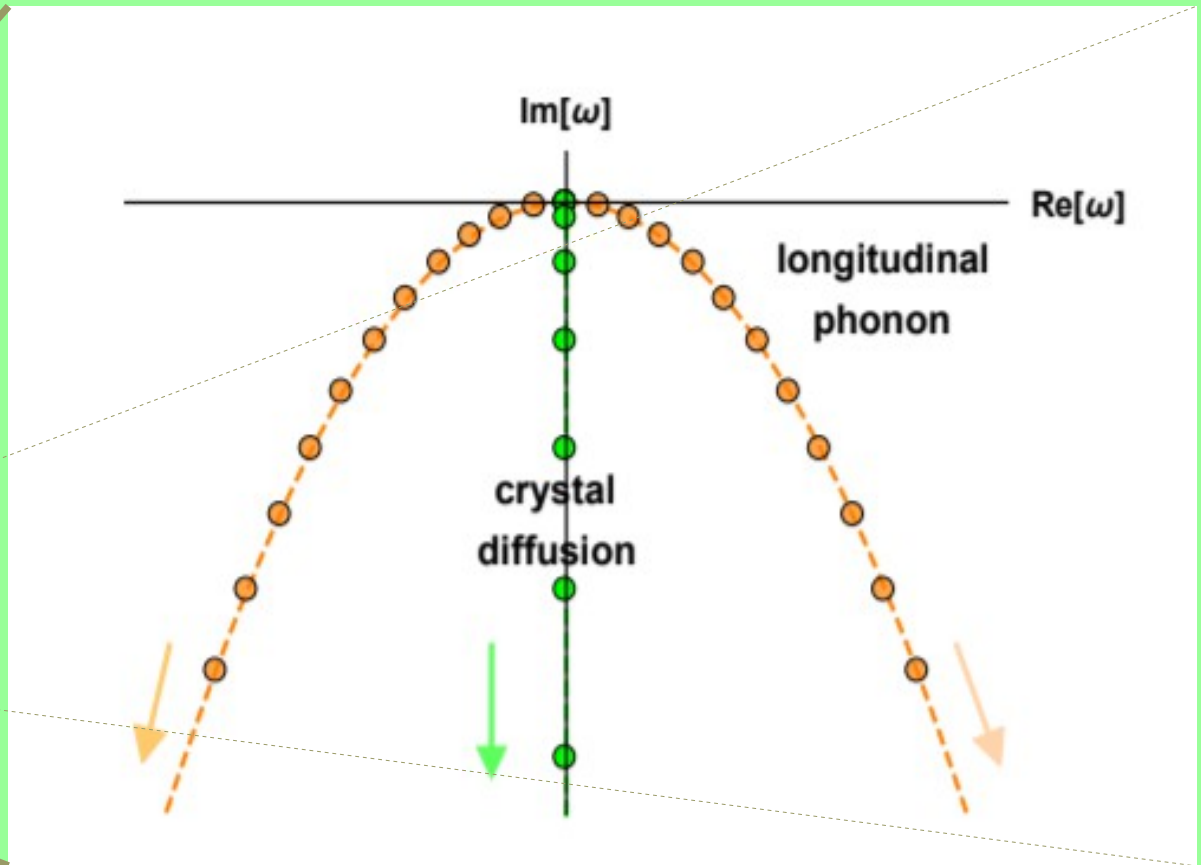
Commensurate phases, incommensurate phases and the devil's staircase

P Bak

[Reports on Progress in Physics, Volume 45, Number 6](#)

What brought me here

[MB, 1905.09164 ,
1905.09488]

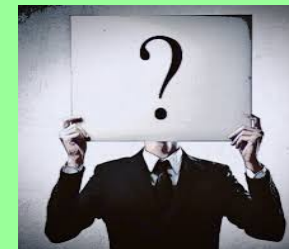


$$\omega = \pm c_L k - i D_p k^2 + \dots ,$$



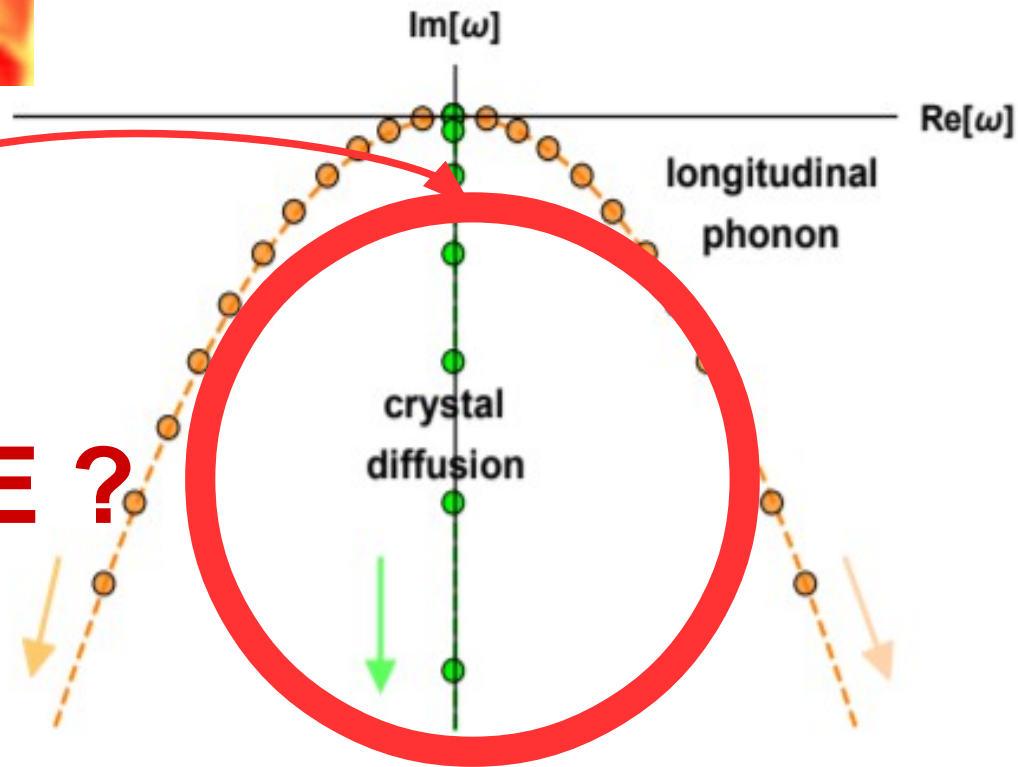
Longitudinal sound

$$\omega = -i D_\Phi k^2 + \dots ,$$



What brought me here

[MB, 1905.09164 ,
1905.09488]



[Some people simply say it is mass diffusion or vacancy diffusion]

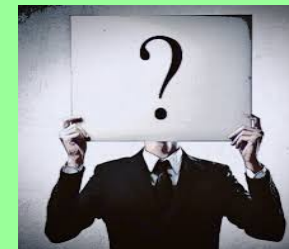
I will explain why that cannot be.

WHAT IS THIS MODE ?

$$\omega = \frac{1}{2} c_L k - i D_p k^2 + \dots,$$

Longitudinal sound

$$\omega = -i D_\Phi k^2 + \dots,$$



Important points



Longitudinal diffusive mode

$$\omega = -i D_{\parallel} k^2$$

- 1 - It does not come from the breaking of translations
- 2 - It comes from the SSB of the global internal symmetry

$$\phi \rightarrow \phi + a$$

[Donos, Martin, Pantelidou, Ziogas, 2019]

[Amoretti, Areal, Gouteraux, Musso, 2018]

- 3 - It is a **diffusive Goldstone boson**



Other considerations



Translations are not broken to a discrete subgroup. There is no unit cell. The systems are not periodic. (e.g. Incommensurate CDW)



The mode does not come from the conservation of any local U1 current (e.g. mass diffusion, charge diffusion)



There are no commensurability effects.

[Andrade, Krikun, 2015]

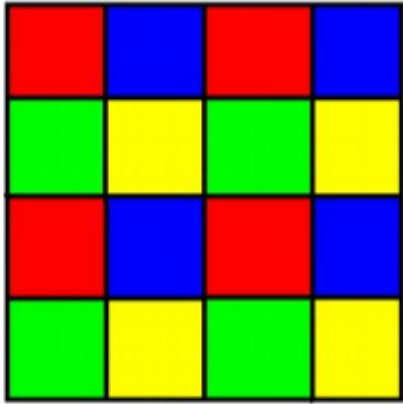


Most of the systems are metastable.

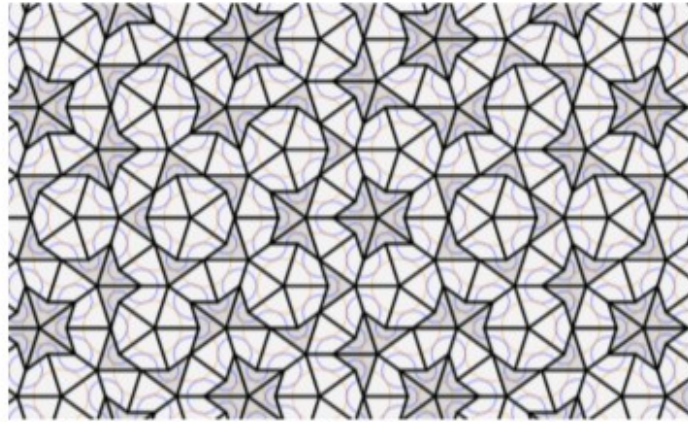
Actually there is **NO** known Q-lattice or axion model (with SSB) which is stable in all senses (thermodynamics and dynamics)

Aperiodic crystals

Real ! (cf. Nobel Prize)



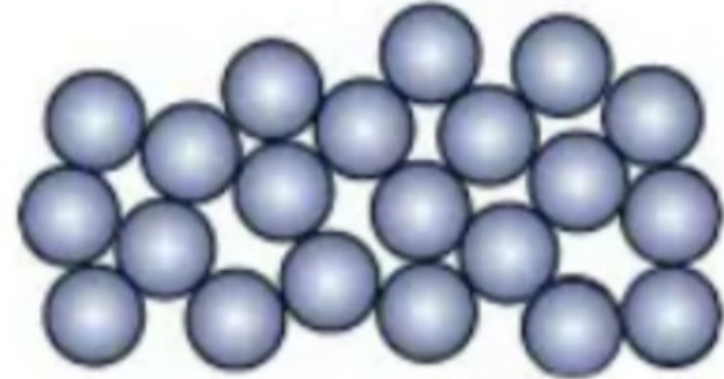
Periodic crystal



QUASICRYSTAL

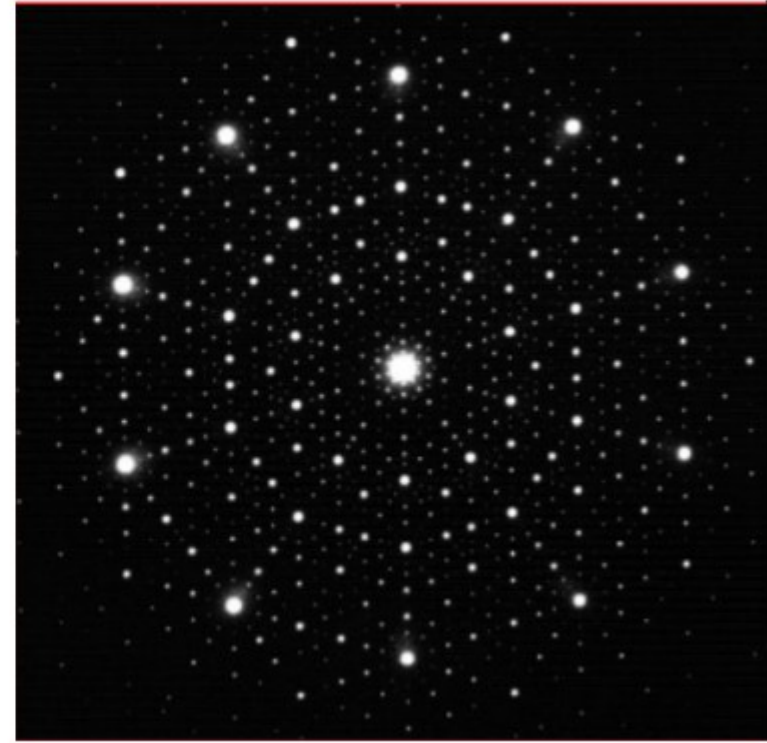
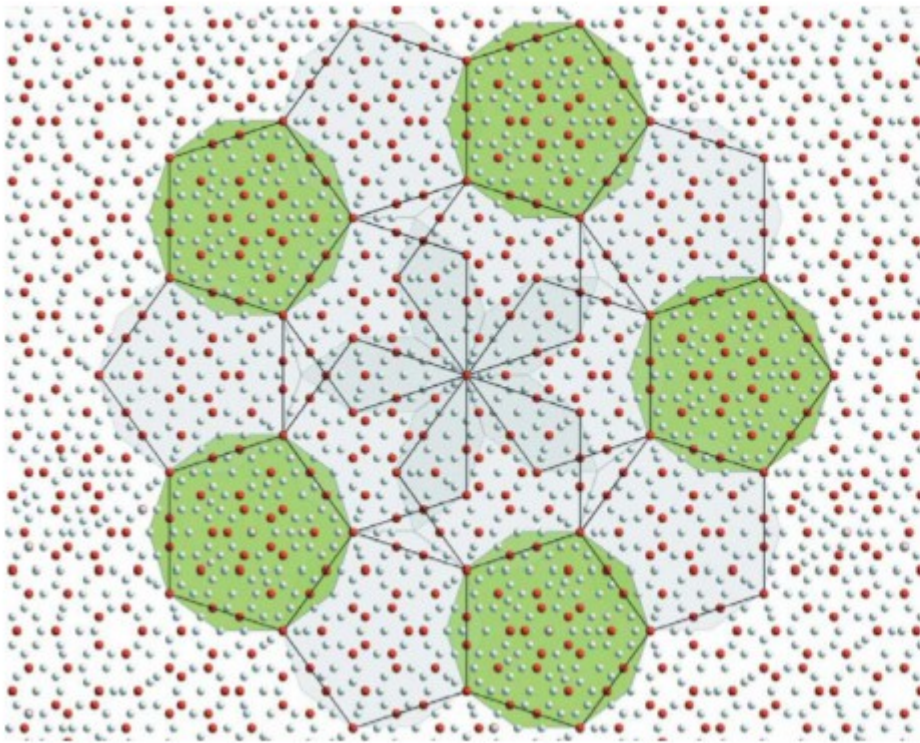
LONG RANGE ORDER BUT NO PERIODICITY

