

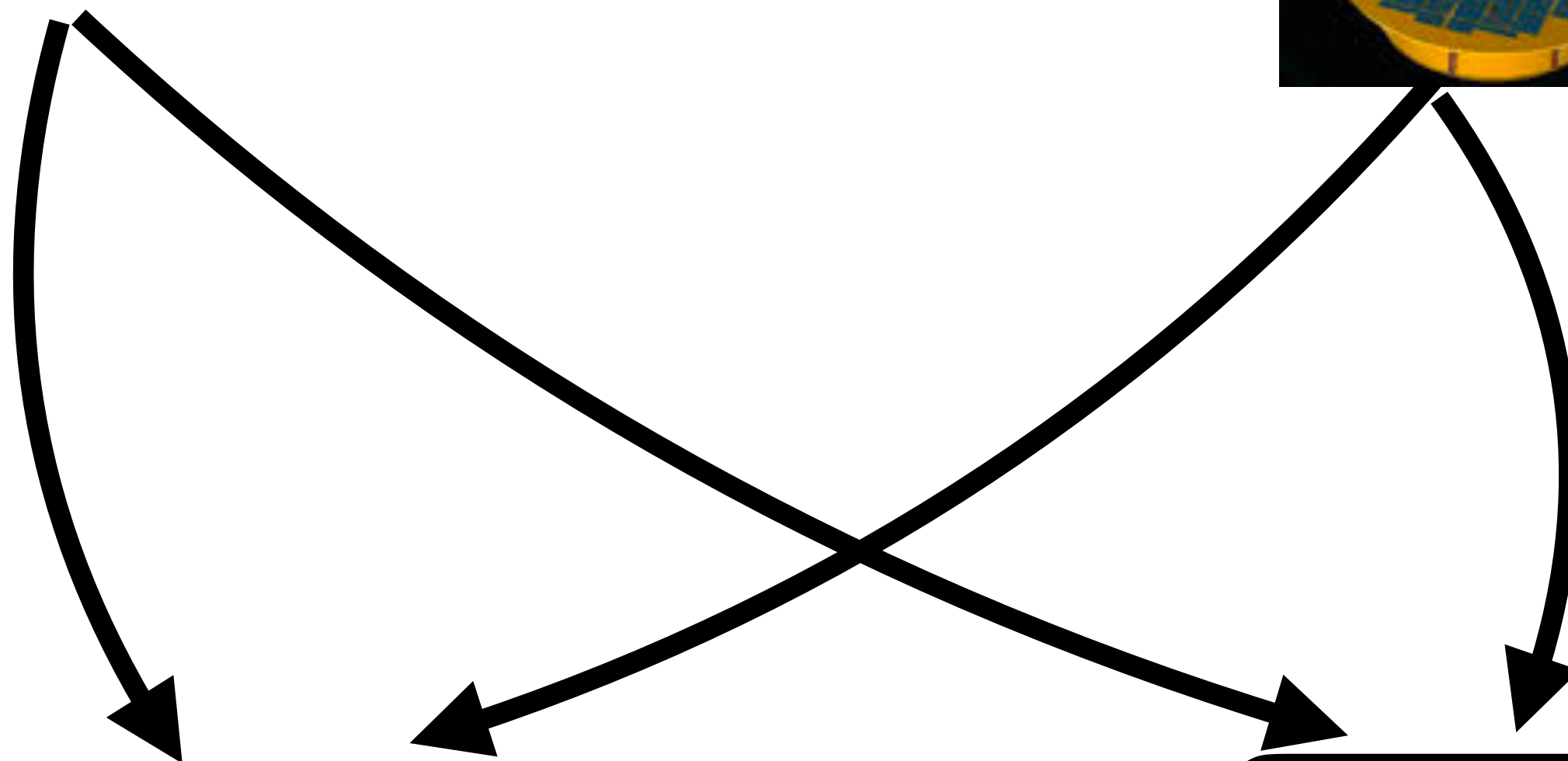
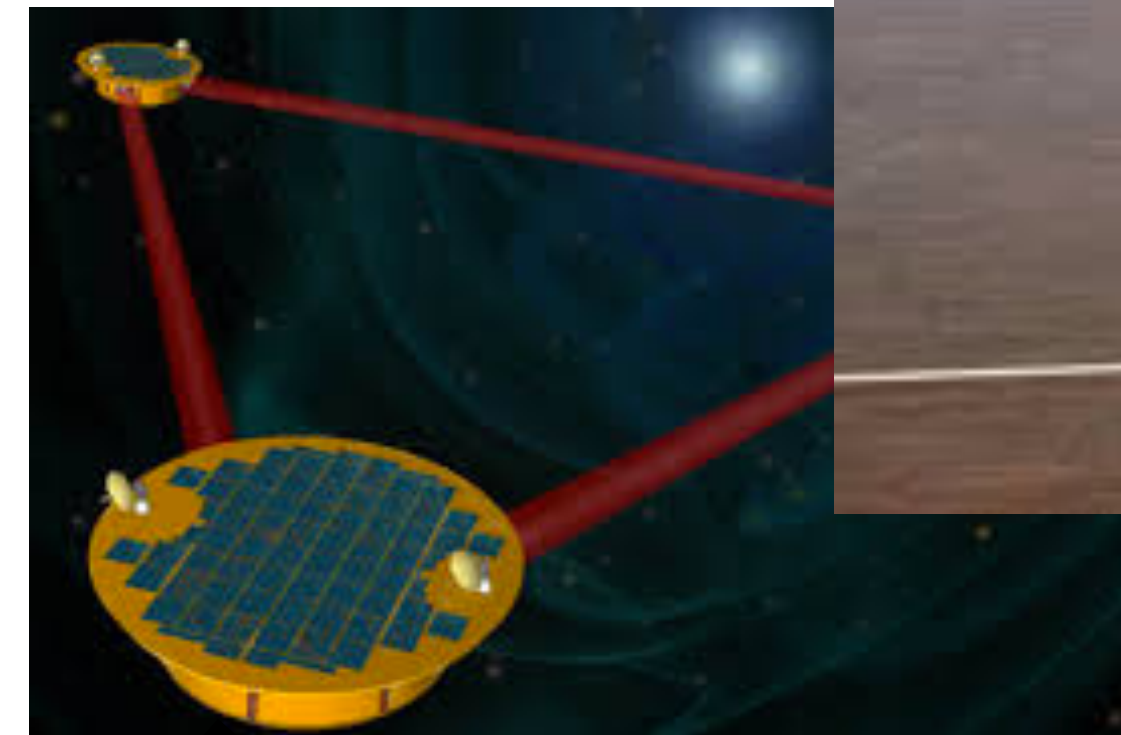
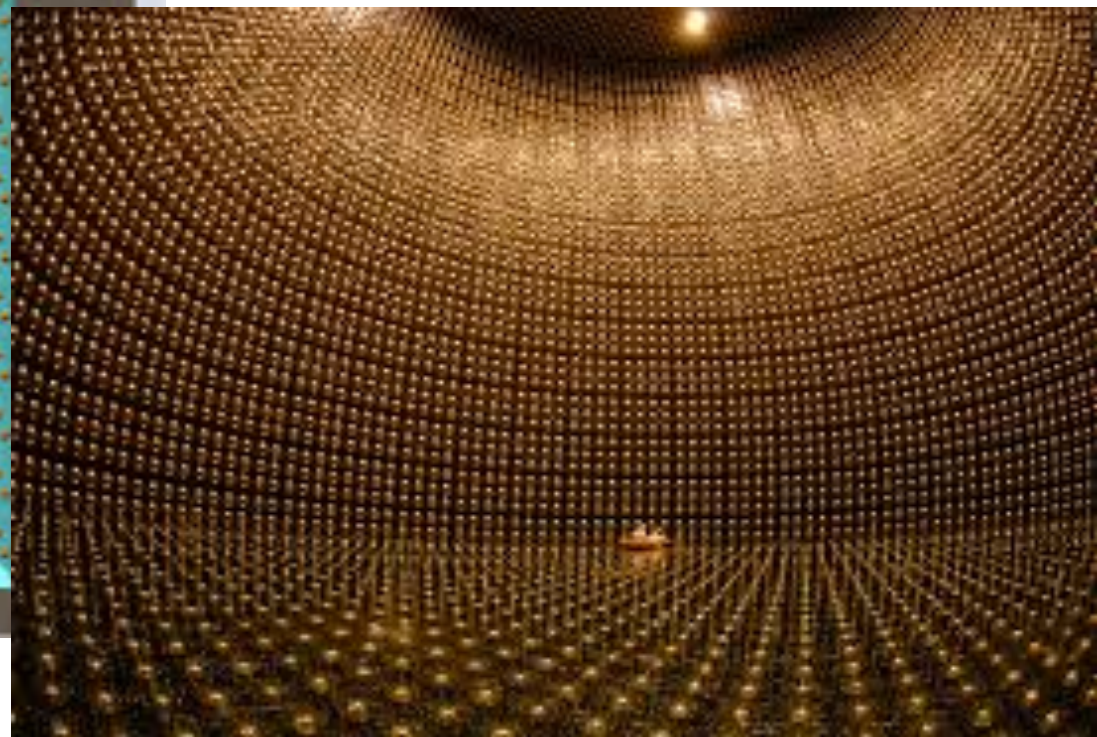
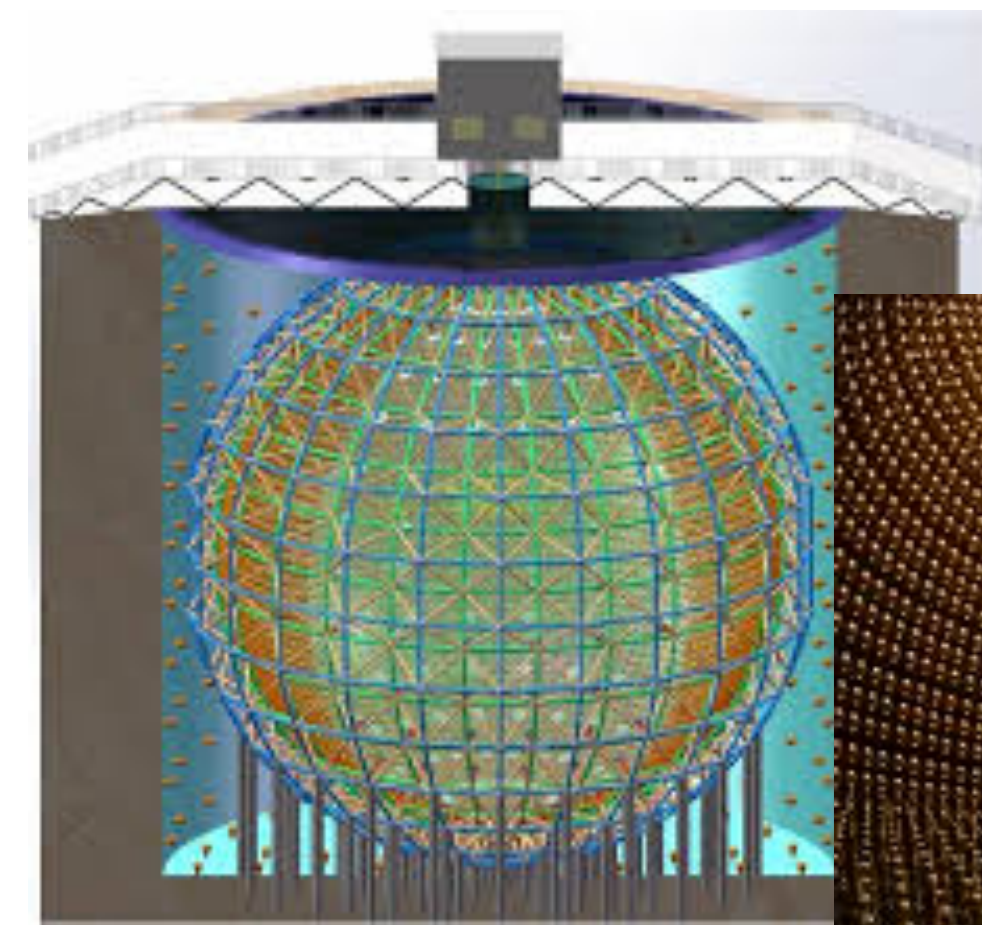
# The complementarity between neutrino and gravitational wave data in exploring physics beyond the Standard Model

**Jessica Turner**

Institute of Particle Physics Phenomenology, Durham University

**Dark Matter as a Portal to New Physics , 02 Feb 2021**





**What created more matter than anti-matter?**

**What is the nature of particles and forces at the GUT scale?**

# Neutrino Mixing

**Reactor LBL**

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$$

**SNO flux**

$$\frac{\phi_{CC}}{\phi_{NC}}$$

**Reactor SBL**

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$$

$$\begin{pmatrix}
 \boxed{U_{e1}} & \boxed{U_{e2}} & \boxed{U_{e3}} \\
 U_{\mu 1} & U_{\mu 2} & \boxed{U_{\mu 3}} \\
 U_{\tau 1} & U_{\tau 2} & \boxed{U_{\tau 3}}
 \end{pmatrix}$$

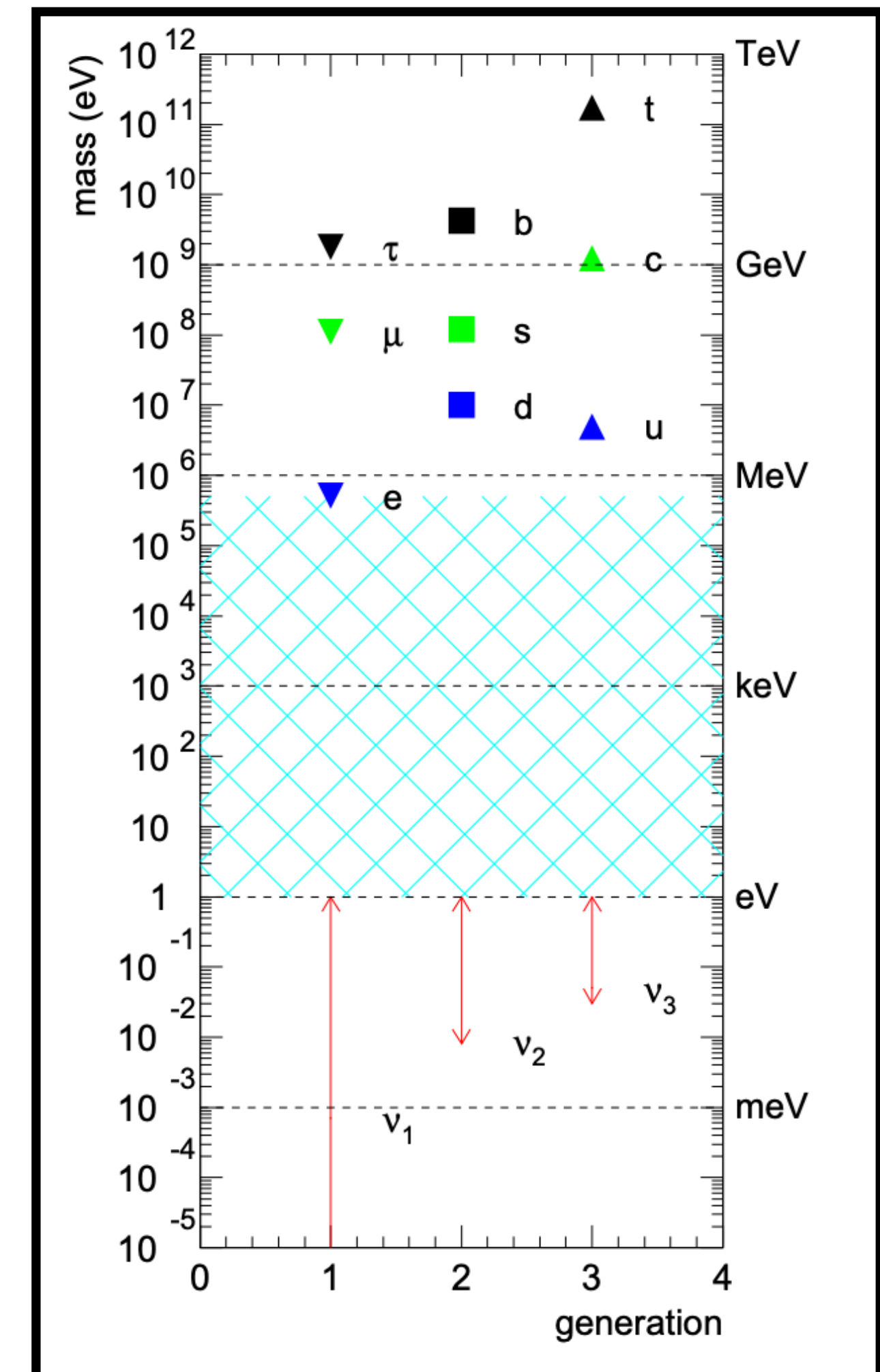
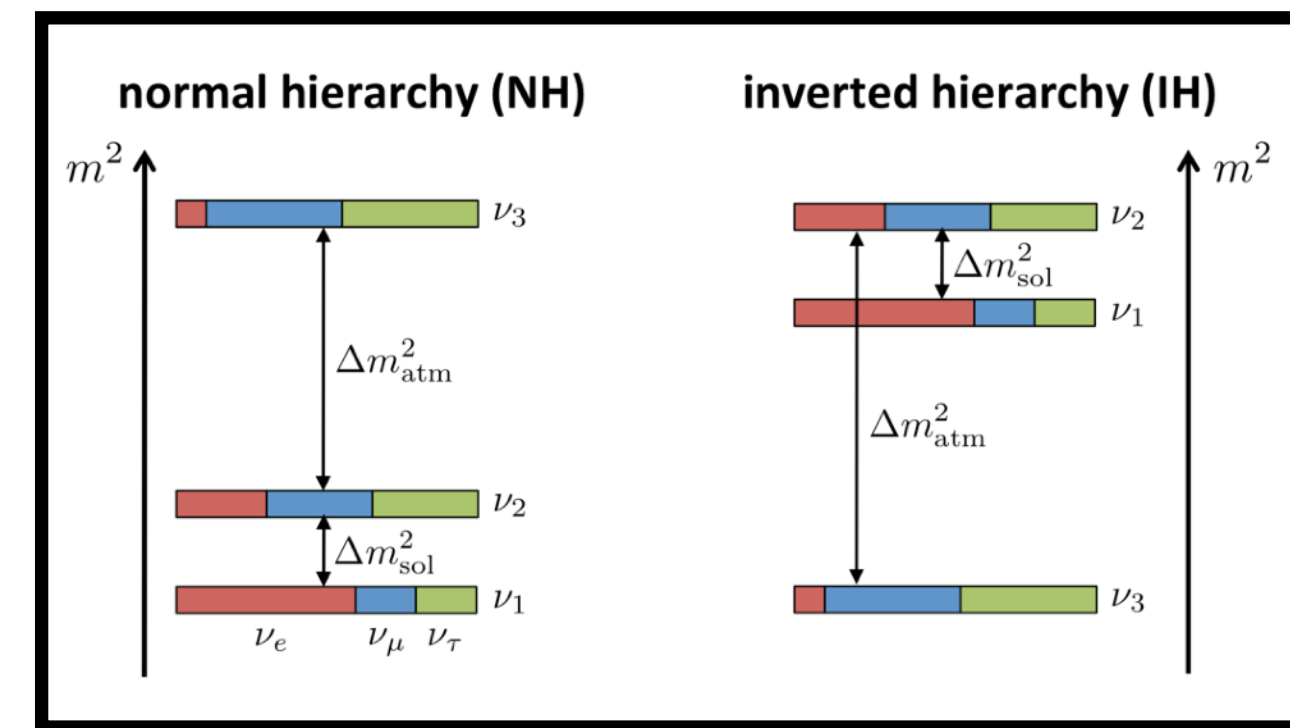
**LBL accelerator**  
 $P(\nu_\mu \rightarrow \nu_\mu)$

**SK/Opera**  
 $P(\nu_\mu \rightarrow \nu_\tau)$

- Mass ordering?
- Precise LMM structure?
- CP-violation?
- Dirac or Majorana?
- Absolute mass scale?

$$\sum_{i=1}^3 m_i \leq 0.2 \text{ eV} \quad \text{PDG}$$

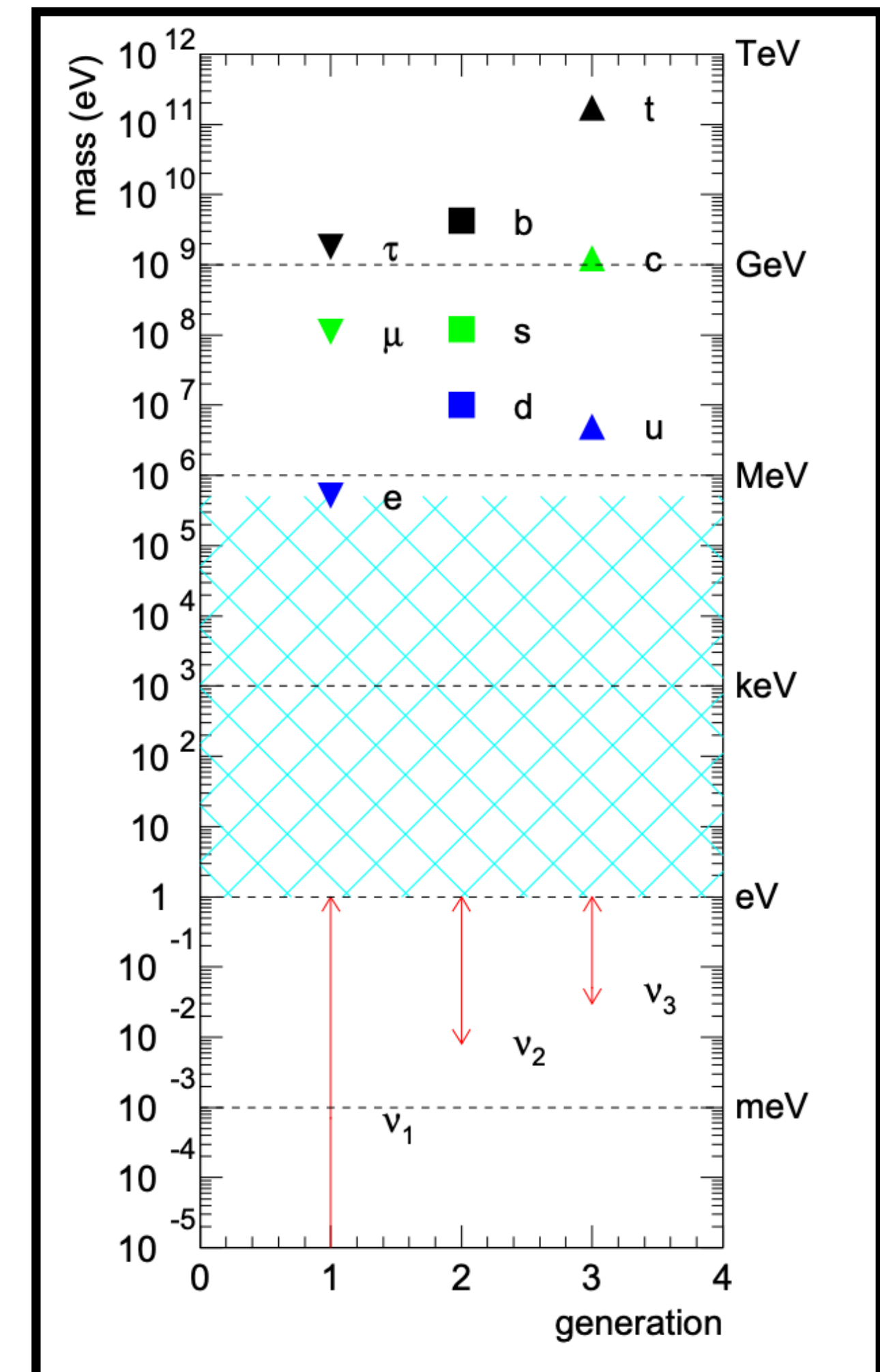
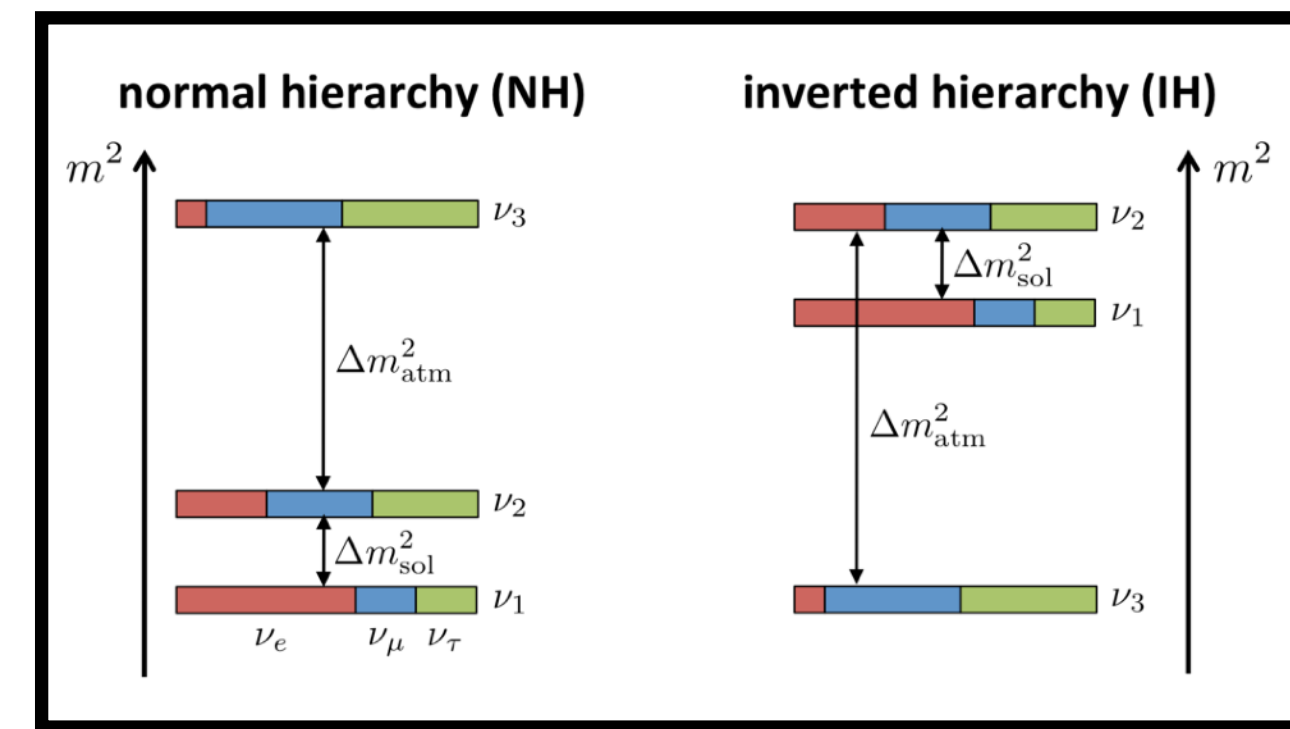
**It is clear neutrinos much lighter than other known fermions**



- Mass ordering?
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$$\sum_{i=1}^3 m_i \leq 0.2 \text{ eV} \quad \text{PDG}$$

**It is clear neutrinos much lighter than other known fermions**



# Lepton Number Violation and Majorana neutrinos

Simple to distinguish an electron from its antimatter counterpart → electric charge.



