

# Nuclear structure and dynamics from *ab initio* theory

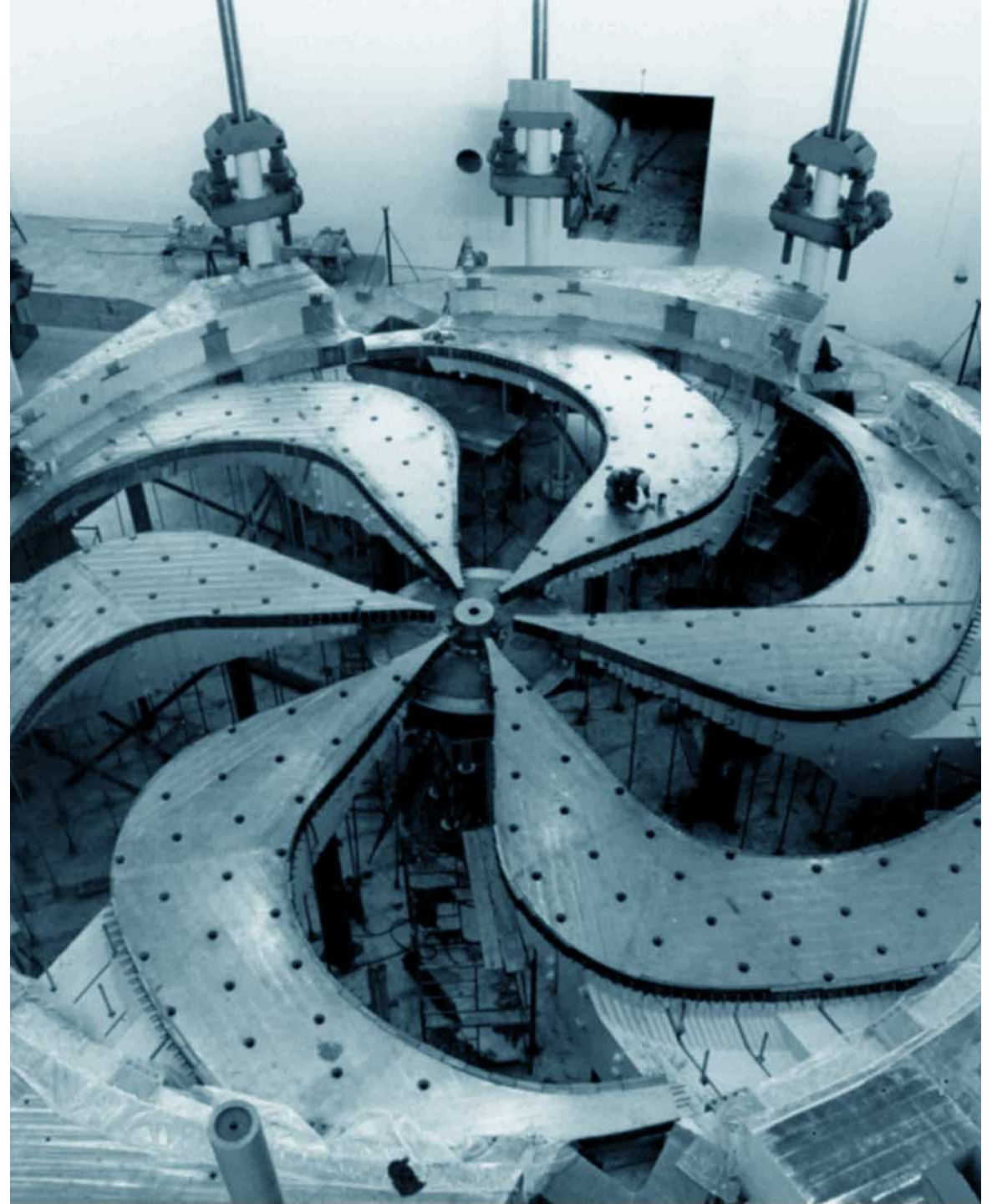
1st APCTP-TRIUMF JOINT WORKSHOP on  
*Understanding Nuclei from Different Theoretical Approaches*  
Pohang, South Korea, September 14-19, 2018

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2018-09-18



## Outline

- Nuclear structure and reactions from first principles
- New chiral NN  $N^4\text{LO} + 3\text{N}$ 
  - Beta decays of light nuclei in NCSM
- No-Core Shell Model with Continuum (NCSMC)
- $n$ - $^4\text{He}$  scattering and  $\text{D}+\text{T}$  fusion
- $^{11}\text{Be}$  parity inversion in low-lying states, photo-dissociation
- Synergy between *ab initio* theory and TRIUMF experiments
  - $^{11}\text{N}$  and  $^{10}\text{C}(p,p)$  scattering - IRIS
  - $^{12}\text{N}$ ,  $^{11}\text{C}(p,p)$  scattering and  $^{11}\text{C}(p,\gamma)^{12}\text{N}$  capture - TUDA
  - Quadrupole moment of  $^{12}\text{C}$   $2^+$  state - TIGRESS

## What is meant by *ab initio* in nuclear physics?

- **First principles for Nuclear Physics:**

- QCD**

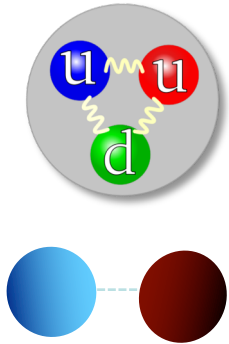
- Non-perturbative at low energies
    - Lattice QCD in the future

- **Degrees of freedom: NUCLEONS**

- Nuclei made of nucleons
  - Interacting by nucleon-nucleon and three-nucleon potentials

- *Ab initio*
  - ◇ All nucleons are active
  - ◇ Exact Pauli principle
  - ◇ Realistic inter-nucleon interactions
    - ◇ Accurate description of NN (and 3N) data
  - ◇ Controllable approximations

# From QCD to nuclei

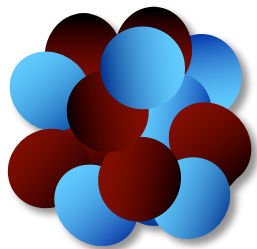


Low-energy QCD



NN+3N interactions  
from chiral EFT

...or accurate  
meson-exchange  
potentials



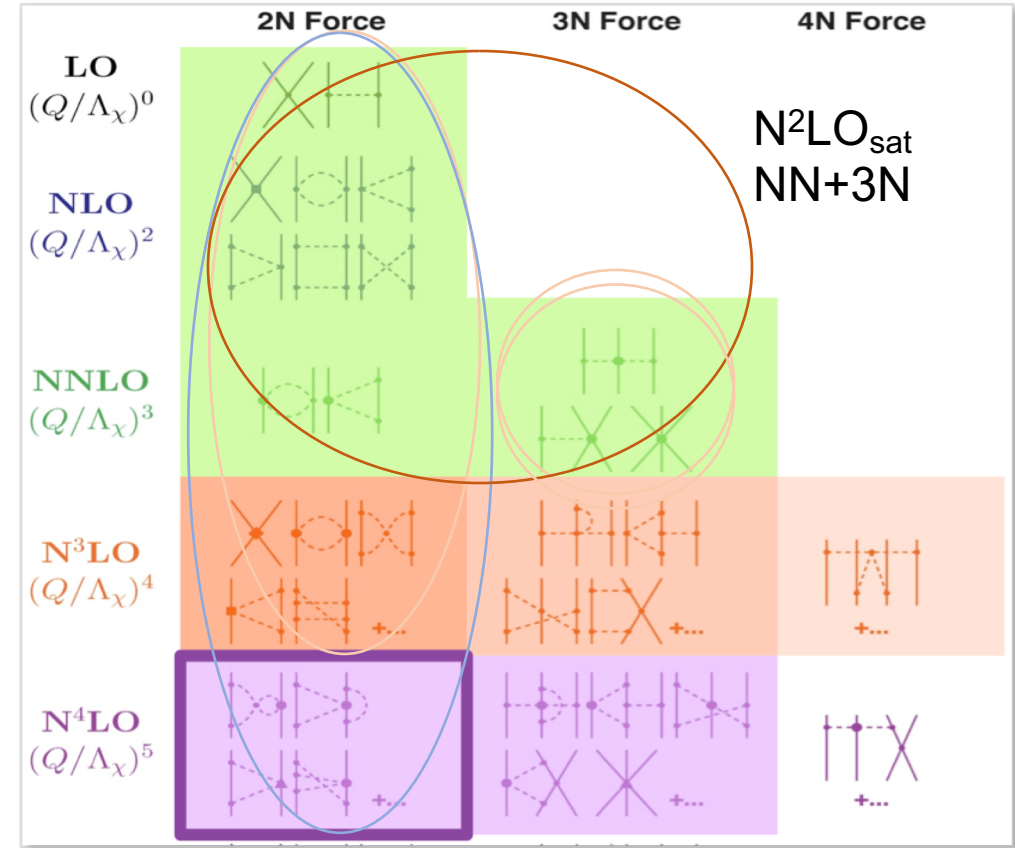
Nuclear structure and reactions



# Chiral Effective Field Theory

- Inter-nucleon forces from chiral effective field theory
  - Based on the symmetries of QCD
    - Chiral symmetry of QCD ( $m_u \approx m_d \approx 0$ ), spontaneously broken with pion as the Goldstone boson
    - Degrees of freedom: nucleons + pions
  - Systematic low-momentum expansion to a given order ( $Q/\Lambda_\chi$ )
  - Hierarchy
  - Consistency
  - Low energy constants (LEC)
    - Fitted to data
    - Can be calculated by lattice QCD

$\Lambda_\chi \sim 1 \text{ GeV}$  :  
Chiral symmetry breaking scale



$N^4LO_{500}$   $NNN^3LO$   $NN+N^2LO$   $3N$   
 +  $N^2LO$   $3N$  ( $NN+3N_{400}$ ,  $NN+3N_{500}$ )

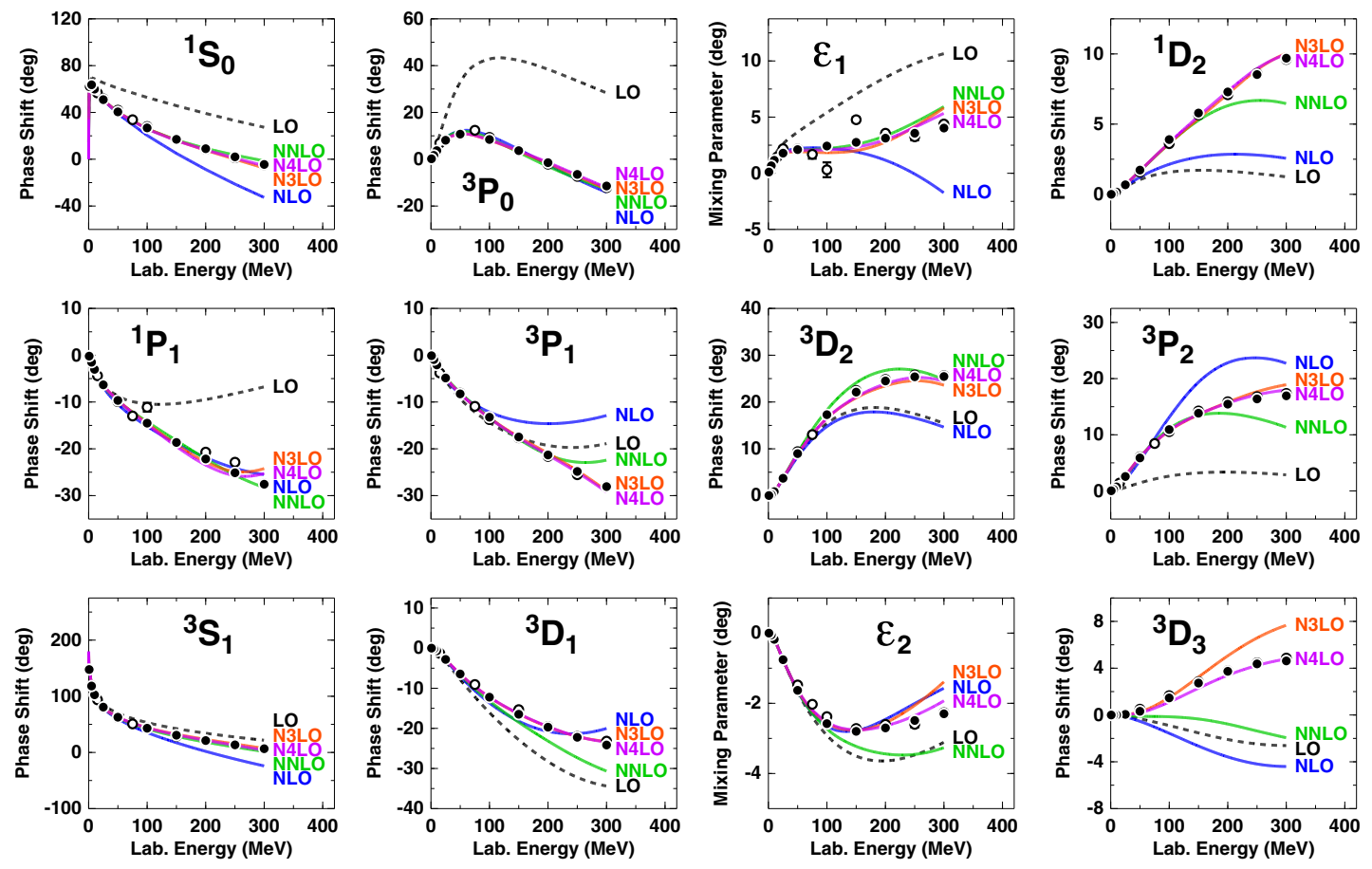
# The NN interaction from chiral EFT

PHYSICAL REVIEW C 96, 024004 (2017)

**High-quality two-nucleon potentials up to fifth order of the chiral expansion**

D. R. Entem,<sup>1,\*</sup> R. Machleidt,<sup>2,†</sup> and Y. Nosyk<sup>2</sup>

- Chiral NN potential up to N<sup>4</sup>LO
- Set of five potentials constructed
  - Sequence of LO, NLO,...,N<sup>4</sup>LO
  - Uncertainty quantification
- At N<sup>3</sup>LO and N<sup>4</sup>LO:
  - 24 LECs fitted to the *np* scattering data and the deuteron properties
    - Including  $c_i$  LECs (i=1-4) from pion-nucleon scattering
- N<sup>4</sup>LO NN fitted to data up to pion production threshold with  $\chi^2/\text{datum} \sim 1.15$



## Currents in chiral EFT

- Meson-exchange current

PHYSICAL REVIEW C **67**, 055206 (2003)

**Parameter-free effective field theory calculation for the solar proton-fusion and hep processes**

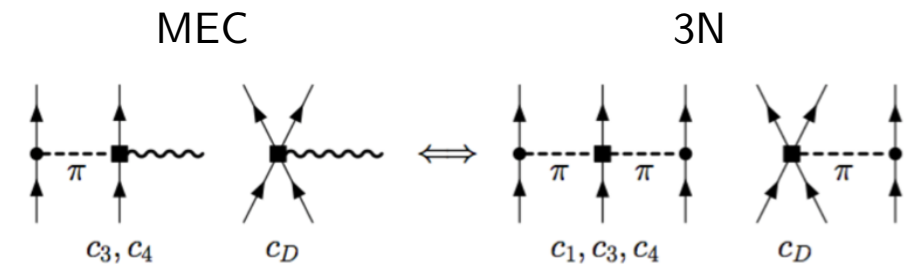
T.-S. Park,<sup>1,2,3</sup> L. E. Marcucci,<sup>4,5</sup> R. Schiavilla,<sup>6,7</sup> M. Viviani,<sup>5,4</sup> A. Kievsky,<sup>5,4</sup> S. Rosati,<sup>5,4</sup> K. Kubodera,<sup>1,2</sup>  
D.-P. Min,<sup>8</sup> and M. Rho<sup>1,9</sup>

- weak axial current
  - one-body: LO - Gamow-Teller

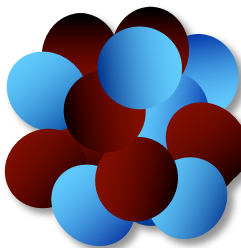
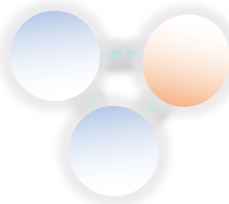
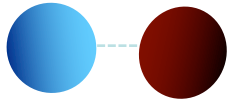
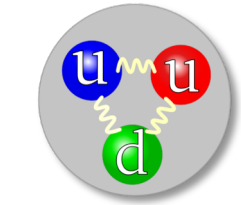
$$A_l = -g_A \tau_l^- e^{-iq \cdot r_l} \left[ \boldsymbol{\sigma}_l + \frac{2(\bar{\mathbf{p}}_l \boldsymbol{\sigma}_l \cdot \bar{\mathbf{p}}_l - \boldsymbol{\sigma}_l \bar{\mathbf{p}}_l^2) + i\mathbf{q} \times \bar{\mathbf{p}}_l}{4m_N^2} \right]$$

- two-body: MEC

$$A_{12} = \frac{g_A}{2m_N f_\pi^2} \frac{1}{m_\pi^2 + k^2} \left[ -\frac{i}{2} \tau_\times^- \mathbf{p} (\boldsymbol{\sigma}_1 - \boldsymbol{\sigma}_2) \cdot \mathbf{k} \right. \\ \left. + 4\hat{c}_3 \mathbf{k} \mathbf{k} \cdot (\tau_1^- \boldsymbol{\sigma}_1 + \tau_2^- \boldsymbol{\sigma}_2) + \left( \hat{c}_4 + \frac{1}{4} \right) \tau_\times^- \mathbf{k} \times [\boldsymbol{\sigma}_\times \times \mathbf{k}] \right] \\ + \frac{g_A}{m_N f_\pi^2} [2\hat{d}_1 (\tau_1^- \boldsymbol{\sigma}_1 + \tau_2^- \boldsymbol{\sigma}_2) + \hat{d}_2 \tau_\times^a \boldsymbol{\sigma}_\times],$$



# From QCD to nuclei



Low-energy QCD



NN+3N interactions  
from chiral EFT

...or accurate  
meson-exchange  
potentials



Unitary/similarity  
transformations

Identity or SRG  
or OLS or UCOM ...  
Softens NN, induces 3N

Nuclear structure and reactions

## Similarity Renormalization Group (SRG) evolution

- Continuous transformation driving Hamiltonian to band-diagonal form with respect to a chosen basis

- Unitary transformation  $H_\alpha = U_\alpha H U_\alpha^+ \quad U_\alpha U_\alpha^+ = U_\alpha^+ U_\alpha = 1$

$$\frac{dH_\alpha}{d\alpha} = \frac{dU_\alpha}{d\alpha} H U_\alpha^+ + U_\alpha H \frac{dU_\alpha^+}{d\alpha} = \frac{dU_\alpha}{d\alpha} U_\alpha^+ U_\alpha H U_\alpha^+ + U_\alpha H U_\alpha^+ U_\alpha \frac{dU_\alpha^+}{d\alpha}$$

$$= \frac{dU_\alpha}{d\alpha} U_\alpha^+ H_\alpha + H_\alpha U_\alpha \frac{dU_\alpha^+}{d\alpha} = [\eta_\alpha, H_\alpha]$$

- Setting  $\eta_\alpha = [G_\alpha, H_\alpha]$  with Hermitian  $G_\alpha$

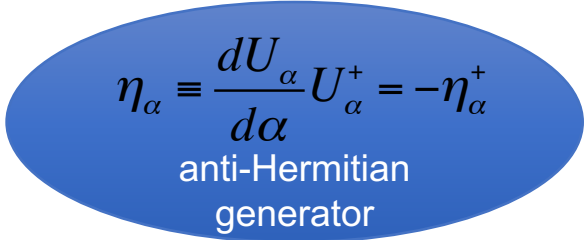
$$\frac{dH_\alpha}{d\alpha} = [[G_\alpha, H_\alpha], H_\alpha]$$

- Customary choice in nuclear physics  $G_\alpha = T$  ...kinetic energy operator
  - band-diagonal in momentum space plane-wave basis

- Initial condition  $H_{\alpha=0} = H_{\lambda=\infty} = H \quad \lambda^2 = 1/\sqrt{\alpha}$

- Induces many-body forces**

- In applications to chiral interactions three-body induced terms large, four-body small



$$\eta_\alpha \equiv \frac{dU_\alpha}{d\alpha} U_\alpha^+ = -\eta_\alpha^+$$

anti-Hermitian  
generator

## SRG evolution for $A$ -nucleon system

- Evolution induces many-nucleon terms (up to  $A$ )

$$\tilde{H}_\alpha = \tilde{H}_\alpha^{[1]} + \tilde{H}_\alpha^{[2]} + \tilde{H}_\alpha^{[3]} + \tilde{H}_\alpha^{[4]} + \dots + \tilde{H}_\alpha^{[A]}$$

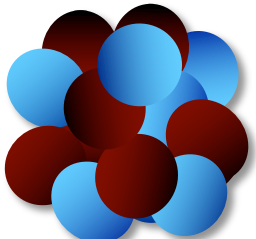
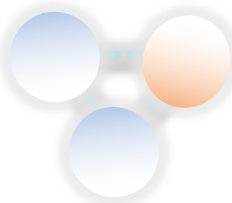
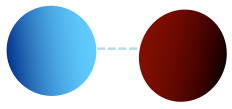
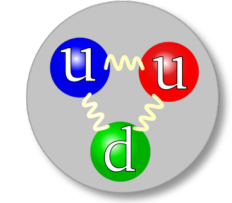
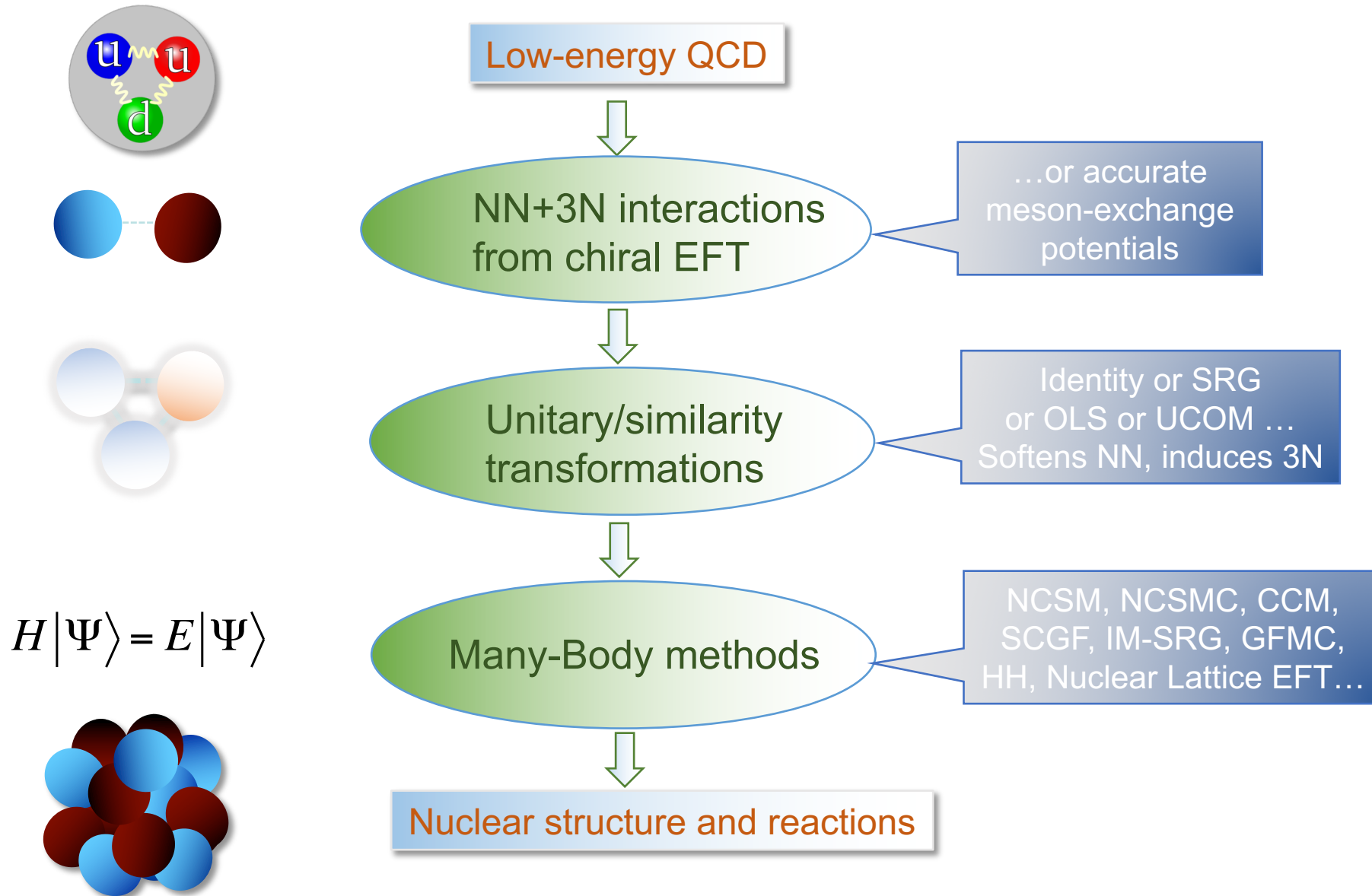
- SRG “magic” –  $\tilde{H}_\alpha^{[2]}$  determined completely in  $A=2$  system,  $\tilde{H}_\alpha^{[3]}$  determined completely in  $A=3$  system, etc.
- In actual calculations so far only terms up to  $\tilde{H}_\alpha^{[3]}$  kept
- Three types of SRG-evolved Hamiltonians used
  - NN only:** Start with initial  $T+V_{\text{NN}}$  and keep
  - NN+3N-induced:** Start with initial  $T+V_{\text{NN}}$  and keep
  - NN+3N-full:** Start with initial  $T+V_{\text{NN}}+V_{\text{NNN}}$  and keep

$$\begin{aligned} &\tilde{H}_\alpha^{[1]} + \tilde{H}_\alpha^{[2]} \\ &\tilde{H}_\alpha^{[1]} + \tilde{H}_\alpha^{[2]} + \tilde{H}_\alpha^{[3]} \\ &\tilde{H}_\alpha^{[1]} + \tilde{H}_\alpha^{[2]} + \tilde{H}_\alpha^{[3]} \end{aligned}$$

