# <span id="page-0-0"></span>Statistical Methods in Nuclear Physics : Practical Approach

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### Nuclear Physics School 2020 (Asia Pacific Center for Theoretical Physics)







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- **A** Neutron Stars
- **a** LDM
	- Root Mean Square Deviation, Lagrange Multiplier Method
- Energy density functional
- Tolmann Oppeheimer Volkov (T.O.V) equations
- **•** Bayesian Statistics
	- Conditional probabilities
- Application to nuclear matter and neutron stars
	- Mass and radius according to the observational constraints



Figure: Cassiopeia A is among the best-studied supernova remnants. This image blends data from NASA's Spitzer (red), Hubble (yellow), and Chandra (green and blue) observatories. NASA/JPL-CALTECH/STSCI/CXC/SAO

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	- Central density :  $3 \sim 10 \rho_0$  → Nuclear physics!!

**.** Inner structure of neutron stars



- **Neutron Stars:** 
	- Dense nuclear matter physics

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TOV equations for macroscopic structure (spherically symmetric non-rotating NS)

$$
\frac{dp}{dr} = -\frac{G(M(r) + 4\pi r^3 p/c^2)(\epsilon + p)}{r(r - 2GM(r)/c^2)c^2},
$$
\n
$$
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$$

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- Macroscopic quantities
	- r; distance from the center  $M(r)$ ; enclosed mass from the center
- Microscopic quantities (Nuclear physics)
	- p ; pressure
	- $\epsilon$ ; energy density

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### Truth You have to know that 'if someone can do it, you can do it'. ◂**◻▸ ◂<del>ਗ਼</del>▸**  $\Omega$

### <span id="page-23-0"></span>Nuclear Binding energy



Figure: Binding energy per nucleon for stable nuclei

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## <span id="page-24-0"></span>Nuclear Binding energy



Figure: Binding energy per nucleon for stable nuclei

There many methods to calculate binding energy of finite nuclei.

- Liquid drop model, Thomas Fermi, Skyrme Hartee-Fock, Relativistic Mean field model, No-core shell, Quantum [Mo](#page-23-0)[nt](#page-25-0)[e](#page-22-0)[C](#page-24-0)[a](#page-25-0)[rl](#page-22-0)[o](#page-23-0)[,](#page-52-0) [.](#page-53-0) [.](#page-22-0) [.](#page-23-0)  $\Omega$ 

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- <span id="page-25-0"></span>Nuclear mass or total binding energy can be described by a simple liquid drop model
	- Sharp edge, uniform density

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- Nuclear mass or total binding energy can be described by a simple liquid drop model
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$$
E = -BA + E_S A^{2/3} + E_C \frac{Z^2}{A^{1/3}}
$$
 (2)

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• Fitting function(?)

$$
f(A, Z) = a_0A + a_1A^{2/3} + a_2A^{1/3} + \cdots + b_1Z + b_2Z^2 + \cdots
$$
 (3)

- Nuclear mass or total binding energy can be described by a simple liquid drop model
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 (3)

- Origin of B,  $E_S$ ,  $E_C$ 
	- $B$  : binding energy of bulk matter
	- $-E<sub>S</sub>$ : Surface energy
	- $-E_C$ : Coulomb

### **.** Correction term : Asymmetry energy

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$$
E(n, x) = E_{SNM} + (1 - 2x)^{2} S(n)
$$
  
\n
$$
E_{SNM} = E(n, x = \frac{1}{2})
$$
  
\n
$$
E_{PNM} = E(n, x = 0)
$$
  
\n
$$
S(n) = E_{PNM} - E_{SNM}
$$
\n(4)

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$$
E = -BA + E_S A^{2/3} + E_C \frac{Z^2}{A^{1/3}} + E_{SYM} \frac{(N - Z)^2}{A}
$$
(5)



$$
-BA + E_{SYM} \frac{(N - Z)^2}{A} = \left[ -B + E_{SYM} (1 - 2x)^2 \right] A \tag{6}
$$

• Correction term : Pairing Energy Even-odd Staggering  $S_n = B(N, Z) - B(N - 1, Z)$ 



Figure: One neutron separation energy of Sn isotopes

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Figure: One neutron separation energy of Sn isotopes

$$
E = -BA + E_S A^{2/3} + E_C \frac{Z^2}{A^{1/3}} + E_{SYM} \frac{(N-Z)^2}{A} + A_p \frac{\Delta}{\sqrt{A}}
$$
 (7)

 $A_p = -1$  for even-even,  $A_p = 0$  for even-odd, and  $A_p = 1$  for odd-odd nuclei.  $\Omega$ 

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#### • Correction term : Shell corrections



Figure: Single particle energy level (wikipedia)

Low-lying energy levels in a single-particle shell model with an oscillator potential without spin-orbit (left) and with spin-orbit (right) interaction.

