

Hot and dense neutron-rich matter in supernovae and neutron star mergers

**Jeremy Holt
Texas A&M, College Station**

Y. Lim & J. W. Holt, Phys. Rev. Lett. 121 (2018) 062701.

J. W. Holt & Y. Lim, Phys. Lett. B 784 (2018) 77.

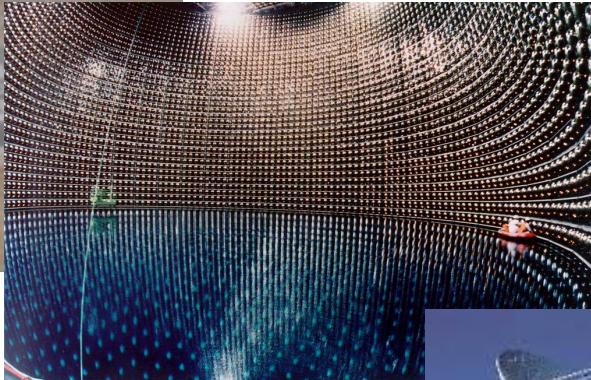
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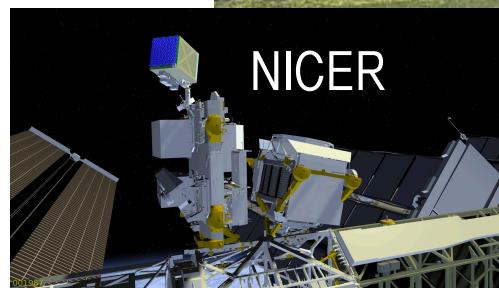
Multi-messenger astronomy



Gravitational waves

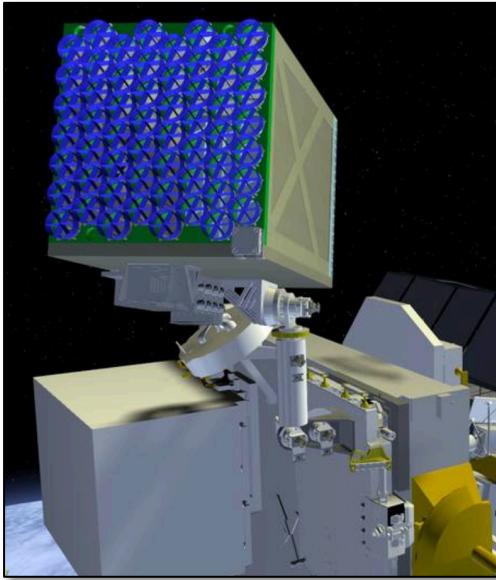


Neutrinos



Multi-wavelength EM

Observational campaigns of neutron stars

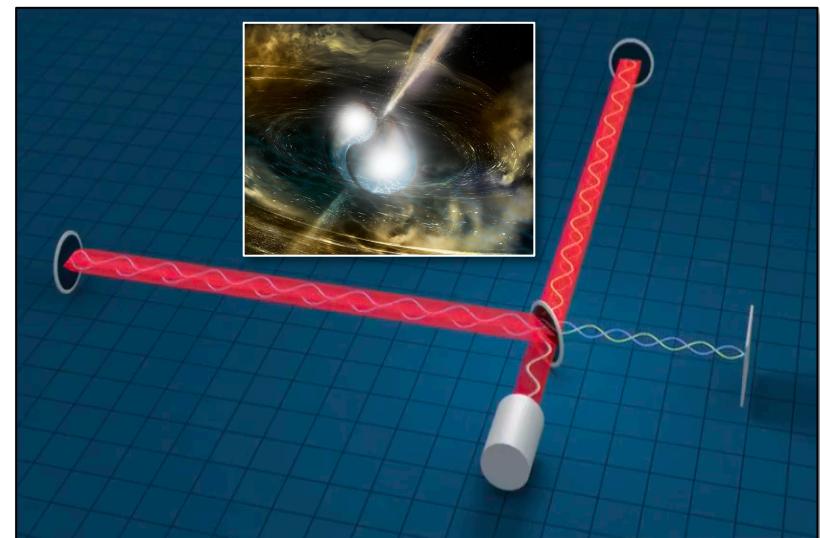


Neutron Star Interior Composition Explorer (NICER)

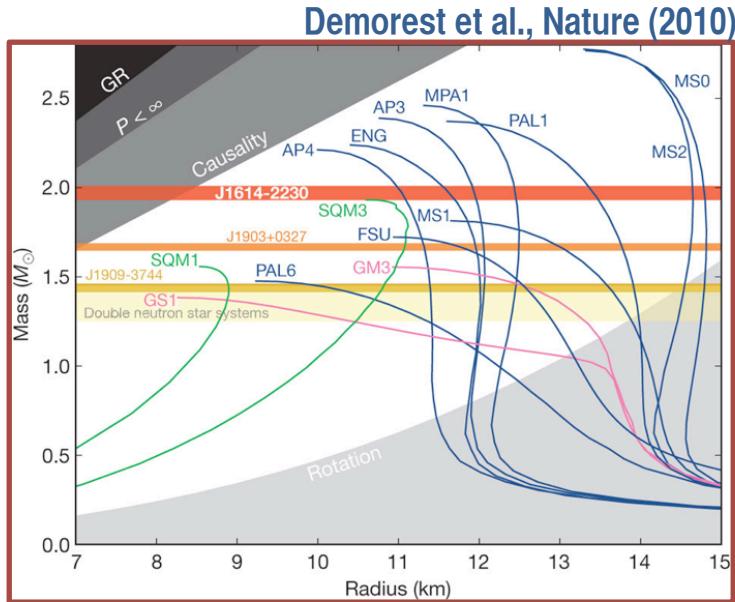
- Neutron star radii: $\pm 5\%$
- Neutron star masses: $\pm 10\%$
- Combined timing and spectral resolution in the soft X-ray band
- First dedicated targets: $\begin{cases} \text{PSR_J0437-4715} \\ \text{PSR_J0030+0451} \end{cases}$

LIGO/VIRGO

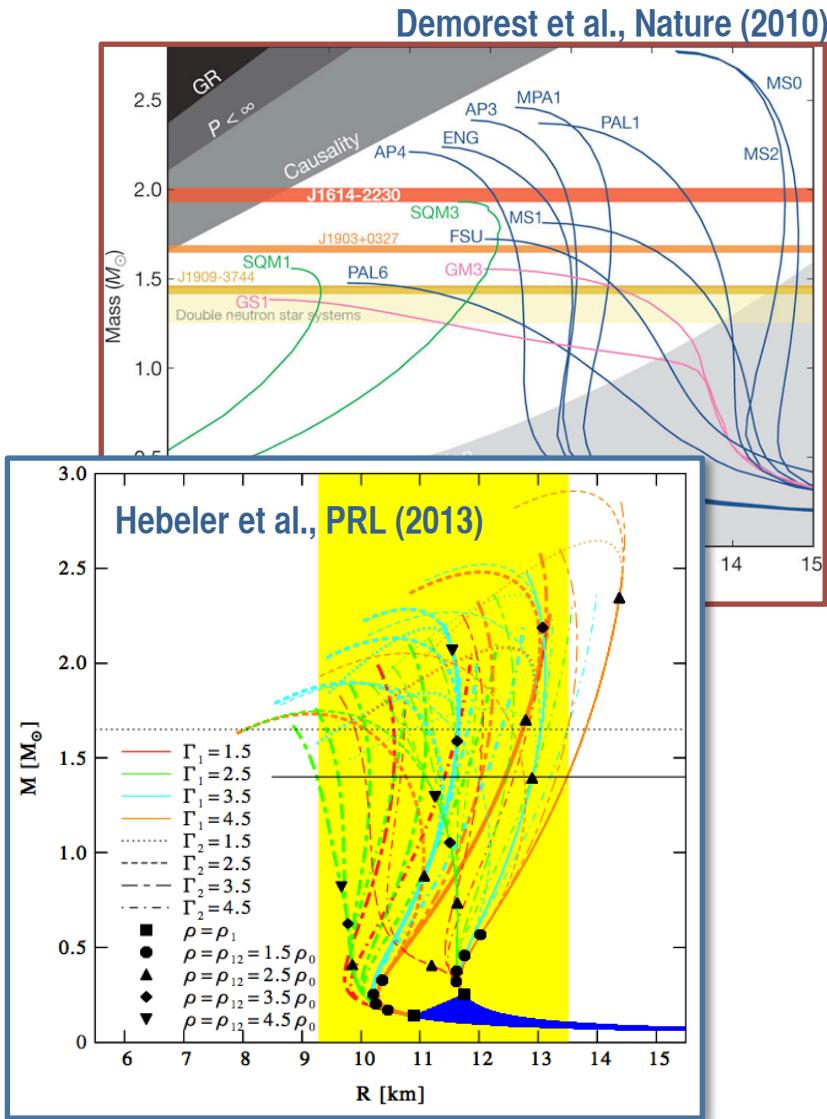
- Late-inspiral gravitational waveform related to neutron star tidal deformability
- Poster-merger peak frequency sensitive to neutron star radius



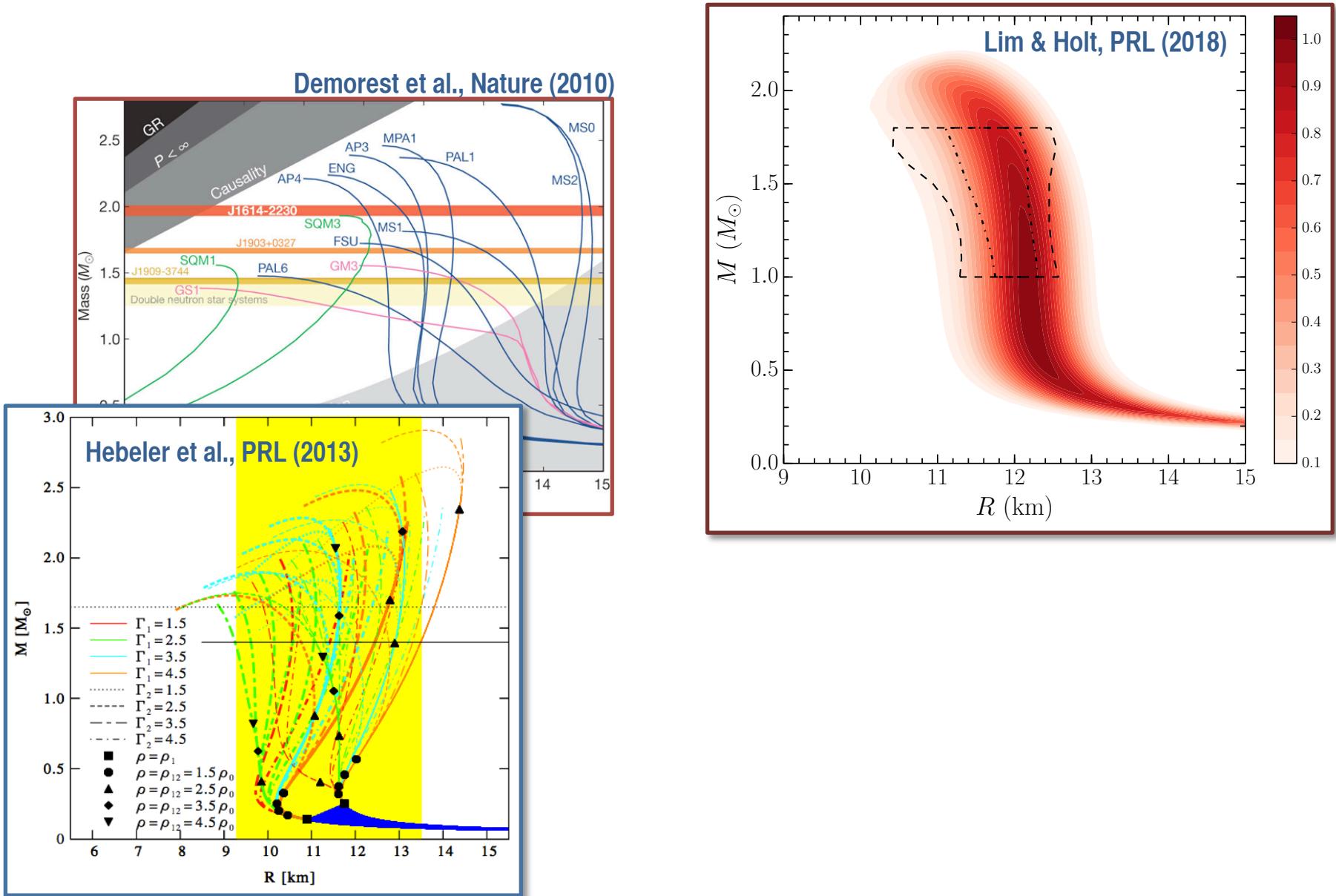
Constraints on EOS from M vs. R measurements



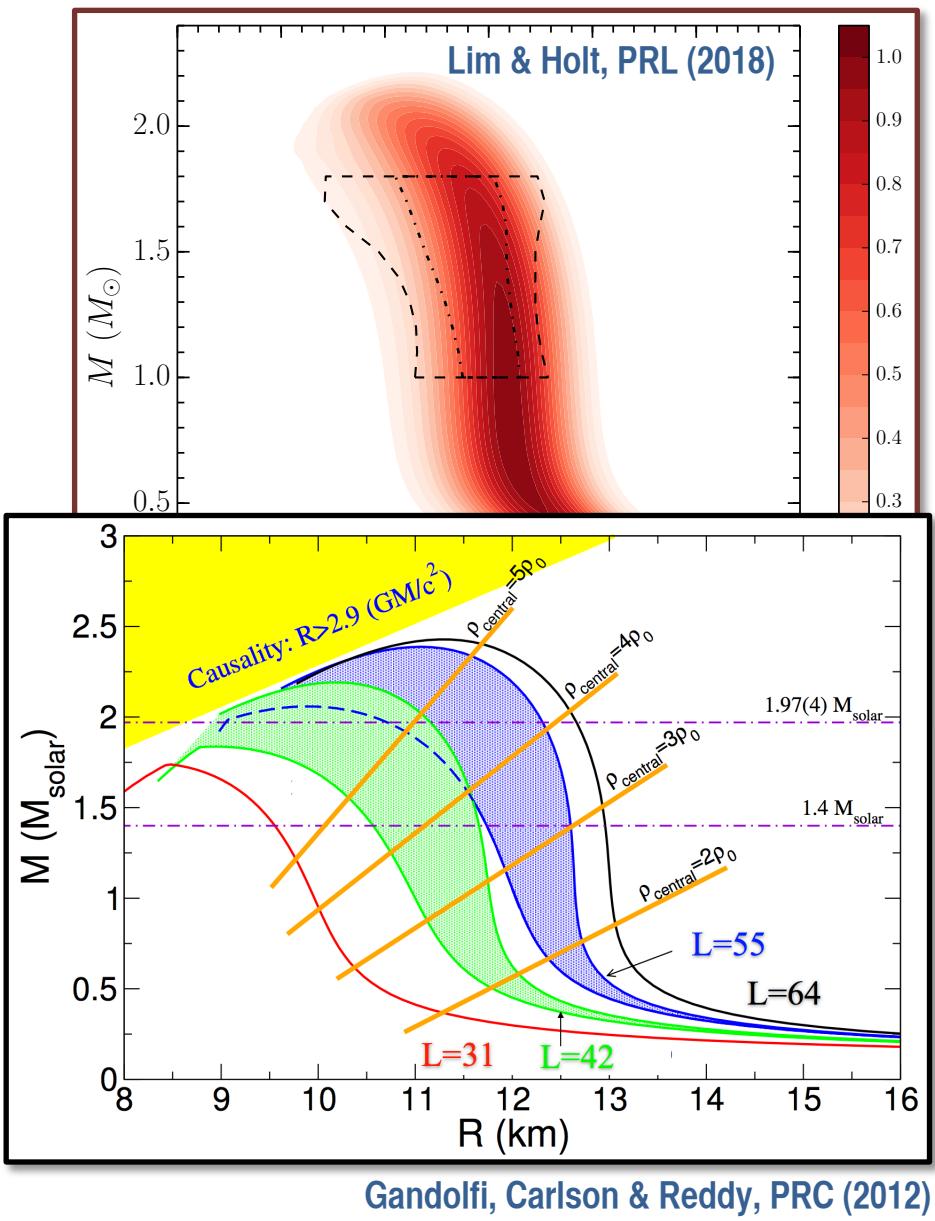
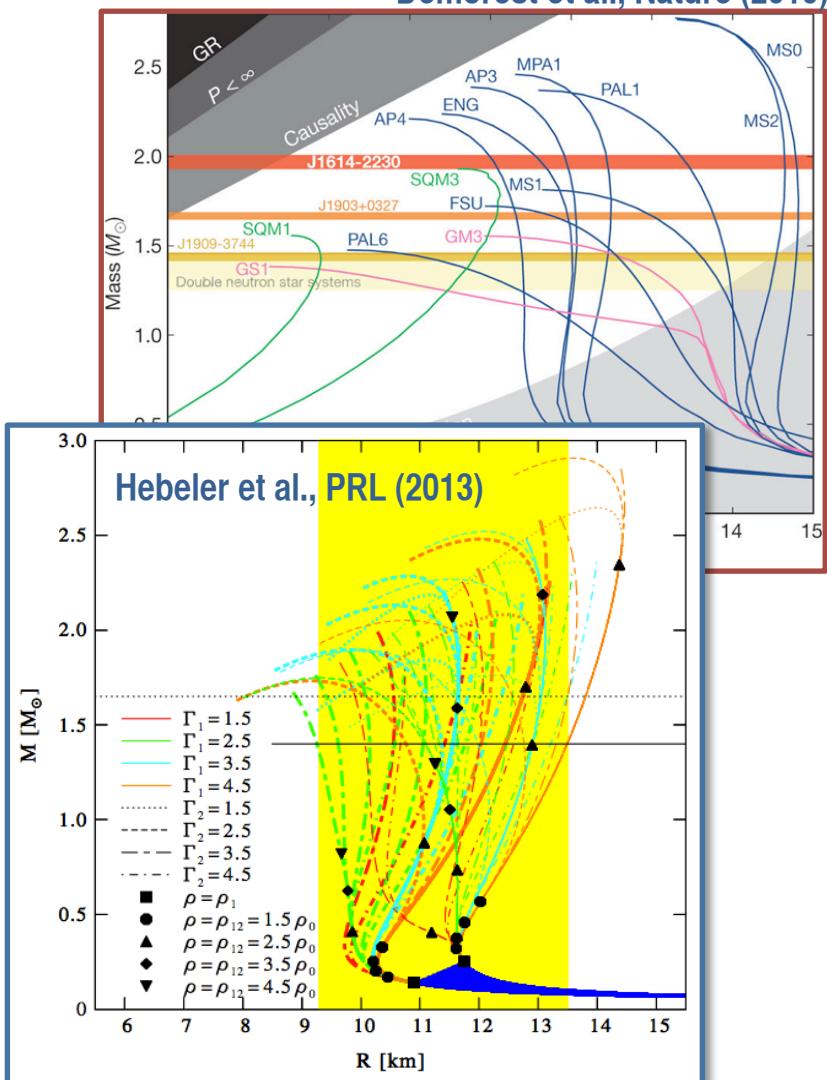
Constraints on EOS from M vs. R measurements