$\alpha$  variation ( $\Lambda$  variation) provides a diagnostic tool to assess the contribution of omitted many-body terms, tests the **unitarity** of the SRG transformation



# From QCD to nuclei

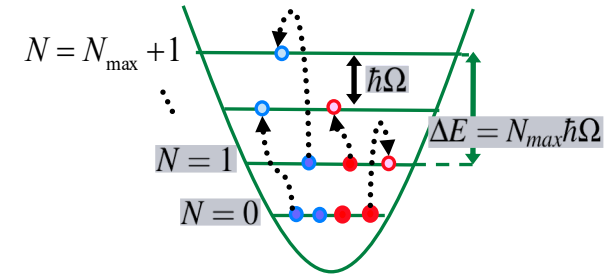


## Conceptually simplest *ab initio* method: No-Core Shell Model (NCSM)



NCSM

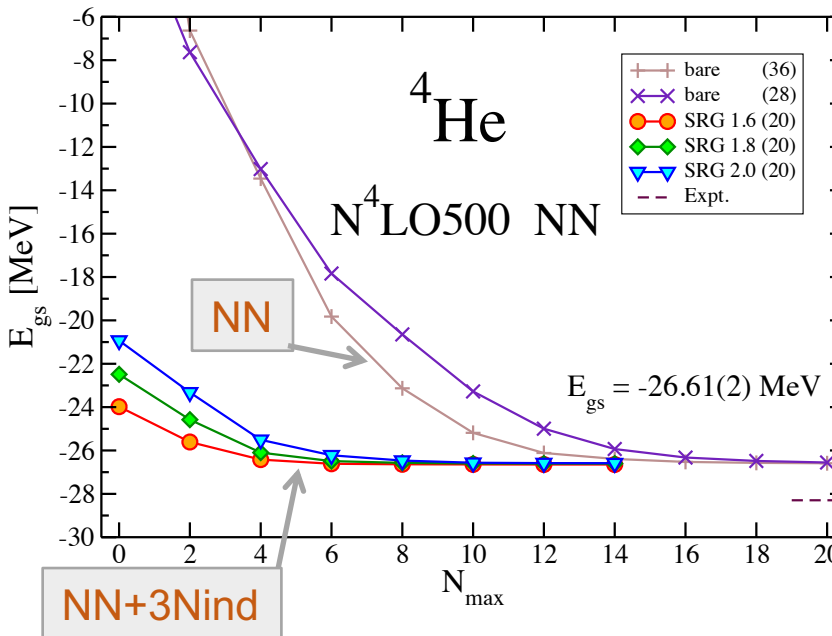
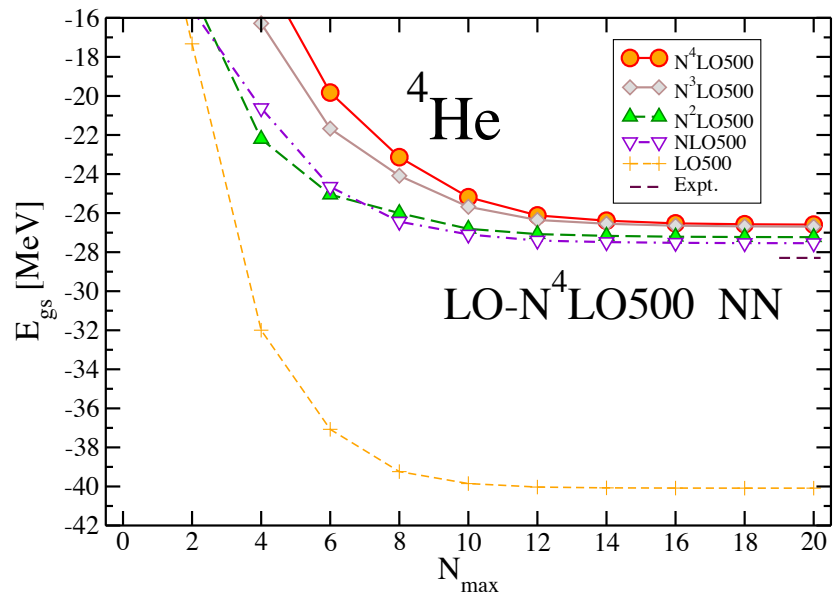
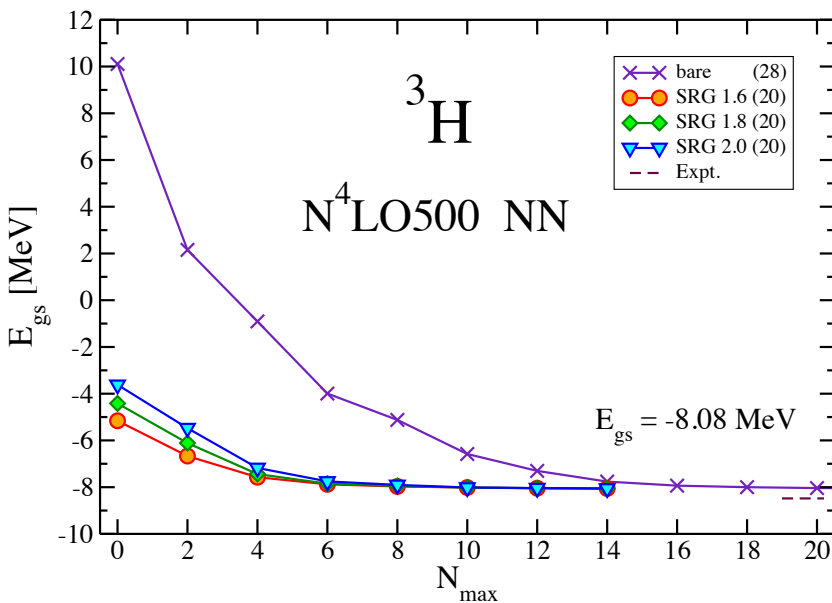
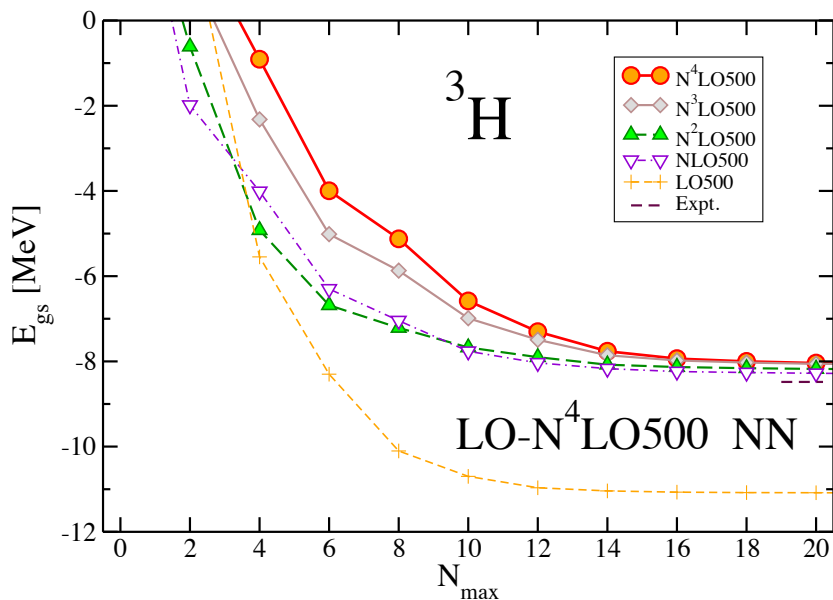
- Basis expansion method
  - Harmonic oscillator (HO) basis truncated in a particular way ( $N_{\max}$ )
  - Why HO basis?
    - Lowest filled HO shells match magic numbers of light nuclei (2, 8, 20 –  $^4\text{He}$ ,  $^{16}\text{O}$ ,  $^{40}\text{Ca}$ )
    - Equivalent description in relative-coordinate and Slater determinant basis
- Short- and medium range correlations
- Bound-states, narrow resonances



$$^{(A)} \Psi^A = \sum_{N=0}^{N_{\max}} \sum_i c_{Ni} \Phi_{Ni}^{HO}(\vec{\eta}_1, \vec{\eta}_2, \dots, \vec{\eta}_{A-1})$$

$$^{(A)} \Psi_{SD}^A = \sum_{N=0}^{N_{\max}} \sum_j c_{Nj}^{SD} \Phi_{SDNj}^{HO}(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_A) = \Psi^A \varphi_{000}(\vec{R}_{CM})$$

# $^3\text{H}$ and $^4\text{He}$ with chiral EFT interactions up to $\text{N}^4\text{LO}$



# ${}^3\text{H} \rightarrow {}^3\text{He}$ $\beta$ decay

$$\hat{O} = GT^{(1)} \rightarrow \hat{O}_\alpha = GT^{(1)} + GT_\alpha^{(2)} + \dots$$

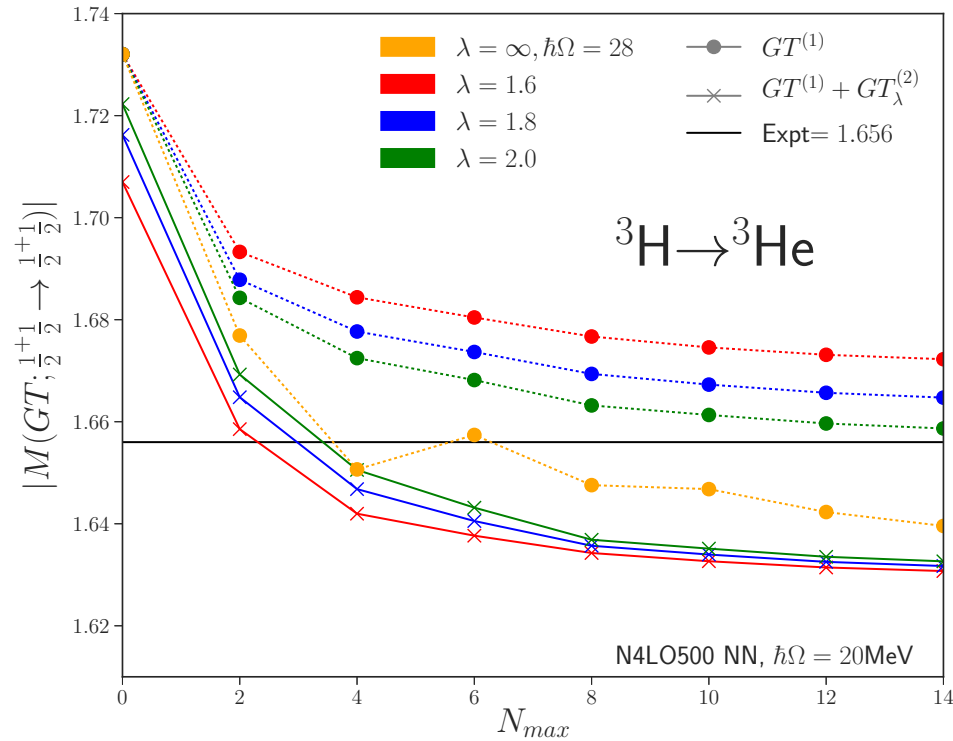
Operator:

Gamow-Teller (1-body)

$$\langle GT_\alpha^{(2)} \rangle_{A=2} = \langle (GT^{(1)})_\alpha \rangle_{A=2} - \langle GT^{(1)} \rangle_{A=2}$$

Potential: "N<sup>4</sup>LO NN"

- chiral NN @ N<sup>4</sup>LO, Machleidt PRC96 (2017), 500MeV cutoff



Hamiltonian:  
 chiral NN with SRG 2- and 3-body induced  
 (except orange line: bare chiral NN)

### ${}^3\text{H} \rightarrow {}^3\text{He} \beta$ decay

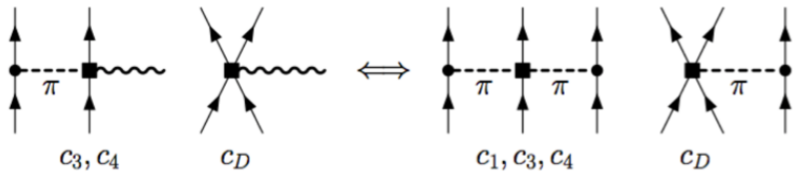
$$\hat{O} = GT^{(1)} + MEC^{(2)} \rightarrow \hat{O}_\alpha = GT^{(1)} + GT_\alpha^{(2)} + MEC_\alpha^{(2)} + \dots$$

#### Operator:

Gamow-Teller (1-body) + chiral meson exchange current (2-body)  
Park (2003)

#### Potential: "N<sup>4</sup>LO NN"

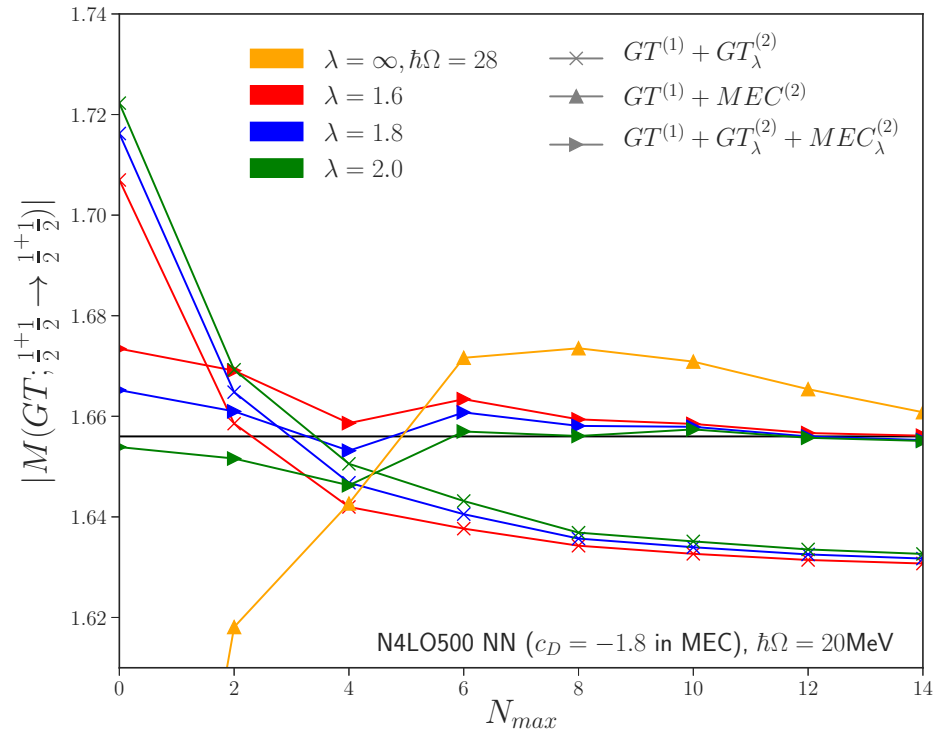
- chiral NN @ N<sup>4</sup>LO, Machleidt PRC96 (2017), 500MeV cutoff
- LEC  $c_D = -1.8$  determined



Original EM 2003 N<sup>3</sup>LO NN  $c_D = +0.8$   
(3N repulsive)



Determination of the  $c_D$  parameter relevant to chiral 3N force  $c_D = -1.8$   
(3N attractive)



N4LO500 NN ( $c_D = -1.8$  in MEC),  $\hbar\Omega = 20\text{MeV}$

## ${}^6\text{He} \rightarrow {}^6\text{Li} \beta$ decay

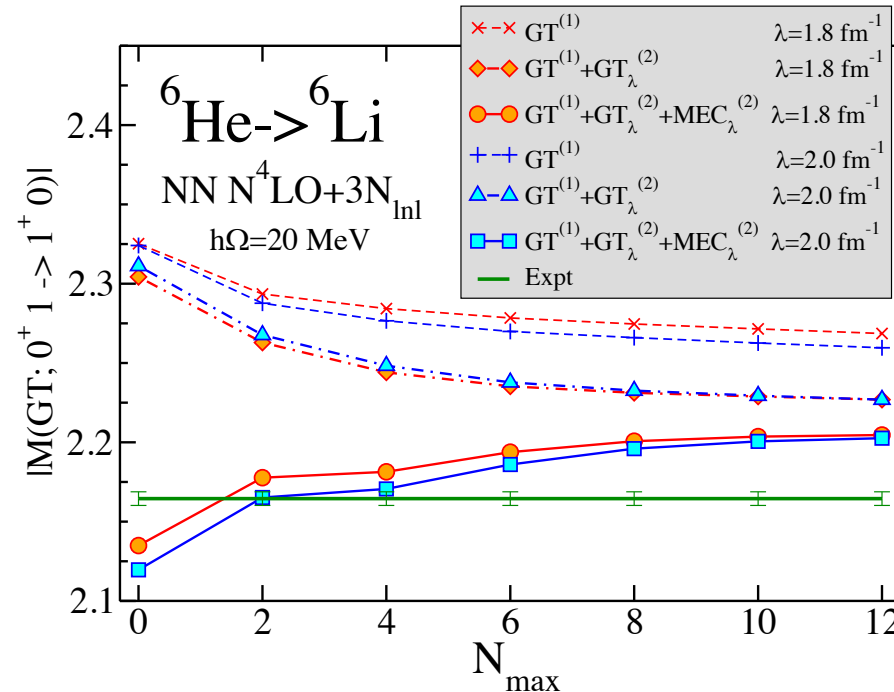
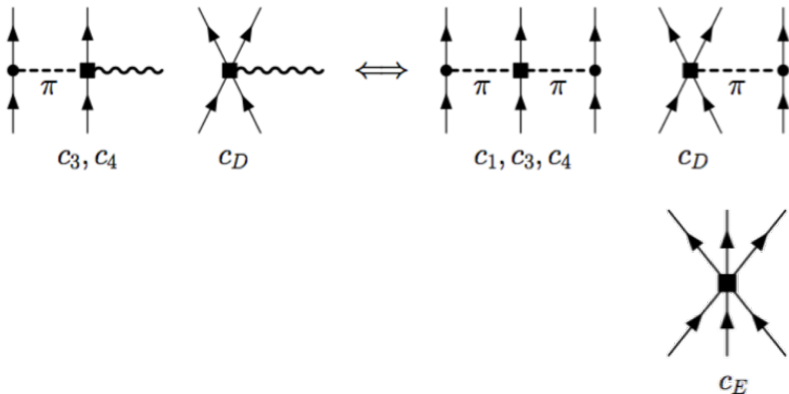
$$\hat{O} = GT^{(1)} + MEC^{(2)} \rightarrow \hat{O}_\alpha = GT^{(1)} + GT_\alpha^{(2)} + MEC_\alpha^{(2)} + \dots$$

### Operator:

Gamow-Teller (1-body) + chiral meson exchange current (2-body)  
Park (2003)

### Potential: “ $N^4\text{LO NN}$ ”

- chiral NN @  $N^4\text{LO}$ , Machleidt PRC96 (2017), 500MeV cutoff
- LEC  $c_D = -1.8$  determined

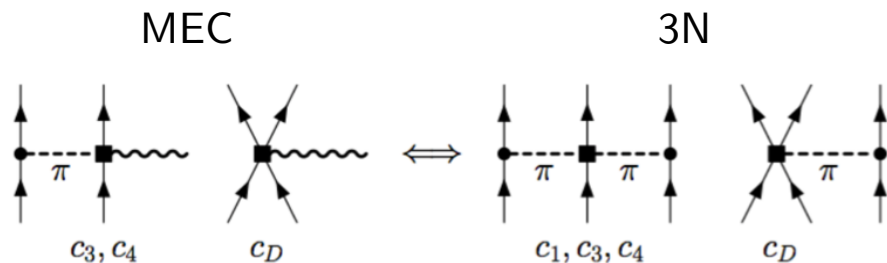


Determination of the  $c_D$  parameter from  ${}^3\text{H}$  beta decay  
 $c_D = -1.8$  (3N D-term attractive)  
 $c_E$  from  ${}^3\text{H}$  binding energy  
 $c_E = -0.31$  (3N E-term repulsive)



## Applications to $\beta$ decays in p-shell nuclei and beyond

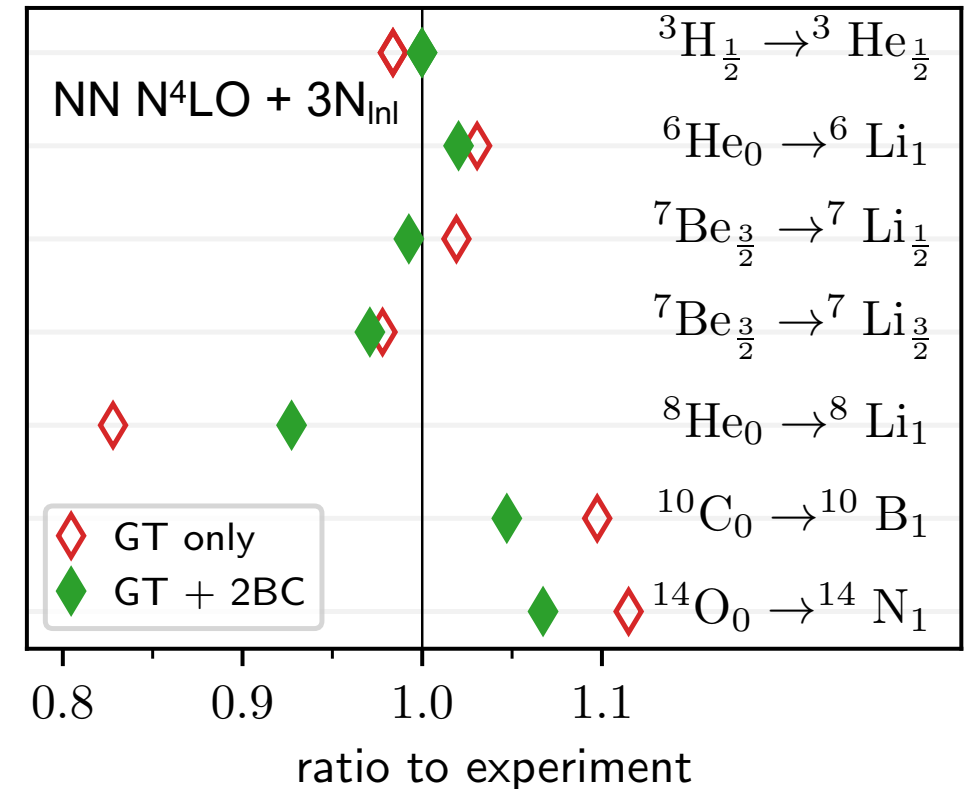
- Does inclusion of the MEC explain  $g_A$  quenching?
- In light nuclei correlations present in *ab initio* (NCSM) wave functions explain almost all of the quenching compared to the standard shell model
  - MEC inclusion overall improves agreement with experiment
- The effect of the MEC inclusion is greater in heavier nuclei
- SRG evolved matrix elements used in coupled-cluster and IM-SRG calculations (up to  $^{100}\text{Sn}$ )



