



**CTP**

**Center for Theoretical Physics**  
SEOUL NATIONAL UNIVERSITY

# Fermi-ball dark matter from a first-order phase transition

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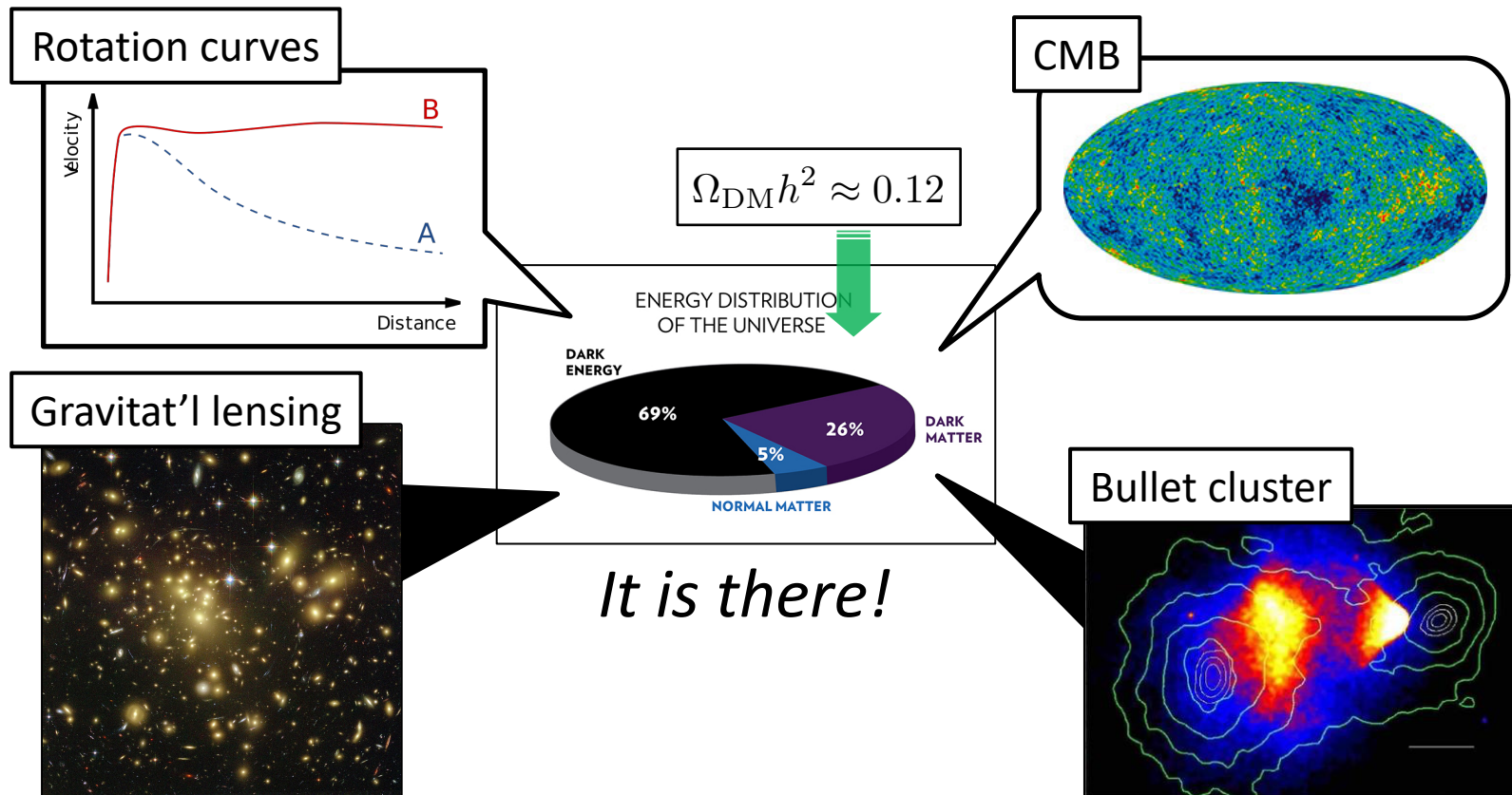
2021.2.3, APCTP dark matter workshop (online)

In collaboration with Jeong-Pyong Hong and Sunghoon Jung

Phys.Rev.D 102 (2020) 7, 075028 [arXiv: 2008.04430]

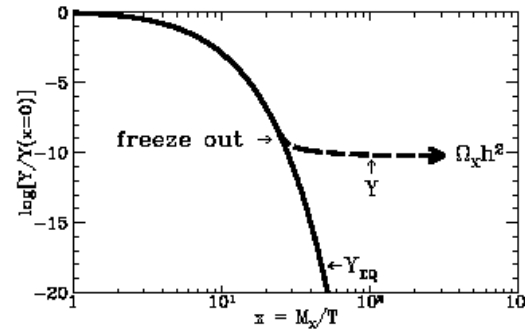
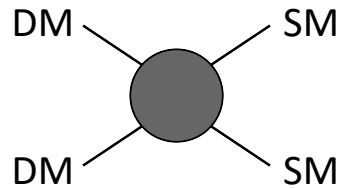
# Dark matter as a puzzle in particle physics

- Evidences of dark matter



- WIMPs and freeze-out

The “standard explanation” for DM [Lee & Weinberg, PRL1977]



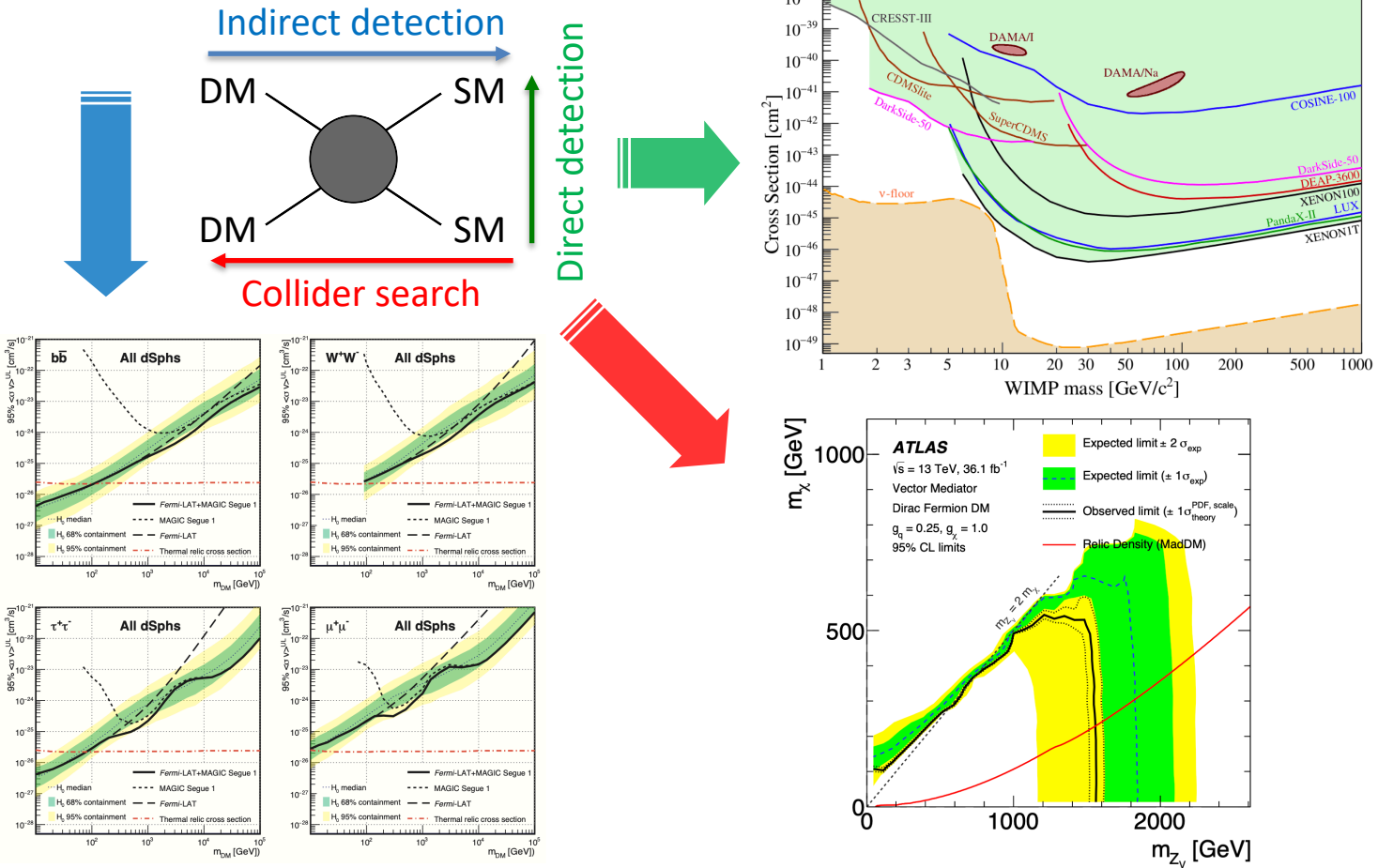
The relic density is estimated as

$$\Omega_{\text{DM}} h^2 \sim 0.1 \left( \frac{0.01}{\alpha_{\text{DM}}} \right)^2 \left( \frac{M_{\text{DM}}}{100 \text{ GeV}} \right)^2$$

Motivating the weak interacting massive particles (WIMPs)—  
 “WIMP miracle”!

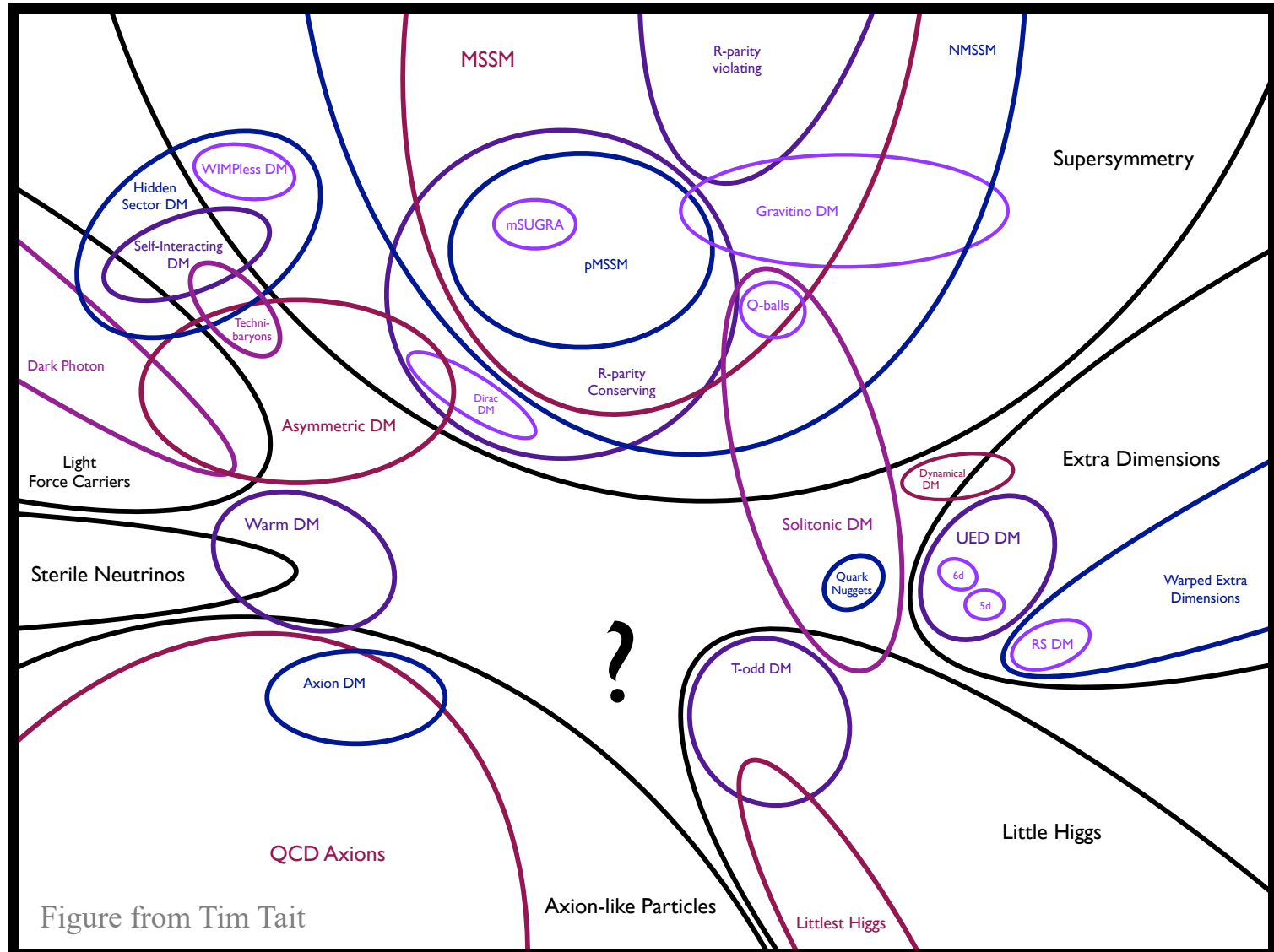
# WIMPs and freeze-out

We have been searching for WIMPs for several decades...