Neutrino electrically neutral → the anti-neutrino may be “indistinguishable” from neutrino

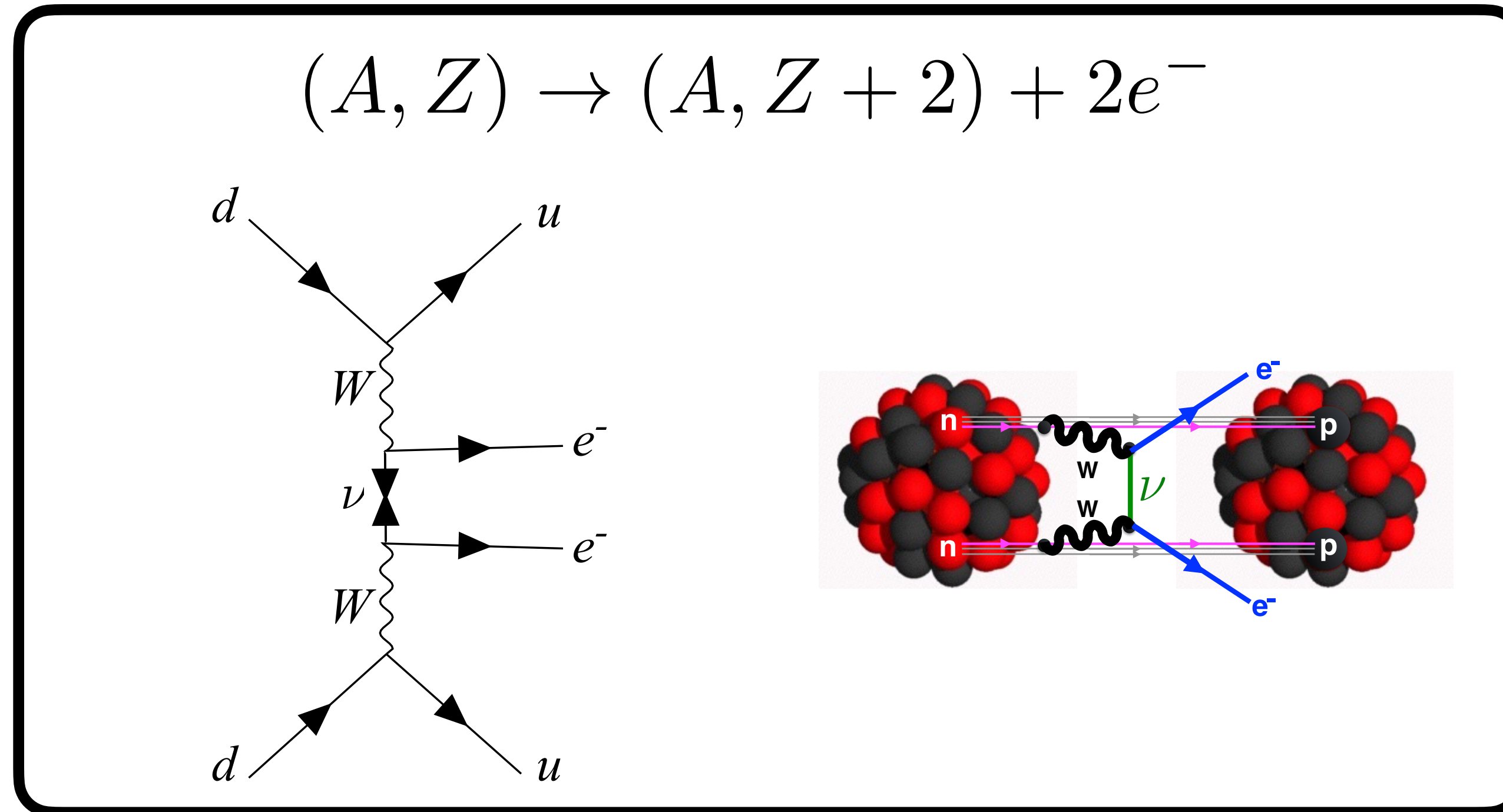
The nature of the neutrino is linked to lepton number.

lepton number conserved → neutrino Dirac fermions

lepton number violated → neutrino Majorana fermions

**Majorana condition**  $\nu = C\bar{\nu}^T$

# Neutrinoless double beta decay



massive neutrinos mediate this process.

NDBD gives important information on the properties of neutrinos as it probes lepton number violation.

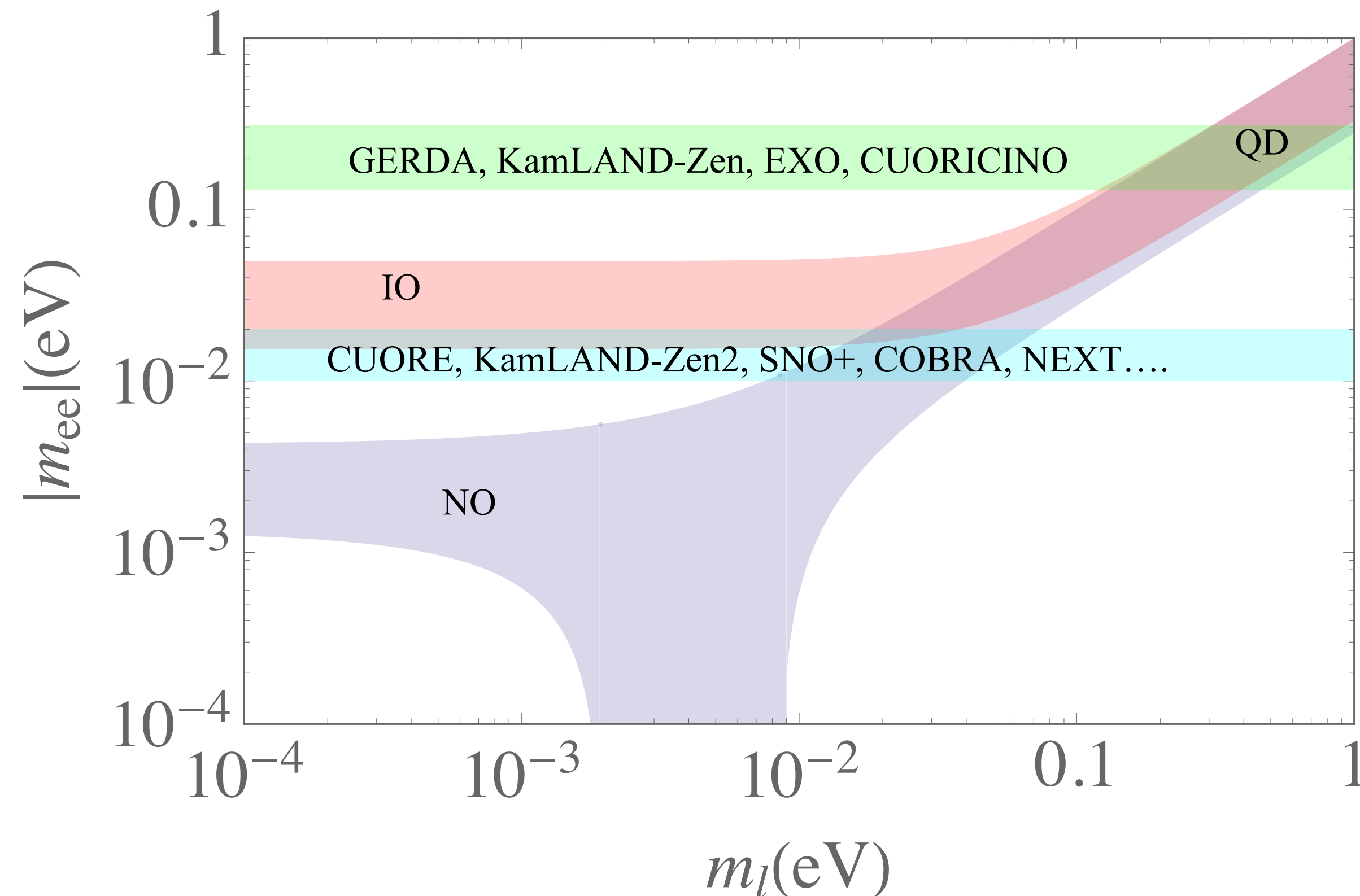
Offer important information on masses and possibly CP-violation

# Neutrinoless double beta decay

**Decay rate**  $\Gamma_{1/2} \sim |m_{ee}|^2 M_{\text{nucl}}^2$

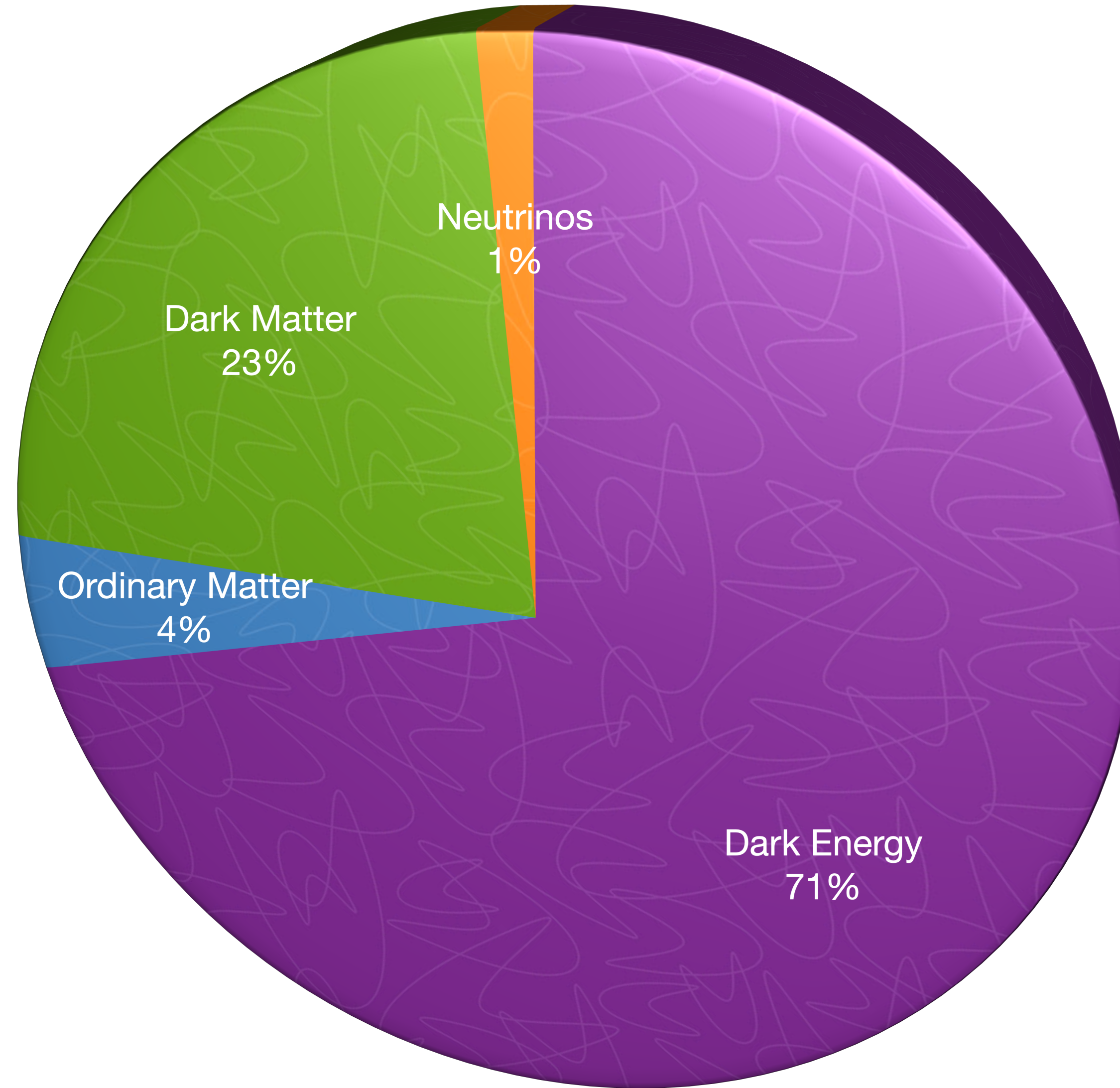
**effective majorana mass**

$$|m_{ee}| = \left| c_{13}^2 c_{12}^2 m_1 + c_{13}^2 s_{12}^2 e^{2i(\alpha_{31} - \alpha_{21})} m_2 + s_{13}^2 e^{-2i(\delta + \alpha_{21})} m_3 \right|$$



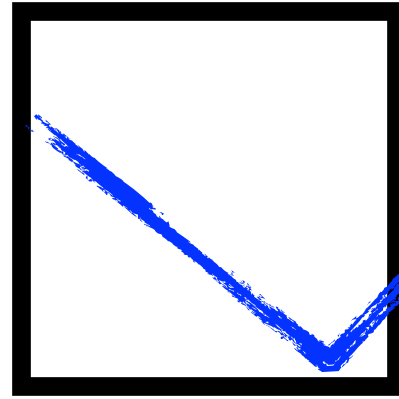


# Neutrino masses and the matter anti-matter asymmetry



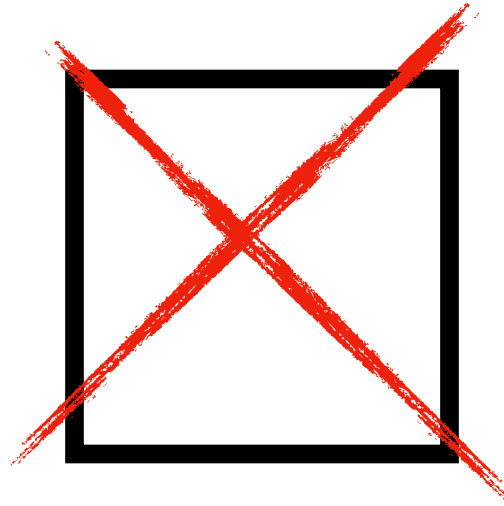
$$\eta = \frac{n_B}{n_\gamma} \sim 6 \times 10^{-10}$$

# Sakharov's Conditions



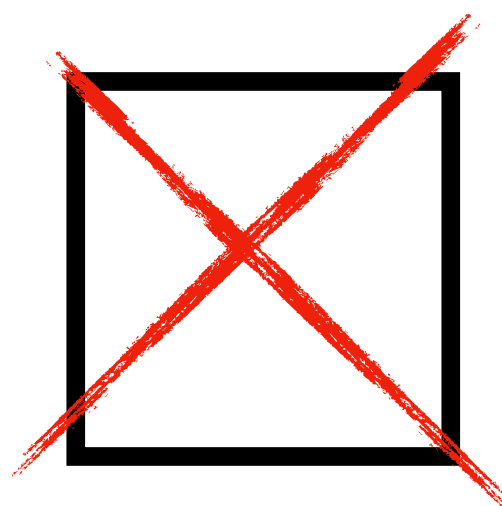
## Baryon and Lepton Number Violation

Kuzmin, Rubakov and  
Shaposhnikov



## Insufficient CP-violation

Gavela, Hernandez, Orloff, Pene;  
Huet and Sather



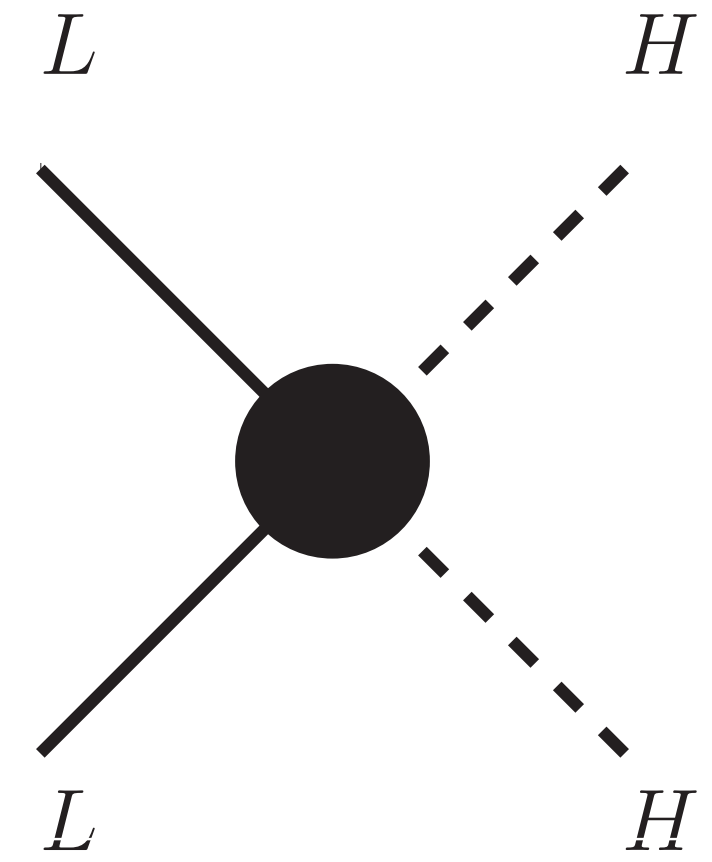
## No departure from thermal equilibrium

Kajantie, Laine, Rummukainen,  
Shaposhnikov

\* assumes CPT conserved

- SU2L invariant term mass term for neutrinos

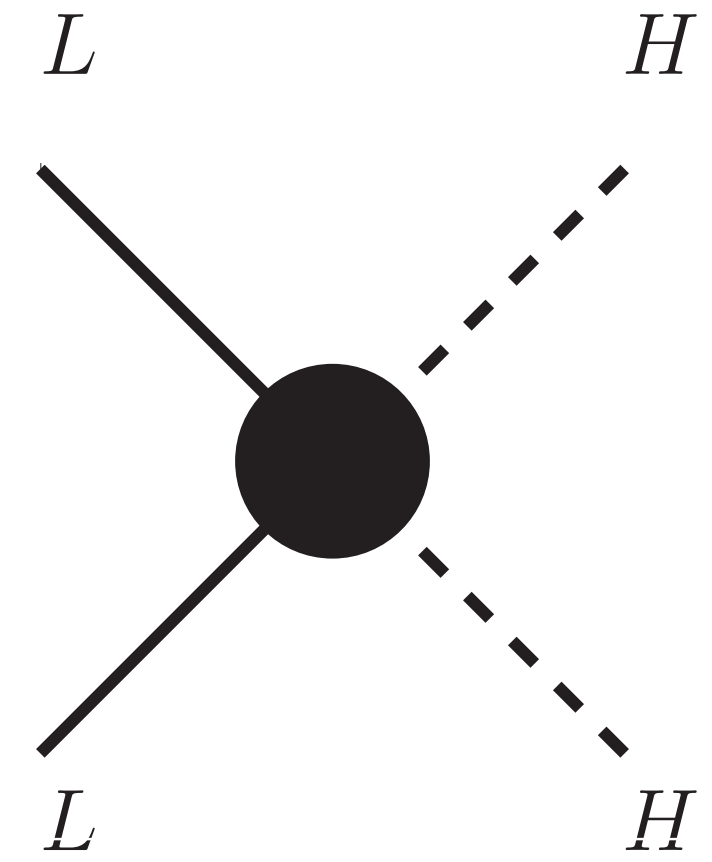
$$-\mathcal{L}_{d=5} = \lambda \frac{L.H.L.H}{M}$$



- SU2L invariant term mass term for neutrinos

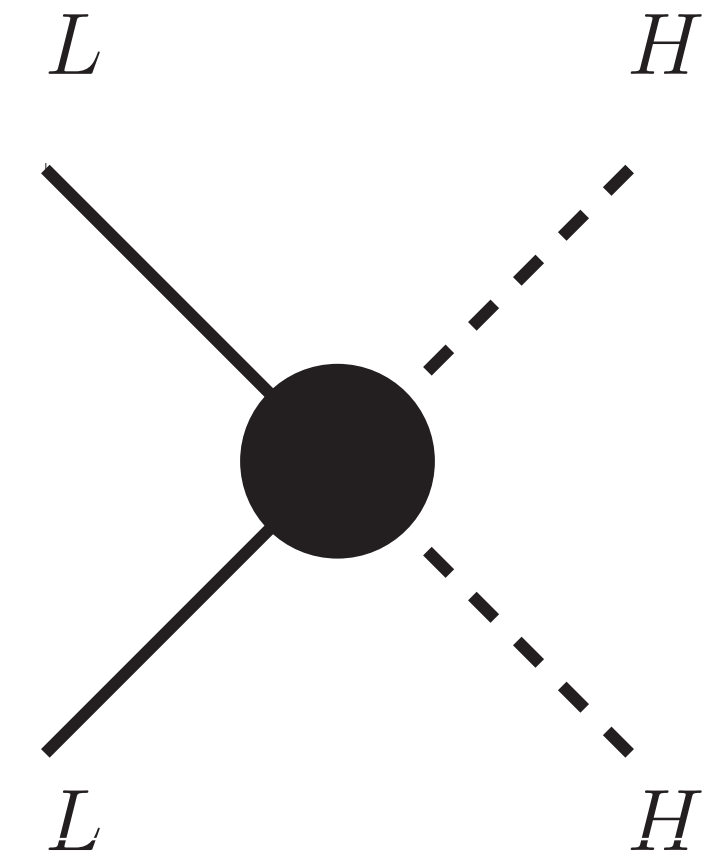
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- How can we ultraviolet complete this operator at tree-level?

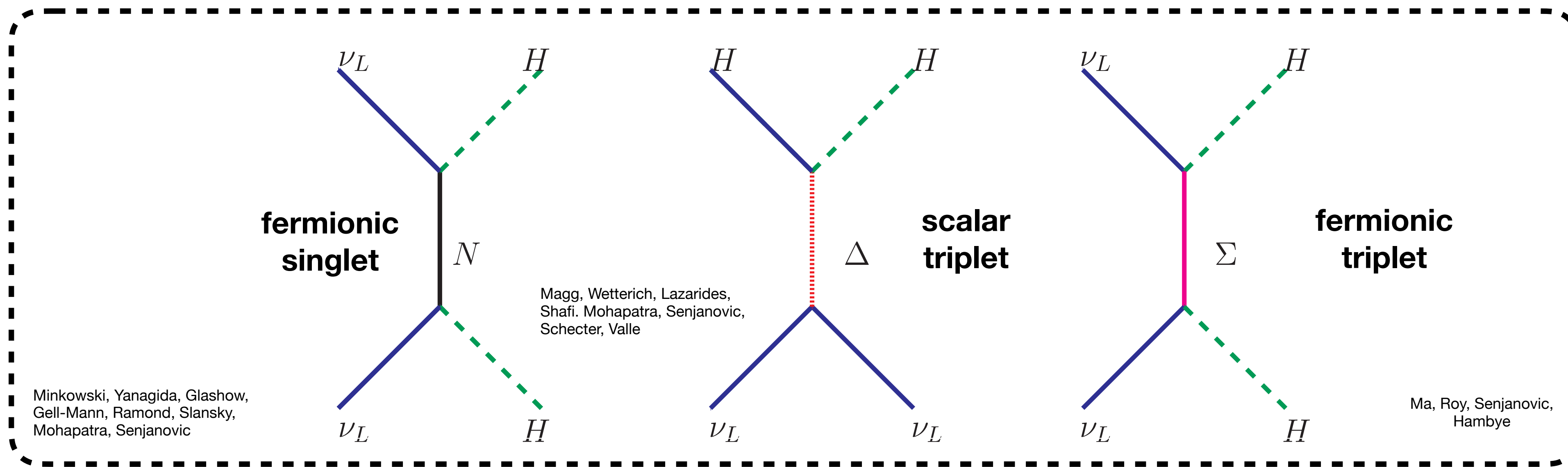


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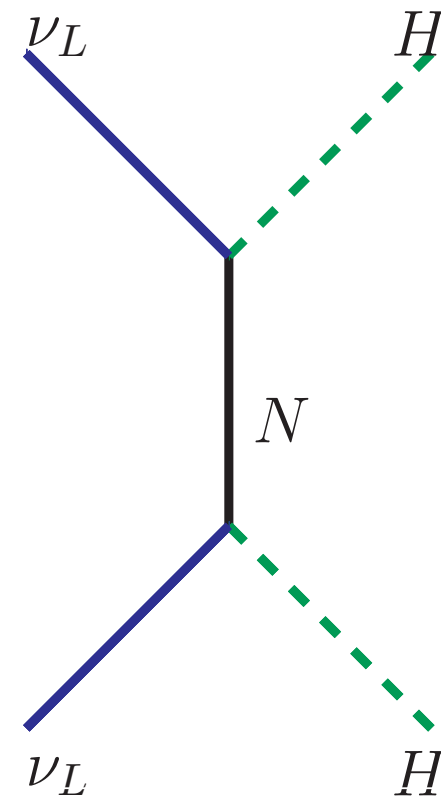
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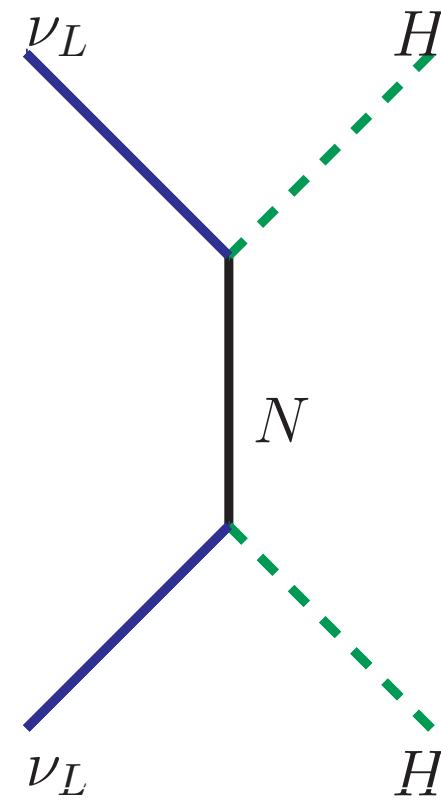