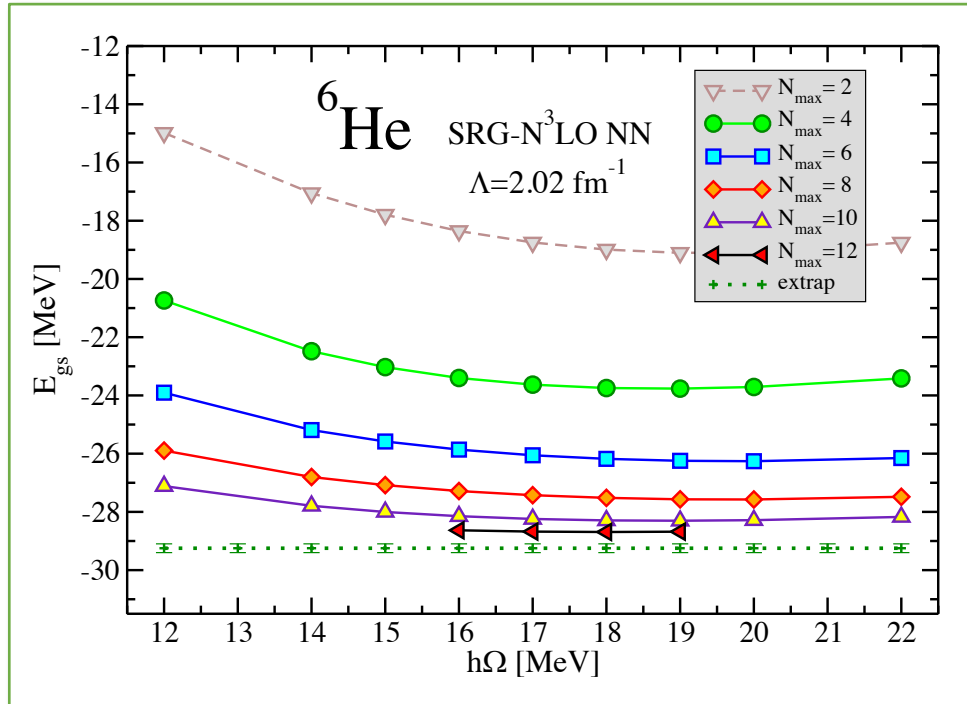
Hollow symbols – GT

Filled symbols – GT+MEC

Both Hamiltonian and operators SRG evolved  
Hamiltonian and current consistent parameters



## NCSM calculations of ${}^6\text{He}$ g.s. energy



Dependence on:

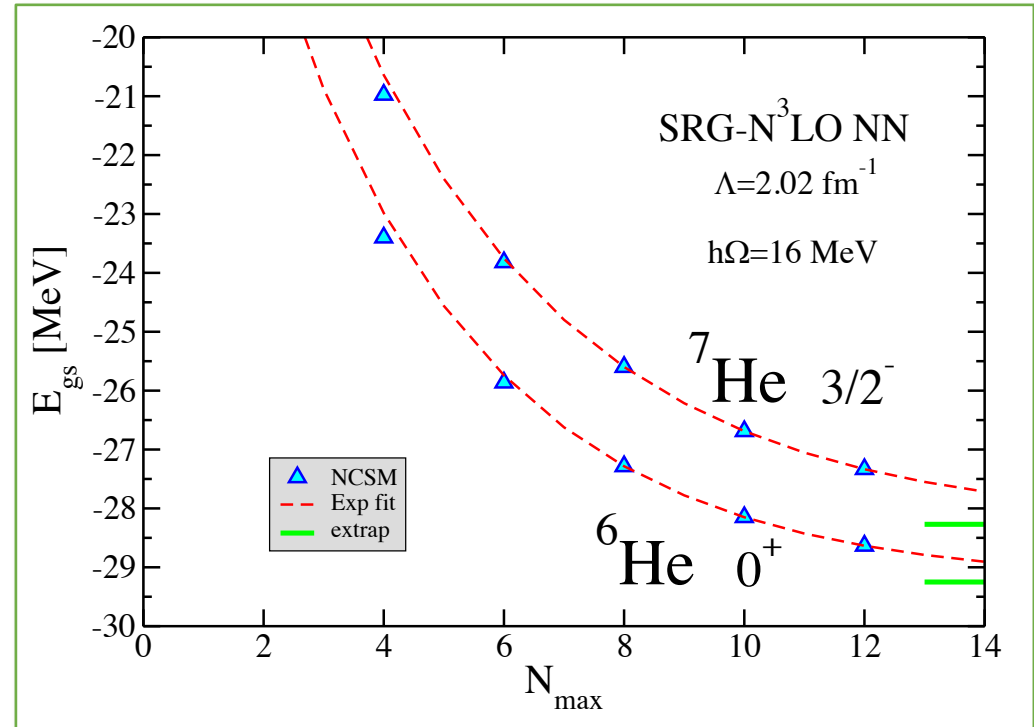
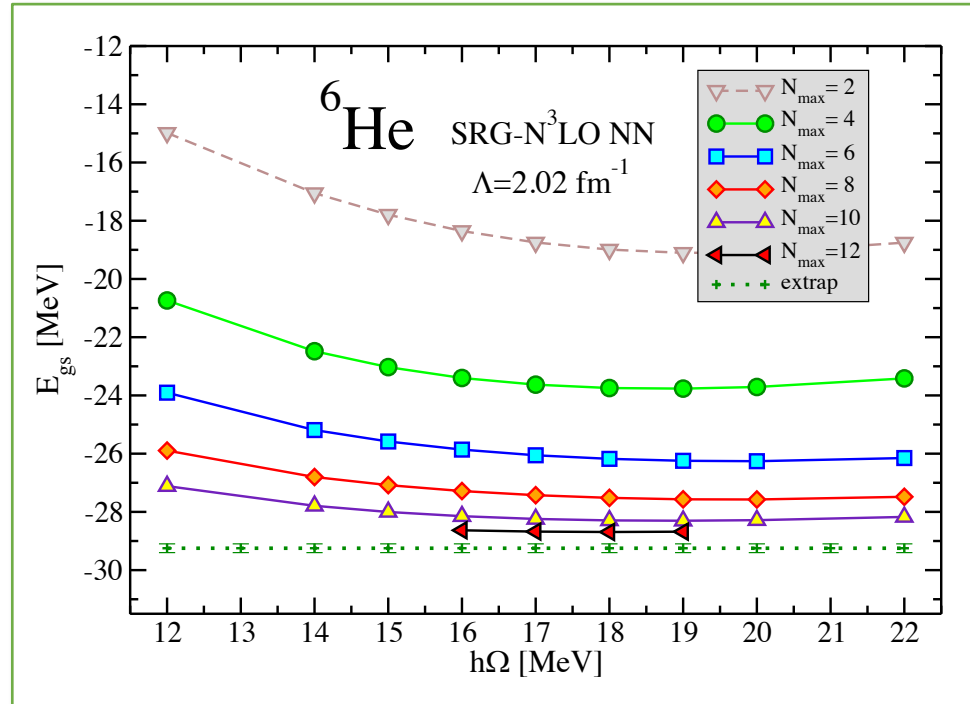
Basis size –  $N_{\text{max}}$

HO frequency –  $h\Omega$

$E_{\text{g.s.}}$ [MeV]	${}^4\text{He}$	${}^6\text{He}$
NCSM $N_{\text{max}}=12$	-28.05	-28.63
NCSM extrap.	-28.22(1)	-29.25(15)
Expt.	-28.30	-29.27

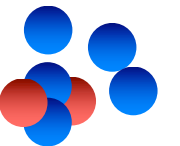
- Soft SRG evolved NN potential
  - ✓  $N_{\text{max}}$  convergence OK
  - ✓ Extrapolation feasible

## NCSM calculations of ${}^6\text{He}$ and ${}^7\text{He}$ g.s. energies



- ${}^7\text{He}$  unbound
  - Expt.  $E_{\text{th}}=+0.430(3) \text{ MeV}$ : NCSM  $E_{\text{th}} \approx +1 \text{ MeV}$
  - Expt. width  $0.182(5) \text{ MeV}$ : **NCSM no information about the width**

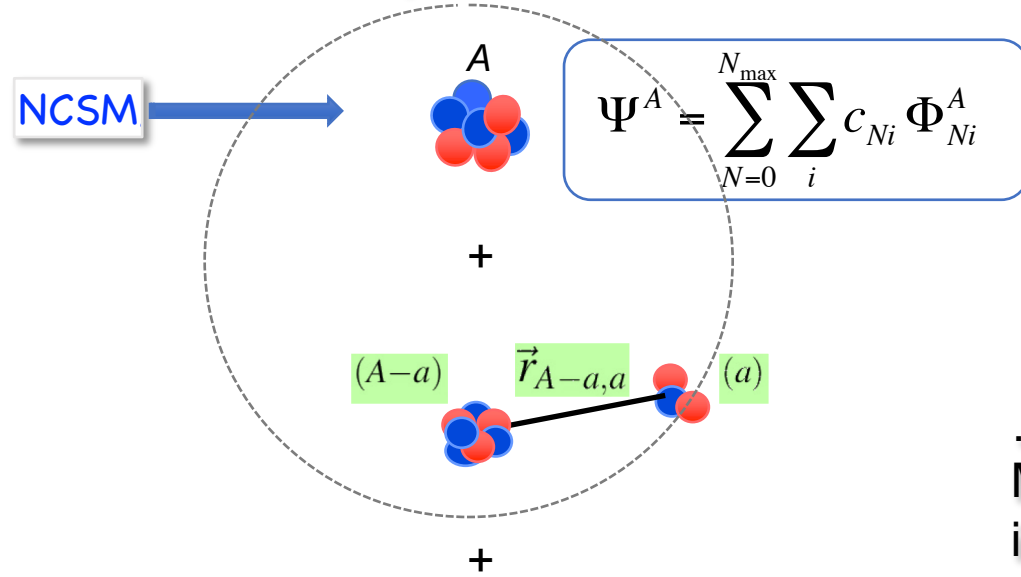
$E_{\text{g.s.}}$ [MeV]	${}^4\text{He}$	${}^6\text{He}$	${}^7\text{He}$
NCSM $N_{\text{max}}=12$	-28.05	-28.63	-27.33
NCSM extrap.	-28.22(1)	-29.25(15)	-28.27(25)
Expt.	-28.30	-29.27	-28.84



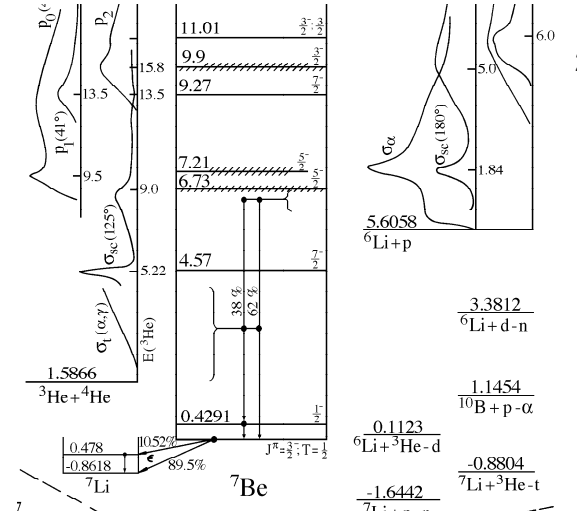
${}^7\text{He}$  unbound

# Extending no-core shell model beyond bound states

Include more many nucleon correlations...



...using the Resonating Group Method (RGM) ideas

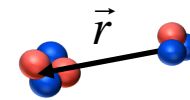


## Unified approach to bound & continuum states; to nuclear structure & reactions

- No-core shell model (NCSM)
  - $A$ -nucleon wave function expansion in the harmonic-oscillator (HO) basis
  - short- and medium range correlations
  - Bound-states, narrow resonances
- NCSM with Resonating Group Method (NCSM/RGM)
  - cluster expansion, clusters described by NCSM
  - proper asymptotic behavior
  - long-range correlations
- Most efficient: *ab initio* no-core shell model with continuum (NCSMC)



NCSM



NCSM/RGM

NCSMC

$$\Psi^{(A)} = \sum_{\lambda} c_{\lambda} \left| \begin{matrix} (A) \\ \text{Nucleus} \end{matrix}, \lambda \right\rangle + \sum_{\nu} \int d\vec{r} \gamma_{\nu}(\vec{r}) \hat{A}_{\nu} \left| \begin{matrix} (A-a) & (a) \\ \text{Nucleus} & \text{Cluster} \end{matrix}, \nu \right\rangle$$

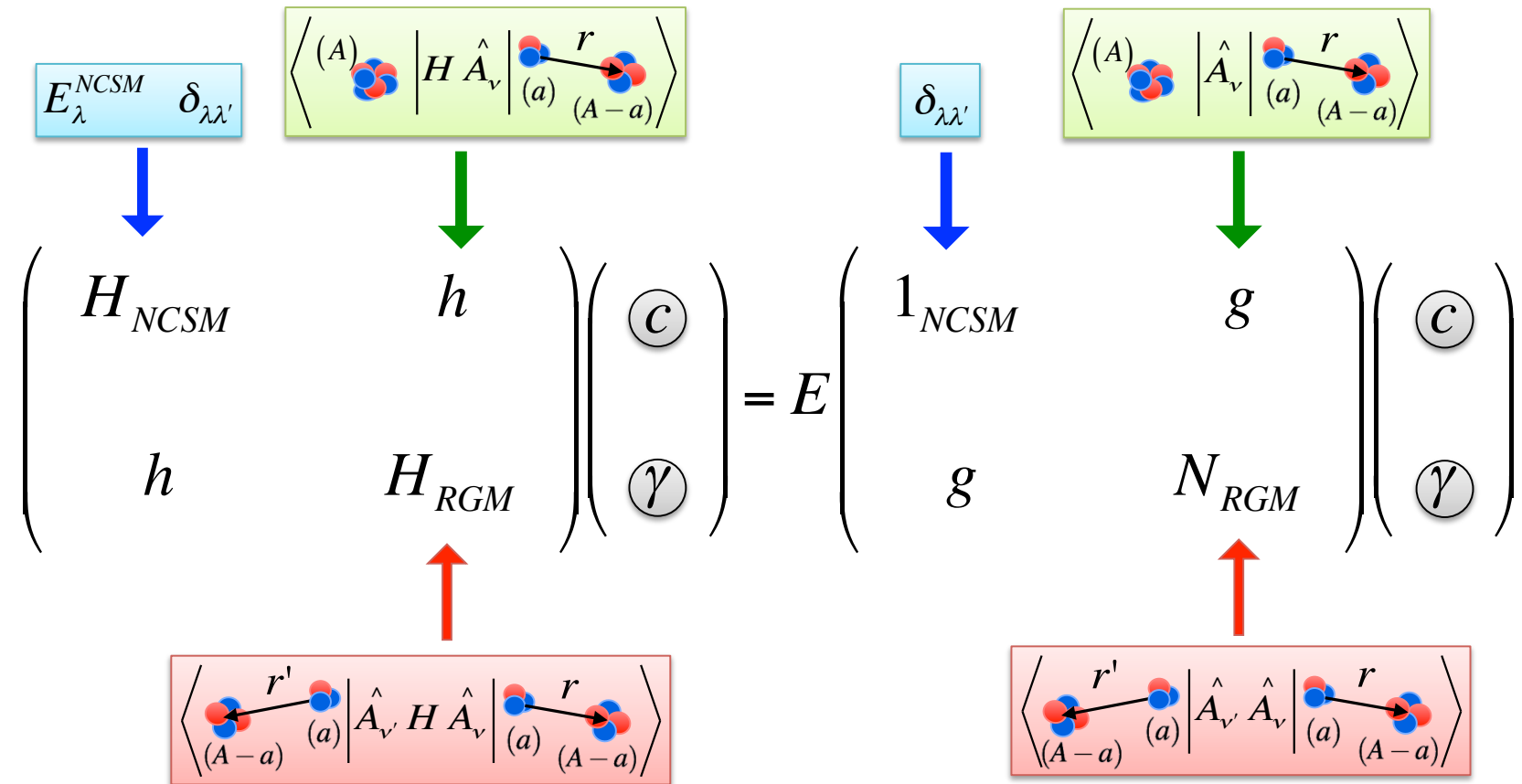
Unknowns

S. Baroni, P. Navratil, and S. Quaglioni,  
PRL **110**, 022505 (2013); PRC **87**, 034326 (2013).

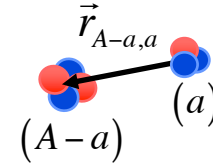
## Coupled NCSMC equations

$$H \Psi^{(A)} = E \Psi^{(A)}$$

$$\Psi^{(A)} = \sum_{\lambda} c_{\lambda} \left| \begin{matrix} (A) \\ \text{cluster} \end{matrix}, \lambda \right\rangle + \sum_{\nu} \int d\vec{r} \gamma_{\nu}(\vec{r}) \hat{A}_{\nu} \left| \begin{matrix} (A-a) & (a) \\ \text{cluster} & \text{cluster} \end{matrix}, \nu \right\rangle$$







## Binary cluster basis

- Working in partial waves ( $\nu \equiv \{A-a \alpha_1 I_1^{\pi_1} T_1; a \alpha_2 I_2^{\pi_2} T_2; s\ell\}$ )

$$|\psi^{J^{\pi T}}\rangle = \sum_{\nu} \hat{A}_{\nu} \left[ \underbrace{\left( |A-a \alpha_1 I_1^{\pi_1} T_1\rangle \right)}_{\text{Target}} \underbrace{\left( |a \alpha_2 I_2^{\pi_2} T_2\rangle \right)}_{\text{Projectile}} \right]^{(sT)} Y_{\ell}(\hat{r}_{A-a,a}) \left]^{(J^{\pi T})} \frac{g_{\nu}^{J^{\pi T}}(r_{A-a,a})}{r_{A-a,a}}$$

- Introduce a dummy variable  $\vec{r}$  with the help of the delta function

$$|\psi^{J^{\pi T}}\rangle = \sum_{\nu} \int \frac{g_{\nu}^{J^{\pi T}}(r)}{r} \hat{A}_{\nu} \left[ \left( |A-a \alpha_1 I_1^{\pi_1} T_1\rangle \right) \left( |a \alpha_2 I_2^{\pi_2} T_2\rangle \right) \right]^{(sT)} Y_{\ell}(\hat{r}) \left]^{(J^{\pi T})} \delta(\vec{r} - \vec{r}_{A-a,a}) r^2 dr d\hat{r}$$

- Allows to bring the wave function of the relative motion in front of the antisymmetrizer

$$\sum_{\nu} \int d\vec{r} \gamma_{\nu}(\vec{r}) \hat{A}_{\nu} \left| \begin{array}{c} \vec{r} \\ (A-a) \quad (a) \end{array}, \nu \right\rangle$$

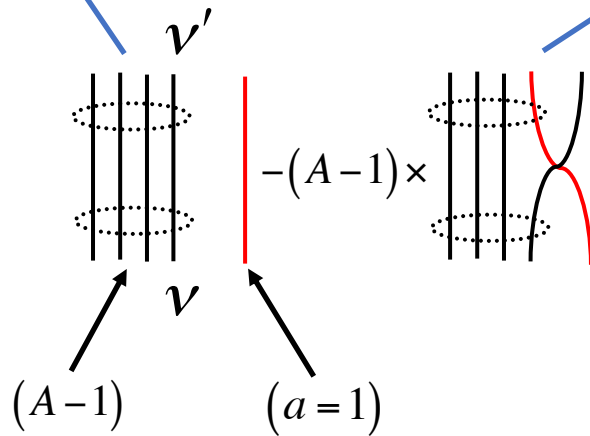
## Norm kernel (Pauli principle): Single-nucleon projectile

$$\langle \Phi_{v'r'}^{J^{\pi T}} | \hat{A}_{v'} \hat{A}_v | \Phi_{vr}^{J^{\pi T}} \rangle = \left\langle \begin{array}{c} (A-1) \\ \text{---} \\ r' \quad (a'=1) \end{array} \middle| 1 - \sum_{i=1}^{A-1} \hat{P}_{iA} \middle| \begin{array}{c} (A-1) \\ \text{---} \\ (a=1) \quad r \end{array} \right\rangle$$

$$N_{v'v}^{J^{\pi T}}(r', r) = \underbrace{\delta_{v'v} \frac{\delta(r'-r)}{r'r}}_{\text{Direct term}} - (A-1) \sum_{n'n} R_{n'l'}(r') R_{nl}(r) \underbrace{\langle \Phi_{v'n'}^{J^{\pi T}} | \hat{P}_{A-1,A} | \Phi_{vn}^{J^{\pi T}} \rangle}_{\text{Exchange term}}$$

$$\text{SD} \langle \psi_{\mu_1}^{(A-1)} | a^+ a | \psi_{\nu_1}^{(A-1)} \rangle_{\text{SD}}$$

Direct term:  
Treated exactly!  
(in the full space)



Exchange term:  
Obtained in the model space!  
(Many-body correction due to  
the exchange part of the inter-  
cluster antisymmetrizer )

**Trick #1**

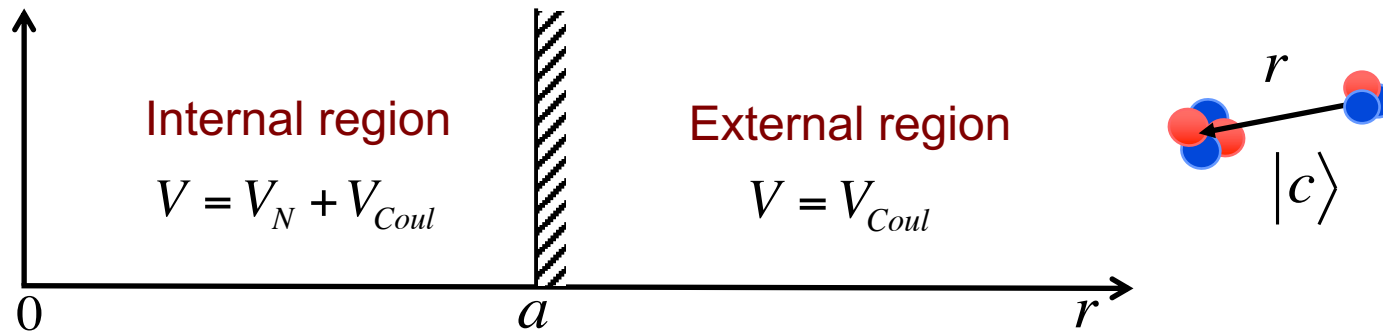
$$\frac{\delta(r - r_{A-a,a})}{r r_{A-a,a}} = \sum_n R_{nl}(r) R_{nl}(r_{A-a,a})$$

**Trick #2**

Target wave functions expanded in the SD basis, the CM motion exactly removed

## Microscopic R-matrix theory on a Lagrange mesh – Coupled channels

- Separation into “internal” and “external” regions at the channel radius  $a$



- Matching achieved through the Bloch operator:  $L_c = \frac{\hbar^2}{2\mu_c} \delta(r - a) \left( \frac{d}{dr} - \frac{B_c}{r} \right)$

- System of Bloch-Schrödinger equations:

$$\left[ \hat{T}_{rel}(r) + L_c + \bar{V}_{Coul}(r) - (E - E_c) \right] u_c(r) + \sum_{c'} \int dr' r' W_{cc'}(r, r') u_{c'}(r') = L_c u_c(r)$$

- Internal region: expansion on square-integrable basis  $u_c(r) = \sum_n A_{cn} f_n(r)$
- External region: asymptotic form for large  $r$

Bound state  $u_c(r) \sim C_c W(k_c r)$

Scattering state  $u_c(r) \sim v_c^{-\frac{1}{2}} \left[ \delta_{ci} I_c(k_c r) - U_{ci} O_c(k_c r) \right]$

Lagrange basis associated with Lagrange mesh:

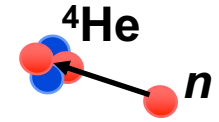
$$\{ax_n \in [0, a]\}$$

$$\int_0^1 g(x) dx \approx \sum_{n=1}^N \lambda_n g(x_n)$$

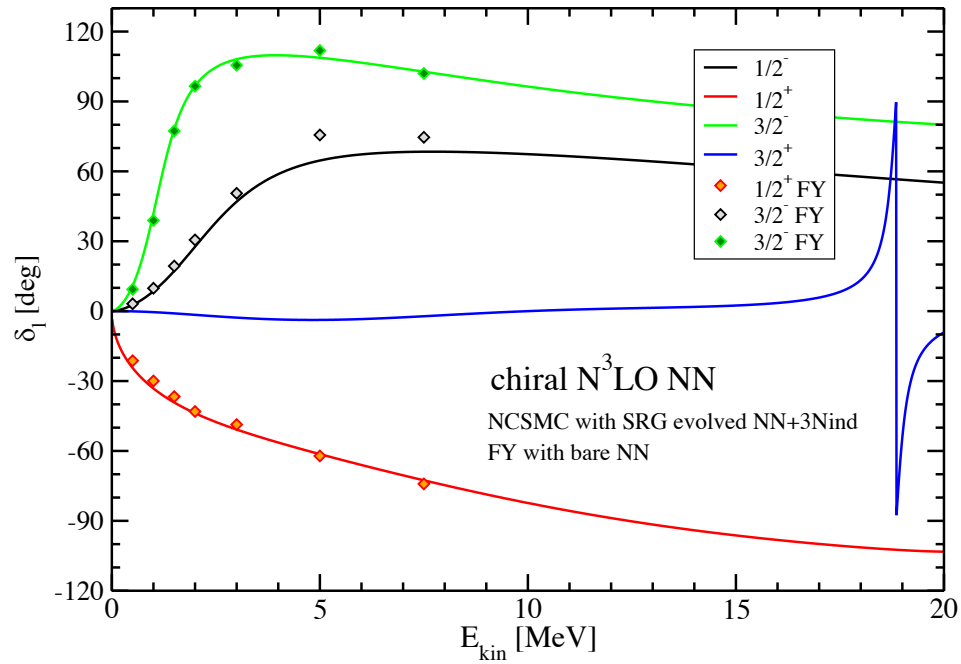
$$\int_0^a f_n(r) f_{n'}(r) dr \approx \delta_{nn'}$$

