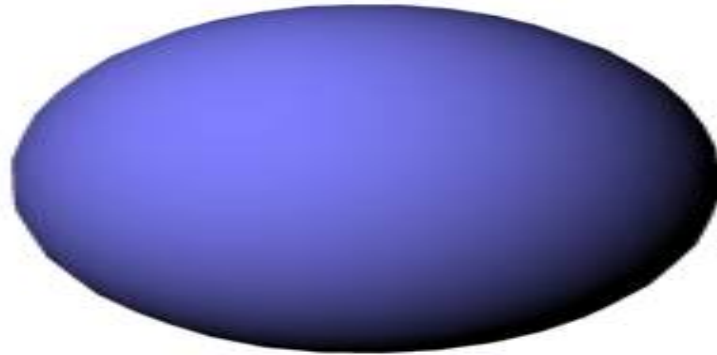
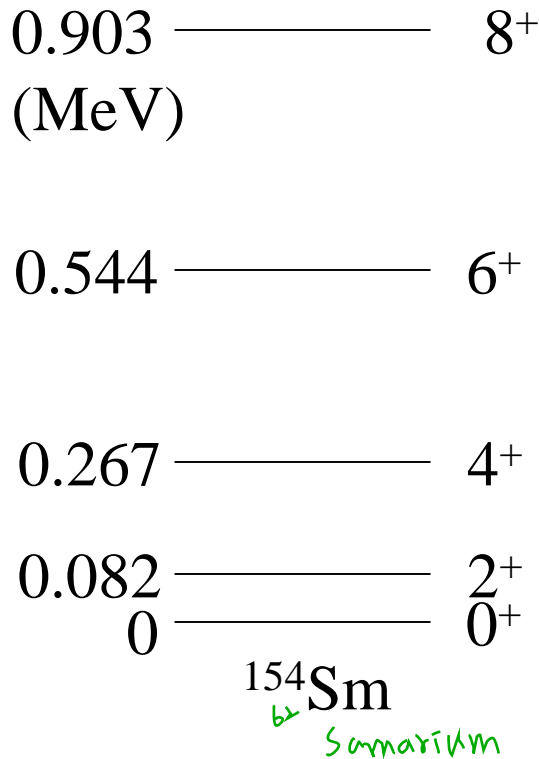


# Nuclear Deformation



# Nuclear Deformation

## Excitation spectra of $^{154}\text{Sm}$



$$E_I \sim \frac{I(I+1)\hbar^2}{2\mathcal{J}}$$

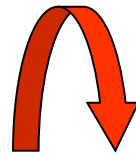
cf. Rotational energy of a rigid body  
(Classical mechanics)

$$E = \frac{1}{2} \mathcal{J} \omega^2 = \frac{I^2}{2\mathcal{J}}$$

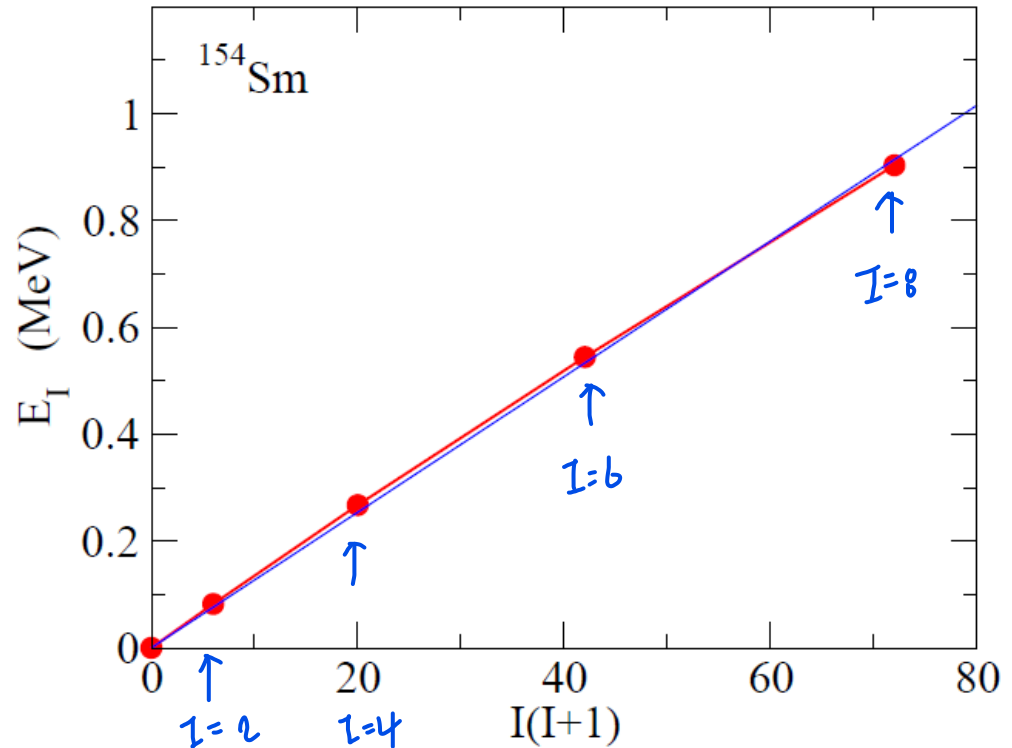
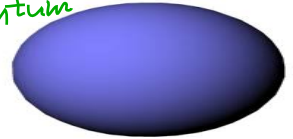
*momentum of inertia ( $\text{m}^2$ )*

$$(I = \mathcal{J}\omega, \omega = \dot{\theta})$$

*angular momentum*



$^{154}\text{Sm}$  is deformed  
 $\beta_2 = 0.341$  (B(E2))

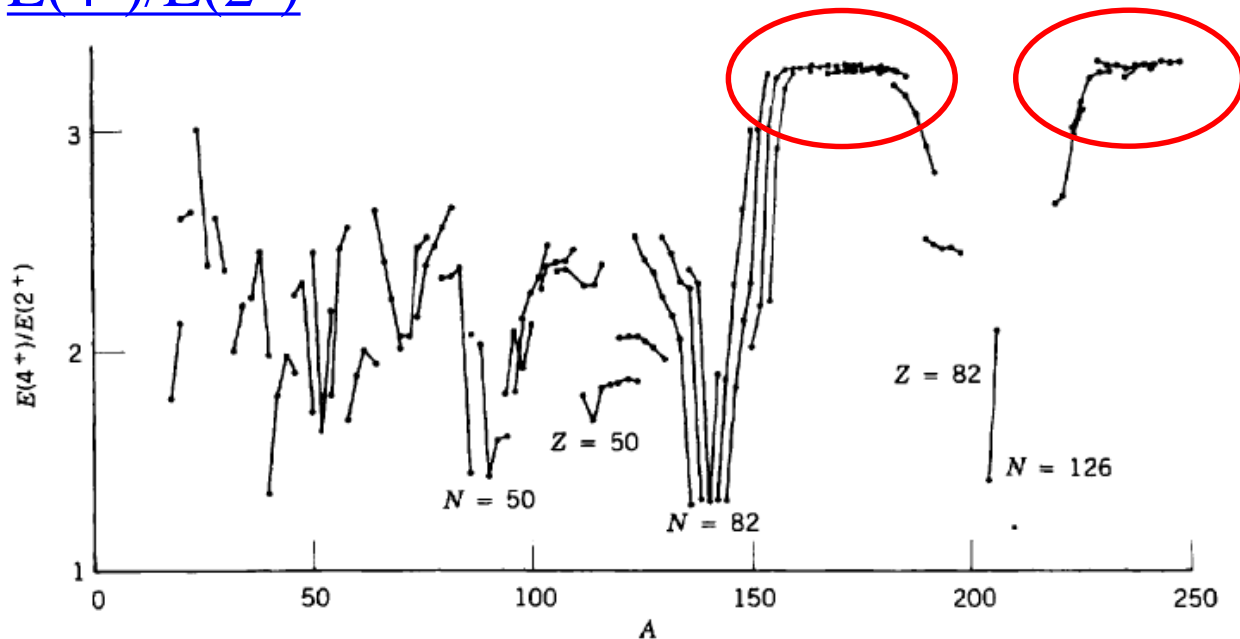


$$E_I = \frac{I(I+1)\hbar^2}{2\mathcal{J}}$$

→  $E_2 \propto 2 \times 3 = 6, \quad E_4 \propto 4 \times 5 = 20$

→  $E_4/E_2 = 20/6 = 3.3333 \dots$

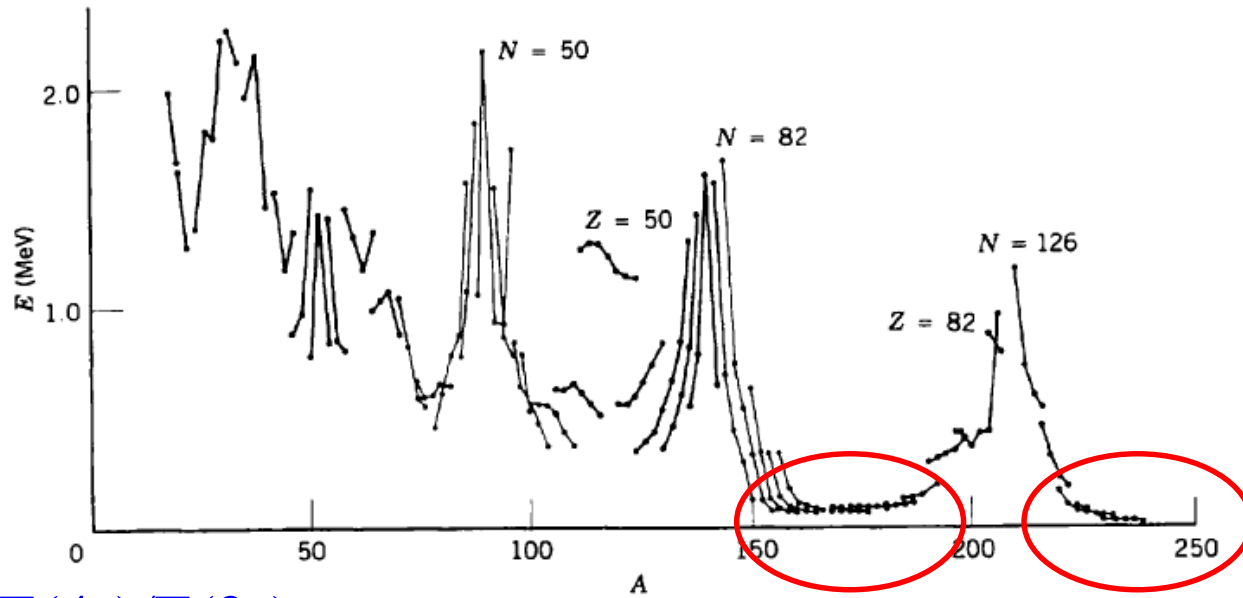
$E(4^+)/E(2^+)$



deformed nuclei:  
 $E(4^+)/E(2^+) \sim 3.3$

spherical nuclei:  
 $E(4^+)/E(2^+) \sim 2$

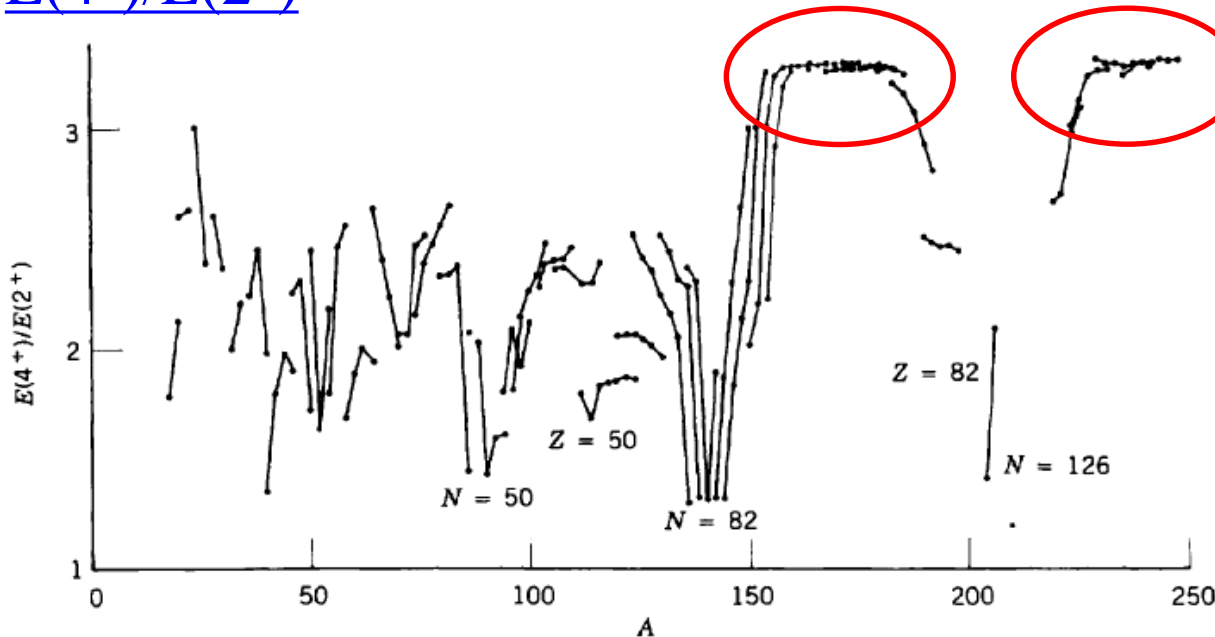
# The energy of the first $2^+$ state in even-even nuclei