Constraints on EOS from M vs. R measurements



Constraints on EOS from M vs. R measurements



Nuclear forces from chiral effective field theory

NATURAL SEPARATION OF SCALES

Energy

Heavy mesons (ρ, ω) } Λ

Nucleon momenta
Pion mass } q

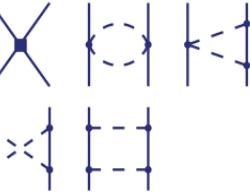
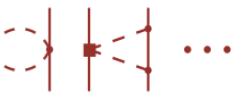
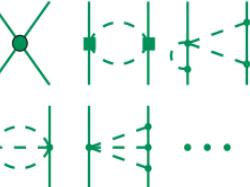
Pions weakly-coupled at low momenta

$$\mathcal{L}_{\pi\pi}^{(2)} = \frac{1}{2} \partial_\mu \vec{\pi} \cdot \partial^\mu \vec{\pi} + \frac{1}{2f_\pi^2} (\partial_\mu \vec{\pi} \cdot \vec{\pi})^2$$

$$\mathcal{L}_{\pi N}^{(1)} = \bar{N} \left(i\gamma^\mu D_\mu - m - \frac{g_A}{2f_\pi} \gamma^\mu \gamma_5 \vec{\tau} \cdot \partial_\mu \vec{\pi} \right) N$$

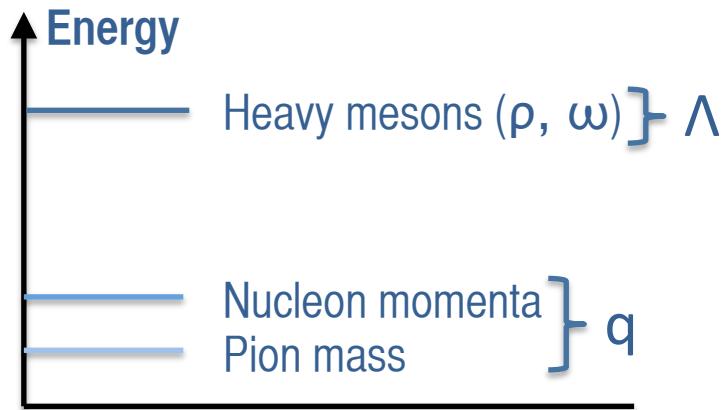
CHIRAL EFFECTIVE FIELD THEORY

Low-energy theory of nucleons and pions

	2N force	3N force	4N force
$(q/\Lambda)^0$			
$(q/\Lambda)^2$			
$(q/\Lambda)^3$			
$(q/\Lambda)^4$			
		Systematic expansion	

Nuclear forces from chiral effective field theory

NATURAL SEPARATION OF SCALES



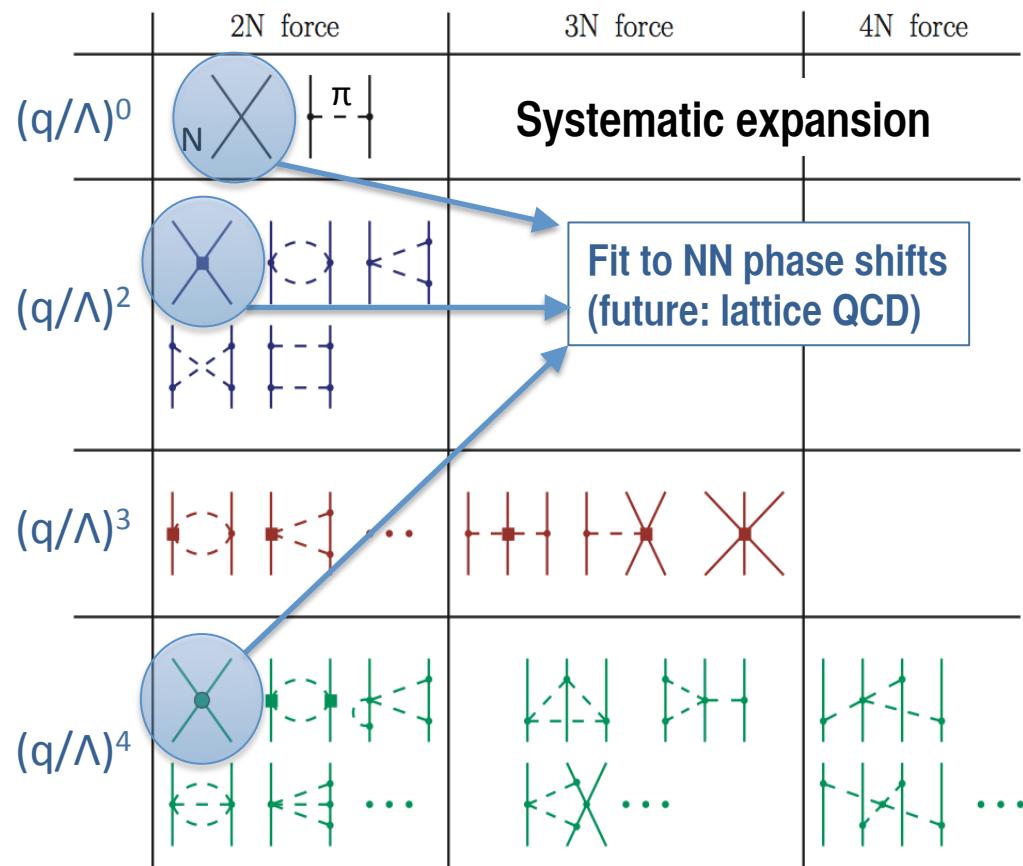
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CHIRAL EFFECTIVE FIELD THEORY

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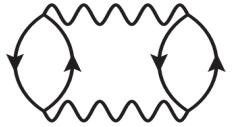
	2N force	3N force	4N force
$(q/\Lambda)^0$	$N \times \frac{\pi}{\Lambda}$		Systematic expansion
$(q/\Lambda)^2$			
$(q/\Lambda)^3$			
$(q/\Lambda)^4$			

Fit to 3H binding energy and lifetime

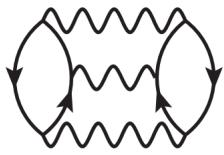
Priors from chiral EFT EOS calculations



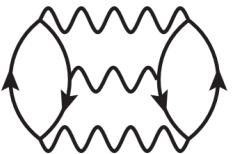
$$\rho E^{(1)} = \frac{1}{2} \sum_{12} n_1 n_2 \langle 12 | (\bar{V}_{NN} + \bar{V}_{NN}^{\text{med}}/3) | 12 \rangle,$$



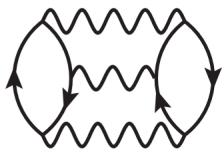
$$\rho E^{(2)} = -\frac{1}{4} \sum_{1234} |\langle 12 | \bar{V}_{\text{eff}} | 34 \rangle|^2 \frac{n_1 n_2 \bar{n}_3 \bar{n}_4}{e_3 + e_4 - e_1 - e_2},$$



$$\begin{aligned} \rho E_{\text{pp}}^{(3)} &= \frac{1}{8} \sum_{123456} \langle 12 | \bar{V}_{\text{eff}} | 34 \rangle \langle 34 | \bar{V}_{\text{eff}} | 56 \rangle \langle 56 | \bar{V}_{\text{eff}} | 12 \rangle \\ &\quad \times \frac{n_1 n_2 \bar{n}_3 \bar{n}_4 \bar{n}_5 \bar{n}_6}{(e_3 + e_4 - e_1 - e_2)(e_5 + e_6 - e_1 - e_2)}, \end{aligned}$$

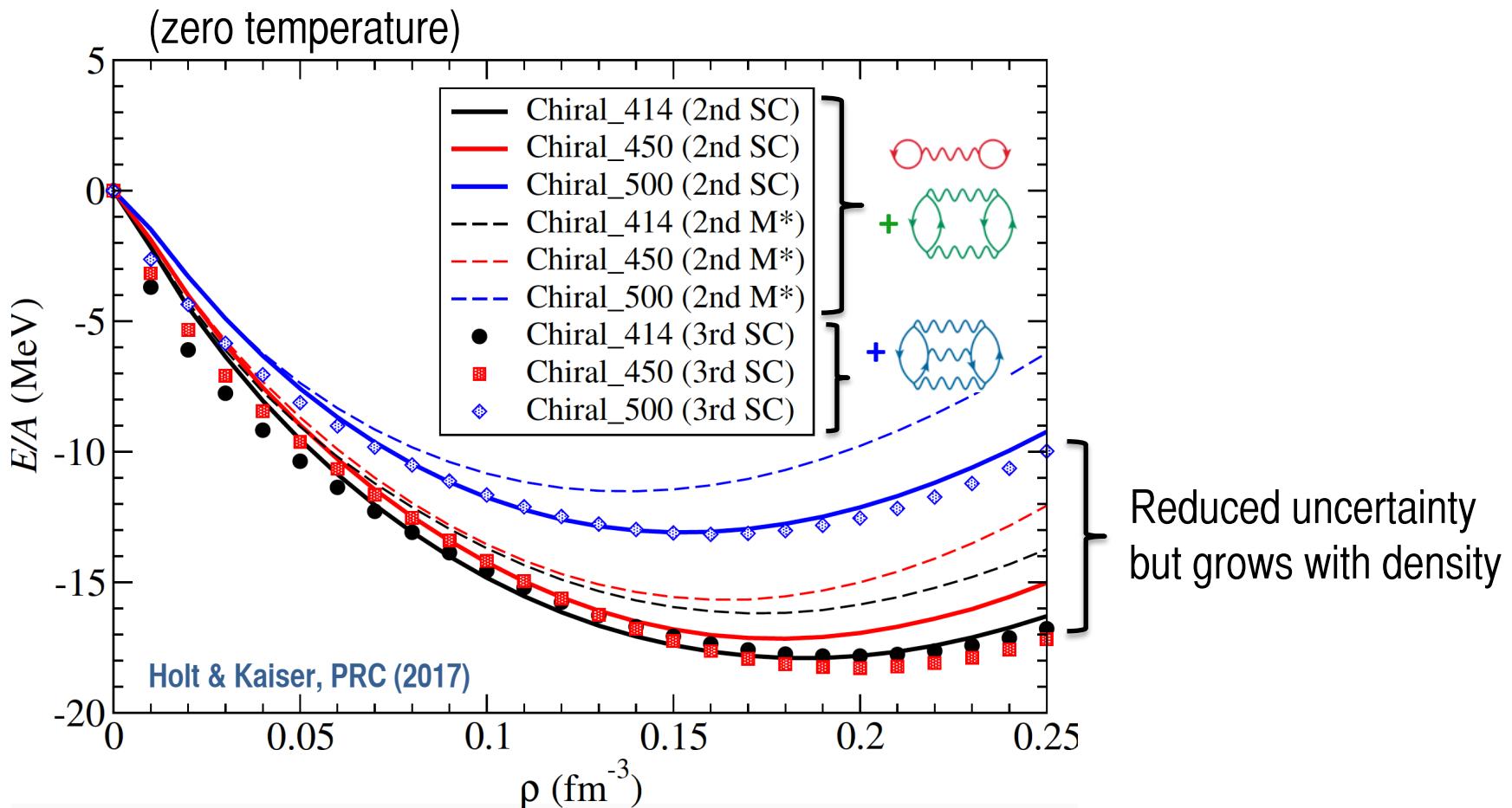


$$\begin{aligned} \rho E_{\text{hh}}^{(3)} &= \frac{1}{8} \sum_{123456} \langle 12 | \bar{V}_{\text{eff}} | 34 \rangle \langle 34 | \bar{V}_{\text{eff}} | 56 \rangle \langle 56 | \bar{V}_{\text{eff}} | 12 \rangle \\ &\quad \times \frac{\bar{n}_1 \bar{n}_2 n_3 n_4 n_5 n_6}{(e_1 + e_2 - e_3 - e_4)(e_1 + e_2 - e_5 - e_6)}, \end{aligned}$$