$$
\left[-\frac{\hbar^2}{2m}\nabla^2 + U(\mathbf{x})\right]\psi(\mathbf{x}) = E\psi(\mathbf{x}) \qquad (8)
$$

$$
U(\mathbf{x}) = \begin{cases} \frac{1}{2}k\mathbf{x}^2 \\ -\frac{U_0}{1 + e^{(r-R)/a}} \end{cases}
$$
(9)

$$
U(\mathbf{x}) \rightarrow U(\mathbf{x}) + W_{LS}(\mathbf{x}),
$$
  
\n
$$
W_{LS}(r) = f(r)\vec{L} \cdot \vec{S}, \mathbf{J} = \mathbf{L} + \mathbf{S}, \ \vec{L} \cdot \vec{S} = \frac{1}{2}(\mathbf{J}^2 - \mathbf{L}^2 - \mathbf{S}^2).
$$
  
\n
$$
W_{LS} = \frac{\partial}{\partial r} f(r) [J(J+1) - L(L+1) - S(S+1)] \tag{10}
$$

Solve Schrödinger equation, sum up all wave functions and obtain density profile.

$$
\rho(r) = \sum_{n,l,s} |\psi_{nls}(r)|^2 \tag{11}
$$

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U(\mathbf{x}) \rightarrow U(\mathbf{x}) + W_{LS}(\mathbf{x}),
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### **DFT**

Roughly speaking, Density Functional Theory for nuclei is to replace  $U(\mathbf{r})$ with  $U(\rho_n, \rho_p)$  and obtain wave functions or densities until it reaches self-consistency.

We employ algebraic function depends on magic number and valence number of nucleus (Duflo and Zuker Phys. Rev. C 52, R23(R)).

$$
E_{shell} = a_1 S^2 + a_2 (S_2)^2 + a_3 S_3 + a_{np} S_{np}, \qquad (12)
$$

where

$$
S_2 = \frac{n_V \bar{n}_V}{D_n} + \frac{p_V \bar{p}_V}{D_p}
$$
  
\n
$$
S_3 = \frac{n_V \bar{n}_V (n_V - \bar{n}_V)}{D_n} + \frac{p_V \bar{p}_V (p_V - \bar{p}_V)}{D_p}
$$
  
\n
$$
S_{np} = \frac{n_V \bar{n}_V p_V \bar{p}_V}{D_n D_p}
$$
\n(13)

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$$
\n(13)

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We obtain  $n_v = |30 - 28| = 2$ ,  $p_v = |26 - 20| = 6$ .  $D_n(D_n)$  is the degeneracy number,  $D_n = 50 - 28 = 22$ ,  $D_p = 28 - 20 = 8$ ,  $\bar{n}_{v} = 50 - 30 = 20$ ,  $\bar{p}_{v} = 28 - 26 = 2$ 

#### • How do we fit all such terms? B,  $E_S$ ,  $E_C$ ,  $\Delta$ ,  $E_{shell}$ ?

- Linear regression

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Figure: Scatterred data and its least square linear plot

 $\leftarrow$ 

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Figure: Scatterred data and its least square linear plot

$$
y = ax + b \rightarrow \chi^2 = \frac{1}{N} \sum_{i} (ax_i + b - y_i)^2
$$
 (14)

 $\leftarrow$ 

To minimize  $\chi^2$ , we take derivatives w.r.t.  $\emph{a}$  and  $\emph{b}$ 

<span id="page-44-0"></span>
$$
f_1 = \frac{\partial \chi^2}{\partial a} = \frac{2}{N} \sum_i (ax_i + b - y_i)x_i = 0,
$$
  

$$
f_2 = \frac{\partial \chi^2}{\partial b} = \frac{2}{N} \sum_i (ax_i + b - y_i) = 0
$$
\n(15)

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### Warning

This is possible because the fitting function is linear. In general non-linear fitting fuction, or optimization is necessary.

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#### Good news !

In this type of LDM, we can linearize by changing variables  $A_i = x_i$ ,  $A^{2/3} = s_i$ , ...,  $A_{pi}/\sqrt{A} = u_i$ . Follow the ways as in the linear regression.

By adding more and more correction terms, we can see the root mean square deviation decrease.

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 $\sigma_{RMSD} = 17.608$  (Coulomb),

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Figure: Left :Experimental binding energy (red circles) and LDM calculations (green line), Right: Binding energy contributions

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$$
S_n = B(N, Z) - B(N - 1, Z), S_{2n} = B(N, Z) - B(N - 2, Z),
$$
  
\n
$$
S_p = B(N, Z) - B(N, Z - 1), S_{2p} = B(N, Z) - B(N, Z - 2).
$$
\n(16)

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$$
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#### Bound nuclei

 $S_n > 0$ ,  $S_{2n} > 0$ ,  $S_p > 0$ ,  $S_{2p} > 0$ .  $\to$  Do loop calculation !

$$
S_n = B(N, Z) - B(N - 1, Z), S_{2n} = B(N, Z) - B(N - 2, Z),
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#### Bound nuclei

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### Coding:

Except theoretical parts, Computer coding : Do Loop & If and else

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#### **e** Exercise ! DIY

We have the equations to get the optimized linear equation or correlation line, i.e., Eq. [\(15\)](#page-44-0). Can you get the analytic solution for a and b?