a small energy  
→ spontaneously  
symm. breaking

deformed nuclei

## $E(4^+)/E(2^+)$

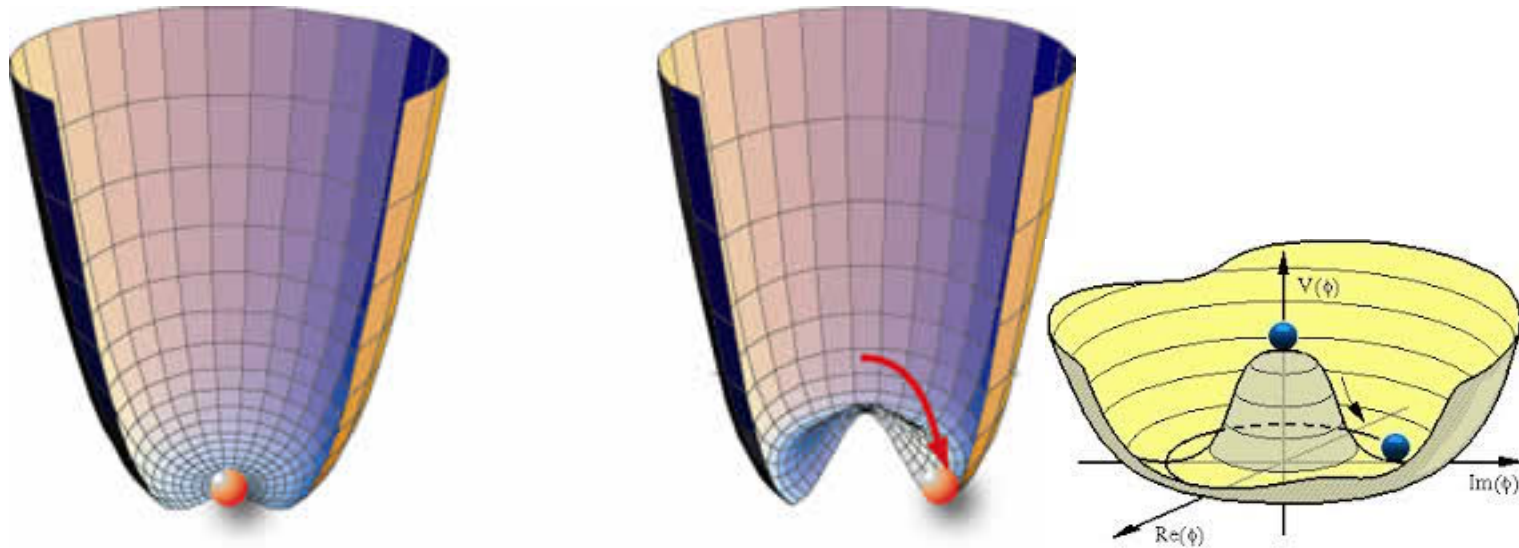


deformed nuclei:  
 $E(4^+)/E(2^+) \sim 3.3$

spherical nuclei:  
 $E(4^+)/E(2^+) \sim 2$

## Spontaneous symmetry breaking

The vacuum state does not have (i.e, the vacuum state violates) the symmetry which the Hamiltonian has.

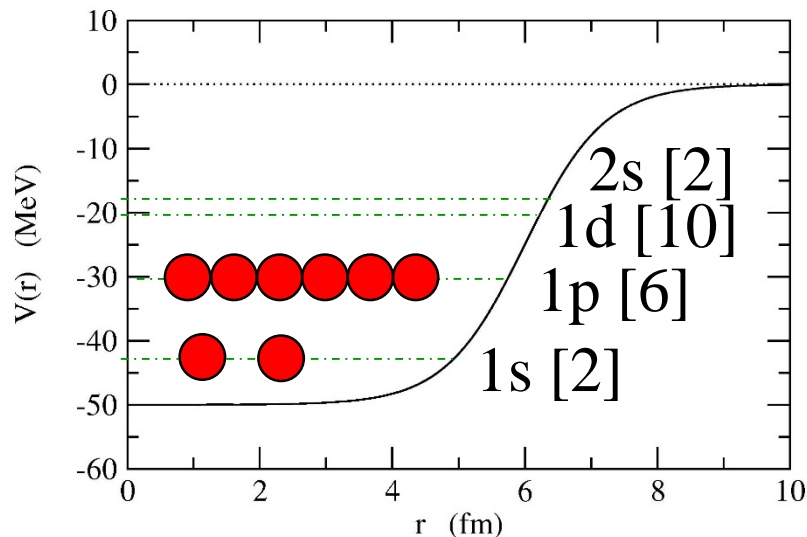


자발 대칭 깨짐이 일어나면 항상 파괴된 대칭의 수 만큼 Goldstone boson(mass=0)이 존재한다.

Nambu-Goldstone mode (zero energy mode)  
to restore the symmetry

# Mean-field approximation and deformation

## Mean-field approximation



$$H \sim \sum_i \left( -\frac{\hbar^2}{2m} \nabla_i^2 + V_{\text{MF}}(\mathbf{r}_i) \right)$$

## Slater determinant

$$\begin{aligned} \Psi_{\text{MF}}(1, 2, \dots, A) \\ = \mathcal{A}[\psi_1(1)\psi_2(2)\dots\psi_A(A)] \end{aligned}$$

$$\left( -\frac{\hbar^2}{2m} \nabla^2 + V_{\text{MF}}(\mathbf{r}) \right) \psi_k(\mathbf{r}) = \epsilon_k \psi_k(\mathbf{r})$$

the original many-body  $H$ :

$$H = - \sum_{i=1}^A \frac{\hbar^2}{2m} \nabla_i^2 + \frac{1}{2} \sum_{i,j}^A v(\mathbf{r}_i, \mathbf{r}_j)$$

$$= \sum_{i=1}^A \left( -\frac{\hbar^2}{2m} \nabla_i^2 + V_{\text{MF}}(\mathbf{r}_i) \right) + \frac{1}{2} \sum_{i,j}^A v(\mathbf{r}_i, \mathbf{r}_j) - \sum_i V_{\text{MF}}(\mathbf{r}_i)$$

$H_{\text{res}} = \text{residual}$

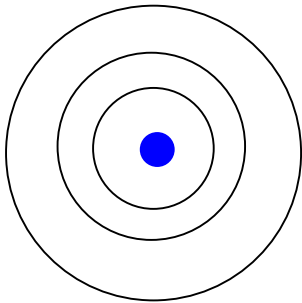
$\Psi_{\text{MF}}$  : does not necessarily possess the symmetries that  $H$  has.

## Typical Examples

➤ Translational symmetry: always broken in nuclear systems

$$H = - \sum_{i=1}^A \frac{\hbar^2}{2m} \nabla_i^2 + \frac{1}{2} \sum_{i,j} v(\mathbf{r}_i - \mathbf{r}_j) \rightarrow \sum_{i=1}^A \left( -\frac{\hbar^2}{2m} \nabla_i^2 + \underline{V_{\text{MF}}(\mathbf{r}_i)} \right)$$

(cf.) atoms

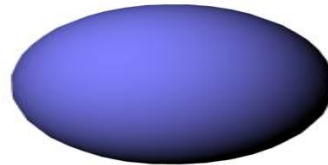


nucleus in the center

→ translational symmetry: broken from the beginning

➤ Rotational symmetry

*Deformed solution*



# Constrained Hartree-Fock method

$$Q = \sqrt{16\pi} B(E2)/4e^2, \quad \sqrt{16\pi/5} \left(\frac{3}{4\pi}\right) AR_0^2 \beta_2$$

minimize  $H' = H - \lambda \hat{Q}_{20}$  with a Slater determinant w.f.

*Constrained Variation*

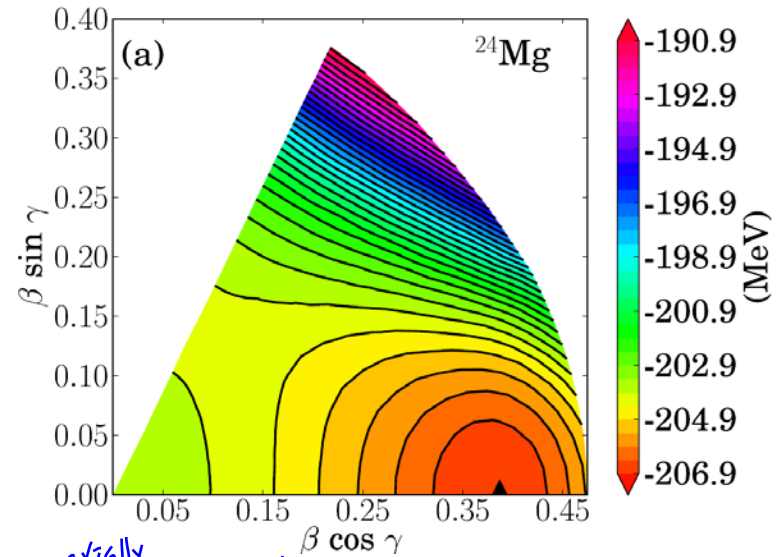
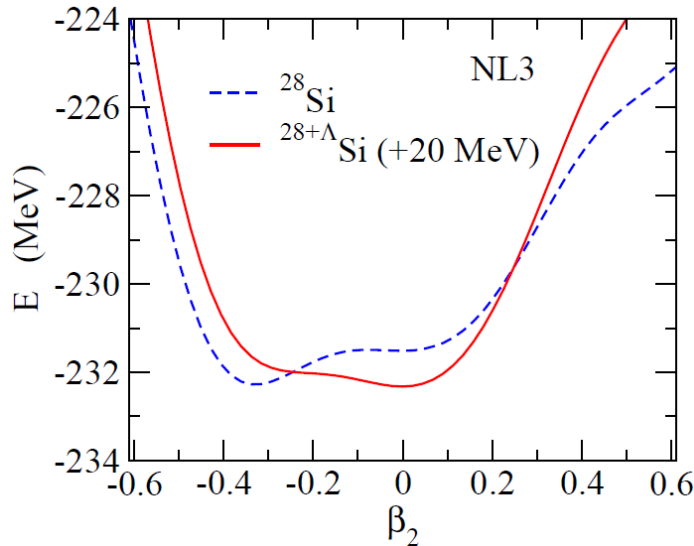
$$\hat{Q}_{20} = \sum_i r_i^2 Y_{20}(\hat{r}_i) : \text{quadrupole operator}$$

$\lambda$  : Lagrange multiplier, to be determined

$$\text{so that } \langle \hat{Q}_{20} \rangle = Q \propto R^2 \beta$$

*deformation param.*

→  $E(\beta)$  : potential energy curve



$E(\beta, \gamma)$  : potential energy surface



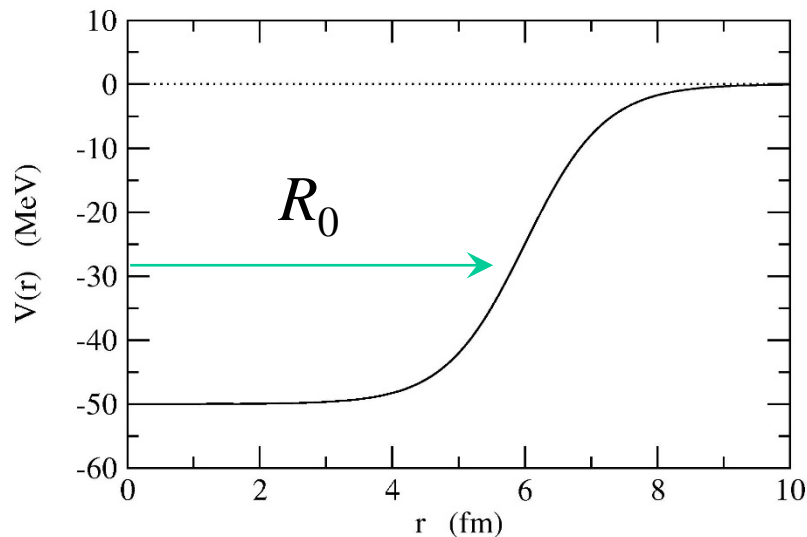
# One-particle motion in a deformed potential

$$V(\mathbf{r}) \sim \int v(\mathbf{r}, \mathbf{r}') \rho(\mathbf{r}') d\mathbf{r}' \sim -g\rho(\mathbf{r}) \quad \text{if } v(\mathbf{r}, \mathbf{r}') = -g\delta(\mathbf{r} - \mathbf{r}')$$

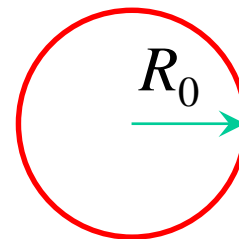
if the density is deformed, so is the mean-field potential