Scattering matrix

# $n$ - $^4\text{He}$ scattering within NCSMC



$n$ - $^4\text{He}$  scattering phase-shifts for chiral NN



FY: Faddeev-Yakubovsky method - Rimantas Lazauskas

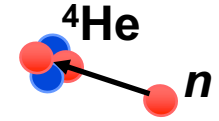
ROP Publishing | Royal Swedish Academy of Sciences  
Phys. Scr. 00 (2016) 000000 (37pp) Physica Scripta

**Invited Comment**

**Unified *ab initio* approaches to nuclear structure and reactions**

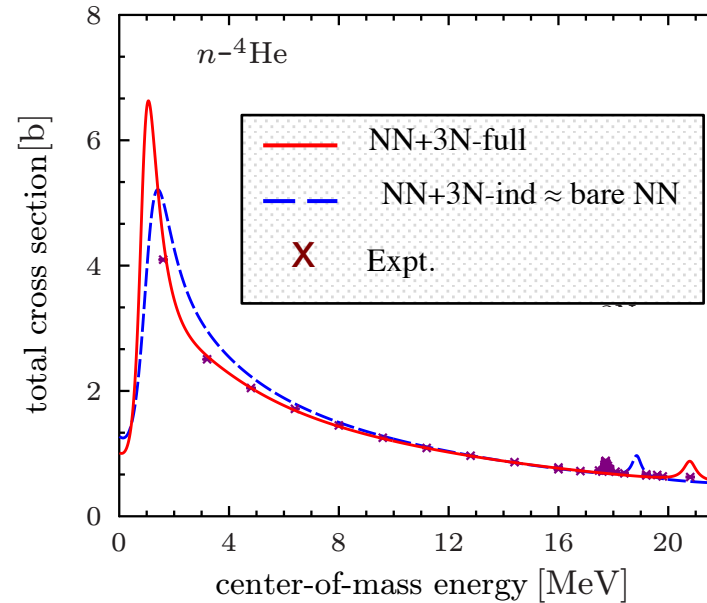
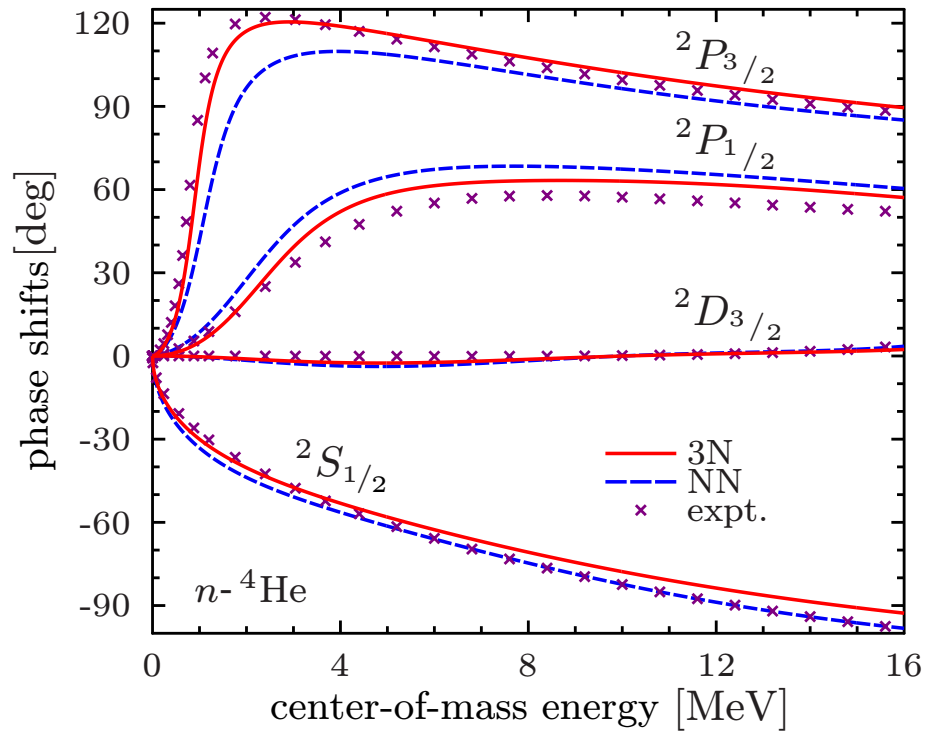
Petr Navrátil<sup>1</sup>, Sofia Quaglioni<sup>2</sup>, Guillaume Hupin<sup>3,4</sup>,  
Carolina Romero-Redondo<sup>5</sup> and Angelo Calci

# $n$ - ${}^4\text{He}$ scattering within NCSMC



$n$ - ${}^4\text{He}$  scattering phase-shifts for chiral NN and NN+3N500 potential

Total  $n$ - ${}^4\text{He}$  cross section with NN and NN+3N potentials

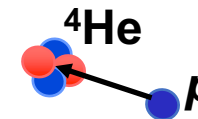


3N force enhances  $1/2^- \leftrightarrow 3/2^-$  splitting: Essential at low energies!

PHYSICAL REVIEW C **88**, 054622 (2013)  
*Ab initio* many-body calculations of nucleon- ${}^4\text{He}$  scattering with three-nucleon forces  
 Guillaume Hupin,<sup>1,\*</sup> Joachim Langhammer,<sup>2,†</sup> Petr Navrátil,<sup>3,‡</sup> Sofia Quaglioni,<sup>1,§</sup> Angelo Calci,<sup>2,||</sup> and Robert Roth<sup>2,¶</sup>

IOP Publishing | Royal Swedish Academy of Sciences  
 Phys. Scr. 00 (2016), 000000 (37pp) Physica Scripta  
 Invited Comment  
**Unified *ab initio* approaches to nuclear structure and reactions**  
 Petr Navrátil<sup>1</sup>, Sofia Quaglioni<sup>2</sup>, Guillaume Hupin<sup>1,4</sup>,  
 Carolina Romero-Redondo<sup>5</sup> and Angelo Calci<sup>1</sup>

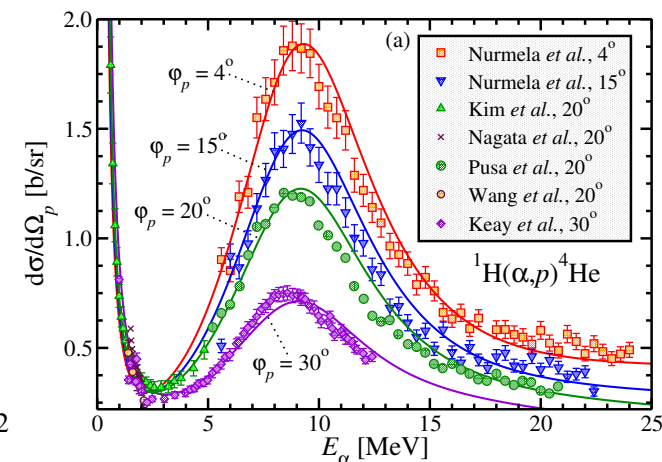
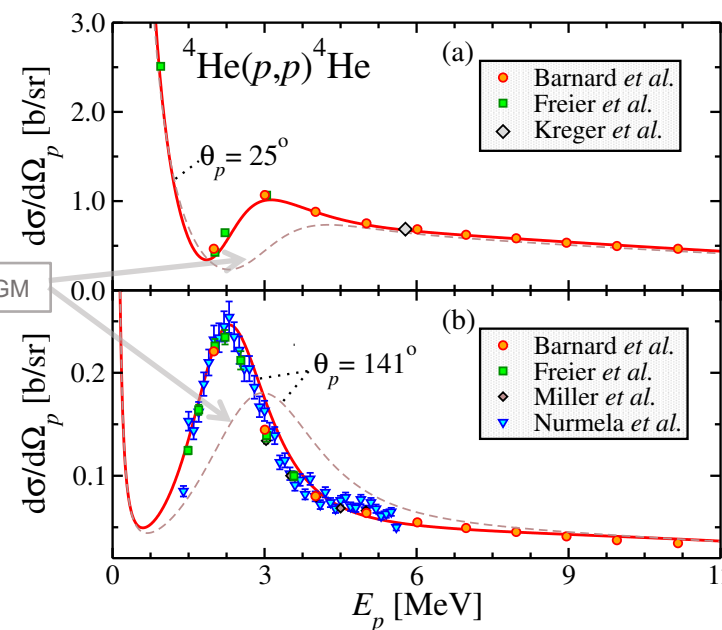
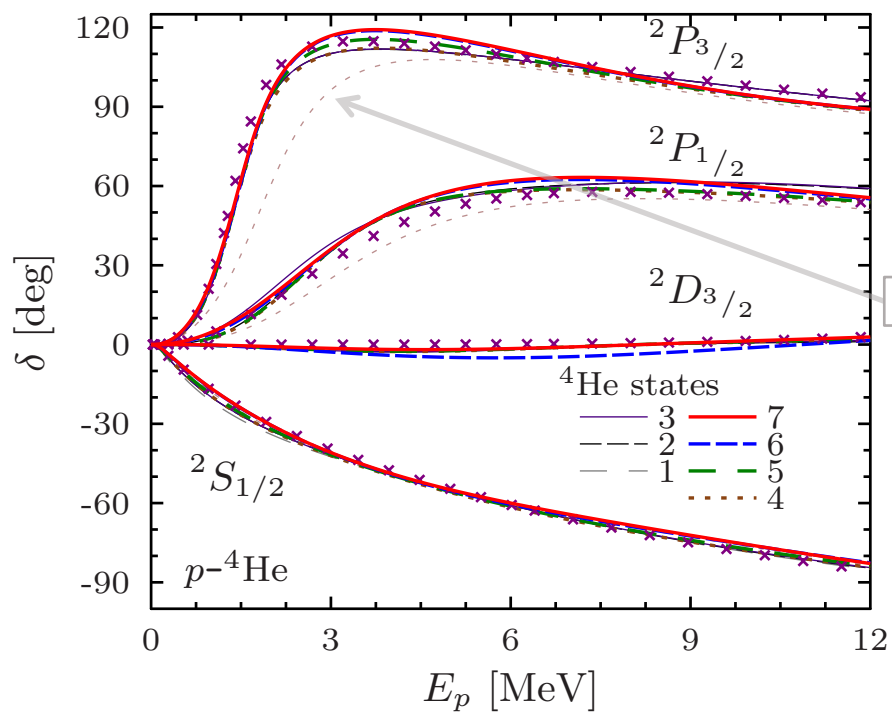
# $p$ - $^4\text{He}$ scattering within NCSMC



$p$ - $^4\text{He}$  scattering phase-shifts for NN+3N500 potential:

Convergence

Differential  $p$ - $^4\text{He}$  cross section with NN+3N potentials



PHYSICAL REVIEW C **90**, 061601(R) (2014)

Predictive theory for elastic scattering and recoil of protons from  $^4\text{He}$

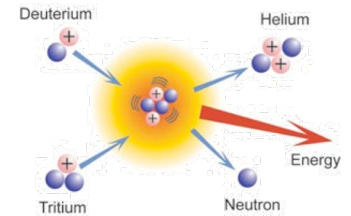
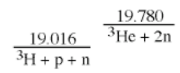
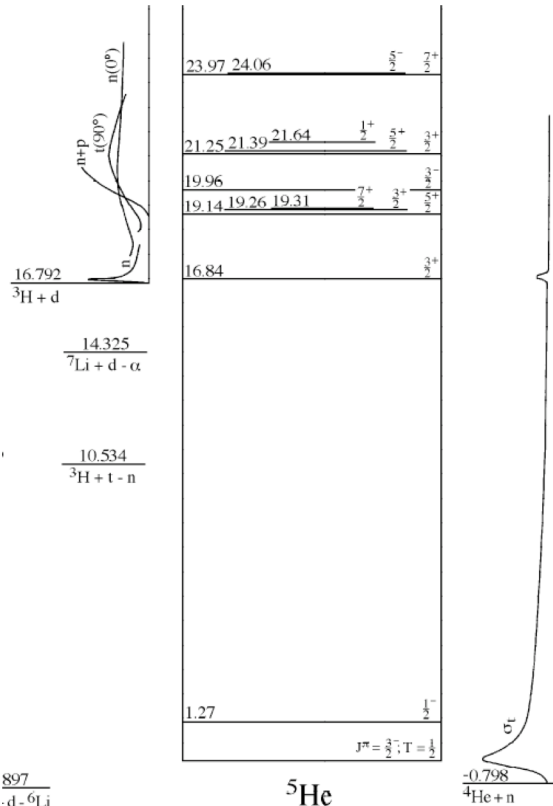
Guillaume Hupin,<sup>1,\*</sup> Sofia Quaglioni,<sup>1,†</sup> and Petr Navrátil<sup>2,‡</sup>

Predictive power in the  $3/2^-$  resonance region:  
Applications to material science



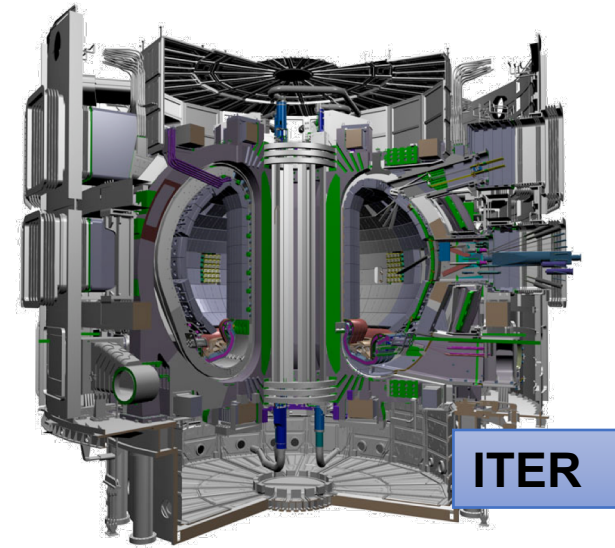
# Deuterium-Tritium fusion

- The  $d+{}^3\text{H}\rightarrow n+{}^4\text{He}$  reaction
  - The most promising for the production of fusion energy in the near future
  - Used to achieve inertial-confinement (laser-induced) fusion at NIF, and magnetic-confinement fusion at ITER
  - With its mirror reaction,  ${}^3\text{He}(d,p){}^4\text{He}$ , important for Big Bang nucleosynthesis



Resonance at  $E_{cm}=48$  keV ( $E_d=105$  keV) in the  $J=3/2^+$  channel  
 Cross section at the peak: 4.88 b

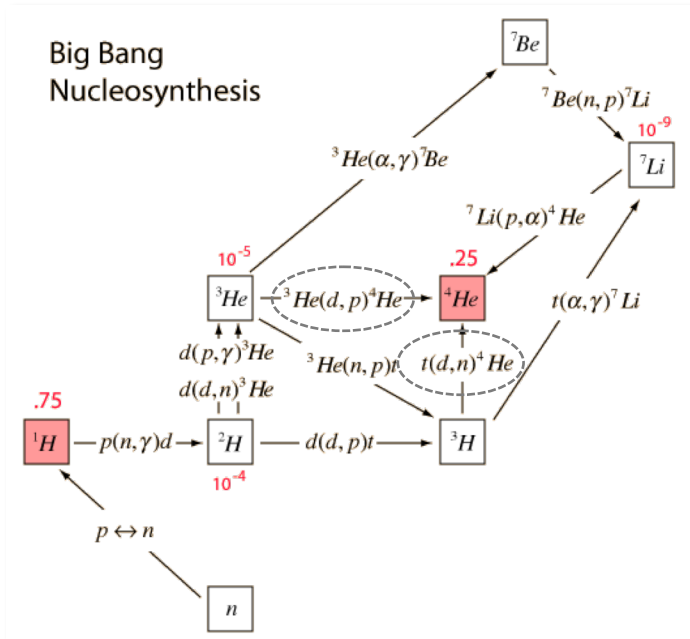
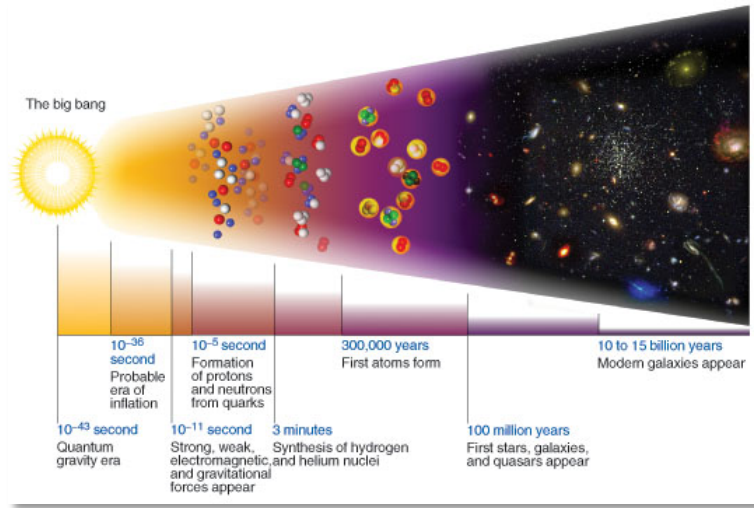
**17.64 MeV energy released:**  
**14.1 MeV neutron and 3.5 MeV alpha**



897  
d -  ${}^6\text{Li}$

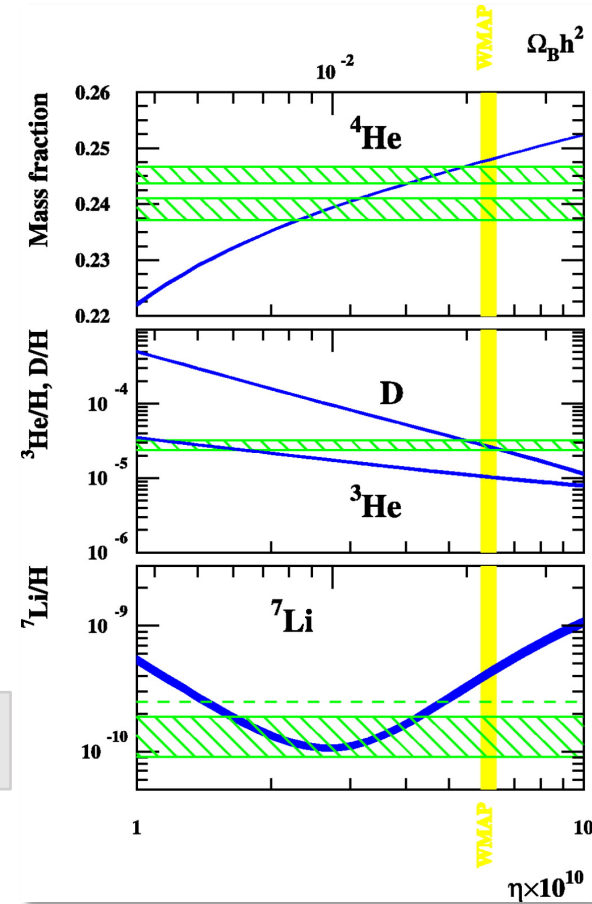
-1 877

# Big Bang nucleosynthesis

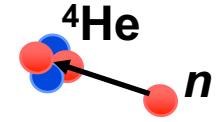


Key reactions

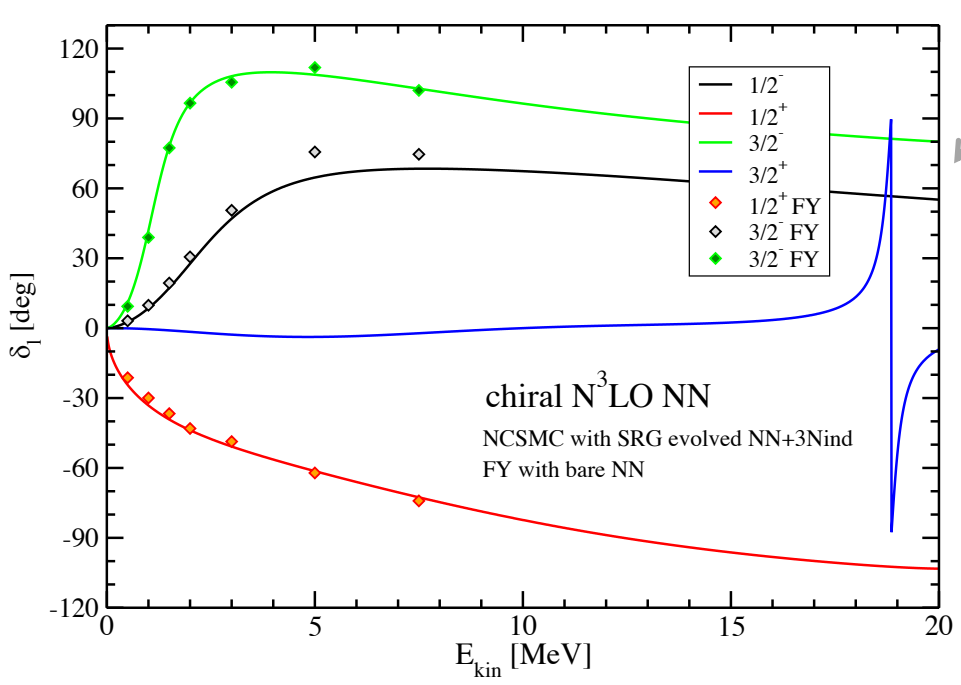
$^7\text{Li}$  puzzle



# $n$ - $^4\text{He}$ scattering and $^3\text{H}+d$ fusion within NCSMC

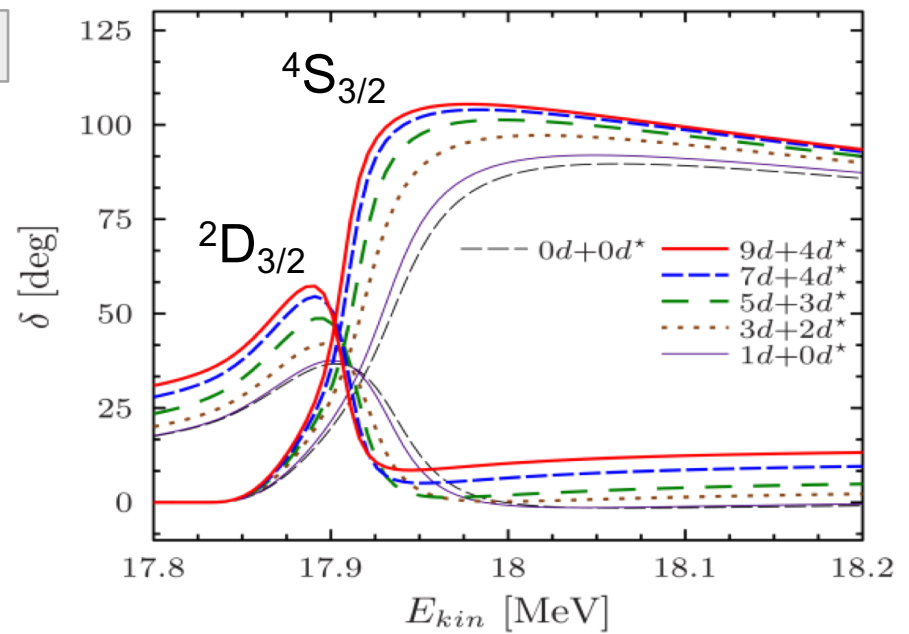


$n$ - $^4\text{He}$  scattering phase-shifts for chiral NN



$^4\text{He}+n$

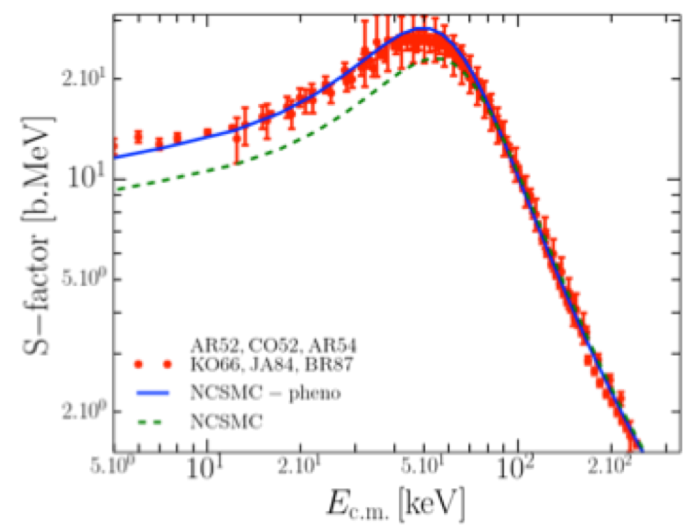
$^4\text{He}+n \rightarrow ^3\text{H}+d$