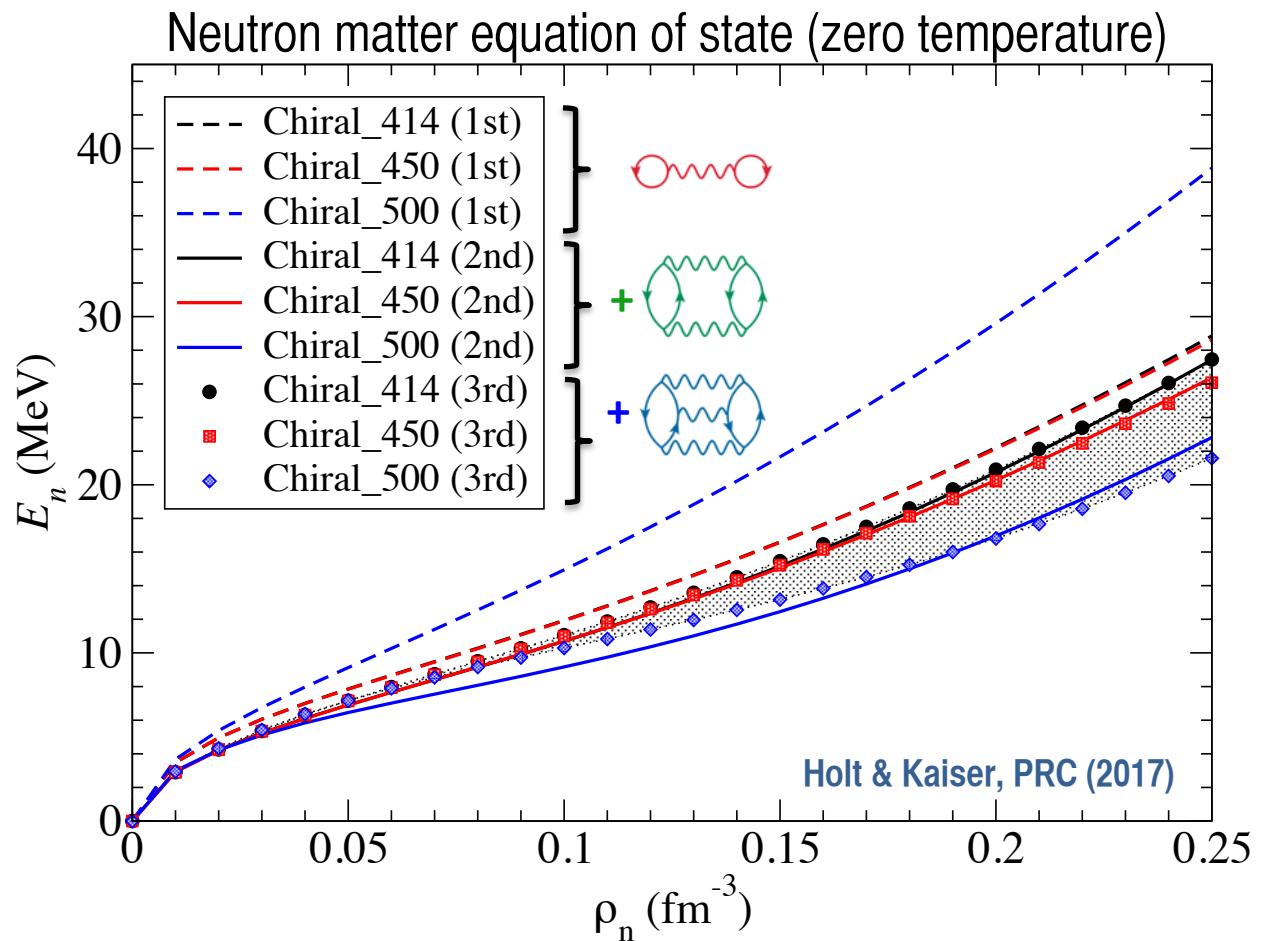


$$\begin{aligned} \rho E_{\text{ph}}^{(3)} &= - \sum_{123456} \langle 12 | \bar{V}_{\text{eff}} | 34 \rangle \langle 54 | \bar{V}_{\text{eff}} | 16 \rangle \langle 36 | \bar{V}_{\text{eff}} | 52 \rangle \\ &\quad \times \frac{n_1 n_2 \bar{n}_3 \bar{n}_4 n_5 \bar{n}_6}{(e_3 + e_4 - e_1 - e_2)(e_3 + e_6 - e_2 - e_5)}, \end{aligned}$$

Symmetric nuclear matter equation of state



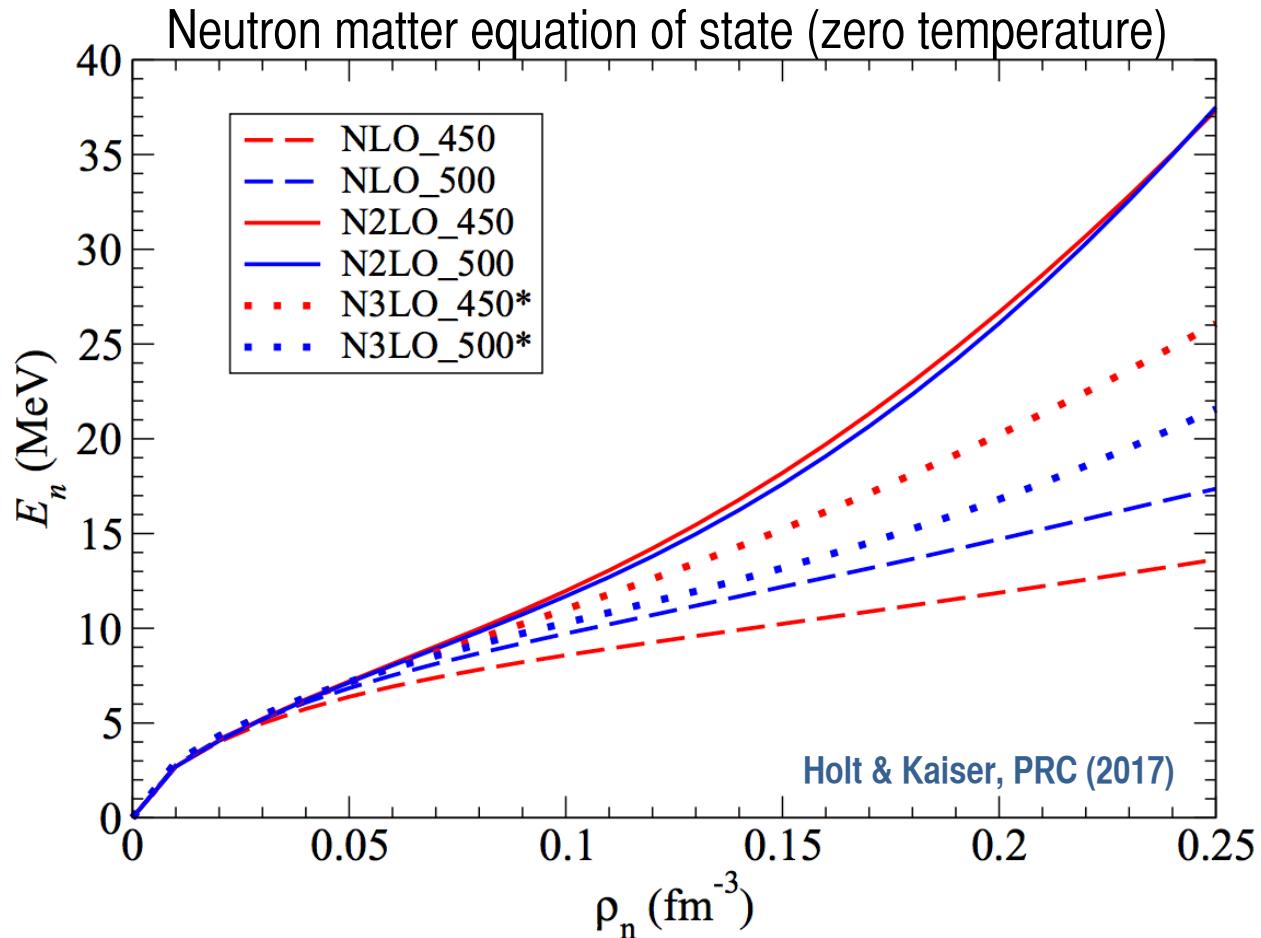
Pure neutron matter uncertainty estimates



Sources of uncertainty

- Scale dependence
- Convergence in many-body perturbation theory

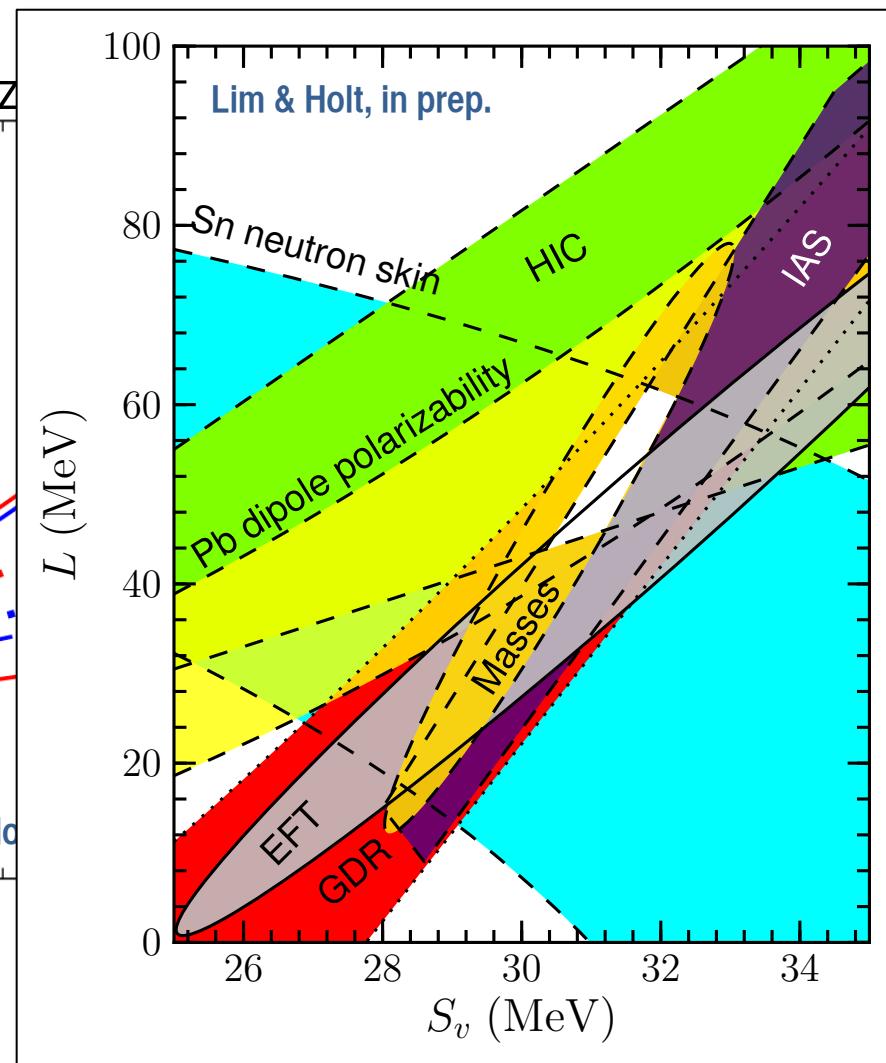
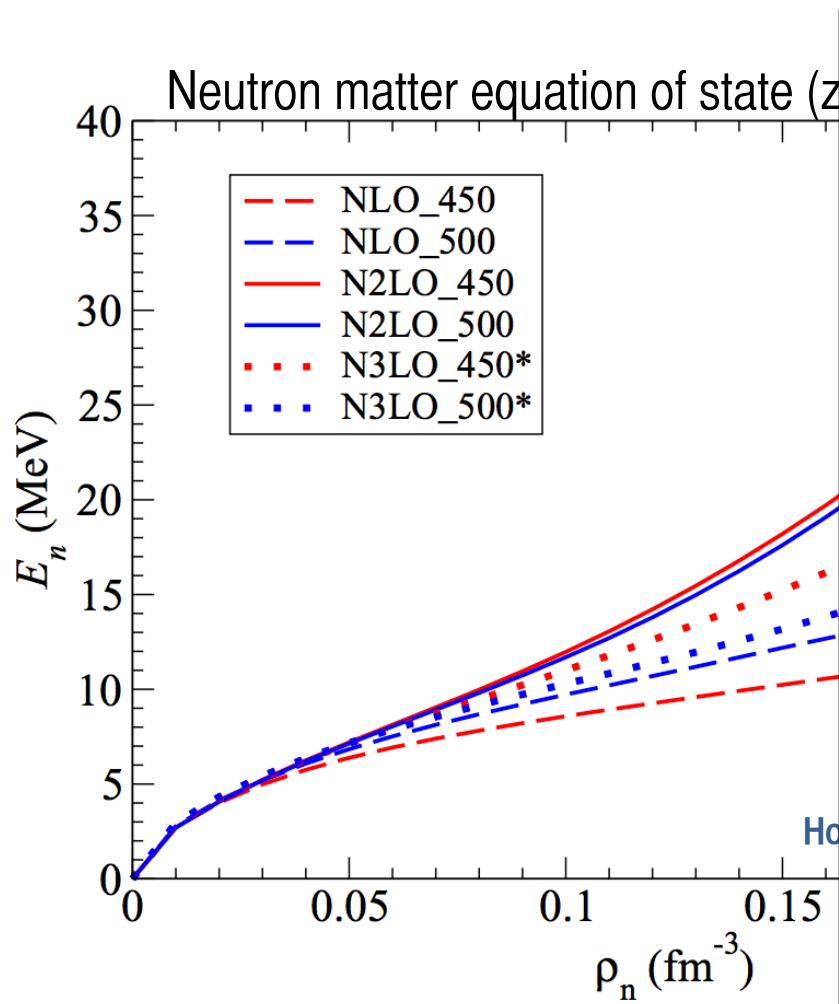
Pure neutron matter convergence in the chiral expansion



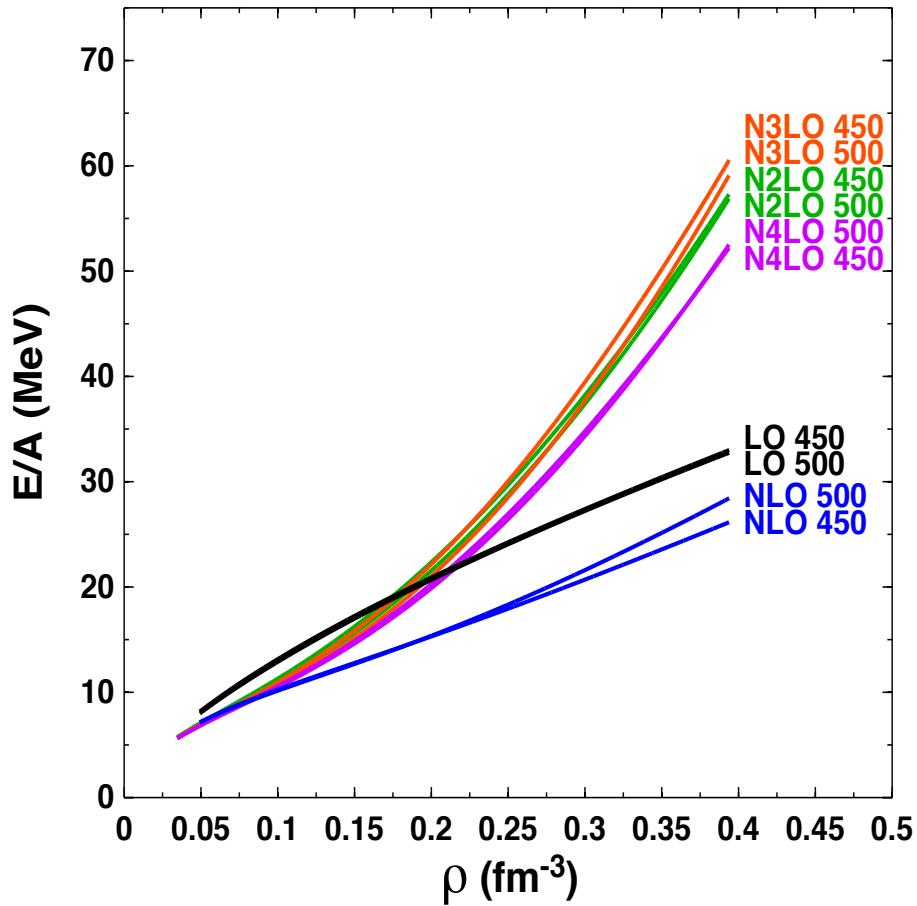
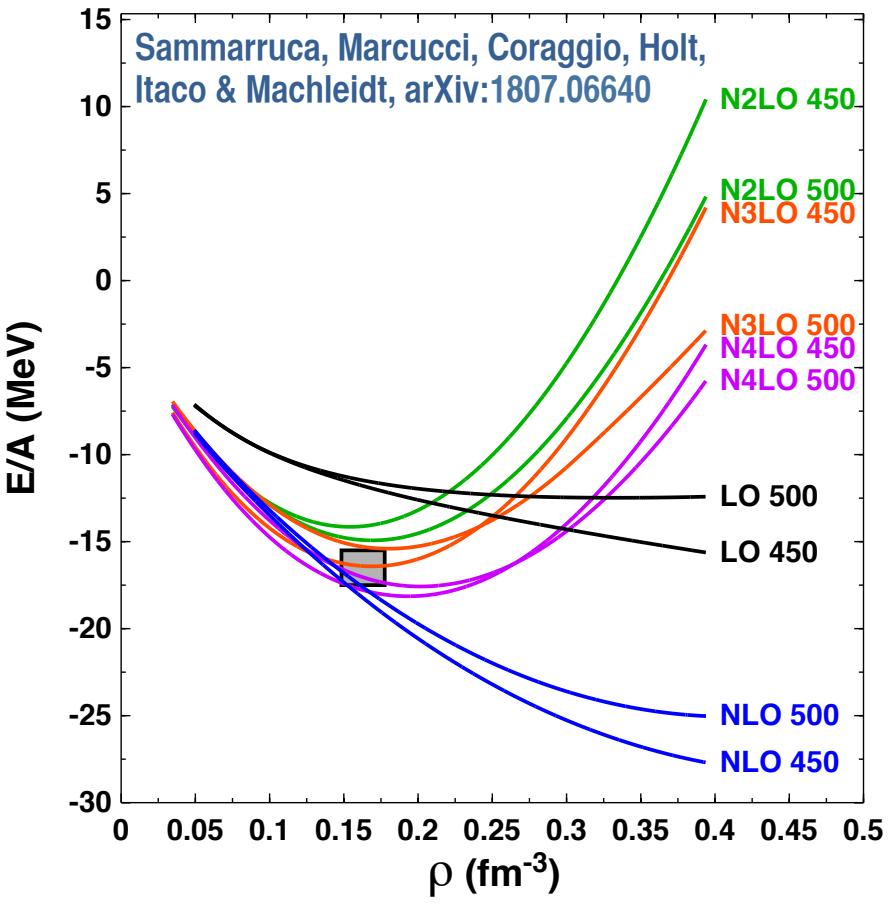
Sources of uncertainty

- Scale dependence
- Convergence in many-body perturbation theory
- Convergence in chiral expansion

Pure neutron matter convergence in the chiral expansion



Equations of state from new potentials up to 5th order in the chiral expansion



Question: How to infer properties of the neutron star equation of state from a precise mass vs. radius measurement?