(e.g. Penrose tilings , incommensurate CDWs)



Amorphous system
(e.g. glasses)

Bragg peaks

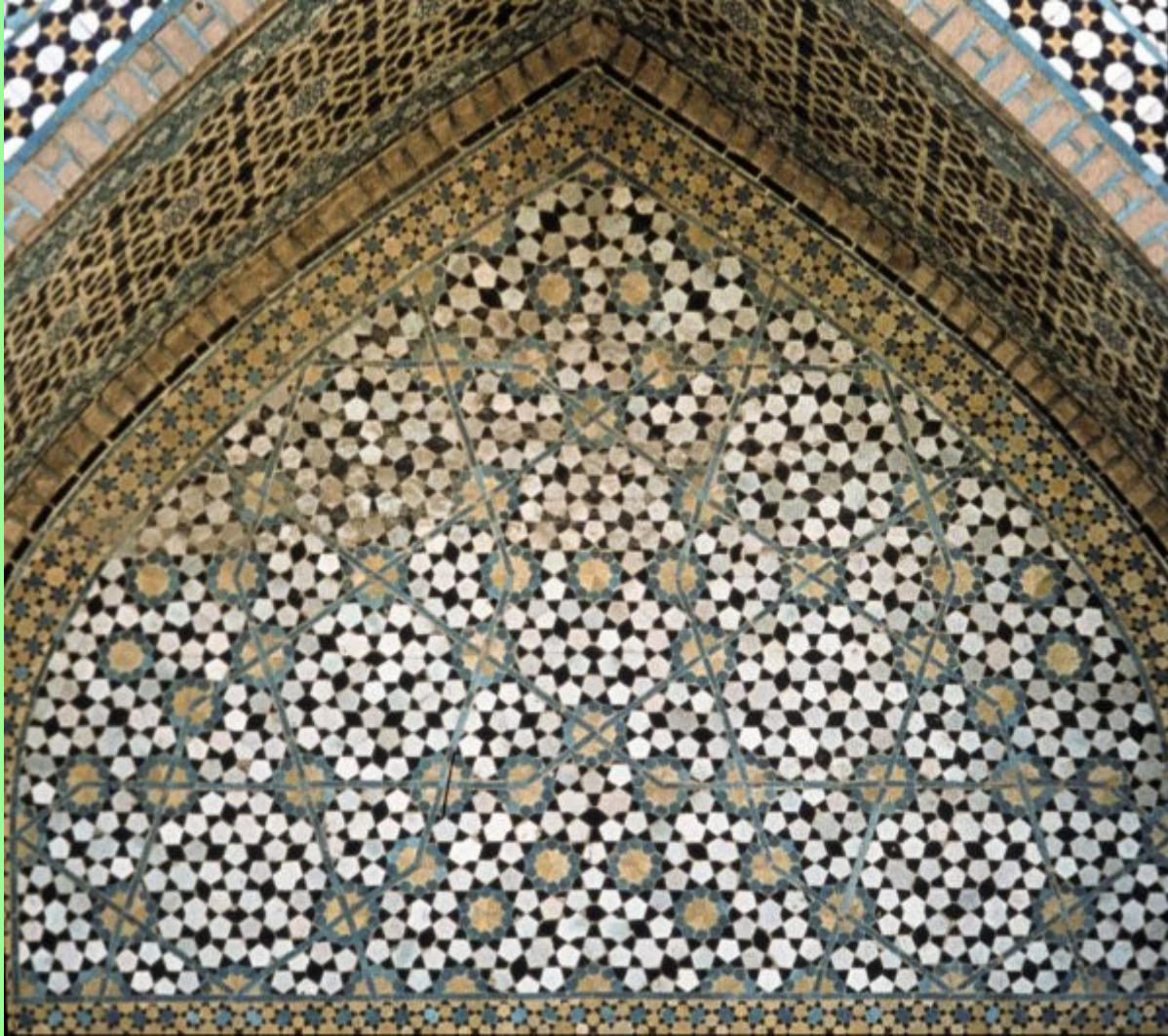


$$\rho(\vec{x}) = \frac{1}{V} \sum_{\vec{G}} \rho(\vec{G}) e^{i\vec{G}\cdot\vec{r}}$$

$$\vec{G} = \underbrace{n_1 \hat{x}_1 + n_2 \hat{x}_2 + n_3 \hat{x}_3}_{d \text{ physical dimensions}} + \underbrace{\sum_{i=d}^{D-d} n_i \hat{x}_i}_{D-d}$$

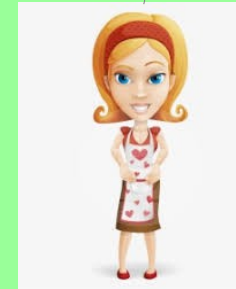
**Sharp bragg peaks
but not periodic
(+ modulated intensity)**

Penrose tilings & art



*We need to change
the tiles of the
kitchen floor....*

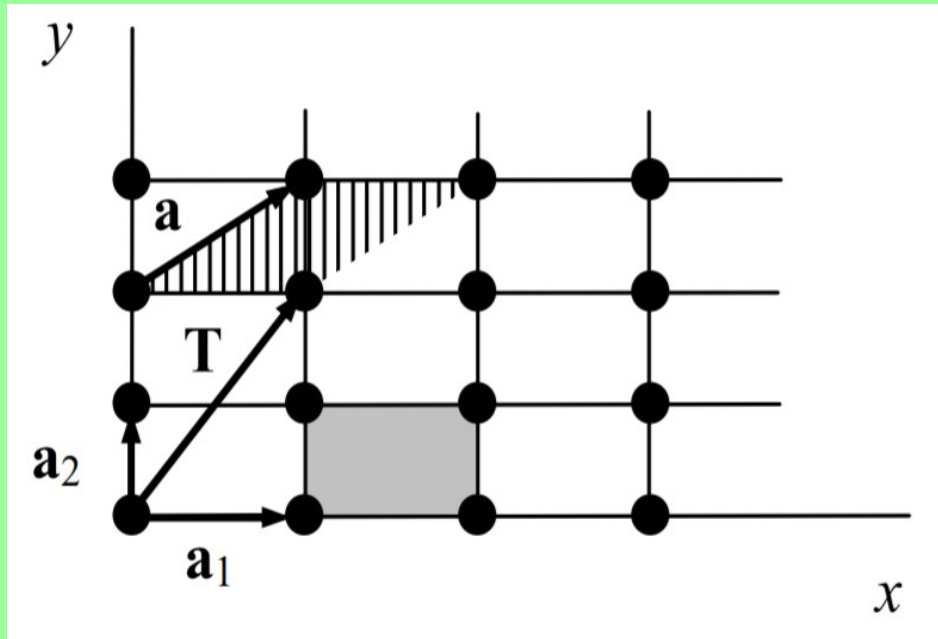
*But, I do not want
them periodic!
They are boring.*



*Siri, call
Roger
Penrose.*



Lattice structures

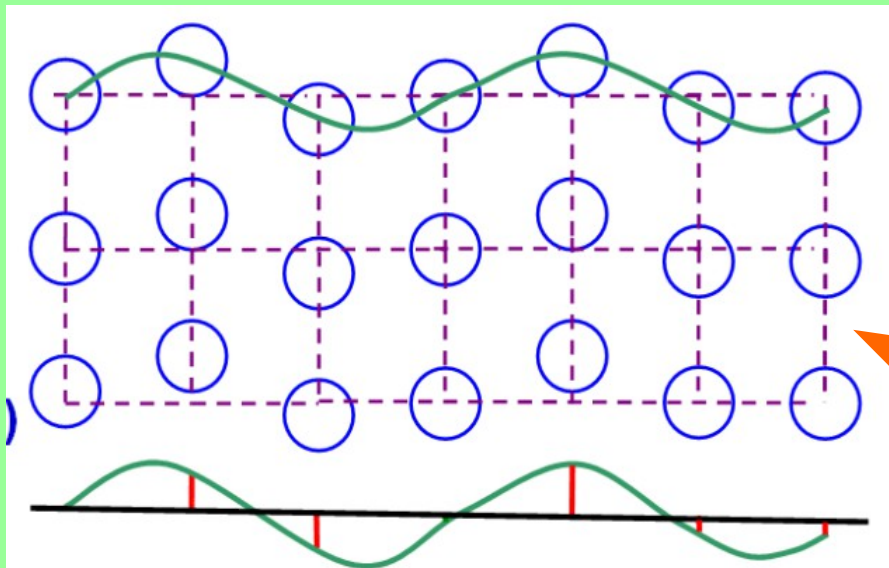


$$\mathbf{T} = n_1 \mathbf{a}_1 + n_2 \mathbf{a}_2$$

Point in the crystal

simple cubic

$$\begin{cases} \mathbf{a}_1 = a\mathbf{x} \\ \mathbf{a}_2 = a\mathbf{y} \end{cases}$$



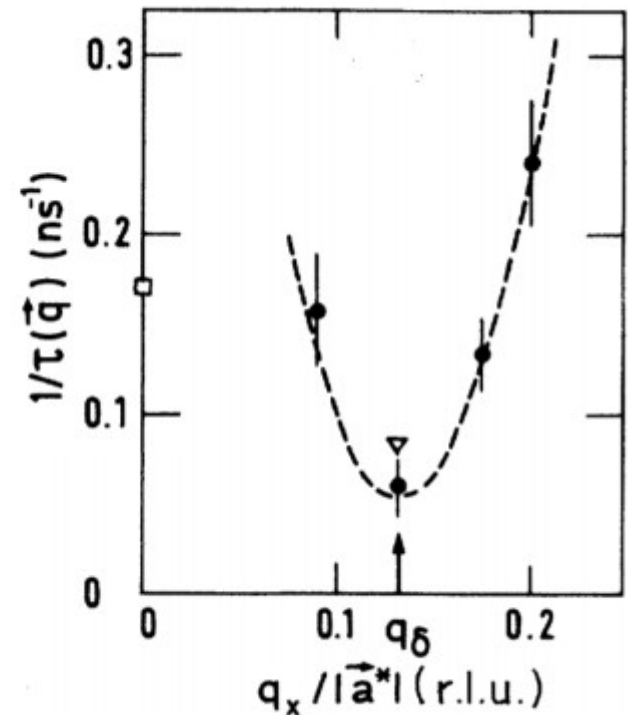
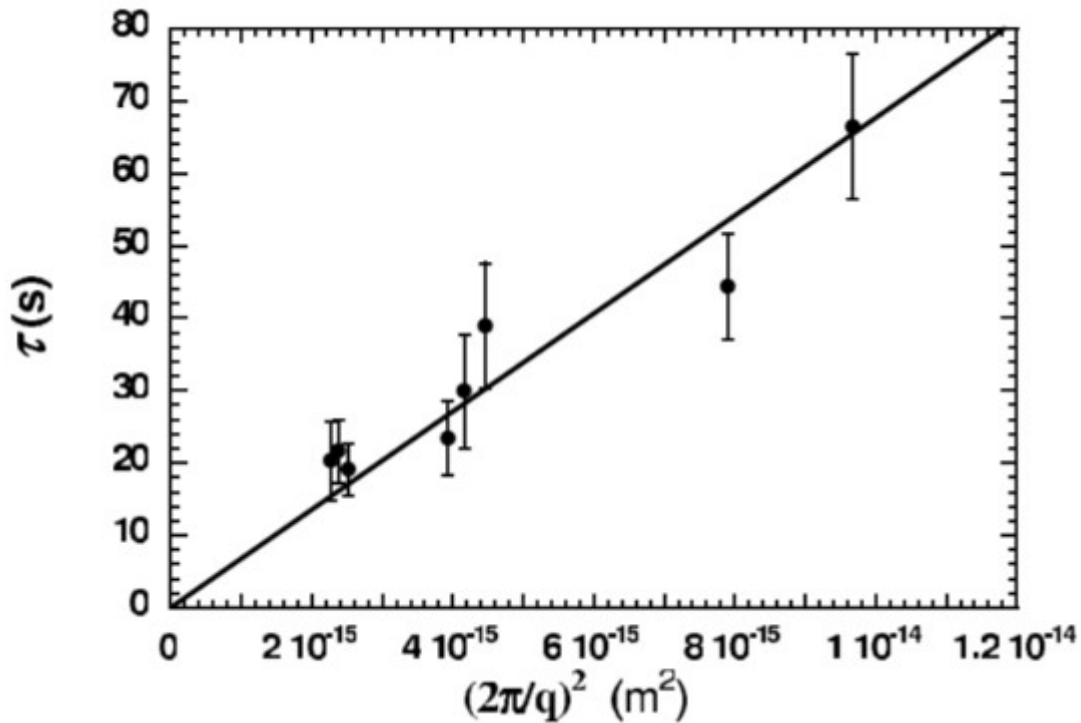
$$\vec{G} = \underbrace{n_1 \hat{x}_1 + n_2 \hat{x}_2 + n_3 \hat{x}_3}_{d \text{ physical dimensions}} + \underbrace{\sum_{i=d}^{D-d} n_i \hat{x}_i}_{D-d}$$