But only obtained null results!! We need *new mechanisms*?

- Beyond WIMPs and freeze-out



- Beyond WIMPs and freeze-out

## Dark Sector Candidates, Anomalies, and Search Techniques

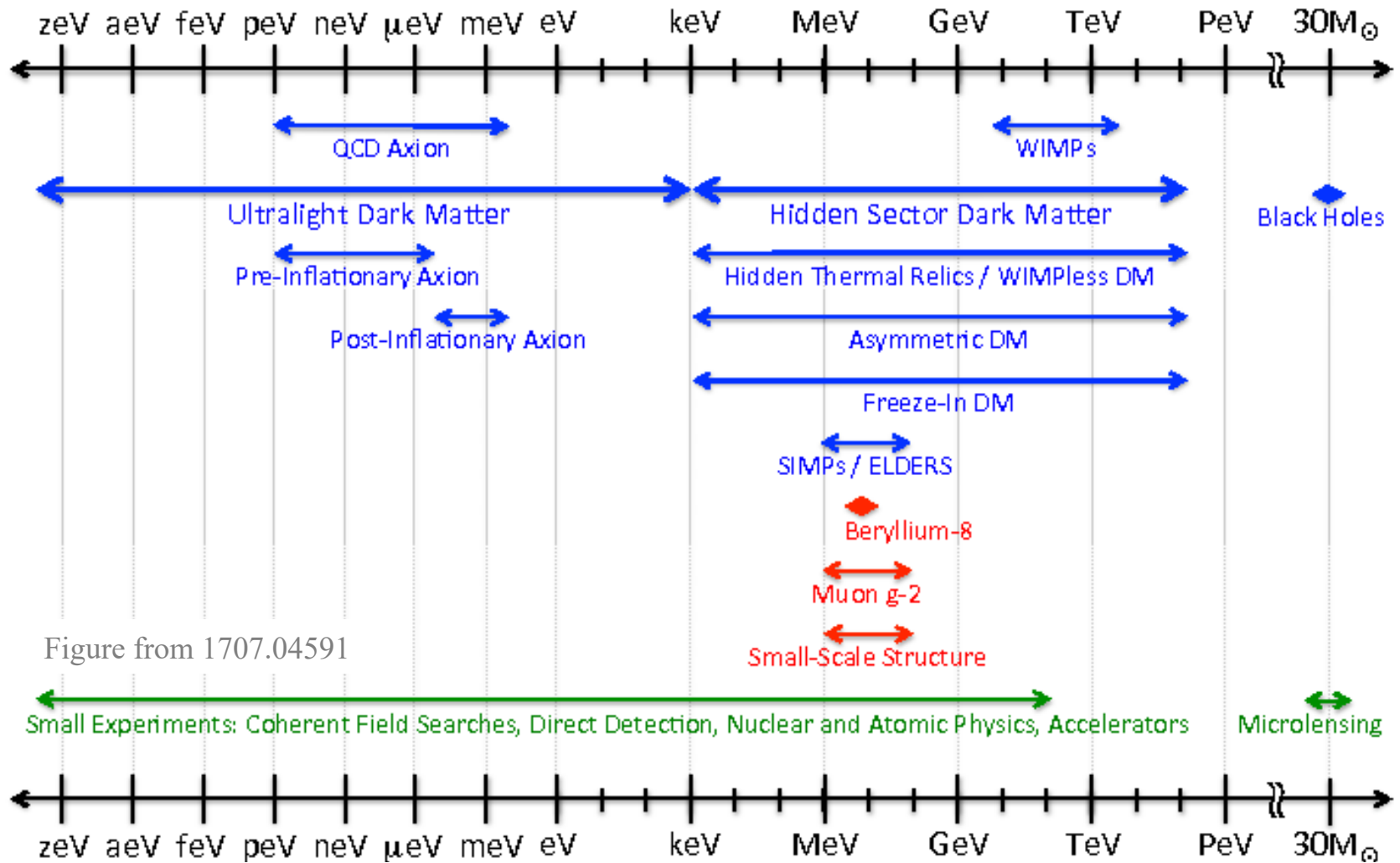
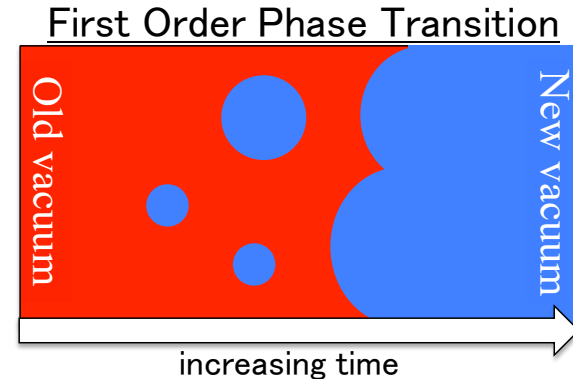
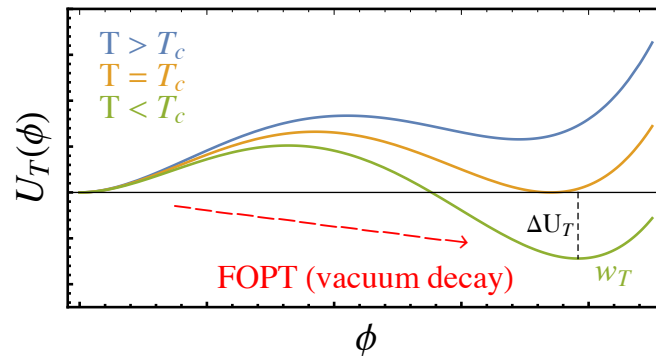


Figure from 1707.04591

# Interplay between dark matter and the FOPT

- What is a 1<sup>st</sup>-order phase transition (FOPT)?

A FOPT is the decay between two vacua separated by a barrier;



Vacuum expectation values of the scalar are different inside and outside the bubbles => Mass of particles are different!

This could provide a background for very rich DM production mechanisms!

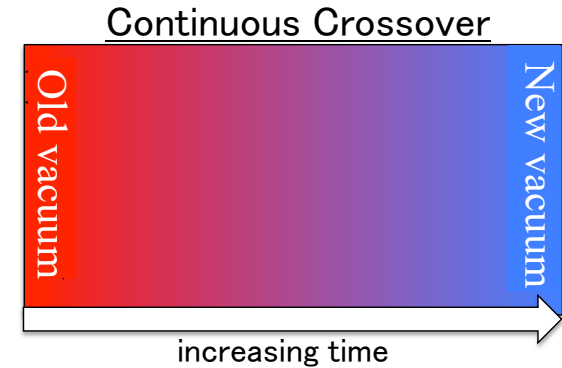
- How can we achieve a FOPT?

Unfortunately, there is no FOPT in the SM!

Two phase transitions in the SM:

1. Electroweak phase transition;
2. QCD confinement phase transition.

Both are smooth crossover.





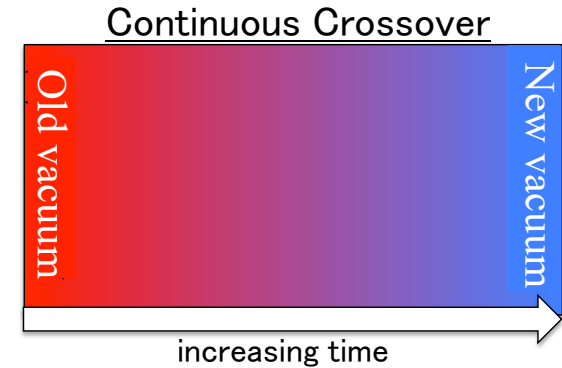
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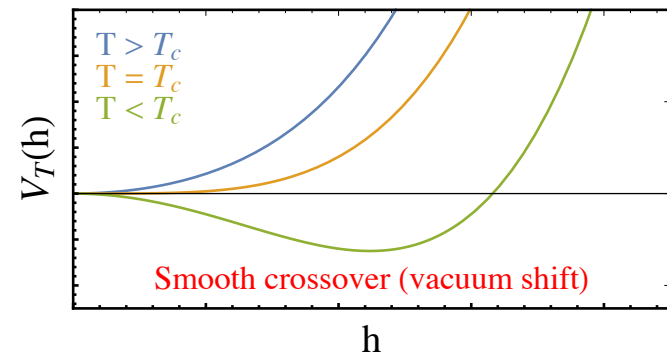
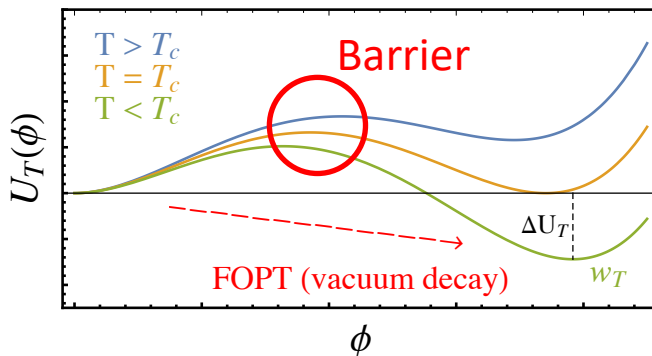
Two phase transitions in the SM:

- Electroweak phase transition;
- QCD confinement phase transition.

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To get a FOPT, we need that a barrier for the (finite temperature) scalar potential.

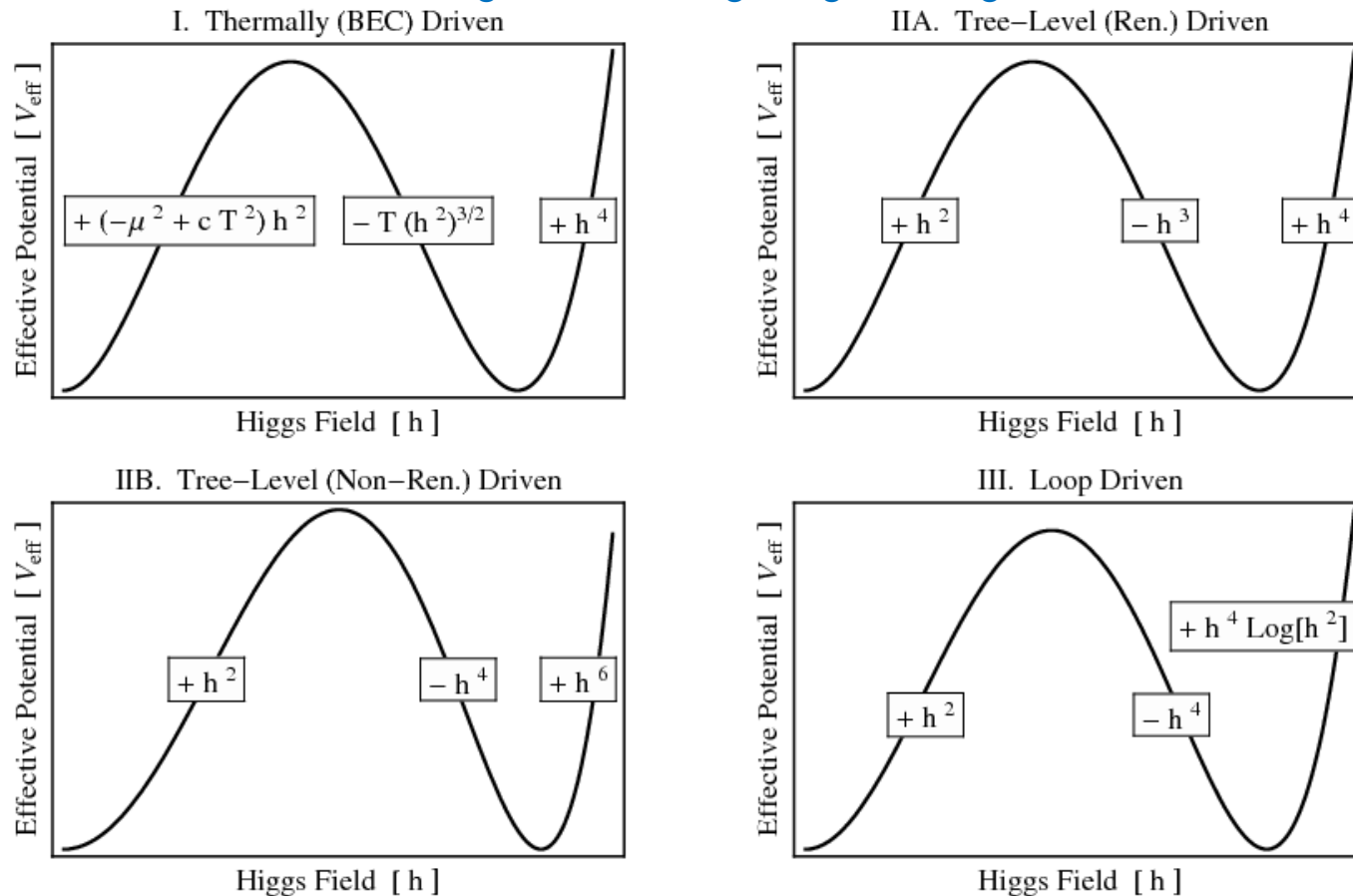


But the SM Higgs potential doesn't have such a barrier!