- Construct a model with parameters \vec{a}
- Bayes' Theorem:

$$P(\vec{a}|data) \sim \underbrace{P(data|\vec{a})}_{\text{Likelihood of data given a probability distribution for } \vec{a}} \underbrace{P(\vec{a})}_{\text{Beliefs about parameters } \vec{a} \text{ before measurements ("Prior")}}$$

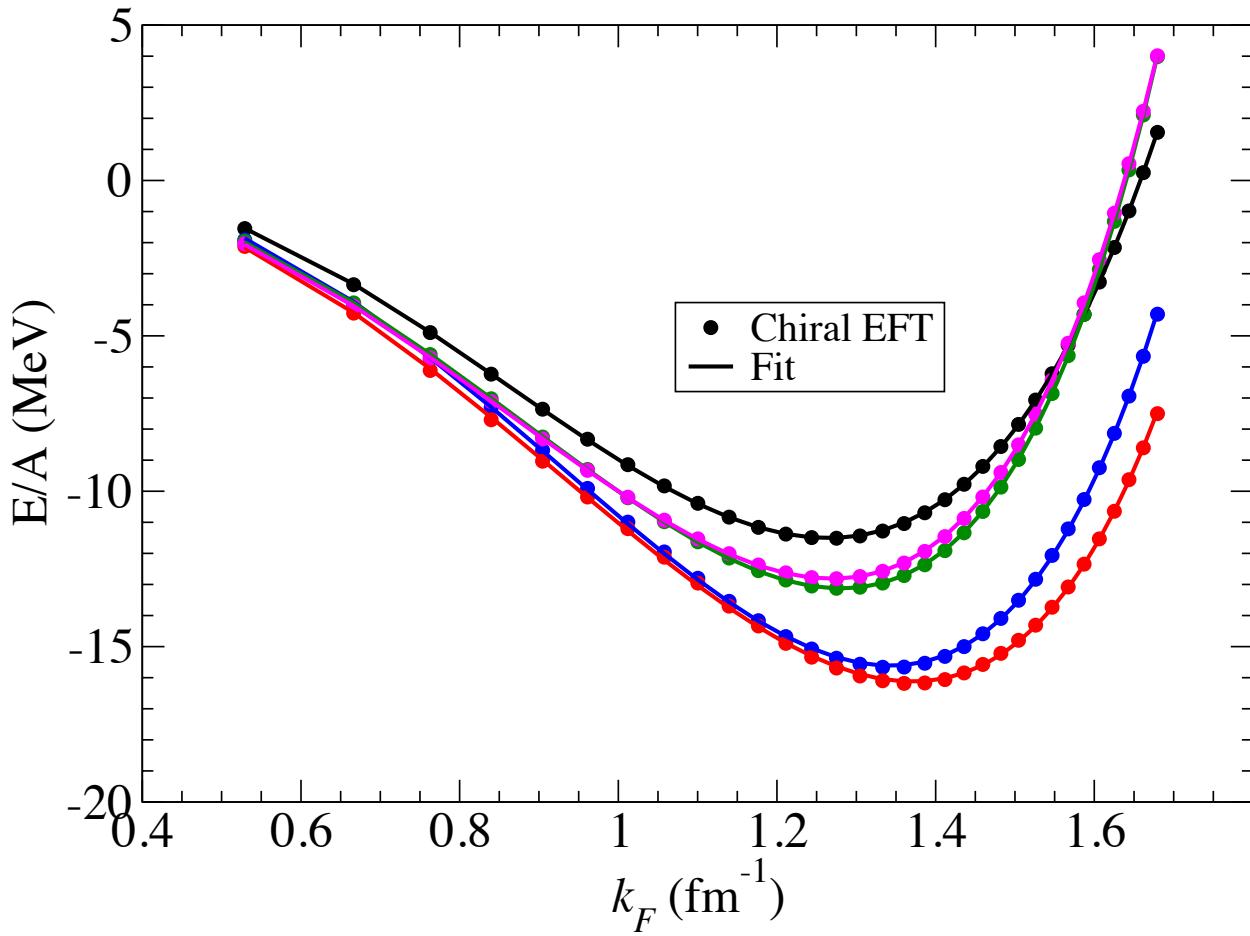
The diagram illustrates the components of Bayes' Theorem. It features three boxes arranged horizontally. The first box, with a black border, contains the text "Posterior". The second box, with a blue border, contains the text "Likelihood of data given a probability distribution for \vec{a} ". The third box, with a red border, contains the text "Beliefs about parameters \vec{a} before measurements ("Prior")". Three arrows point upwards from each box to their respective terms in the equation: a black arrow from "Posterior" to the first term, a blue arrow from "Likelihood" to the second term, and a red arrow from "Prior" to the third term.

- Strategy:
 - Find useful parametrizations for the equation of state
 - Obtain priors from chiral EFT predictions
 - Use laboratory measurements of finite nuclei to obtain likelihood functions and posteriors

Prior distributions from chiral EFT

$$\frac{E}{A}(\rho, \delta = 0) = \frac{3k_F^2}{10m} + \frac{k_F^3}{9\pi^2} \left(a_0 + a_1 \beta + \frac{1}{2}a_2 \beta^2 + \frac{1}{6}a_3 \beta^3 \right)$$

$$\beta = \frac{k_F - k_r}{k_r}$$



$$a_0 = -3.41 \pm 0.20 \text{ fm}^2$$

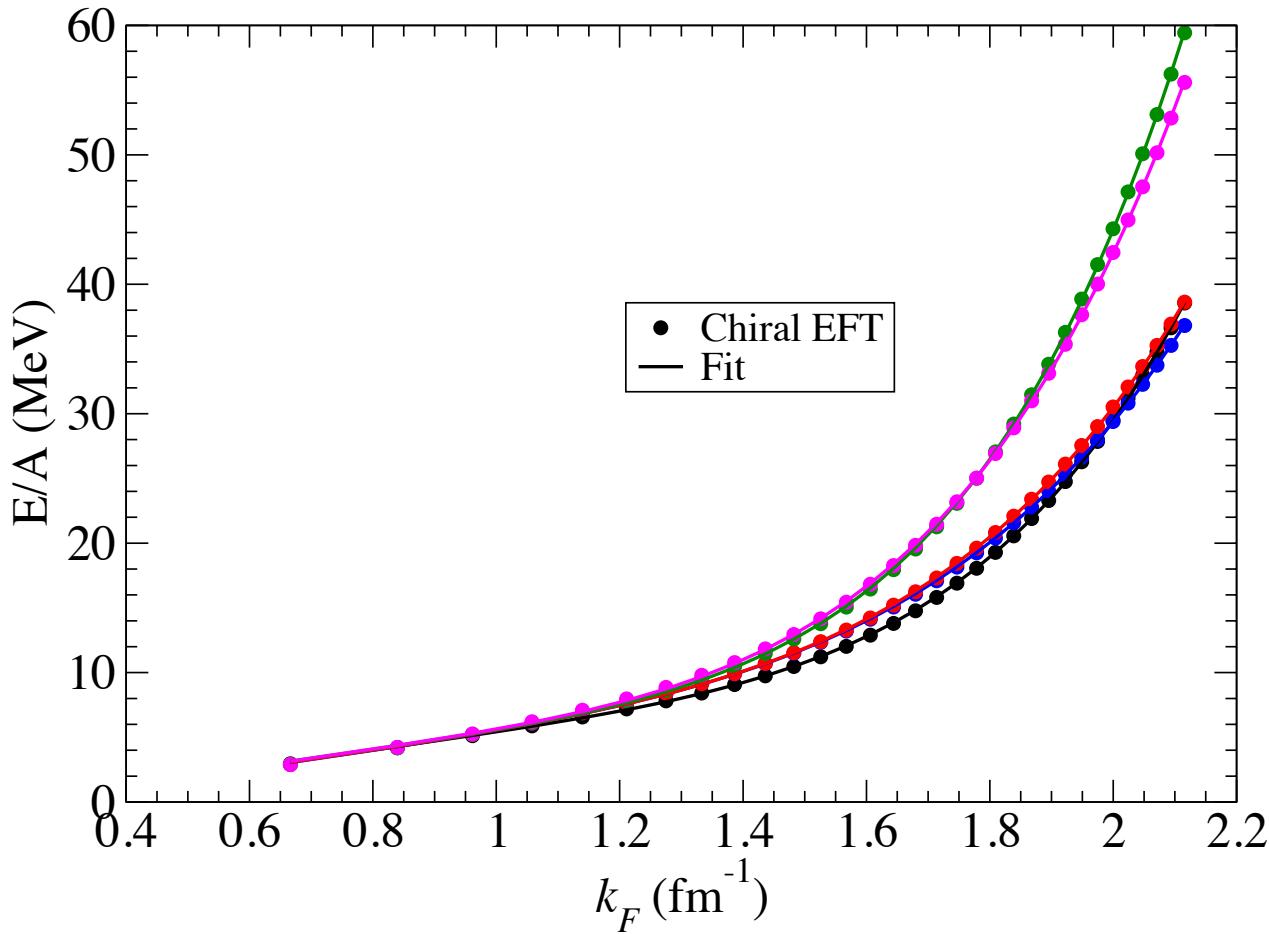
$$a_1 = 6.44 \pm 0.25 \text{ fm}^2$$

$$a_2 = -1.02 \pm 0.96 \text{ fm}^2$$

$$a_3 = 21.92 \pm 8.98 \text{ fm}^2$$

Prior distributions from chiral EFT

$$\frac{E}{A}(\rho, \delta = 1) = 2^{2/3} \frac{3k_F^2}{10m} + \frac{k_F^3}{9\pi^2} \left(b_0 + b_1 \beta + \frac{1}{2} b_2 \beta^2 + \frac{1}{6} b_3 \beta^3 \right)$$