What if you have a vector  $\vec{x}$  instead of scalar  $x$ ? That is, there are data points  $(x_j^1, x_i^2, x_j^3, \ldots, x_i^N, y_i)$  and we want to find,  $(a^1, a^2, \ldots, a^N, b).$ 

#### Exercise ! DIY

Nuclear matter saturates at a density of about 0.16 baryons/ $\text{fm}^3$ where the energy per baryoon is about  $-16 \,\mathrm{MeV}$ . The nuclear surface tension is about  $1\,\mathrm{MeV}/\mathrm{fm}^2$ . Estimate the mass number of the nucleus with the largest binding energy per baryon, assuming symmetric nuclear matter (equal number of neutrons and protons).

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$$
E = -BA + 4\pi R^2 \sigma + \frac{3}{5} \frac{Z^2 e^2}{R}, \quad n_0 \frac{4\pi R^3}{3} = A \tag{17}
$$

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LDM is very successful to describe the binding energy of finite nuclei.

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- Something else with LDM?

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	- **1** The first thing we can do is to allow the variation of density of nuclei  $n_0 \rightarrow n$  ; compressible model,  $R \neq r_0 A^{1/3}$

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	- **2** How can we find that?

Write down the total binding energy as a function density and think the way to solve it !

$$
E = -BA + E_S A^{2/3} + E_C \frac{Z^2}{A^{1/3}};
$$
 In compressible model (18)

$$
-B \to -B + S_v(1 - 2x)^2 + \frac{\kappa}{18} \left(1 - \frac{n}{n_0}\right)^2
$$
  

$$
A \to \frac{4\pi}{3} R^3 n, E_S \to \sigma(x); x \to \text{proton fraction}
$$

 $\ast \sigma(x)$  is the surface tension which can be obtained semi-infinite nuclear matter density profile. This is an quantities related to two phase(dense matter, dilute matter) equilibrium. Thus it is a thermodynamic quantity.



Figure: surface density profile (left) and surface tension (right)

$$
\sigma(x) \simeq \sigma(x = 0.5) - \sigma_{\delta}(1 - 2x)^2 \tag{19}
$$

$$
f(n,x) = \left[ -B + S_v(1 - 2x)^2 + \frac{K}{18} \left( 1 - \frac{n}{n_0} \right)^2 \right] A
$$
  
+  $4\pi R^2 \sigma(x) + \frac{3}{5} \frac{Z^2 e^2}{R}$ ; Compressible model (20)

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$$
f(n,x) = \left[ -B + S_v (1 - 2x)^2 + \frac{K}{18} \left( 1 - \frac{n}{n_0} \right)^2 \right] A
$$
  
+  $4\pi R^2 \sigma(x) + \frac{3}{5} \frac{Z^2 e^2}{R}$ ; Compressible model (20)

**•** Energy minimization

$$
\frac{\partial f}{\partial n} = 0, \frac{\partial f}{\partial x} = 0?
$$
 (21)

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$$
f(n,x) = \left[ -B + S_v (1 - 2x)^2 + \frac{K}{18} \left( 1 - \frac{n}{n_0} \right)^2 \right] A
$$
  
+  $4\pi R^2 \sigma(x) + \frac{3}{5} \frac{Z^2 e^2}{R}$ ; Compressible model (20)

**•** Energy minimization

$$
\frac{\partial f}{\partial n} = 0, \frac{\partial f}{\partial x} = 0?
$$
 (21)

No we forgot the constraints

$$
A = \frac{4\pi}{3}R^3n, \quad x = \frac{Z}{A}
$$
 (22)

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### Lagrange Multiplier Method

 $\bullet$  How can we minimize some quantities with certain constraints?

$$
f(x, y) = x2 + y2 - 1; \quad x + y = 1
$$
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### Lagrange Multiplier Method

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$$
 (25)

$$
2x + \lambda = 0, \ 2y + \lambda = 0, \ x + y - 1 = 0 \tag{26}
$$

**1** Write total free energy density from each contribution,

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F_{tot} = F_N + F_e + F_\mu \tag{27}
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#### <sup>3</sup> Definition of chemical potential?

$$
\mu_n = \frac{\partial F_N}{\partial n_n}, \ \mu_p = \frac{\partial F_N}{\partial n_p}, \ \mu_e = \frac{\partial F_e}{\partial n_e}, \ \mu_\mu = \frac{\partial F_\mu}{\partial n_\mu}, \tag{29}
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$$

$$
\mu_n = \mu_p + \mu_e, \quad \mu_e = \mu_\mu,\tag{30}
$$

Apply Lagrange Multiplier method to the compressible liquid drop model

$$
g(n,x) = \left[ -B + S_v(1 - 2x)^2 + \frac{K}{18} \left( 1 - \frac{n}{n_0} \right)^2 \right] A
$$
  
+  $4\pi R^2 [\sigma_0 - (1 - 2x)^2 \sigma_{\delta}] + \frac{3}{5} \frac{Z^2 e^2}{R}$  (31)  
+  $\lambda_1 \left( A - \frac{4\pi R^3}{3} n \right) + \lambda_2 \left( \frac{Z}{A} - x \right)$ 

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• What are unknowns?