- Type-I seesaw mechanism



$$\mathcal{L} = Y_\nu \bar{L} \tilde{\Phi} N - \frac{1}{2} M_N \bar{N}^c N$$

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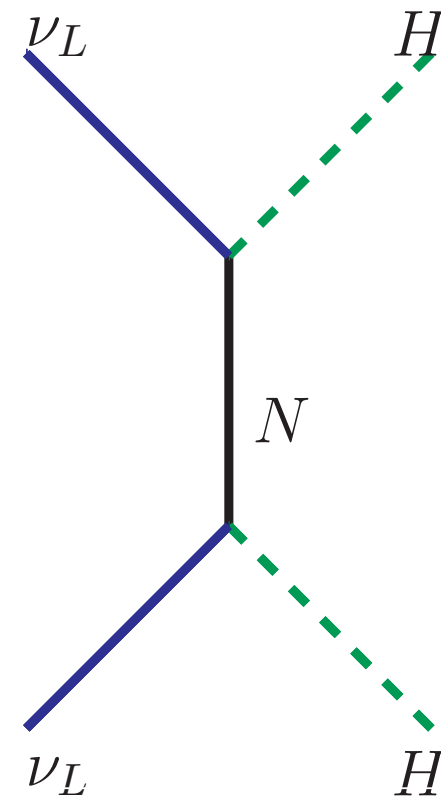


$$\mathcal{L} = Y_\nu \bar{L} \tilde{\Phi} N - \frac{1}{2} M_N \bar{N}^c N$$

$$\begin{pmatrix} 0 & m_D \\ m_D^T & M_N \end{pmatrix}$$

$$m_D = Y_\nu v$$

- Type-I seesaw mechanism



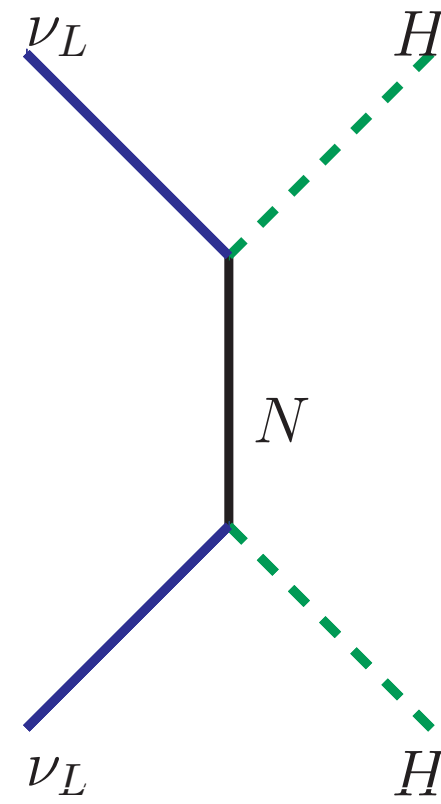
$$\mathcal{L} = Y_\nu \bar{L} \tilde{\Phi} N - \frac{1}{2} M_N \bar{N}^c N$$

$$\begin{pmatrix} 0 & m_D \\ m_D^T & M_N \end{pmatrix} \xrightarrow{\text{find eigenvalues of mass matrix}} m_\nu = \frac{Y_\nu^2 v^2}{M_N} \sim 0.1 \text{ eV}$$

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**Seesaw mechanism qualitatively satisfies Sakharov's conditions!**

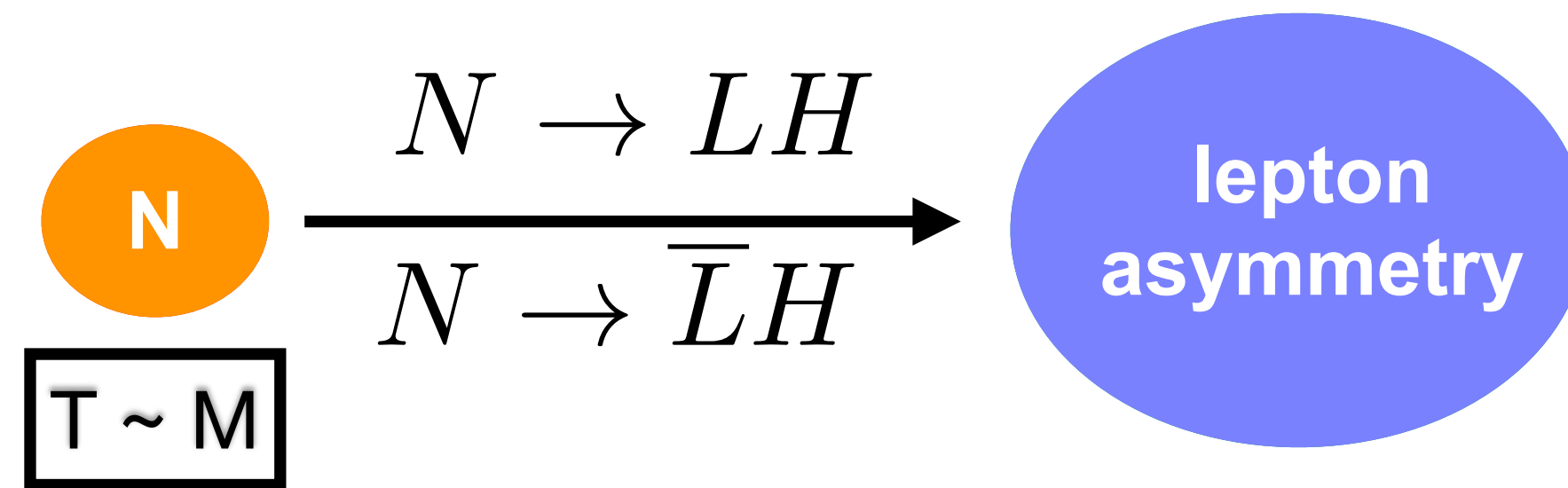
# Thermal leptogenesis

Fukugida, Yanagida



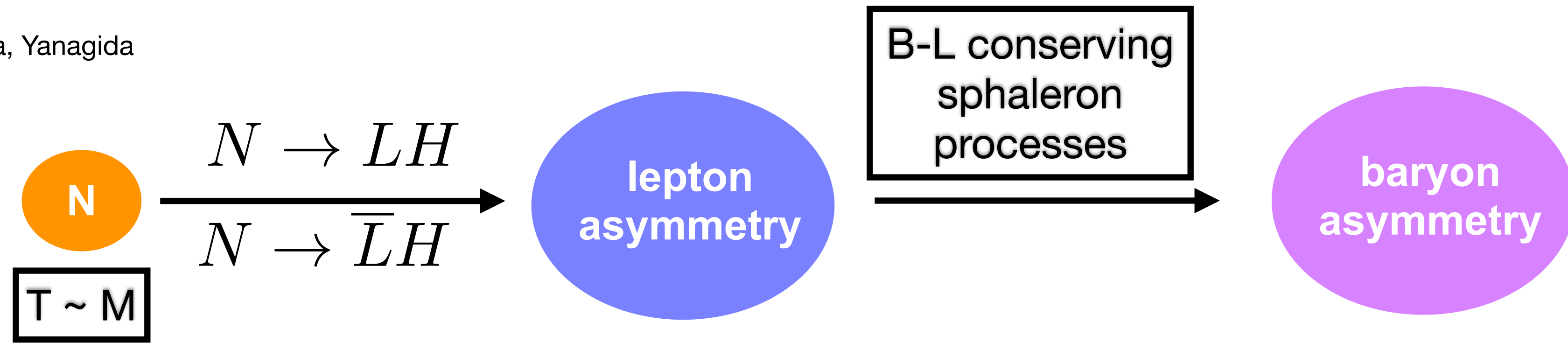
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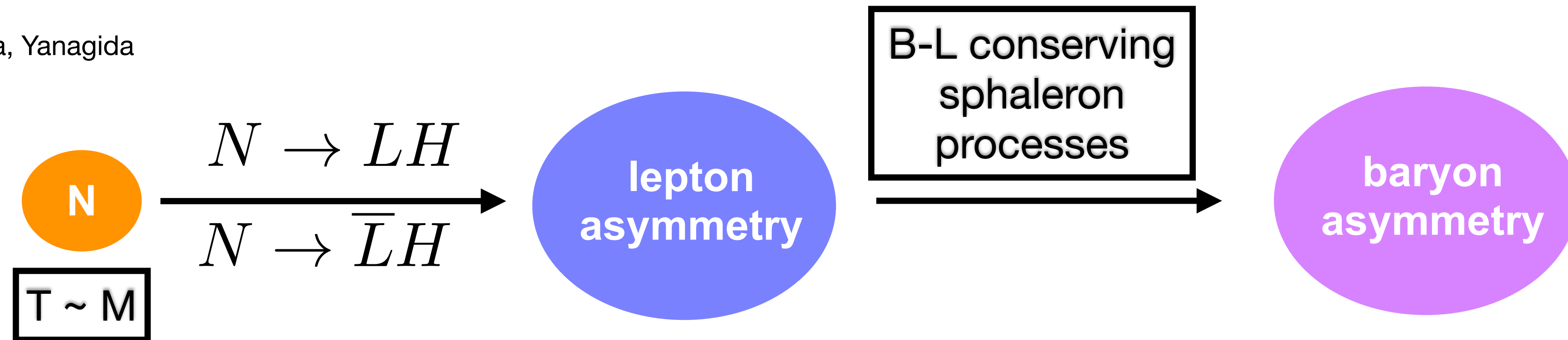
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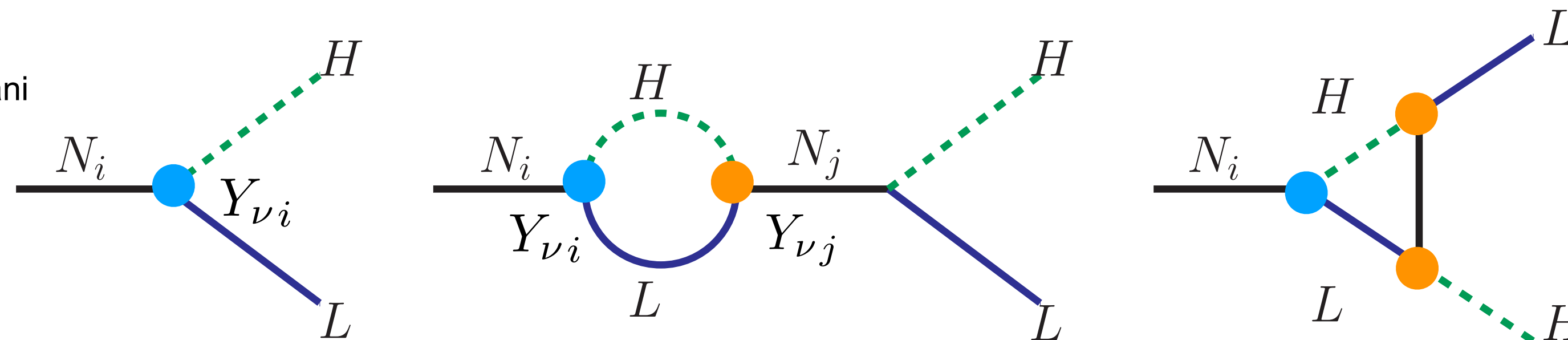
# Thermal leptogenesis

Fukugida, Yanagida



## Decay asymmetry from interference between tree and loop level diagrams

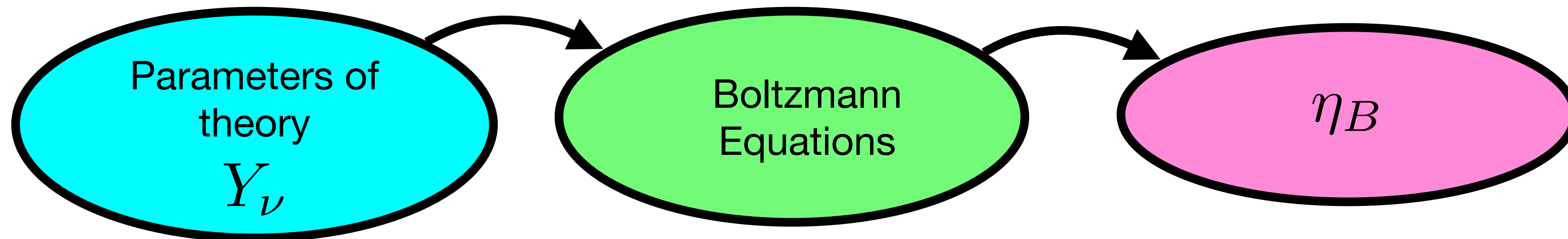
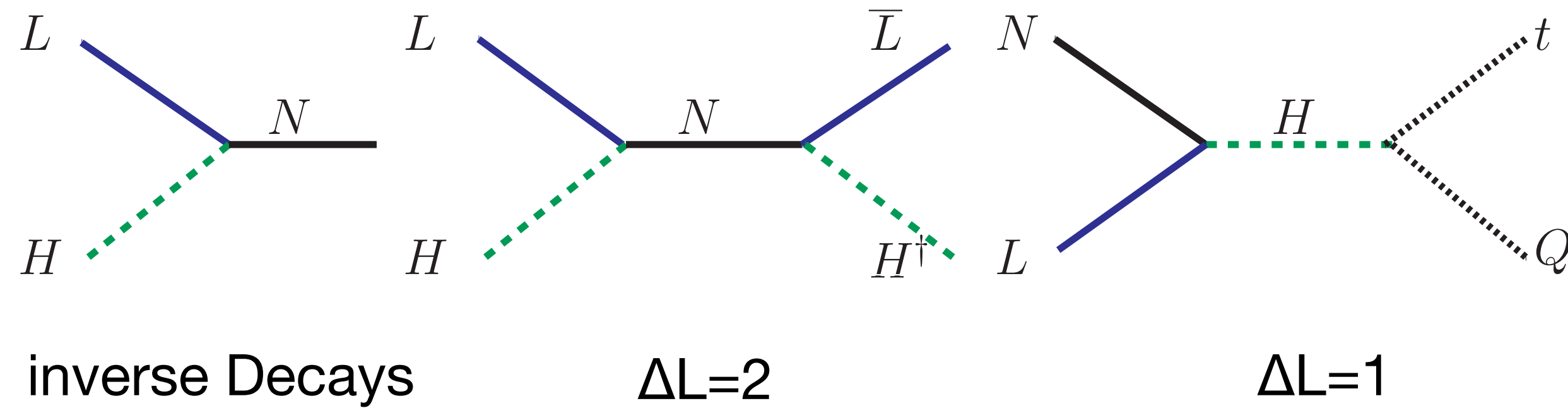
Covi, Roulet, Vissani



$$\epsilon_i = \frac{\Gamma_i - \overline{\Gamma}_i}{\Gamma_i + \overline{\Gamma}_i}$$

# Thermal leptogenesis

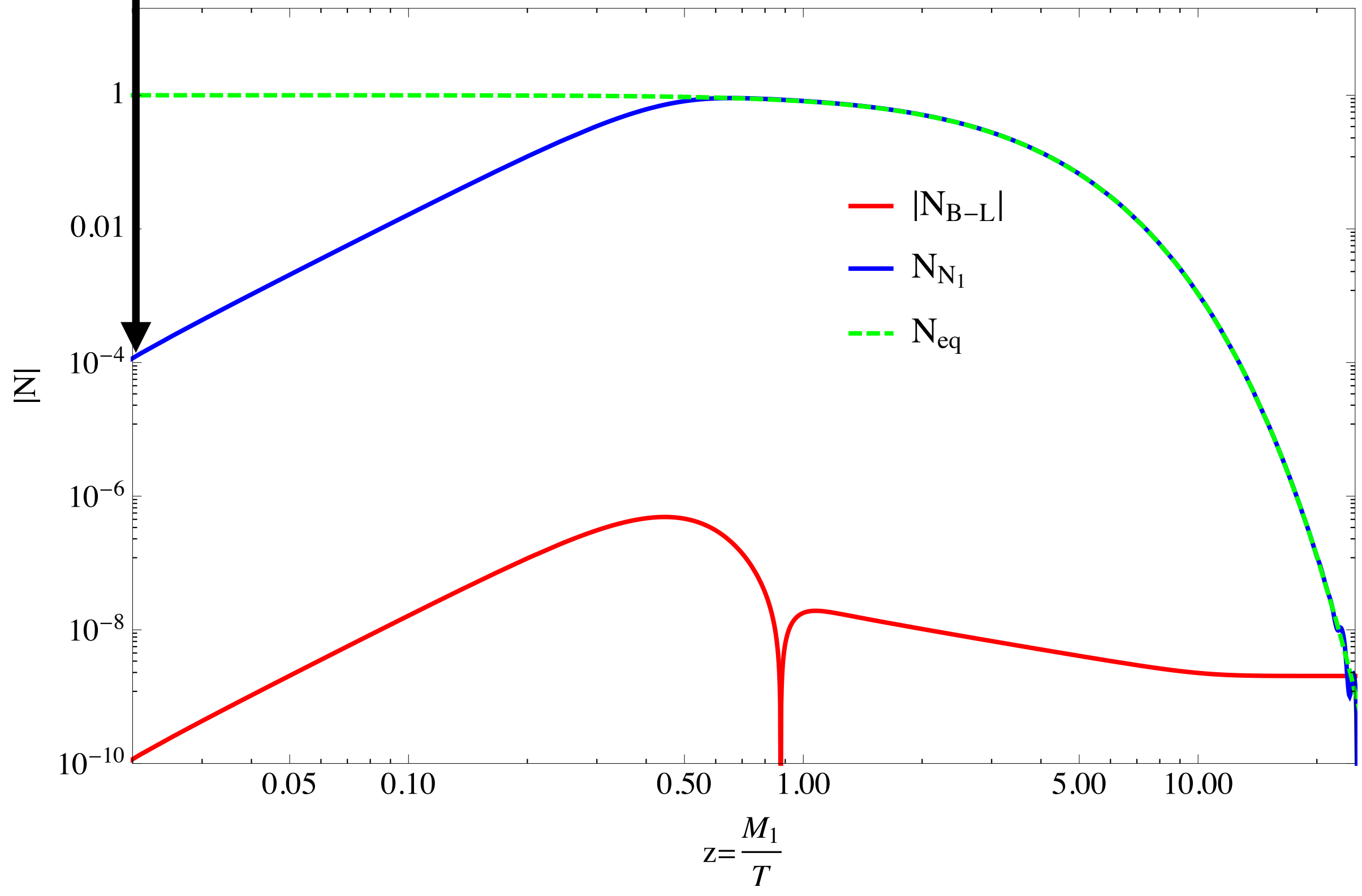
Washout and scattering processes

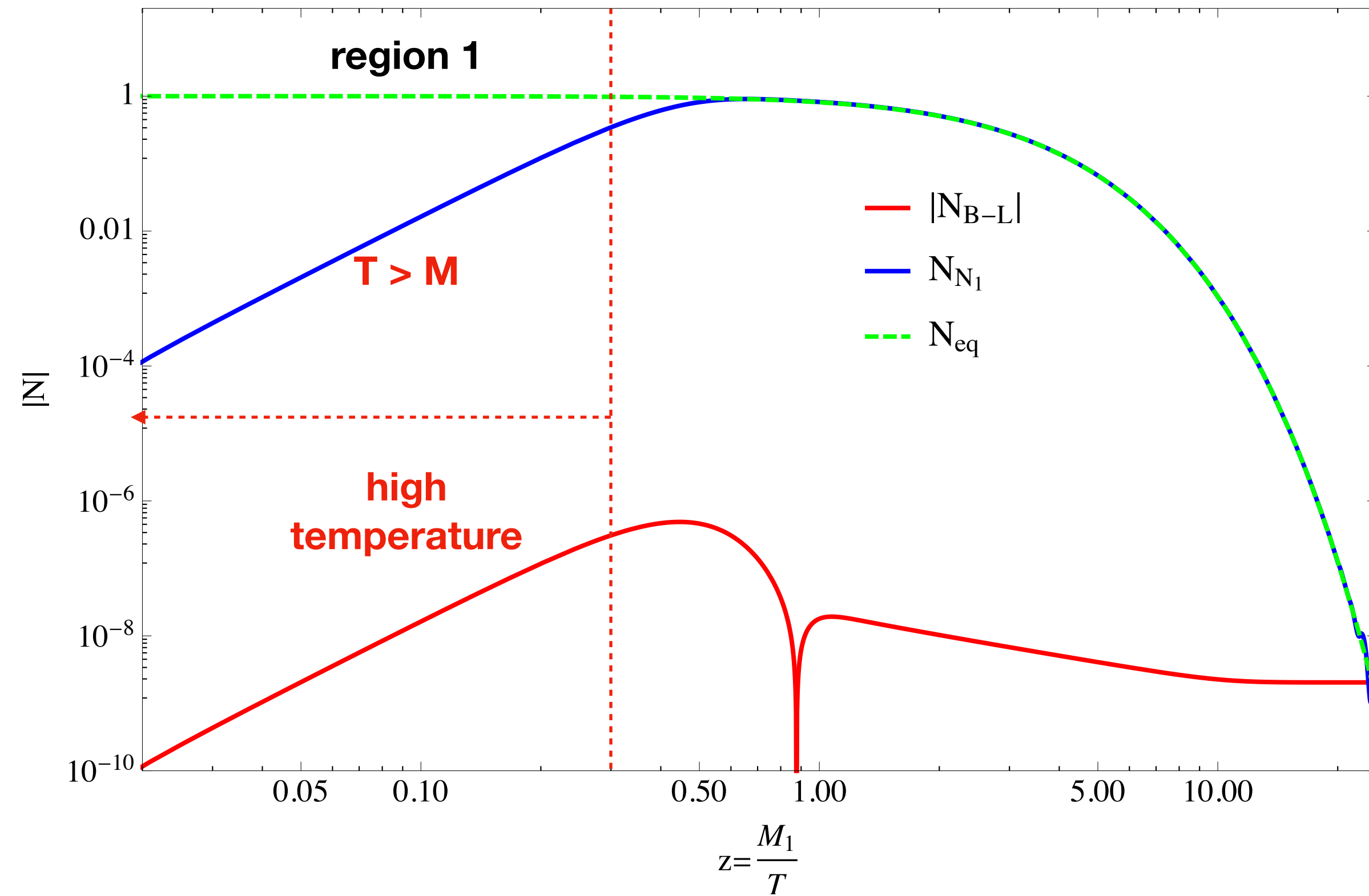


$$\frac{dn_{N_i}}{dz} = -D_i(n_{N_i} - n_{N_i}^{\text{eq}}),$$

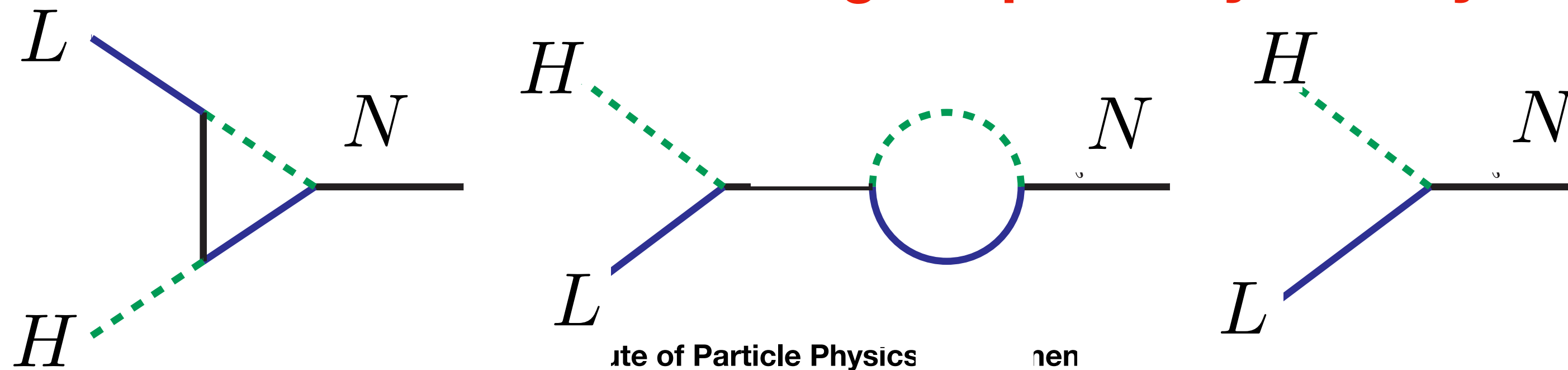
$$\frac{dn_{B-L}}{dz} = \sum_{i=1}^3 \left( \overset{\text{source}}{\epsilon^{(i)} D_i(n_{N_i} - n_{N_i}^{\text{eq}})} - \overset{\text{sink}}{W_i n_{B-L}} \right).$$

**assume zero initial  
abundance of RHNs**

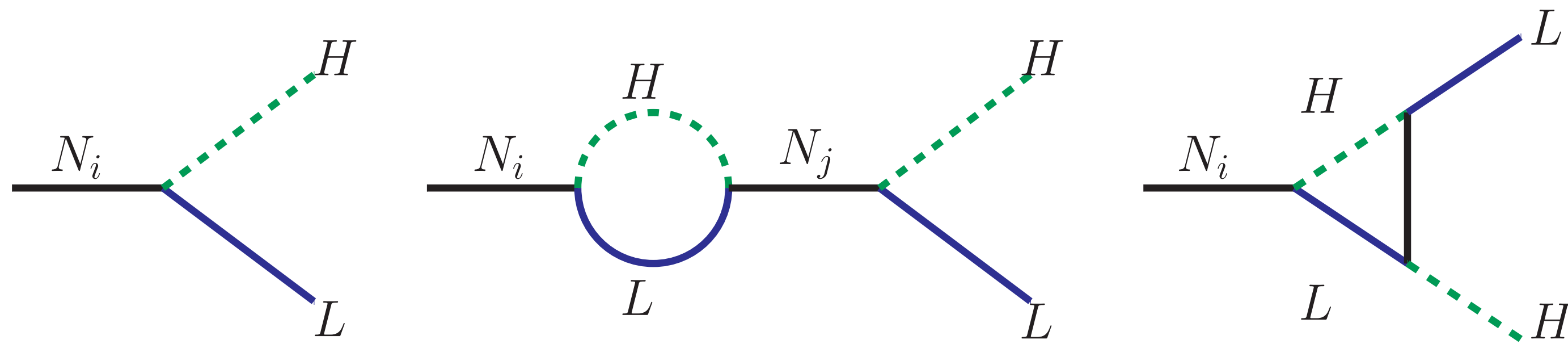
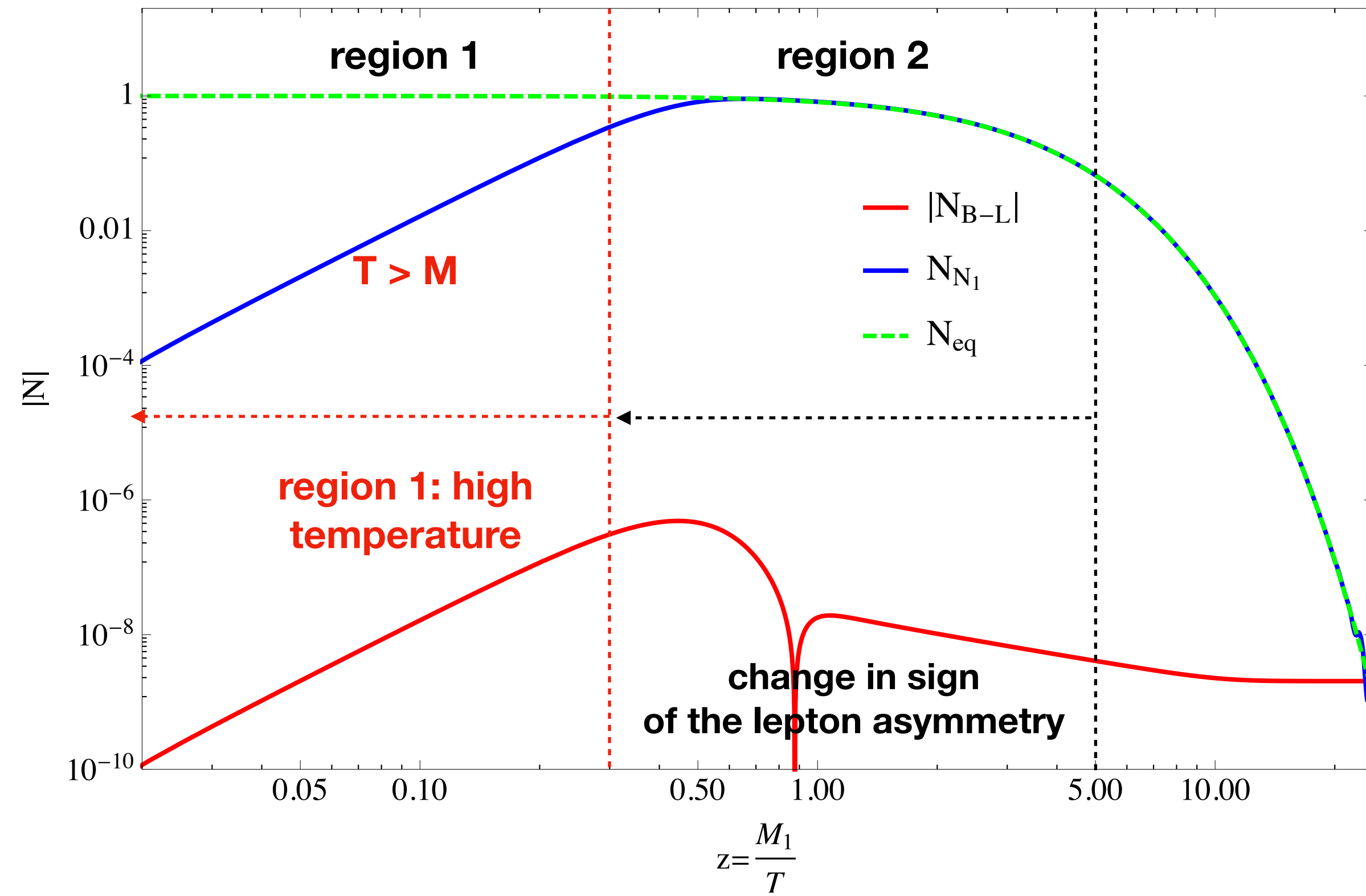