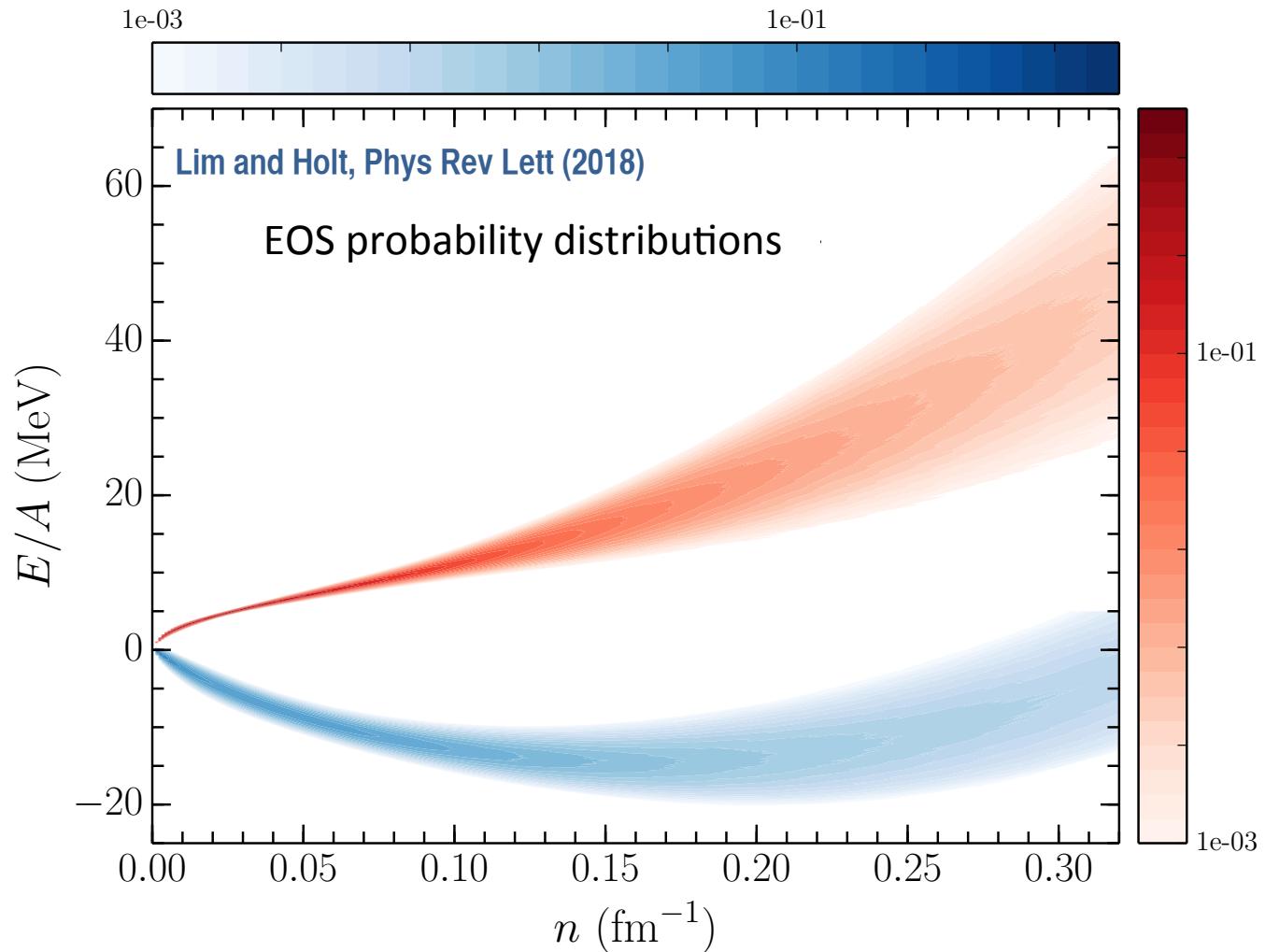
$$b_0 = -1.68 \pm 0.22 \text{ fm}^2$$

$$b_1 = 4.14 \pm 0.90 \text{ fm}^2$$

$$b_2 = 3.81 \pm 2.56 \text{ fm}^2$$

$$b_3 = 5.11 \pm 2.84 \text{ fm}^2$$

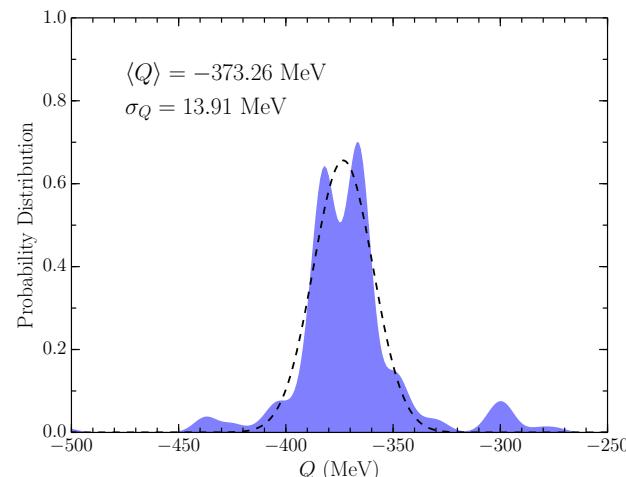
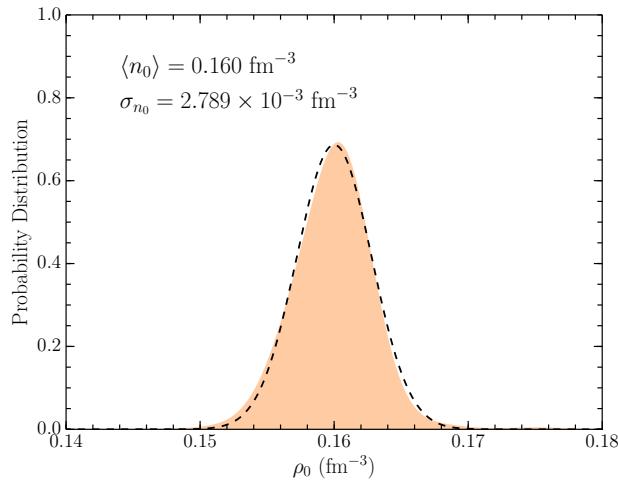
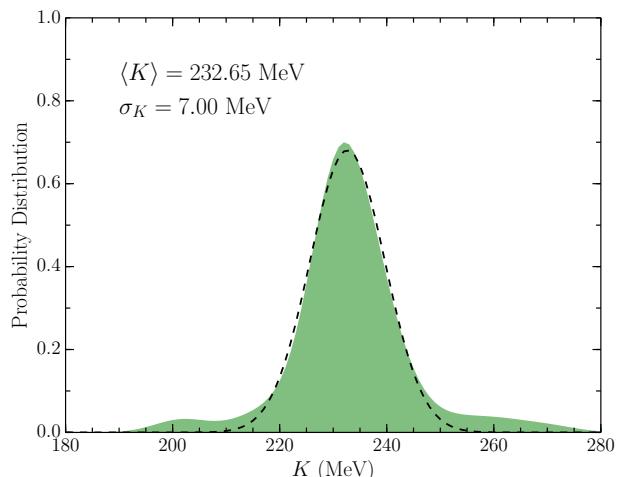
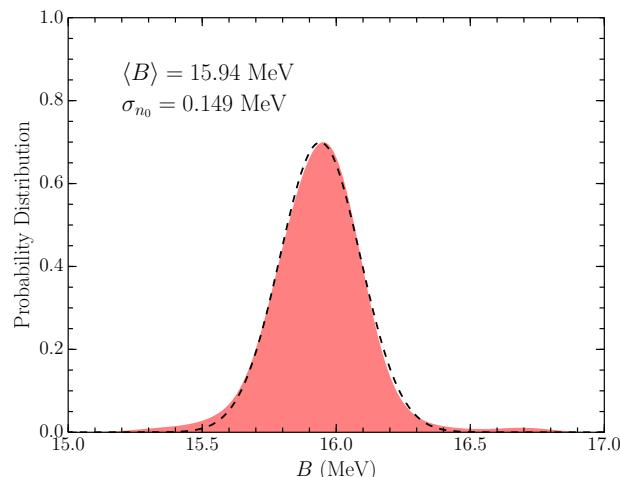
Equations of state from chiral EFT priors



Likelihood functions for symmetric nuclear matter

- Parametrization: $\frac{E}{A}(\rho, \delta = 0) = \frac{3k_F^2}{10m} + \frac{k_F^3}{9\pi^2} \left(a_0 + a_1 \beta + \frac{1}{2}a_2 \beta^2 + \frac{1}{6}a_3 \beta^3 \right)$
- Average values of \vec{a} and full covariance matrix from analysis of 200 Skyrme mean field models fitted to nuclear properties

[M. Dutra et al., PRC (2012)]



Parametrizing the isospin-asymmetry dependence

$$\frac{E}{A}(\rho, \delta_{np}) = A_0(\rho) + S_2(\rho)\delta_{np}^2 + \underbrace{\sum_{n=2}^{\infty} (S_{2n} + L_{2n} \ln |\delta_{np}|) \delta_{np}^{2n}}_{\text{small}}$$

- Traditionally expand symmetry energy about saturation density: $S_2(\rho) = J + L \left(\frac{\rho - \rho_0}{3\rho_0} \right) + \frac{1}{2} K_{\text{sym}} \left(\frac{\rho - \rho_0}{3\rho_0} \right)^2 + \dots$
- In Fermi liquid theory, symmetry energy related to 2 Landau parameters: $S_2(k_F) = \frac{k_F^2}{6m} + \frac{k_F^3}{9\pi^2} [3f'_0(k_F) - f_1(k_F)]$
- The expression leads to two correlation equations [Holt & Lim, PLB (2018)] :

$$L = 3\rho_0 \left. \frac{dS_2}{d\rho} \right|_{\rho_0} = 3J - S_0 + \frac{\rho_0}{6} \left. \left(3k_F \frac{df'_0}{dk_F} - k_F \frac{df_1}{dk_F} \right) \right|_{k_F^0}$$

$$K_{\text{sym}} = 9\rho_0^2 \left. \frac{d^2 S_2}{d\rho^2} \right|_{\rho_0} = 4L - 12J + 2S_0 + \frac{\rho_0}{6} \left. \left(3k_F^2 \frac{d^2 f'_0}{dk_F^2} - k_F^2 \frac{d^2 f_1}{dk_F^2} \right) \right|_{k_F^0}$$

Parametrizing the zero-temperature equation of state

- Expand about a small reference Fermi momentum k_r :

$$S_2(k_F) = \frac{k_F^2}{6m} + \frac{k_F^3}{9\pi^2} [3f'_0(k_F) - f_1(k_F)]$$

$$= \frac{k_F^2}{6m} + \frac{k_F^3}{9\pi^2} \left[c_0 + c_1 \beta + \frac{1}{2} c_2 \beta^2 \right]$$

$$\beta = \frac{k_F - k_r}{k_r}$$

- At low densities, Fermi liquid parameters should be well constrained by **chiral effective field theory**
- Logarithmic terms $\sim \log(1 + 4k_F^2/m_\pi^2)$ in the symmetry energy require $k_r > 0.9 \text{ fm}^{-1}$ for the Taylor series to be convergent at saturation density
- Perturbation theory expansion breaks down below similar scale

Therefore choose

$$\beta_0 = \frac{k_F^0 - k_r}{k_r} \simeq 0.5$$

Absorb density dependence of FLP's into correlations

- Symmetry energy slope parameter [Holt & Lim, PLB (2018)] :

$$L = 3\rho_0 \left. \frac{dS_2}{d\rho} \right|_{\rho_0} = 3J - S_0 + \frac{\rho_0}{6} \left(3k_F \frac{df'_0}{dk_F} - k_F \frac{df_1}{dk_F} \right) \Big|_{k_F^0}$$

$$= (3 + \gamma)J - (1 + \gamma)S_0 - \gamma \frac{\rho_0}{6} (c_0 - \eta_1 c_1 + \eta_1 c_2)$$

- Likewise for the symmetry energy incompressibility [Holt & Lim, PLB (2018)] :

$$K_{\text{sym}} = 9\rho_0^2 \left. \frac{d^2 S_2}{d\rho^2} \right|_{\rho_0} = 4L - 12J + 2S_0 + \frac{\rho_0}{6} \left(3k_F^2 \frac{d^2 f'_0}{dk_F^2} - k_F^2 \frac{d^2 f_1}{dk_F^2} \right) \Big|_{k_F^0}$$

$$= 5\gamma J - (5\gamma + 2)S_0 - 5\gamma \frac{\rho_0}{6} (c_0 - \eta_2 c_1 + \eta_2 c_2)$$

- Expect a_0 to be well constrained from chiral EFT

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$\gamma = 3.7 \quad \eta_1 = -0.08$

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$$= 5\gamma J - (5\gamma + 2)S_0 - 5\gamma \frac{\rho_0}{6} (c_0 - \eta_2 c_1 + \eta_2 c_2)$$

$\eta_2 = -0.16$

- Expect a_0 to be well constrained from chiral EFT

Absorb density dependence of FLP's into correlations

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Universal slope parameter

Model-dependent scale shift

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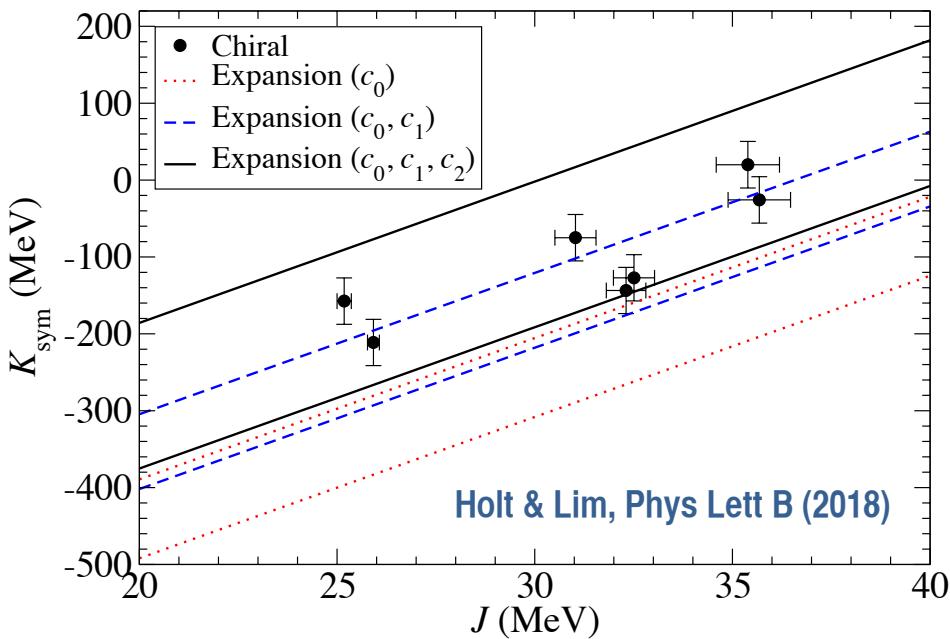
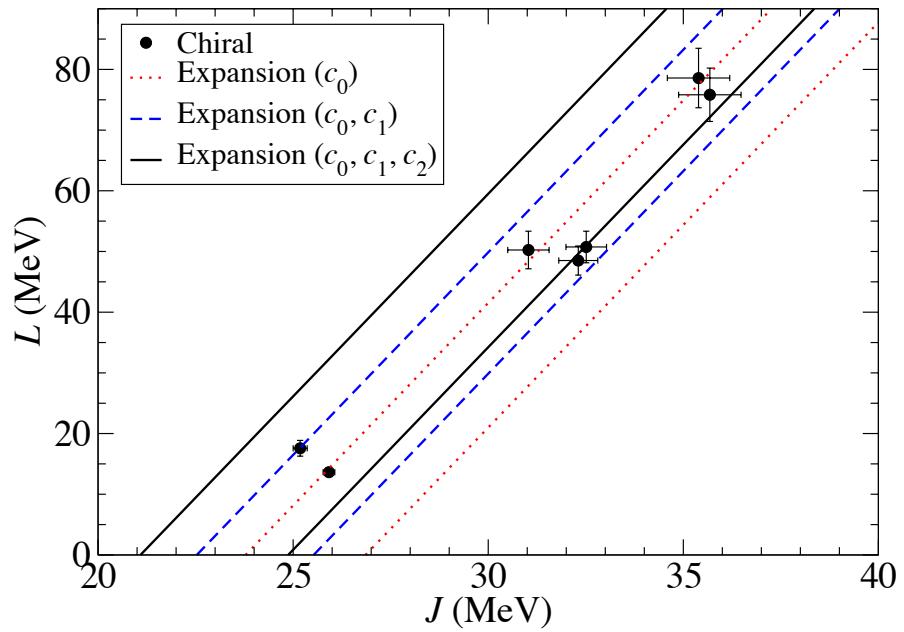
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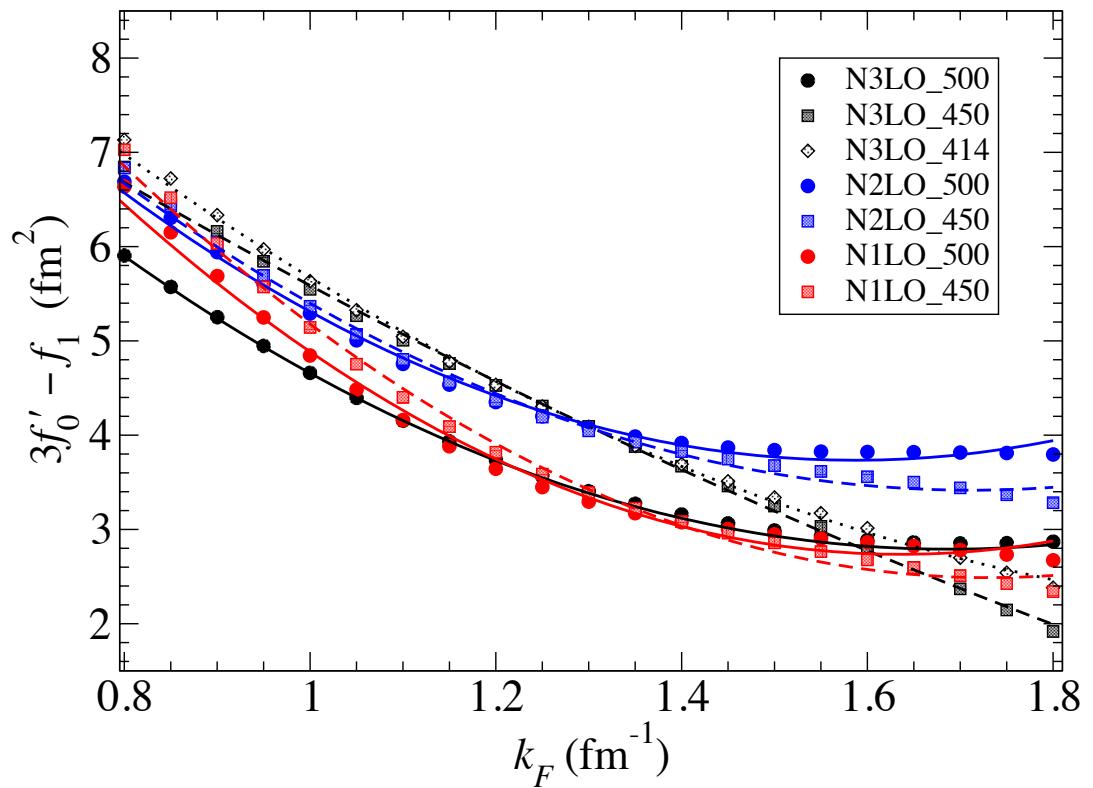
- Expect a_0 to be well constrained from chiral EFT

Comparison to chiral EFT results



- NLO, N2LO, and N3LO potentials (plus N2LO three-body force)
- Predicted correlation slopes agree well with explicit chiral EFT results

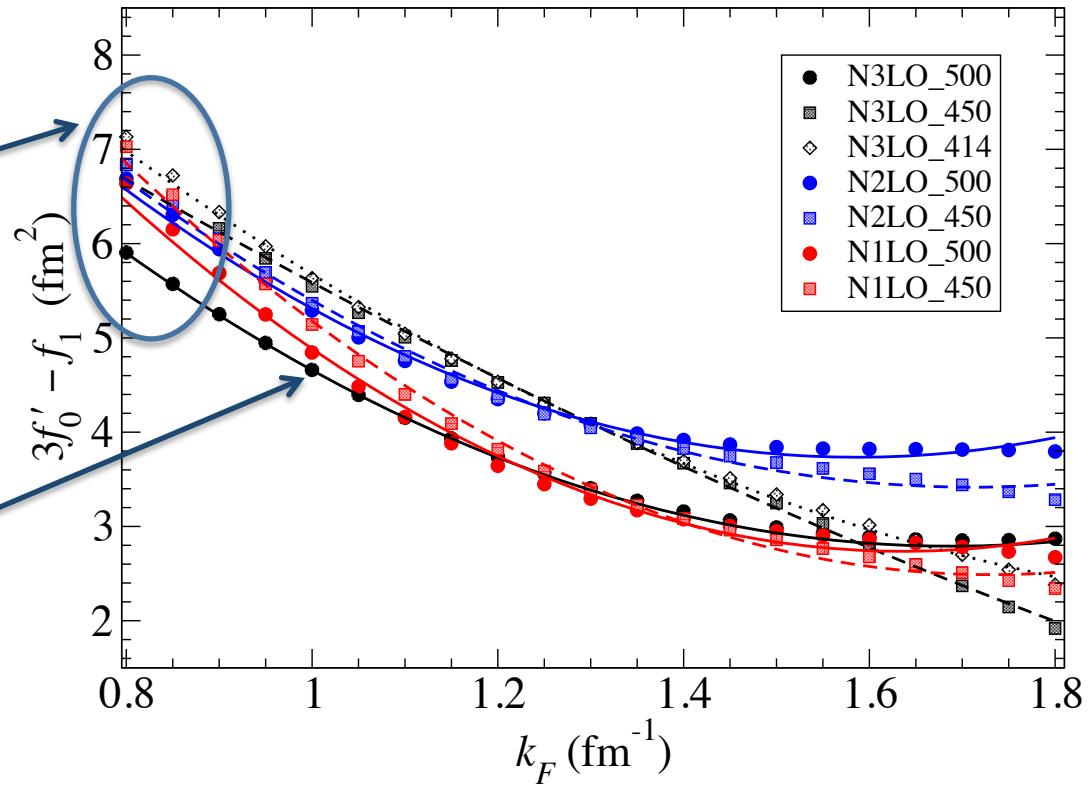
Validity of ansatz



Validity of ansatz

Convergence at small Fermi momenta

N3LO_500 potential least perturbative



- Better theory constraints on low-density Fermi liquid parameters may reduce correlation uncertainties