Apply Lagrange Multiplier method to the compressible liquid drop model

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• What are unknowns ? n, x, R,  $\lambda_1$ ,  $\lambda_2$ 

Using the notation  $f_B (n, x) = -B + S_\nu (1-2x)^2 + \frac{K}{18} \left(1 - \frac{n}{n_0}\right)$  $n_0$  $\setminus^2$ 

$$
\frac{\partial g}{\partial n} = 0; \quad \frac{\partial f_B}{\partial n} A - \frac{4\pi R^3}{3} \lambda_1 = 0, \tag{32}
$$

$$
\frac{\partial g}{\partial x} = 0; \quad \frac{\partial f_B}{\partial x} A - 16\pi R^2 \delta^2 \sigma_\delta - \lambda_2 = 0, \tag{33}
$$

$$
\frac{\partial g}{\partial R} = 0; \quad 8\pi R[\sigma_0 - \delta^2 \sigma_\delta] + \frac{\partial E_C}{\partial R} - \lambda_1 4\pi R^2 n = 0, \tag{34}
$$

$$
\frac{\partial g}{\partial \lambda_1} = 0; \quad A = \frac{4\pi}{3} R^3 n
$$
\n(35)

$$
\frac{\partial g}{\partial \lambda_2} = 0; \quad x = \frac{Z}{A} \tag{36}
$$

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$$
\n
$$
\frac{\partial g}{\partial \lambda_2} = 0; \quad x = \frac{Z}{A}
$$
\n(36)

Next job is to reduce the equations as many as possible!

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 $\bullet$   $\lambda_1$  and  $\lambda_2$  are related to chemical potential of neutrons and protons

<span id="page-85-0"></span>
$$
\lambda_1 = n \frac{\partial f_B}{\partial n}, \quad \lambda_2 = \frac{\partial f_B}{\partial n} A - 16\pi R^2 \delta \sigma_\delta, \quad x = \frac{Z}{A} \tag{37}
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• The remaining two unknowns are  $n$  and  $x$  which can be obtained from two equations.

$$
8\pi R[\sigma_0 - \delta^2 \sigma_\delta] + \frac{\partial E_C}{\partial R} - 4\pi R^2 n^2 \frac{\partial f_B}{\partial n} = 0,
$$
  

$$
A - \frac{4\pi}{3} R^3 n = 0
$$
 (38)

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$$
 (38)

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Non-linear equations !

It's time to learn how to solve non-linear solutions.

## Newton Raphson

Newton Raphson is a very powerful method to get solution for non-linear equations Find the solution  $f(x) = 0$ 



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## Newton Raphson

Newton Raphson is a very powerful method to get solution for non-linear equations Find the solution  $f(x) = 0$ 



$$
x_{n+1} = x_n - f(x_n) / f'(x_n)
$$
\n<sup>(39)</sup>

$$
x_{n+1} = x_n - f(x_n) \frac{x_n - x_{n-1}}{f(x_n) - f(x_{n-1})}
$$
(40)

B ×  $299$ 

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x_{n+1} = x_n - f(x_n) \frac{x_n - x_{n-1}}{f(x_n) - f(x_{n-1})}
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f(x) + \frac{df}{dx} \Delta x = 0 \qquad \rightarrow \qquad \Delta x = -\frac{f}{df/dx} \tag{41}
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• We can also generalize NR to multivariable  $\vec{x} = (x_1, x_2, \dots, x_N)$ .

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f_i + \frac{\partial f_i}{\partial x_j} \Delta x_j = 0 \quad \to \quad \Delta x_j = -D_{ij}^{-1} f_i \tag{42}
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x_{n+1} = x_n - f(x_n) \frac{x_n - x_{n-1}}{f(x_n) - f(x_{n-1})}
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 $\partial x_j\Delta x_j$  means summation over  $j,~D^{-1}_{ij}$  is the matrix inverse for ∂fi/∂x<sup>j</sup>

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 $\partial x_j\Delta x_j$  means summation over  $j,~D^{-1}_{ij}$  is the matrix inverse for ∂fi/∂x<sup>j</sup> Depending on the relative size of  $x_j$ ,  $\lambda_j$  (scale factor) is introuduced,  $\Delta x_j = -\lambda_j D^{-1}_{ij} f_i$ 



Figure: Bound nuclei with compressible model

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# Take home problem III

- If you can write a code (python, fortran, c,  $c++$ ,...), Try to solve Eq.[\(38\)](#page-85-0) for  $56$ Fe.
- You may use  $B = -16 \,\text{MeV}$ ,  $S_v = 32 \,\text{MeV}$ ,  $K = 235 \,\text{MeV}$ ,  $\sigma_0 = 1.12 \,\mathrm{MeV \, fm}^{-2}$ ,  $\sigma_{\delta} = 2.0 \,\mathrm{MeV \, fm}^{-2}$ .
- You can try with initial guess  $n=0.16\,\mathrm{fm}^{-3}$ ,  $R=1.12A^{1/3}\,\mathrm{fm}$ .
- **If** you can solve for a given value of B,  $S_v$ , K,  $\sigma_0$ ,  $\sigma_{\delta}$ , you can also find the optimized  $\sigma_0$  and  $\sigma_{\delta}$ .
- In the same manner, you can also find the pairing gap  $\Delta$  and Shell corrections in the compressible model.
- $\bullet$  See how the energy difference changes for N, Z, especially magic number.