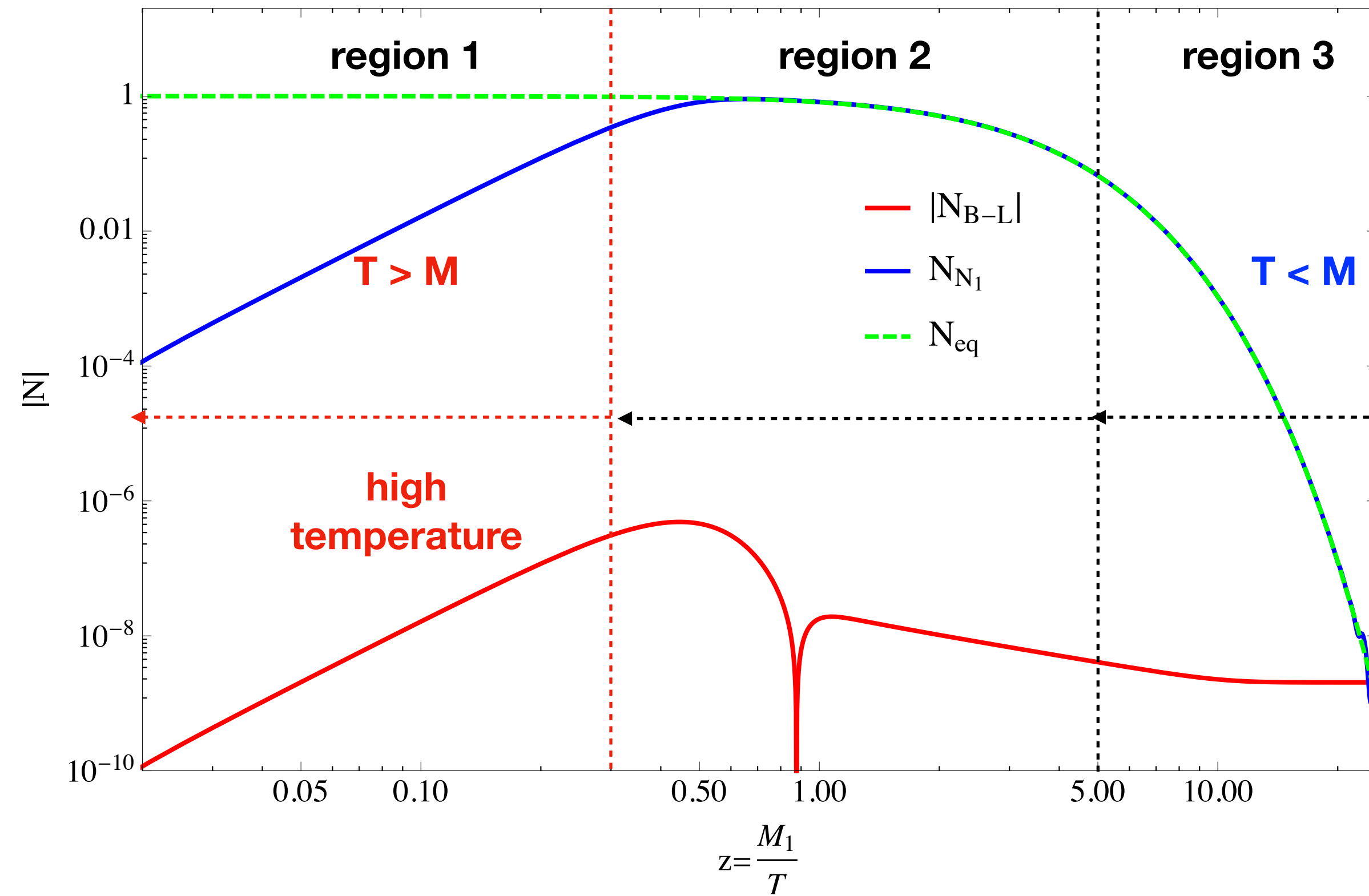


**Region 1: leptons and Higgs have enough energy to inverse decay creating a lepton asymmetry**









**Region 3: At  $T < M$ , RHN abundance is depleted. Lepton asymmetry freezes out.**

# Parameter Space

Casas, Ibarra

$$Y_\nu = \frac{1}{v} U_{\text{PMNS}} \sqrt{m} R^T \sqrt{M}$$

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low-energy scale: 3 phases, 3 mixing angles and 3 masses constrained by neutrino experiments

high-energy scale: 3 phases, 3 mixing angles and 3 masses

Without any symmetry constraints 18 parameters in total.

# Primordial Black holes induced leptogenesis

Work in collaboration with **Yuber Perez Gonzalez**: [2010.03565](#)

Astrophysical BHs require  $M > 3M_{\odot}$

For smaller BH mass (between Planck and solar mass scale) require large perturbations in the early Universe : **bubble collision, collapse of density perturbations...**

**Carr et al, 0912.5297**

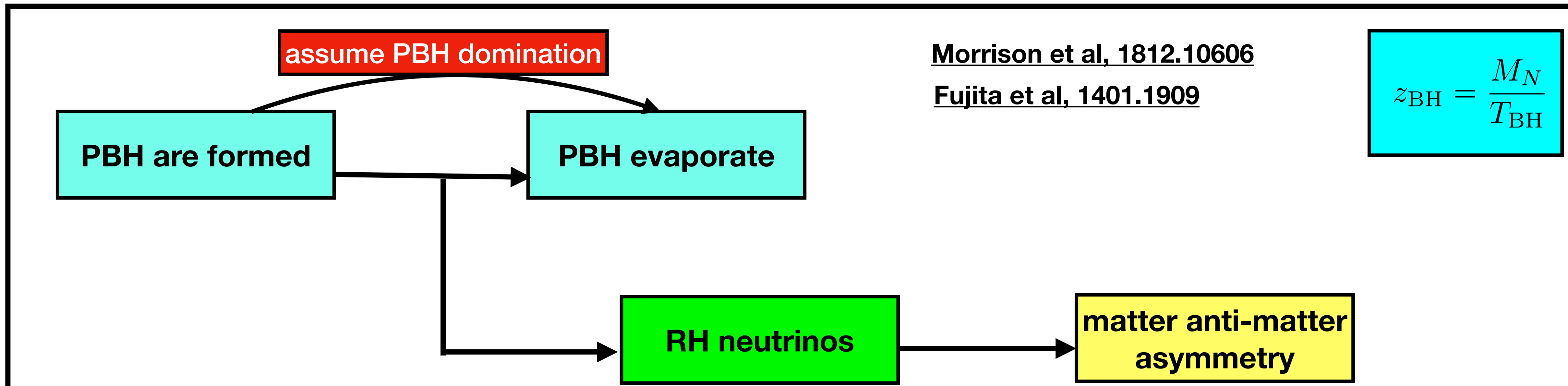
$r_S \sim \lambda_C \longrightarrow$  PBHs evaporate by emitting particles

**Hawking, 1975**

$$\dot{M} = - \sum_j \frac{g_j}{2\pi^2} \int_0^{\infty} \frac{\sigma_{\text{abs}}^{s_j}(M, p) p^2}{\exp[E_j(p)/T_{\text{BH}}] - (-1)^{2s_j}} p dp \quad T_{\text{BH}} = \frac{1}{8\pi GM} \approx 1.06 \left( \frac{10^{13} \text{ g}}{M} \right) \text{ GeV} .$$

PBHs are totally indiscriminate in their particle production: just need  $T_{\text{BH}}$  to be close to particle mass

# Primordial Black holes induced leptogenesis



contribution to RHN population from thermal plasma

$$aH \frac{dn_{N_1}}{da} = \underbrace{-(n_{N_1} - n_{N_1}^{\text{eq}}) \Gamma_{N_1}^T}_{\text{contribution to RHN population from thermal plasma}} + \underbrace{n_{\text{BH}} \tilde{\Gamma}_{N_1}^{\text{BH}}}_{\text{contribution to RHN population from PBH evaporation}},$$

contribution to RHN population from PBH evaporation

lepton asymmetry production from RHN decays and inverse decays

$$aH \frac{dn_{\alpha\beta}^{\text{B-L}}}{da} = \underbrace{\epsilon_{\alpha\beta}^{(1)} \left[ (n_{N_1} - n_{N_1}^{\text{eq}}) \Gamma_{N_1}^T + n_{\text{BH}} \tilde{\Gamma}_{N_1}^{\text{BH}} \right]}_{\text{lepton asymmetry production from RHN decays and inverse decays}} + \underbrace{\mathcal{W}_{\alpha\beta}}_{\text{Washout effects only sensitive to neutrino parameters}}$$

thermal averaged decay of RHN from PBH evaporation (function of  $z_{\text{BH}}$ )

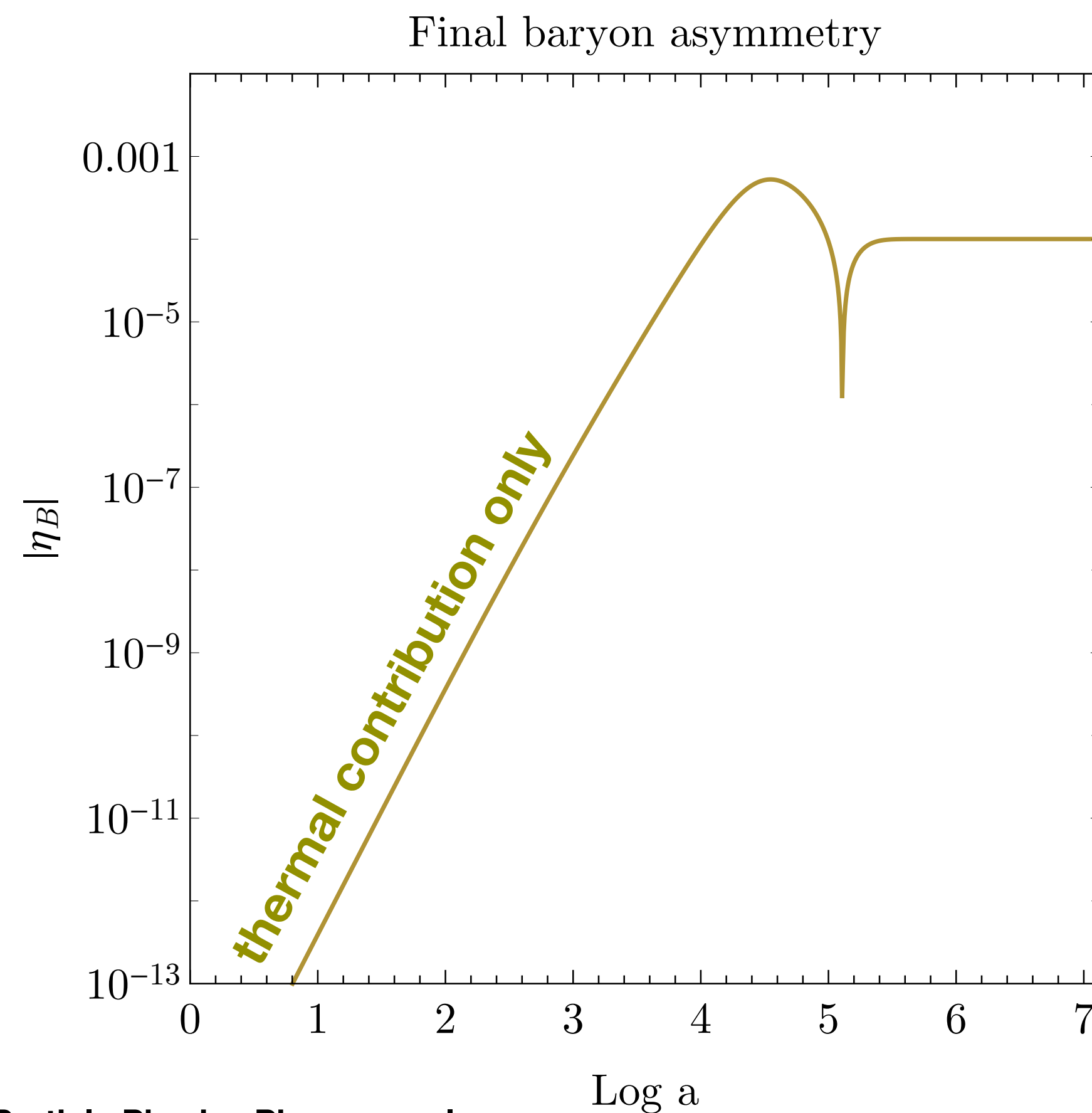
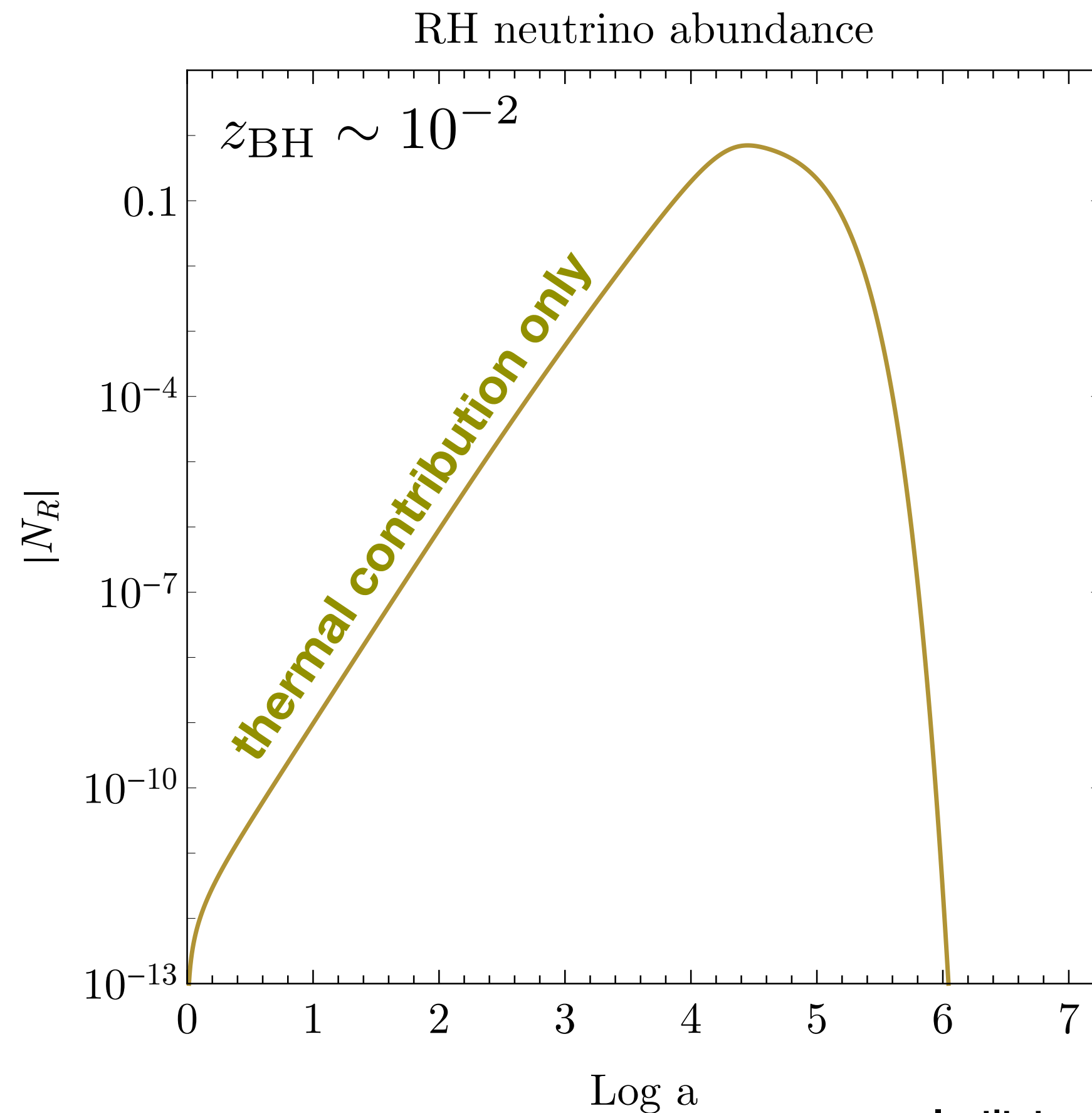


# Primordial Black holes induced leptogenesis

A. PBH evaporate **before** RH are thermally produced from plasma → PBH evaporation creates an initial condition which gets erased by fast interactions in the plasma

B. PBH evaporation happens **during** thermal leptogenesis

$$M_i = 1.7 \text{ g} \quad \beta_i = 10^{-3} \quad M_N = 10^{11} \text{ GeV}$$

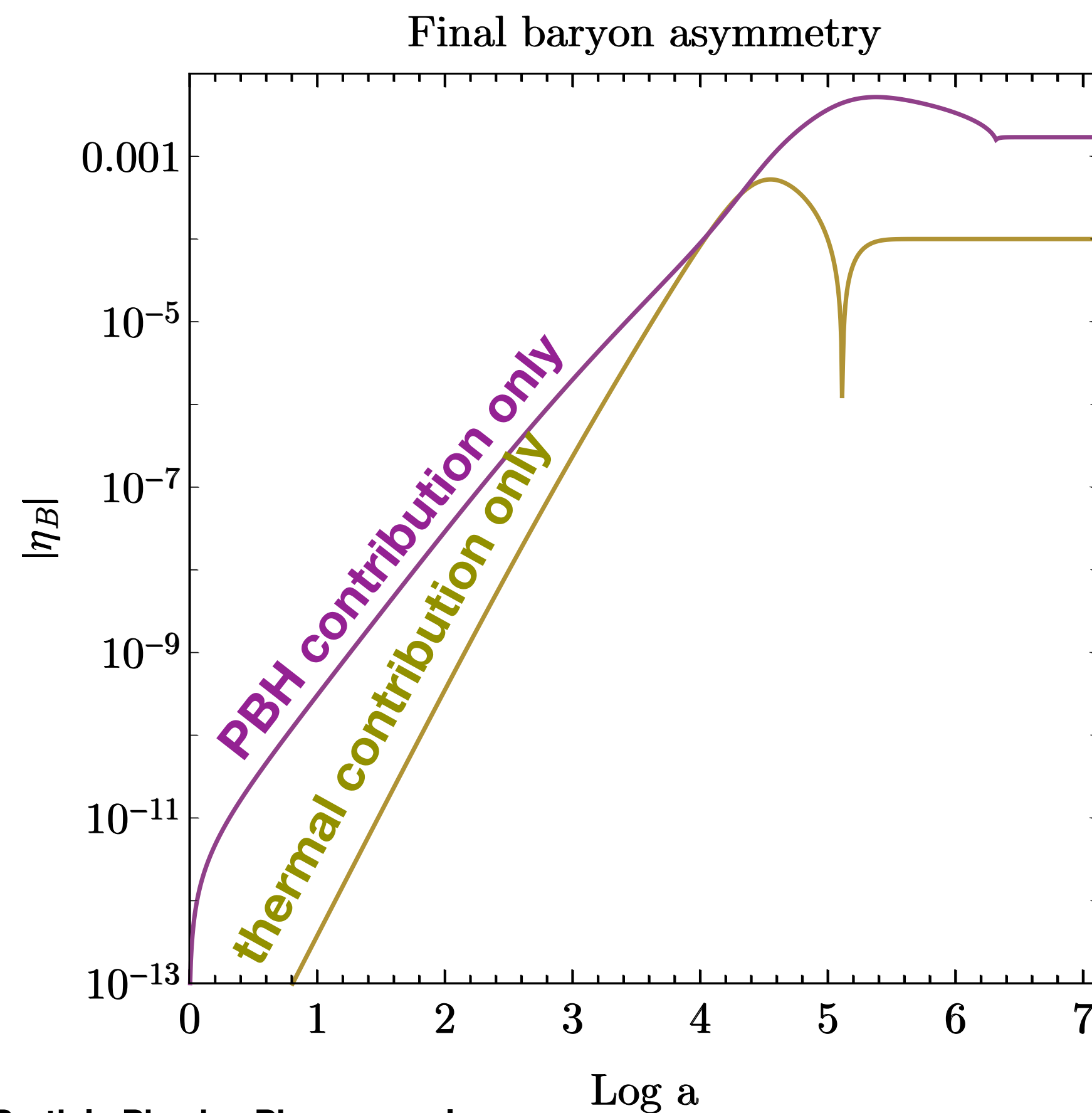
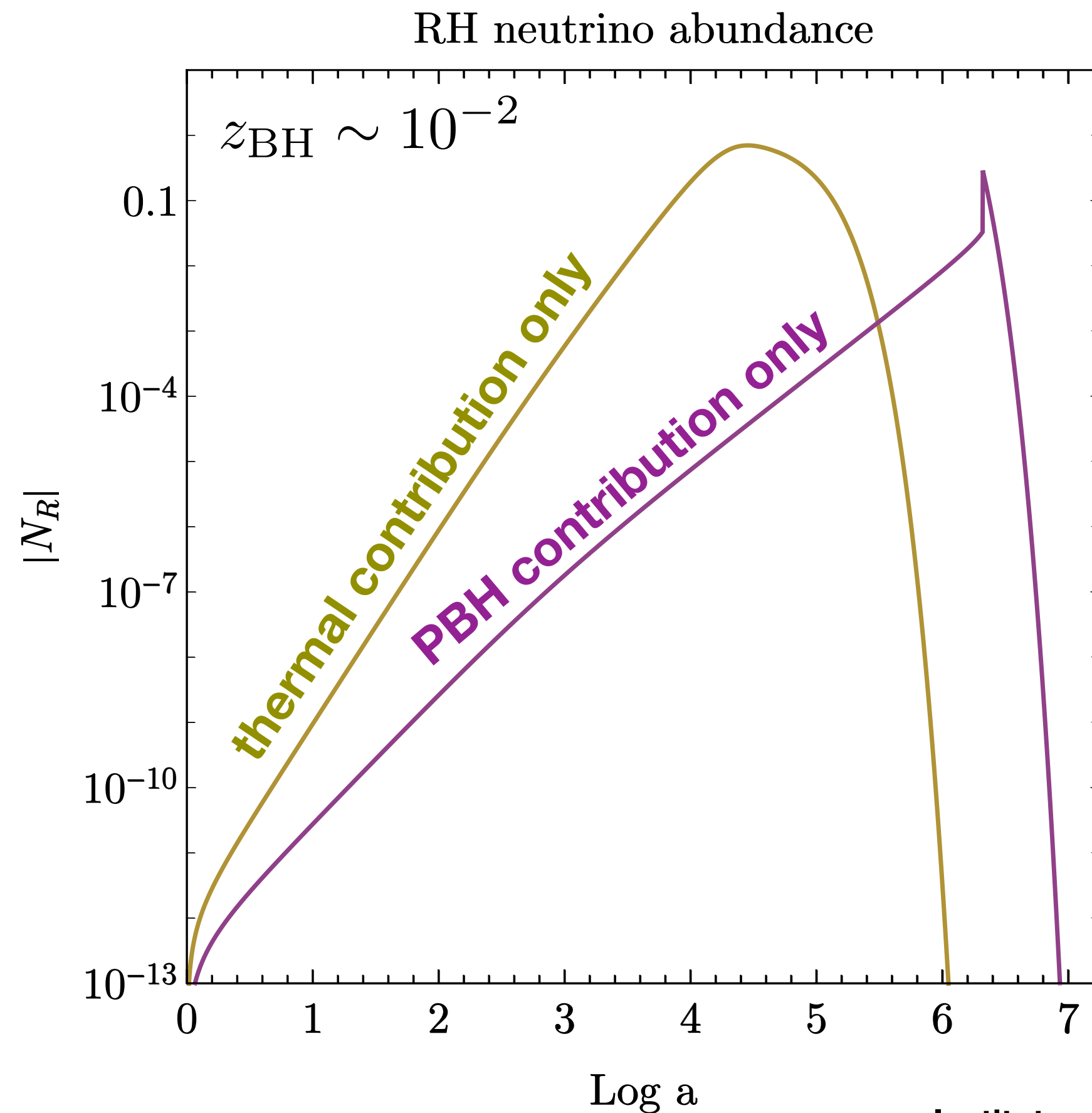