- How can we achieve a FOPT?

Adding a barrier (via new physics) to trigger a FOPT!

Figure from: Chung, Long and Wang, PRD, arXiv:1209.1819

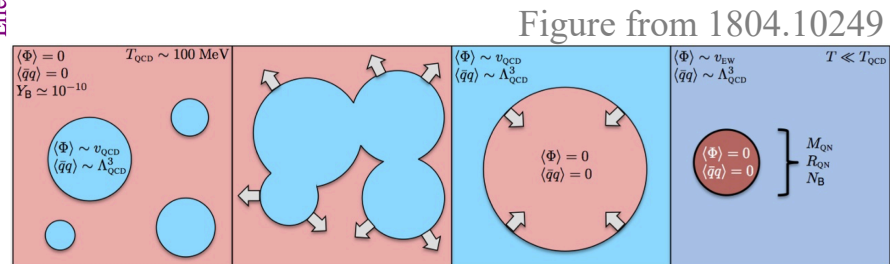
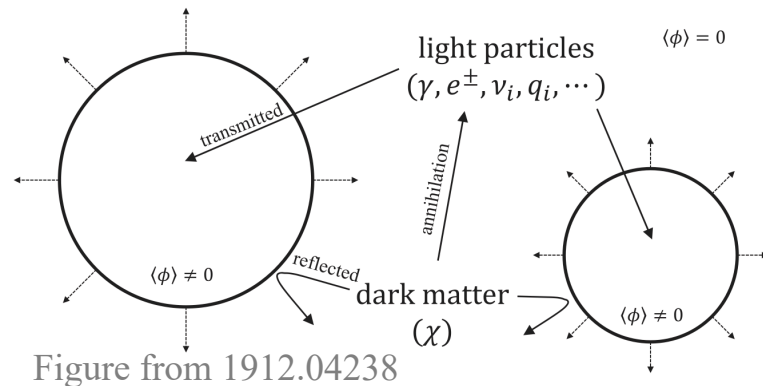
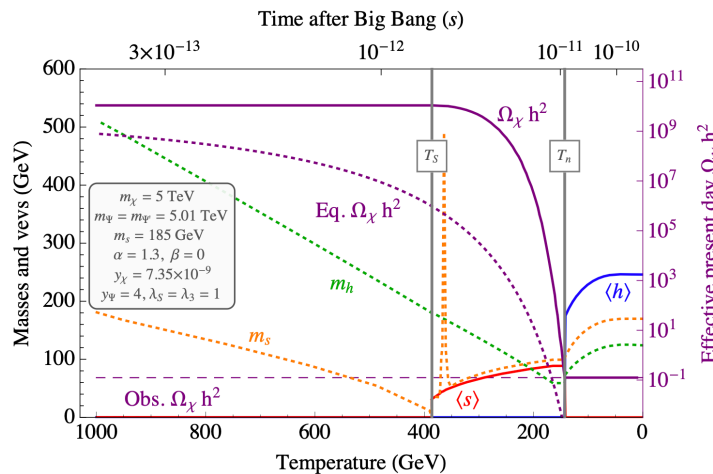


(Use Higgs as an illustration but also apply to new physics scalars.)

# What can a FOPT do for the DM production?

The mass of a particle is **discontinuous** when crossing the bubble wall. This could –

- ❑ Alter the decay of DM [Baker *et al*, PRL2017]
- ❑ Filter DM to the true vacuum [Baker *et al*, PRL2020; Chway *et al*, PRD2020]
- ❑ Confine quarks into DM nuggets [Witten, PRD1984; Bai *et al*, JHEP2018]
- ❑ .....



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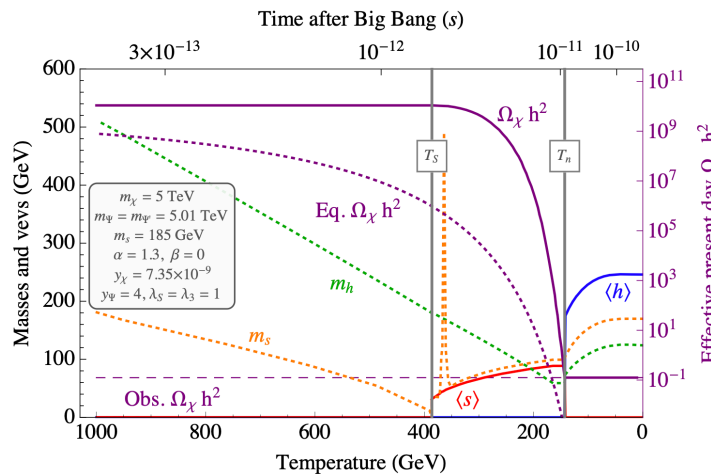


Figure from 1608.07578

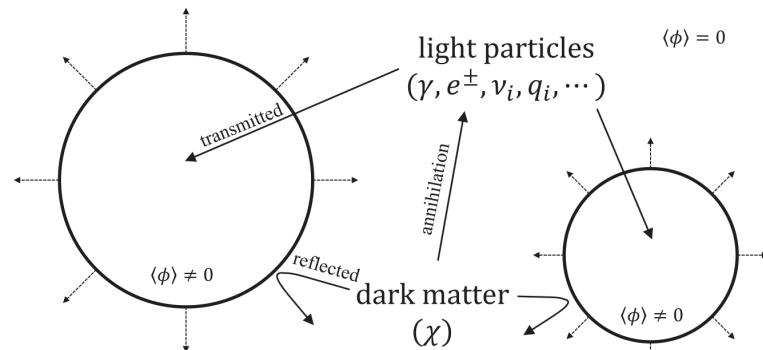
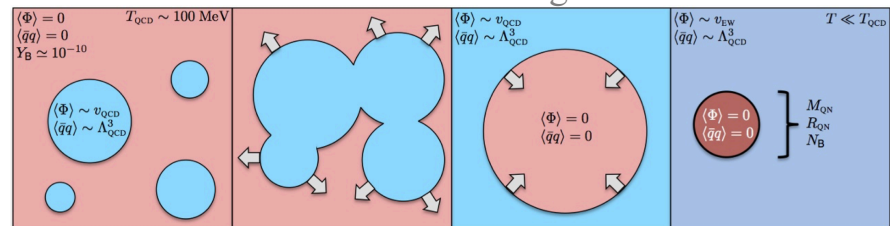


Figure from 1912.04238

Figure from 1804.10249



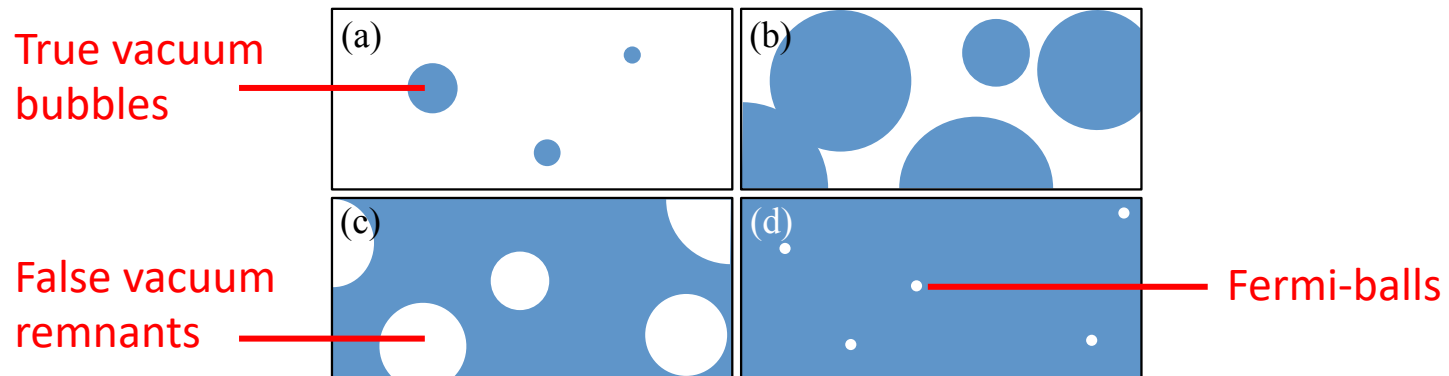
Here we propose a novel mechanism: Fermi-ball from a FOPT.



# The Fermi-ball DM from a FOPT

- **Summary**

During a FOPT, fermions are trapped into the false vacuum to form the **non-topological soliton** macroscopic DM candidate.



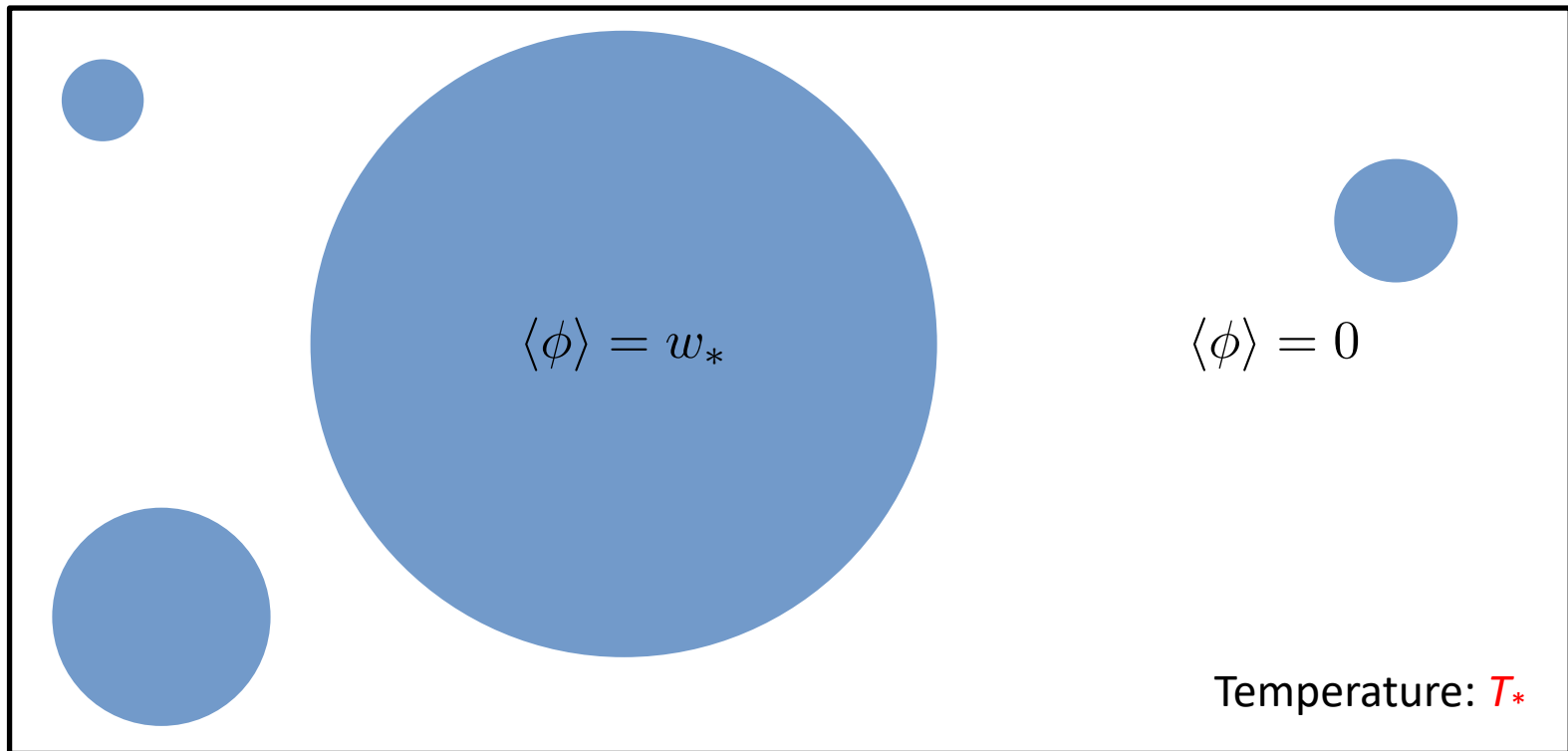
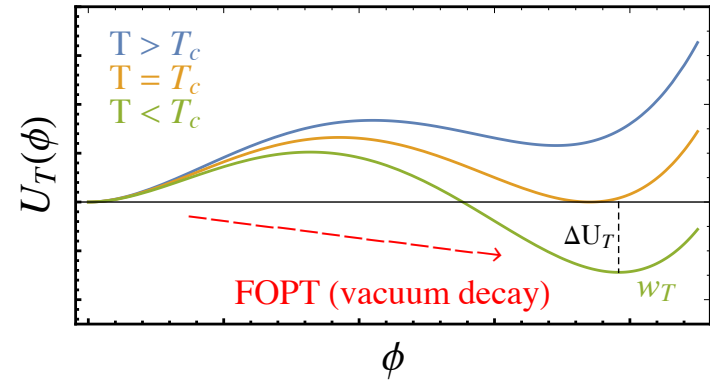
- ✓ We propose a general mechanism which requires three necessary conditions;
- ✓ Our mechanism applies to a wide varieties of new physics models.

- Sketch of the Fermi-ball DM

Condition 0:

A **FOPT** triggered by a scalar field  $\phi$ .