# <span id="page-98-0"></span>Take home problem III

- If you can write a code (python, fortran, c,  $c++$ ,...), Try to solve Eq.[\(38\)](#page-85-0) for  $56$ Fe.
- $\bullet$  You may use  $B = -16 \,\text{MeV}$ ,  $S_v = 32 \,\text{MeV}$ ,  $K = 235 \,\text{MeV}$ ,  $\sigma_0 = 1.12 \,\mathrm{MeV \, fm}^{-2}$ ,  $\sigma_{\delta} = 2.0 \,\mathrm{MeV \, fm}^{-2}$ .
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- In the same manner, you can also find the pairing gap  $\Delta$  and Shell corrections in the compressible model.
- $\bullet$  See how the energy difference changes for N, Z, especially magic number.

### Tips

As you may know, if you meet some numerical problems, it always works if you can simplify the problems. In this case, you may start  $f_B = -B$  or  $\sigma_{\delta} = 0$  and increase the complexities to check your code.

## Take Home Problem IV

Energy density functioal is a simple analytic function to decribe energy density as a function of baryon number density and proton fraction  $(n, x)$ .

$$
\mathcal{E}(n,x) = \frac{\hbar}{2m}\tau_n + \frac{\hbar}{2m}\tau_p + (1-2x)^2 f_n(n) + [1-(1-2x)^2] f_s(n),
$$

We can get the coefficients for Energy Density Functional utilizing the results from state of the art calculation for pure neutron matter EOS and symmetric nuclear matter properties.

First, the kinetic energy densities  $(\tau_n, \tau_p)$  (in the uniform) are given as

$$
\tau_n = \frac{3}{5} (3\pi^2)^{2/3} (n(1-x))^{5/3}, \ \tau_p = \frac{3}{5} (3\pi^2)^{2/3} (nx)^{5/3}
$$

The potential energy parts are assumed to be

$$
f_{s}(n) = \sum_{i=0}^{3} a_{i} n^{(2+i/3)}, \quad f_{n}(n) = \sum_{i=0}^{3} b_{i} n^{(2+i/3)}
$$

<span id="page-100-0"></span>• The definition of symmetric nuclear matter properties are

$$
-B = \frac{\mathcal{E}}{n}, P = n^2 \frac{\partial}{\partial n} \left( \frac{\mathcal{E}}{n} \right), K = 9n^2 \frac{\partial^2}{\partial n^2} \left( \frac{\mathcal{E}}{n} \right), Q = 27n^3 \frac{\partial^3}{\partial n^3} \left( \frac{\mathcal{E}}{n} \right)
$$

where every quantity is evaluated at  $n = n_0$ ,  $x = 1/2$ . From the properties finite nuclei, we have  $B = 16$ MeV,  $P = 0(n_0 = 0.16 \,\text{fm}^{-3})$ ,  $K = 235 \text{MeV}$ ,  $Q = -300 \text{MeV}$ 

- Find the coefficient  $a_i$  from the symmetric nuclear matter properties !
- Find the coefficient  $b_i$  from the neutron matter EOS !

### Fitting

This problem is related with the last lecture and a problem in there.

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### This is the data file for pure neutron matter from Many body perturbation calculation using chiral potential and three body forces.



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The compressible model can be used to study the properties of neutron star crust.

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- The compressible model can be used to study the properties of neutron star crust.
- There are several diffference between finite nuclei and nuclei in neutron star crust.

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- The compressible model can be used to study the properties of neutron star crust.
- There are several diffference between finite nuclei and nuclei in neutron star crust.
- First of all, we have to think the presence of electrons
- As density increases, neutrons drips out of neutron rich heavy nuclei Unbound neutron exists
- Write energy contribution and apply Lagrange Multiplier Method with constraints !