FY: Faddeev-Yakubovsky method - Rimantas Lazauskas

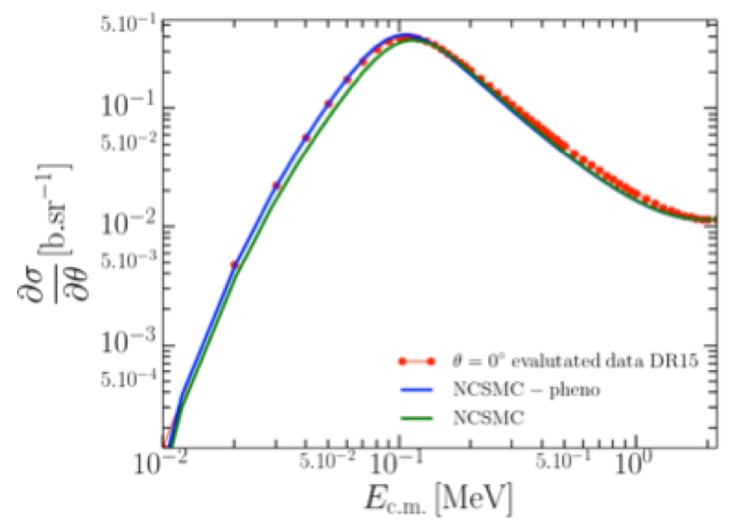
The  $d$ - $^3\text{H}$  fusion takes place through a transition of  $d+^3\text{H}$  is  $S$ -wave to  $n+^4\text{He}$  in  $D$ -wave: Importance of the **tensor** and **3N** force

### ${}^3\text{H}(d,n){}^4\text{He}$ with chiral NN+3N500 interaction



$$S(E) = E\sigma(E) \exp[2\pi\eta(E)]$$

$$\eta(E) = Z_{A-a}Z_a e^2 / \hbar v_{A-a,a}$$

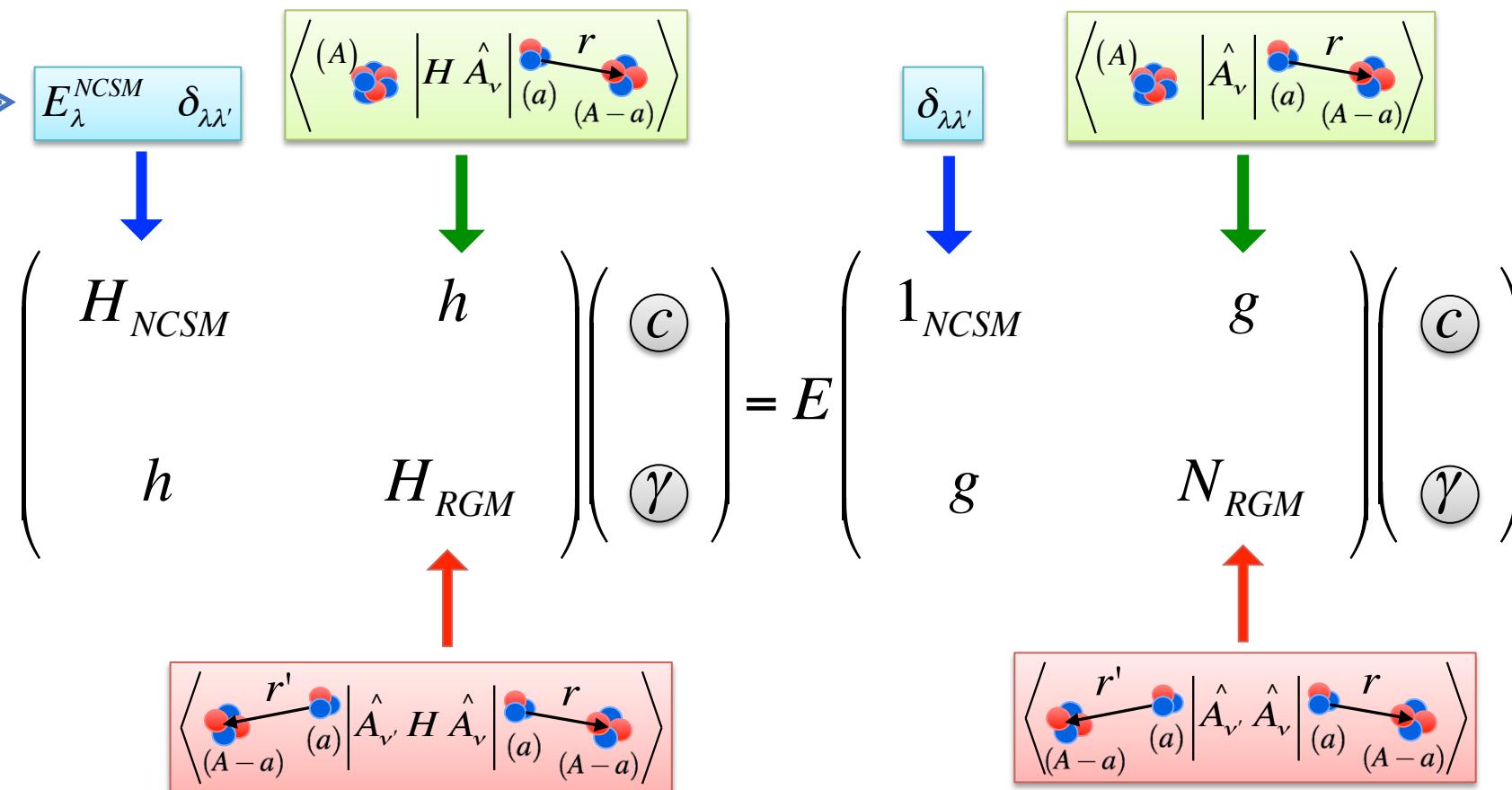


## NCSMC phenomenology

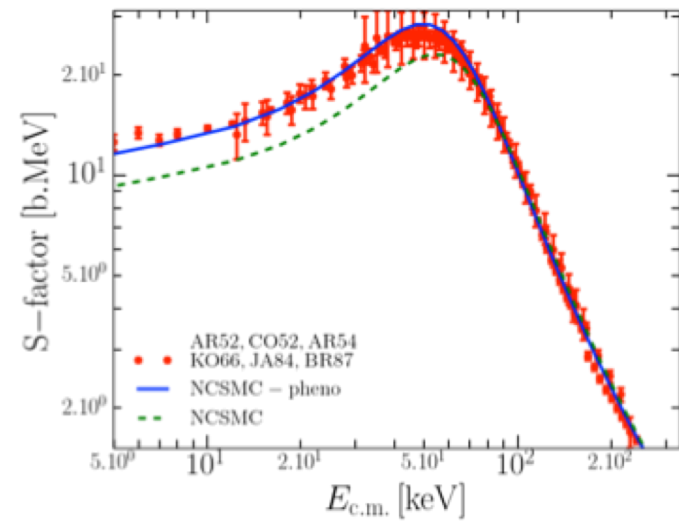
$$H \Psi^{(A)} = E \Psi^{(A)}$$

$$\Psi^{(A)} = \sum_{\lambda} c_{\lambda} \left| \begin{matrix} (A) \\ \text{cluster} \end{matrix}, \lambda \right\rangle + \sum_{\nu} \int d\vec{r} \gamma_{\nu}(\vec{r}) \hat{A}_{\nu} \left| \begin{matrix} (A-a) & \vec{r} \\ (a) & \end{matrix}, \nu \right\rangle$$

$E_{\lambda}^{NCSM}$  energies treated as adjustable parameters  
 Cluster excitation energies set to experimental values

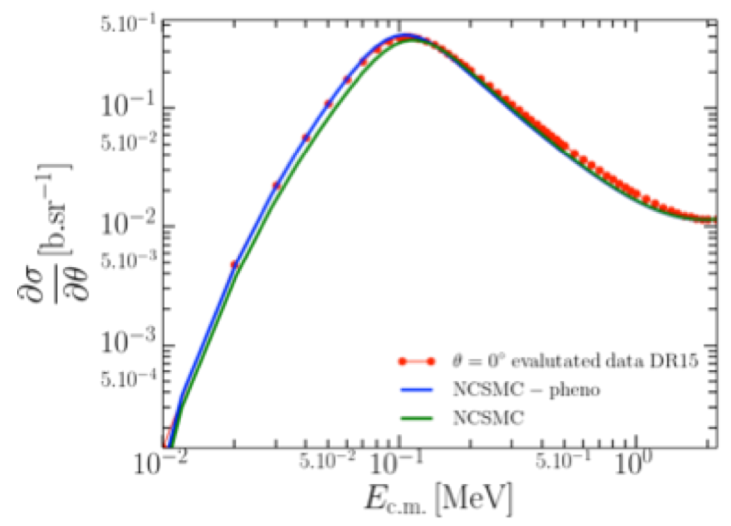


### ${}^3\text{H}(d,n){}^4\text{He}$ with chiral NN+3N500 interaction



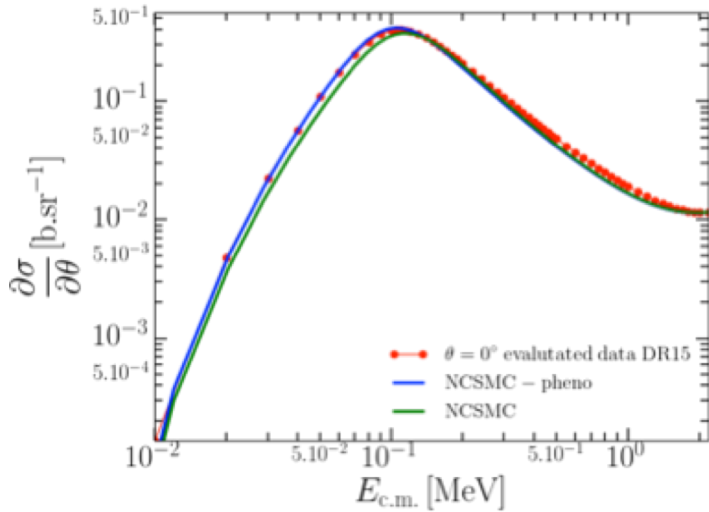
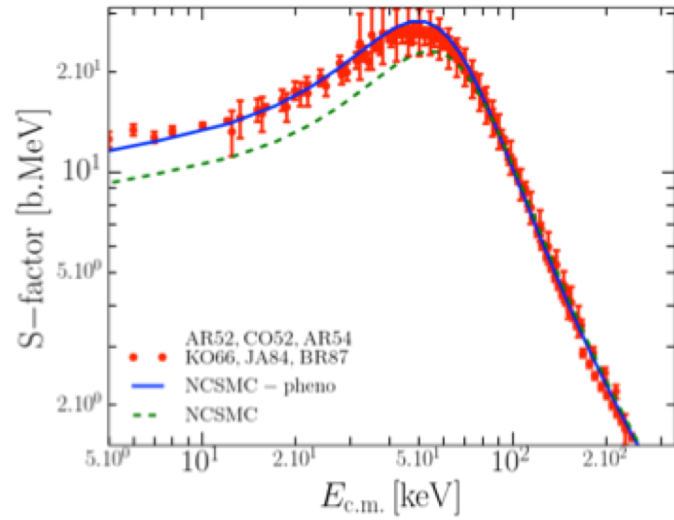
$$S(E) = E\sigma(E) \exp[2\pi\eta(E)]$$

$$\eta(E) = Z_{A-a}Z_a e^2 / \hbar v_{A-a,a}$$



### $^3\text{H}(d,n)^4\text{He}$ with chiral NN+3N500 interaction

Polarized fusion

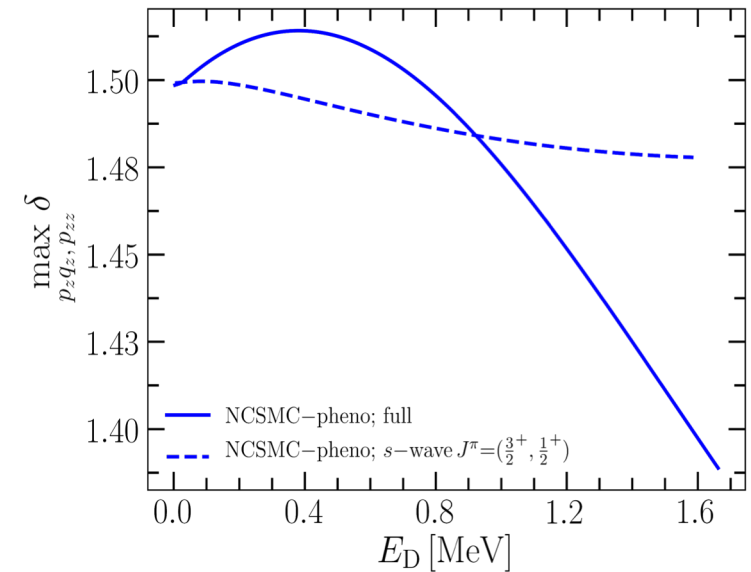
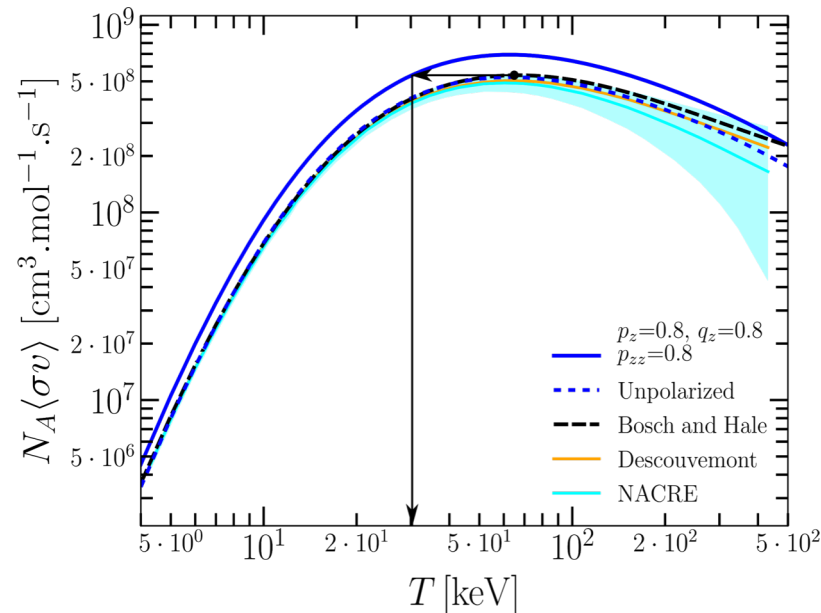
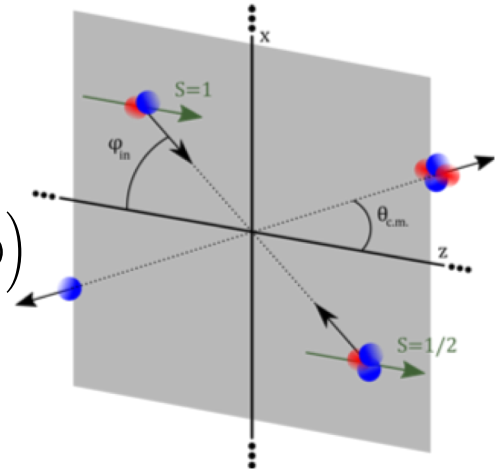


$$S(E) = E\sigma(E) \exp[2\pi\eta(E)]$$

$$\eta(E) = Z_{A-a} Z_a e^2 / \hbar v_{A-a,a}$$

$$\frac{\partial\sigma_{pol}}{\partial\Omega_{c.m.}}(\theta_{c.m.}) = \frac{\partial\sigma_{unpol}}{\partial\Omega_{c.m.}}(\theta_{c.m.}) \left( 1 + \frac{1}{2} p_{zz} A_{zz}^{(b)}(\theta_{c.m.}) + \frac{3}{2} p_z q_z C_{z,z}(\theta_{c.m.}) \right)$$

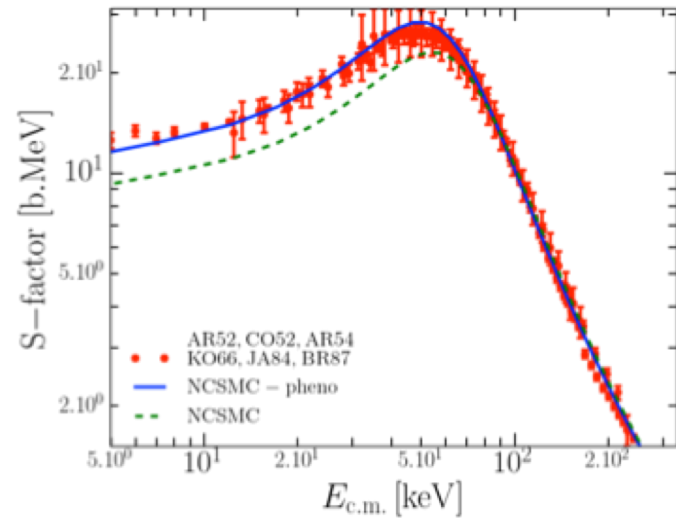
$$\langle\sigma v\rangle = \sqrt{\frac{8}{\pi\mu(k_b T)^3}} \int_0^\infty S(E) \exp\left(-\frac{E}{k_b T} - \sqrt{\frac{E_g}{E}}\right) dE,$$





### $^3\text{H}(d,n)^4\text{He}$ with chiral NN+3N500 interaction

Polarized fusion

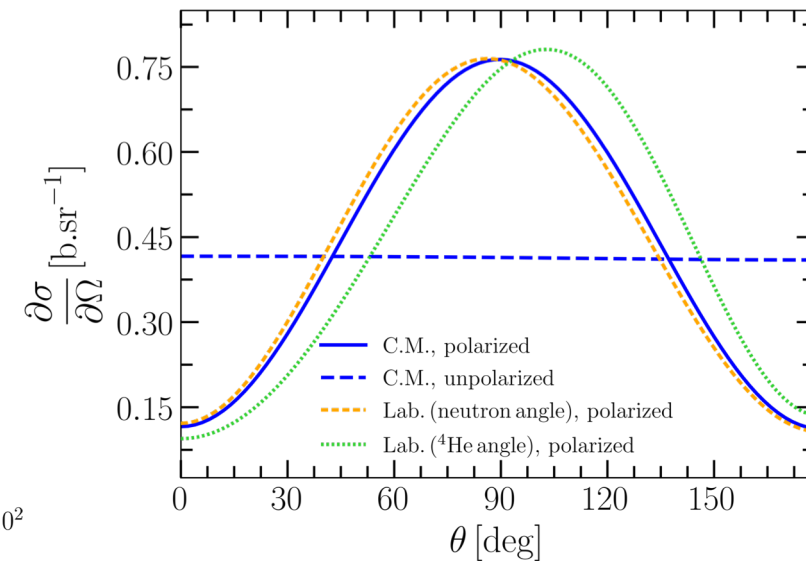
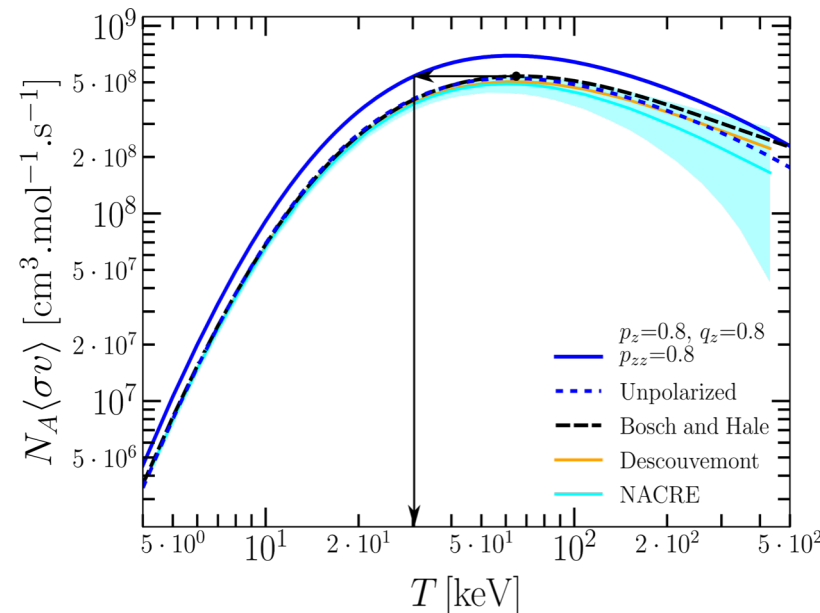
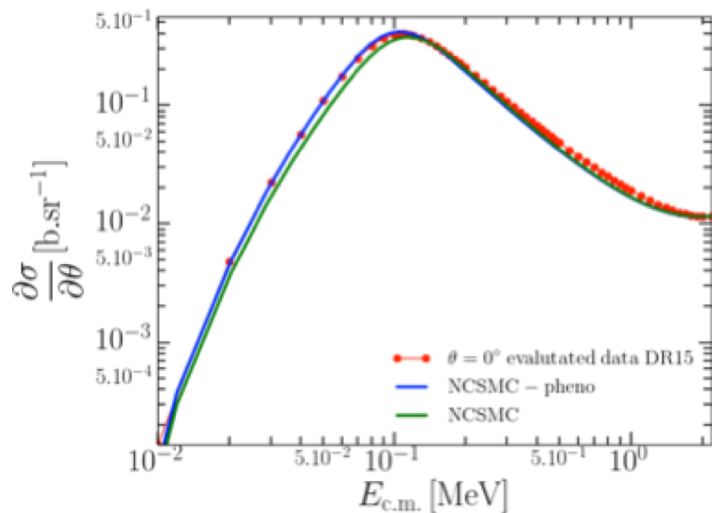
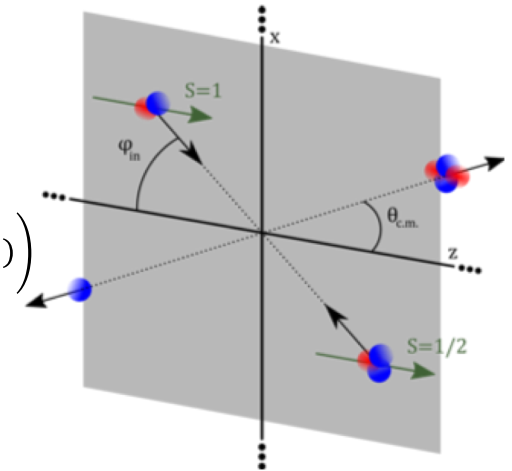


$$S(E) = E\sigma(E) \exp[2\pi\eta(E)]$$

$$\eta(E) = Z_{A-a}Z_a e^2 / \hbar v_{A-a,a}$$

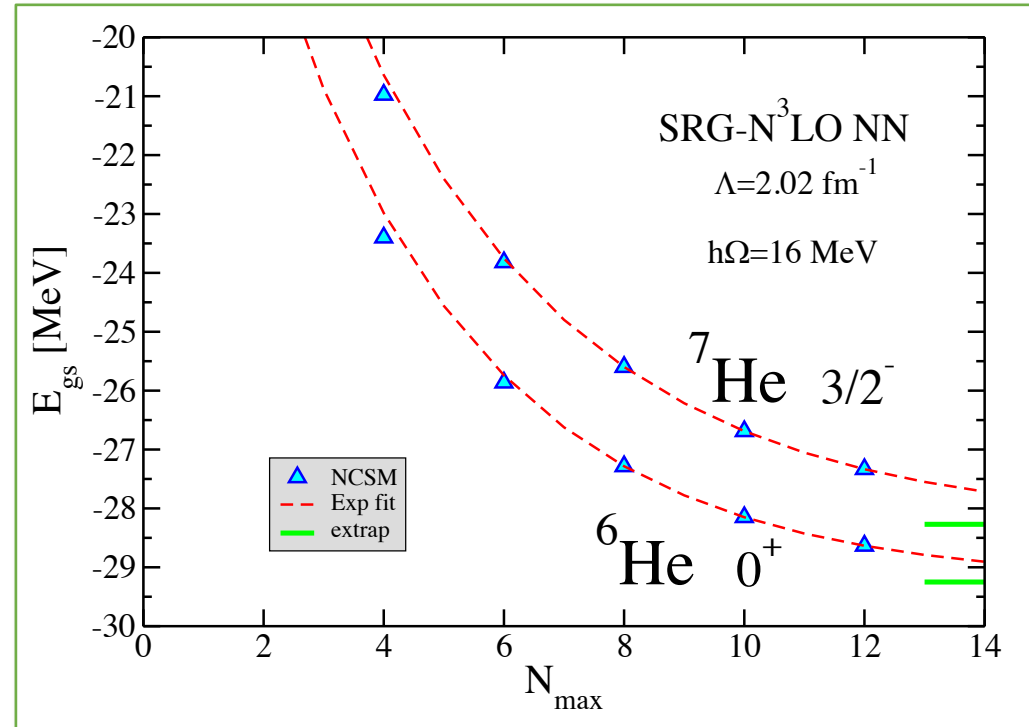
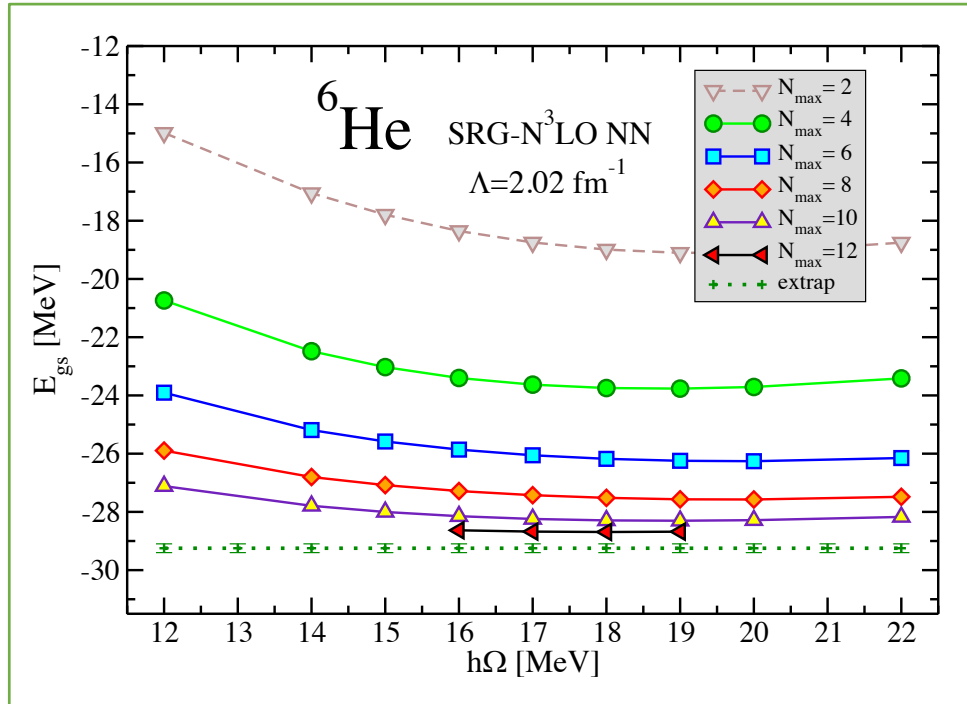
$$\frac{\partial\sigma_{pol}}{\partial\Omega_{c.m.}}(\theta_{c.m.}) = \frac{\partial\sigma_{unpol}}{\partial\Omega_{c.m.}}(\theta_{c.m.}) \left( 1 + \frac{1}{2}p_{zz}A_{zz}^{(b)}(\theta_{c.m.}) + \frac{3}{2}p_z q_z C_{z,z}(\theta_{c.m.}) \right)$$

$$\langle\sigma v\rangle = \sqrt{\frac{8}{\pi\mu(k_b T)^3}} \int_0^\infty S(E) \exp\left(-\frac{E}{k_b T} - \sqrt{\frac{E_g}{E}}\right) dE,$$