“Global” parametrization of symmetry energy

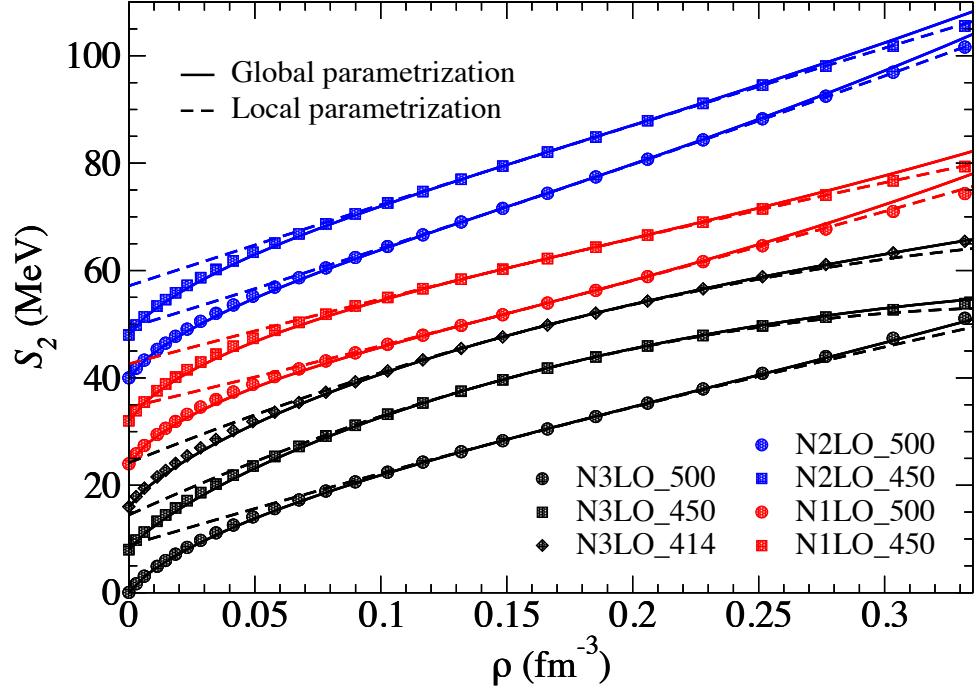
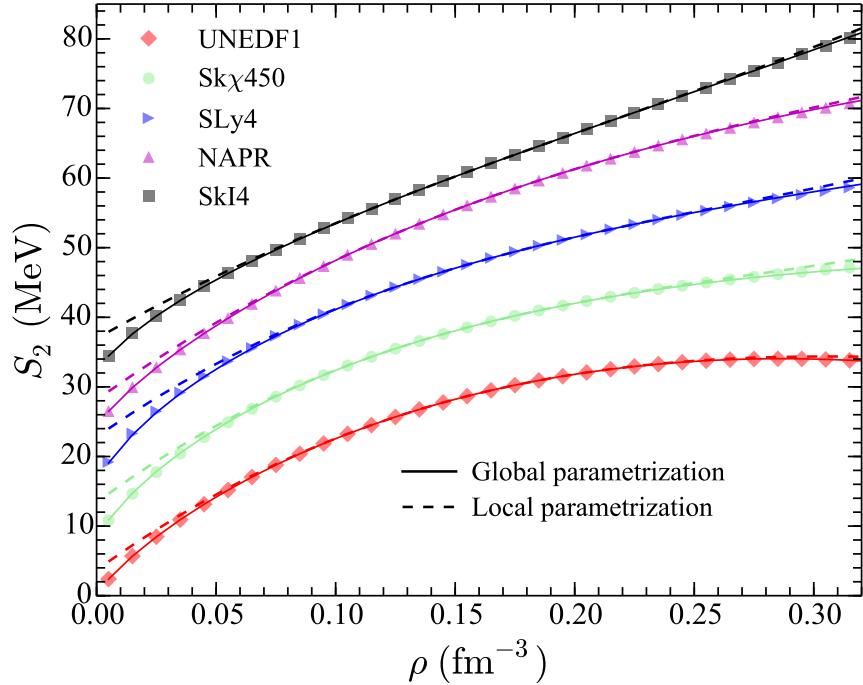
$$S_2(\rho) = \sum_{i=0}^3 b_i \left(\frac{\rho}{\rho_0} \right)^{(i+2)/3}$$

$$b_0 = S_0$$

$$b_1 = \frac{1}{2}K_{\text{sym}} - 3L + 10J - 3S_0$$

$$b_2 = -K_{\text{sym}} + 5L - 15J + 3S_0$$

$$b_3 = \frac{1}{2}K_{\text{sym}} - 2L + 6J - S_0$$



Likelihood functions for pure neutron matter

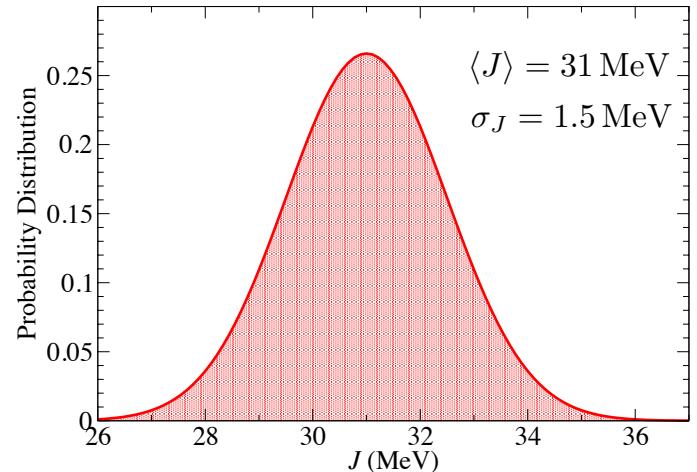
- Parametrization: $\frac{E}{A}(\rho, \delta = 1) = 2^{2/3} \frac{3k_F^2}{10m} + \frac{k_F^3}{9\pi^2} \left(b_0 + b_1 \beta + \frac{1}{2} b_2 \beta^2 + \frac{1}{6} b_3 \beta^3 \right)$

$$S_2(\rho) = \frac{k_F^2}{6m} + \frac{k_F^3}{9\pi^2} \underbrace{\left(c_0 + c_1 \beta + \frac{1}{2} c_2 \beta^2 + \frac{1}{6} c_3 \beta^3 \right)}_{}$$

Correlations among J, L, K_{sym}

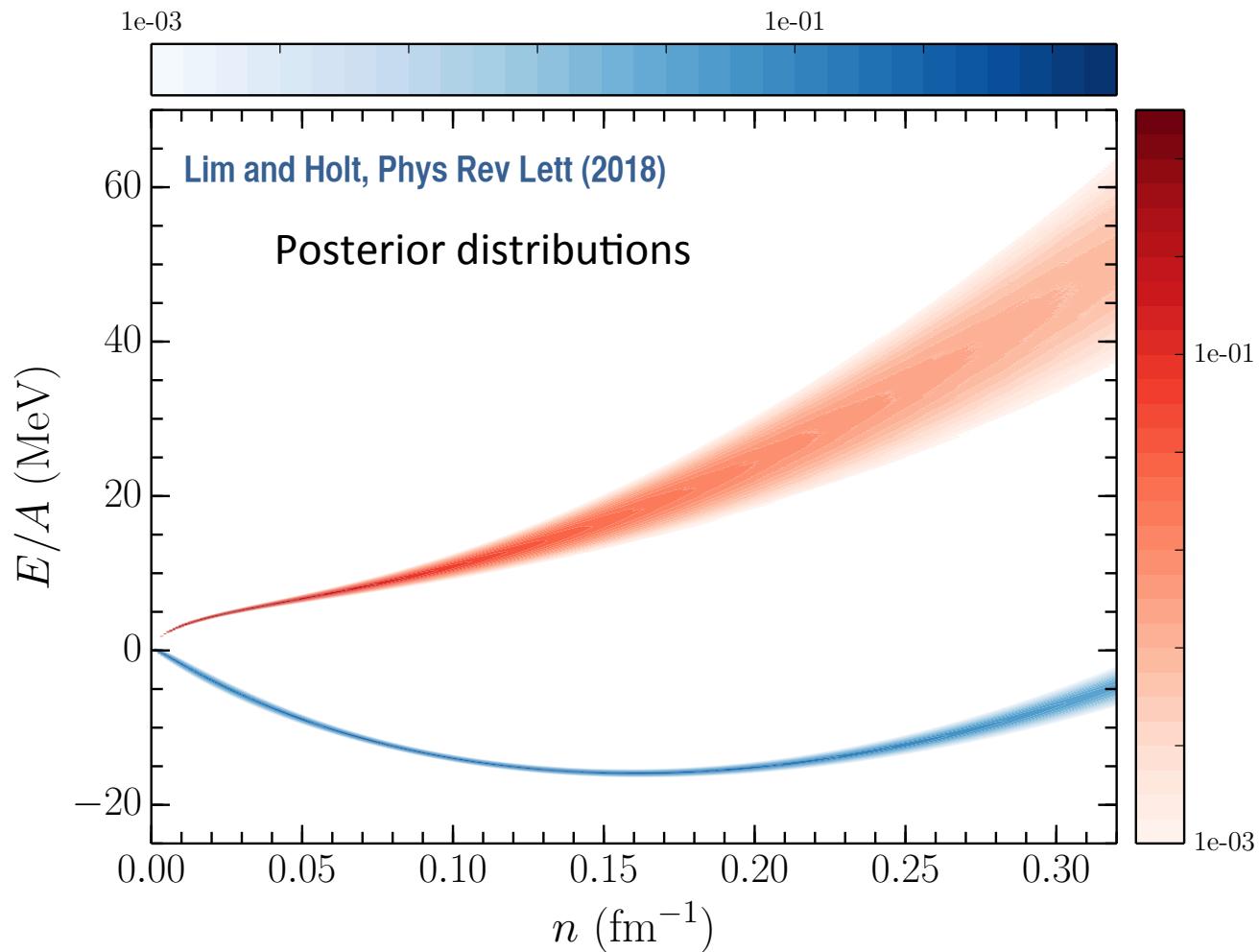
$$L = (3 + \gamma)J - (1 + \gamma)S_0 - \gamma \frac{\rho_0}{6} (c_0 - \eta_1 c_1 + \eta_1 c_2)$$

$$K_{\text{sym}} = 5\gamma J - (5\gamma + 2)S_0 - 5\gamma \frac{\rho_0}{6} (c_0 - \eta_2 c_1 + \eta_2 c_2)$$



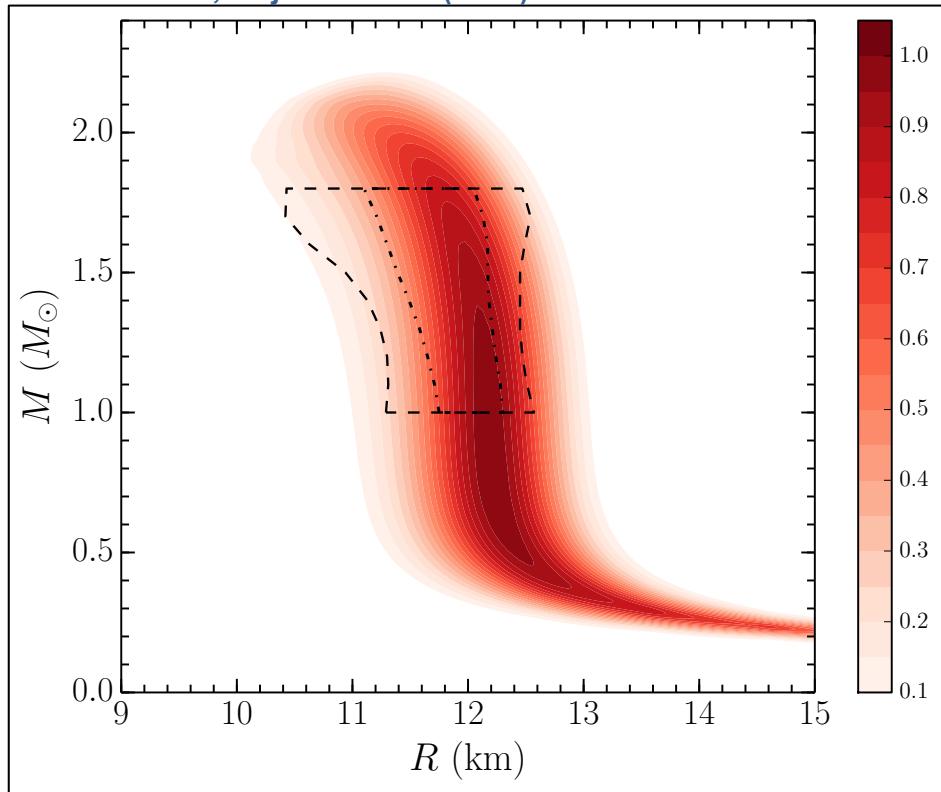
- Derive likelihood functions involving $\{b_0, b_1, b_2, b_3\}$ for subsequent Bayesian posterior probability distribution

Equations of state from posterior probability distributions



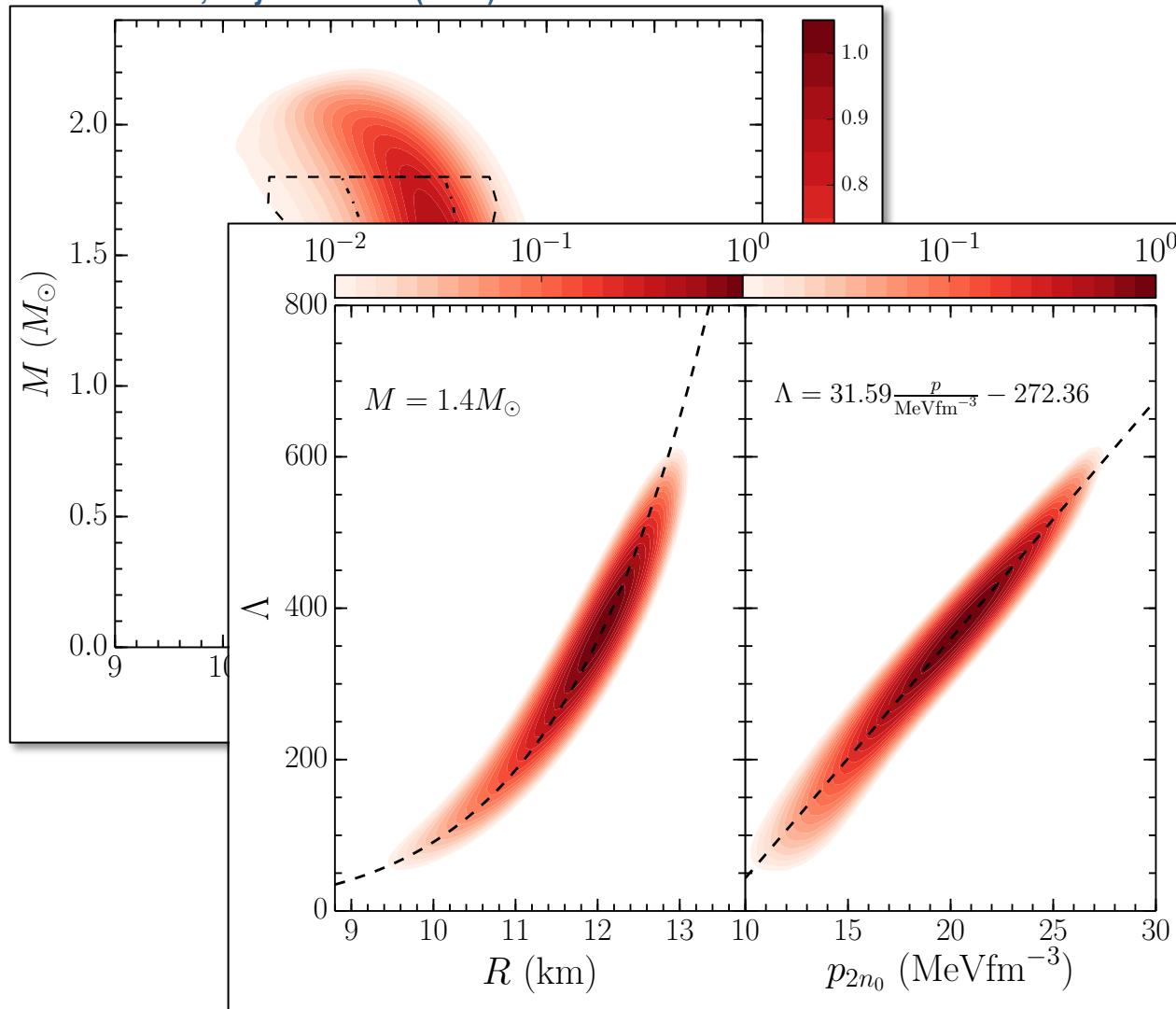
Derived probability distributions

Lim and Holt, Phys Rev Lett (2018)