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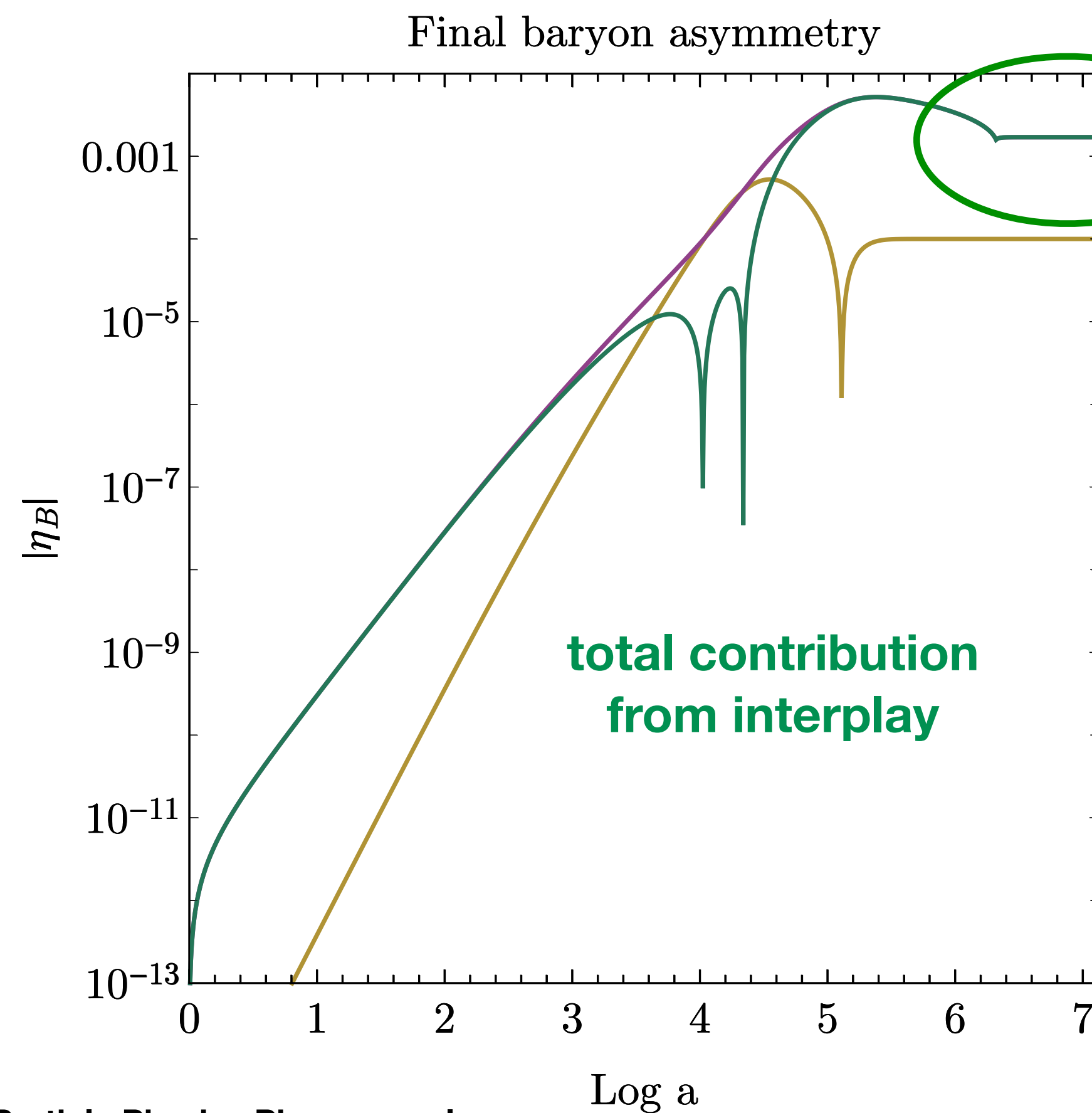
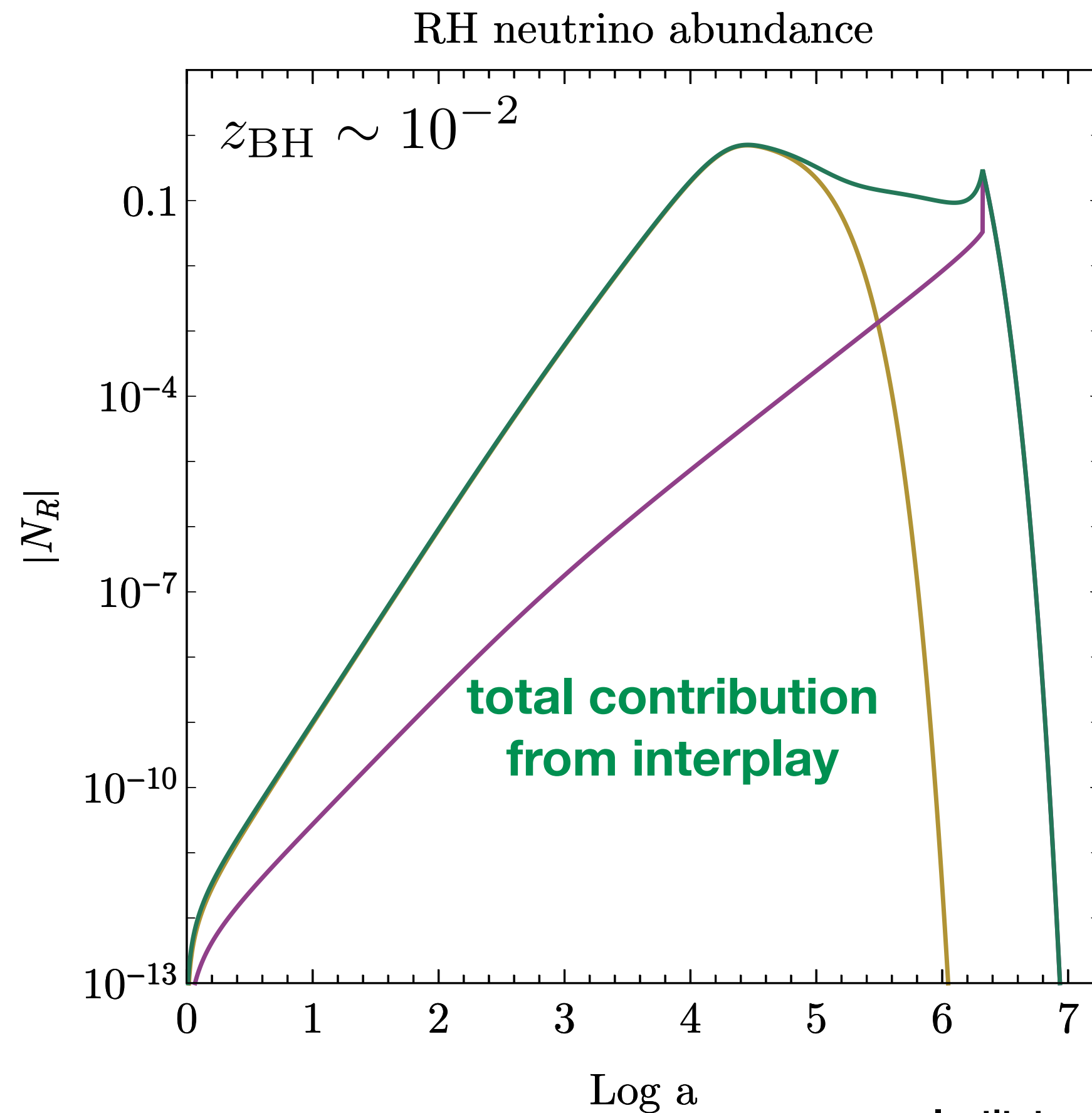


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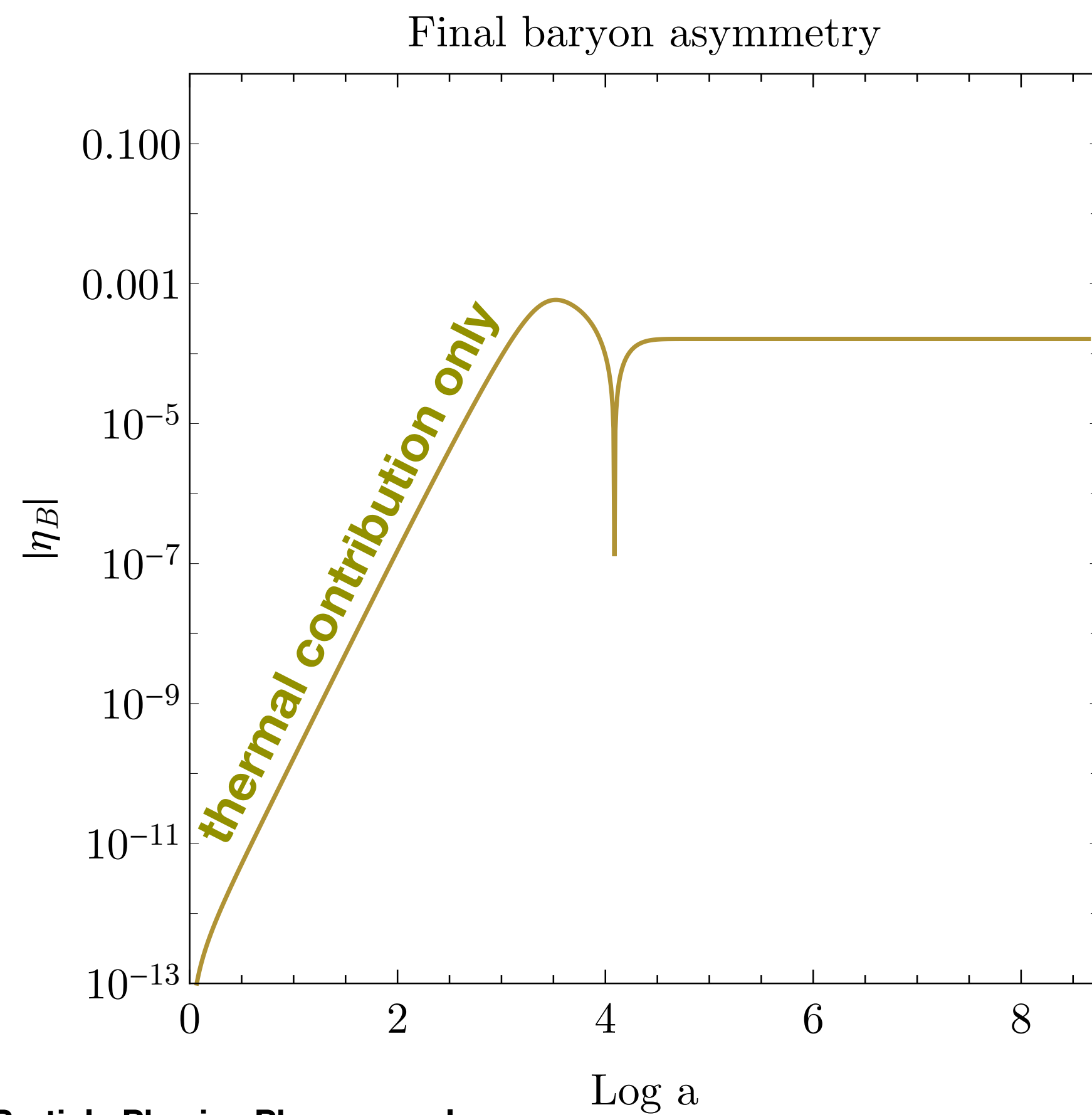
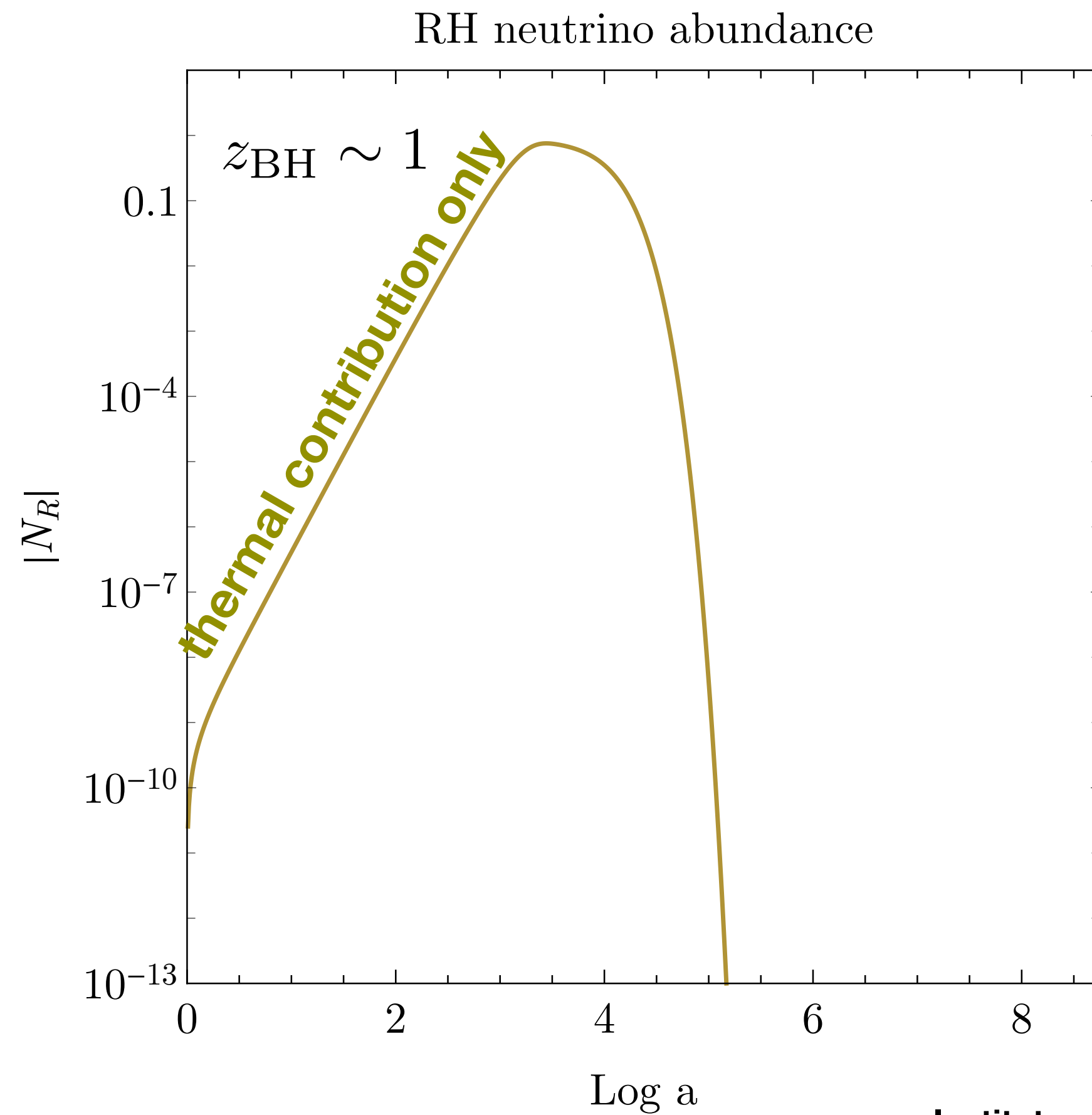


total contribution enhanced compared with thermal leptogenesis alone

# Primordial Black holes induced leptogenesis

C. PBH evaporation occurs **after** thermal leptogenesis era

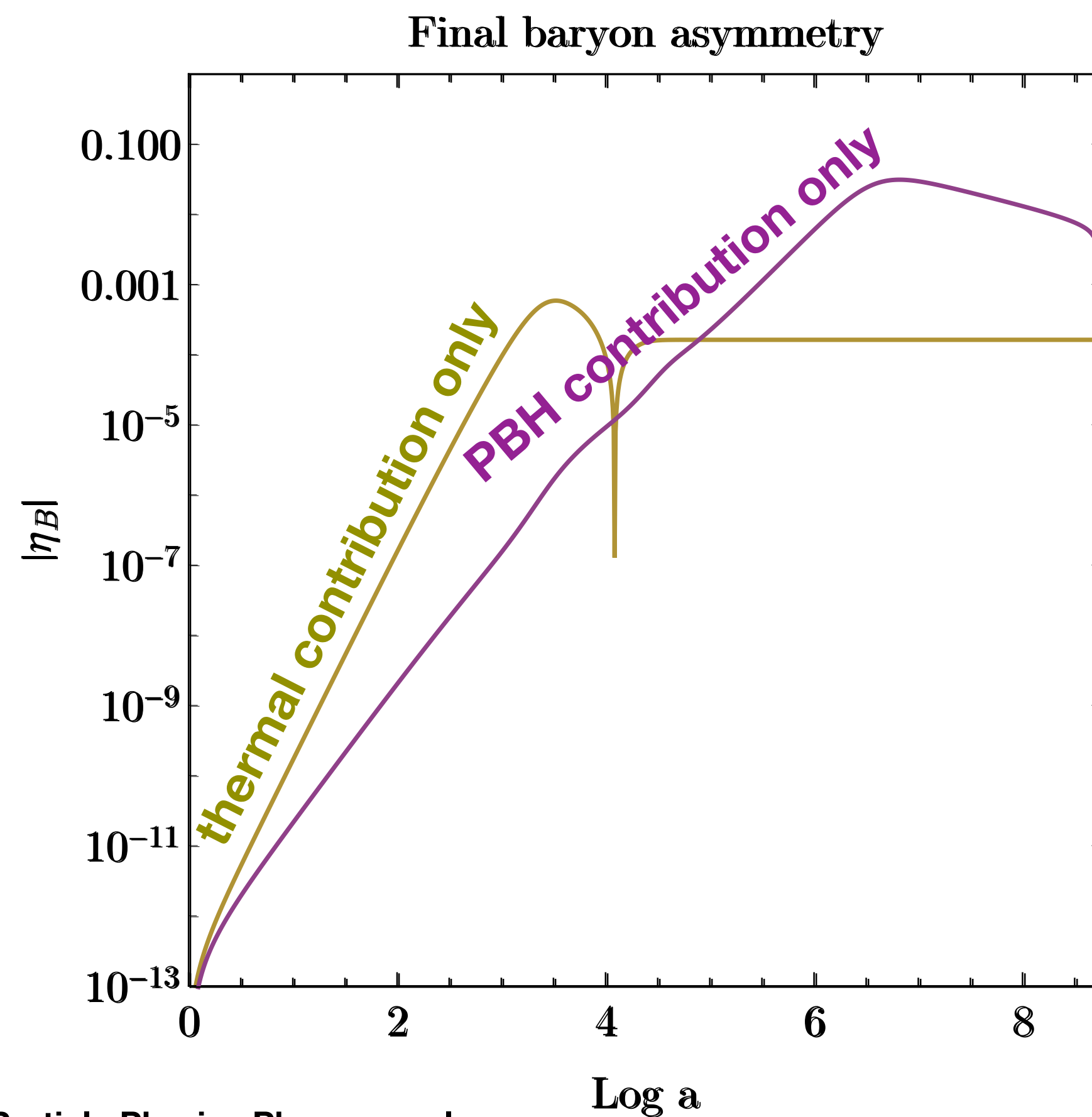
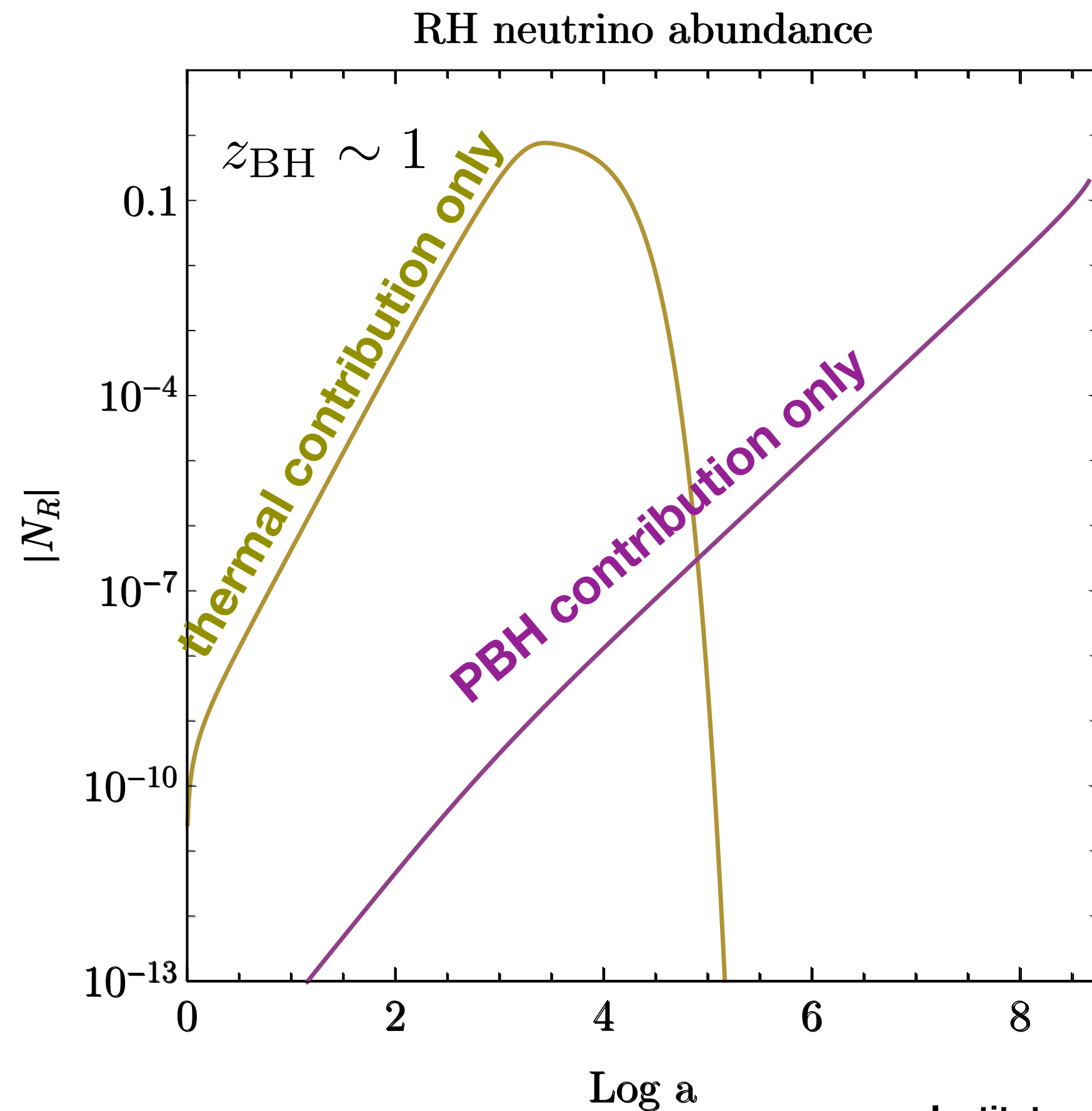
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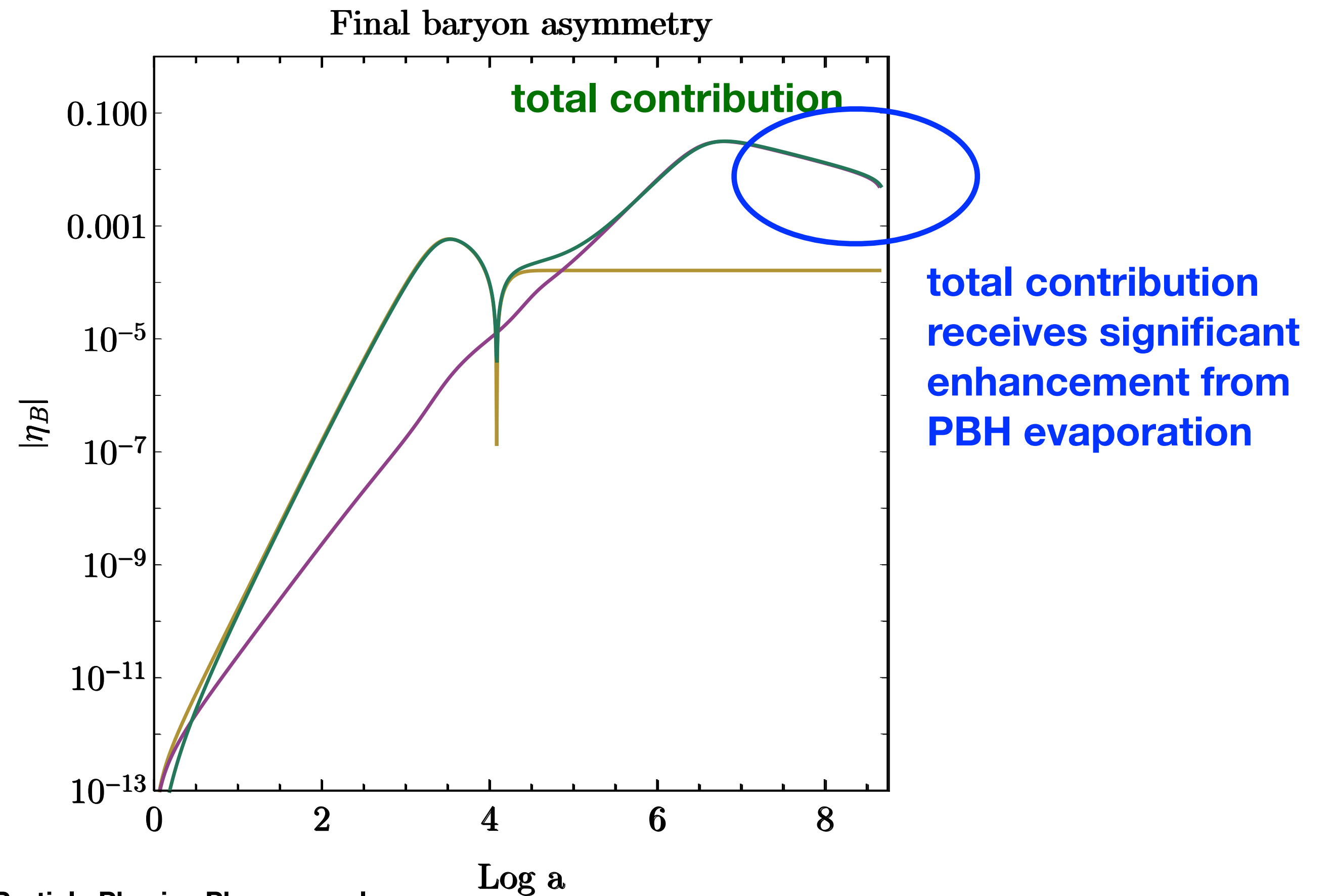
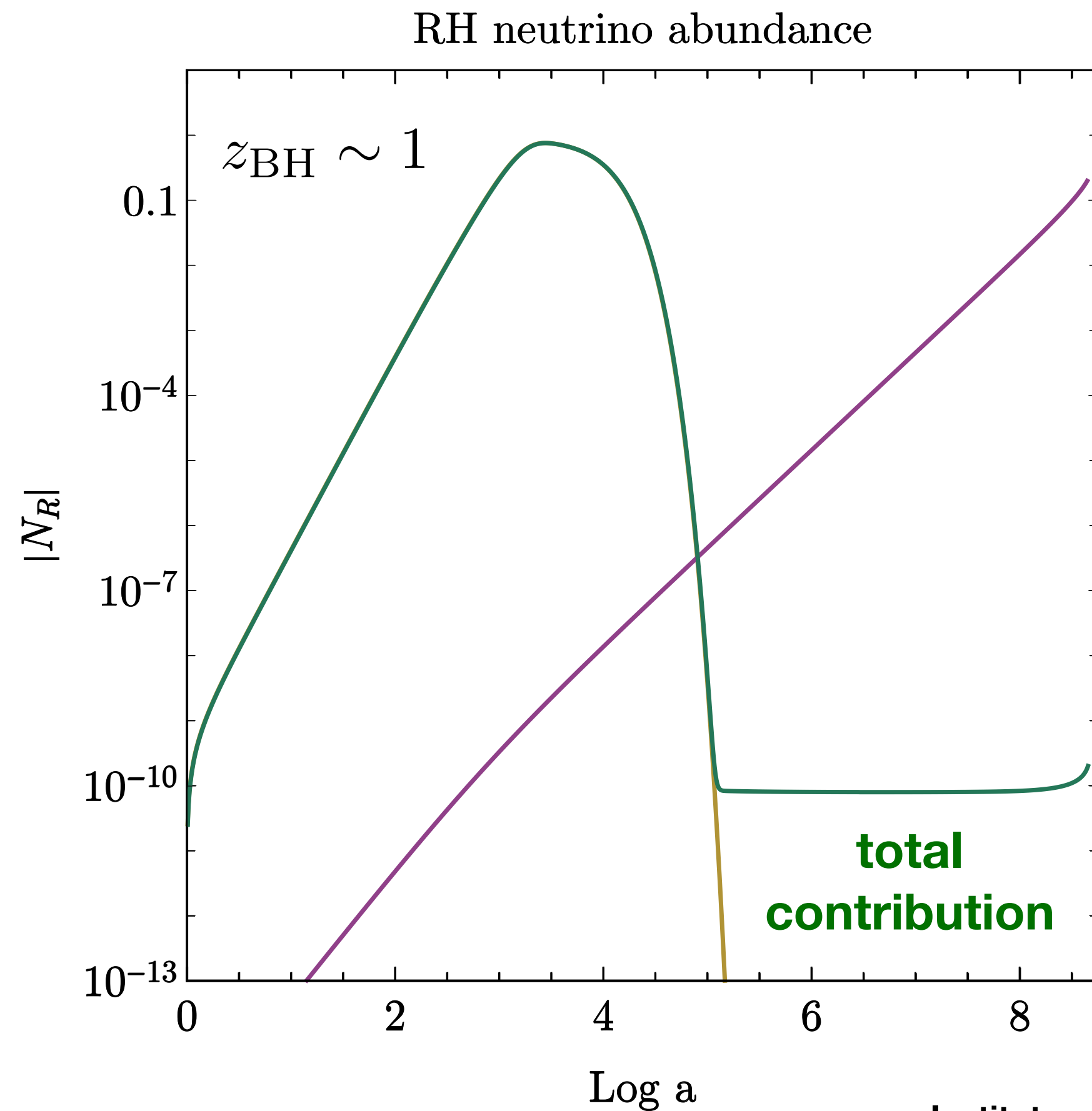
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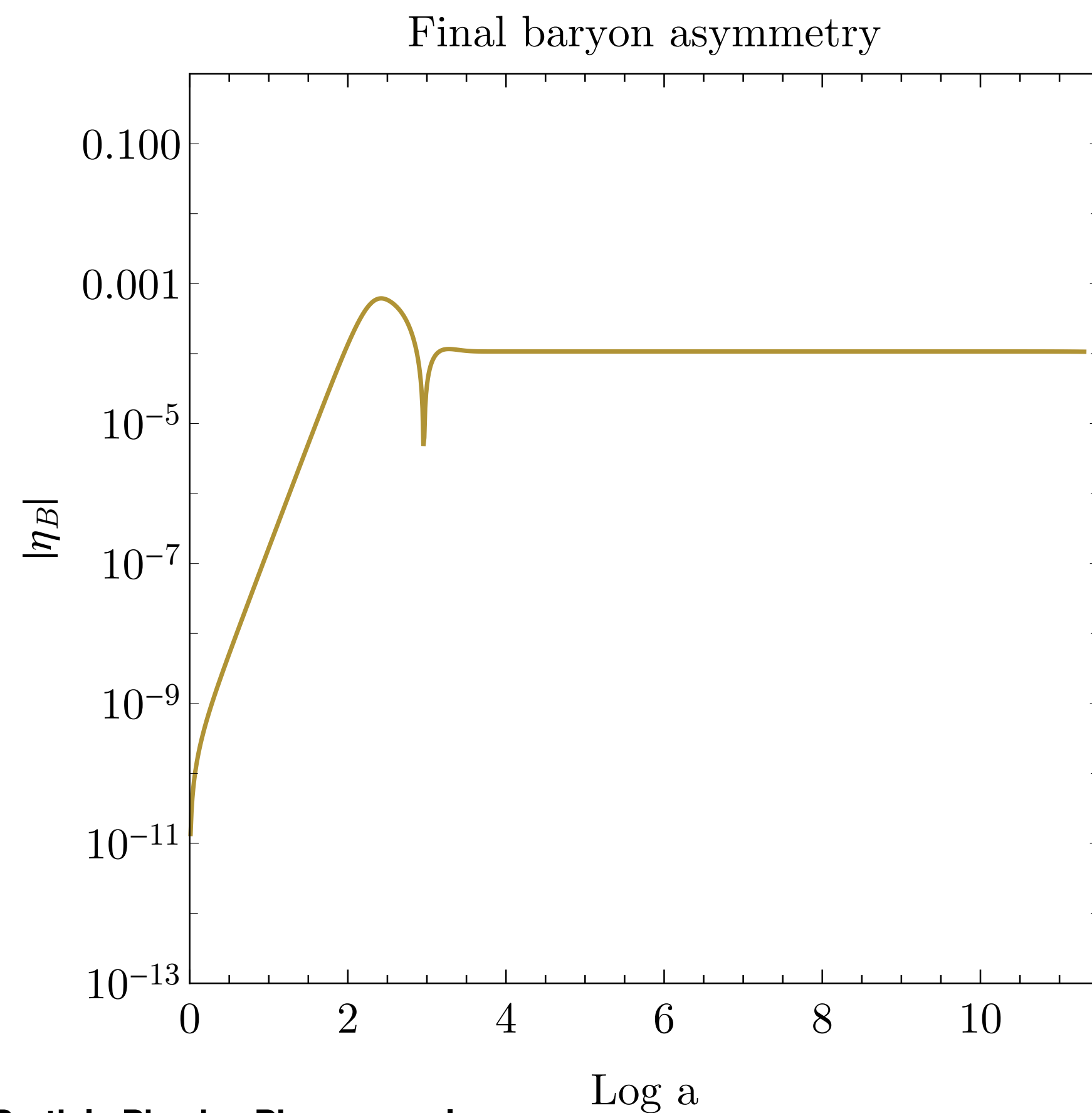
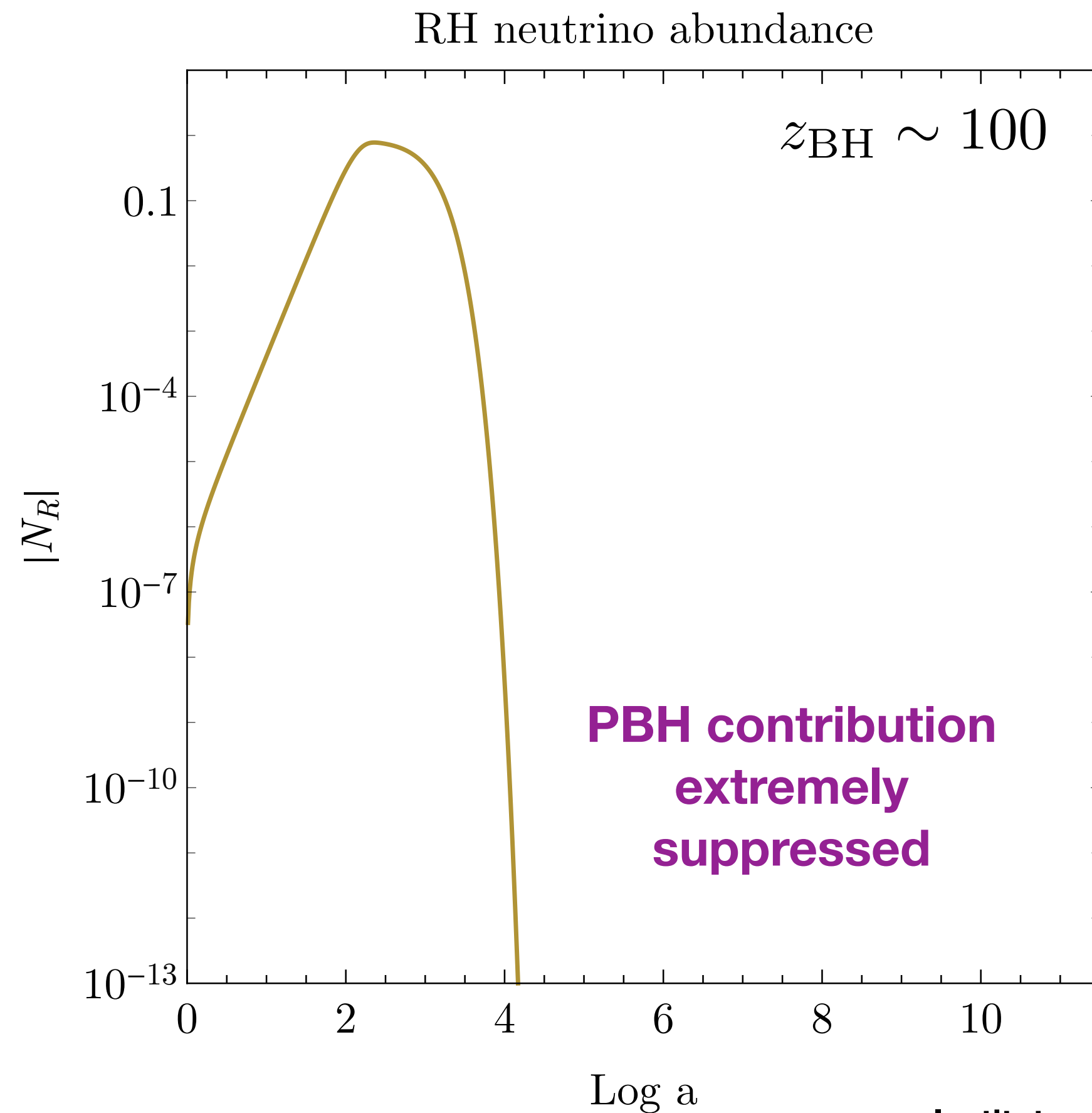