Alternatively the additional vectors can be recasted into phases (= modulation)

Aperiodic structure needs more vectors than d

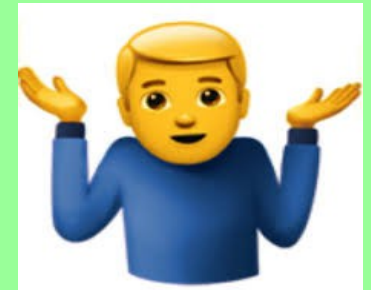
Phasons

New hydrodynamic low-energy excitation

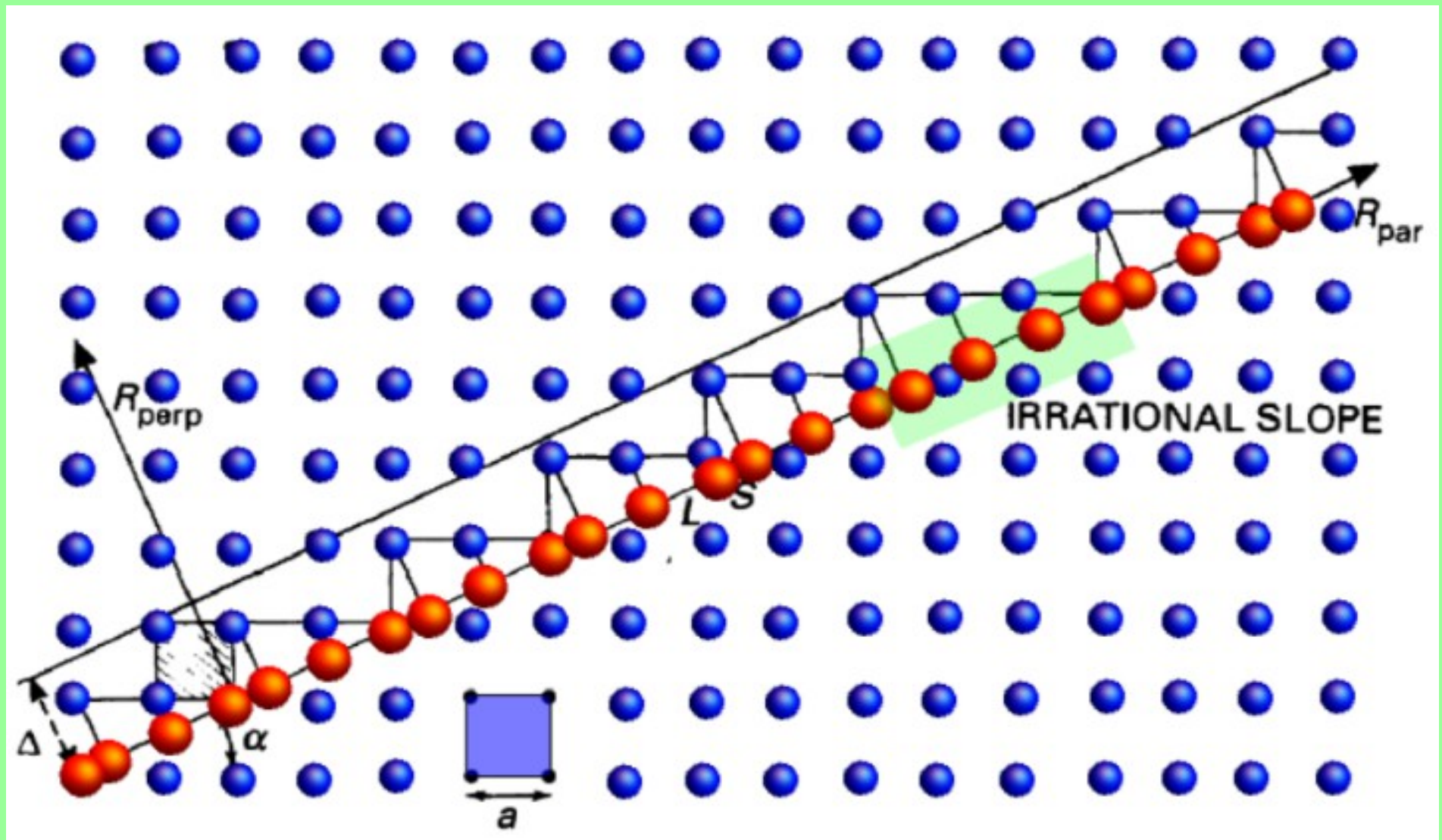


$$\omega = -i D_{\text{phason}} k^2 + \dots$$

And propagating at large wave-vector ...



The superspace formalism

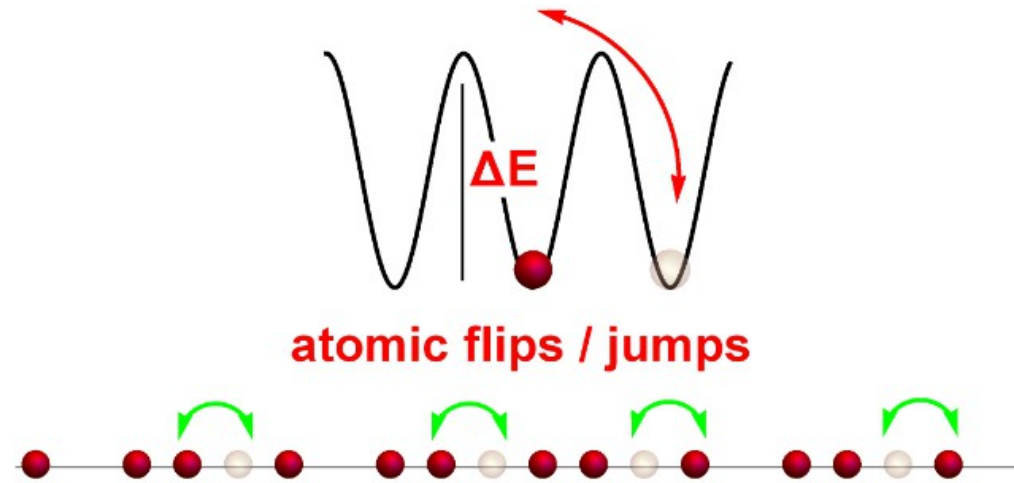
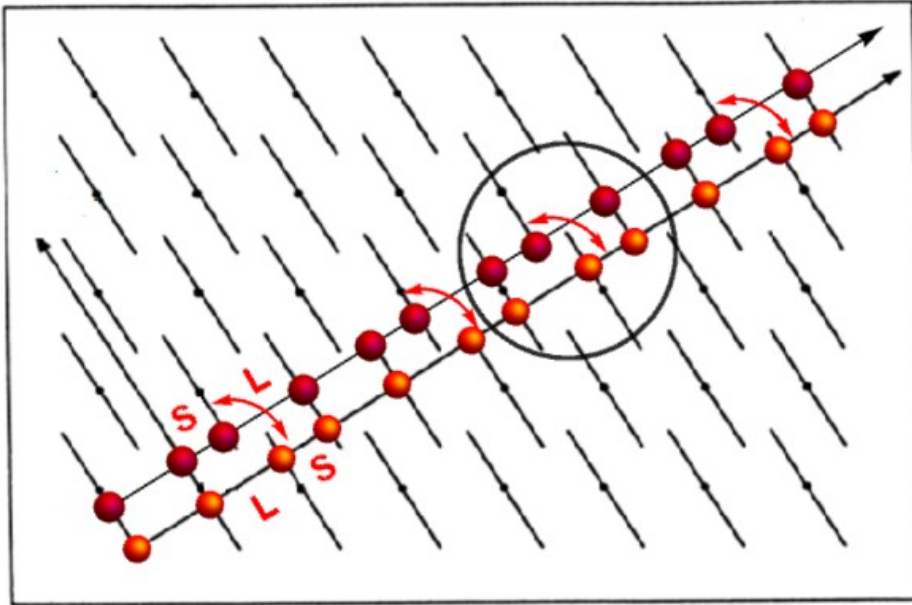


$$L = a \cos \alpha, \quad S = a \sin \alpha.$$

$\dots SLLSLLL SLLS \dots$

Where do phasons come from ?

Rigid translations in the internal space



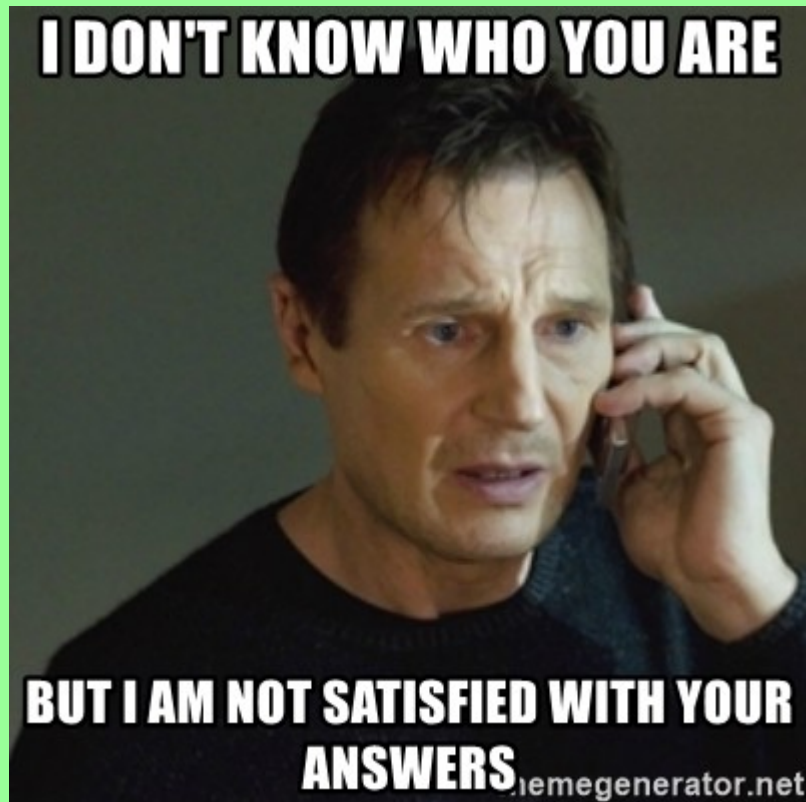
$\dots LS \dots \rightarrow \dots SL \dots,$

Phason jumps (only at finite T)

Why they are diffusive

“Mode counting arguments and the Goldstone theorem lead to the prediction that phason modes are diffusive-like excitation,”

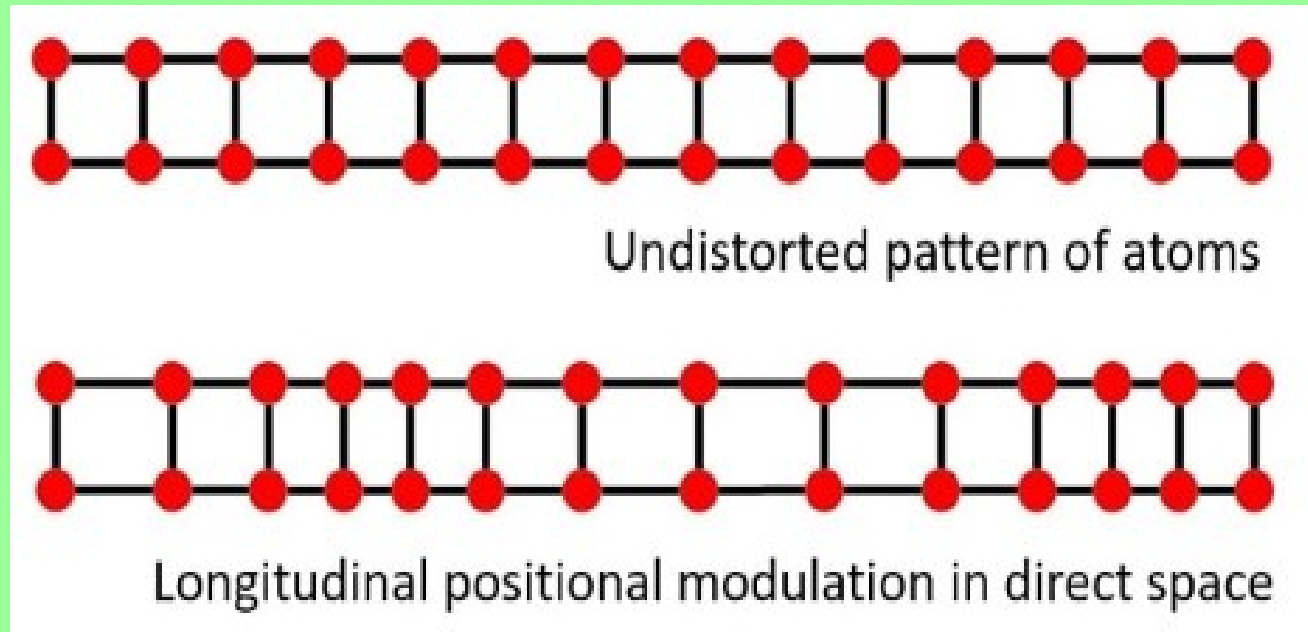
the phason shifts leave the free energy unchanged but they do not commute with the hamiltonian of the system



TASK.