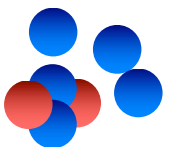
## NCSM calculations of ${}^6\text{He}$ and ${}^7\text{He}$ g.s. energies



- ${}^7\text{He}$  unbound

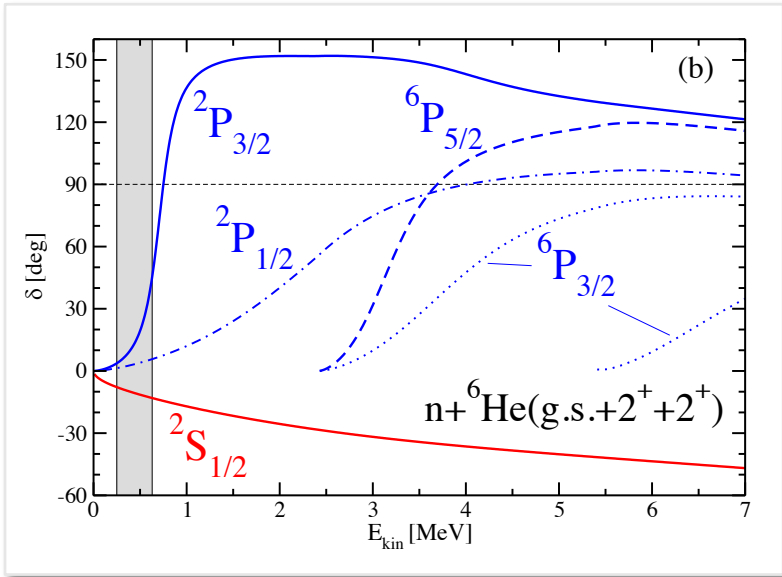
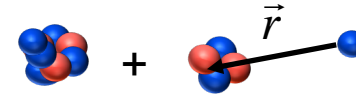
- Expt.  $E_{\text{th}}=+0.430(3) \text{ MeV}$ : NCSM  $E_{\text{th}} \approx +1 \text{ MeV}$
- Expt. width  $0.182(5) \text{ MeV}$ : **NCSM no information about the width**

$E_{\text{g.s.}}$ [MeV]	${}^4\text{He}$	${}^6\text{He}$	${}^7\text{He}$
NCSM $N_{\text{max}}=12$	-28.05	-28.63	-27.33
NCSM extrap.	-28.22(1)	-29.25(15)	-28.27(25)
Expt.	-28.30	-29.27	-28.84



${}^7\text{He}$  unbound

# NCSM with continuum: ${}^7\text{He} \leftrightarrow {}^6\text{He} + n$



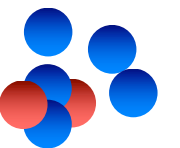
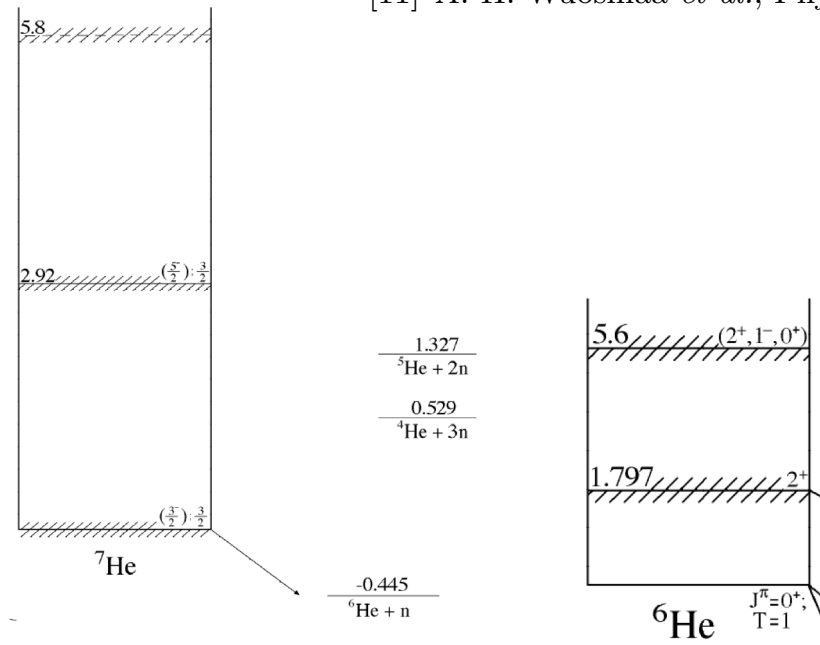
$J^\pi$	experiment			NCSMC	
	$E_R$	$\Gamma$	Ref.	$E_R$	$\Gamma$
$3/2^-$	0.430(3)	0.182(5)	[2]	0.71	0.30
$5/2^-$	3.35(10)	1.99(17)	[40]	3.13	1.07
$1/2^-$	3.03(10)	2	[11]	2.39	2.89
	3.53	10	[15]		
	1.0(1)	0.75(8)	[5]		

**Experimental controversy:**  
Existence of low-lying  $1/2^-$  state  
... not seen in these calculations

[11] A. H. Wuosmaa *et al.*, Phys. Rev. C **72**, 061301 (2005).

$$\Gamma = \frac{2}{\partial\delta(E_{kin})/\partial E_{kin}} \Big|_{E_{kin}=E_R}$$

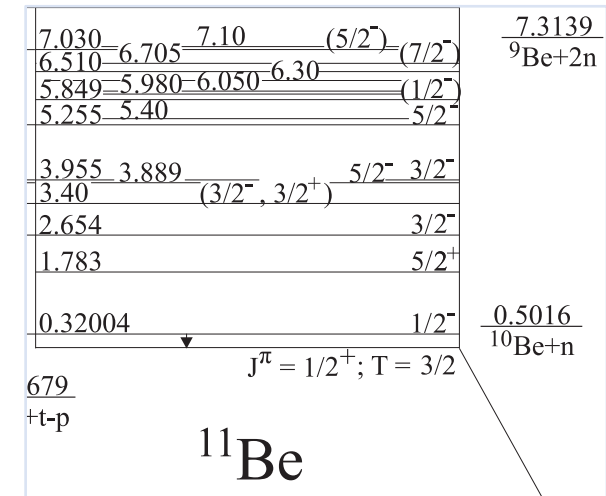
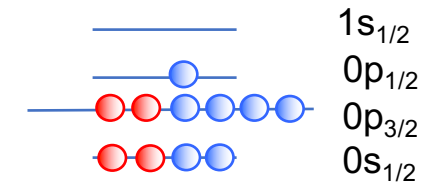
NCSMC  
with three  ${}^6\text{He}$  states  
and ten  ${}^7\text{He}$  eigenstates  
More **7-nucleon correlations**  
Fewer  ${}^6\text{He}$ -core states needed



${}^7\text{He}$  unbound

## Neutron-rich halo nucleus $^{11}\text{Be}$

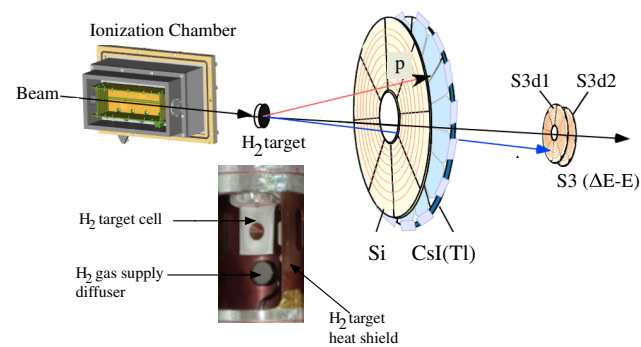
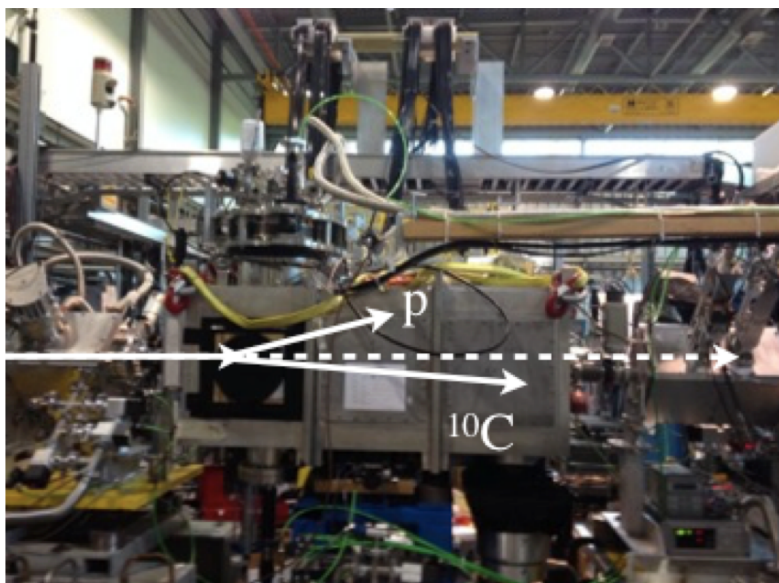
- $Z=4, N=7$ 
  - In the shell model picture g.s. expected to be  $J^\pi=1/2^-$ 
    - $Z=6, N=7$   $^{13}\text{C}$  and  $Z=8, N=7$   $^{15}\text{O}$  have  $J^\pi=1/2^-$  g.s.
  - In reality,  $^{11}\text{Be}$  g.s. is  $J^\pi=1/2^+$  - parity inversion
  - Very weakly bound:  $E_{\text{th}}=-0.5$  MeV
    - Halo state – dominated by  $^{10}\text{Be-n}$  in the S-wave
  - The  $1/2^-$  state also bound – only by 180 keV
  
- Can we describe  $^{11}\text{Be}$  in *ab initio* calculations?
  - Continuum must be included
  - Does the 3N interaction play a role in the parity inversion?



## $^{10}\text{C}(p,p)$ @ IRIS with solid $\text{H}_2$ target

- Experiment at TRIUMF with the novel IRIS solid  $\text{H}_2$  target
  - First re-accelerated  $^{10}\text{C}$  beam at TRIUMF
  - $^{10}\text{C}(p,p)$  angular distributions measured at  $E_{\text{CM}} \sim 4.15$  MeV and 4.4 MeV

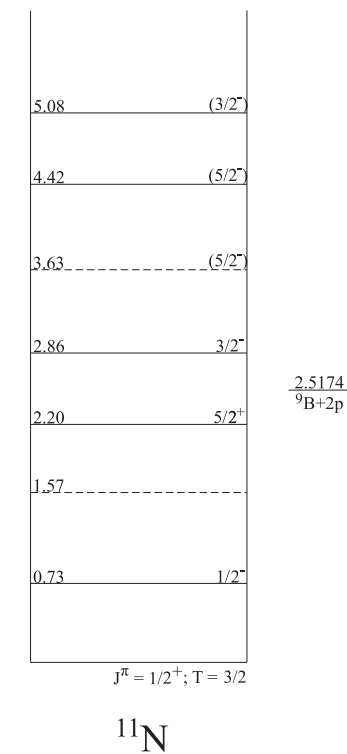
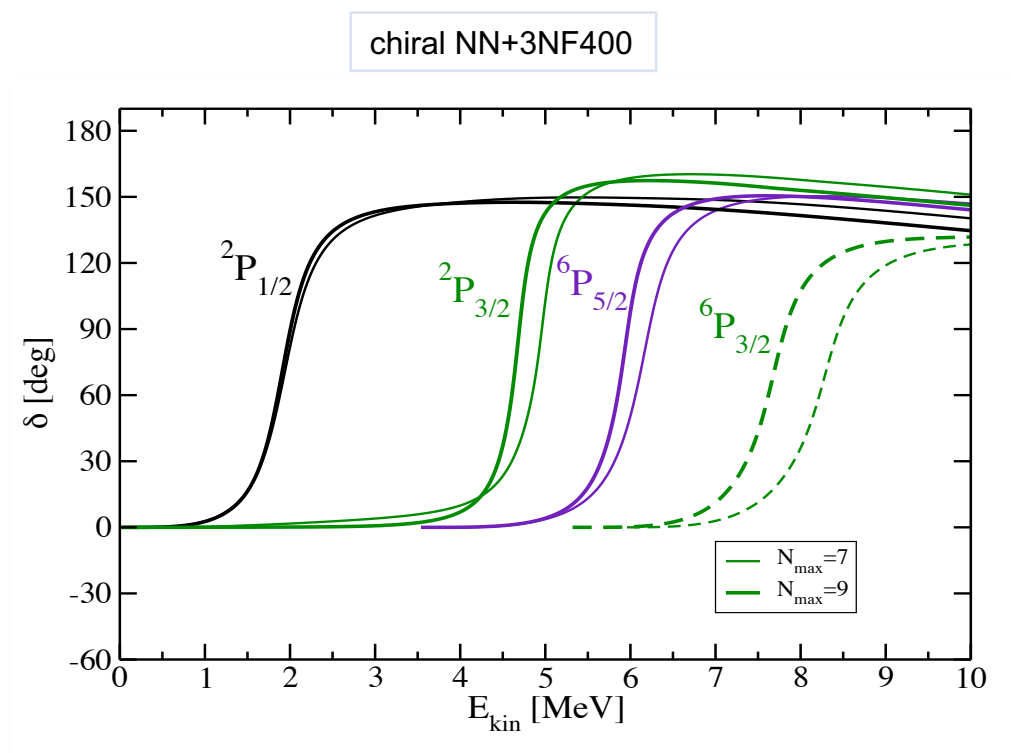
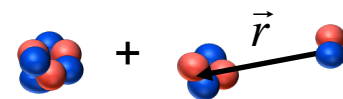
$^{11}\text{N} \sim ^{10}\text{C}+p$   
unbound  
mirror system of  
 $^{11}\text{Be} \sim ^{10}\text{Be}+n$



IRIS collaboration:  
A. Kumar, R. Kanungo,  
A. Sanetullaev *et al.*

## $p+^{10}\text{C}$ scattering: structure of $^{11}\text{N}$ resonances

- NCSMC calculations with **chiral NN+3N** ( $N^3\text{LO NN}+N^2\text{LO 3NF400}$ , NNLOsat)
  - $p-^{10}\text{C} + ^{11}\text{N}$ 
    - $^{10}\text{C}$ :  $0^+$ ,  $2^+$ ,  $2^+$  NCSM eigenstates
    - $^{11}\text{N}$ :  $\geq 4 \pi = -1$  and  $\geq 3 \pi = +1$  NCSM eigenstates

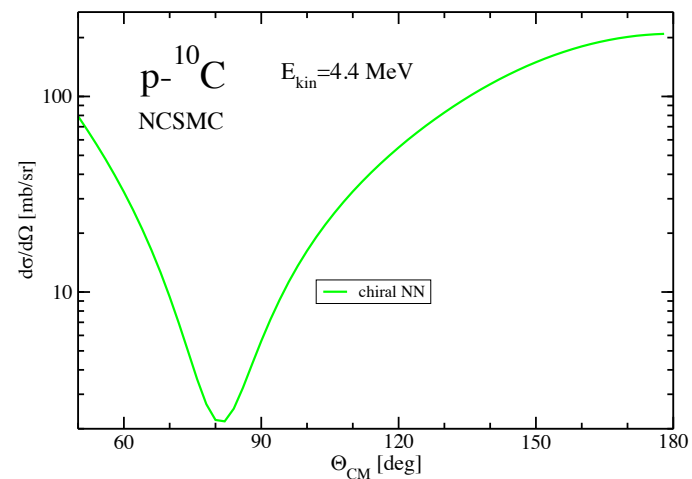
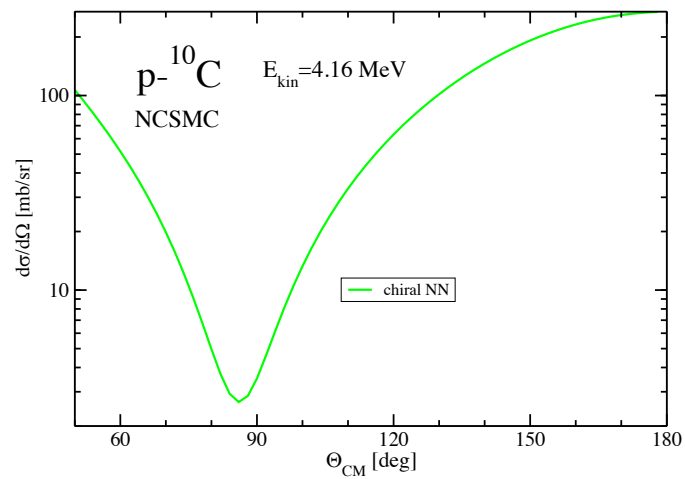
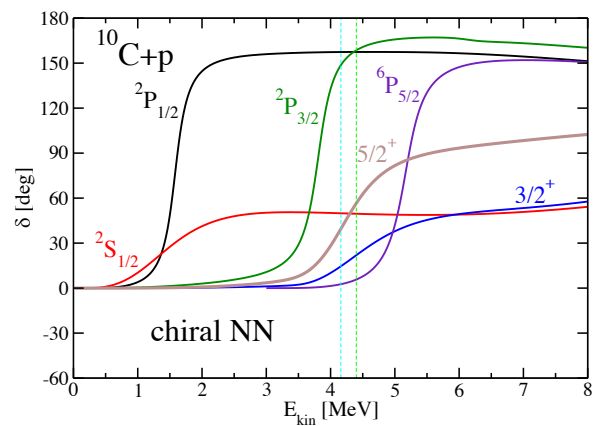


# p+<sup>10</sup>C scattering: structure of <sup>11</sup>N resonances

Selected for a Viewpoint in *Physics*  
 PRL 118, 262502 (2017) PHYSICAL REVIEW LETTERS week ending 30 JUNE 2017

**Nuclear Force Imprints Revealed on the Elastic Scattering of Protons with <sup>10</sup>C**

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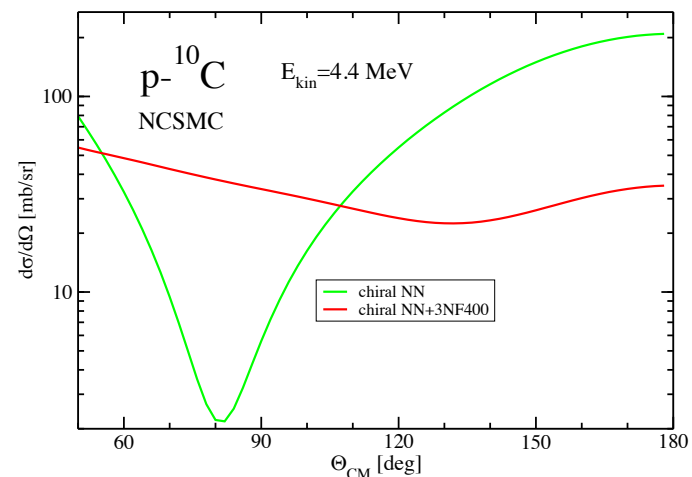
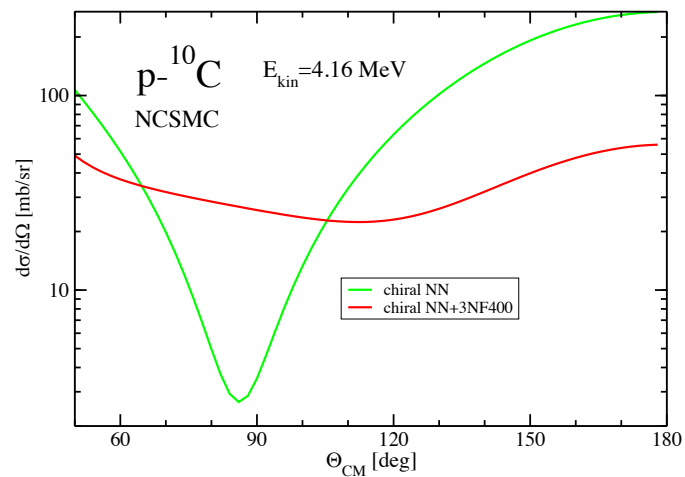
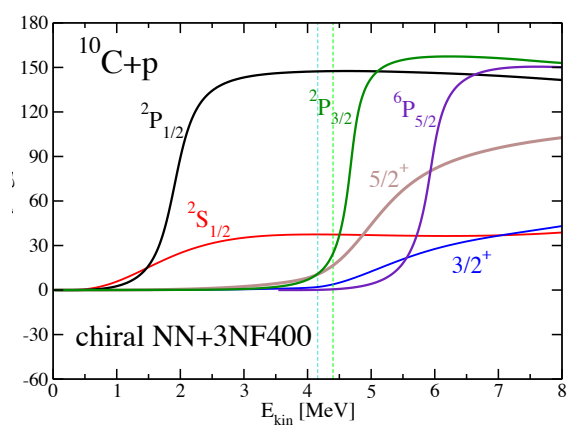
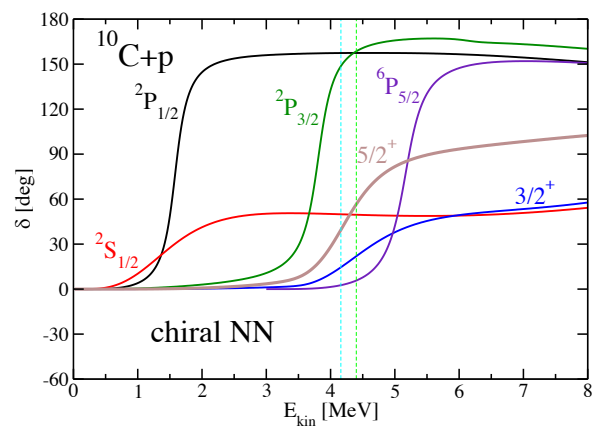