# Primordial Black holes induced leptogenesis

D. PBH evaporation occurs **way after** thermal leptogenesis era

$z_{\text{BH}}$  large  $\rightarrow$  RHN  
emission suppressed

$$M_i = 10^4 \text{ g} \quad \beta_i = 10^{-3} \quad M_N = 10^{11} \text{ GeV}$$

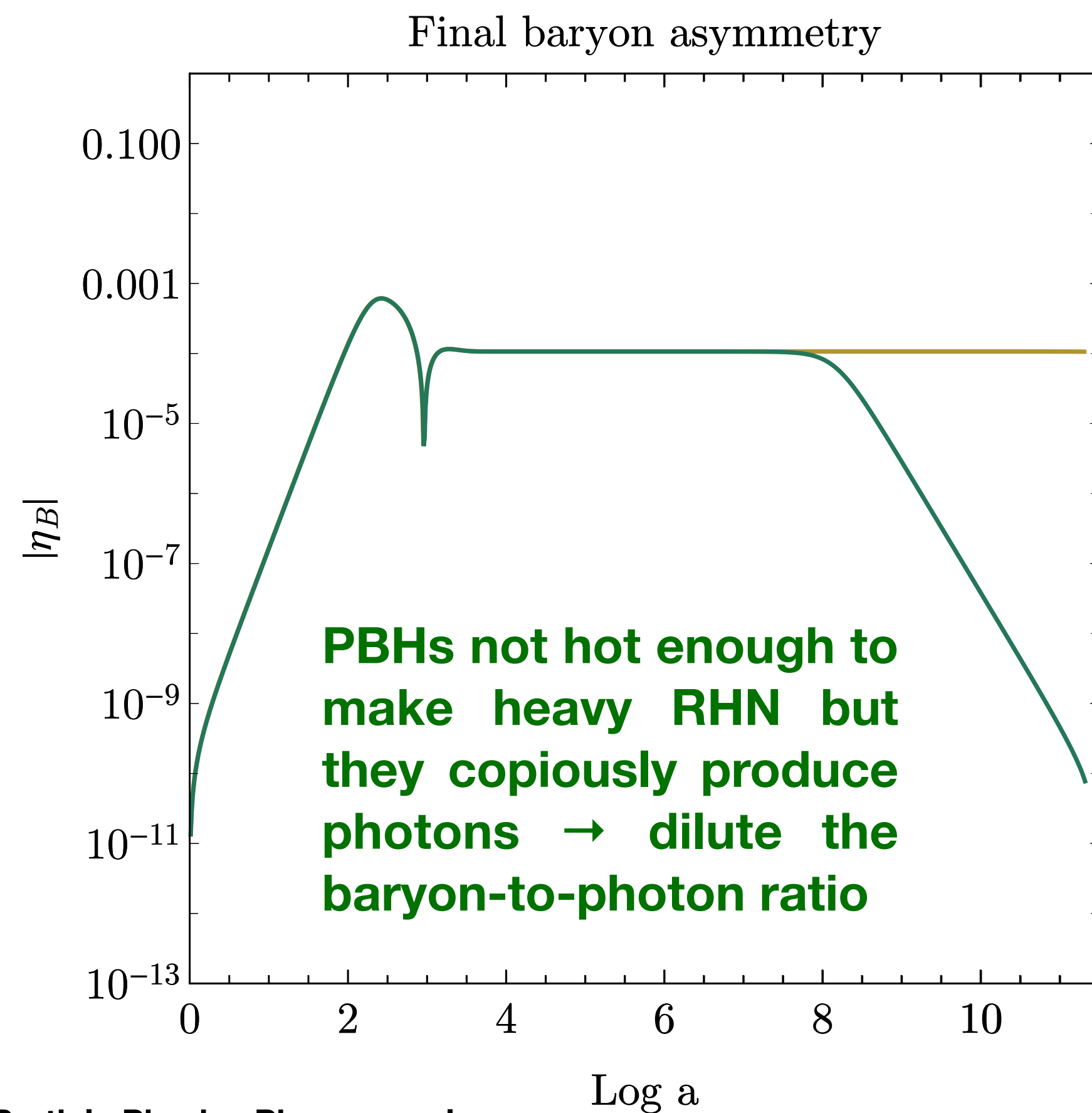
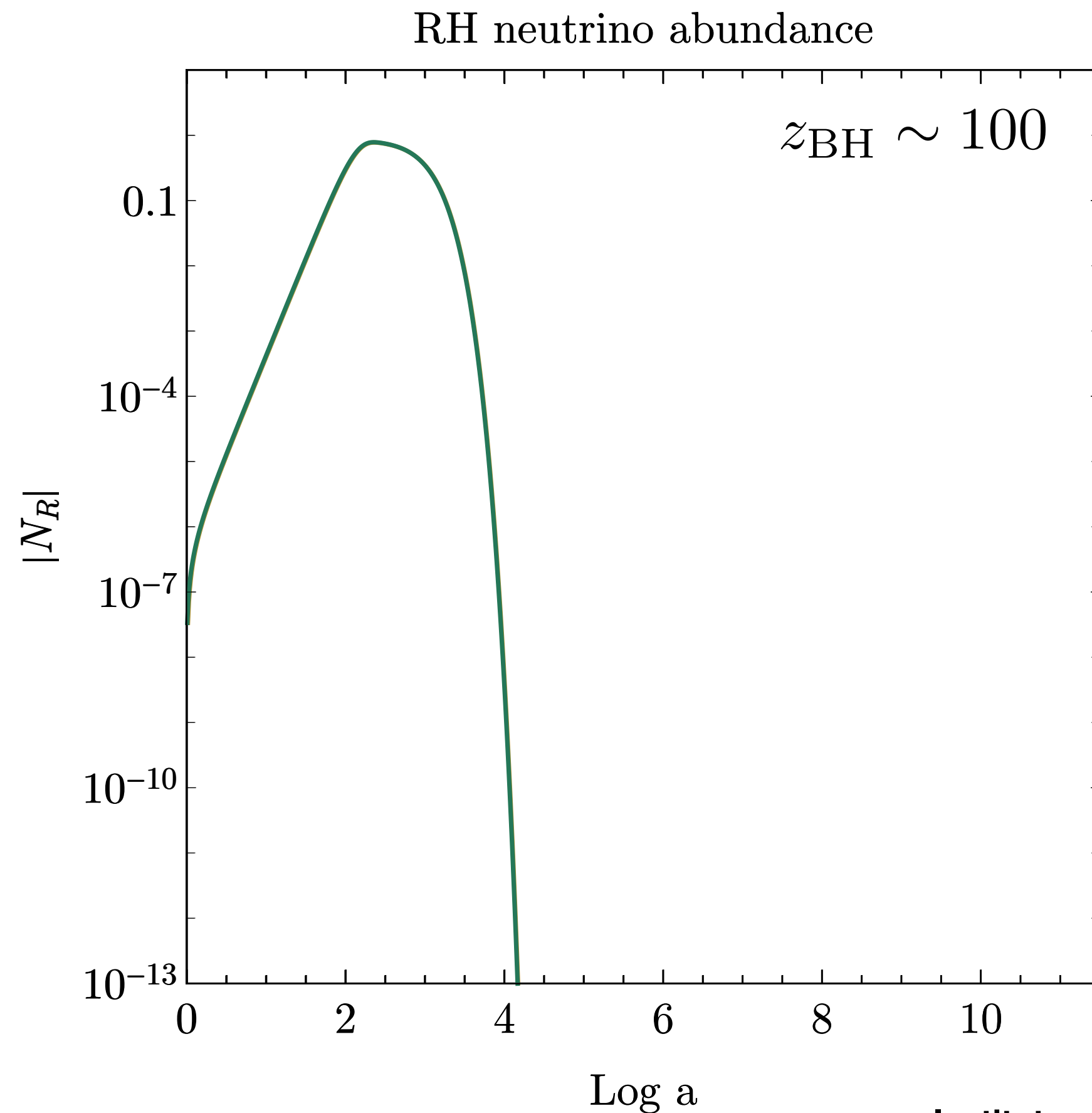


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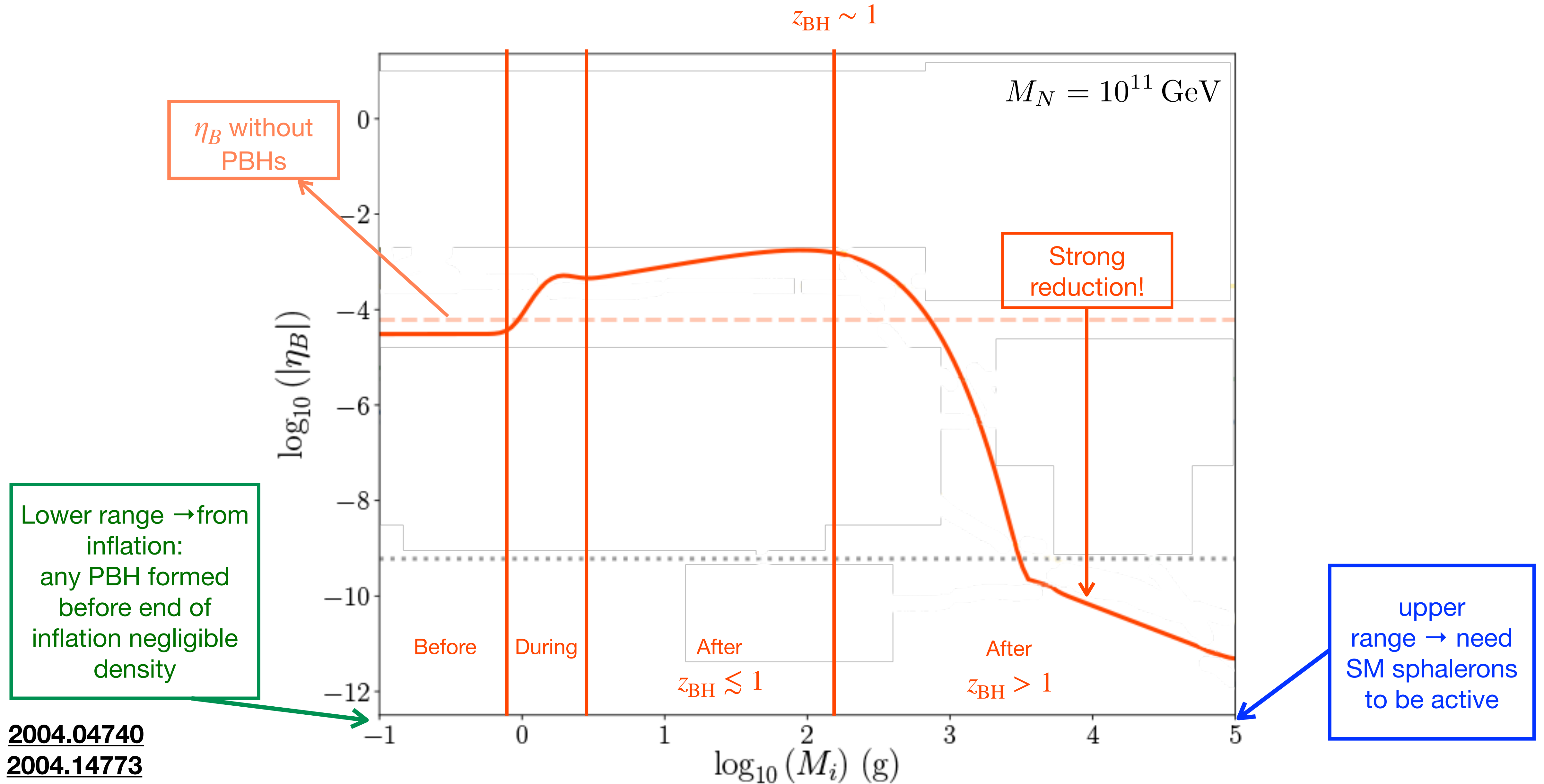
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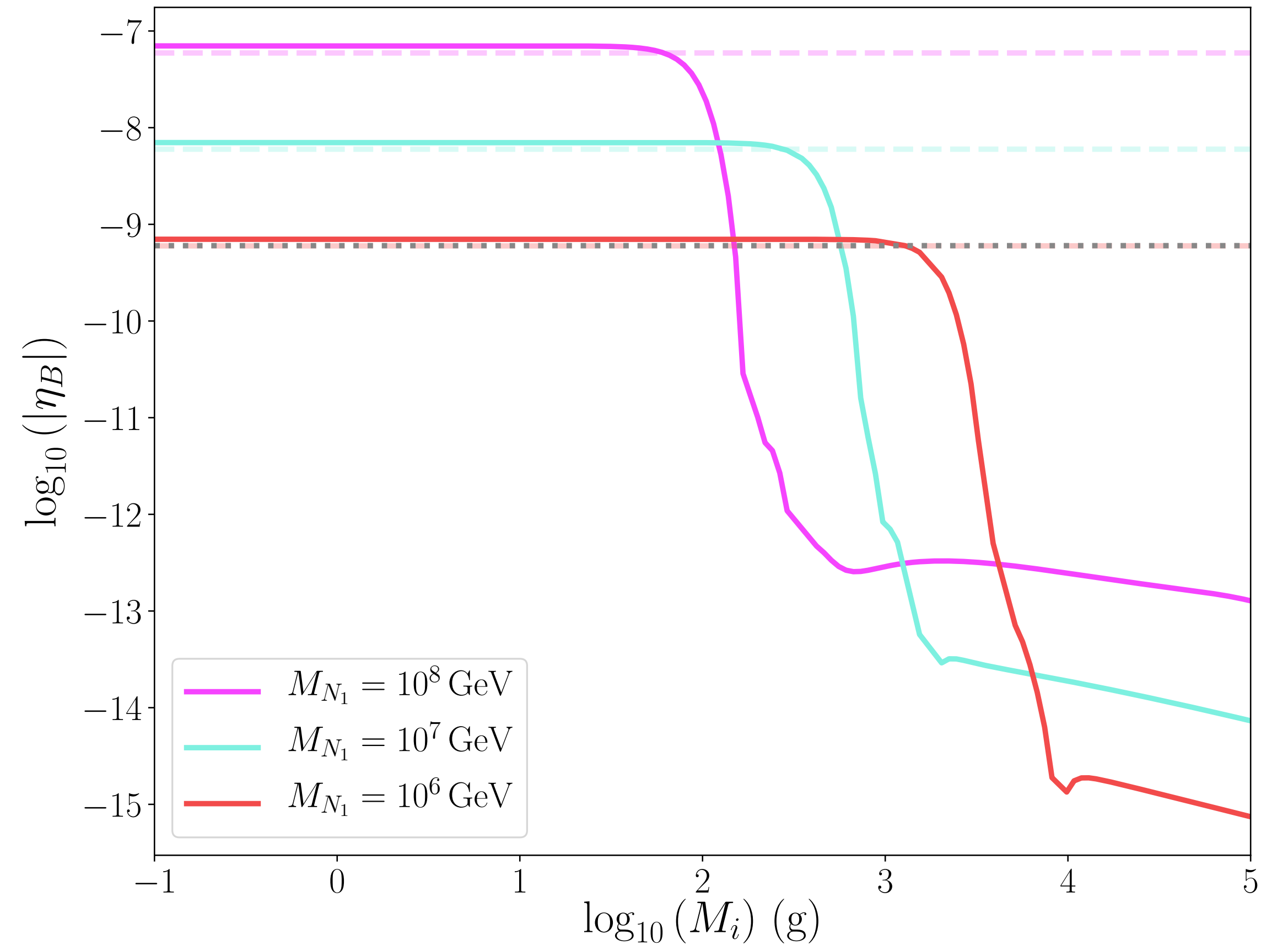
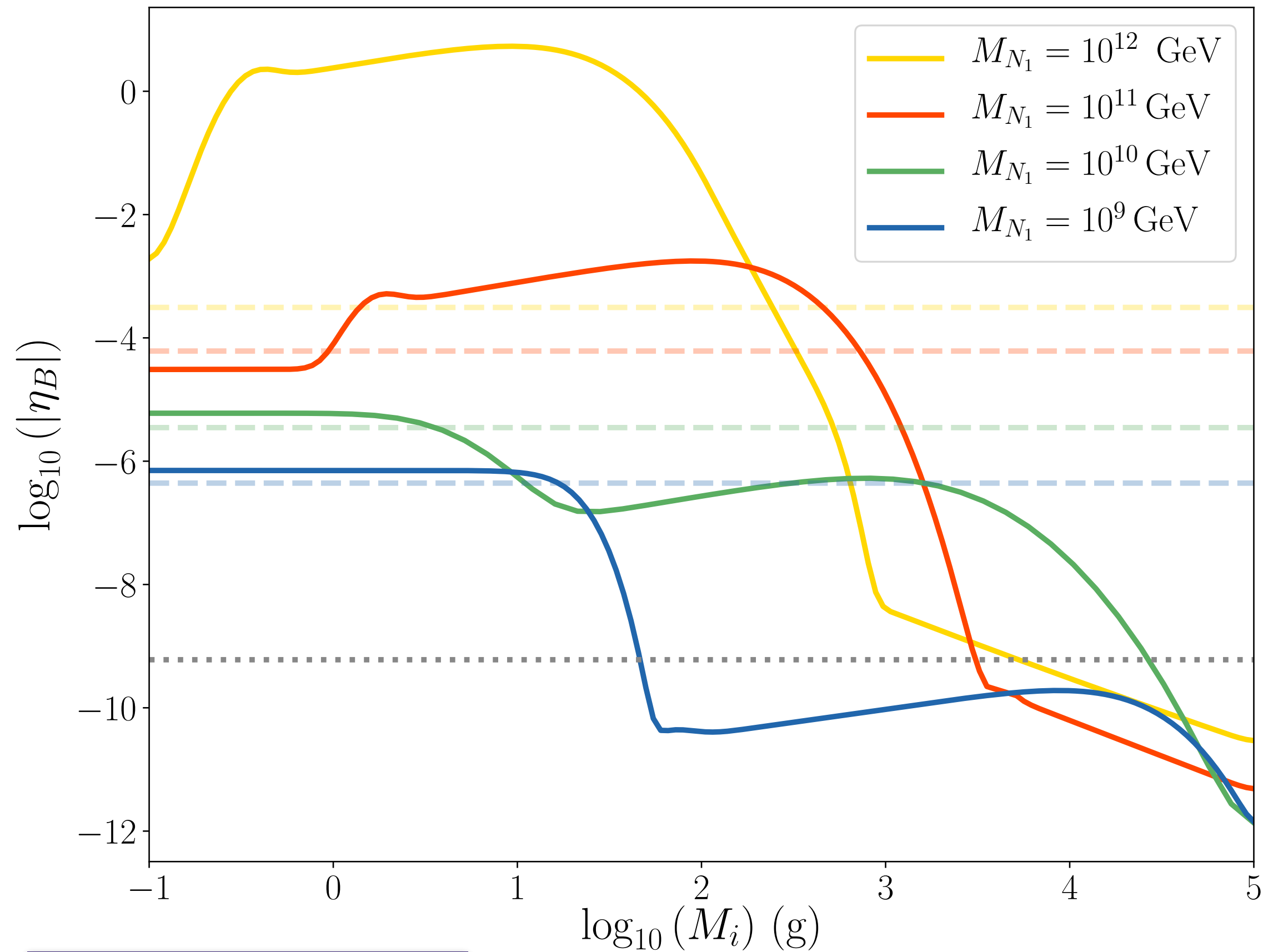