Find a formal & satisfactory explanation from symmetries

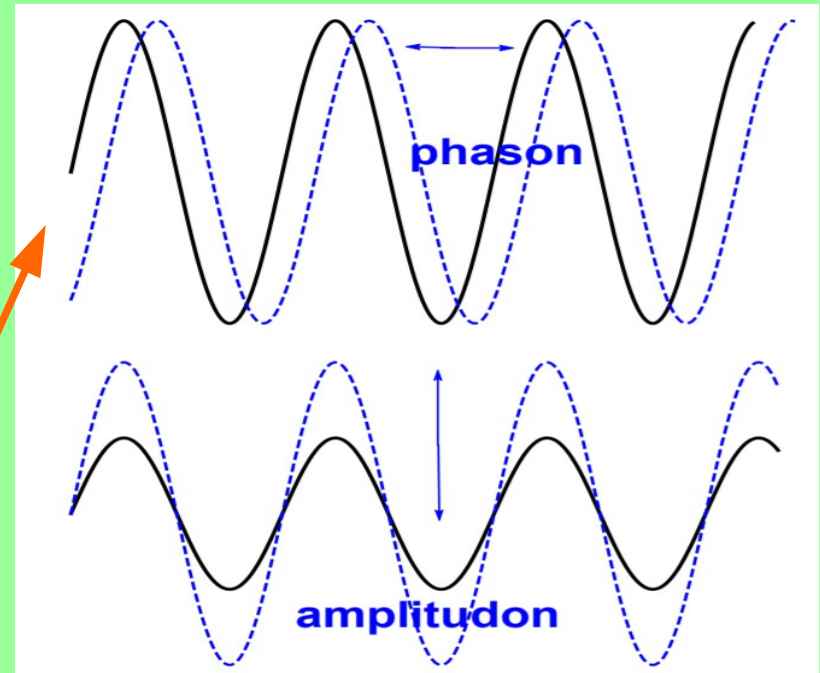
Incommensurate structures



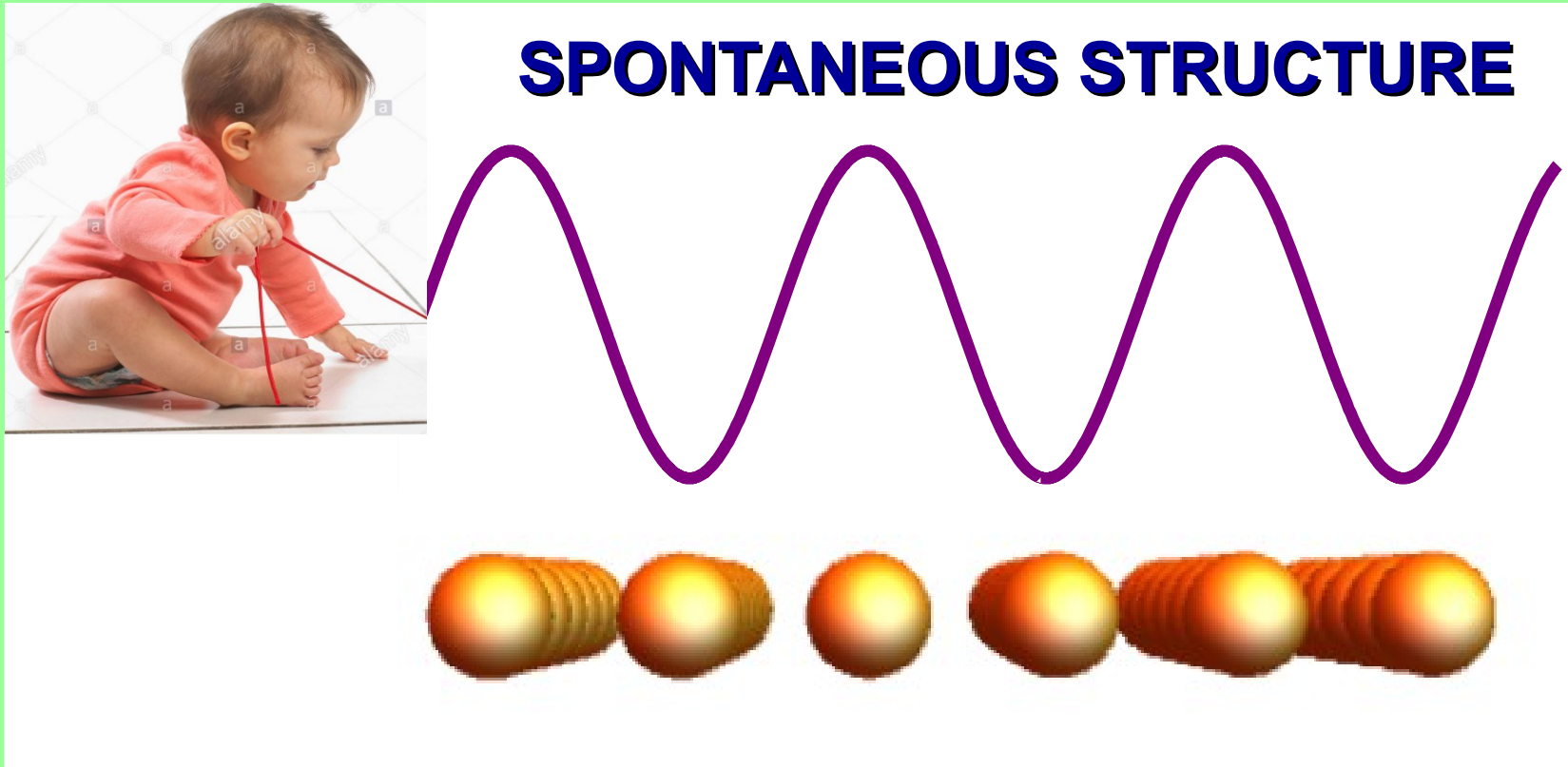
$$\rho(r) = \rho_0 + R \cos(kr + \phi(r))$$

Free energy invariant under phase shifts

Hydro-massless mode: phason



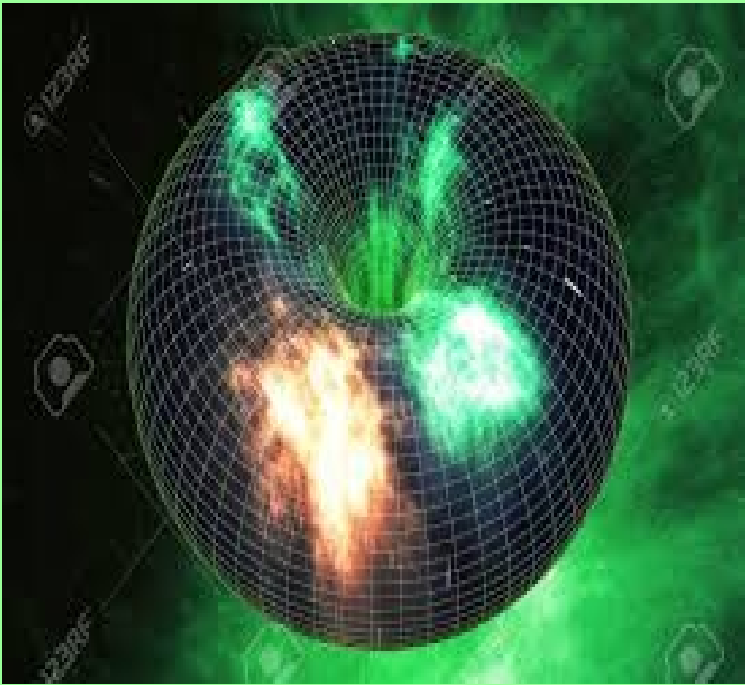
Phasons (2nd point of view)



PHASON = free sliding of the spontaneous structure

Back to holography

*Holographic spontaneous lattices
(e.g. Charge density waves)*



Spontaneous inhomogeneous structures

[Donos, Gauntlett, Ooguri, Park, Lippert, Jokela, Li, Zaanen, Krikun, Andrade +]

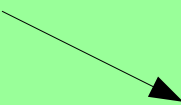
Analysis of homogeneous models

Use a global symmetry in the bulk to
break translations in a homogeneous way

Axions-like models
[Andrade, Withers]

$$\psi^{(0)} \propto \alpha_i x^i.$$

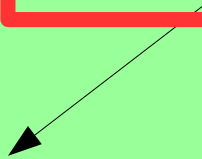

Shift symmetry
(goldstones)



Q-lattices
[Donos, Gauntlett]

$$\phi = e^{ikx_1} \varphi(r)$$

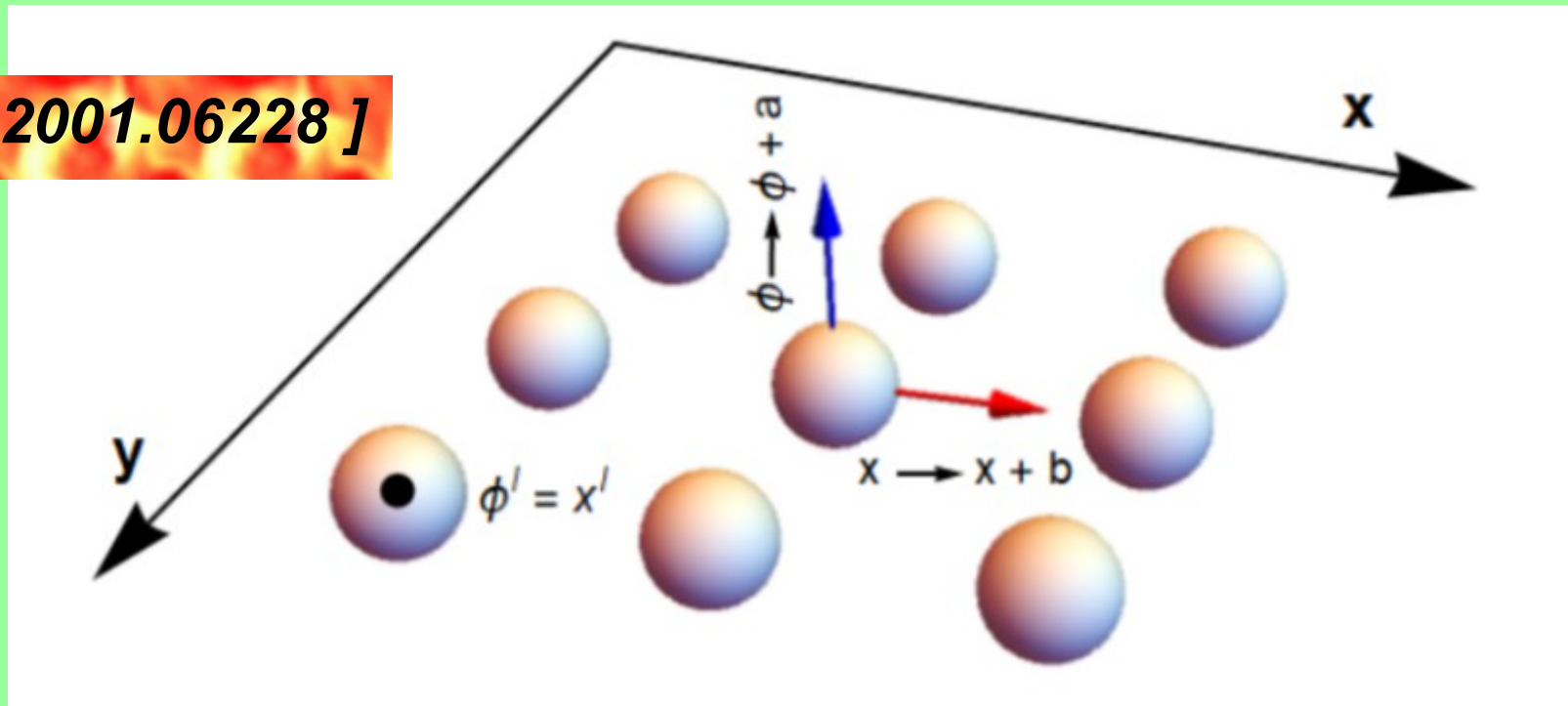
Global U(1)



Geometry homogeneous but translations are broken !