# p+<sup>10</sup>C scattering: structure of <sup>11</sup>N resonances

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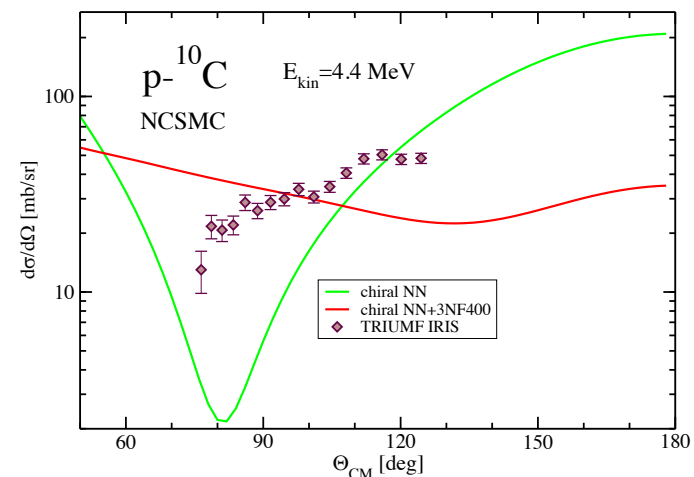
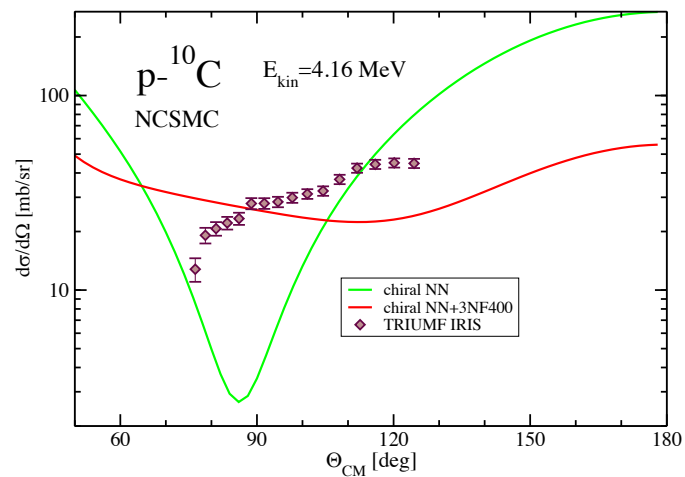
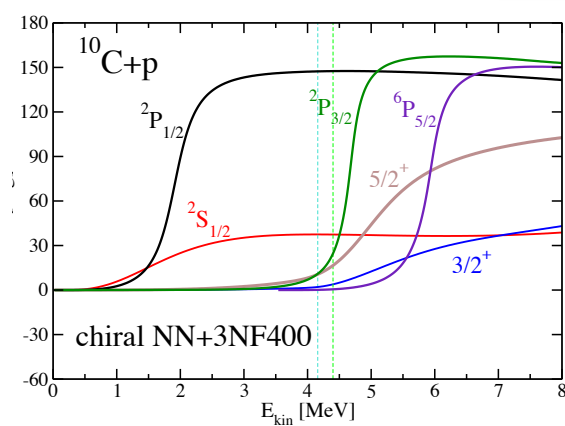
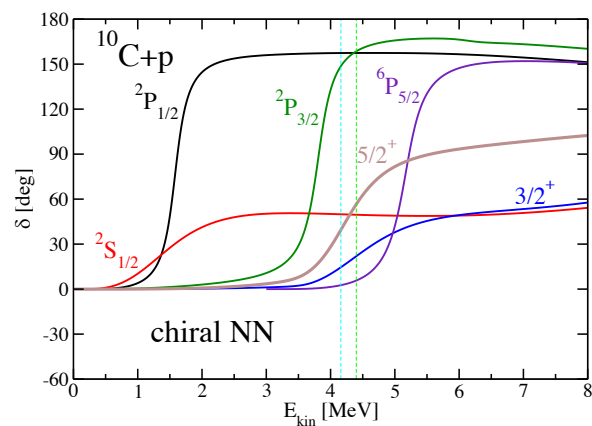


# p+<sup>10</sup>C scattering: structure of <sup>11</sup>N resonances

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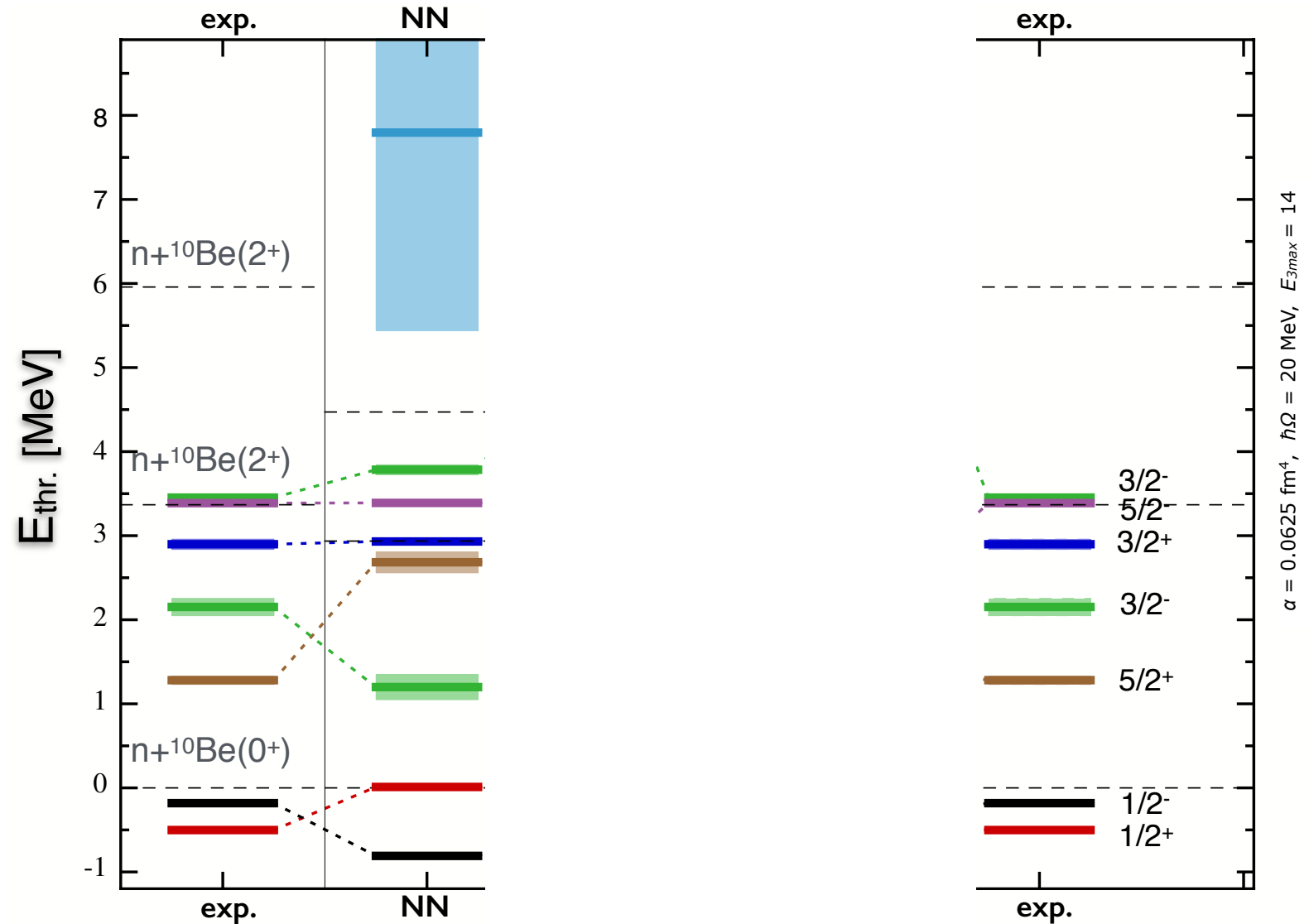
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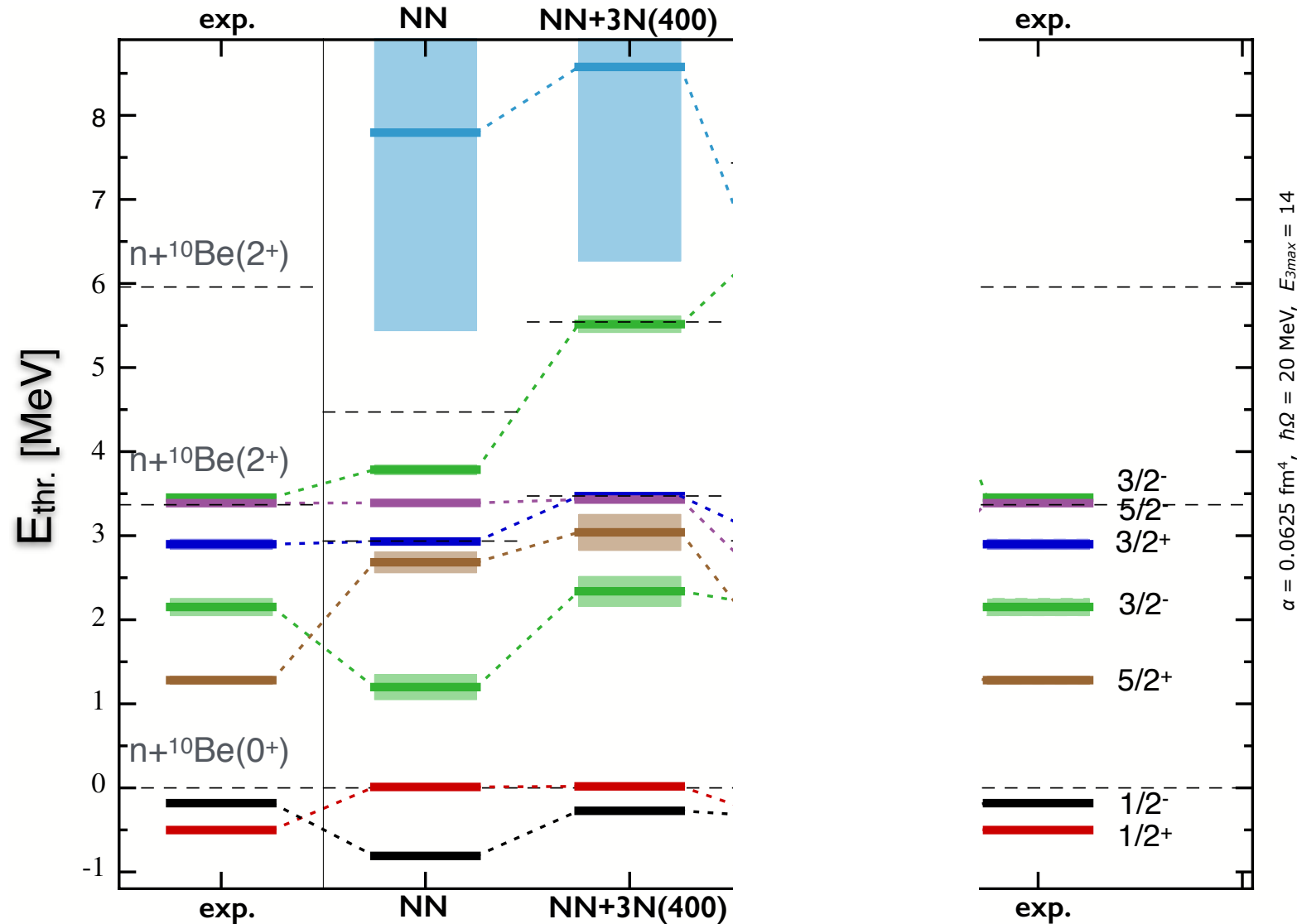




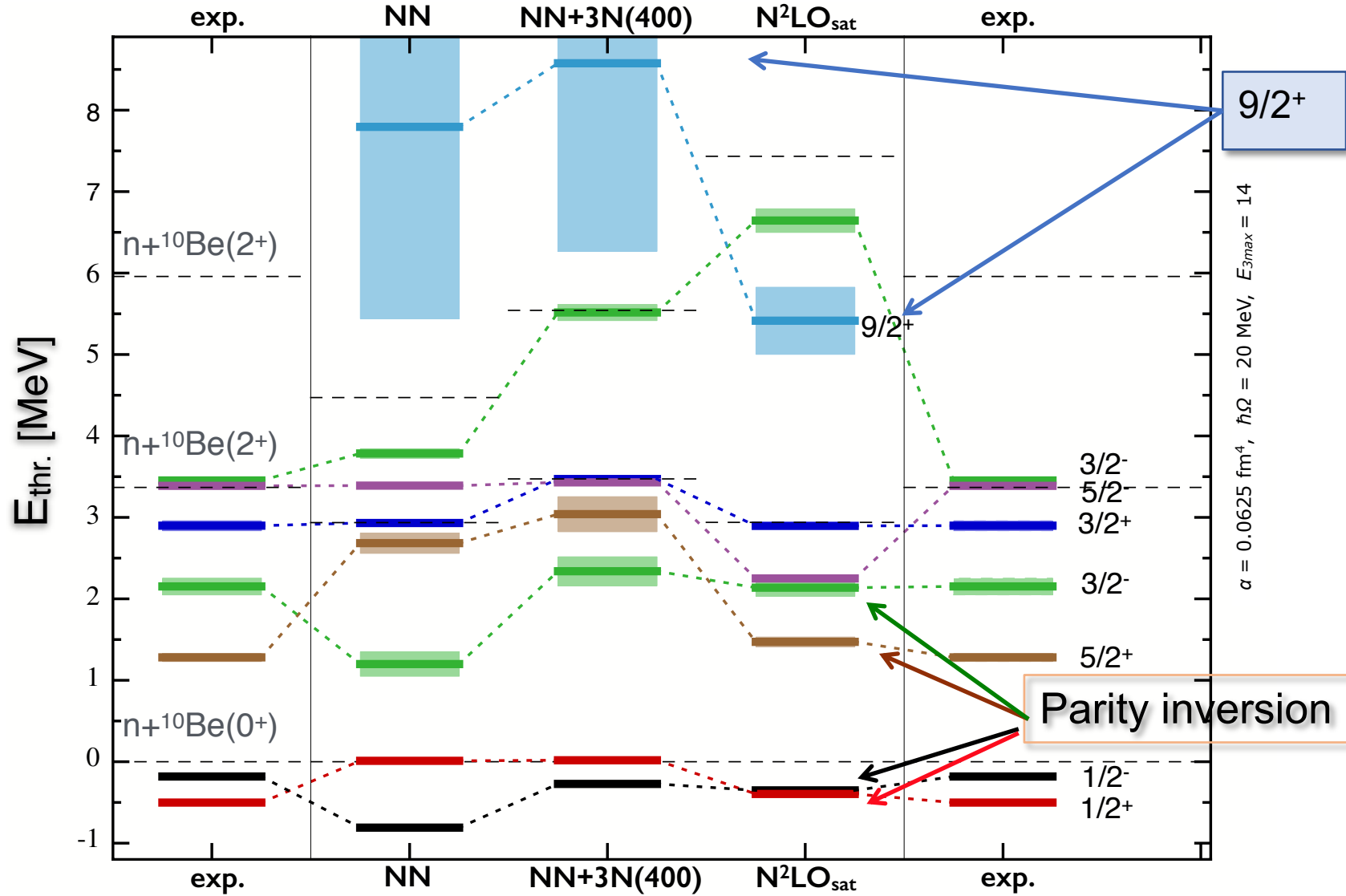
# $^{11}\text{Be}$ within NCSMC: Discrimination among chiral nuclear forces



# $^{11}\text{Be}$ within NCSMC: Discrimination among chiral nuclear forces



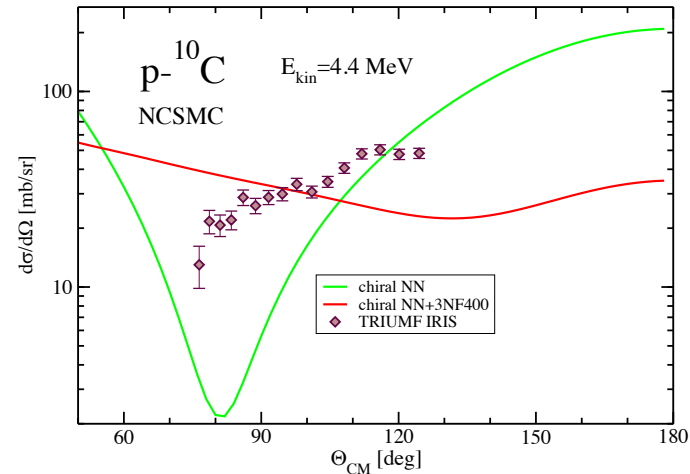
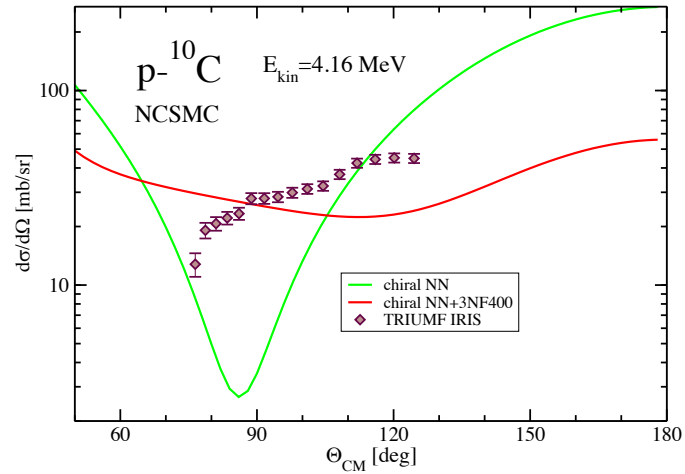
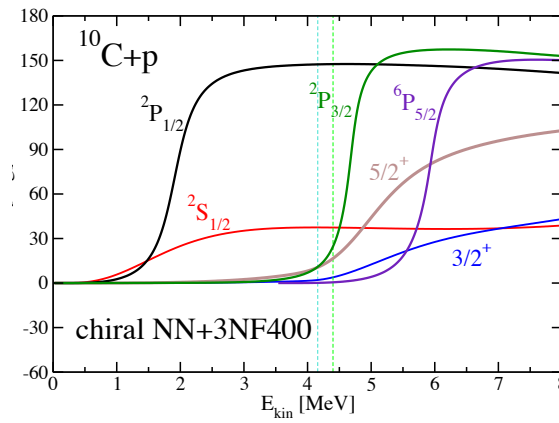
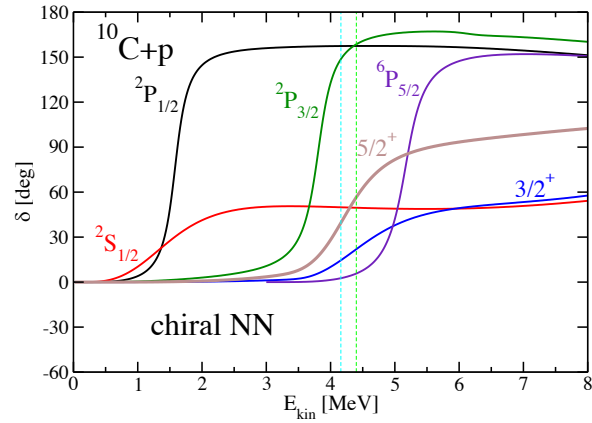
# $^{11}\text{Be}$ within NCSMC: Discrimination among chiral nuclear forces



Nuclear Force Imprints Revealed on the Elastic Scattering of Protons with  $^{10}\text{C}$

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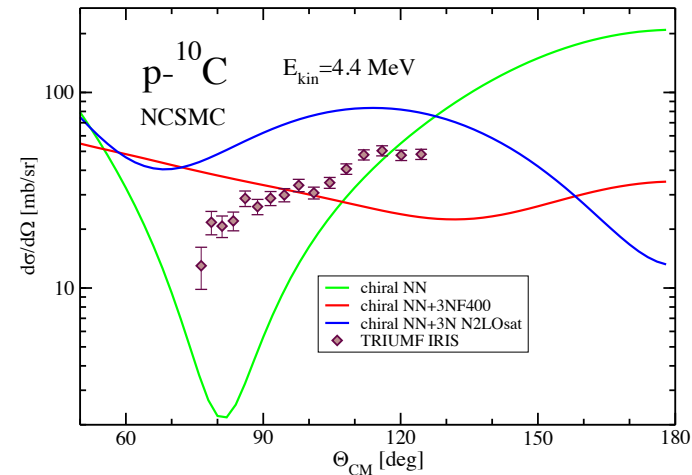
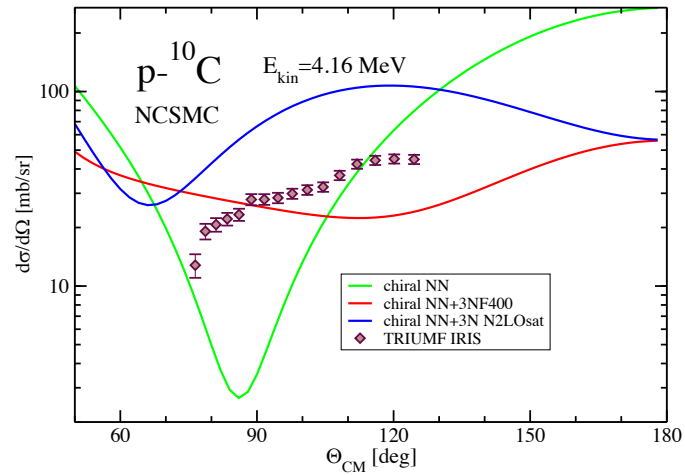
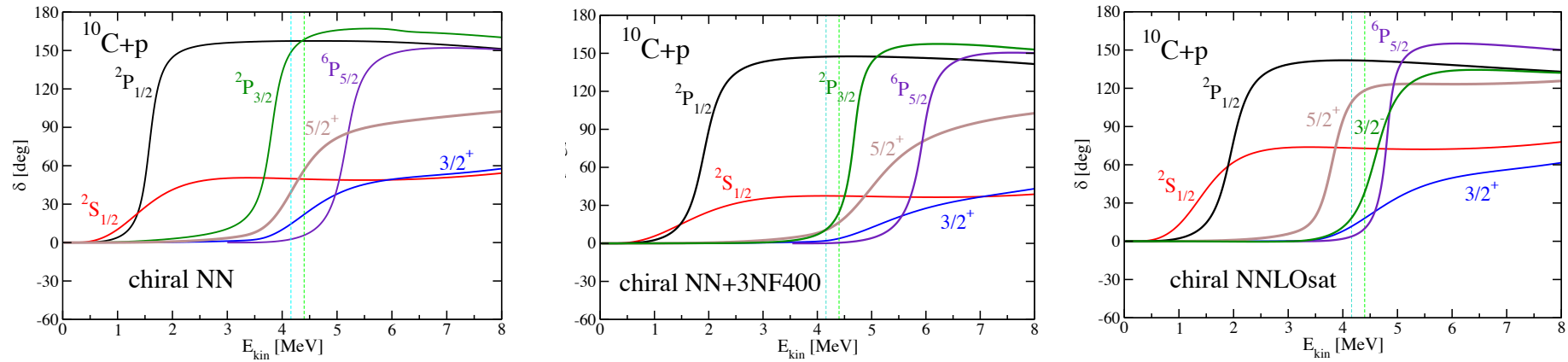
$p+^{10}\text{C}$  scattering: structure of  $^{11}\text{N}$  resonances