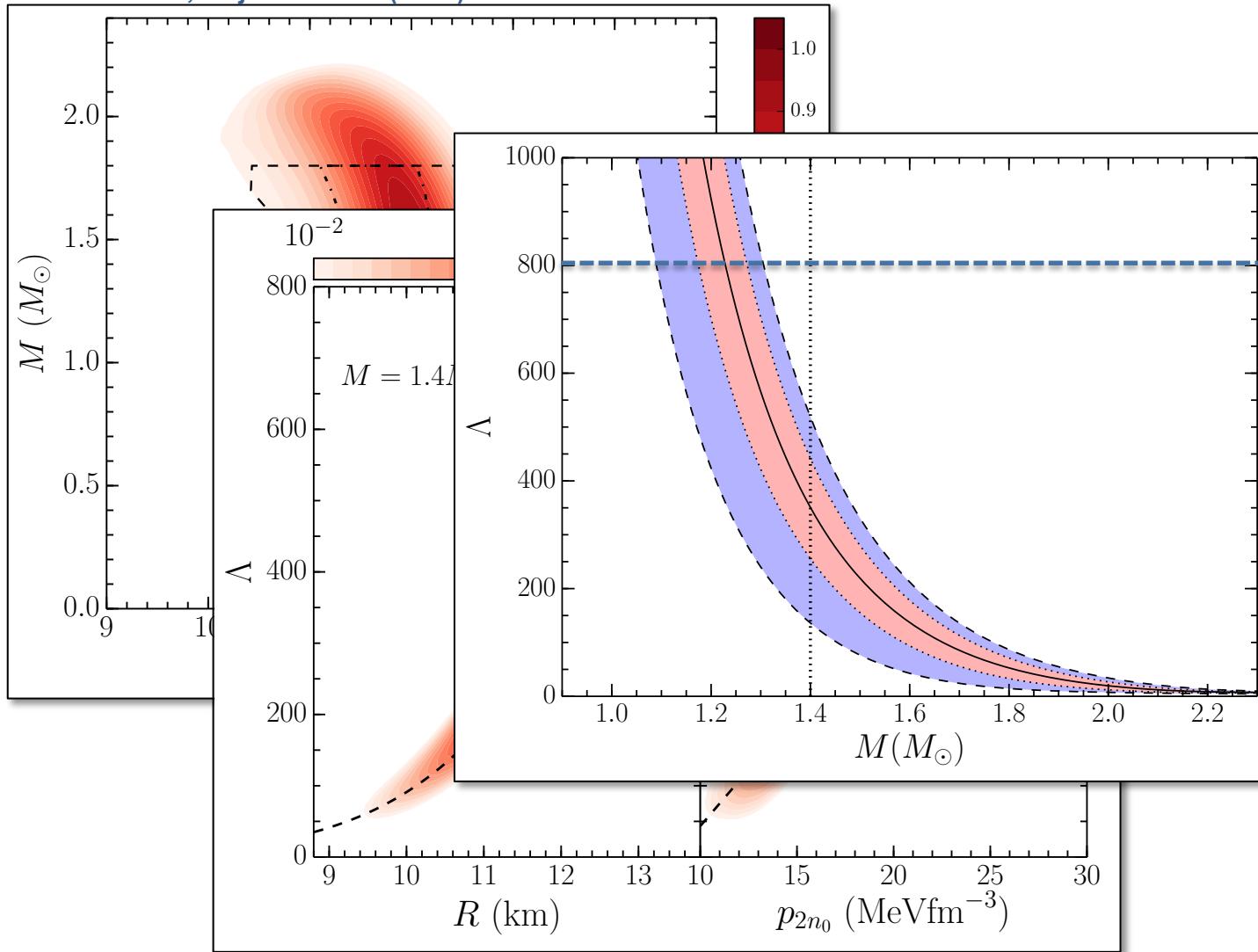
Derived probability distributions

Lim and Holt, Phys Rev Lett (2018)



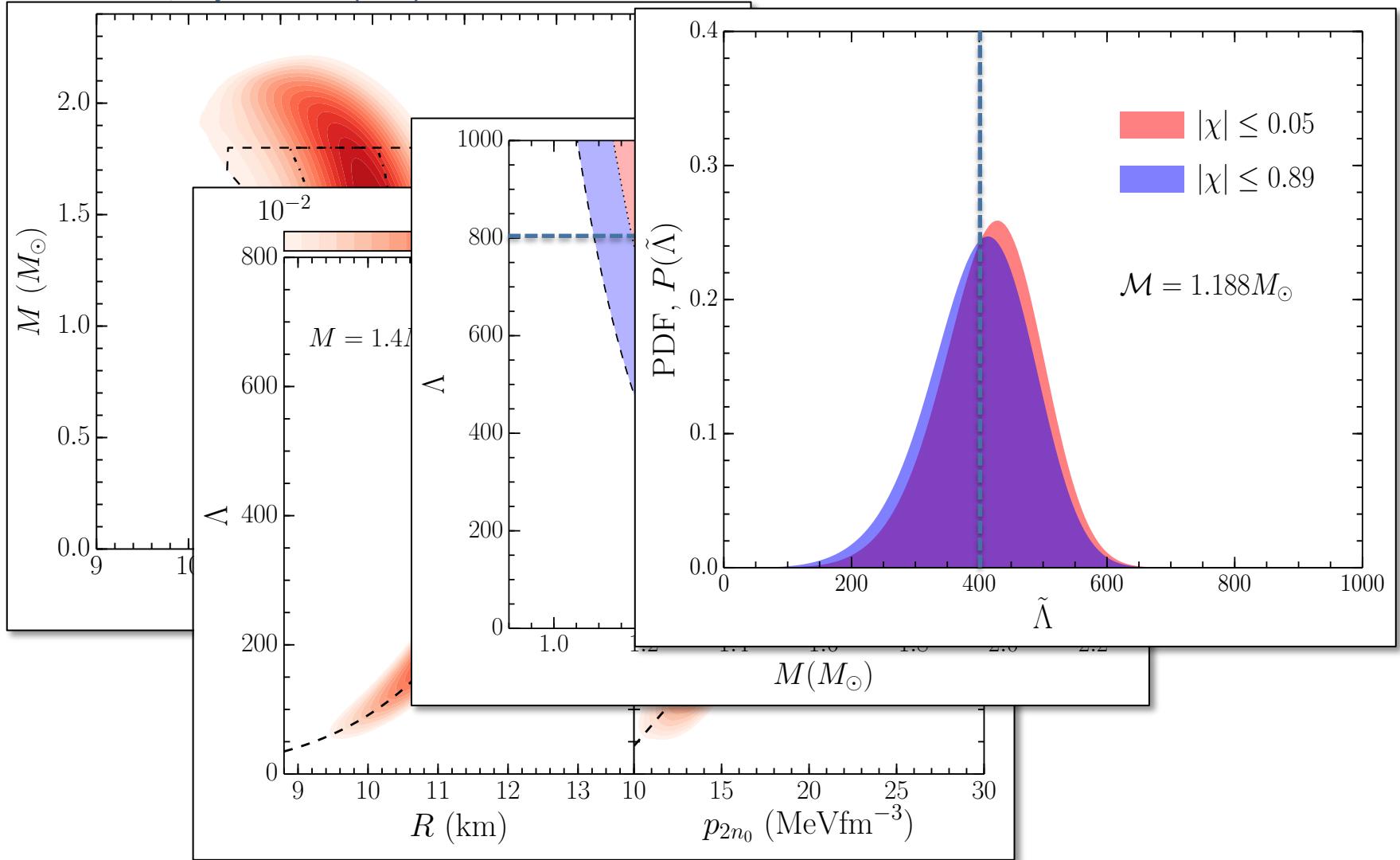
Derived probability distributions

Lim and Holt, Phys Rev Lett (2018)



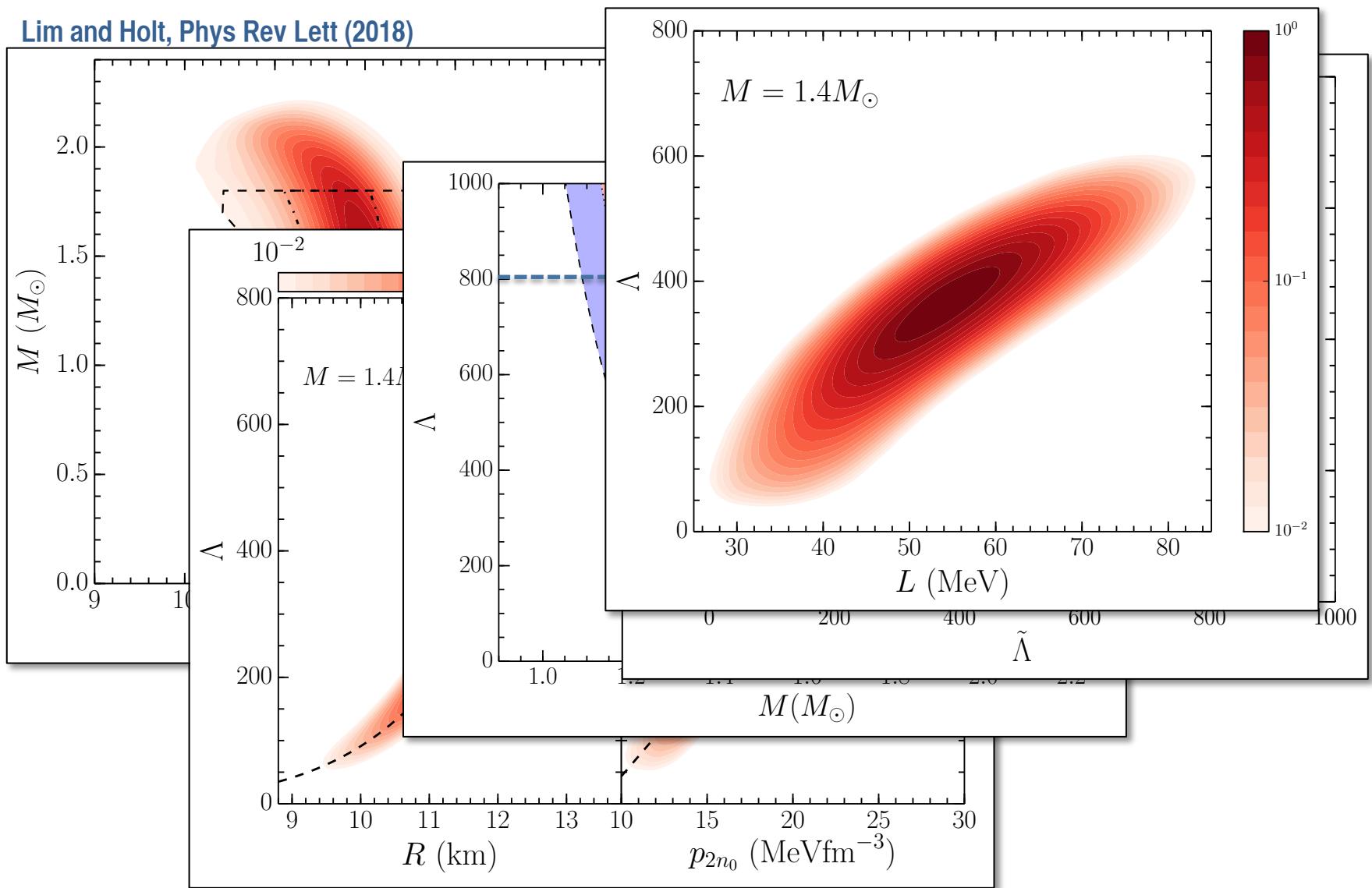
Derived probability distributions

Lim and Holt, Phys Rev Lett (2018)



Derived probability distributions

Lim and Holt, Phys Rev Lett (2018)