Figure: Wigner Seitz cell

 $\leftarrow$   $\Box$   $\rightarrow$


 $F_i$ : Energy density of a heavy nucleus

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Figure: Wigner Seitz cell



 $F_i$ : Energy density of a heavy nucleus

 $\leftarrow$ 

 $F_s$  : Surface energy density

Figure: Wigner Seitz cell



Figure: Wigner Seitz cell

- $F_i$ : Energy density of a heavy nucleus
- $F_s$  : Surface energy density
- $F_c$  : Coulomb energy density



Figure: Wigner Seitz cell

- $F_i$ : Energy density of a heavy nucleus
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- $F<sub>o</sub>$ : Energy density of outside nucleons



Figure: Wigner Seitz cell

- $F_i$ : Energy density of a heavy nucleus
- $F_s$  : Surface energy density
- $F_c$  : Coulomb energy density
- $F<sub>o</sub>$ : Energy density of outside nucleons
- $F_e$ : Electron energy density

$$
F = un_i f_i + \frac{\sigma(x_i)ud}{r_N} + 2\pi (n_i x_i er_N)^2 uf_d(u)
$$
  
+  $(1 - u)n_{no}f_o + f_e$ , (43)

$$
n - uni - (1 - u)nno = 0,nYp - unixi = 0,nYp - ne = 0.
$$
 (44)

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Unknows are  $u$ ,  $n_i$ ,  $n_{no}$ ,  $x_i$ ,  $r_N$ ,  $n_e$ ,  $\lambda_1$ ,  $\lambda_2$ , and  $\lambda_3$ .

 $\sim$ 

$$
F = un_i f_i + \frac{\sigma(x_i)ud}{r_N} + 2\pi (n_i x_i er_N)^2 uf_d(u)
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- $\partial F/\partial r_N \rightarrow F_S = 2F_C$  (the nuclear virial theorem)

$$
F = un_i f_i + \frac{\sigma(x_i)ud}{r_N} + 2\pi (n_i x_i er_N)^2 uf_d(u)
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- $\partial F/\partial r_N \rightarrow F_S = 2F_C$  (the nuclear virial theorem)
- $\partial G/\partial n_e = 0$ , the beta equilibrium is made.

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+  $(1 - u)n_{no}f_o + f_e$ , (43)

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$$
 (44)

- Unknows are  $u$ ,  $n_i$ ,  $n_{no}$ ,  $x_i$ ,  $r_N$ ,  $n_e$ ,  $\lambda_1$ ,  $\lambda_2$ , and  $\lambda_3$ .
- $\partial F/\partial r_N \rightarrow F_S = 2F_C$  (the nuclear virial theorem)
- $\partial G/\partial n_e = 0$ , the beta equilibrium is made.
- Finally, the unknowns will be  $(n_i, x_i, u, n_{no})$  for a given  $(n, Y_p)$ .

TWe can do a little more with LDM for hot dense matter EOS (Supernova EOS)

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- At finite temperature, there are always unbound neutrons, protons, electrons, and even alpha particles

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- Free energy density is given for a  $(n, Y_e, T)$
- Thermodynamic quantities from free energy density and during the process of looking for solutions

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• A conditional probability is a probability based on some background information.

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• A conditional probability is a probability based on some background information.

#### Example

What is the the probability that I will have a heart attack in the next year? According to the CDC, "Every year about 785,000 Americans have a first coronary attack. (http://www.cdc.gov/heartdisease/facts.htm)."

• A conditional probability is a probability based on some background information.

#### Example

What is the the probability that I will have a heart attack in the next year? According to the CDC, "Every year about 785,000 Americans have a first coronary attack. (http://www.cdc.gov/heartdisease/facts.htm)."

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### Condition

However, the chance will depend on the age, level of cholesterol, blood pressure, family history, and so on.

## • The usual notation for **Conditional Probability** is  $p(A|B)$ .

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#### Example

A is the even that the first coin lands face up. B is the event that the the second coin lands face up, then  $p(A) = p(B) = 0.5$ ,  $p(A \cap B) = p(A)p(B) = 0.25.$ 

• What if A and B are correlated? They are not independent.

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 $P(B|A) = P(B)$  means they are independent!

#### Example

Suppose that  $A$  means that it rains today and  $B$  means that it rains tomorrow. If I know that it rained today, it is more likely that it will rain tomorrow, so  $p(B|A) > p(B)$ .

## • The Cookie problems

## Simple consideration

Suppose there are two bowls of cookies. Bowl 1 contains 30 vanilla cookies and 10 chocolate cookies. Bowl 2 contains 20 of each. Now suppose you choose one of the bowls at random and, without looking, select a cookie at random. The cookie is vanilla. What is the probability that it came from Bowl 1?



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Mathematically  $p(Bow/1|Vanilla)$ ?

It may not seem to be easy but we know that  $P(Vanilla|Bow1) = 1/4$ 

## • The observation that conjunction is commutative

$$
p(A \cap B) = p(B \cap A) \quad \text{for any events } A \text{ and } B \tag{48}
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$$
\n(49)



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14 B  $\rightarrow$


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$$
\rho(B_1|V)=\frac{\rho(B_1)\rho(V|B_1)}{\rho(V)}
$$

(50)

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p(V) = \frac{50}{80}, \quad p(V|B_1) = \frac{30}{40}, \quad \text{and} \quad p(B_1) = \frac{1}{2}, \rightarrow p(B_1|V) = \frac{3}{5}.
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50 (115) 30 (13) 1 (51) 3

 $p(V) = \frac{50}{80}, \quad p(V|B_1) = \frac{30}{40}, \quad \text{and} \quad p(B_1) = \frac{1}{2}, \rightarrow p(B_1|V) = \frac{3}{5}.$ We can simply guess that  $p(B_1|V) > p(B_2|V)$  because Bowl1 has more vanilla cookies.