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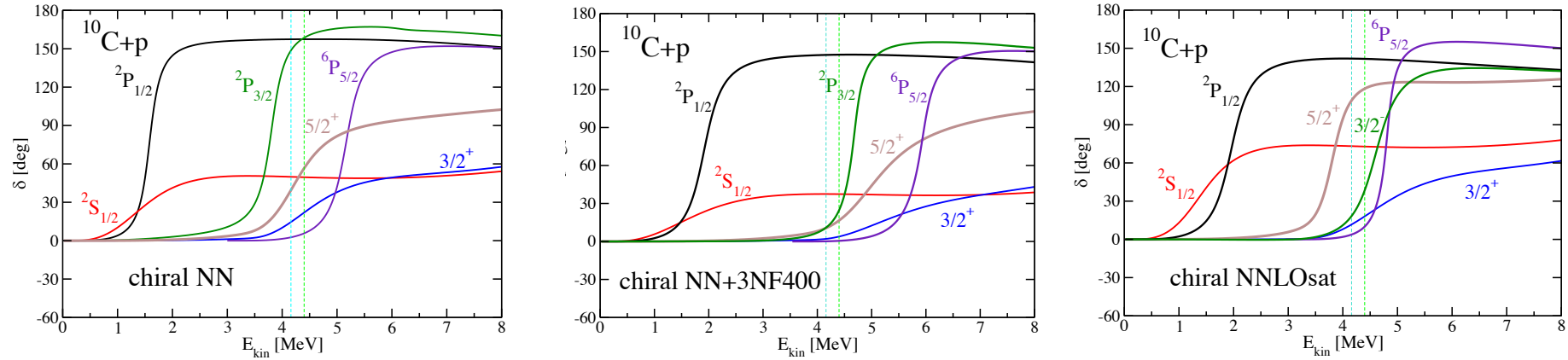
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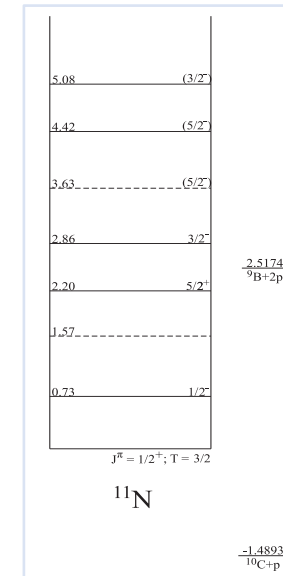
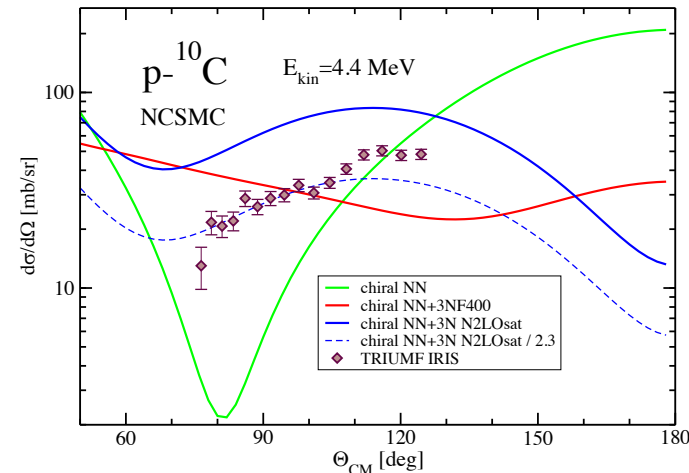
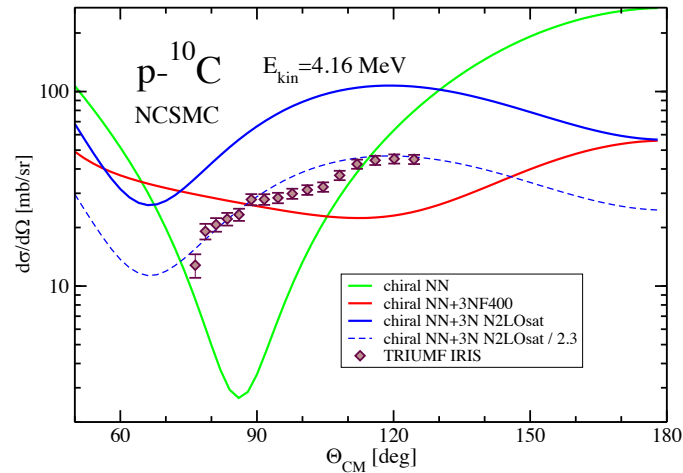
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$p+^{10}\text{C}$  scattering: structure of  $^{11}\text{N}$  resonances



Discrimination among chiral nuclear forces



## E1 transitions in NCSMC

$$\Psi^{(A)} = \sum_{\lambda} c_{\lambda} \left| \begin{array}{c} (A) \\ \text{[Diagram: 3 blue and 3 red spheres]} \\ \lambda \end{array} \right\rangle + \sum_{\nu} \int d\vec{r} \gamma_{\nu}(\vec{r}) \hat{A}_{\nu} \left| \begin{array}{c} \text{[Diagram: 2 blue and 2 red spheres with vector } \vec{r} \text{]} \\ (A-a) \quad (a) \\ \nu \end{array} \right\rangle$$

$$\begin{aligned} \vec{E}1 = & e \sum_{i=1}^{A-a} \frac{1 + \tau_i^{(3)}}{2} \left( \vec{r}_i - \vec{R}_{\text{c.m.}}^{(A-a)} \right) \\ & + e \sum_{j=A-a+1}^A \frac{1 + \tau_j^{(3)}}{2} \left( \vec{r}_j - \vec{R}_{\text{c.m.}}^{(a)} \right) \\ & + e \frac{Z_{(A-a)}a - Z_{(a)}(A-a)}{A} \vec{r}_{A-a,a} \end{aligned}$$

$$\begin{aligned} M_{fi}^{E1} = & \sum_{\lambda\lambda'} c_{\lambda'}^{*f} \langle A\lambda' J_f^{\pi_f} T_f || \vec{E}1 || A\lambda J_i^{\pi_i} T_i \rangle c_{\lambda}^i \\ & + \sum_{\lambda'\nu} \int dr r^2 c_{\lambda'}^{*f} \langle A\lambda' J_f^{\pi_f} T_f || \vec{E}1 \hat{A}_{\nu} || \Phi_{\nu r}^i \rangle \frac{\gamma_{\nu}^i(r)}{r} \\ & + \sum_{\lambda\nu'} \int dr' r'^2 \frac{\gamma_{\nu'}^{*f}(r')}{r'} \langle \Phi_{\nu' r'}^f || \hat{A}_{\nu'} \vec{E}1 || A\lambda J_i^{\pi_i} T_i \rangle c_{\lambda}^i \\ & + \sum_{\nu\nu'} \int dr' r'^2 \int dr r^2 \frac{\gamma_{\nu'}^{*f}(r')}{r'} \langle \Phi_{\nu' r'}^f || \hat{A}_{\nu'} \vec{E}1 \hat{A}_{\nu} || \Phi_{\nu r}^i \rangle \frac{\gamma_{\nu}^i(r)}{r} \end{aligned}$$

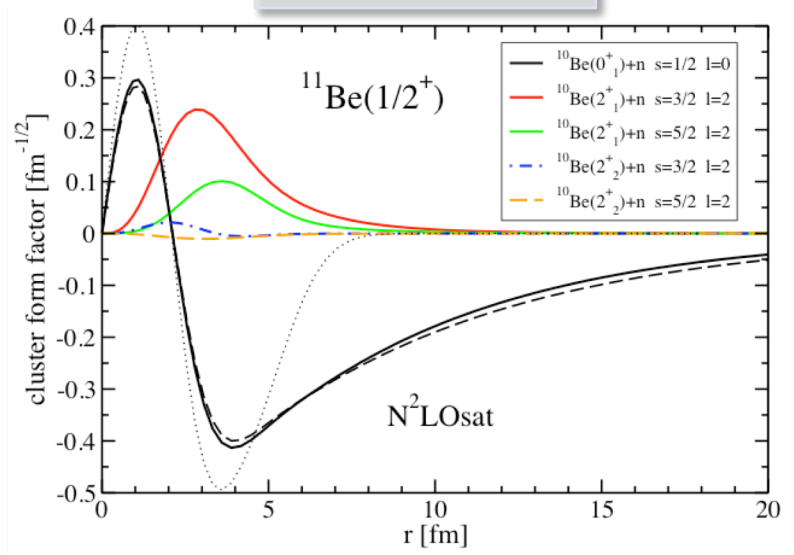
# Photo-disassociation of $^{11}\text{Be}$

Bound to bound	NCSM	NCSMC-phenom	Expt.
$B(E1; 1/2^+ \rightarrow 1/2^-)$ [ $e^2 \text{ fm}^2$ ]	0.0005	0.117	0.102(2)

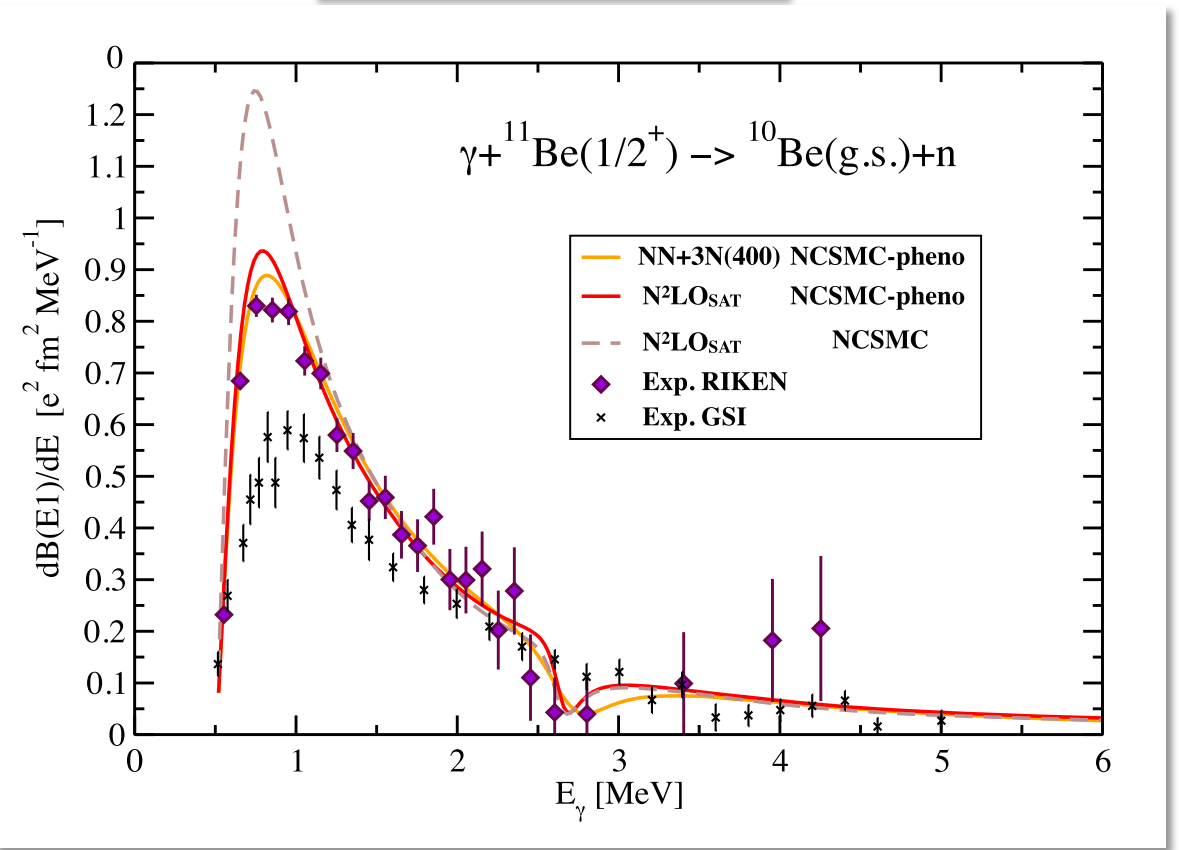


# Photo-disassociation of $^{11}\text{Be}$

## Halo structure



## Bound to continuum



Bound to bound	NCSM	NCSMC-phenom	Expt.
B(E1; 1/2 <sup>+</sup> → 1/2 <sup>-</sup> ) [e <sup>2</sup> fm <sup>2</sup> ]	0.0005	0.117	0.102(2)

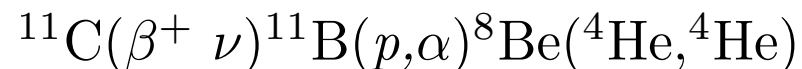
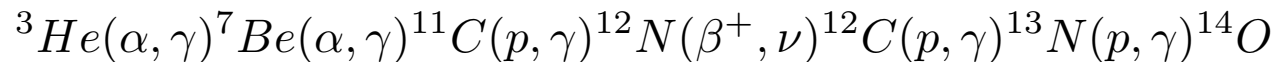
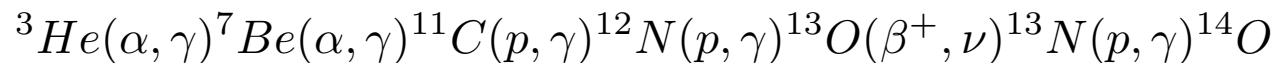
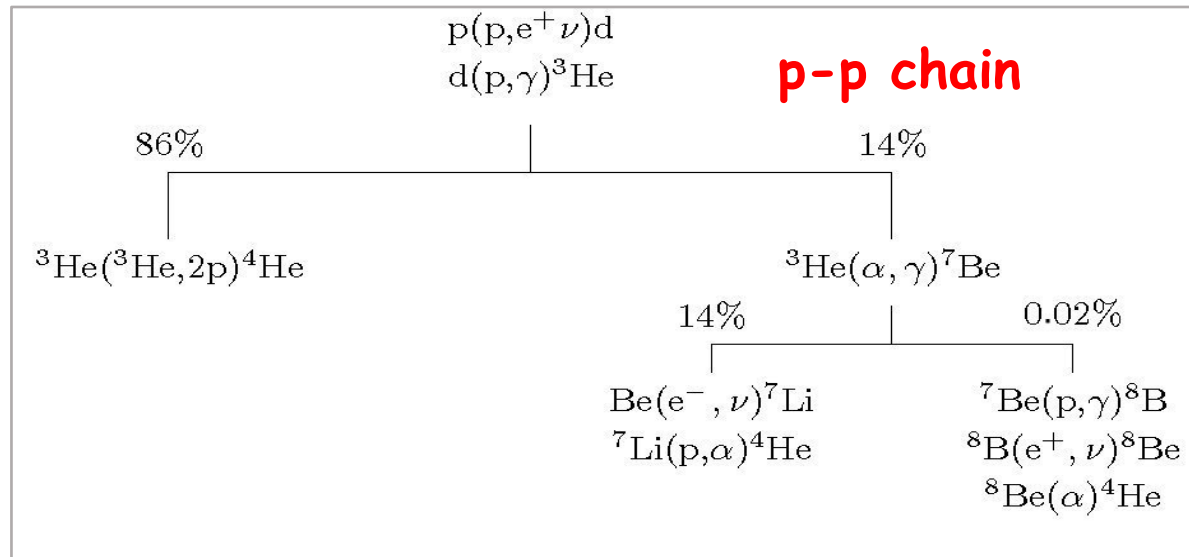
cluster form factor

$$= r \langle \Phi_{vr}^{J^{\pi T}} | \hat{A}_v | \psi^{J^{\pi T}} \rangle$$

$$| \Phi_{vr}^{J^{\pi T}} \rangle = \left[ \left( | ^{10}\text{Be } \alpha_1 I_1^{\pi_1 T_1} \rangle | n \frac{1}{2}^+ \frac{1}{2} \rangle \right)^{(sT)} Y_\ell(\hat{r}_{10,1}) \right]^{(J^{\pi T})} \frac{\delta(r - r_{10,1})}{r r_{10,1}}$$

## p+<sup>11</sup>C scattering and <sup>11</sup>C(p,γ)<sup>12</sup>N capture

- <sup>11</sup>C(p,γ)<sup>12</sup>N capture relevant in hot *p-p* chain: Link between pp chain and the CNO cycle - bypass of slow triple alpha capture <sup>4</sup>He(αα,γ)<sup>12</sup>C

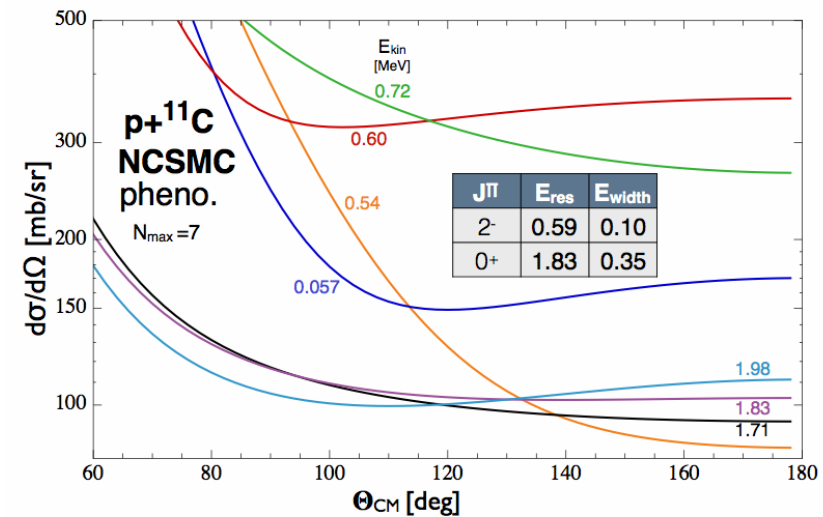
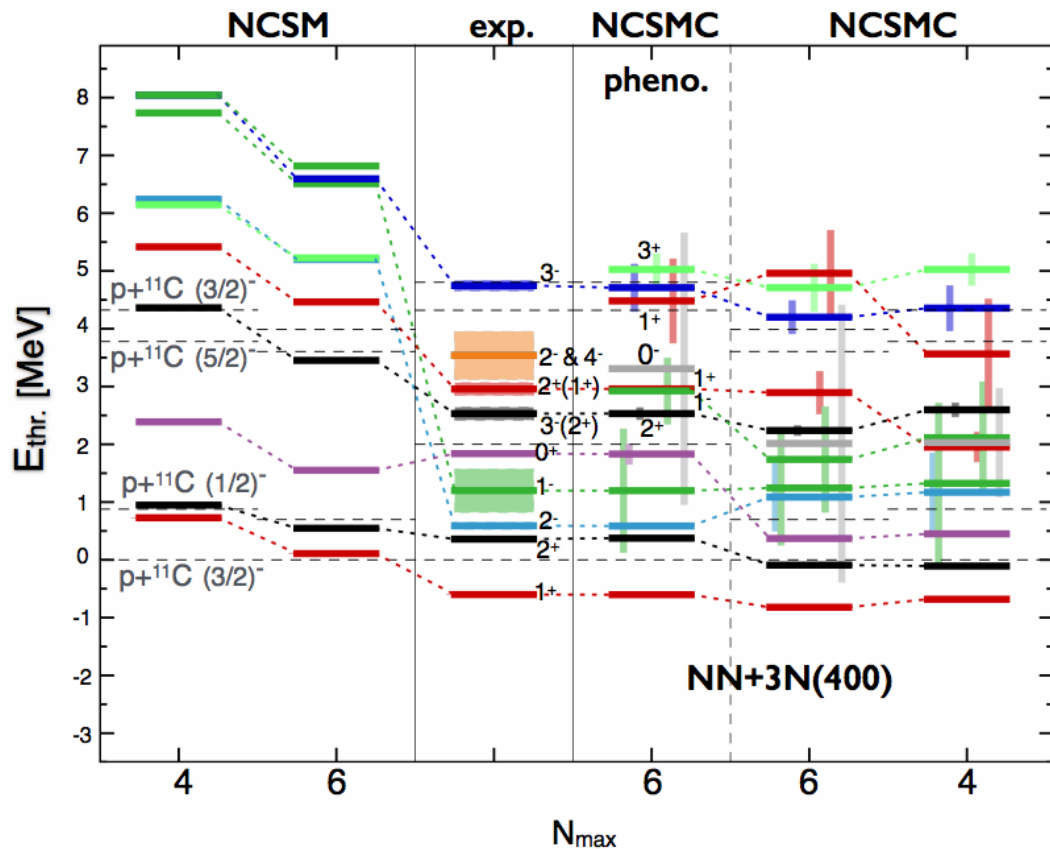


## $p+^{11}\text{C}$ scattering and $^{11}\text{C}(p,\gamma)^{12}\text{N}$ capture

- Measurement of  $^{11}\text{C}(p,p)$  resonance scattering planned at TRIUMF
  - TUDA facility
  - $^{11}\text{C}$  beam of sufficient intensity produced
- NCSMC calculations of  $^{11}\text{C}(p,p)$  with chiral NN+3N under way
- Obtained wave functions will be used to calculate  $^{11}\text{C}(p,\gamma)^{12}\text{N}$  capture relevant for astrophysics

## $p+^{11}\text{C}$ scattering and $^{11}\text{C}(p,\gamma)^{12}\text{N}$ capture

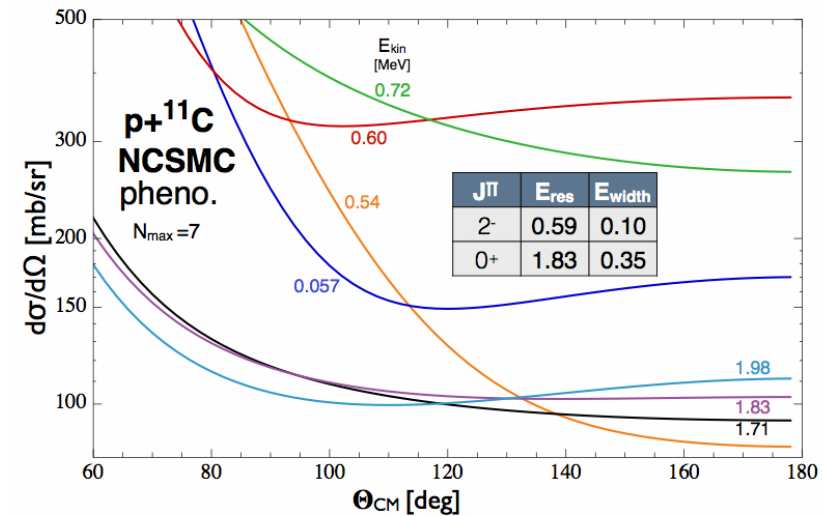
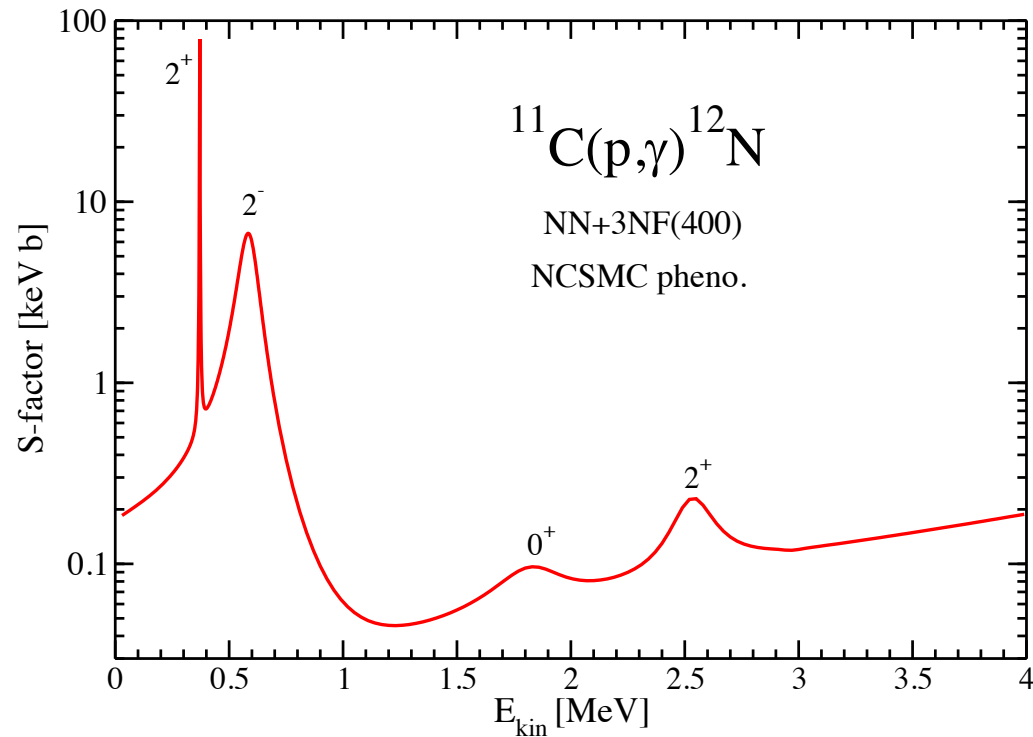
- NCSMC calculations of  $^{11}\text{C}(p,p)$  with chiral NN+3N under way
  - $^{11}\text{C}$ :  $3/2^-$ ,  $1/2^-$ ,  $5/2^-$ ,  $3/2^-$  NCSM eigenstates
  - $^{12}\text{N}$ :  $\geq 6$   $\pi = +1$  and  $\geq 4$   $\pi = -1$  NCSM eigenstates



NCSMC calculations to be validated by measured cross sections and applied to calculate the  $^{11}\text{C}(p,\gamma)^{12}\text{N}$  capture

## $p+^{11}\text{C}$ scattering and $^{11}\text{C}(p,\gamma)^{12}\text{N}$ capture

- NCSMC calculations of  $^{11}\text{C}(p,p)$  with chiral NN+3N under way
  - $^{11}\text{C}$ :  $3/2^-$ ,  $1/2^-$ ,  $5/2^-$ ,  $3/2^-$  NCSM eigenstates
  - $^{12}\text{N}$ :  $\geq 6$   $\pi = +1$  and  $\geq 4$   $\pi = -1$  NCSM eigenstates



NCSMC calculations to be validated by measured cross sections and applied to calculate the  $^{11}\text{C}(p,\gamma)^{12}\text{N}$  capture

## Conclusions and outlook

- *Ab initio* calculations of nuclear structure and reactions with predictive power becoming feasible beyond the latest nuclei
- *Ab initio* structure calculations can even reach (selected) medium & medium-heavy mass nuclei
- These calculations make the connection between the low-energy QCD, many-body systems, and nuclear astrophysics

Thank you!  
Merci!