The standard FOPT description, satisfied in a lot of models.





- Sketch of the Fermi-ball DM

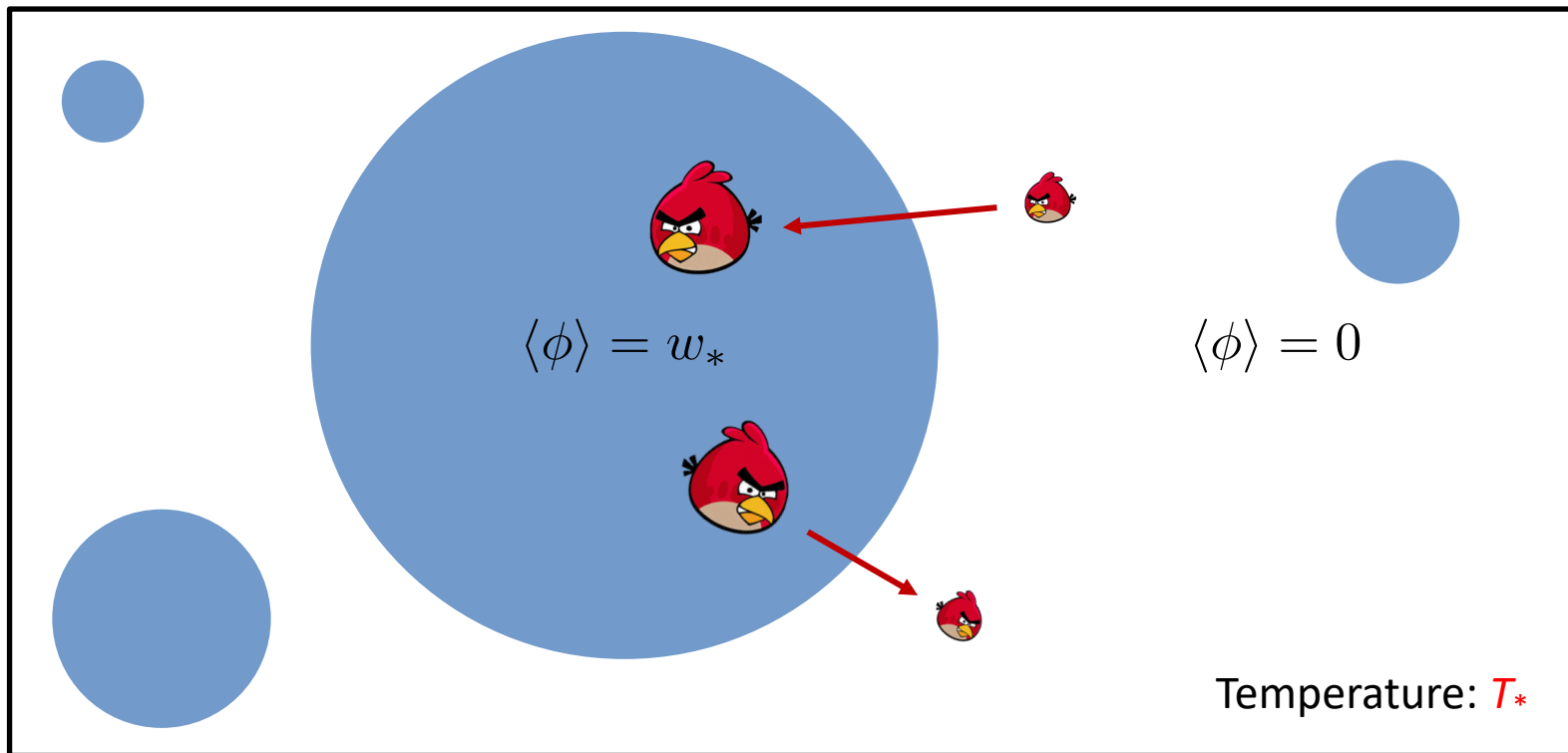
Condition 1.1:

A Dirac fermion field  $\chi$  interacting with  $\phi$ .

$\chi$  is massless outside the bubble, while massive inside the bubble.

$$\mathcal{L} \supset -g_\chi \bar{\chi} \chi \phi$$

Inside	outside
	
$M_\chi = g_\chi w_*$	$M_\chi = 0$



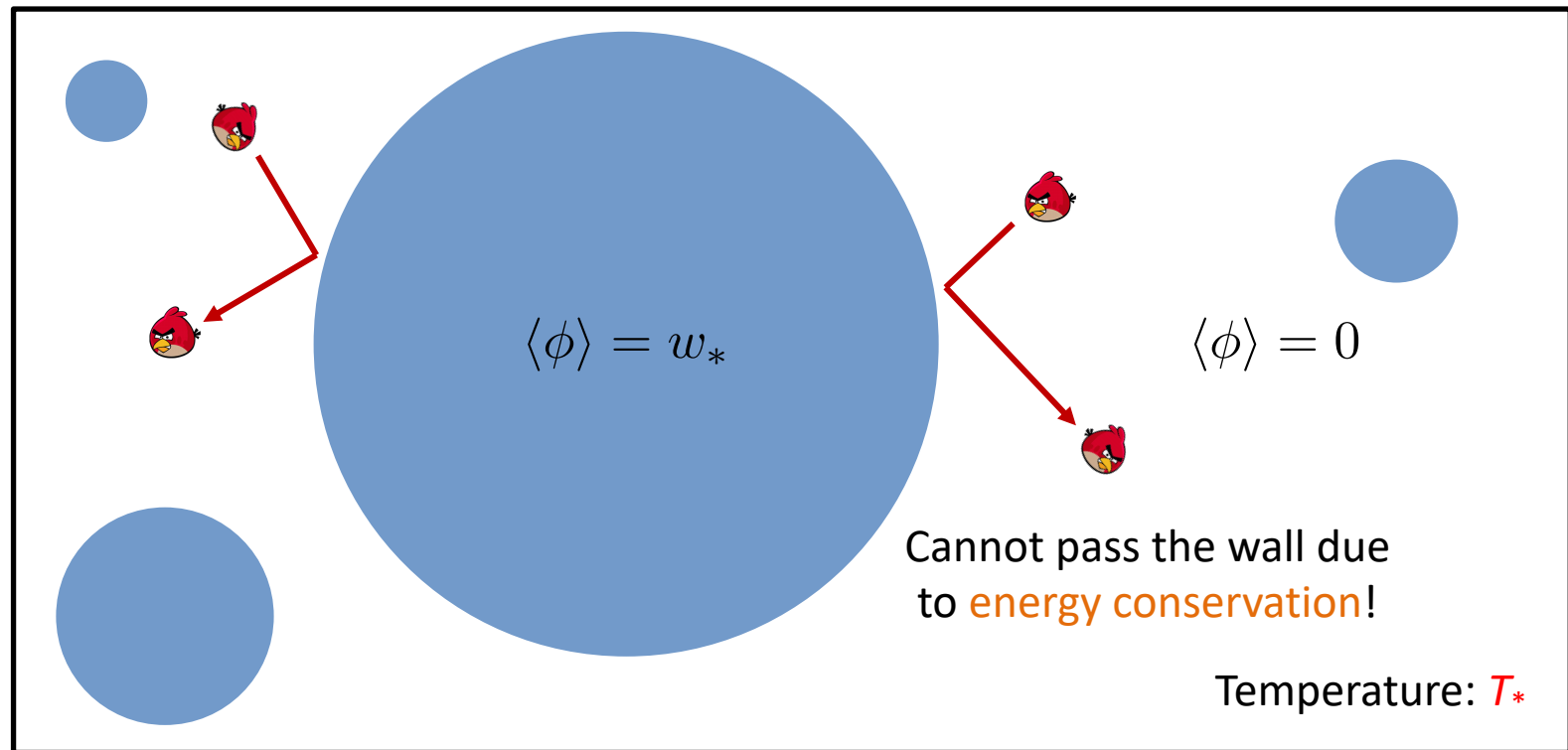
# • Sketch of the Fermi-ball DM

Condition 1.2:

**Mass gap** much larger than **kinetic energy**:  $M_\chi = g_\chi w_* \gg T_*$ .

i) Large coupling  $g_\chi \gg 1$ ; [Carena *et al*, NPB2005; Angelescu *et al*, PRD2019; ...]

ii) Supercooling  $w_* \gg T_*$ . [Creminelli *et al*, JHEP2002; Ellis *et al*, JCAP2019; ...]