(example) a deformed Woods-Saxon potential

a spherical WS potential:



$$V(r) = -\frac{V_0}{1 + \exp\left(\frac{r-R_0}{a}\right)}$$



# One-particle motion in a deformed potential

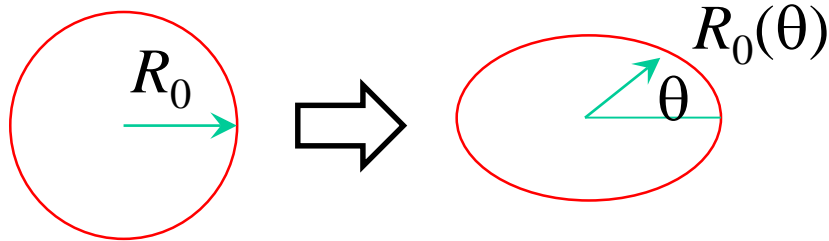
$$V(\mathbf{r}) \sim \int v(\mathbf{r}, \mathbf{r}') \rho(\mathbf{r}') d\mathbf{r}' \sim -g\rho(\mathbf{r}) \quad \text{if } v(\mathbf{r}, \mathbf{r}') = -g\delta(\mathbf{r} - \mathbf{r}')$$

if the density is deformed, so is the mean-field potential

(example) a deformed Woods-Saxon potential

a WS potential:

$$V(r) = -\frac{V_0}{1 + \exp\left(\frac{r-R_0}{a}\right)}$$



$$V(r) \rightarrow V(r, \theta) = -\frac{V_0}{1 + \exp\left(\frac{r-R_0(\theta)}{a}\right)}$$

$$R_0 \rightarrow R_0(1 + \beta_2 Y_{20}(\theta))$$

$R_0(\theta)$

# One-particle motion in a deformed potential

$$V(\mathbf{r}) \sim \int v(\mathbf{r}, \mathbf{r}') \rho(\mathbf{r}') d\mathbf{r}' \sim -g\rho(\mathbf{r}) \quad \text{if } v(\mathbf{r}, \mathbf{r}') = -g\delta(\mathbf{r} - \mathbf{r}')$$

if the density is deformed, so is the mean-field potential

(example) a deformed Woods-Saxon potential

$$V(r, \theta) = -\frac{V_0}{1 + \exp\left(\frac{r - R_0(\theta)}{a}\right)} = -\frac{V_0}{1 + \exp\left(\frac{r - R_0 - R_0\beta_2 Y_{20}(\theta)}{a}\right)}$$

$$f(x+h) = f(x) + hf'(x) + \frac{h^2}{2!}f''(x) + \dots$$

$$\sim -\frac{V_0}{1 + \exp\left(\frac{r - R_0(\theta)}{a}\right)} - R_0\beta_2 Y_{20}(\theta) \frac{d}{dr} \left[ \frac{-V_0}{1 + \exp\left(\frac{r - R_0(\theta)}{a}\right)} \right]$$

$$\equiv V_0(r) + V_2(r)Y_{20}(\theta)$$

$$V_2(r) = -R_0\beta_2 V_0'(r)$$

# One-particle motion in a deformed potential

$$V(\mathbf{r}) \sim \int v(\mathbf{r}, \mathbf{r}') \rho(\mathbf{r}') d\mathbf{r}' \sim -g\rho(\mathbf{r}) \quad \text{if } v(\mathbf{r}, \mathbf{r}') = -g\delta(\mathbf{r} - \mathbf{r}')$$

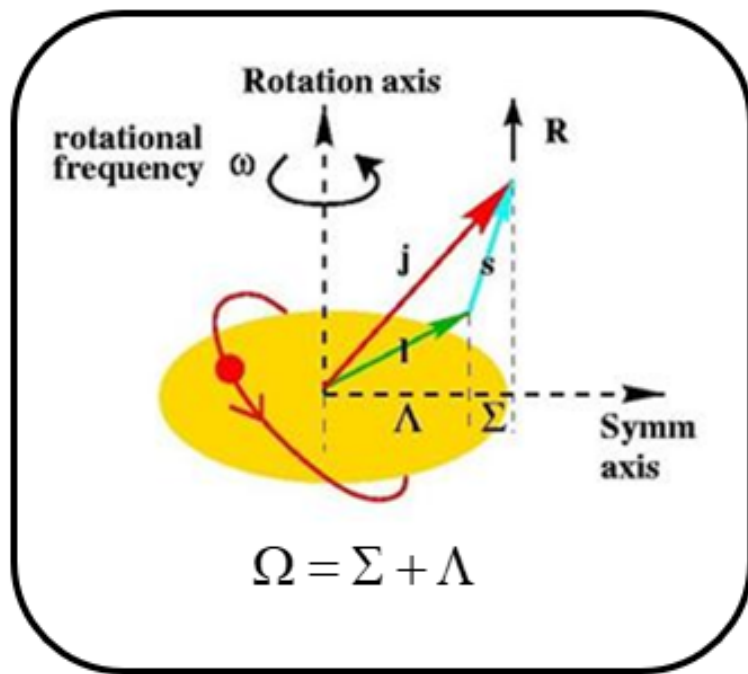
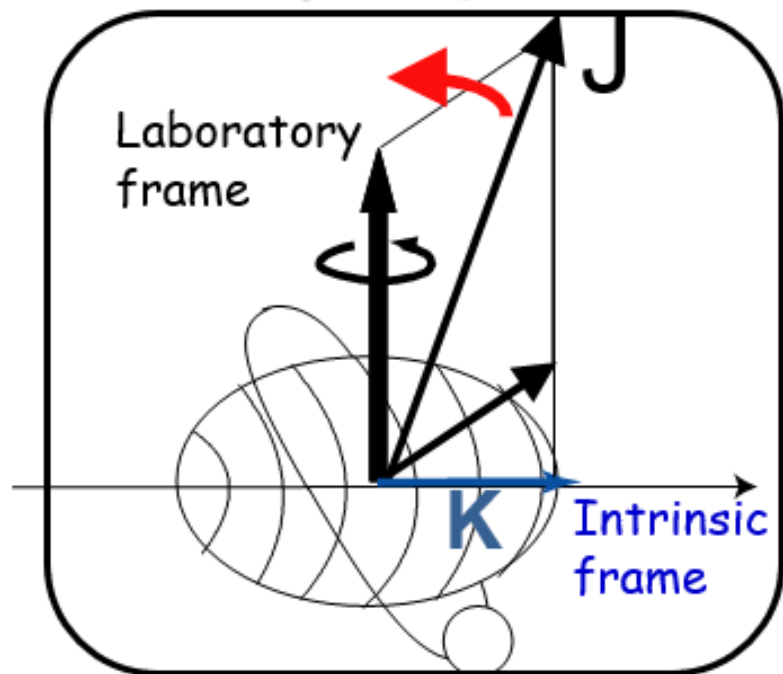
if the density is deformed, so is the mean-field potential

(example) a deformed Woods-Saxon potential

$$V(r, \theta) = -\frac{V_0}{1 + \exp\left(\frac{r - R_0(\theta)}{a}\right)} = -\frac{V_0}{1 + \exp\left(\frac{r - R_0 - R_0\beta_2 Y_{20}(\theta)}{a}\right)}$$
$$\sim -\frac{V_0}{1 + \exp\left(\frac{r - R_0}{a}\right)} - R_0\beta_2 Y_{20}(\theta) \frac{d}{dr} \left[ \frac{-V_0}{1 + \exp\left(\frac{r - R_0}{a}\right)} \right]$$

\* non-spherical potential  $\rightarrow$  angular momentum: not conserved

### (Coupled system)



## One-particle motion in a deformed potential

$$V(r, \theta) \sim V_0(r) - \beta_2 R_0 \frac{dV_0}{dr} Y_{20}(\theta) + \dots$$

### ■ the effect of $Y_{20}$ term

Eigen-functions for  $\beta_2=0$  (spherical pot.) :

$$\psi_{nll_z}(\mathbf{r}) = R_{nl}(r) Y_{ll_z}(\hat{\mathbf{r}})$$

eigen-values:  $E_{nl}$  (no dependence on  $l_z$ )

The change of energy due to the  $Y_{20}$  term (1st order perturbation theory):

$$E_{nl} \rightarrow E_{nl} + \underline{\langle \psi_{nll_z} | \Delta V | \psi_{nll_z} \rangle}$$

$$\Delta V(r) = -\beta_2 R_0 \frac{dV_0}{dr} Y_{20}(\theta)$$

## One-particle motion in a deformed potential

$$V(r, \theta) \sim V_0(r) - \beta_2 R_0 \frac{dV_0}{dr} Y_{20}(\theta) + \dots$$

■ the effect of  $Y_{20}$  term

$$E_{nl} \rightarrow E_{nl} + \langle \psi_{nll_z} | \Delta V | \psi_{nll_z} \rangle$$

$$\Delta V(r) = -\beta_2 R_0 \frac{dV_0(r)}{dr} Y_{20}(\theta)$$

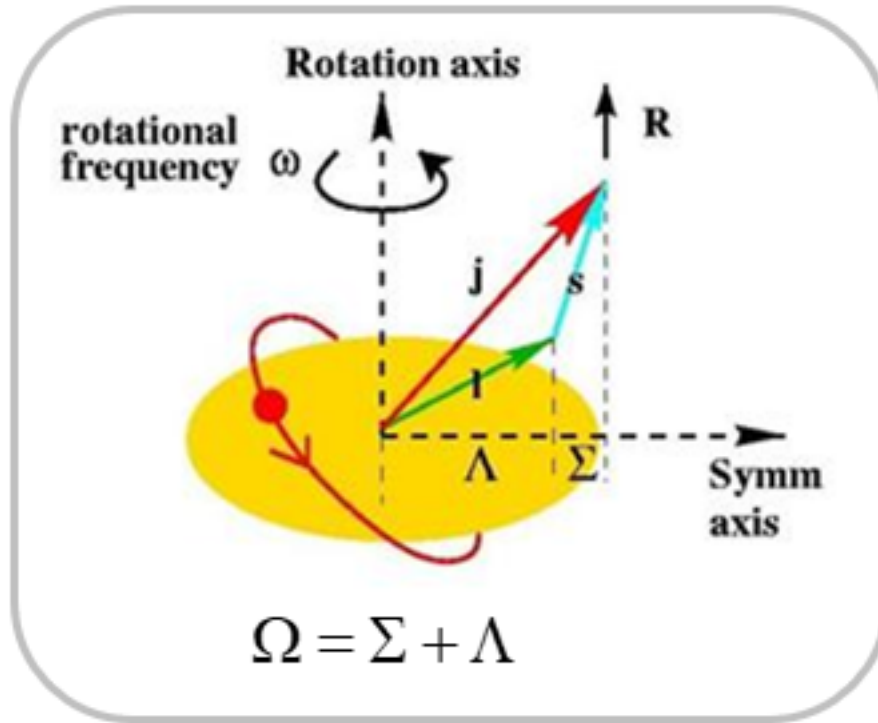
$$\psi_{nll_z}(\mathbf{r}) = R_{nl}(r) Y_{ll_z}(\hat{\mathbf{r}})$$

$$\begin{aligned} \Delta E &= -\beta_2 R_0 \int_0^\infty r^2 dr |R_{nl}(r)|^2 V_0'(r) \\ &\quad \times \int d\hat{\mathbf{r}} Y_{ll_z}^*(\theta) Y_{20}(\theta) Y_{ll_z}(\theta) \\ &\propto \underline{-(3l_z^2 - l(l+1))} \end{aligned}$$

$$\int d\vec{r} Y_{l_1 l_1}^*(\theta) Y_{l_2 0} Y_{l_2 l_2}(\theta) = \int r^2 dr \frac{\sin\theta d\theta d\phi}{-d\cos\theta} Y_{l_1 l_1}^*(\theta) Y_{l_2 0} Y_{l_2 l_2}(\theta)$$

$$= -(7l_2 - l(l+1))$$

$$Y_{2,0} = \sqrt{\frac{5}{16\pi}} (3\cos^2\theta - 1) = \sqrt{\frac{5}{16\pi}} \frac{1}{r^2} (3z^2 - r^2)$$





## One-particle motion in a deformed potential

$$V(r, \theta) \sim V_0(r) - \beta_2 R_0 \frac{dV_0}{dr} Y_{20}(\theta) + \dots$$

■ the effect of  $Y_{20}$  term

$$E_{nl} \rightarrow E_{nl} + \langle \psi_{nll_z} | \Delta V | \psi_{nll_z} \rangle$$

$$\begin{aligned} \Delta E &= -\beta_2 R_0 \int_0^\infty r^2 dr |R_{nl}(r)|^2 V_0'(r) \\ &\quad \times \int d\hat{r} Y_{ll_z}^*(\theta) Y_{20}(\theta) Y_{ll_z}(\theta) \\ &\propto -(3l_z^2 - l(l+1)) \end{aligned}$$

$$\equiv \beta_2 \times \alpha_{nl} (3l_z^2 - l(l+1)) \quad (\alpha_{nl} > 0)$$

## One-particle motion in a deformed potential

$$V(r, \theta) \sim V_0(r) - \beta_2 R_0 \frac{dV_0}{dr} Y_{20}(\theta) + \dots$$

$$\Delta E = \beta_2 \times \alpha_{nl} (3l_z^2 - l(l+1)) \quad (\alpha_{nl} > 0)$$