# Primordial Black holes induced leptogenesis



2004.04740  
2004.14773

# Primordial Black holes induced leptogenesis



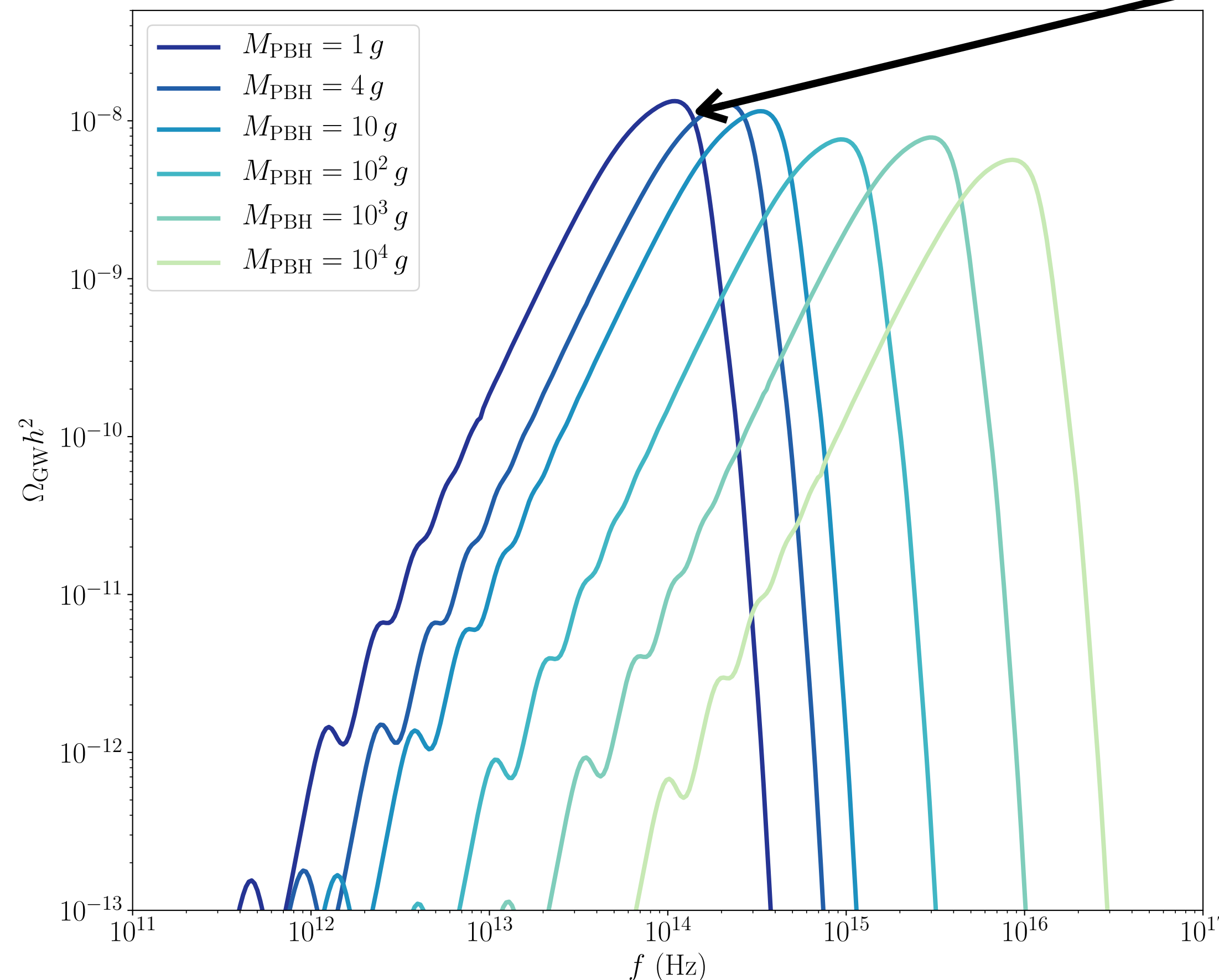
Enhancement or depletion depends on the RH neutrino mass

Only reduction of the baryon-to-photon ratio

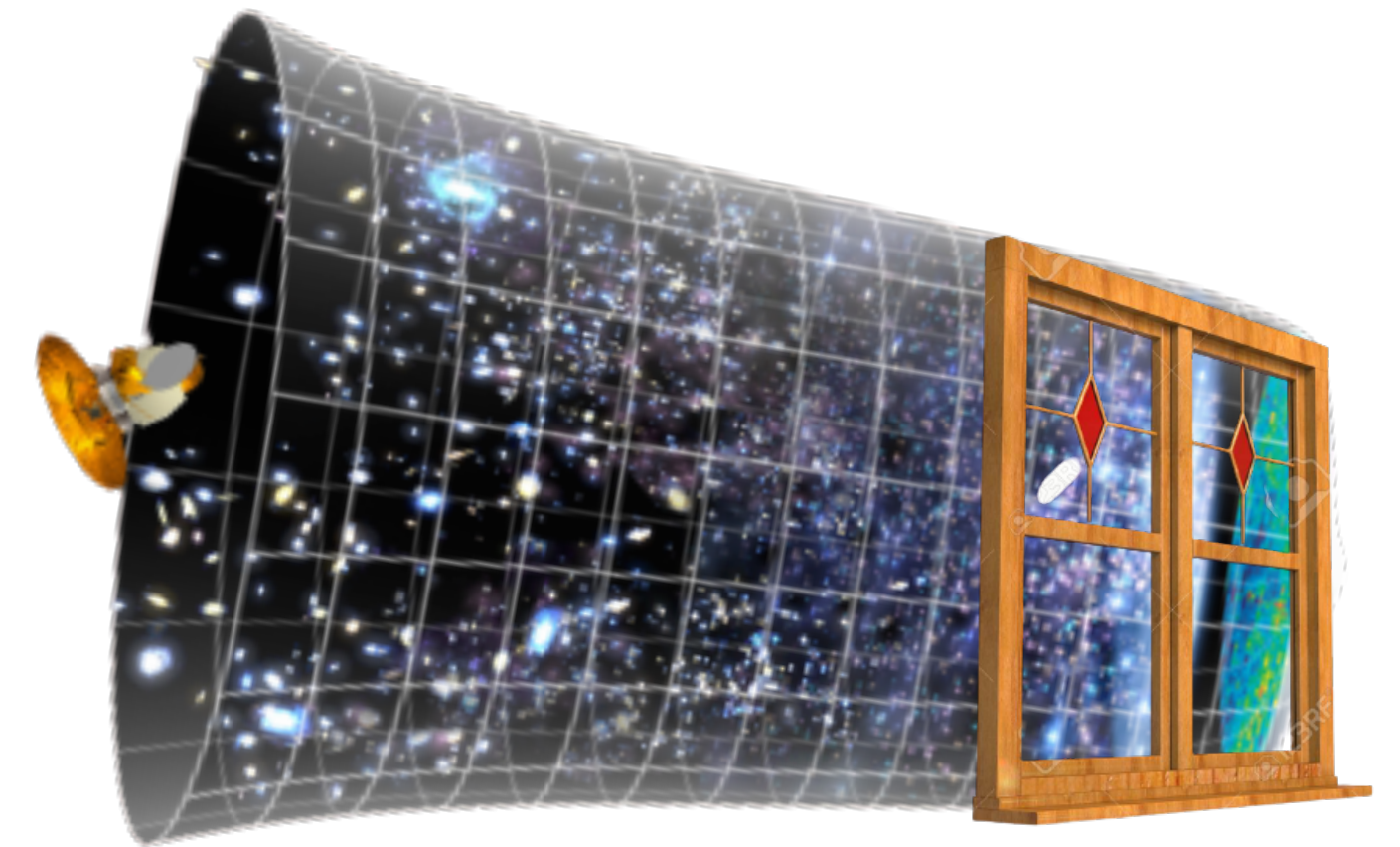
# Primordial Black holes induced leptogenesis

For RHN masses 1000 GeV or less, proving there was a existed a PBH dominated Universe would place leptogenesis under **serious tension**

PBHs are the epitome of democratic: they produce gravitons as well as all other particle d.o.f



smaller masses  
PBH evaporate earlier  
and experience more  
redshifting

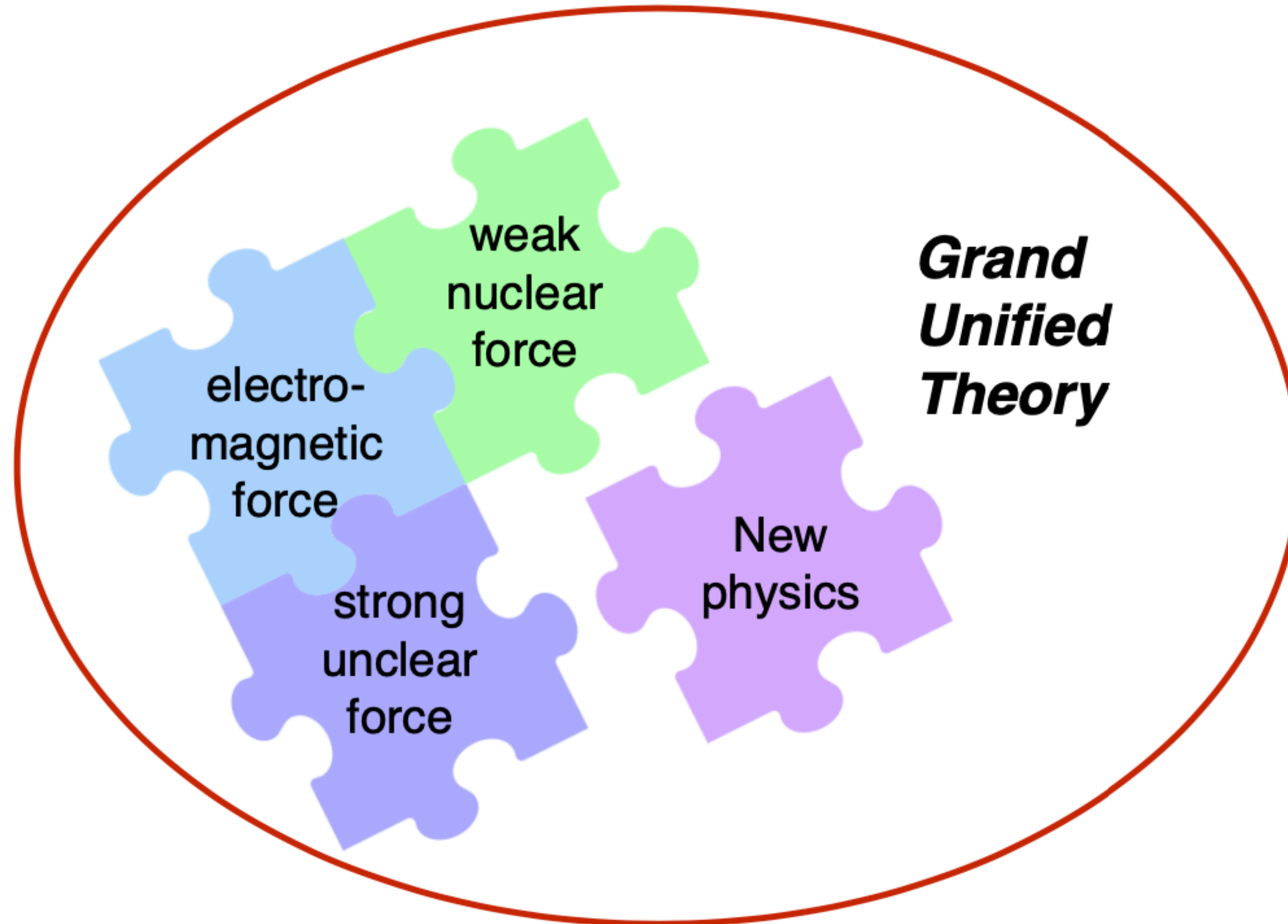


Future measurement of ultra-high GWs (see e.g [Ito et al, 1903.04843](#)) can constrain thermal leptogenesis parameter space

# Half time Summary

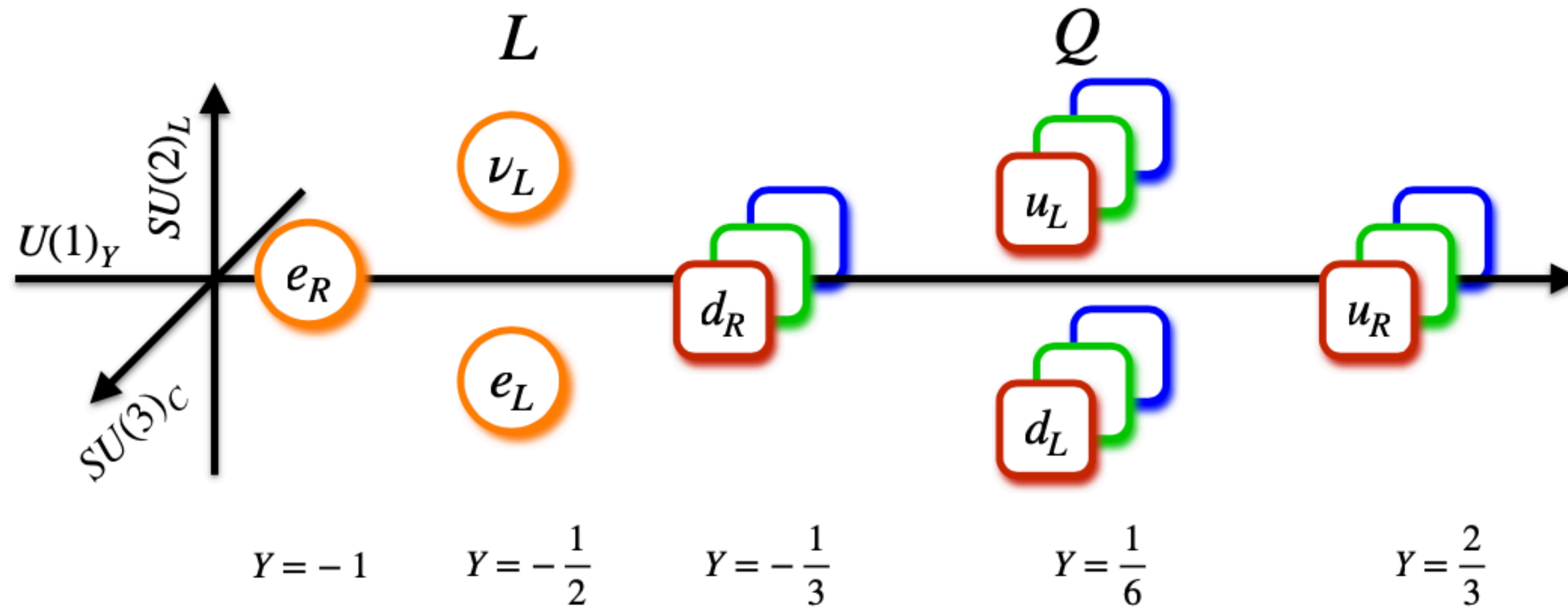
- Leptogenesis is one of the leading explanations of the matter anti-matter asymmetry. Added bonus is that light neutrino masses are also explained.
- It is entirely feasible the Universe underwent some non-standard cosmology such as PBH domination
- Due to the democratic nature of PBH, all particle degrees of freedoms are produced if the PBH is sufficiently hot.
- Non-trivial interplay between leptogenesis era and PBH evaporation. In some regions of the PS there is significant enhancement while in the low mass right-handed neutrino regime, heavier PBHs produce a giant entropy dump which dilutes the matter anti-matter asymmetry.
- While thermal leptogenesis is a very scale mechanism and therefore difficult to test, future probes of ultrahigh frequency GWs could falsify the intermediate scale leptogenesis.

# The role of GUTs



# The role of GUTs

GUTs can explain apparent arbitrariness of fermion masses and mixing



Thanks to  
Ye-Ling Zhou for figure

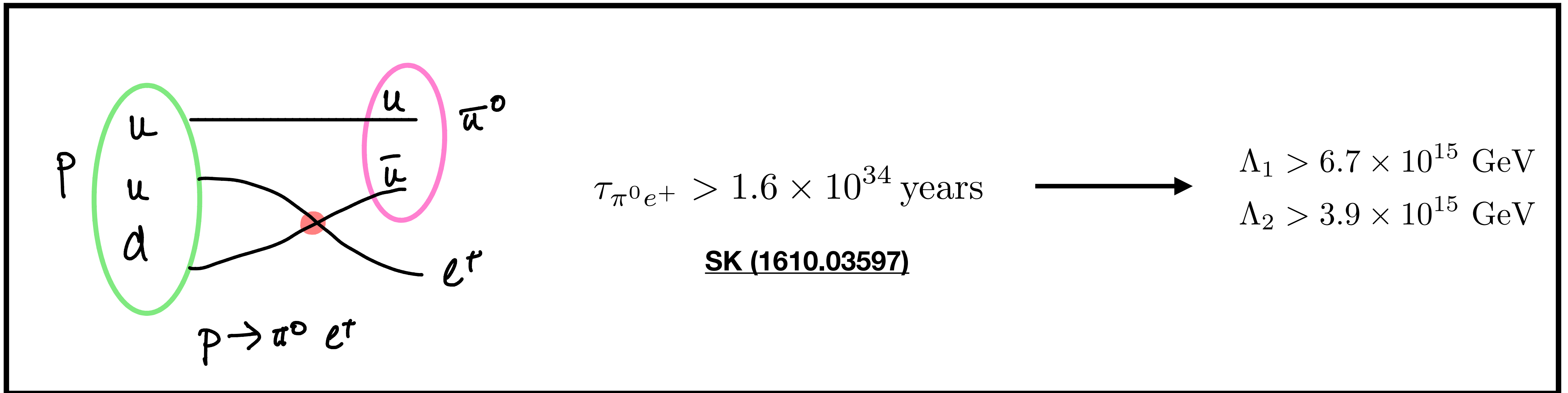
# **GUT prediction 1: proton decay**

# Proton decay from GUTs

GUTs unify leptons and quarks into common multiplets and as GUTs broken to SM gauge group, heavy gauge boson integrated out  $\rightarrow$  BNV interactions i.e. proton decay

$$\frac{\epsilon_{\alpha\beta}}{\Lambda_1^2} [(\bar{u}_R^c \gamma^\mu Q_\alpha)(\bar{d}_R^c \gamma_\mu L_\beta) + (\bar{u}_R^c \gamma^\mu Q_\alpha)(\bar{e}_R^c \gamma_\mu Q_\beta)] + \frac{\epsilon_{\alpha\beta}}{\Lambda_2^2} [(\bar{d}_R^c \gamma^\mu Q_\alpha)(\bar{u}_R^c \gamma_\mu L_\beta) + (\bar{d}_R^c \gamma^\mu Q_\alpha)(\bar{\nu}_R^c \gamma_\mu Q_\beta)] ,$$

$\min[\Lambda_1, \Lambda_2]$  gives dominant PD

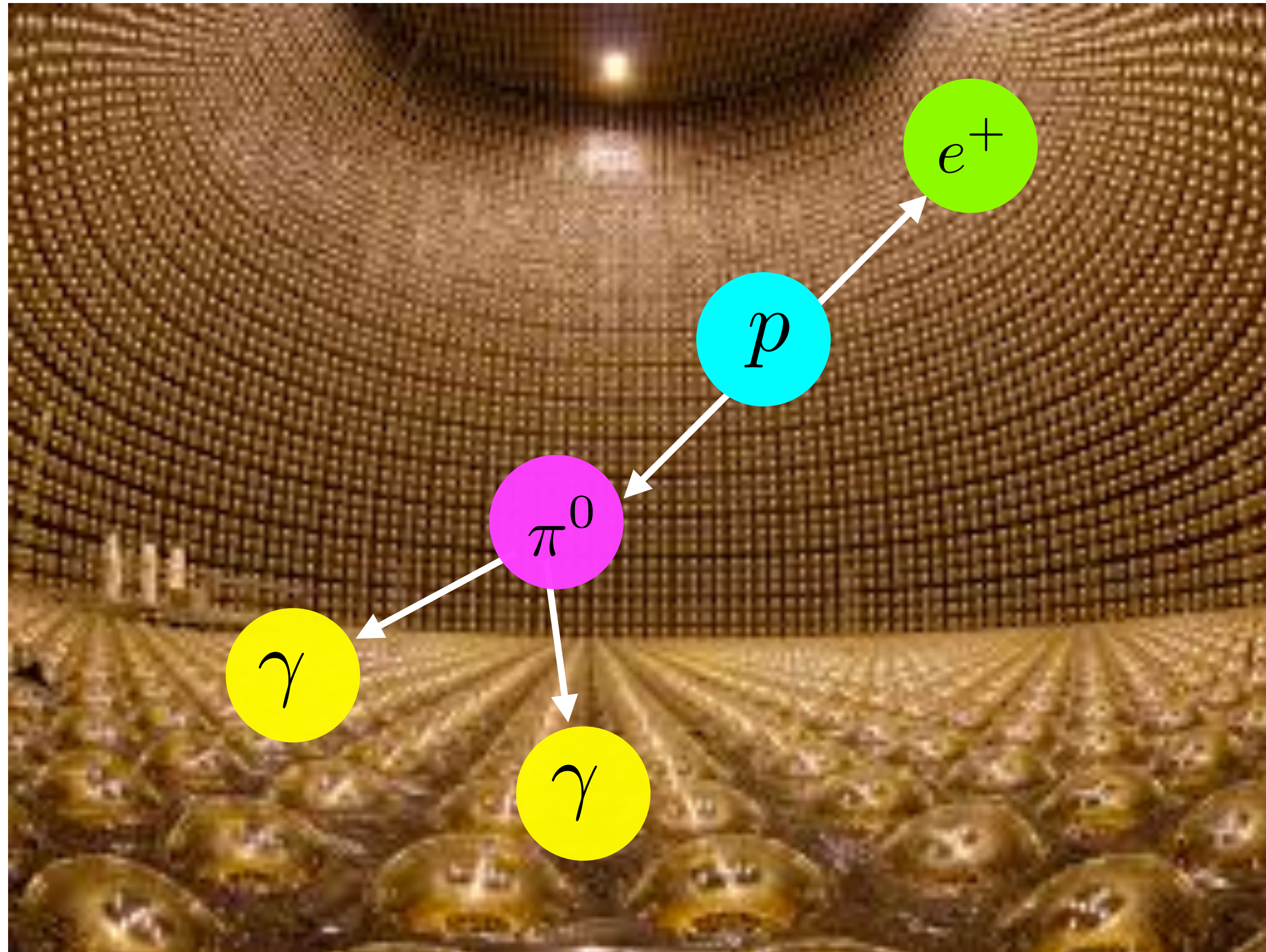




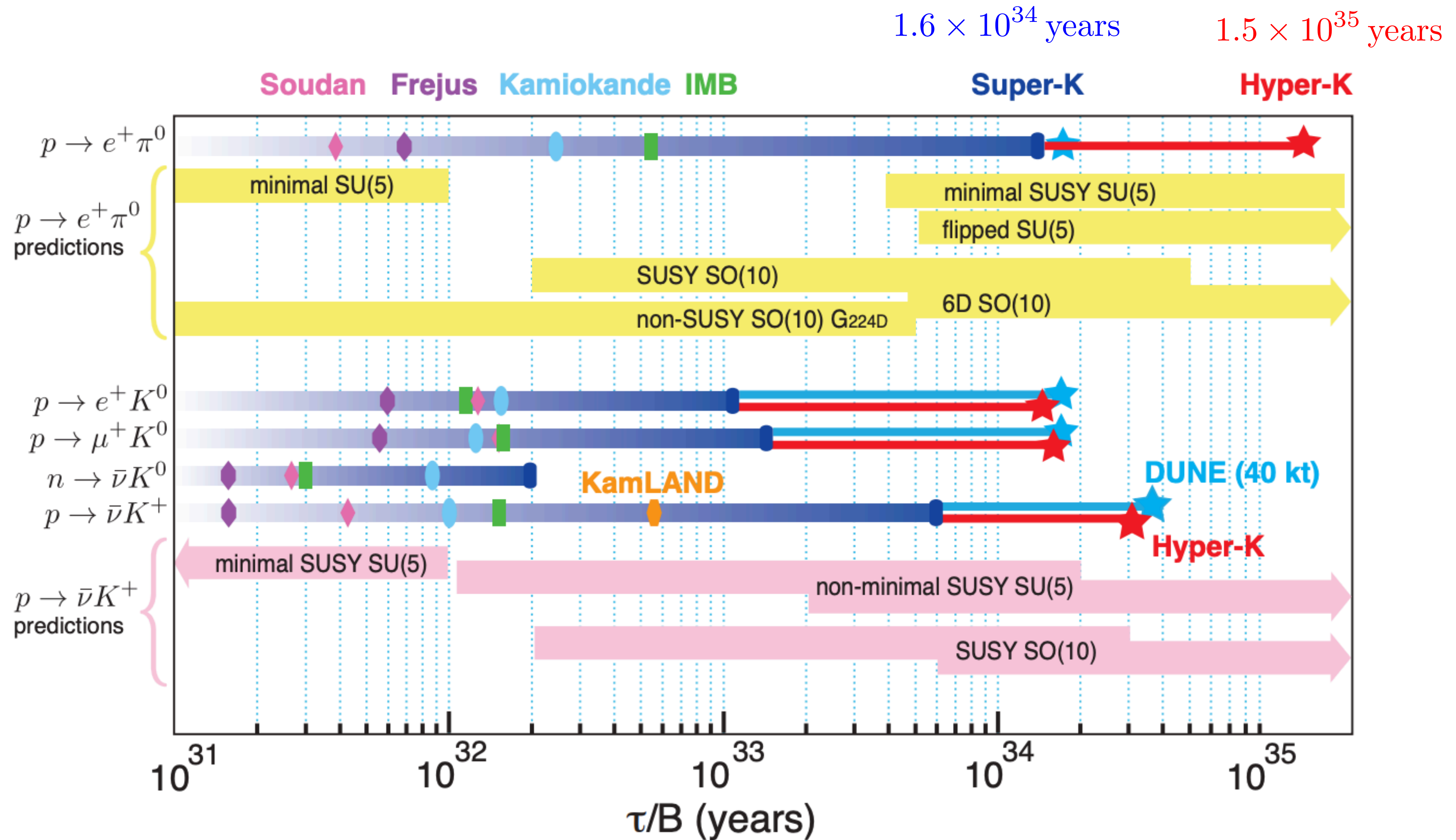
# Limits (or even finding!) proton decay

The next generation of neutrino oscillation experiments are big vats of stuff sitting around for a long time (forgive me experimentalists .....)