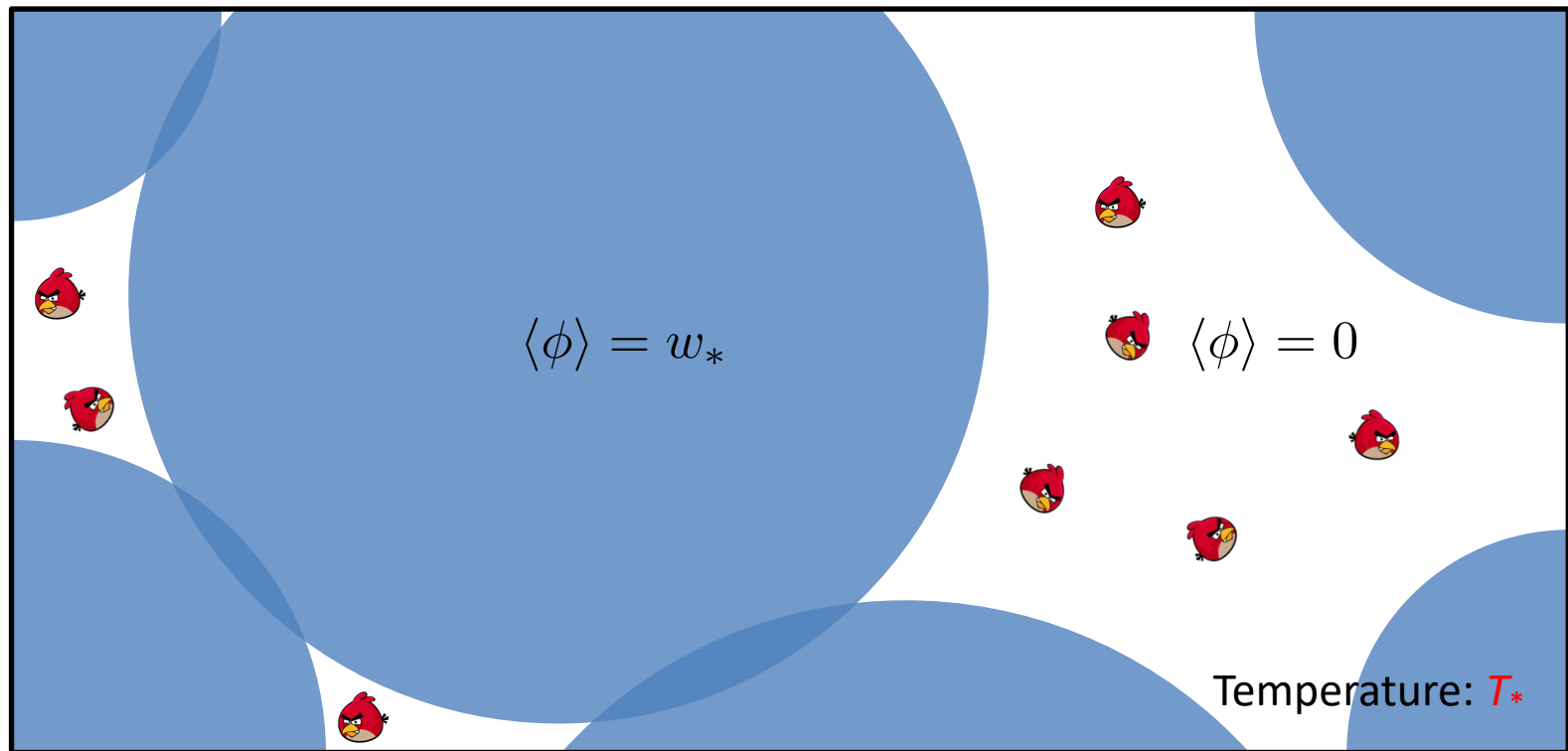
- Sketch of the Fermi-ball DM

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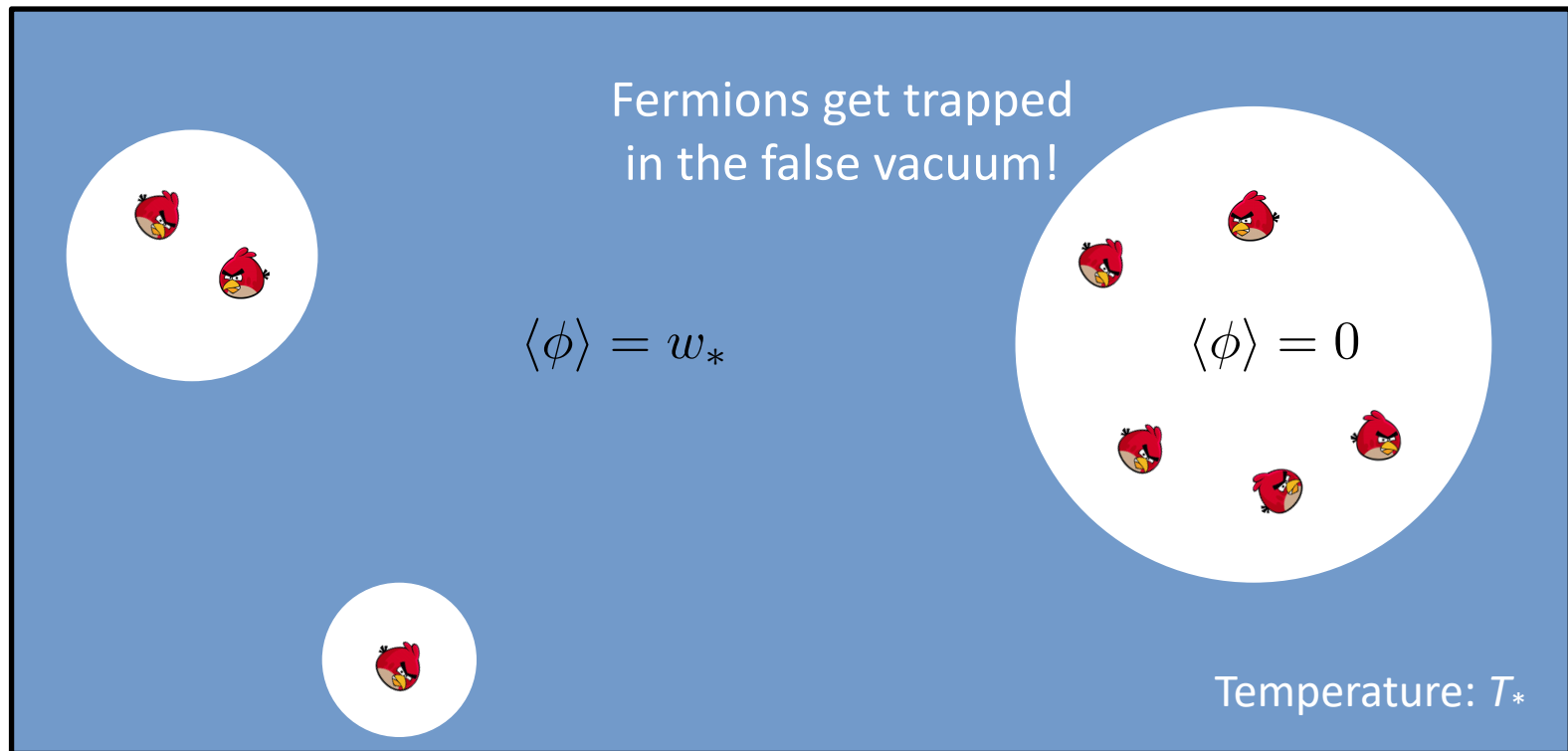


# • Sketch of the Fermi-ball DM

Condition 1.2:

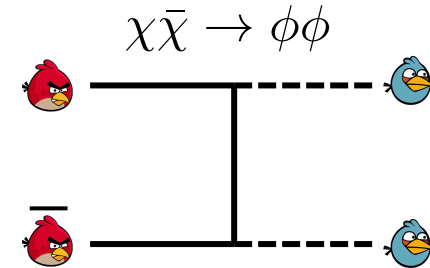
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- Sketch of the Fermi-ball DM

$$\mathcal{L} \supset -g_\chi \bar{\chi} \chi \phi$$



$\chi$  and anti- $\chi$  can annihilate to a pair of  $\phi$

$\langle \phi \rangle = w_*$

$\langle \phi \rangle = 0$

Temperature:  $T_*$

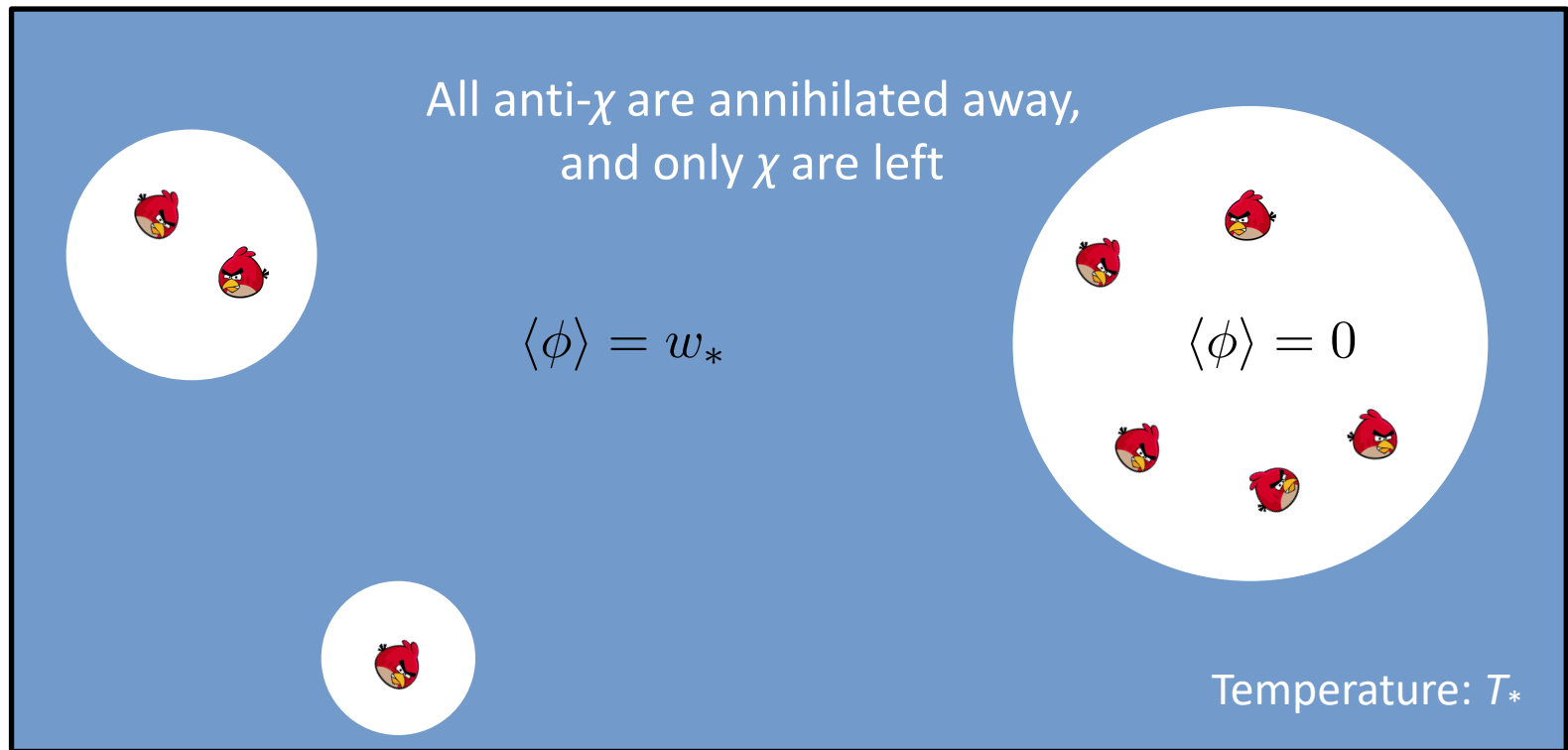
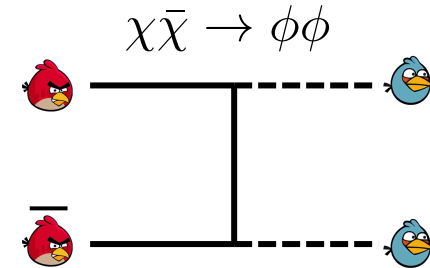
The diagram shows a blue rectangular region representing a Fermi-ball DM system. It contains three white circular regions. The top-left circle contains two red Angry Birds. The bottom-left circle contains one red Angry Bird. The right circle is larger and contains five red Angry Birds. The text and equations are centered within the blue region.

- Sketch of the Fermi-ball DM

Condition 2:

There is a  $\chi$ -asymmetry:  $n(\chi) > n(\bar{\chi})$ ,

Generally achieved in asymmetric DM models [Kaplan *et al*, PRD2009; Petraki *et al*, IJMPA2013; ...]



- Sketch of the Fermi-ball DM

Condition 3:


$\chi$  carries a conserved U(1) charge  $Q$ , so that the Fermi-balls are stable.


$$U(1)_Q$$


$$\text{Red Bird} \rightarrow e^{i\alpha} \text{Red Bird}$$

Satisfied in  $\mathcal{L} \supset -g_\chi \bar{\chi} \chi \phi$ , which is general.

Fermi-balls are formed!  
Kind of non-topological soliton DM candidate

$Q_{\text{FB}} \times \text{Red Bird}$   


$Q_{\text{FB}} \times \text{Red Bird}$   


$Q_{\text{FB}} \times \text{Red Bird}$   


Survive until today

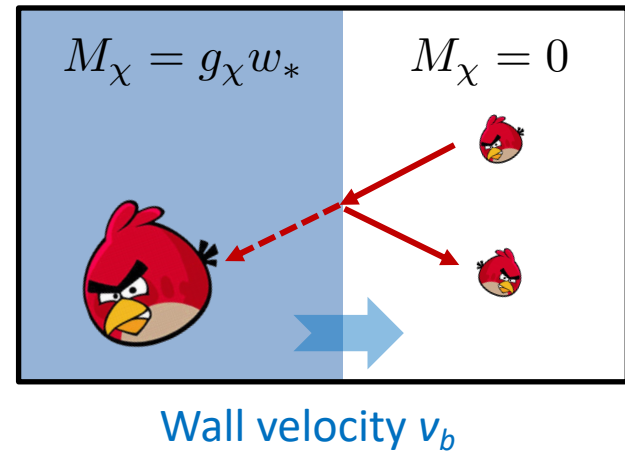
- Quantitative calculation: condition 1 -- trapping

1) In wall frame:  $\chi$  in equilibrium

$$\tilde{f}_\chi^{\text{f.v.}}(\mathbf{p}) = \frac{1}{e^{(\gamma_b |\mathbf{p}| + \gamma_b v_b p_z - \mu_\chi)/T_*} + 1}$$

2) Particle current

$$\tilde{J}_\chi = 2 \int \frac{d^3 \mathbf{p}}{(2\pi)^3} \frac{-p_z}{|\mathbf{p}|} \tilde{f}_\chi^{\text{f.v.}}(\mathbf{p}) \Theta(-p_z - M_\chi^*)$$



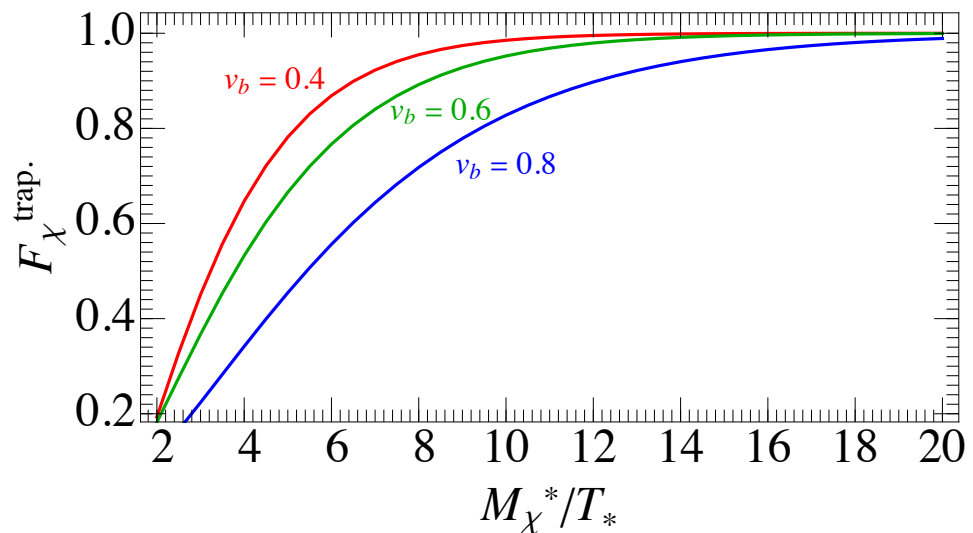
3) Back to plasma frame: trapping fraction [Chway *et al*, PRD2020]

$$J_\chi = \tilde{J}_\chi (1 - v_b^2)^{1/2},$$

$$n_\chi^{\text{pene.}} = J_\chi / v_b,$$

$$F_\chi^{\text{trap.}} = 1 - \frac{n_\chi^{\text{pene.}}}{n_\chi^{\text{f.v.}}}$$

Fraction of  $\chi$  trapped in the false vacuum



- Quantitative calculation: condition 2 --  $\chi$ -asymmetry

A leptogenesis [Luty, PRD1992] -like mechanism

$$n(\text{Angry Bird}) > n(\bar{\text{Angry Bird}})$$

Right-handed neutrino

$$\mathcal{L} \supset \bar{\nu}_R^i i \gamma^\mu \partial_\mu \nu_R^i - \sum_j \frac{1}{2} M_j (\bar{\nu}_R^c{}^j \nu_R^j + \text{h.c.})$$