We can mathematically or statistically confirm that  $p(B_1|V) > p(B_2|V)$ .

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- $\bullet$   $p(D)$  is the probability of the data under any hypothesis, called the normalizing constant.

$$
\frac{dp}{dr} = -\frac{G(M(r) + 4\pi r^3 p/c^2)(\epsilon + p)}{r(r - 2GM(r)/c^2)c^2},
$$
\n
$$
\frac{dM}{dr} = 4\pi \frac{\epsilon}{c^2} r^2,
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- Choose p as integration variables and chages  $r^2 = z$ ,  $dr^2/dp$ ,  $dM/dp$

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\frac{dz}{dp} = -2 \frac{z}{(\epsilon + p)} \frac{(z^{1/2} - 2m)}{(m + 4\pi pz^{3/2})}
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• Integrate from the center to the surface with Runge-Kutta 4th oder method.

YEUNHWAN (YEUNHWAN LIM) [Nuclear Bayesian](#page-0-0) June-2020 48 / 64

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- First : find the nearest data point for a given. **x**
- Choose your own interpolation scheme.

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## Legendre interpolation

For a given set  $(x_i, f_i)$ ,  $(i = 1, 2, \ldots, k)$ ,

$$
L(x) = \sum_{i=1}^{k} f_i l_i(x) \tag{54}
$$

where

$$
l_i(x) = \prod_{\substack{j=1,\cdots,k \\ j \neq i}} \frac{x - x_j}{x_i - x_j}
$$
(55)

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 $l_i(x)$  is a  $(k-1)$ th order polynomial.

$$
l_i(x_m) = \begin{cases} 1 & \text{if } m = i \\ 0 & \text{if } m \neq i \end{cases} \rightarrow L(x_i) = f_i \tag{56}
$$

Ex. Four points interpolation,

 $x_1 < x_2 < x < x_3 < x_4$ ,

$$
f(x) = \frac{(x-x_2)(x-x_3)(x-x_4)}{(x_1-x_2)(x_1-x_3)(x_1-x_4)} f_1
$$
  
+ 
$$
\frac{(x-x_1)(x-x_3)(x-x_4)}{(x_2-x_1)(x_2-x_3)(x_2-x_4)} f_2
$$
  
+ 
$$
\frac{(x-x_1)(x-x_2)(x-x_4)}{(x_3-x_1)(x_3-x_2)(x_3-x_4)} f_3
$$
  
+ 
$$
\frac{(x-x_1)(x-x_2)(x-x_3)}{(x_4-x_1)(x_4-x_2)(x_4-x_3)} f_4
$$

$$
^{(57)}
$$

You may have your own favorite!

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## Correlation or confidence interval

 $\bullet$  You may meet some statisitical quantities with correlation between x and y, with  $\sigma_x$ ,  $\sigma_y$ ,  $\sigma_{xy}$ ,  $\langle x \rangle$ ,  $\langle y \rangle$ .



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If there's an extreme case,  $y = ax + b$ , perfect correlation, then we don't expect that there are some data at two corners.

Thus, it is not statistally correct to draw a box with  $2\sigma_x$  width and  $2\sigma_v$  centered at  $(\langle x \rangle, \langle y \rangle)$ 

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$$
\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1 \quad \rightarrow \quad \frac{(x \cos \alpha + \sin \alpha y)}{a^2} + \frac{(-x \sin \alpha + \cos \alpha y)}{a^2} = 1
$$

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 $\left\{ \begin{array}{ccc} 1 & 1 & 1 \\ 1 & 1 & 1 \end{array} \right\}$ 

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$$
\sigma_x = \sqrt{b^2 \sin^2 \alpha + a^2 \cos^2 \alpha}, \quad \sigma_y = \sqrt{b^2 \cos^2 \alpha + a^2 \sin^2 \alpha}, \quad (58)
$$

$$
2R_{xy}\sigma_x\sigma_y = (a^2 - b^2) \quad (59)
$$

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2R_{xy}\sigma_x\sigma_y = (a^2 - b^2) \tag{59}
$$

$$
\tan(2\alpha) = \frac{2R_{xy}\sigma_x\sigma_y}{\sigma_x^2 - \sigma_y^2}
$$
 (60)

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Our goal is to see how the uncertainties propgate into nuclear astrophysical properties

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- Our goal is to see how the uncertainties propgate into nuclear astrophysical properties
- EOS can be fitted by energy density functional

$$
\mathcal{E}(n,x) = \frac{1}{2m}\tau_n + \frac{1}{2m}\tau_p + (1-2x)^2 f_n(n) + \left[1 - (1-2x)^2\right] f_s(n), \tag{61}
$$

where *n* is the nucleon number density,  $\tau_n$  and  $\tau_p$  are the neutron and proton kinetic energy densities,  $x$  is the proton fraction,

$$
f_{s}(n) = \sum_{i=0}^{3} a_{i} n^{(2+i/3)}, \quad f_{n}(n) = \sum_{i=0}^{3} b_{i} n^{(2+i/3)}
$$
(62)

$$
P(H|D) \simeq P(H)P(D|H), \quad H \approx (\vec{a}, \vec{b}) \tag{63}
$$

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- <sup>3</sup> D can be symmetric nuclear matter properties and symmetry energy parameters.