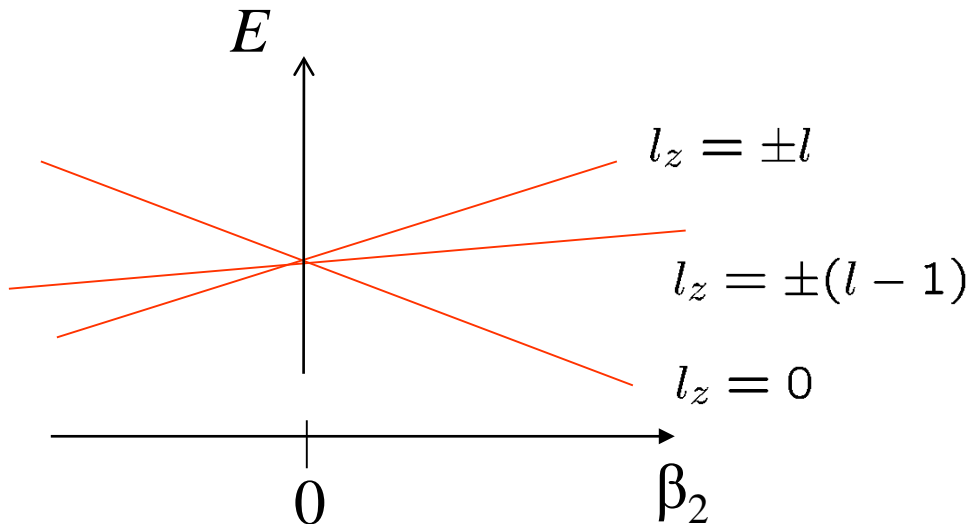
for  $\beta_2 > 0$

$$\Delta E < 0 \quad (l_z = 0)$$

$$\Delta E > 0 \quad (l_z = \pm l)$$

↓

$$\Delta E = 3l^2 - l^2 - l = 2l^2 - l > 0$$



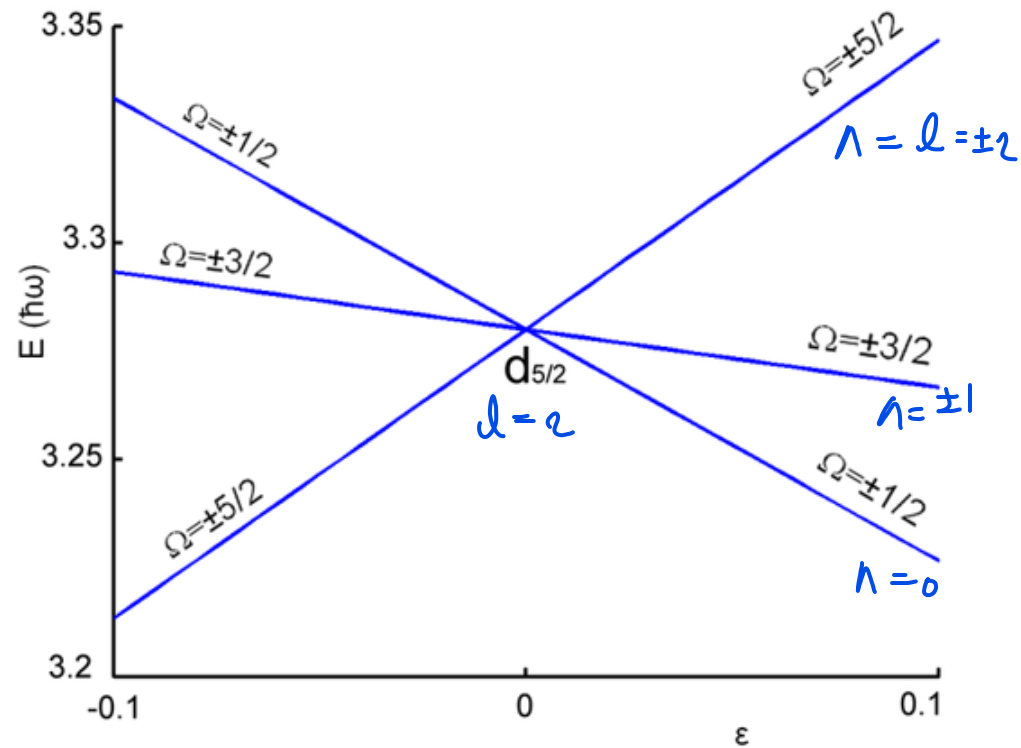
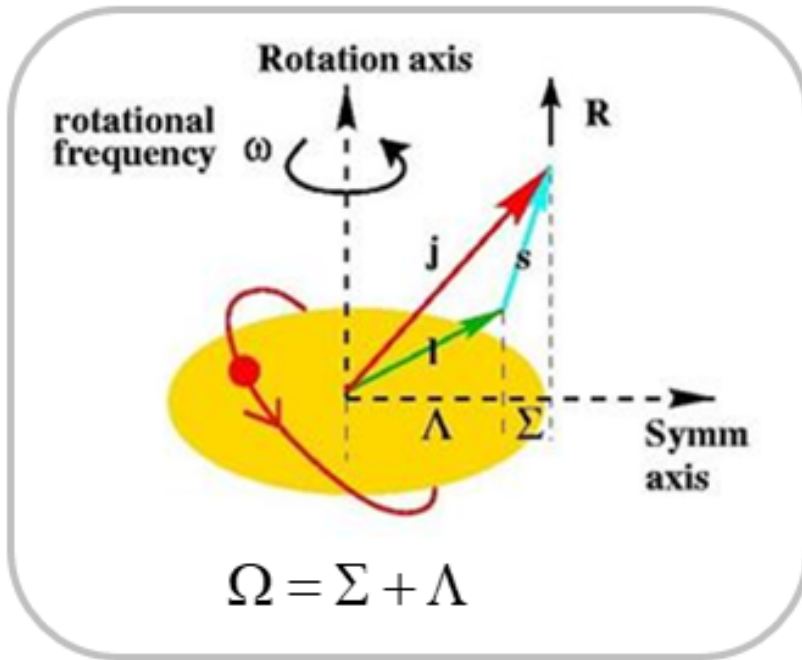
- ✓ degeneracy: resolved  
( $E$ : now depends on  $l_z$ )
- ✓ degeneracy:  $+l_z$  and  $-l_z$

$\begin{matrix} \vec{j} \text{ projection on } z \rightarrow \Omega \\ \vec{l} \quad \quad \quad \quad \quad \rightarrow \Lambda \\ \vec{J} \quad \quad \quad \quad \quad \rightarrow K \end{matrix} \quad \left. \vphantom{\begin{matrix} \vec{j} \\ \vec{l} \\ \vec{J} \end{matrix}} \right\} \Omega = \Lambda \pm \frac{1}{2}$

In spherical basis,  $j$  is a good quantum number.

But in deformed basis, a projection of  $J$  on the nuclear symmetric axis  $z$ ,  $\Omega$ , is a good quantum number.

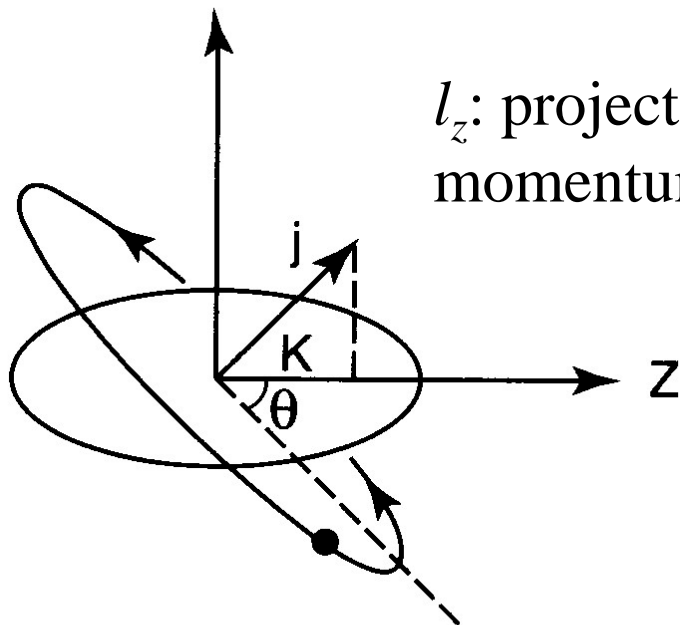
Deformed states,  $\pm 5/2$ ,  $\pm 3/2$ , and  $\pm 1/2$ , are separated from the spherical state  $d_{5/2}$ .



➤ Single particle states in deformed nucleus become more complex.

# Geometrical interpretation

$l = 0, 1, 2, 3, 4, 5, 6$   
 $s, p, d, f, g, h, i$

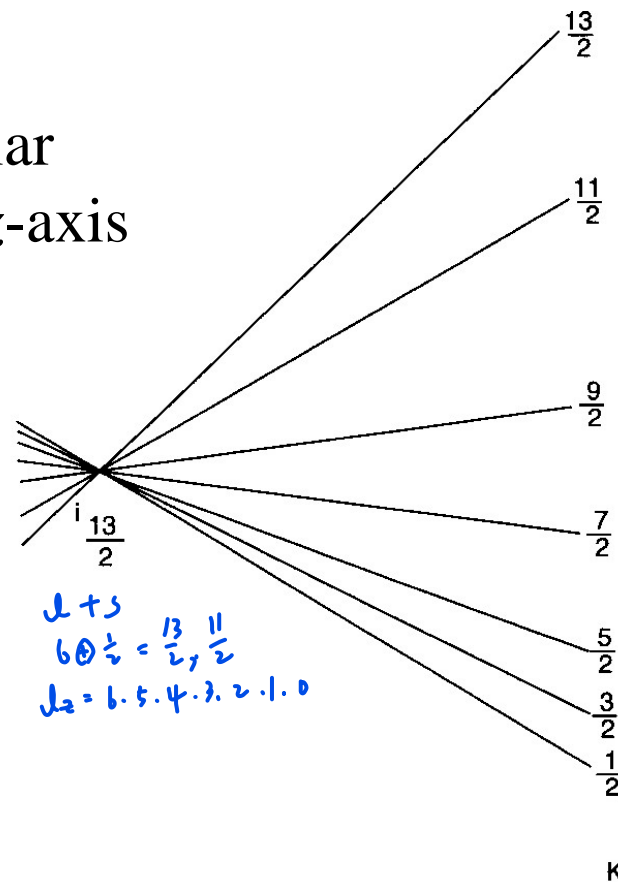


$\sin \theta \sim K / j$

for  $\beta_2 > 0$

small  $l_z \longleftrightarrow$  a motion along the longer axis  
 $\rightarrow$  the energy is lowered

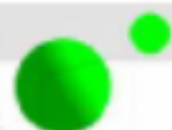
large  $l_z \longleftrightarrow$  a motion along the shorter axis  
 $\rightarrow$  the energy is increased



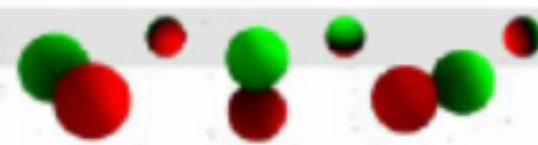
$\theta = 90^\circ, l_z = 0, E \downarrow$

$\theta = 0^\circ, l_z = l, E \uparrow$

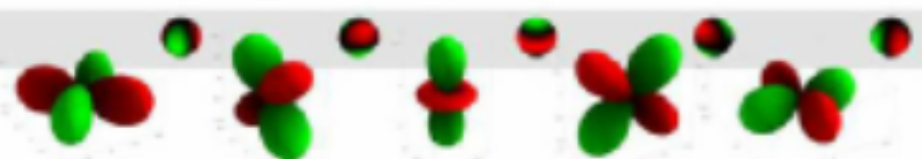
$l=0$



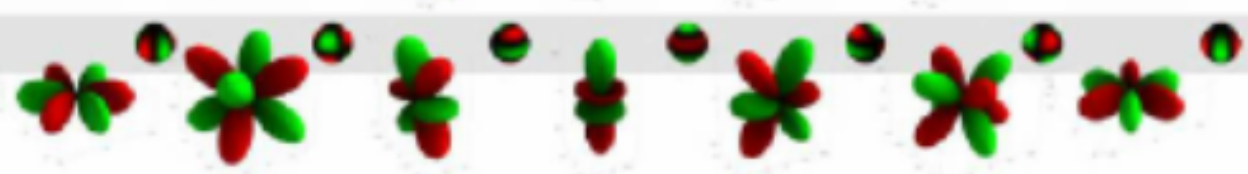
$l=1$



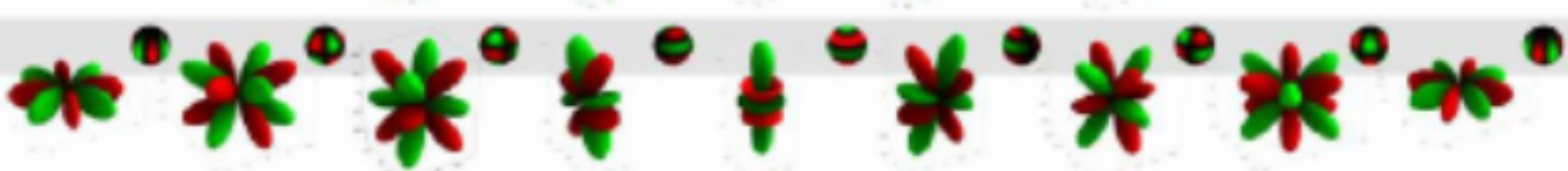
$l=2$



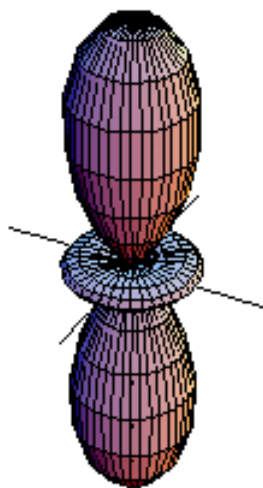
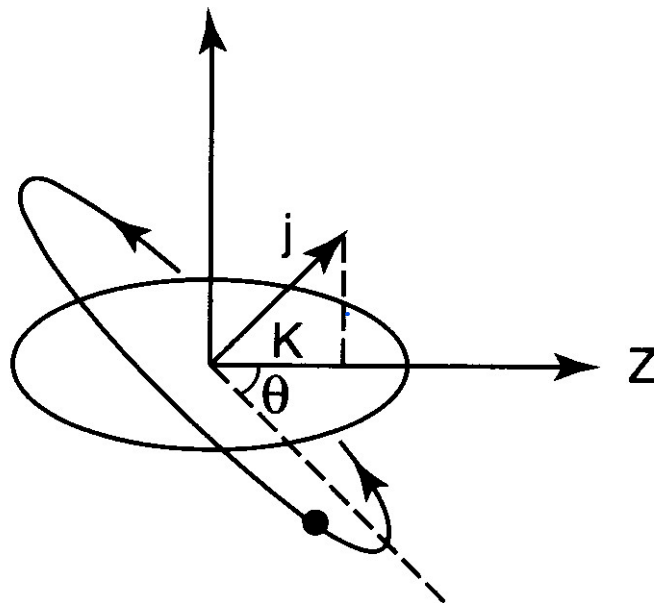
$l=3$