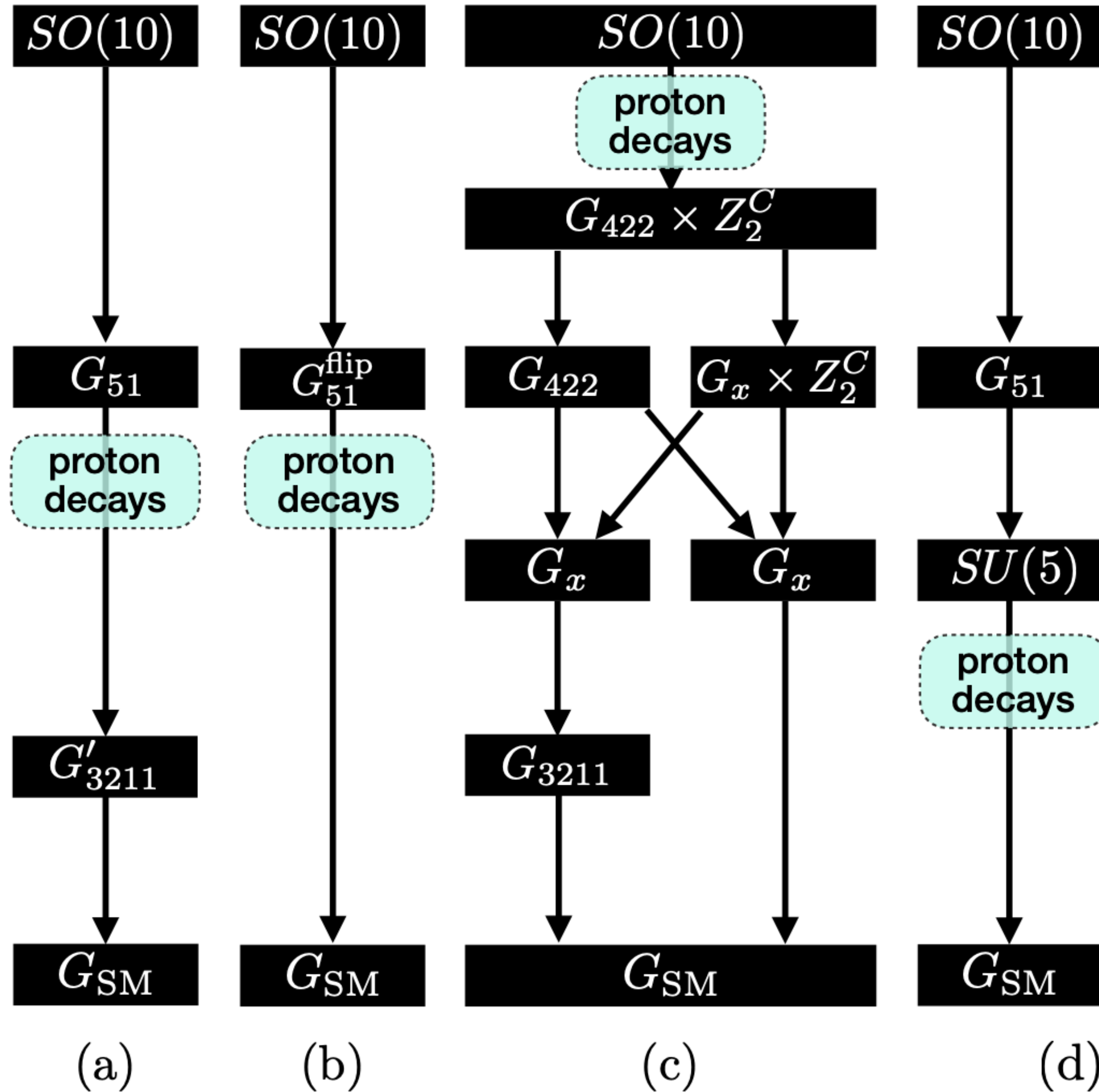
Super-Kamiokande and JUNO ~ 20 kiloton  $\implies 10^{33}$  protons



# Nucleon decay limits



# proton decay in SO(10)



2005.13549 in collaboration with **Stephen King, Silvia Pascoli, and Ye-Ling Zhou** use PD and GWs to examine viable SO(10) GUT breaking chains.

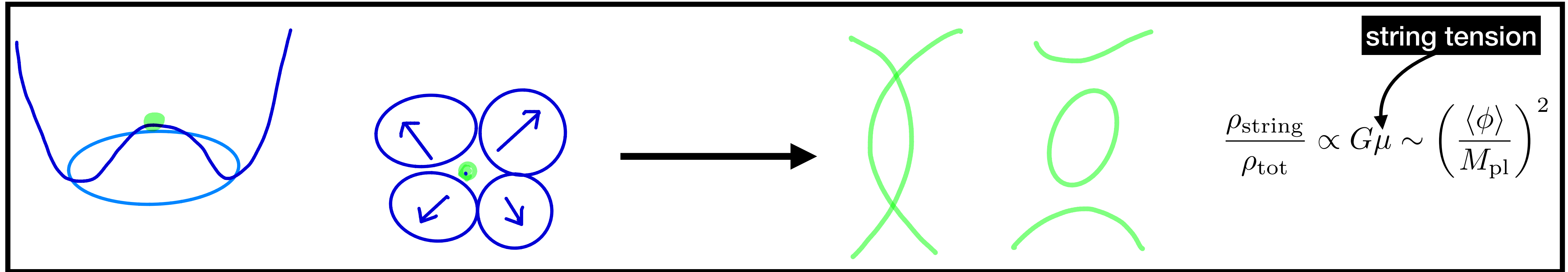
$$G_x = G_{3221} \text{ or } G_{421}$$

# **GUT prediction 2: cosmic strings**

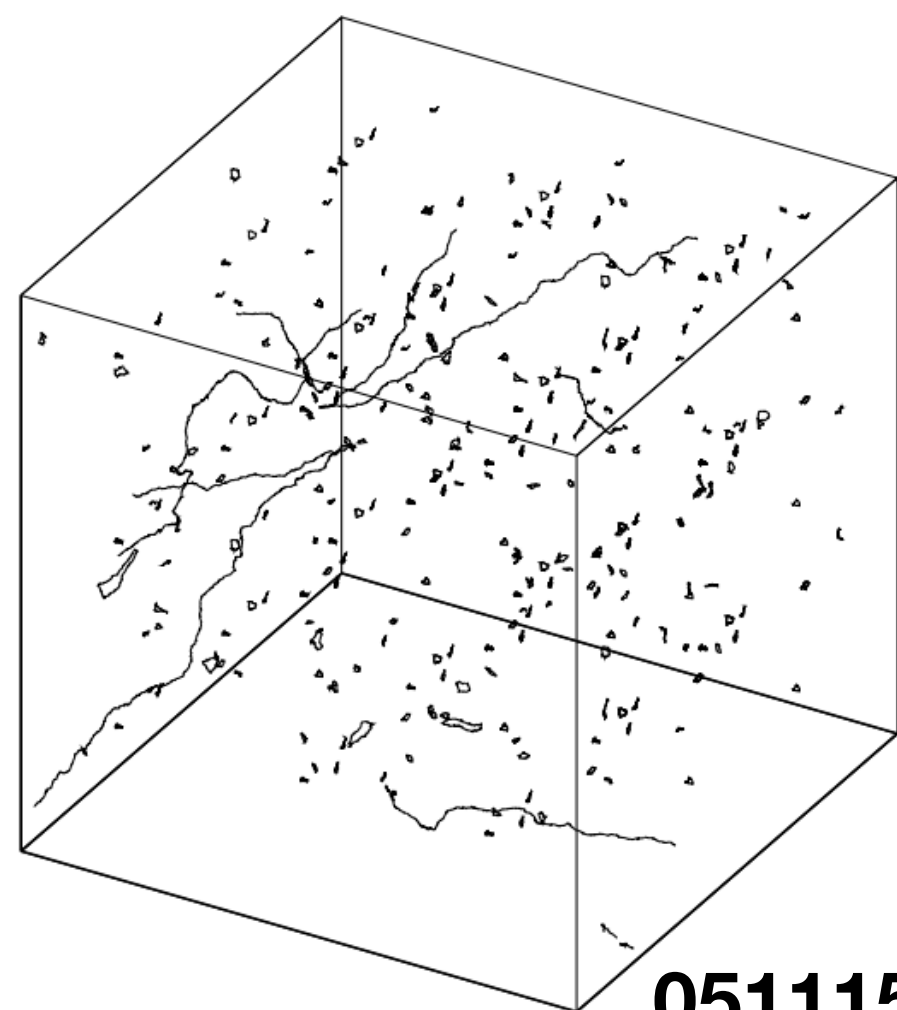
# topological defects in GUTs

Kibble, Nielsen-Ole

Cosmic strings induced via U(1) symmetry breaking are ubiquitously as GUT breaks to SM gauge group.

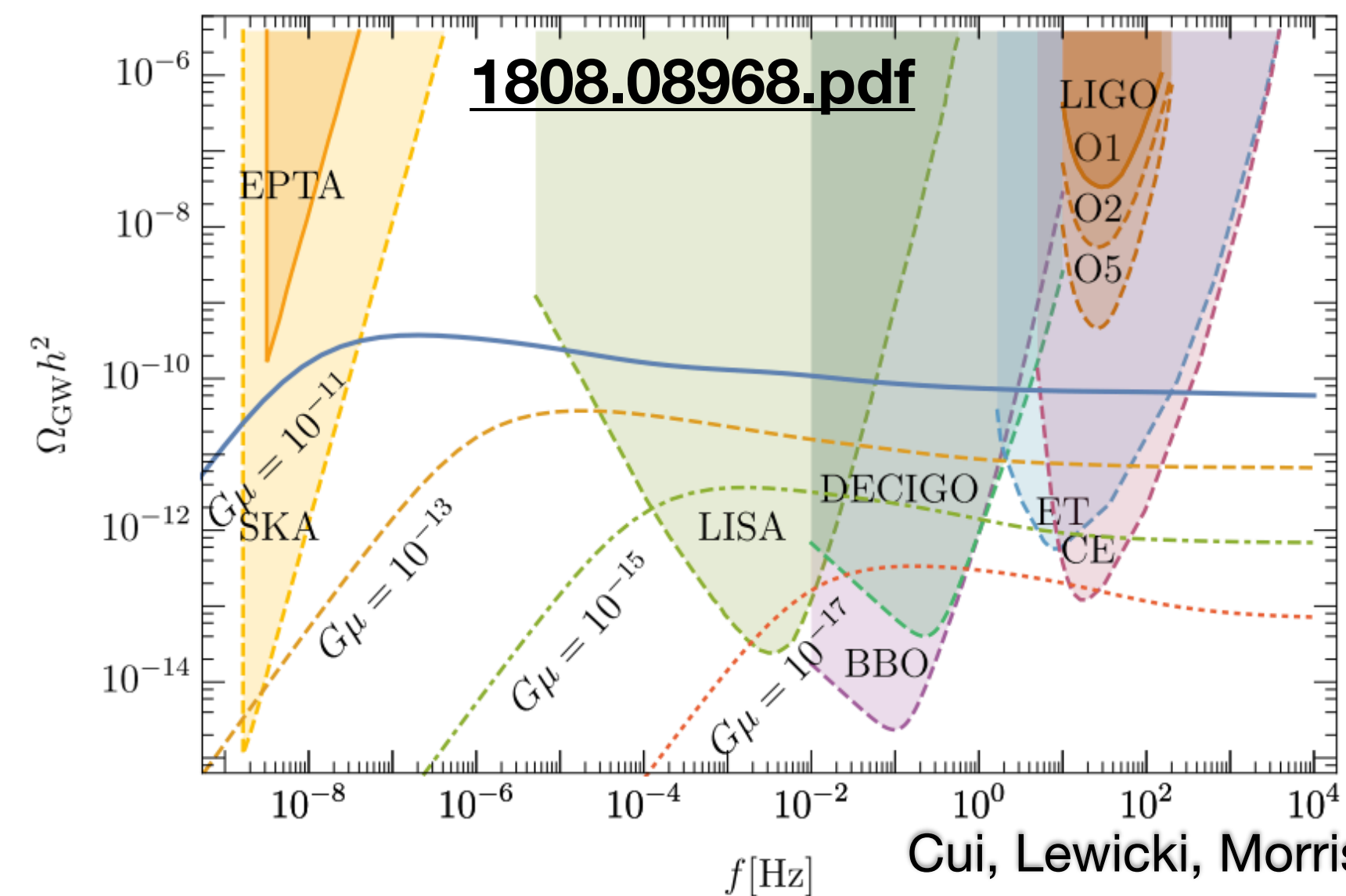


Strings intersect to form loops and cusps. Loop loss energy / decay via gravitational radiation



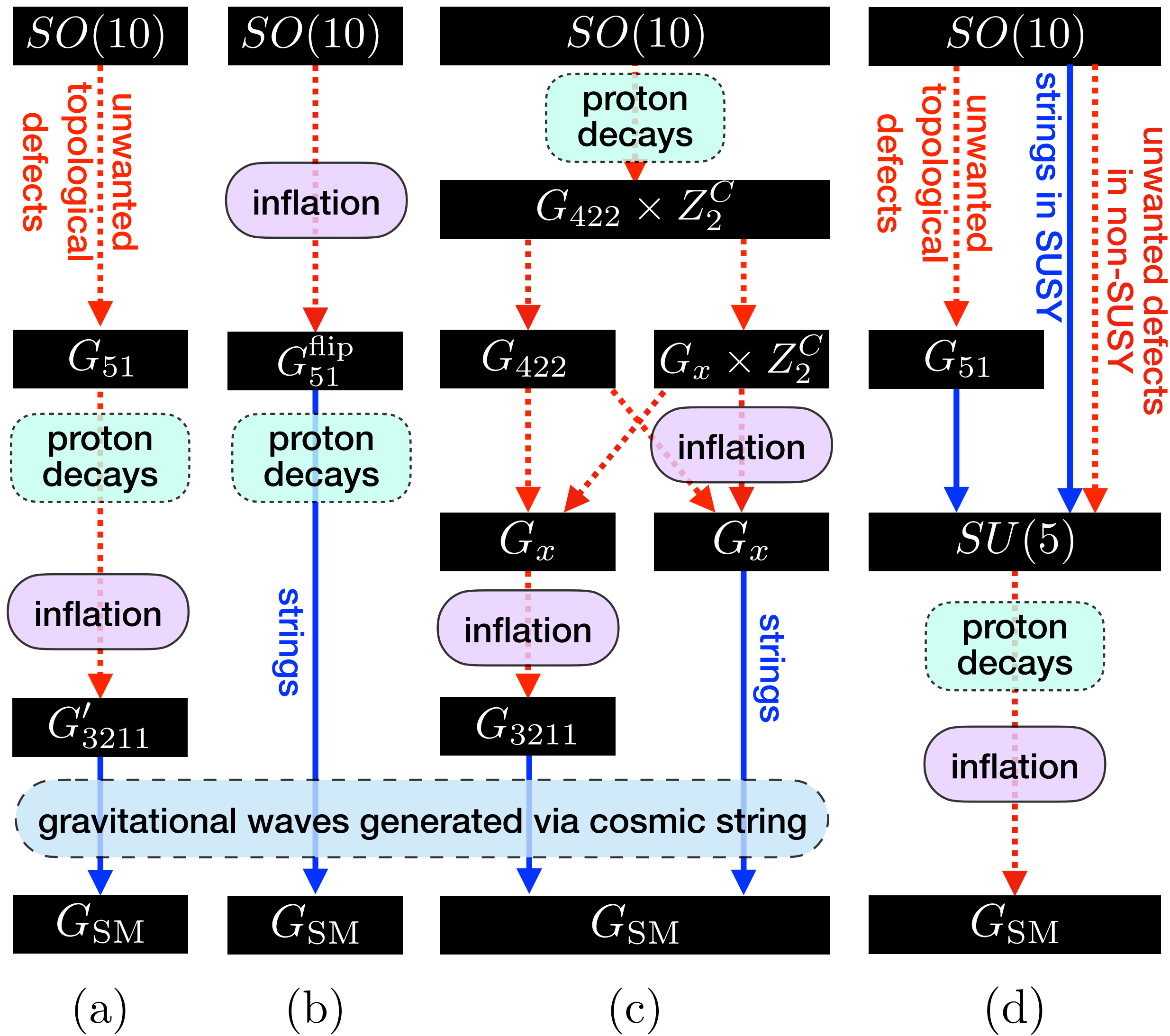
[0511159.pdf](#)

Number of simulations based on velocity-dependent one scale model Bennett, Blanco-Pillado, Bouchet, Martins, Olum, Ringeval, Sakellariadou, Shlaer, Shellard, Vanchurin, Vilenkin .....



Cui, Lewicki, Morrissey and Wells

# topological defects in SO(10)



monopoles and domains walls are unwanted topological defects

$$G_x = G_{3221} \text{ OR } G_{421}$$

To remove unwanted defects we introduce a period of inflation

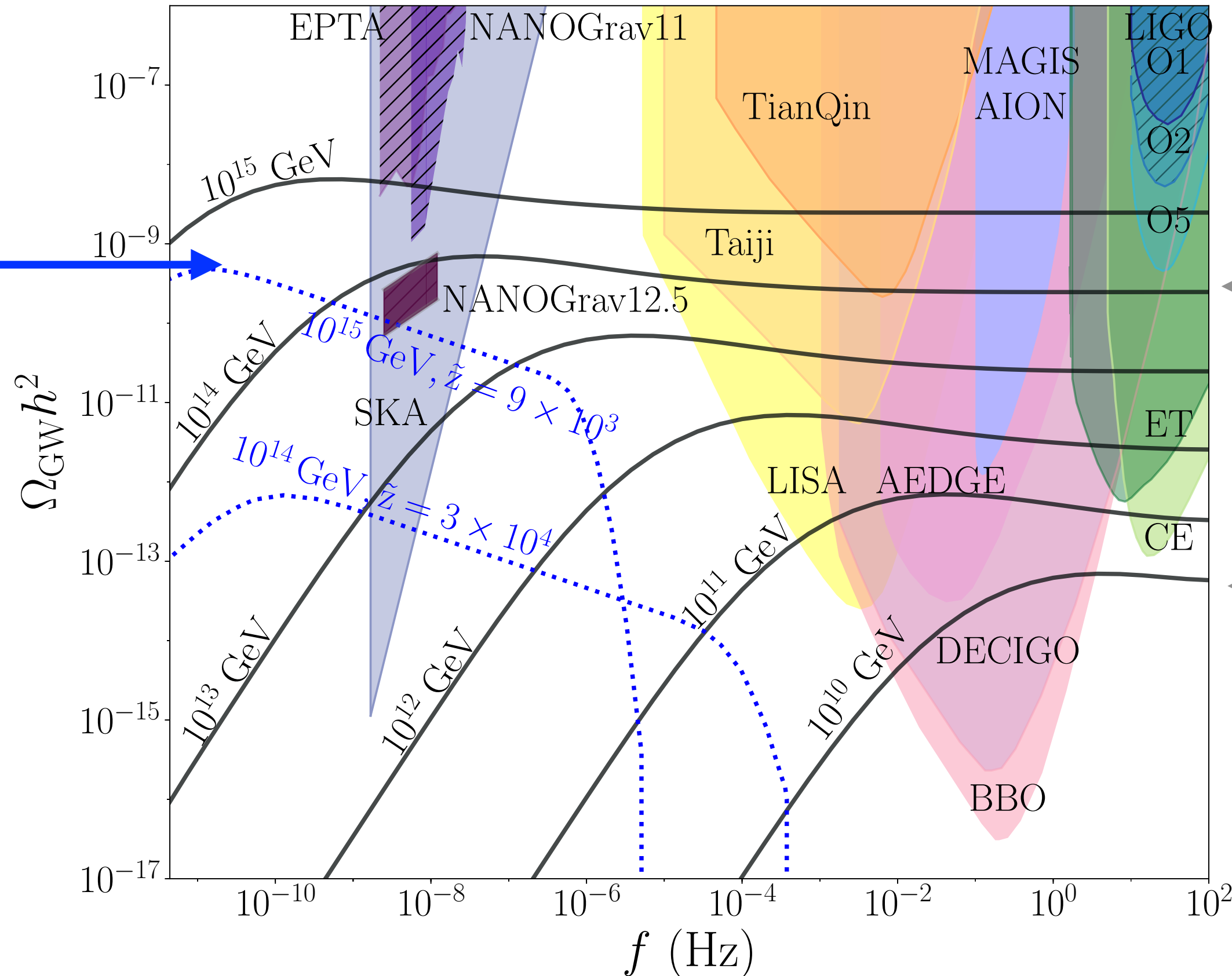
Complete study of topological defects in GUTs [0308134](#)

# Inflationary period

- Inflation occurs **before** string formation → string network will produce its normal “scaling” solution → **GW signal has its usual flat form.**
- Inflation occurs **after** string formation → string network will be exponentially diluted and **no GW signal**
- Inflation occurs **during** string formation → diluted string network → **GW spectrum has broken power law behaviour** (Cui, Lewicki, Morrissey) [1912.08832](#)

Diluted by inflation

$$\Lambda_{\text{CS}} \equiv \sqrt{\mu}$$



$$\Lambda_{\text{CS}} = 10^{14} \text{ GeV}$$

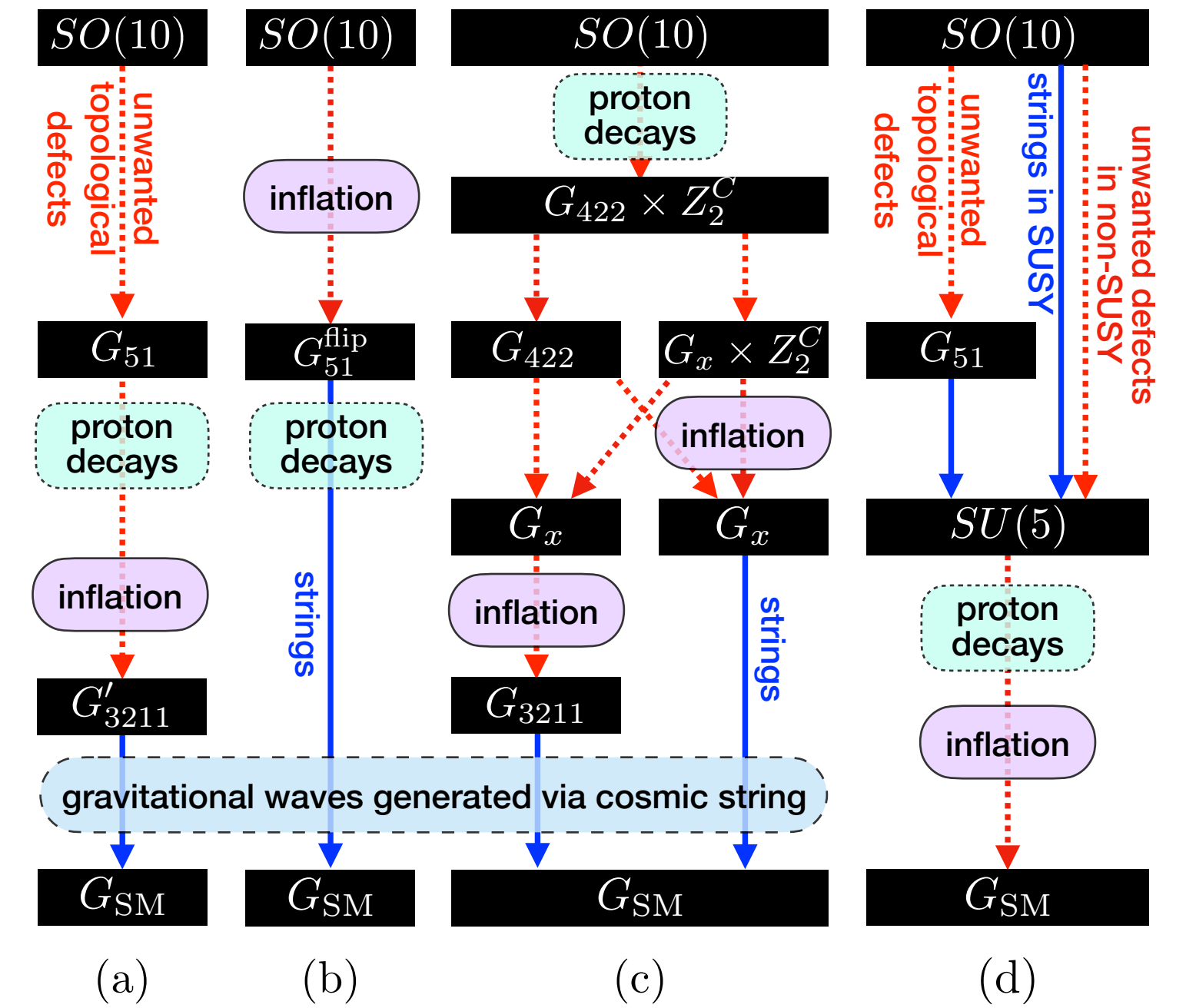
$$G\mu = 0.7 \times 10^{-10}$$

$$\Lambda_{\text{CS}} = 10^{10} \text{ GeV}$$

$$G\mu = 0.7 \times 10^{-18}$$

# Neutrino and GW data as a complementary window

- Type (a) via  $SU(5) \times U(1)$  predicts  $\Lambda_{pd} > \Lambda_{cs}$
- Type (b) via flipped  $SU(5) \times U(1)$  predicts  $\Lambda_{pd} \sim \Lambda_{cs}$
- Type (c) via flipped  $SU(4) \times SU(2)_L \times SU(2)_R$  predicts  $\Lambda_{pd} > \Lambda_{cs}$
- Type (d) via  $SU(5)$  no GWs predicted



$$\Lambda_{inf} \gtrsim 10^{16} \text{ GeV} \quad \text{Planck (1806.06211)}$$

$$\Lambda_{pd} \gtrsim 10^{15} \text{ GeV} \quad \text{SK (1610.03597)}$$

$$\Lambda_{cs} \gtrsim 10^{14} \text{ GeV} \quad \text{NANOGrav (2009.04496)}$$

Observables		Proton decays		
				$p \rightarrow \pi^0 e^+$ observed $\Rightarrow$ non-SUSY contribution indicated
GWs	Observed	<ul style="list-style-type: none"> <li>• types (a) and (c) favoured</li> <li>• types (b) and (d) excluded</li> </ul>		
	Marginal	<ul style="list-style-type: none"> <li>• types (a) and (c) favoured</li> <li>• type (d) excluded</li> <li>• type (b) allowed if <math>p \rightarrow K^+ \bar{\nu}</math> not observed and <math>\Lambda_{pd} \sim \Lambda_{cs}</math></li> </ul>		



# Summary

- Proton decay is a smoking gun of GUTs and the next generation of neutrino oscillation experiments will probe the ultrahigh GUT scale determination of the proton lifetime.
- Topological defects are prodigiously produced during GUT symmetry breaking. The undesirable kind are monopoles and domain walls which, if existent, must have been inflated away. As defects cosmic strings are “well behaved” and can generate GW.
- Presence/absence and nature of cosmic strings is determined by the inflationary scale.
- Study the interplay of these three scales allows us to determine the viability of various types of breaking chains, with recent result from NANOGrav there is a preference for type (a)
- We are entering an exciting era where new observations of GWs from the heavens and proton decay experiments from under the Earth can provide complementary windows to reveal the details of the unification of matter and forces at the highest energies.



**Thank you!**

Green and Liddle, 9903484  
 Zel'dovich et al, 1977  
 MacGibbon, 1987  
 Barrow et al, 1992  
 Carr et al, 1994