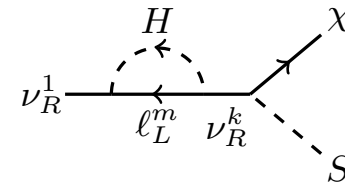
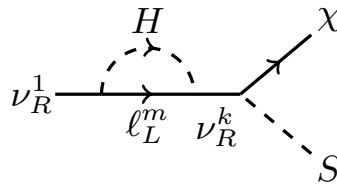
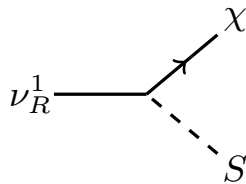
$$- \sum_{i,j} \lambda_\nu^{ij} \bar{\ell}_L^i H \nu_R^j - \sum_j \lambda_\chi^j \bar{\chi}_L S \nu_R^j + \text{h.c.}$$

Seesaw Yukawa (with CPV phase)

Our plugin.  $S$ : a singlet scalar

$\nu_R$  decay generates the asymmetry

$$\Gamma(\nu_R^1 \rightarrow \chi S) > \Gamma(\nu_R^1 \rightarrow \bar{\chi} S)$$



Result:

$$\eta_\chi \equiv \frac{n_\chi - n_{\bar{\chi}}}{s} \approx \frac{1}{6} \left( 1 - \frac{M_S^2}{M_1^2} \right)^2 \quad \eta_B \equiv c_\chi \eta_B$$

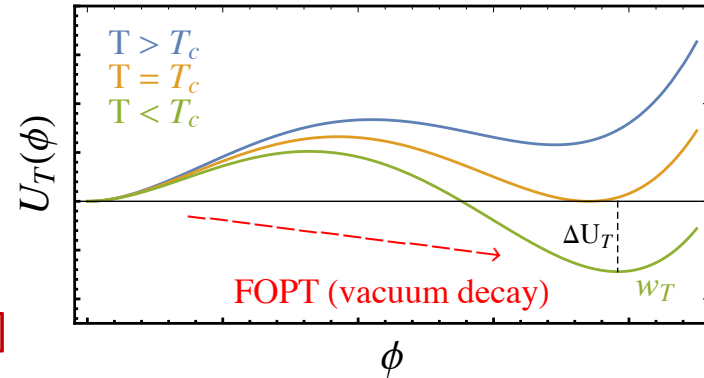
$$\eta_B \equiv \frac{n_B - n_{\bar{B}}}{s} \approx 10^{-10}$$

- Quantitative calculation: Fermi-ball formation

Decay of the false vacuum  
[FOPT]

$$\Gamma(T) \sim T^4 \exp \{ -S_3(T)/T \}$$

Classical action [model-dependent]



$p(T)$ : the fraction of false vacuum in the Universe [Guth *et al* PRD1981]

$$p(T) = e^{-I(T)}, \quad I(T) = \frac{4\pi}{3} \int_T^{T_c} dT' \frac{\Gamma(T')}{T'^4 H(T')} \left[ \int_T^{T'} d\tilde{T} \frac{v_b}{H(\tilde{T})} \right]^3$$

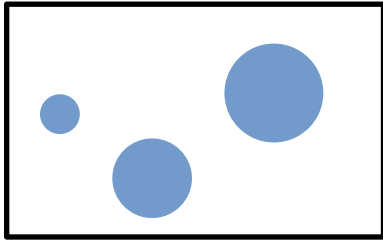
$p(T)$  decreases monotonically from 1 to 0 as the FOPT proceeds.

There are several important milestones during a FOPT.



- Quantitative calculation: Fermi-ball formation

The processing of a FOPT



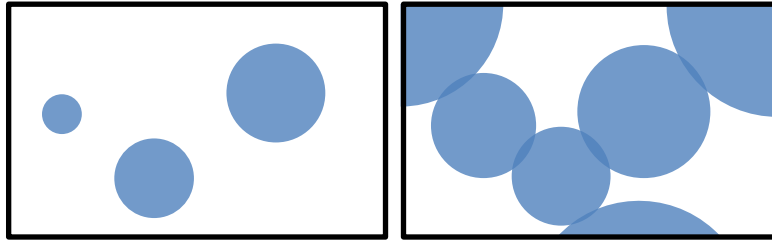
1. Nucleation

$p(T)$ : the fraction of false vacuum in the Universe [Guth *et al* PRD1981]

1) True vacuum bubbles start to nucleate:  $p(T_n) < 1$ ;

- Quantitative calculation: Fermi-ball formation

The processing of a FOPT



1. Nucleation

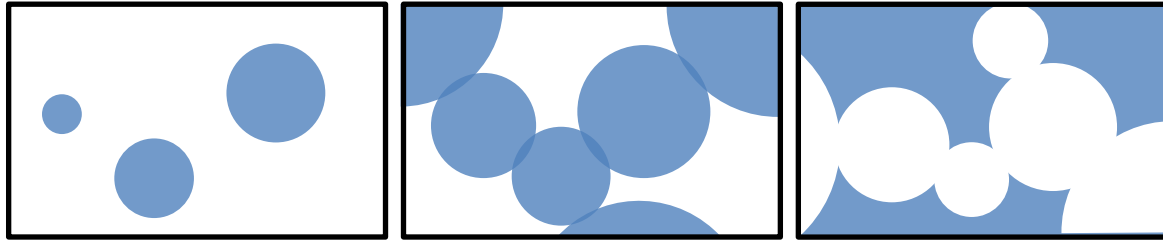
2. Percolation

$p(T)$ : the fraction of false vacuum in the Universe [Guth *et al* PRD1981]

- 1) True vacuum bubbles start to nucleate:  $p(T_n) < 1$ ;
- 2) Bubbles form an infinite connected cluster:  $p(T_p) = 0.71$ ;

- Quantitative calculation: Fermi-ball formation

The processing of a FOPT



1. Nucleation

2. Percolation

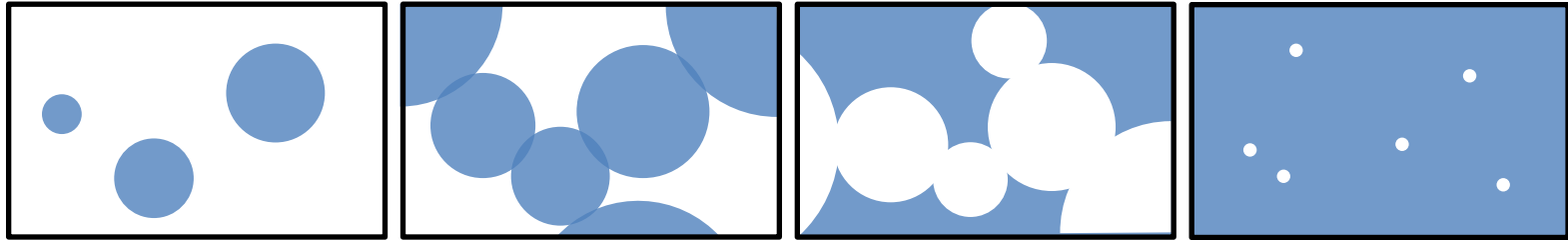
3. Fermi-ball formation

$p(T)$ : the fraction of false vacuum in the Universe [Guth *et al* PRD1981]

- 1) True vacuum bubbles start to nucleate:  $p(T_n) < 1$ ;
- 2) Bubbles form an infinite connected cluster:  $p(T_p) = 0.71$ ;
- 3) False vacuum remnants are not able to form an infinite connected cluster, and Fermi-balls are formed:  $p(T_*) = 0.29$ ;

- Quantitative calculation: Fermi-ball formation

The processing of a FOPT



1. Nucleation

2. Percolation

3. Fermi-ball formation

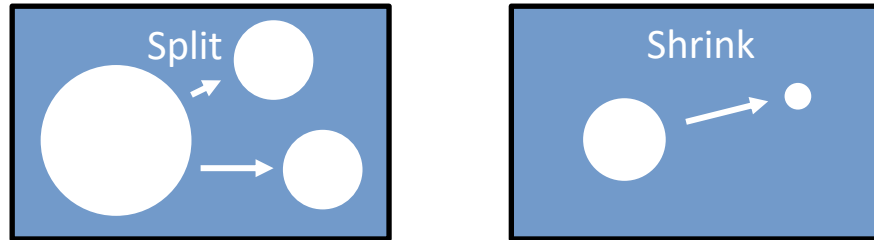
4. Today

$p(T)$ : the fraction of false vacuum in the Universe [Guth *et al* PRD1981]

- 1) True vacuum bubbles start to nucleate:  $p(T_n) < 1$ ;
- 2) Bubbles form an infinite connected cluster:  $p(T_p) = 0.71$ ;
- 3) False vacuum remnants are not able to form an infinite connected cluster, and Fermi-balls are formed:  $p(T_*) = 0.29$ ;
- 4) Fermi-balls survive today:  $p(T_0) \approx 0$ .

- Quantitative calculation: Fermi-ball formation

At the 3) step, the false vacuum remnants first split then shrink:

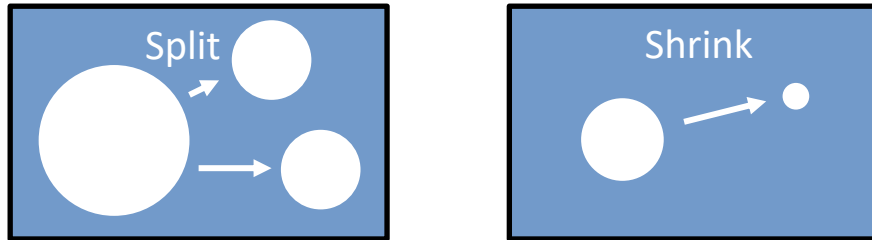


The **critical size**  $R_*$  of a remnant at the end of splitting and the beginning of shrinking:

It shrinks to negligible size before another bubble containing the true vacuum is created inside it.

- Quantitative calculation: Fermi-ball formation

At the 3) step, the false vacuum remnants first split then shrink:



The **critical size**  $R_*$  of a remnant at the end of splitting and the beginning of shrinking:

It shrinks to negligible size before another bubble containing the true vacuum is created inside it.

Therefore

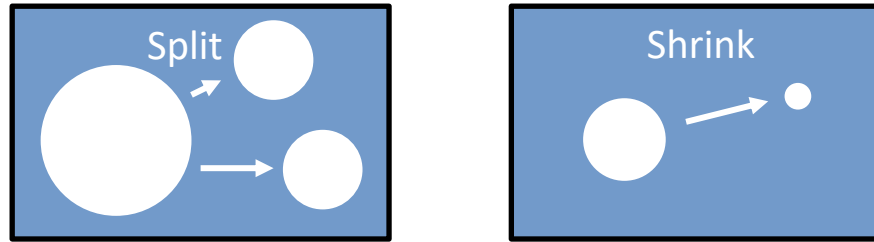
$$\Gamma(T_*)V_*\Delta t \sim 1, \quad V_* = \frac{4\pi}{3}R_*^3, \quad \Delta t = \frac{R_*}{v_b}$$

Hence the critical size

$$R_* = \left( \frac{3v_b}{4\pi\Gamma(T_*)} \right)^{1/4}, \quad V_* = \left( \frac{4\pi}{3} \right)^{1/4} \left( \frac{v_b}{\Gamma(T_*)} \right)^{3/4}$$

- Fermi-ball profiles right after formation

At the 3) step, the false vacuum remnants first split then shrink:



The **critical size**  $R_*$  of a remnant at the end of splitting and the beginning of shrinking

$$R_* = \left( \frac{3v_b}{4\pi\Gamma(T_*)} \right)^{1/4}, \quad V_* = \left( \frac{4\pi}{3} \right)^{1/4} \left( \frac{v_b}{\Gamma(T_*)} \right)^{3/4}$$

And at this point we have

$$n_{\text{FB}}^* V_* = p(T_*) = 0.29$$

Therefore

$$n_{\text{FB}}^* = \left( \frac{3}{4\pi} \right)^{1/4} \left( \frac{\Gamma(T_*)}{v_b} \right)^{3/4} p(T_*), \quad Q_{\text{FB}}^* = F_{\chi}^{\text{trap.}} \frac{c_{\chi} \eta_B S_*}{n_{\text{FB}}^*}$$

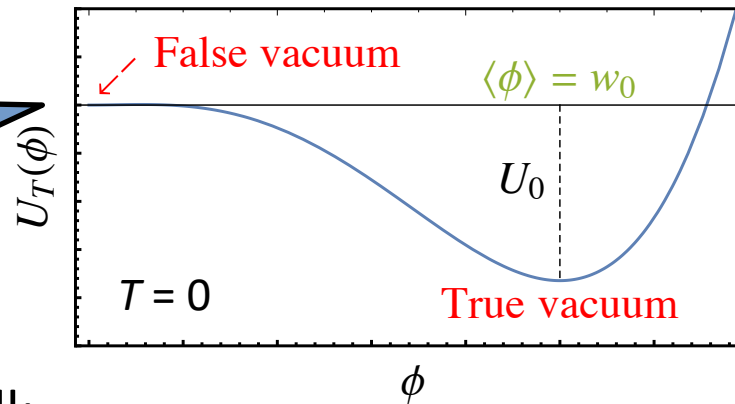
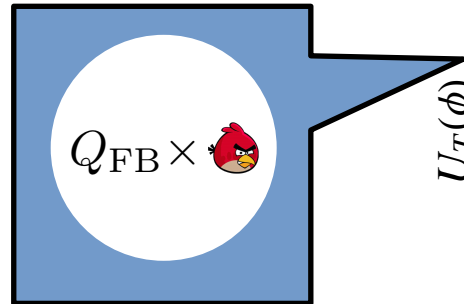
- Fermi-ball profiles today

Linking the profiles at  $T_*$  to today:

$$n_{\text{FB}} = \frac{n_{\text{FB}}^*}{s_*} s_0, \quad Q_{\text{FB}} = Q_{\text{FB}}^*$$

$s$ : entropy density of the universe

A single Fermi-ball



The energy of a Fermi-ball:

$$E = \frac{3\pi}{4} \left( \frac{3}{2\pi} \right)^{2/3} \frac{Q_{\text{FB}}^{4/3}}{R} + \overset{\text{Surface tension (negligible)}}{4\pi\sigma_0 R^2} + \frac{4\pi}{3} U_0 R^3$$

Fermi-gas kinetic energy
Volume energy

The radius is determined by  $dE/dR = 0$ .