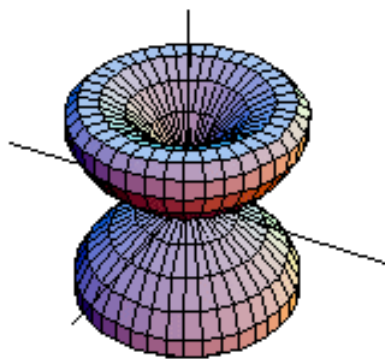
$l=4$



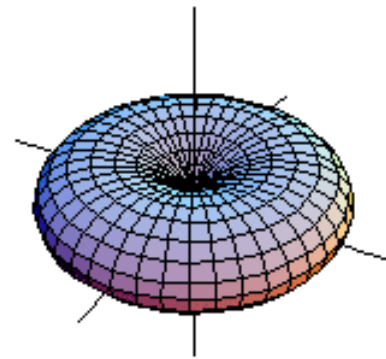
$z$



$r = Y_{20}$   
( $l_z=0$ )



$r = Y_{21}$   
( $l_z=1$ )



$r = Y_{22}$   
( $l_z=2$ )

$$Y_{20} = 3z^2 - r^2$$

$$3z^2 - (x^2 + y^2 + z^2) \text{ if } x=y$$

$$= 2z^2 - 2x^2 > 0$$

$z > x$  (prolate)  
 $z < x$  (oblate)

The nuclear shape in the deformed WS potential depends on  $\epsilon$ , which is related to as the deformation parameter  $\beta_2$ .

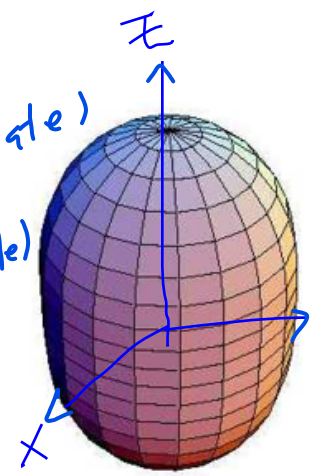
$$\epsilon = 3(\omega_{\perp} - \omega_3) / (2\omega_{\perp} + \omega_3)$$

$$\beta_2 \approx \frac{2}{3} \sqrt{\frac{4\pi}{5}} \epsilon$$

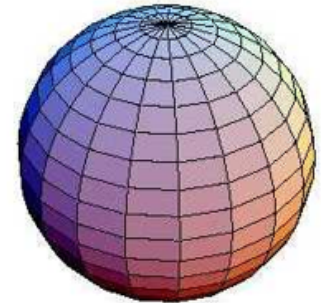
$$R(\theta) = R_0(1 + \beta_2 Y_{20}(\theta))$$

In experimental side,  $\beta_2$  can be extracted from E2 transition probability.

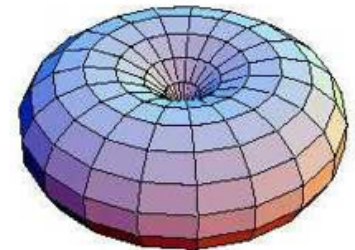
$$\beta_2 = \frac{4\pi}{3ZR_0^2} \left[ \frac{B(E2 \uparrow)}{e^2} \right]^{1/2} \quad (R_0 = 1.2A^{1/3})$$



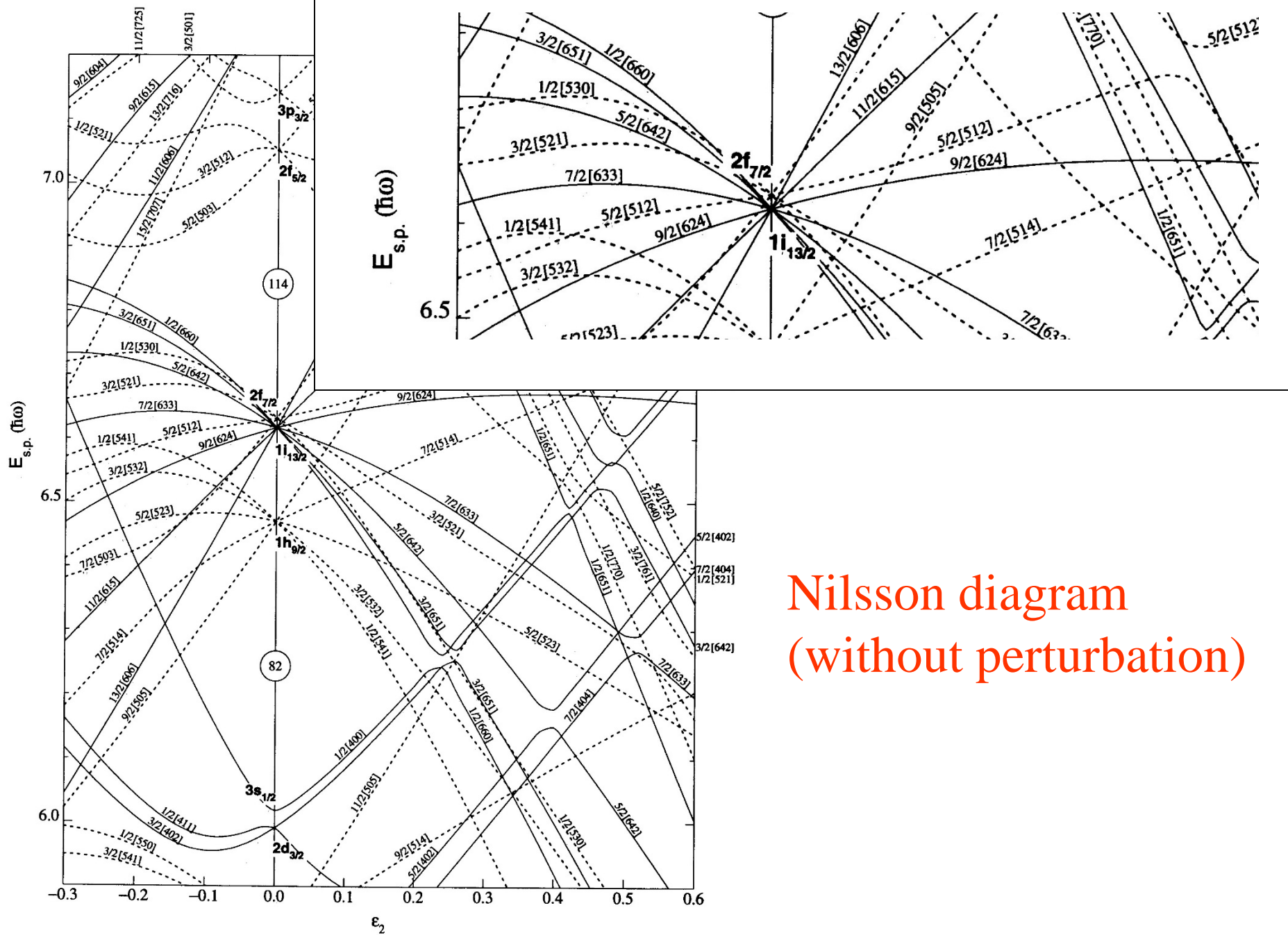
$\beta_2 > 0$ , prolate



$\beta_2 = 0$ , spherical



$\beta_2 < 0$ , oblate

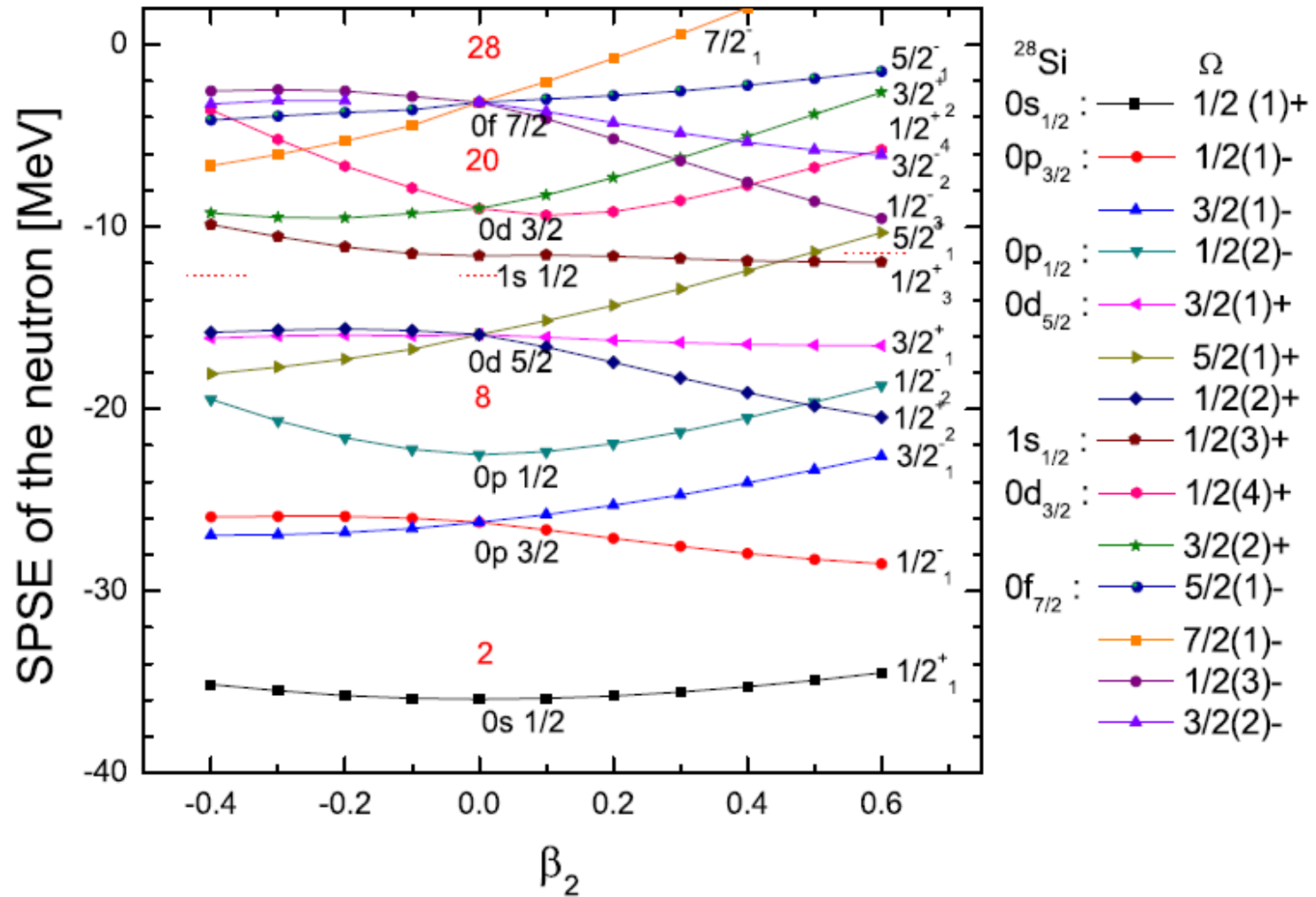


Nilsson diagram  
(without perturbation)

Figure 13. Nilsson diagram for protons,  $Z \geq 82$  ( $\epsilon_4 = \epsilon_2^2/6$ ).

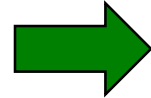
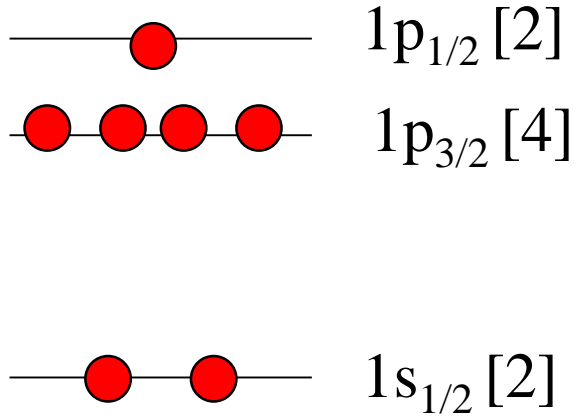


EPJA (2017)  
 Ha et al.