Analysis of homogeneous models

[MB,2001.06228]



Spacetime directions

$$\phi^I = \alpha x^I$$

Internal directions

$$x^I \rightarrow x^I + b^I$$

$$\phi^I \rightarrow \phi^I + a^I$$

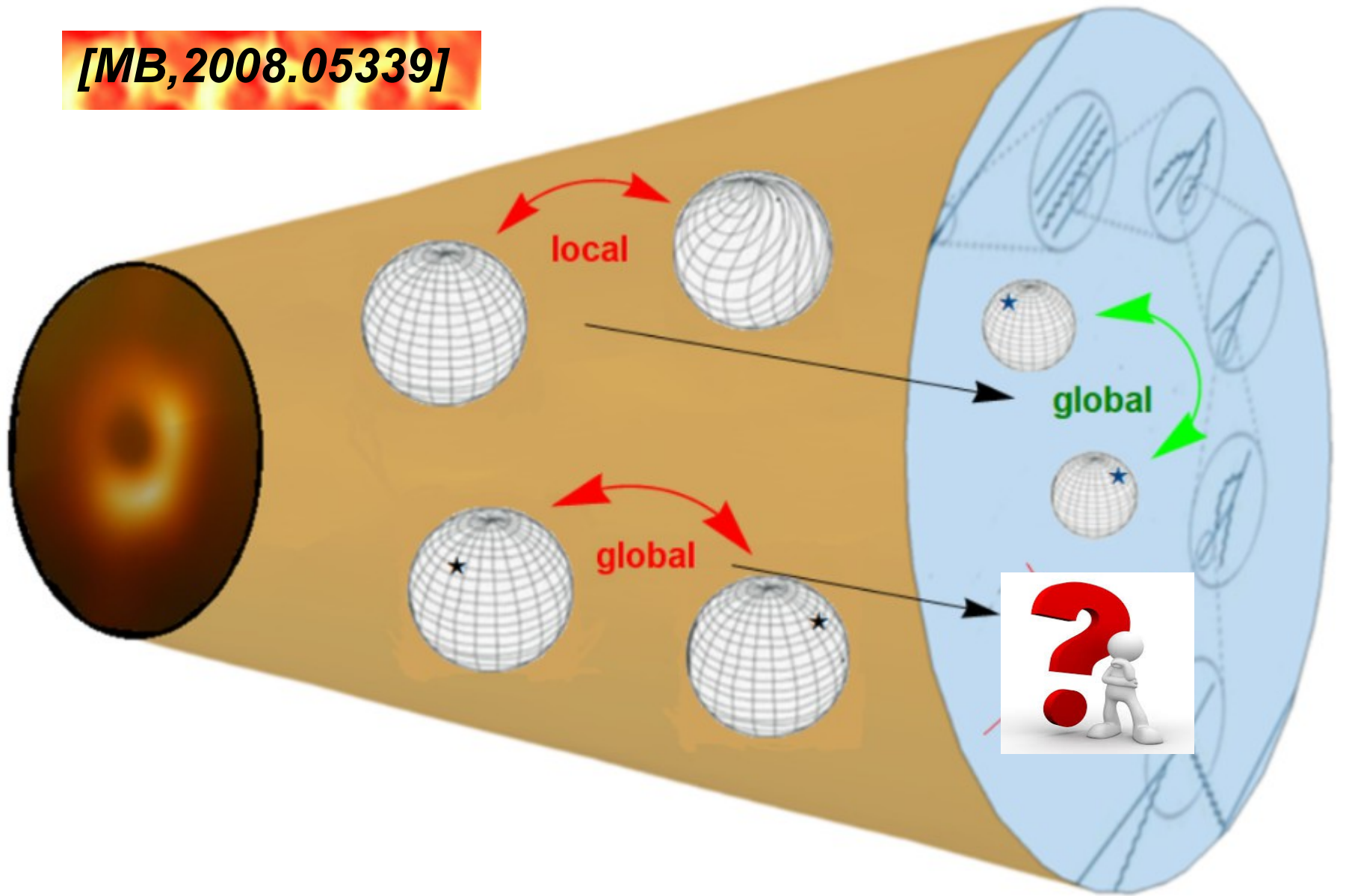
PHONONS

PHASONS

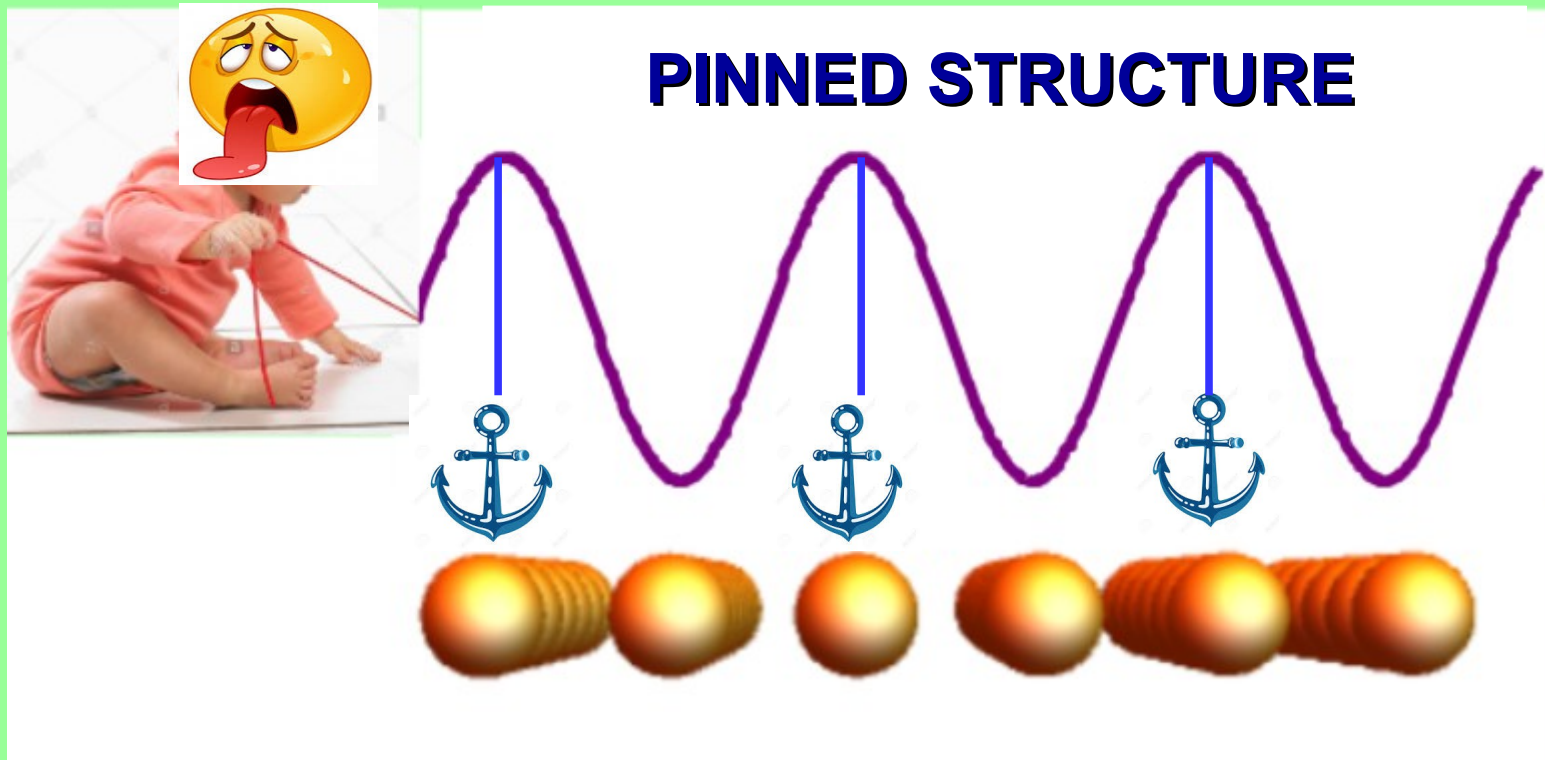
Analysis of homogeneous models

They are **NOT** the gravity dual of solids EFTs

[MB,2008.05339]



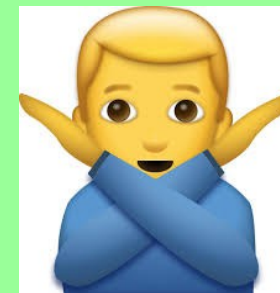
Phase relaxation



$$\omega = -i\Omega +$$

Phase is relaxed with rate Ω

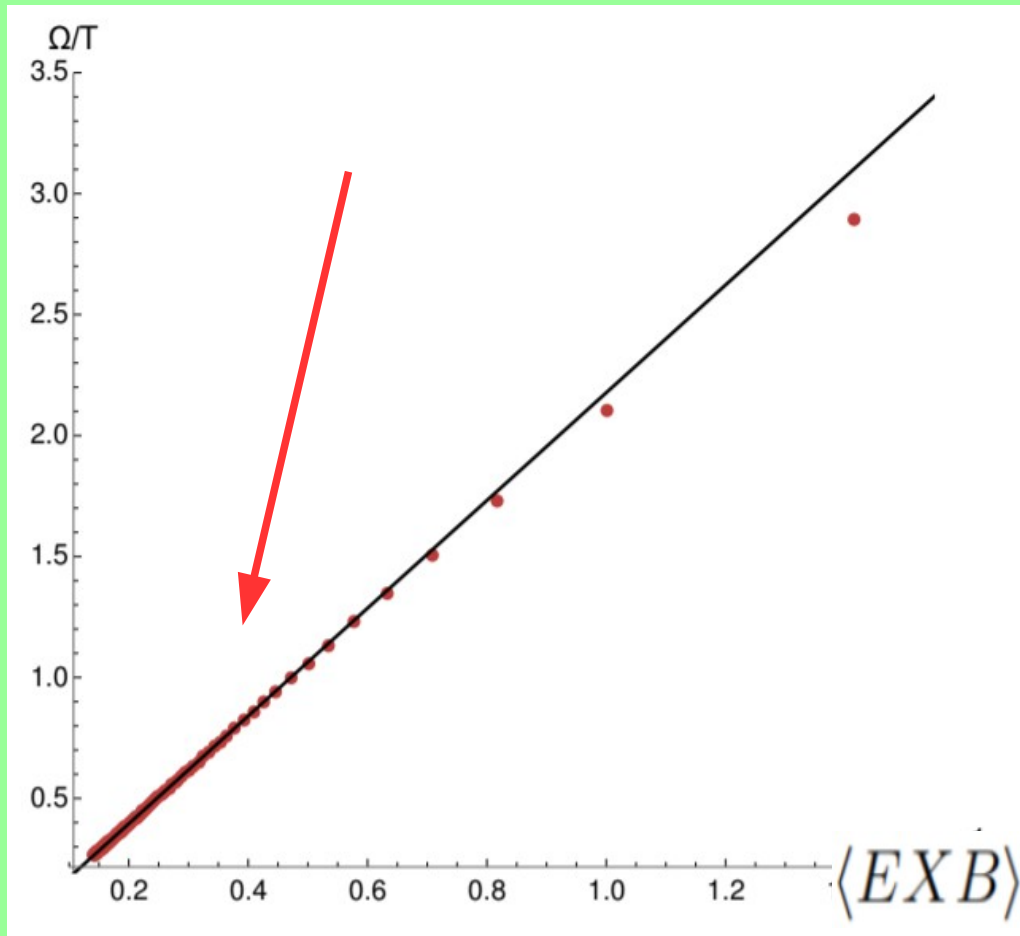
Now you cant just slide freely



The phase relaxation mystery

$$\bar{\Omega} \sim \frac{\langle EXB \rangle}{\langle SSB \rangle}$$

Induced by explicit breaking !