- Fermi-ball profiles today

Minimizing  $E$  yields the profile

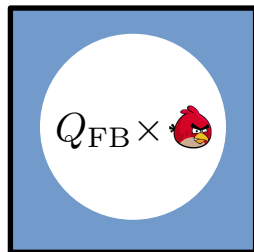
$$M_{\text{FB}} = Q_{\text{FB}} (12\pi^2 U_0)^{1/4}, \quad R_{\text{FB}} = Q_{\text{FB}}^{1/3} \left[ \frac{3}{16} \left( \frac{3}{2\pi} \right)^{2/3} \frac{1}{U_0} \right]^{1/4}$$

Density of a single Fermi-ball

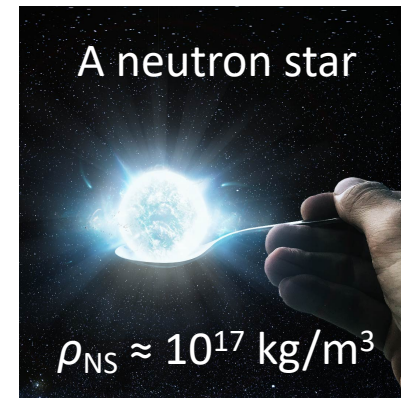
$$M_{\text{FB}}/V_{\text{FB}} = 9.15 \times 10^{28} \text{ kg/m}^3 \left( \frac{U_0^{1/4}}{100 \text{ GeV}} \right)^4$$

Very compact!

A single Fermi-ball



is more compact than



But not as compact as a  $Q$ -ball [Krylov et al, PRD2013]:  $\rho_{\text{QB}} \approx 10^{36} \text{ kg/m}^3$ , due to the **Pauli exclusion principle**.

- Fermi-ball as DM candidate

Relic density of the Fermi-balls [ $c_\chi$  is the  $\chi$ -asymmetry factor]

$$\Omega_{\text{FB}} h^2 = \frac{n_{\text{FB}} M_{\text{FB}}}{\rho_c} h^2 = 0.12 \times \left( \frac{c_\chi U_0^{1/4}}{1.146 \text{ GeV}} \right)$$

To achieve the **DM density**:

- $c_\chi \approx 1, U_0^{1/4} \approx 1 \text{ GeV}$ ?
- $c_\chi \approx 0.01, U_0^{1/4} \approx 100 \text{ GeV}$ ?

The former case is stringently constrained; we consider **the latter**.

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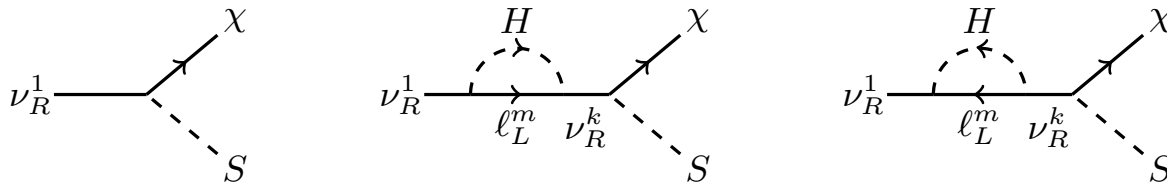
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The former case is stringently constrained; we consider **the latter**.

Reminder:  $\Gamma(\nu_R^1 \rightarrow \chi S) > \Gamma(\nu_R^1 \rightarrow \bar{\chi} S)$



A small  $c_\chi$  is not that weird!  
e.g.  $M_S = 4M_1/5, c_\chi = 0.02$ .

$$\eta_\chi \equiv \frac{n_\chi - n_{\bar{\chi}}}{s} \approx \frac{1}{6} \left( 1 - \frac{M_S^2}{M_1^2} \right)^2 \quad \eta_B \equiv c_\chi \eta_B$$

- Fermi-ball as DM candidate

Reminder: vacuum decay rate  $T_*^4 e^{-S_3(T_*)/T_*}$

For a EW scale phase transition & a radiation-dominated universe

$$\frac{S_3(T_*)}{T_*} \sim 140$$

Hence we can normalize the profiles to

$$M_{\text{FB}} \approx \underline{4.84 \times 10^{11} \text{ kg}} \times \left(\frac{c_\chi}{0.0146}\right) \left(\frac{U_0^{1/4}}{100 \text{ GeV}}\right) \left(\frac{v_b}{0.6}\right)^{3/4} \exp\left\{\frac{3}{4} \left(\frac{S_3(T_*)}{T_*} - 140\right)\right\},$$

$$R_{\text{FB}} \approx \underline{1.08 \times 10^{-6} \text{ m}} \times \left(\frac{c_\chi}{0.0146}\right)^{1/3} \left(\frac{100 \text{ GeV}}{U_0^{1/4}}\right) \left(\frac{v_b}{0.6}\right)^{1/4} \exp\left\{\frac{1}{4} \left(\frac{S_3(T_*)}{T_*} - 140\right)\right\},$$

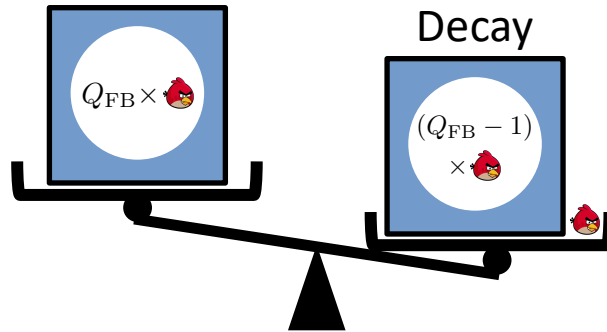
$$Q_{\text{FB}} \approx \underline{8.26 \times 10^{35}} \times \left(\frac{c_\chi}{0.0146}\right) \left(\frac{v_b}{0.6}\right)^{3/4} \exp\left\{\frac{3}{4} \left(\frac{S_3(T_*)}{T_*} - 140\right)\right\},$$

$$n_{\text{FB}} \approx \underline{4.60 \times 10^{-39} \text{ m}^{-3}} \times \left(\frac{0.6}{v_b}\right)^{3/4} \exp\left\{-\frac{3}{4} \left(\frac{S_3(T_*)}{T_*} - 140\right)\right\}.$$

But the pre-factors are only **very rough** estimations, because of the exponents behind them!

- Stability of the Fermi-ball

**Decay:** a Fermi-ball should not emit a  $\chi$  fermion



Effective mass per  $\chi$

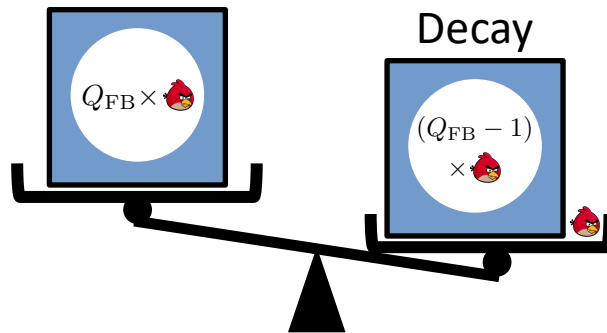
$$M_{\text{FB}} = Q_{\text{FB}} (12\pi^2 U_0)^{1/4}$$

$$\frac{dM_{\text{FB}}}{dQ_{\text{FB}}} < M_{\chi} \equiv g_{\chi} w_0$$

Need to be satisfied in a concrete model.

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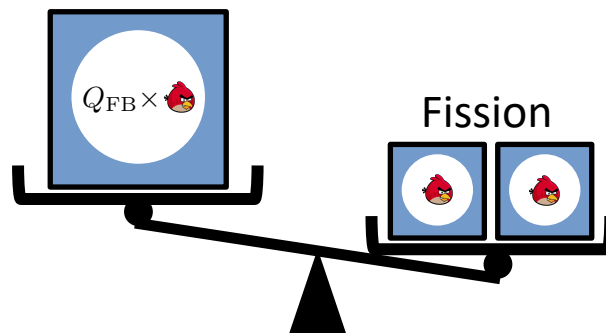


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$$\frac{dM_{\text{FB}}}{dQ_{\text{FB}}} < M_{\chi} \equiv g_{\chi} w_0$$

Need to be satisfied in a concrete model.

**Fission:** a Fermi-ball should not split to two smaller ones



$$\frac{d^2 M_{\text{FB}}}{dQ_{\text{FB}}^2} < 0$$

Satisfied when the surface tension ( $\propto Q_{\text{FB}}^{2/3}$ ) is included.

- For a concrete (toy) model...

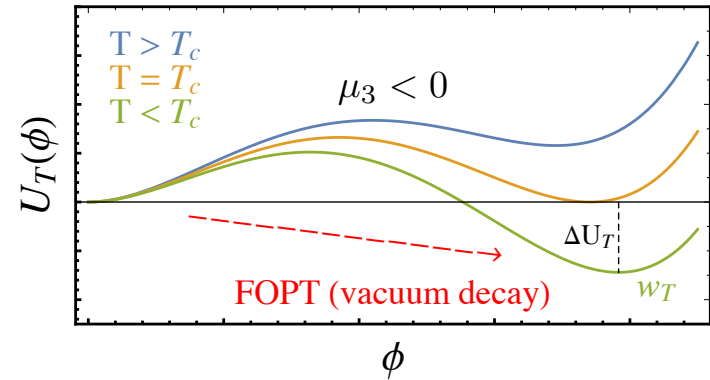
The scalar potential

$$U(\phi, T) = \frac{1}{2}(\mu^2 + cT^2)\phi^2 + \frac{\mu_3}{3}\phi^3 + \frac{\lambda}{4}\phi^4$$

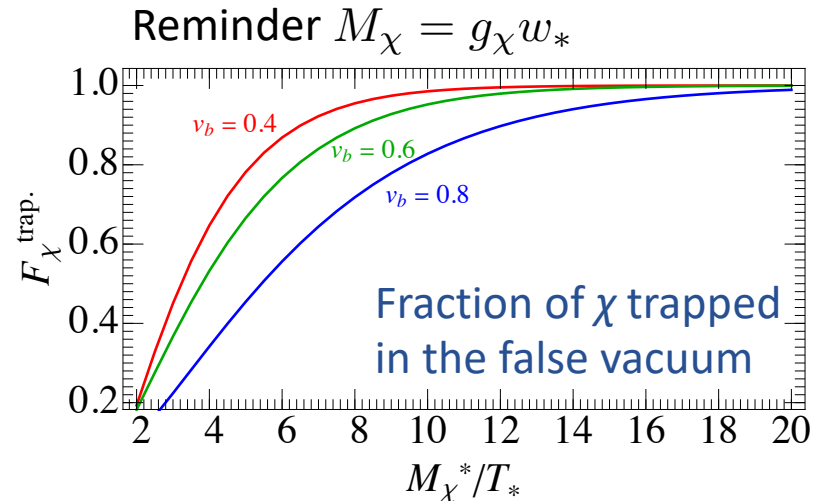
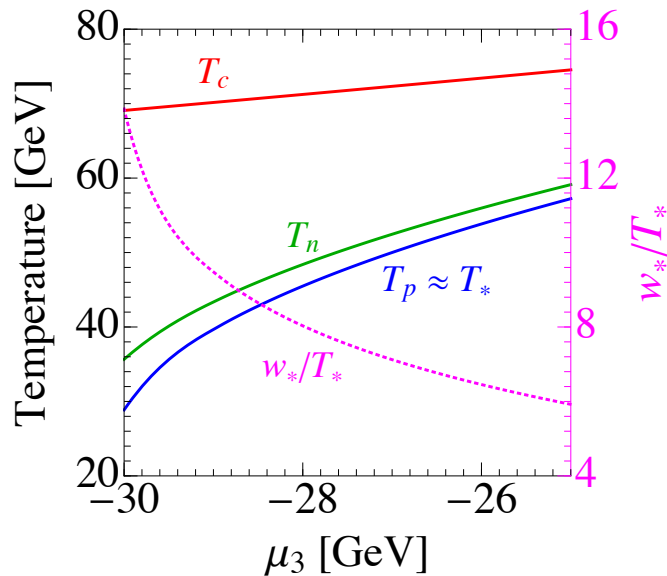
The  $\mu_3$  term: tree level barrier.