# Level scheme of $^{11}_4\text{Be}_7$

With a spherical potential:

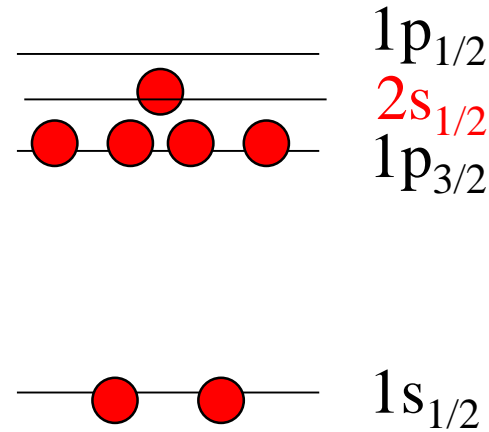
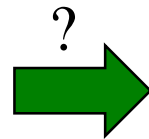
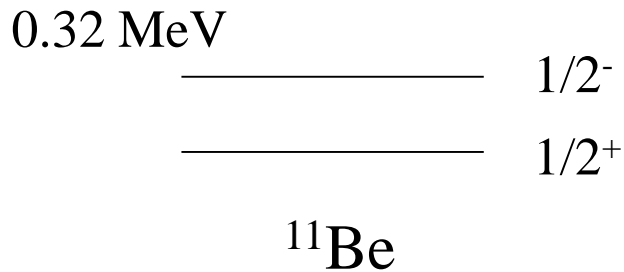


The g.s. of  $^{11}\text{Be}$  :  $I^\pi = 1/2^-$

very artificial

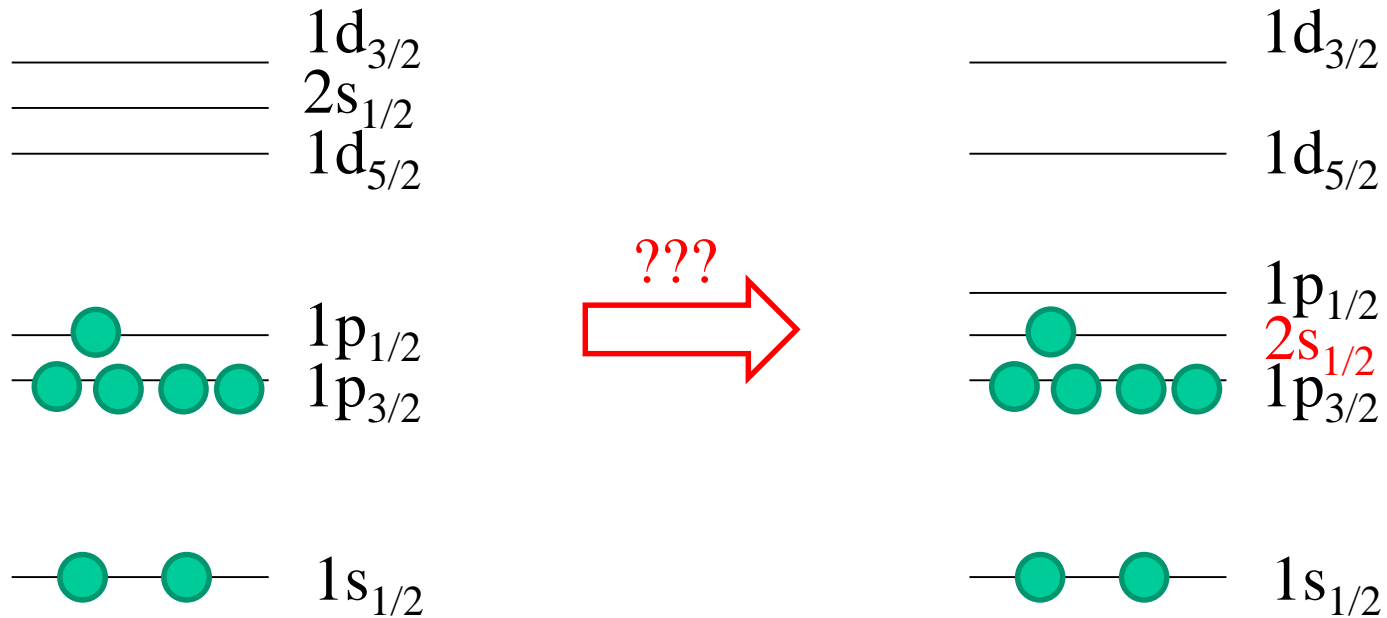


In reality.....



“parity inversion”

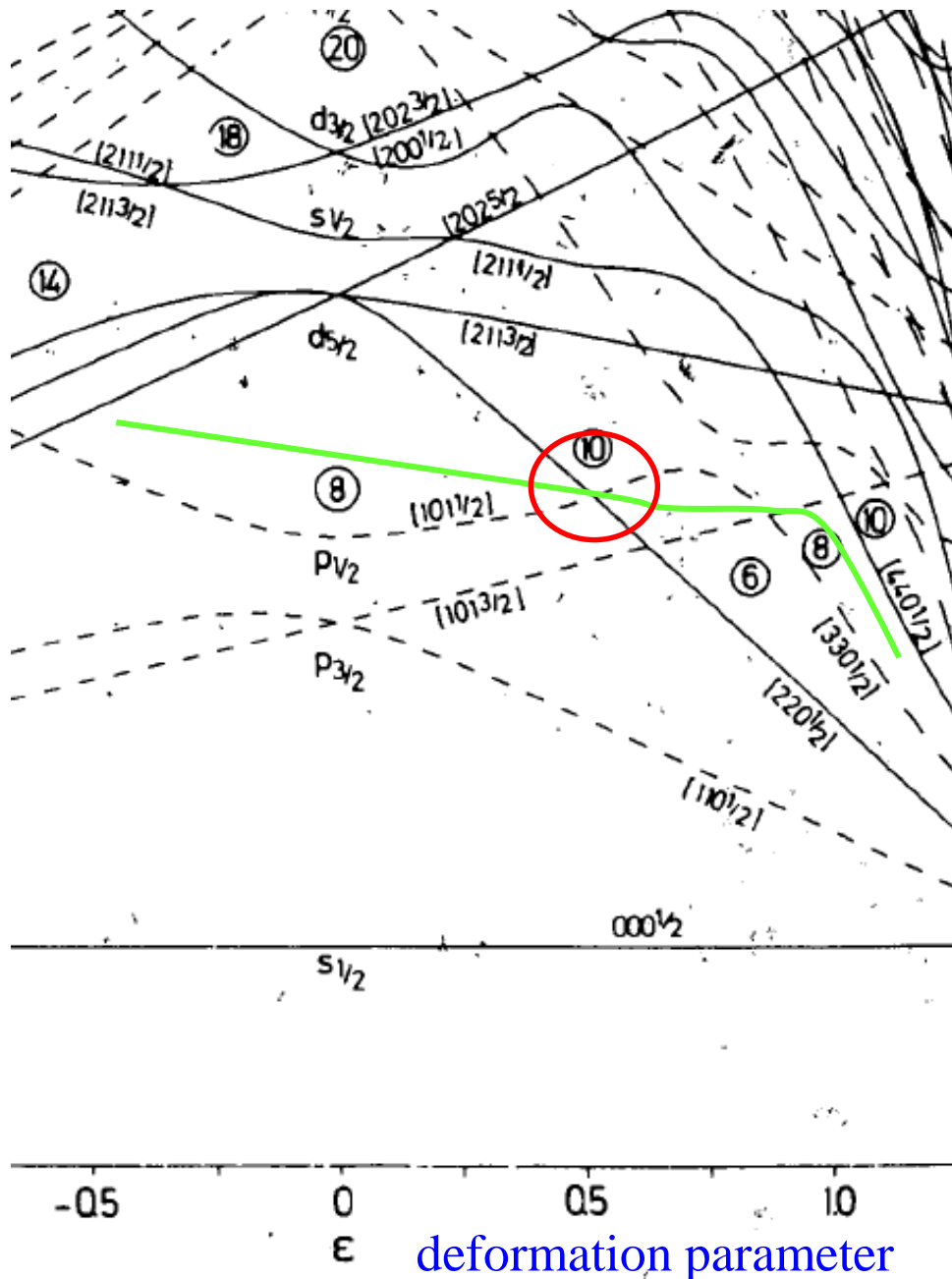
What happens if  $^{11}\text{Be}$  is deformed?



Very unnatural.

The observed  $1/2^+$  state can be more naturally explained if one considers a deformation of  $^{11}\text{Be}$ .

$^{11}_4\text{Be}_7$



0.32 MeV

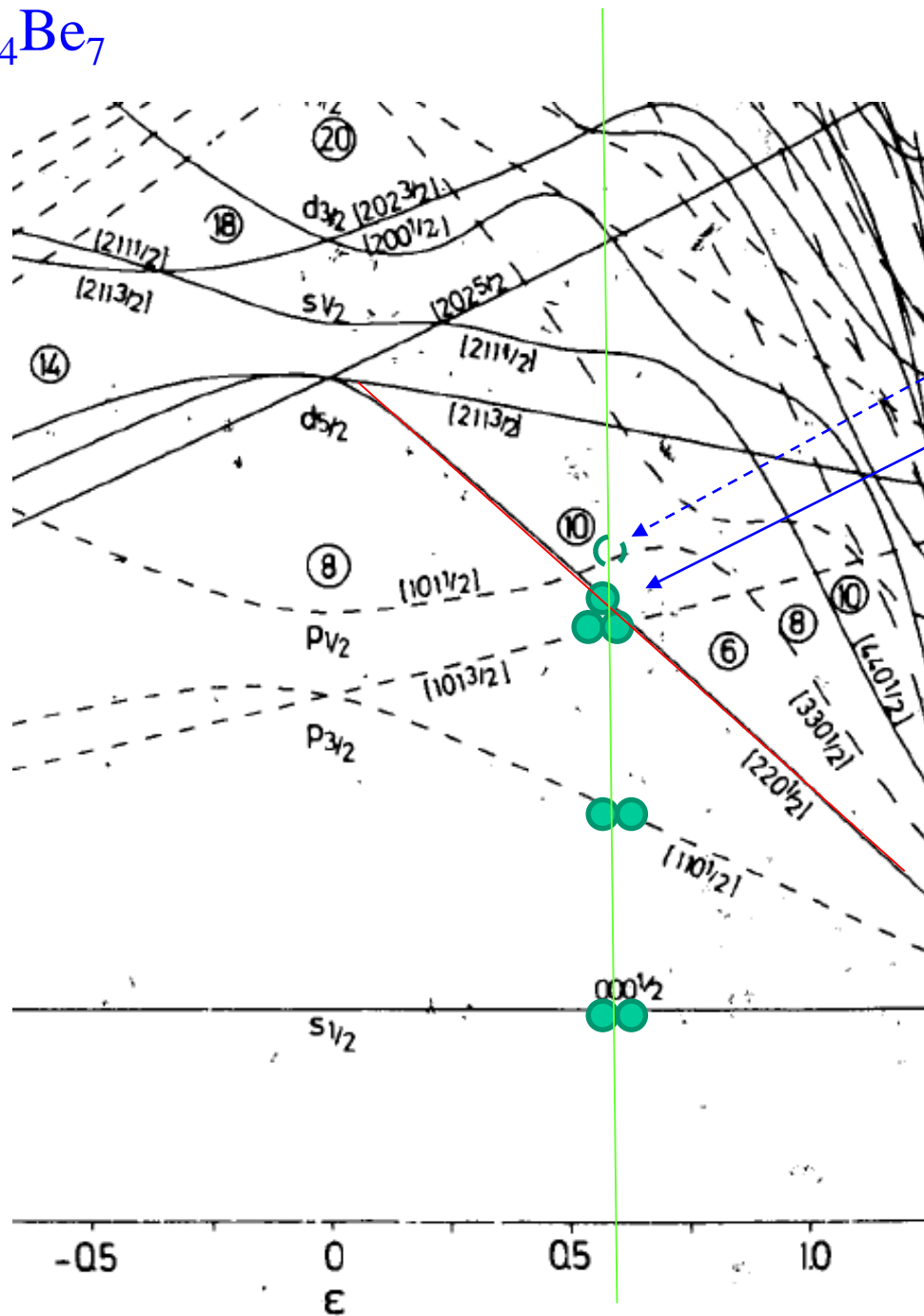
\_\_\_\_\_  $1/2^-$   
\_\_\_\_\_  $1/2^+$

$^{11}\text{Be}$

- assume some deformation, and put 2 nucleons in each level from the bottom (degeneracy of  $+K$  and  $-K$ )
- Look for the level which is occupied by the valence nucleon (the 7th level for  $^{11}\text{Be}$ )
- Identify the value of  $K^\pi$  for that level with the spin and parity of the whole nucleus.