Different from the standard phase relaxation induced by defects (e.g. dislocations)

[MB,1904.05785]

Common to many holographic models

[I would say all]

A universal relation

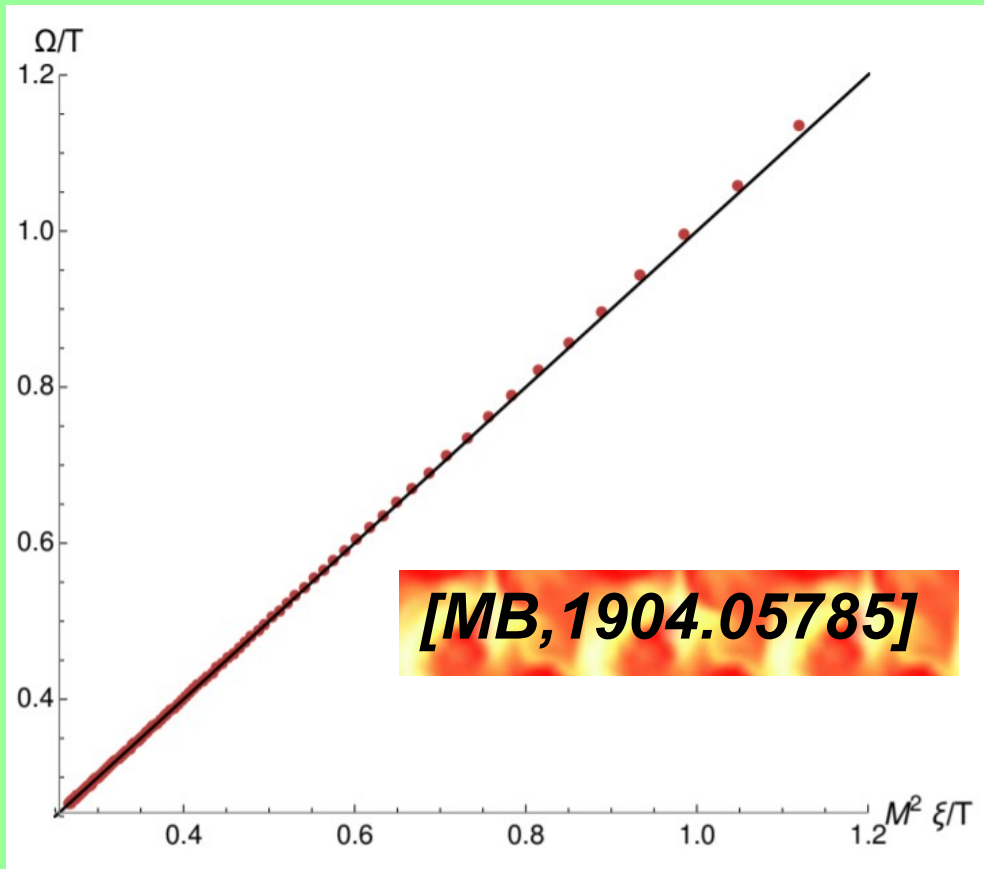
[Gouteraux et Al.]

Confirmed in several models
with numerics and
perturbative methods

$$\Omega = \chi_{\pi\pi} \omega_0^2 \Xi$$

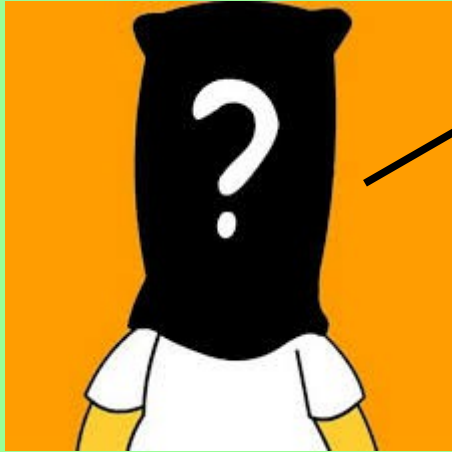
Phason diffusion

Phonon pinning frequency

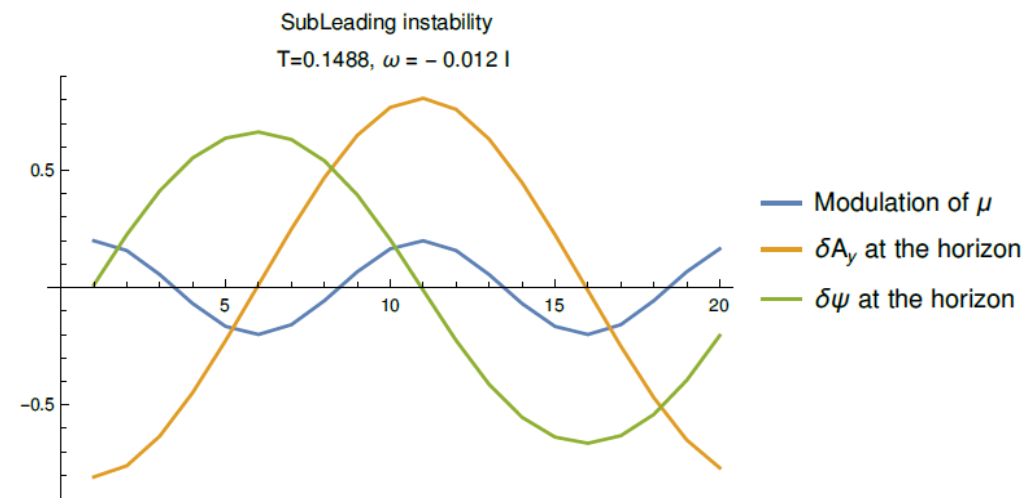
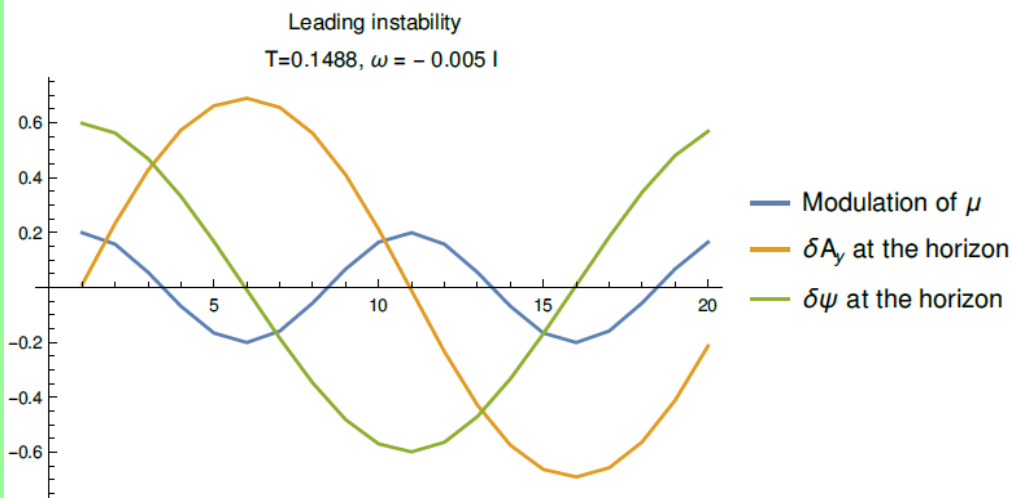


Complete
formal
proof still
absent

Inhomogeneous models



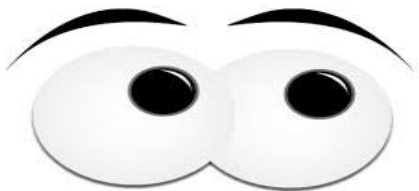
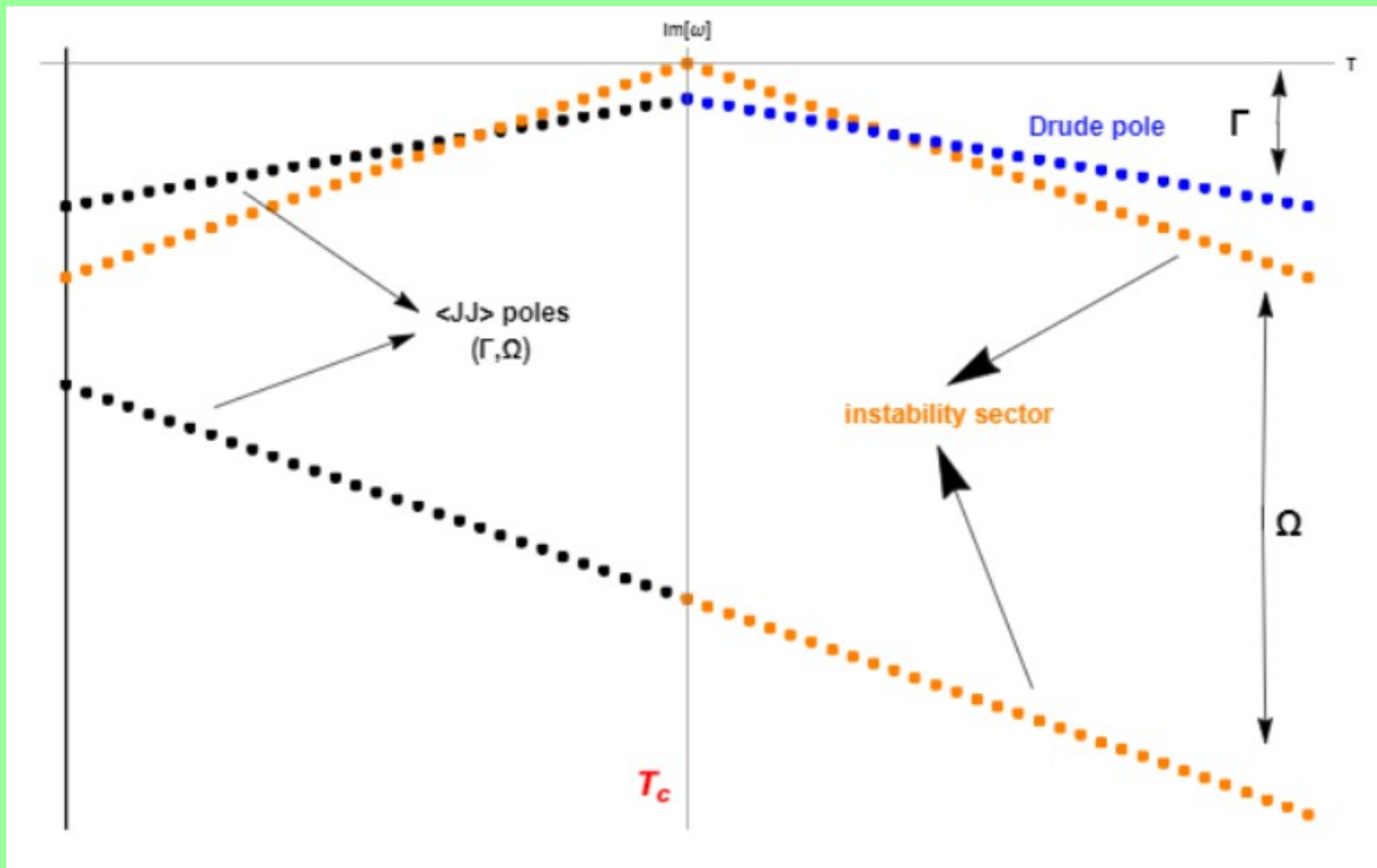
*Those are toy models,
not real lattices !!
They are missing a lot of real physics
(and probably introducing a lot of
fake one ...)*



Let us check in "real" lattices !

[MB, "coming soon"]

Inhomogeneous models



This is what we get !

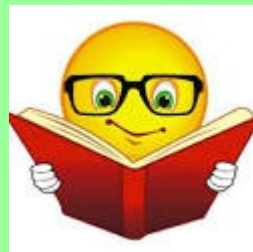
**[MB,
"coming soon"]**

What did we learn ?

Below T_c the hydro spectrum of homogeneous models and the inhomogeneous ones ARE IDENTICAL ! & in perfect agreement with hydrodynamics

$$(\Gamma - i\omega) (\Omega - i\omega) + \omega_0^2 = 0$$

- 1) No matter if the system is inhomogeneous at large scale physics is always homogeneous [Nicolis et Al.]***
- 2) Homogeneous models capture well (almost) everything***



[MB, "coming soon"]

What did we learn ?

1) Physics of the model can be understood perfectly from "amplitude equation" and theory of pattern formation

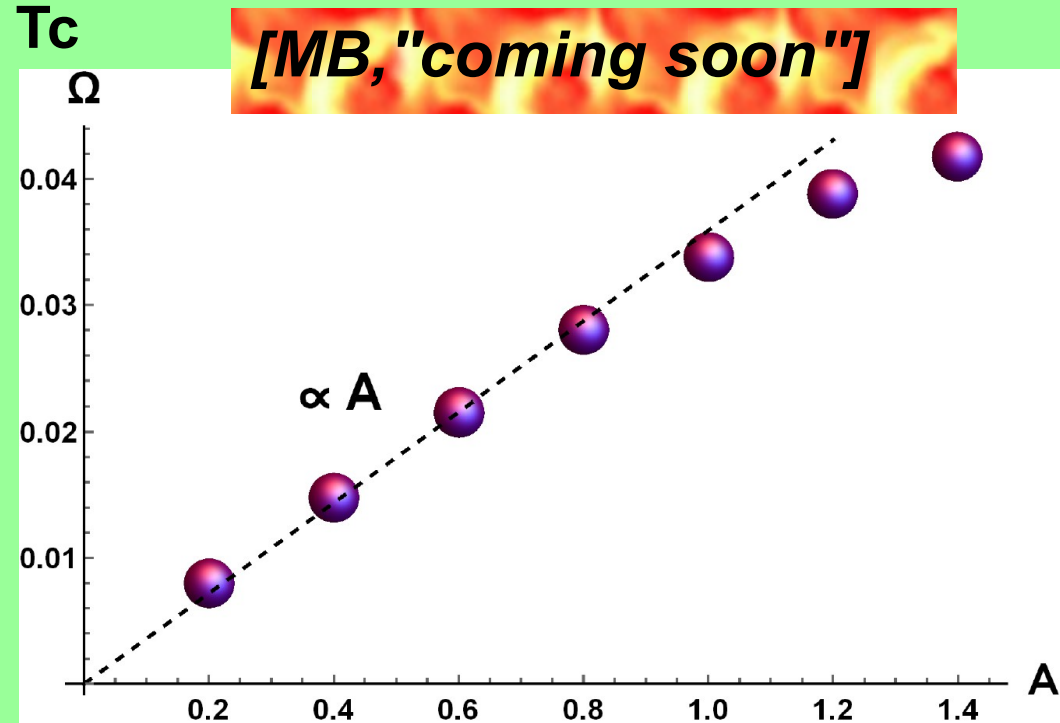
Commensurate-incommensurate transition in nonequilibrium systems