$$
B, n_0, K, Q, J, L, K_{sym}, Q_{sym}
$$
  

$$
\mathcal{E}(n, \frac{1}{2}) = -B + \frac{1}{2} K \left( \frac{n - n_0}{3n_0} \right)^2 + \frac{1}{6} Q \left( \frac{n - n_0}{3n_0} \right)^2 + \cdots
$$
  

$$
\mathcal{E}(n, 0) = J + L \left( \frac{n - n_0}{3n_0} \right) + \frac{1}{2} K_{sym} \left( \frac{n - n_0}{3n_0} \right)^2 + \frac{1}{6} Q_{sym} \left( \frac{n - n_0}{3n_0} \right)^2 + \cdots
$$

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Figure: Theoretical calculation for PNM and SNM (left) and their fittig function results (right)

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**Kロト K個 K K ミト K ミト 「ミ」 の Q (^** 

• Where can we find  $B, n_0, K, Q$  (symmetric matter) ?



Figure: SNM probability distribution from Dutra et al. (2012)

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**Kロト K個 K K ミト K ミト 「ミ」 の Q (^** 

<span id="page-191-0"></span>• Where can we find  $J, L, K_{sym}, Q_{sym}$  (pure neutron matter) ?



Figure: PNM probability distribution from [Lim](#page-190-0) [&](#page-192-0)[H](#page-191-0)[o](#page-196-0)[lt](#page-197-0)[.](#page-151-0) [\(](#page-152-0)[20](#page-216-0)[1](#page-151-0)[9](#page-152-0)[\)](#page-216-0)

<span id="page-192-0"></span>We can recover  $a_j$  and  $b_j$  for EDF.

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- We can recover  $a_j$  and  $b_j$  for EDF.
- How can we combine prior  $(\vec{\mathsf{a}}, \vec{\mathsf{b}})$  - $\chi$ EFT with likelihood  $(\vec{a}, \vec{b})$ -Skyrme, FLT?

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- We can recover  $a_j$  and  $b_j$  for EDF.
- How can we combine prior  $(\vec{\mathsf{a}}, \vec{\mathsf{b}})$  - $\chi$ EFT with likelihood  $(\vec{a}, \vec{b})$ -Skyrme, FLT?
	- **1** First of all, we have to understand the meaning of probability from  $(\vec{a}, \vec{b})$ .

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- How can we combine prior  $(\vec{\mathsf{a}}, \vec{\mathsf{b}})$  - $\chi$ EFT with likelihood  $(\vec{a}, \vec{b})$ -Skyrme, FLT?
	- **1** First of all, we have to understand the meaning of probability from  $(\vec{a}, \vec{b})$ .
	- $\bullet$  There is a statistical method to generate  $(\vec{\it a},\vec{\it b})$  from covariant matrix and average of them

$$
\Sigma_{ij} = \sum_k (x_i^k - \bar{x}_i)(x_j^k - \bar{x}_j)w^k, \qquad (64)
$$

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- <span id="page-196-0"></span>We can recover  $a_j$  and  $b_j$  for EDF.
- How can we combine prior  $(\vec{\mathsf{a}}, \vec{\mathsf{b}})$  - $\chi$ EFT with likelihood  $(\vec{a}, \vec{b})$ -Skyrme, FLT?
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3  $P(H)P(D|H) \to \Sigma_C^{-1} = \Sigma_A^{-1} + \Sigma_B^{-1}$ 

- <span id="page-197-0"></span>We can recover  $a_j$  and  $b_j$  for EDF.
- How can we combine prior  $(\vec{\mathsf{a}}, \vec{\mathsf{b}})$  - $\chi$ EFT with likelihood  $(\vec{a}, \vec{b})$ -Skyrme, FLT?
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$$
\bullet \ \ P(H)P(D|H) \rightarrow \Sigma_C^{-1} = \Sigma_A^{-1} + \Sigma_B^{-1}
$$

Generate lots of  $(\vec{a},\vec{b})$  set from  $\Sigma_C$ , see the results

Statistical uncertainties originated from EOSs (Lim&Holt PRL 2018)



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Statistical uncertainties originated from EOSs (Lim&Holt PRL 2018)



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Statistical uncertainties originated from EOSs (Lim&Holt PRL 2018)



←□

Statistical uncertainties originated from EOSs (Lim&Holt PRL 2018)



←□

4 0 K



4 D F

 $QQ$ 



4 0 F

D.





4 D F



4 D F

 $QQ$ 



4 0 F

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• Revised  $S_v - L$  correlation from Bayesian modelling.



Figure:  $S_v$  and L constraints, Lim & Holt (2019).

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Figure: Correlations among nuclear properties and neutron stars, Lim & Holt (2019)

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