cf. particle-rotor model

$^{11}_4\text{Be}_7$



0.32 MeV

$1/2^-$

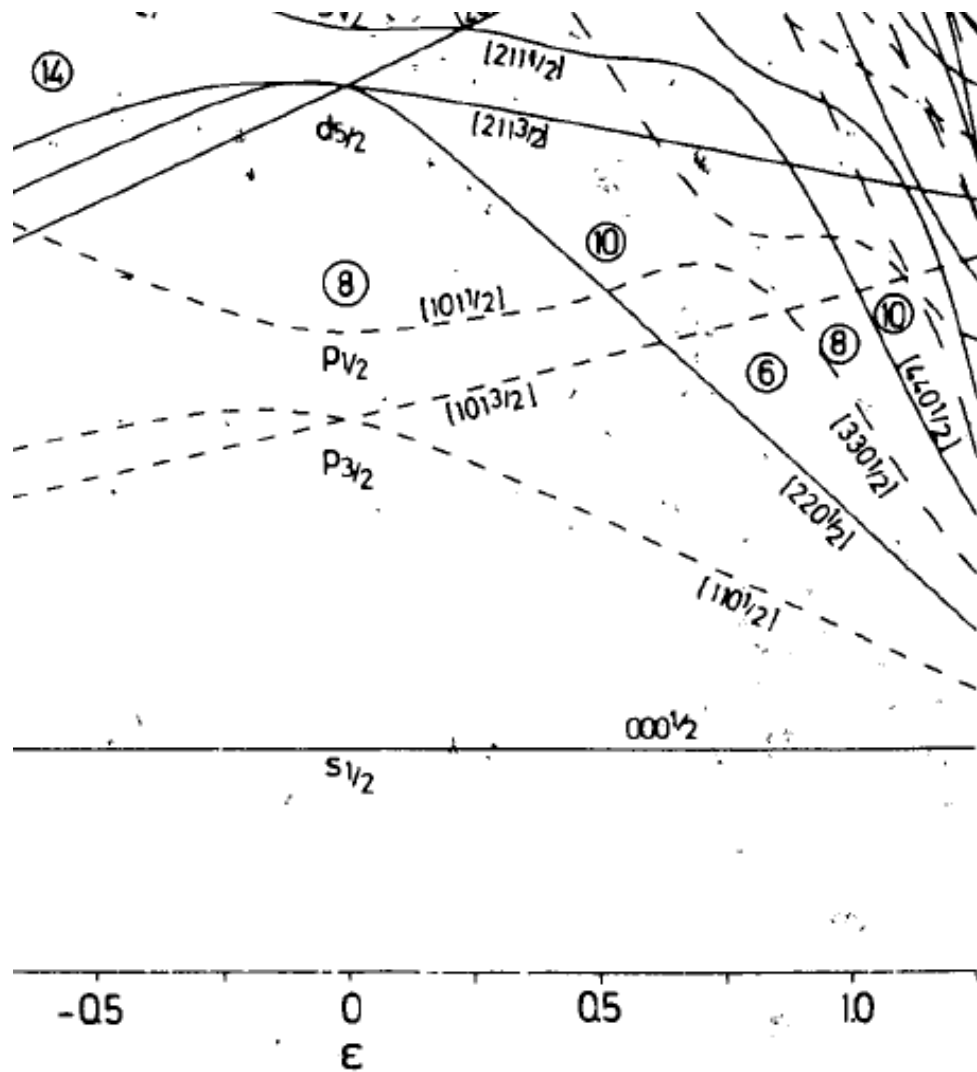
$1/2^+$

$^{11}\text{Be}$

- assume some deformation, and put 2 nucleons in each level from the bottom
- Look for the level which is occupied by the valence nucleon (the 7th level for  $^{11}\text{Be}$ )
- Identify the value of  $K^\pi$  for that level with the spin and parity of the whole nucleus.

Can the level scheme of  ${}^9_4\text{Be}_5$  be explained in a similar way?

cf.  ${}^{10}\text{B}(e,e'\text{K}^+){}^{10}_\Lambda\text{Be} (= {}^9\text{Be}+\Lambda)$



(MeV)

2.78 —————  $1/2^-$

2.43 - - - - -  $5/2^-$  ✓

1.68 —————  $1/2^+$

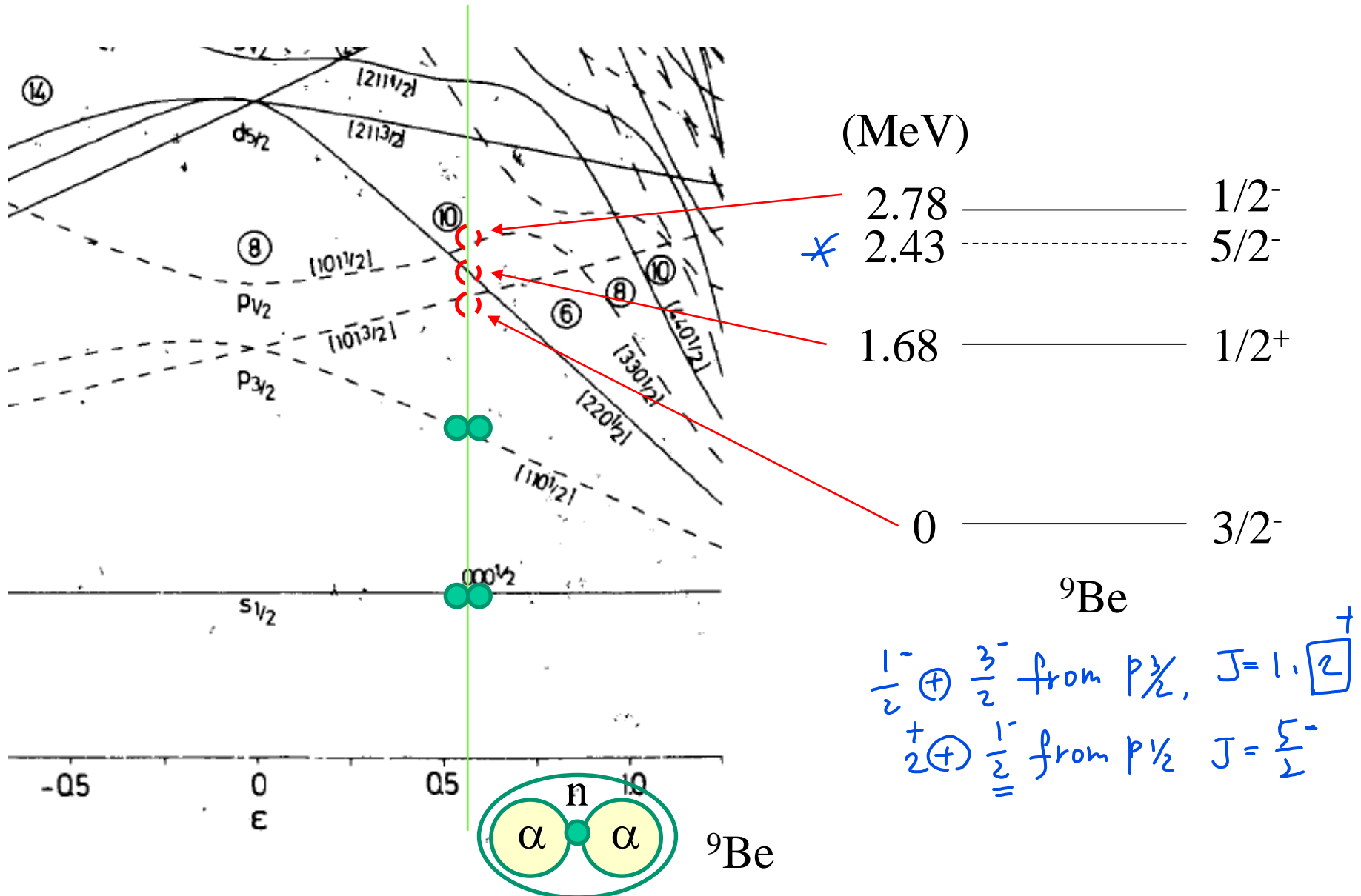
0 —————  $3/2^-$

${}^9\text{Be}$

The  $5/2^-$  state at 2.43 MeV:  
rotational state with the same  
configuration as the g.s. state  
(not considered here)

Can the level scheme of  ${}^9_4\text{Be}_5$  be explained in a similar way?

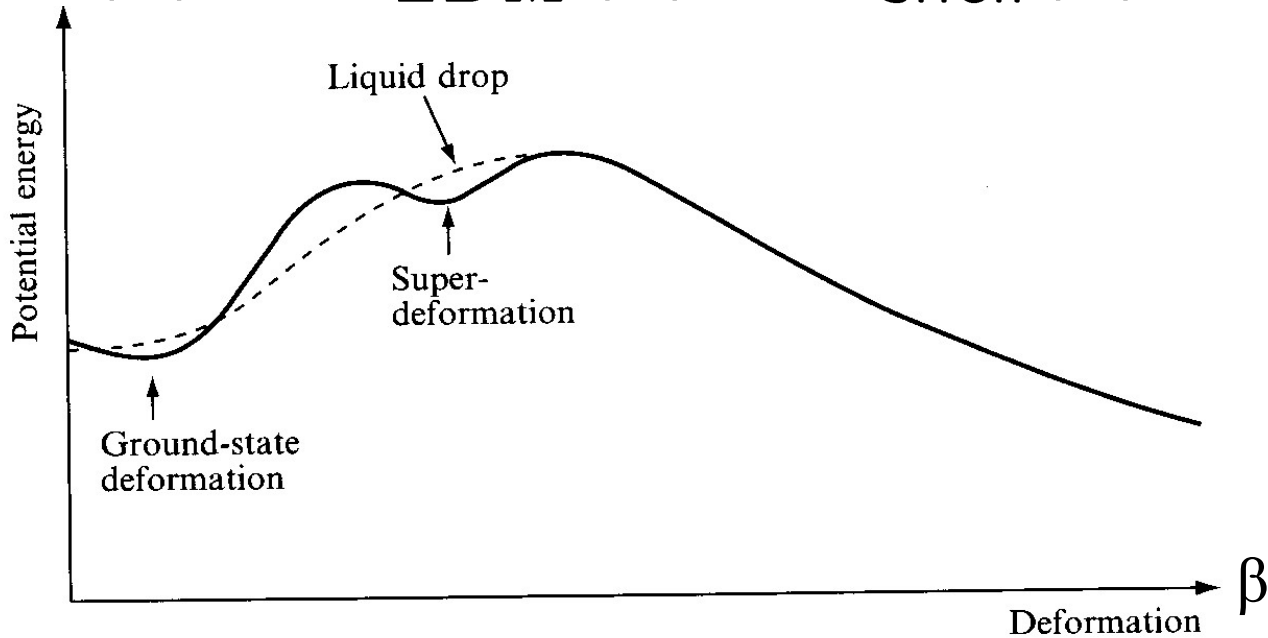
cf.  ${}^{10}\text{B}(e,e'\text{K}^+){}^{10}_\Lambda\text{Be} (= {}^9\text{Be} + \Lambda)$