P. Coullet
Phys. Rev. Lett. **56**, 724 – Published 17 February 1986

2) Omega can be really understood as phase relaxation and identified also above T_c

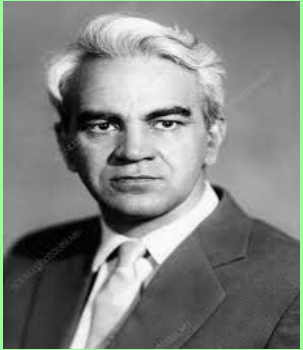


3) The Omega dependence is confirmed



EFT with dissipation

$$e^{W[J_1, J_2]} \equiv \text{tr}[U(+\infty, -\infty; J_1) \rho U^\dagger(+\infty, -\infty, J_2)]$$



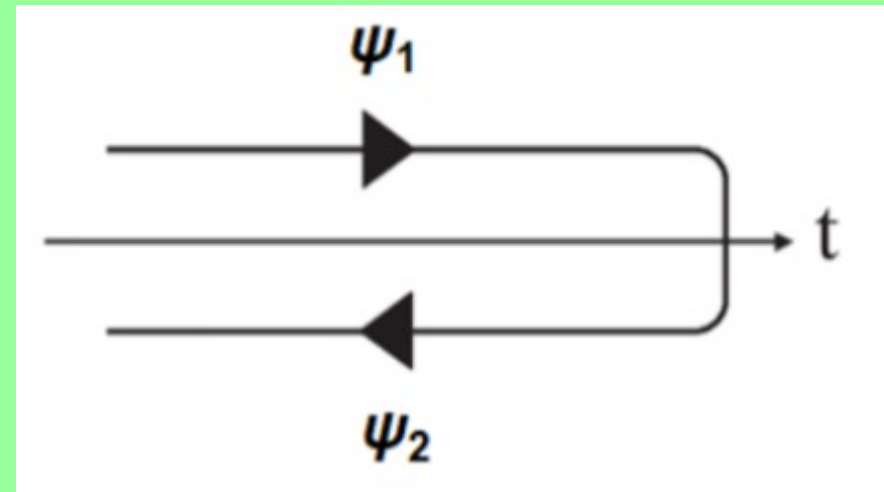
$$\int_{\text{SK}} \mathcal{D}[\varphi_1 \varphi_2] e^{iI_{\text{EFT}}[\varphi_1, \varphi_2; J_1, J_2]} = e^{W[J_1, J_2]}$$



$$\varphi_r \equiv \frac{1}{2}(\varphi_1 + \varphi_2), \quad \varphi_a \equiv \varphi_1 - \varphi_2$$

Classical field
(VEV of quantum fields)

Thermal and quantum
fluctuations (dissipation)



EFT for quasicrystals

$$\psi_s^A \rightarrow \psi_s^A + \lambda^A$$

$$A = 1, 2, 3, 4$$

Superspace fields

[MB, 2008.05339]

$$X_r^\mu \equiv \frac{1}{2}(X_1^\mu + X_2^\mu), \quad X_a^\mu \equiv X_1^\mu - X_2^\mu, \quad \psi_r^A \equiv \frac{1}{2}(\psi_1^A + \psi_2^A), \quad \psi_a^A \equiv \psi_1^A - \psi_2^A.$$

$$\mathcal{L}_{\text{EFT}} = T^{\mu\nu} \partial_\mu X_{a\nu} + J^{A\mu} \partial_\mu \psi_a^A + \Gamma^A \psi_a^A + \frac{i}{2} M^{AB} \psi_a^A \psi_a^B$$

Quasicrystal part

$$J^{A\mu} = F^A(\beta, Y^{AB}, Z^A) u^\mu + H^{AB}(\beta, Y^{AB}, Z^A) \partial^\mu \psi_r^B$$

EFT for quasicrystals

$$H^{44} \partial_\mu \partial^\mu \psi_r^4 + \frac{\partial F^4}{\partial Z^4} \partial_0^2 \psi_r^4 - M^{44} \partial_0 \psi_r^4 = 0.$$

[MB,2008.05339]

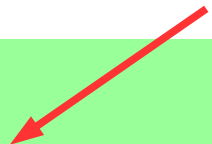
$$\omega^2 + i\gamma\omega = v^2 k^2.$$

$$\gamma \equiv \frac{M^{44}}{H^{44} - \partial F^4 / \partial Z^4}, \quad v^2 = \frac{H^{44}}{H^{44} - \partial F^4 / \partial Z^4}.$$

Dissipative coefficient
(non hermitian part of the action)

Phason elasticity

EFT for quasicrystals

$$\partial_{\mu} J^{4\mu} = -\Gamma^4 \sim M^{44}$$


[MB, 2008.05339]

Only allowed at finite T (with dissipation)

PHASON SHIFT =

Symmetry with no associated conserved Noether current !

$$\langle [H, \mathcal{P}_4] \rangle \sim \langle H^\dagger \mathcal{P}_4 - \mathcal{P}_4 H \rangle \sim \frac{d\langle \mathcal{P}_4 \rangle}{dt} \sim M^{44}$$

Cf. DIFFUSIVE Goldstone modes in dissipative systems [Hidaka et Al.]

Light on the (no longer) mystery

Let us introduce explicit breaking

$$\mathcal{L}_{\text{breaking}} = \omega_0^2 X_r^\mu X_r^\mu + \omega_1^2 \psi_r^A \psi_r^A - \Omega_0 \dot{X}_r^\mu X_{a\mu} - \Omega_1 \dot{\psi}_r^A \psi_a^A + \dots$$

*But let us retain diagonal symmetry
(as in the holographic models)*

$$\mathcal{L}_{\text{breaking}} = \omega_0^2 (X_r^\mu X_{a\mu} + \psi_r^A \psi_a^A) - \Omega_0 (\dot{X}_r^\mu X_{a\mu} + \dot{\psi}_r^A \psi_a^A) \dots$$

[MB,2008.05339]

PHONONS

$$\omega^2 + i\Omega_0 \omega = \omega_0^2 + V^2 k^2$$

1) damped

2) pinned

Light on the (no longer) mystery

PHASONS

[MB,2008.05339]

$$\omega^2 + i\bar{\gamma}\omega = v^2k^2 + \omega_0^2,$$

$$\omega \equiv -i\Omega + \mathcal{O}(k^2) = -i\frac{\omega_0^2}{\bar{\gamma}} + \mathcal{O}(k^2),$$

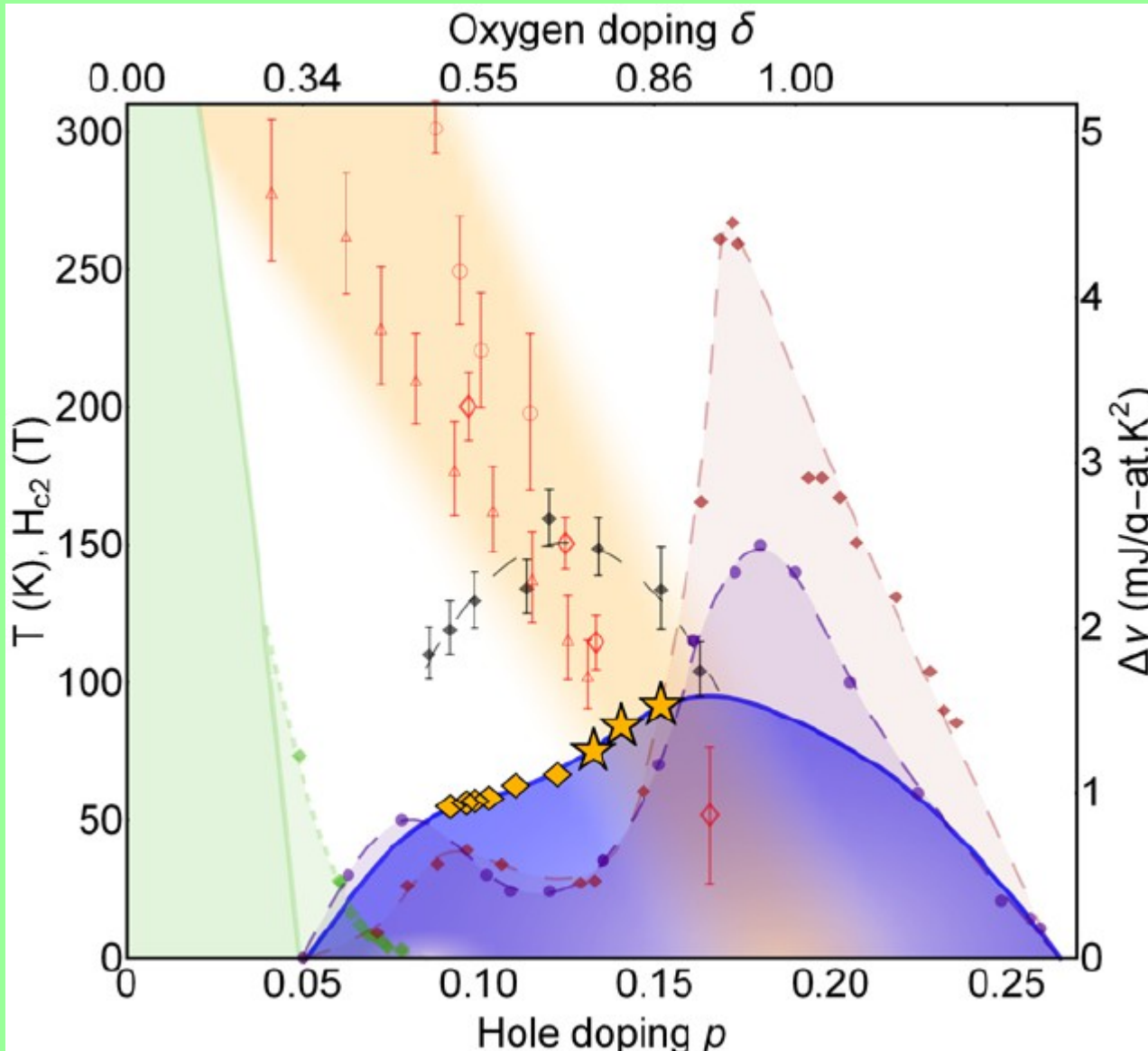
PHASE
RELAXATION

$$\Omega = \frac{\omega_0^2 D}{v^2} + \dots,$$

$$\Omega = \chi_{\pi\pi} \omega_0^2 \frac{D}{G} = \frac{\omega_0^2 D}{v^2},$$

**EXACTLY WHAT WE FIND IN HOLOGRAPHY!
IT IS SIMPLY THE SYMMETRY BREAKING PATTERN
(equivalent of GMOR relation for mass)**

More advanced topics/questions



$$\omega = -i\Omega +$$

Role for phenomenology !

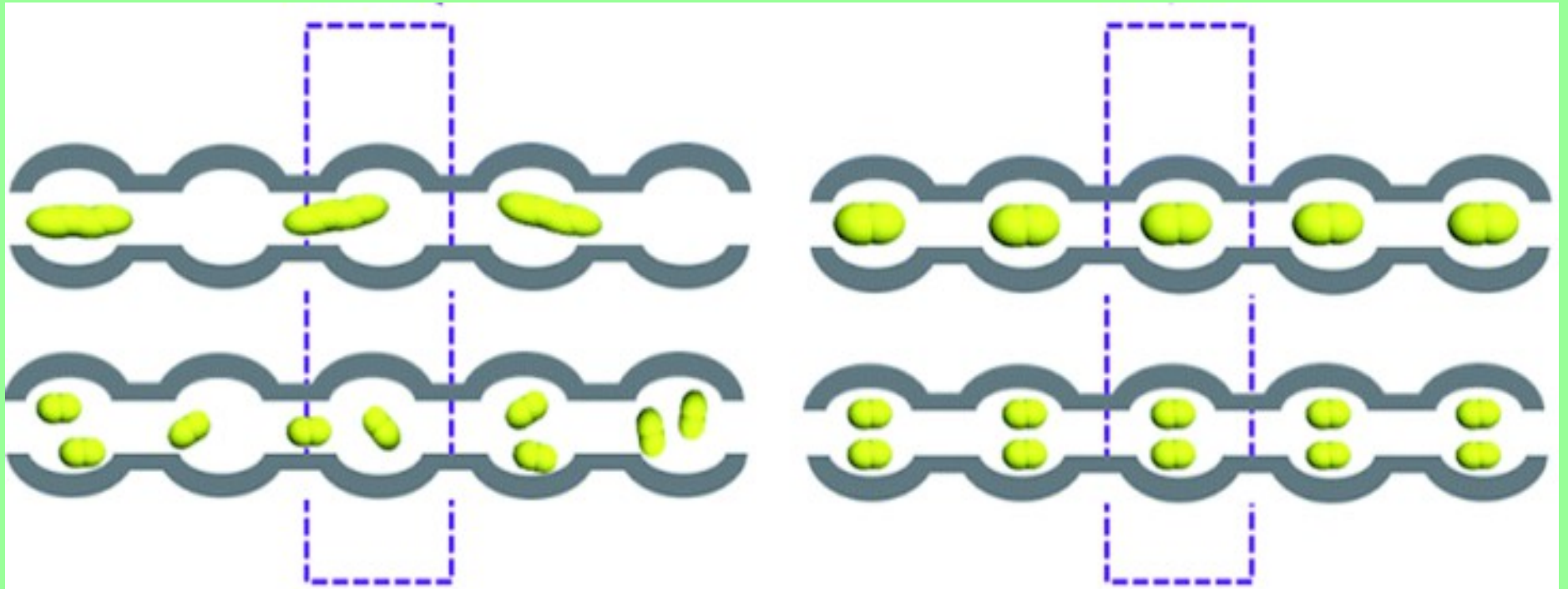
Linear in T?