SCALES IN CORE-COLLAPSE SUPERNOVAE

- Density

$$10^5 < \rho < 10^{15} \text{ g/cm}^3$$

- Temperature

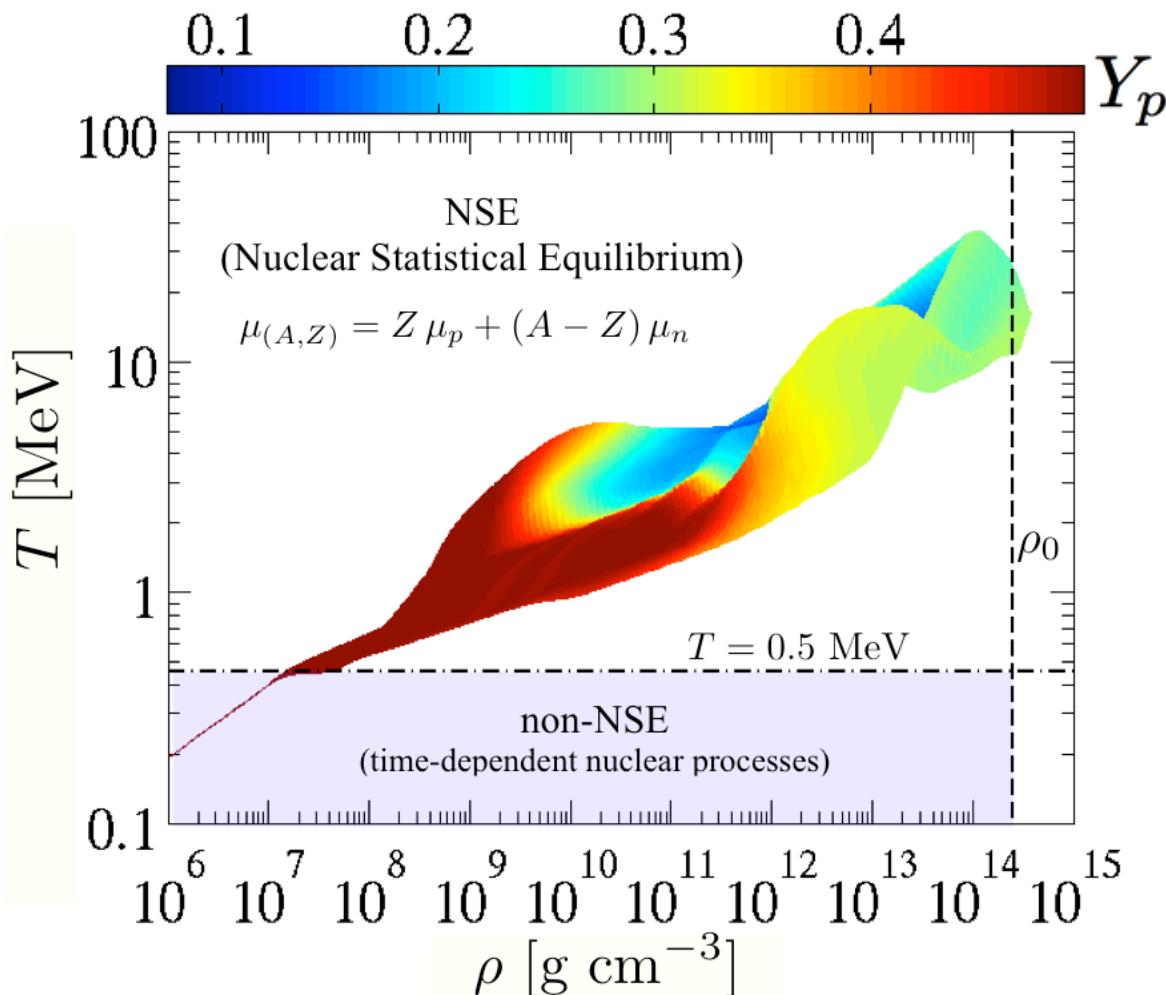
$$0 < T < 50 \text{ MeV}$$

$(5 \times 10^{11} \text{ K})$

- Proton fraction

$$0 < Y_p < 0.6$$

- Free energy ($F(\rho, T, Y_p)$)
+ neutrino cross sections



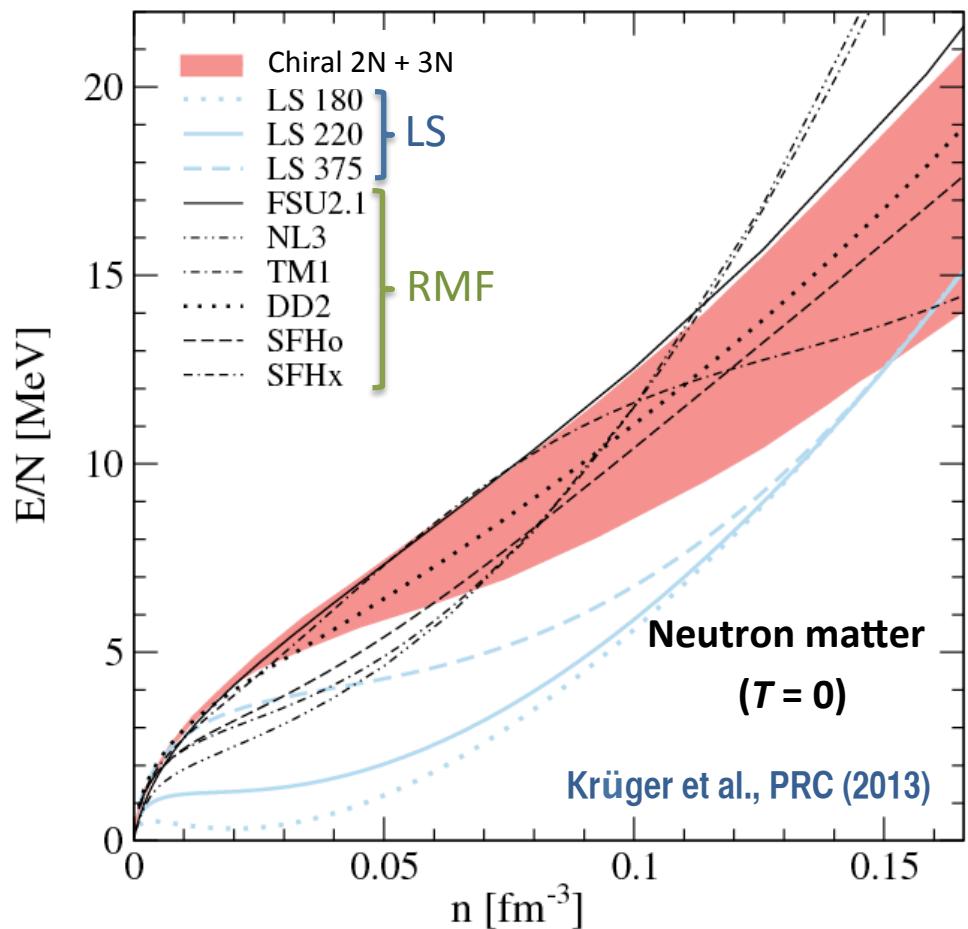
FINITE-TEMPERATURE EQUATIONS OF STATE

Lattimer & Swesty, 1991

- ▶ Point-like effective interactions + liquid drop model
- ▶ Significantly underpredicts neutron matter energy density

Shen et al., 1998

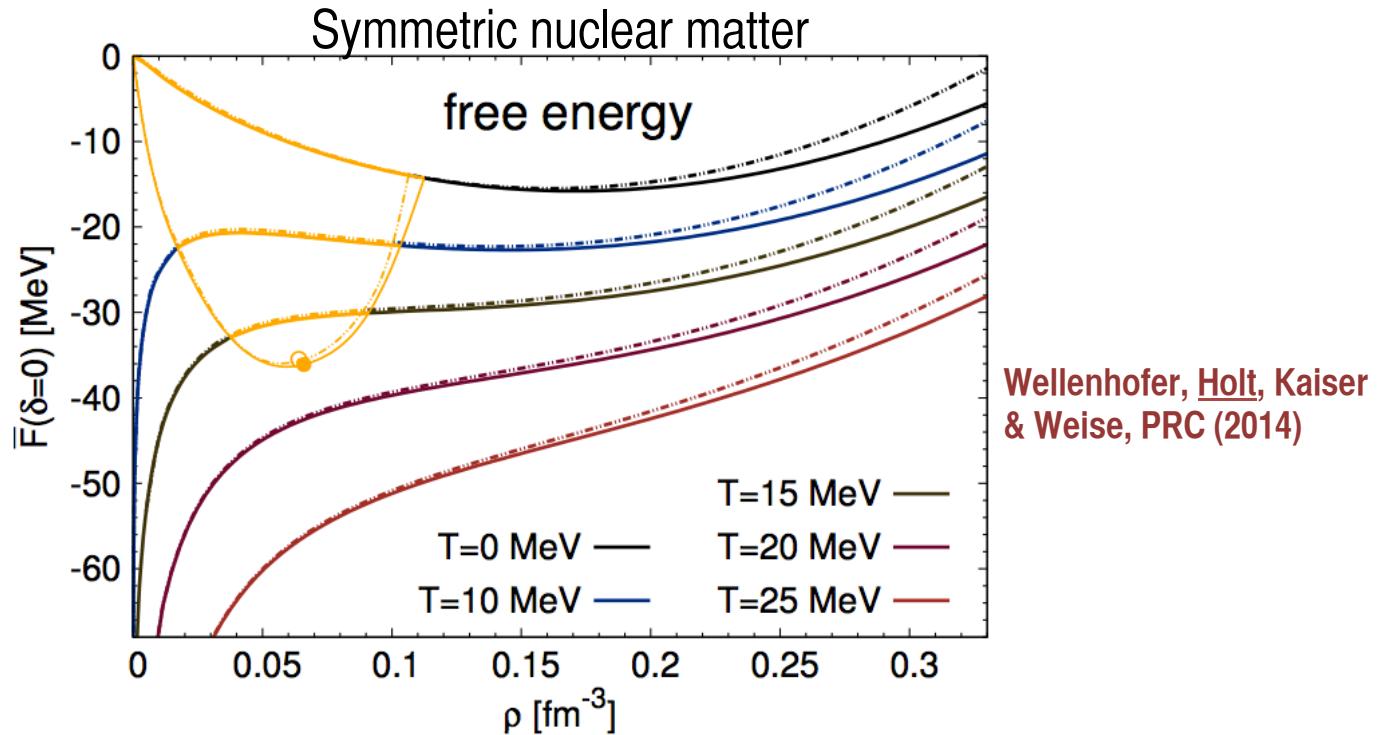
- ▶ Relativistic mean field theory + Thomas-Fermi approximation



Goal: first microscopic EoS for astrophysical simulations + consistent neutrino cross sections

THERMODYNAMIC EQUATION OF STATE

$$F(\mu_0, T) = F_0(\mu_0, T) + \lambda\Omega_1(\mu_0, T) + \lambda^2 \left(\Omega_2(\mu_0, T) - \frac{1}{2} \frac{(\partial\Omega_1/\partial\mu_0)^2}{\partial^2\Omega_0/\partial\mu_0^2} \right) + \mathcal{O}(\lambda^3)$$



- ▶ Dense set of mesh points in parameter space needed ($\sim 30,000,000$ configurations)
- ▶ High precision for second-order numerical derivatives

BENCHMARK: LIQUID-GAS PHASE TRANSITION

Predicted critical endpoint

- ▶ Critical temperature:

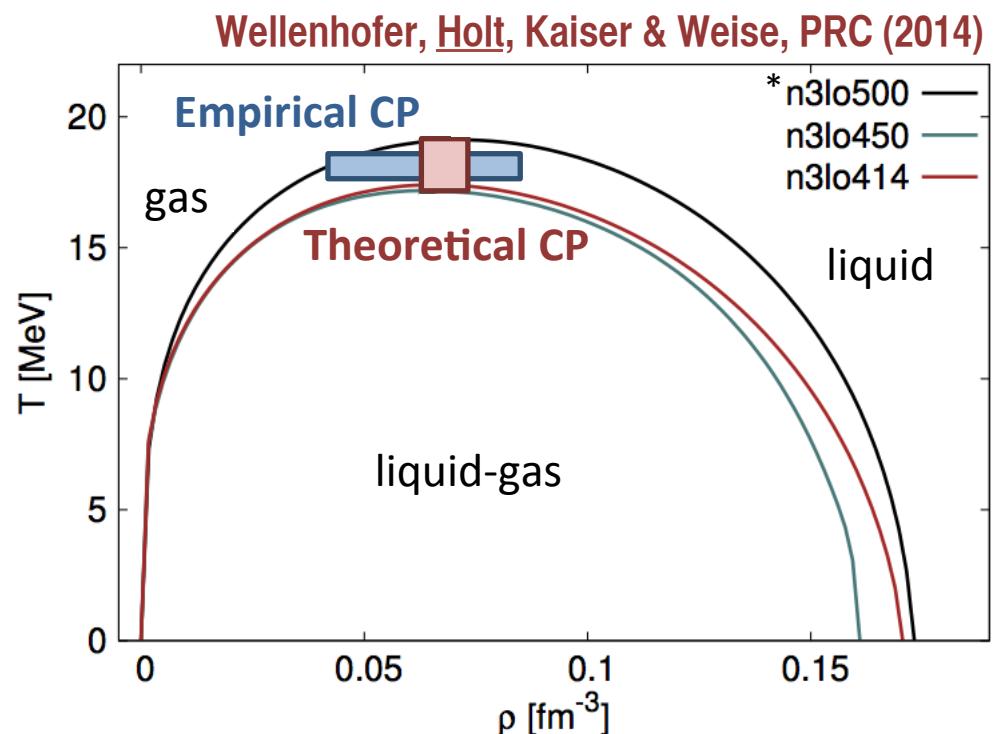
$$T_c = 17.2 - 19.1 \text{ MeV}$$

- ▶ Critical density:

$$\rho_c = 0.064 - 0.072 \text{ fm}^{-3}$$

- ▶ Critical pressure:

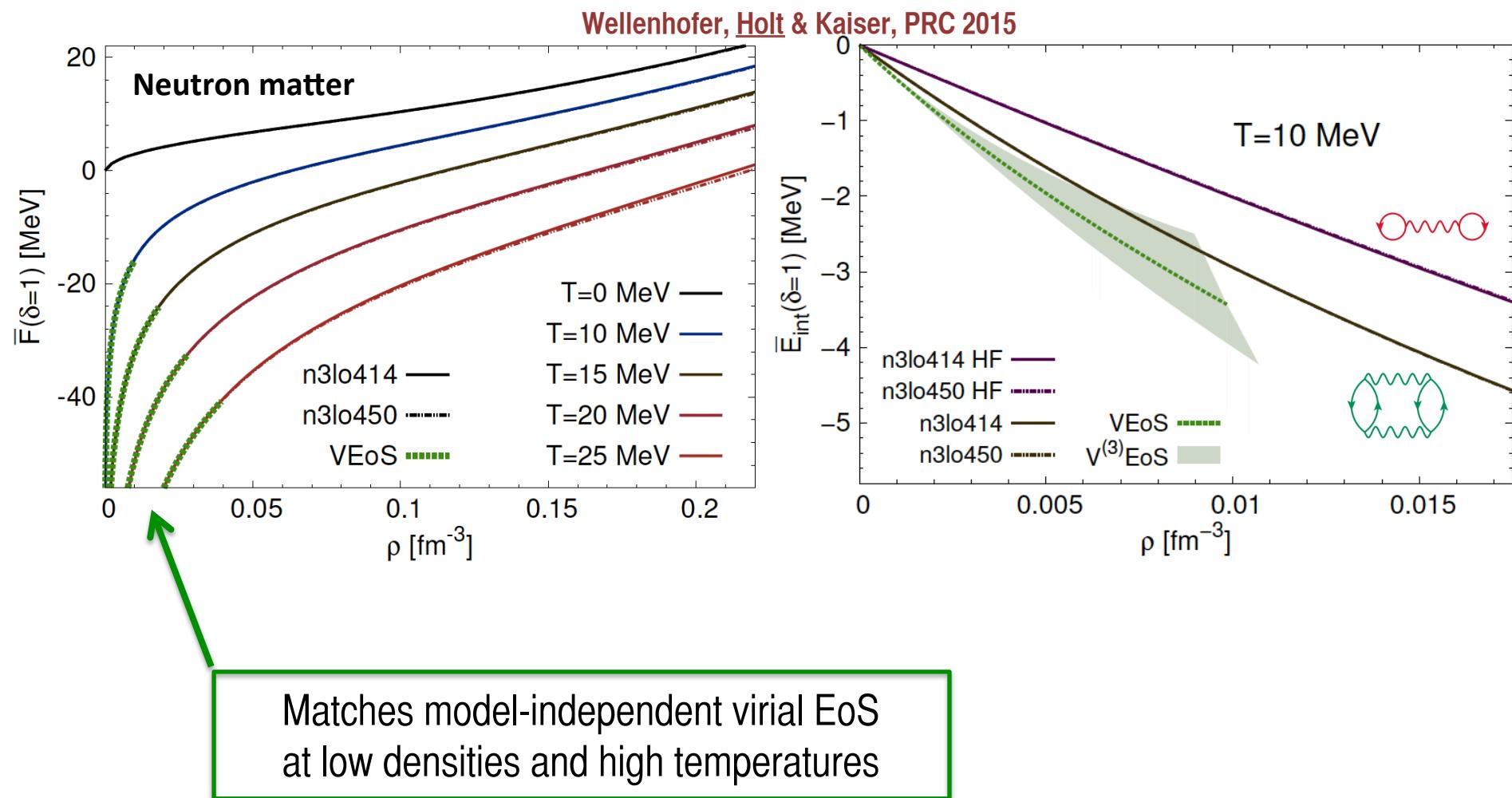
$$P_c = 0.3 - 0.4 \text{ MeV fm}^{-3}$$



- ▶ Experiment (compound nucleus & multifragmentation) [J. B. Elliott et al., PRC (2013)]

$$T_c = 17.9 \pm 0.4 \text{ MeV} \quad \rho_c = 0.06 \pm 0.02 \text{ fm}^{-3} \quad P_c = 0.31 \pm 0.07 \text{ MeV fm}^{-3}$$

BENCHMARK: NEUTRON MATTER VIRIAL EXPANSION



NEW BULK MATTER EQUATIONS OF STATE

Tabulation I

$$0.0002 < \rho < 0.32 \text{ fm}^{-3}$$

$$\Delta\rho = 0.0002 \text{ fm}^{-3}$$

$$0.2 < T < 50 \text{ MeV}$$

$$\Delta T = 0.2 \text{ MeV}$$

$$0 \leq Y_p \leq 0.5$$

Du, Steiner, and Holt, arXiv:1802.09710

Tabulation II

$$10^{-6} < \rho < 0.18 \text{ fm}^{-3}$$

$$\text{Variable } \Delta\rho$$

$$-1.08 < \log_{10} T < 1.52$$

$$\Delta \log_{10} T = 0.04$$

$$0 \leq Y_p \leq 0.5$$

- ▶ Medium-dependent bulk energies then included in a modified liquid-drop formalism to construct the EOS for inhomogeneous matter [Furusawa et al., ApJ (2013)]

Summary and outlook

- New era of major observational campaigns to study the properties of neutron stars
- Complementary theoretical models with accurate nuclear physics inputs needed to guide and interpret observations
- Combine properties of finite nuclei with “model independent” predictions from chiral EFT to obtain posterior distribution function for model parameters
- Ultra high-density matter a challenging frontier for *any* theoretical, experimental, or observation investigation