## nuclear deformation

Deformed energy surface for a given nucleus

$$E(\beta) = E_{LDM}(\beta) + E_{shell}(\beta)$$

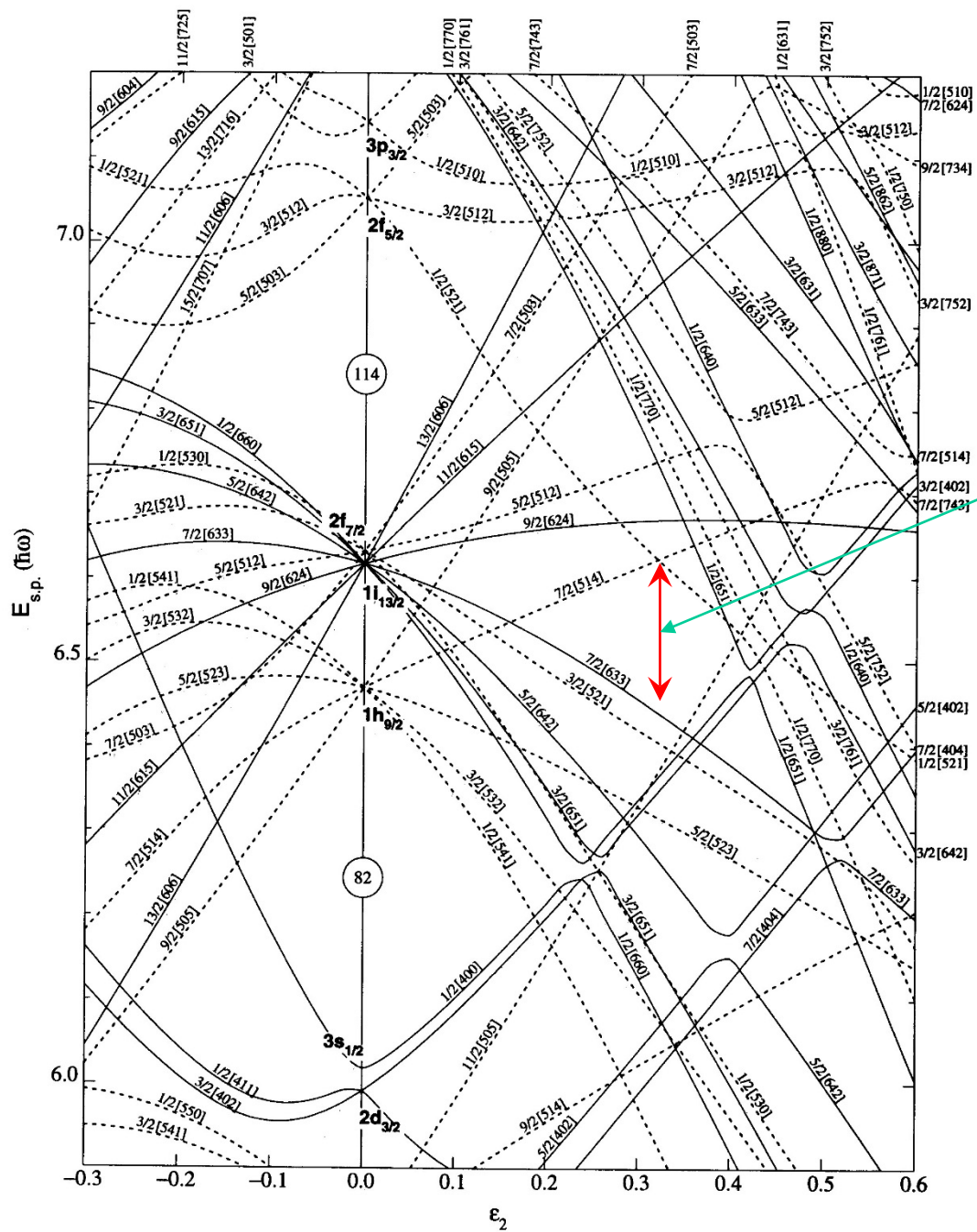


LDM only



always spherical ground state





energy gap if deformed

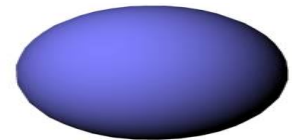
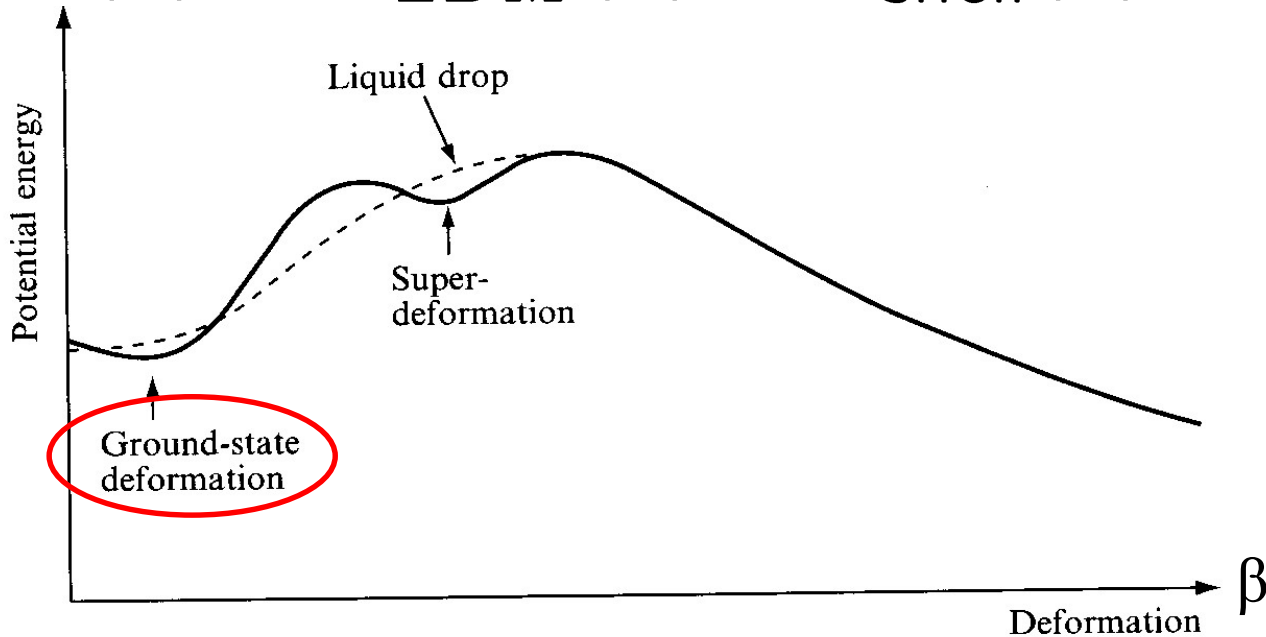
# Nilsson diagram

Figure 13. Nilsson diagram for protons,  $Z \geq 82$  ( $\epsilon_4 = \epsilon_2^2/6$ ).

## nuclear deformation

Deformed energy surface for a given nucleus

$$E(\beta) = E_{LDM}(\beta) + E_{\text{shell}}(\beta)$$



LDM only  $\longrightarrow$  always spherical ground state

Shell correction  $\longrightarrow$  may lead to a **deformed g.s.**

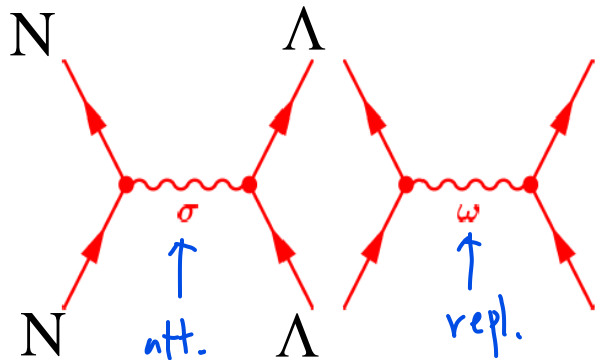
\* Spontaneous Symmetry Breaking

# RMF calculations for deformed hypernuclei

Hypernuclei: nucleus + Lambda particle

Effect of a  $\Lambda$  particle on nuclear shapes?

Relativistic Mean-field model

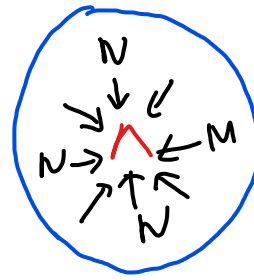


nucleon-nucleon interaction  
via meson exchange

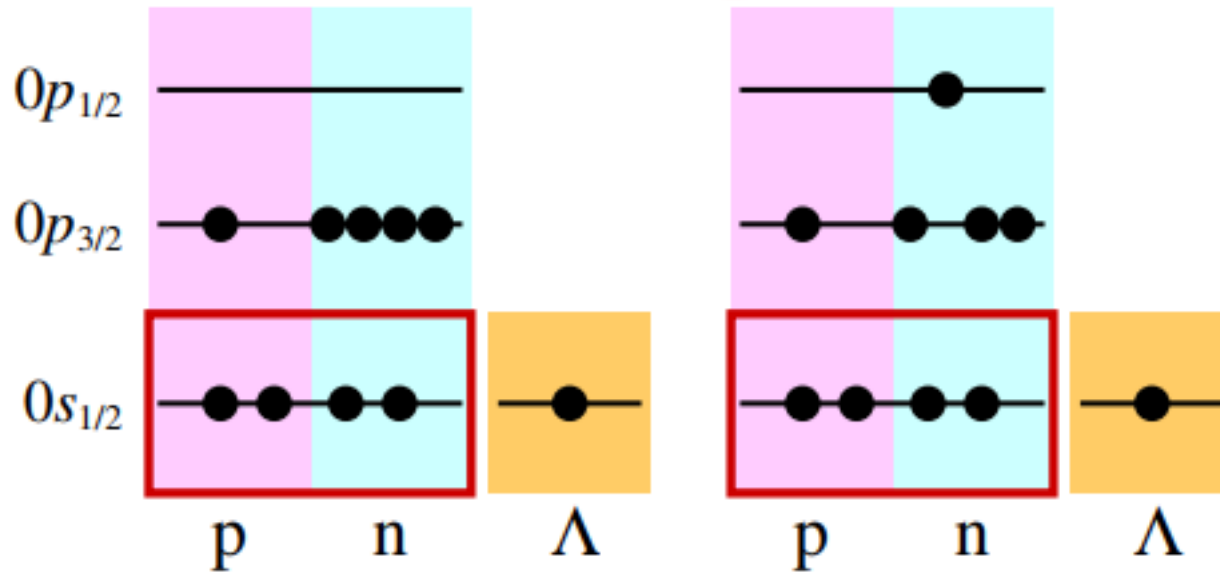
$\Lambda\sigma$  and  $\Lambda\omega$  couplings

Symbol	Name	Quark content	Electric charge	Mass GeV/c <sup>2</sup>	Spin
<b>p</b>	proton	<b>uud</b>	1	0.938	1/2
<b><math>\bar{p}</math></b>	anti-proton	<b><math>\bar{u}\bar{u}\bar{d}</math></b>	-1	0.938	1/2
<b>n</b>	neutron	<b>udd</b>	0	0.940	1/2
<b><math>\Lambda</math></b>	lambda	<b>uds</b>	0	1.116	1/2
<b><math>\Omega^-</math></b>	omega	<b>sss</b>	-1	1.672	3/2

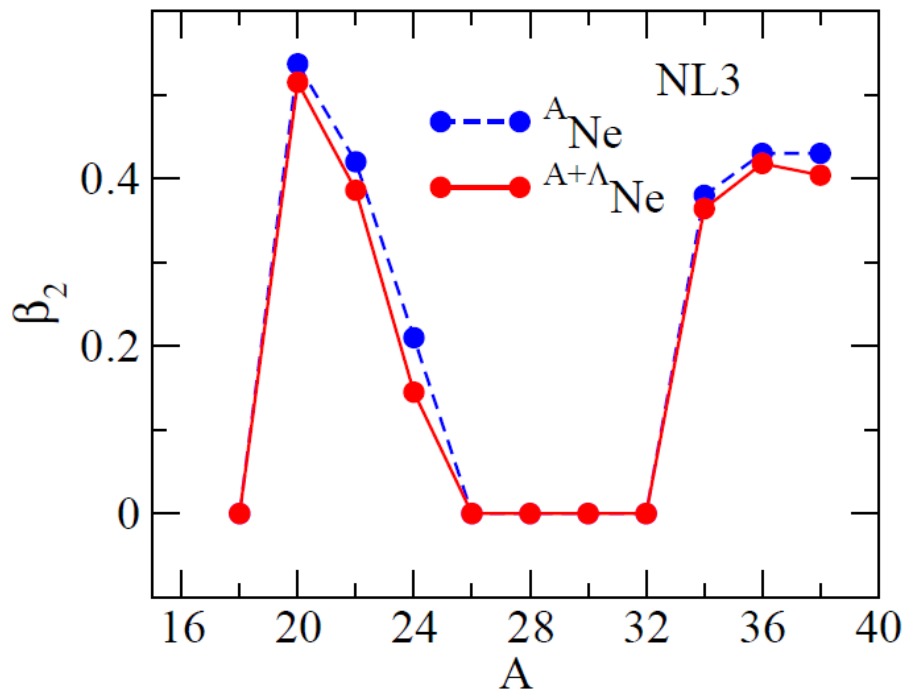
No Pauli principle between  $N$  and  $\Lambda$ .



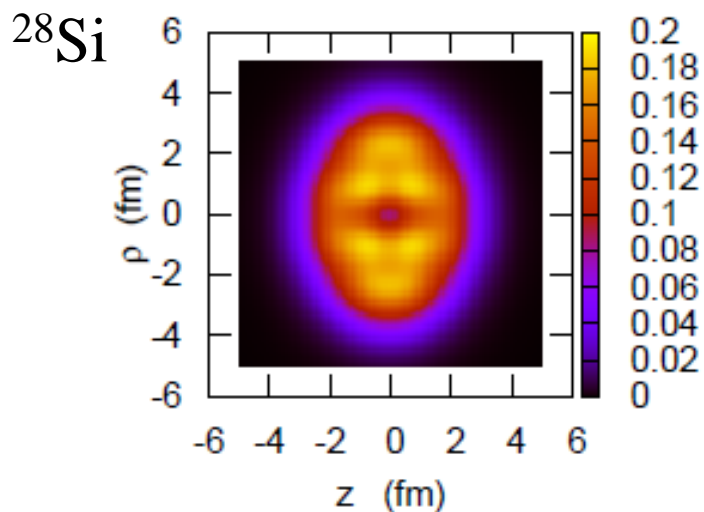
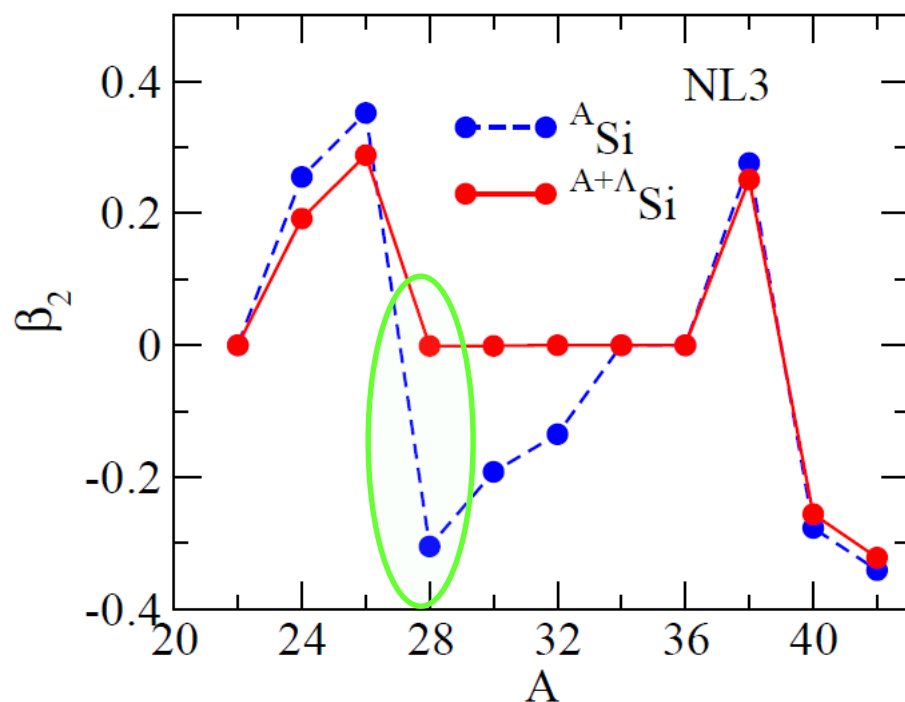
## Examples of basis states



# Ne isotopes



# Si isotopes



$\Lambda$   $\rightarrow$