Benchmark parameters:

$$w_0 = \langle \phi \rangle|_{T=0} = 400 \text{ GeV}, \quad M_\phi = 100 \text{ GeV}, \quad c = 0.4,$$

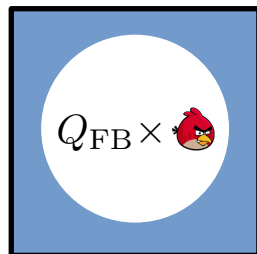


FOPT profile & Fermi-ball profile



- For a concrete (toy) model...

Fermi-ball (trapped  $\chi$  in false vacuum)

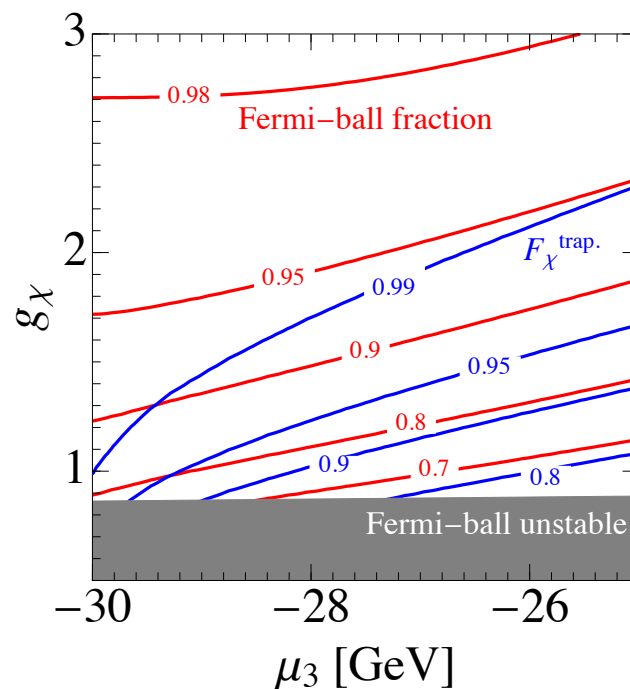


$$\begin{aligned}
 n_{\text{FB}} &= 1.1 \times 10^{-37} \text{ m}^{-3} \sim 9.3 \times 10^{-34} \text{ m}^{-3}, \\
 Q_{\text{FB}} &= 3.9 \times 10^{34} \sim 4.0 \times 10^{30}, \\
 M_{\text{FB}} &= 2.4 \times 10^{10} \text{ kg} \sim 2.6 \times 10^6 \text{ kg}, \\
 R_{\text{FB}} &= 3.7 \times 10^{-7} \text{ m} \sim 1.8 \times 10^{-8} \text{ m}
 \end{aligned}$$

$g_\chi w_0$ : mass of free  $\chi$  in the true vacuum;  $O(\text{TeV})$ .

In the true vacuum, free  $\chi$  can be produced thermally ( $\mathcal{L} \supset -g_\chi \bar{\chi} \chi \phi$ ) and experiences freeze-out!

It's necessary to check the **Fermi-ball fraction** of the Universe.





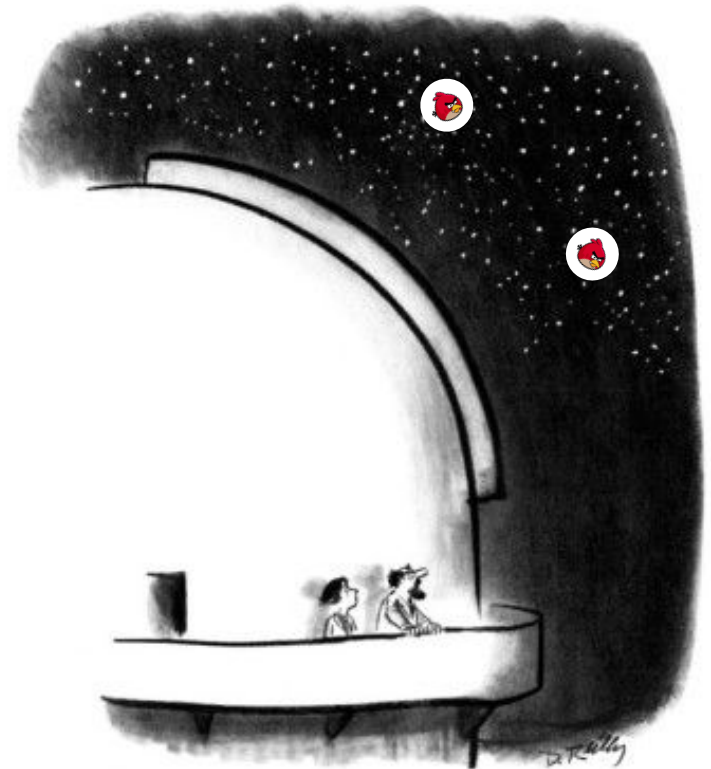
# • Experimental detection

**Direct detection** [ $v_{\text{DM}} = 10^{-3}$ ,  $L = 10$  m]?

$$n_{\text{FB}} \sim 10^{-37} \text{ m}^{-3} : \quad n_{\text{FB}} v_{\text{DM}} L^2 \sim 10^{-22} / \text{year}$$

Hopeless!!

$$\begin{aligned} n_{\text{FB}} &= 1.1 \times 10^{-37} \text{ m}^{-3} \sim 9.3 \times 10^{-34} \text{ m}^{-3}, \\ Q_{\text{FB}} &= 3.9 \times 10^{34} \sim 4.0 \times 10^{30}, \\ M_{\text{FB}} &= 2.4 \times 10^{10} \text{ kg} \sim 2.6 \times 10^6 \text{ kg}, \\ R_{\text{FB}} &= 3.7 \times 10^{-7} \text{ m} \sim 1.8 \times 10^{-8} \text{ m} \end{aligned}$$



*"Yes, a hole in space three hundred million light-years across does make me pause and feel tiny and insignificant, but a glance around at my peers usually restores my equanimity."*

- **Experimental detection**

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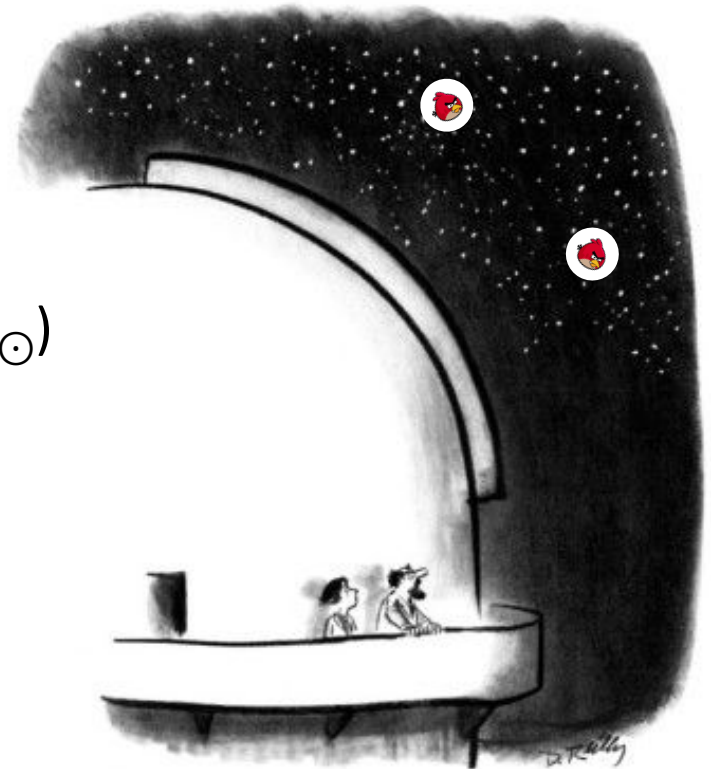
$$n_{\text{FB}} \sim 10^{-37} \text{ m}^{-3} : \quad n_{\text{FB}} v_{\text{DM}} L^2 \sim 10^{-22} / \text{year}$$

Hopeless!!

**Gravitational effects?**

A single Fermi-ball is too light ( $10^{-20} M_{\odot}$ ) and not compact enough to provide signals such as lensing.

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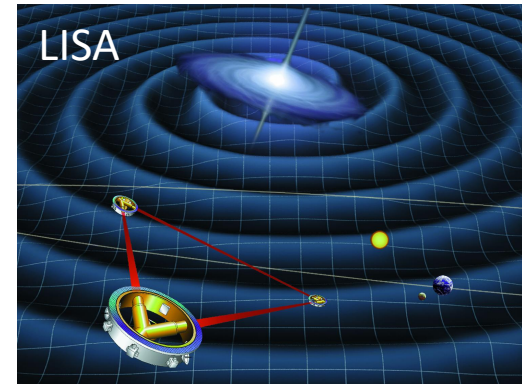
*"Yes, a hole in space three hundred million light-years across does make me pause and feel tiny and insignificant, but a glance around at my peers usually restores my equanimity."*

- **Experimental detection**

## Gravitational waves?

Fermi-balls are produced in association with a FOPT.

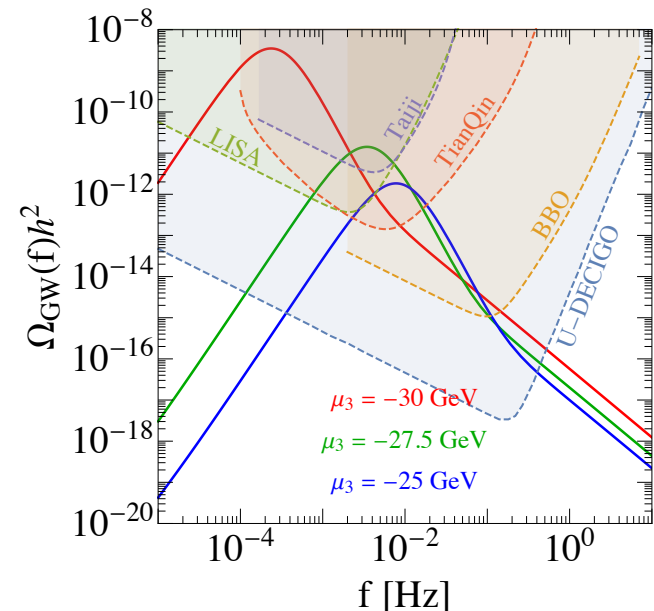
Large  $w_*/T_*$  implies significant supercooling and hence strong stochastic GW signals.



The FOPT GWs:

1. Collision of the bubbles;
2. Sound waves in plasma;
3. Turbulence in plasma.

Hopefully to be detected in the future space-based detectors.



# • Experimental detection

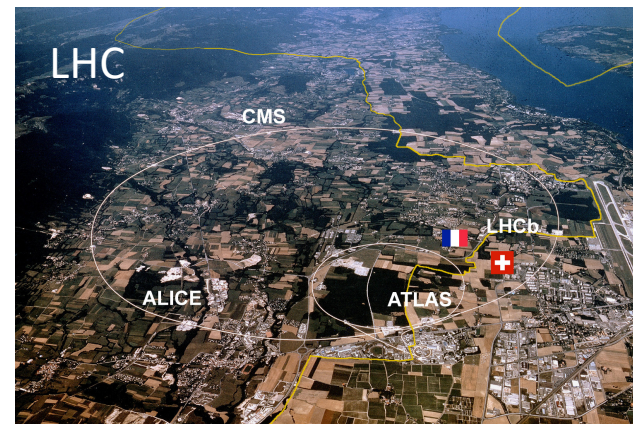
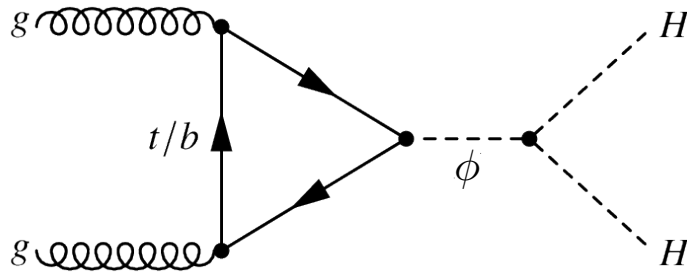
## Collider signals?

For a concrete model, there might be additional signals, e.g.

1. The portal coupling or even mixing between the Higgs and the  $\phi$  field;
2. The production of  $\chi$  at the collider;
3. Mono-X signal; displayed vertices; disappearing tracks, etc.

Not so different from the searches for the O(TeV) WIMPs.

Illustrations



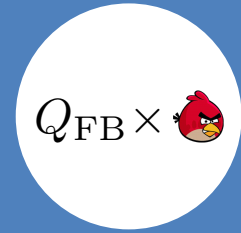
# Conclusion

We propose a **novel DM mechanism**:

- ❑ Fermions are trapped into the false vacuum during a FOPT to form non-topological solitons, i.e. the **Fermi-ball** DM;
- ❑ The formation condition is generally satisfied in many new physics models.

Fermi-ball itself doesn't yield interesting experimental signals; but the **FOPT GWs** can be an indirect probe.

For a concrete model we might have **collider** signals.



Thank you!