High T_c ?

Optical conductivity ?

[Hartnoll et Al.]

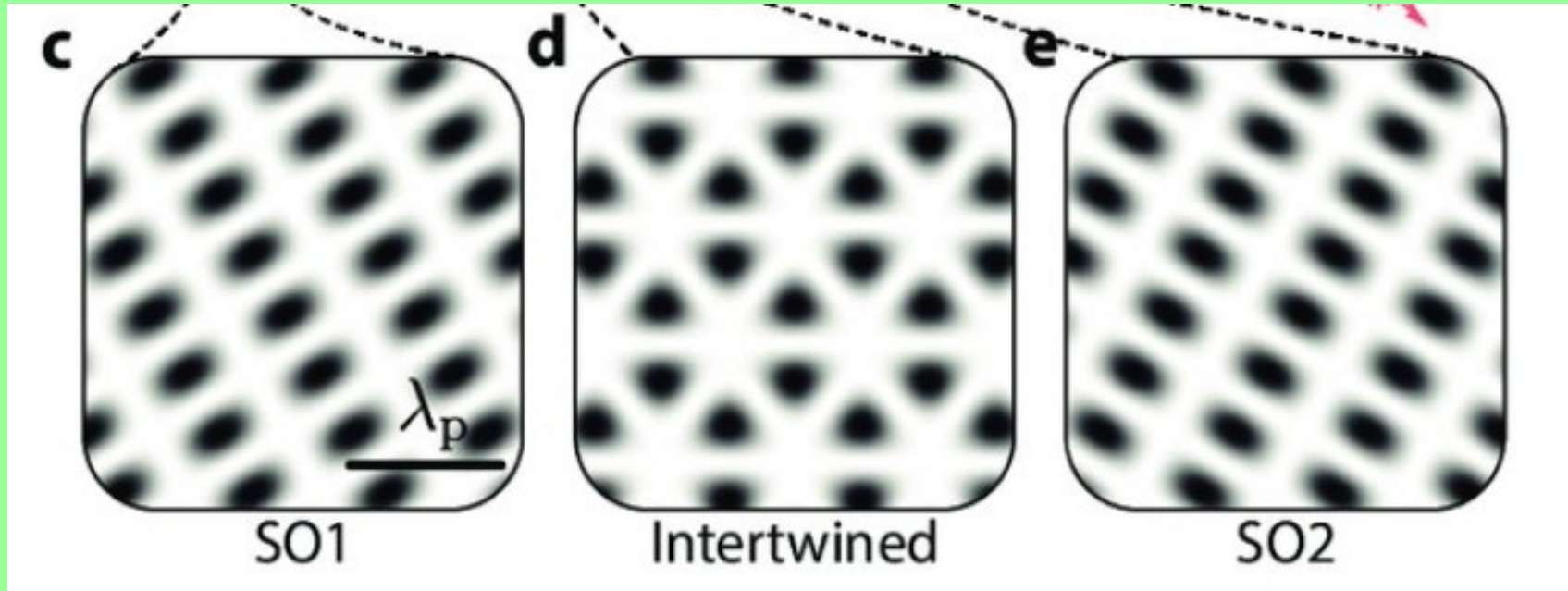
More advanced topics/questions



INCOMMENSURATE  **COMMENSURATE**

What happens to the phason ?
Properties of the phase transition ?
Holographic implementation

More advanced topics/questions



ORDER <-----> SUPERCONDUCTIVITY

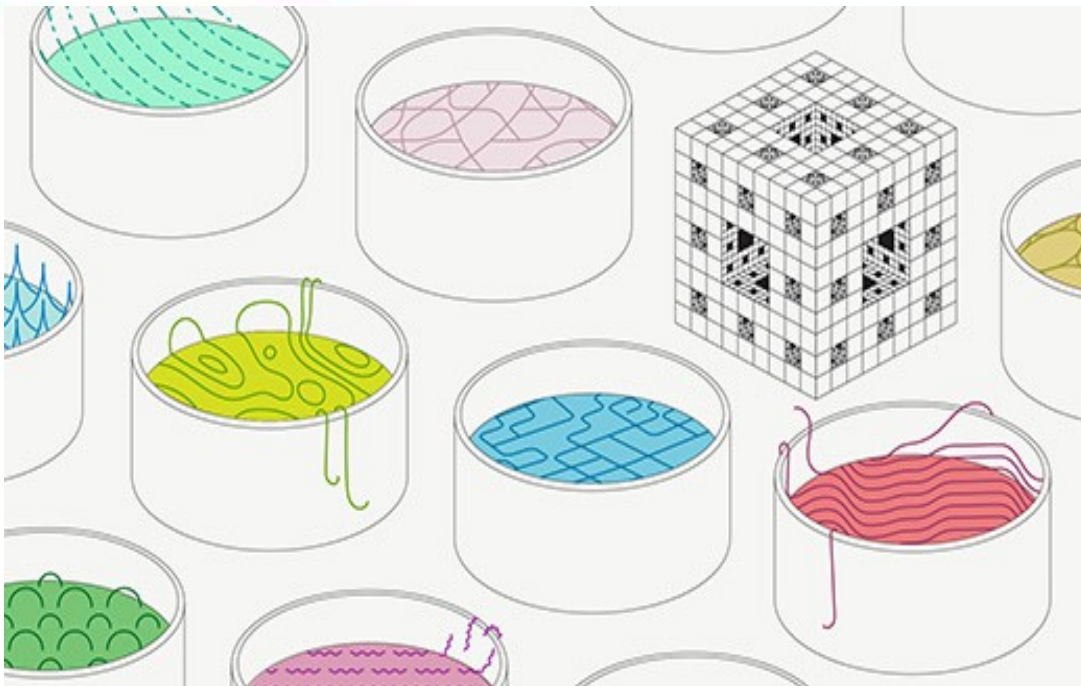
Other orders: nematicity, pair density waves, spin waves

[Holography + hydrodynamics + EFT- field theory]



GRAND FINALE!!!

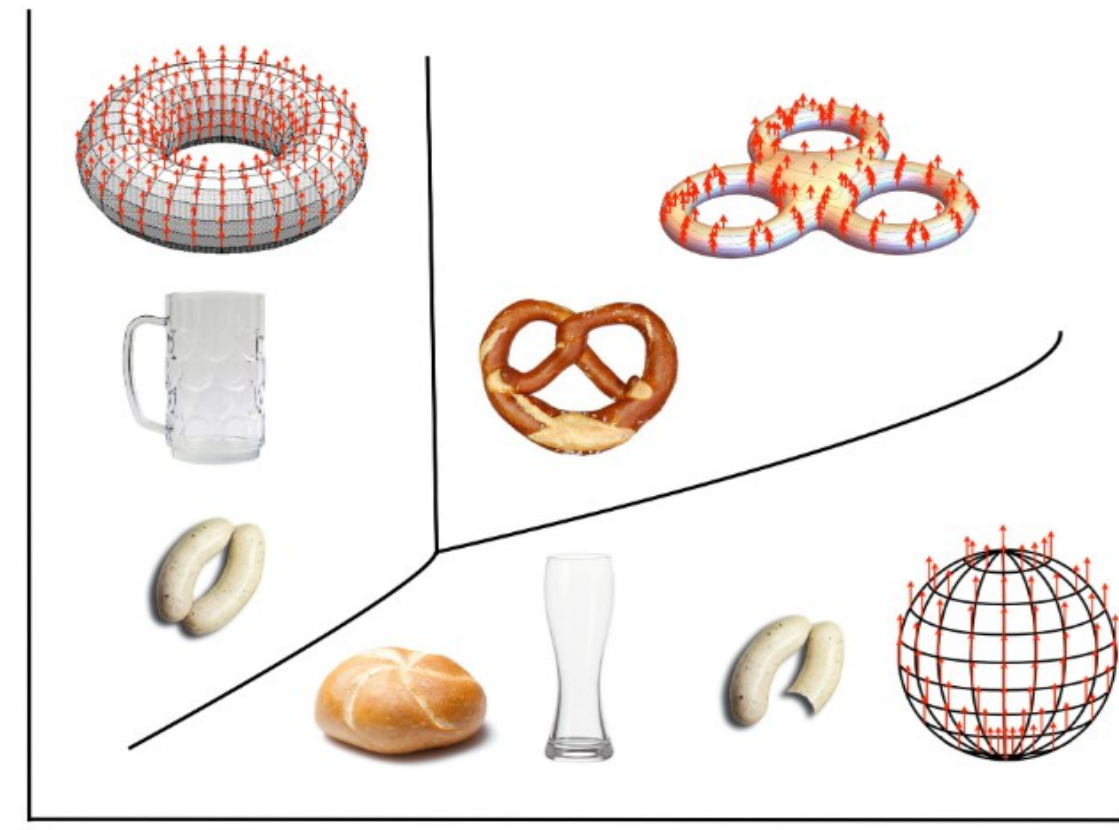
CONCLUSIONS



A lot of interesting and cool physics

CONCLUSIONS

Organizing principle: symmetries



Acknowledgments



and many more ...

ORGANIZERS

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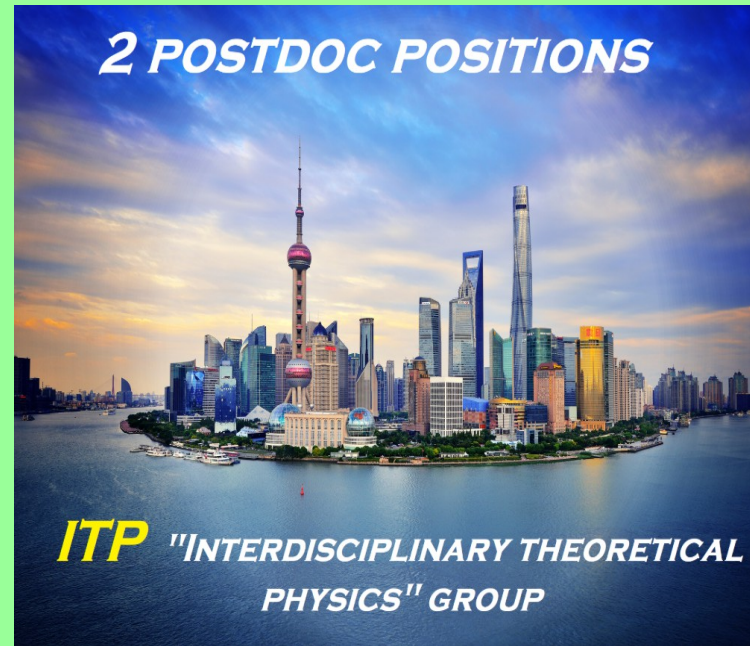
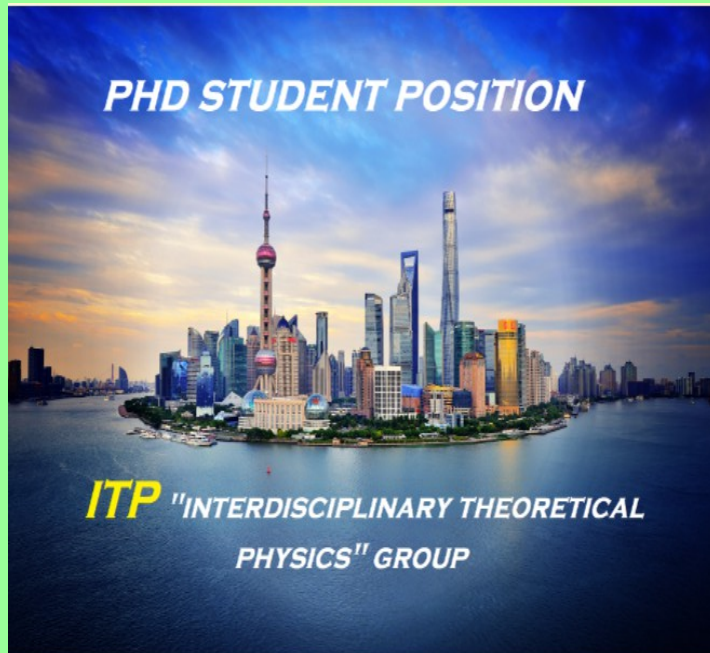
Ioannis Papadimitriou (KIAS)

Thanks a lot

(looking forward to coming
back to Korea)



Several positions available for next year !!



If you are interested (or you know some good candidate) please contact me at : mbaggioli@ifae.es (and share the info)

Quantum Matter and Quantum Information with Holography

August 23 (Sun), 2020 ~ August 31 (Mon), 2020



APCTP
Asia Pacific Center for Theoretical Physics

감사합니다

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