

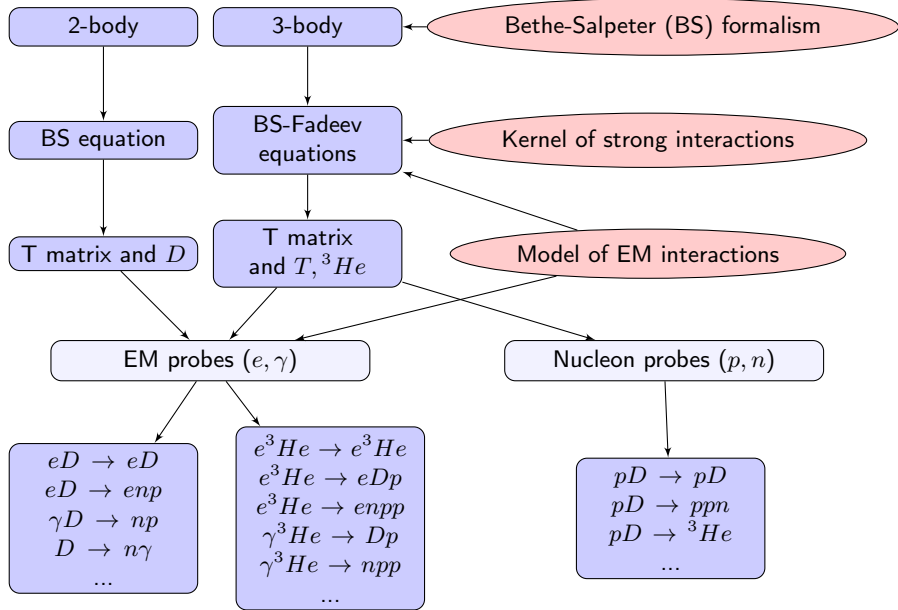
Few-Nucleon Systems in the Bethe-Salpeter approach: three-nucleon system

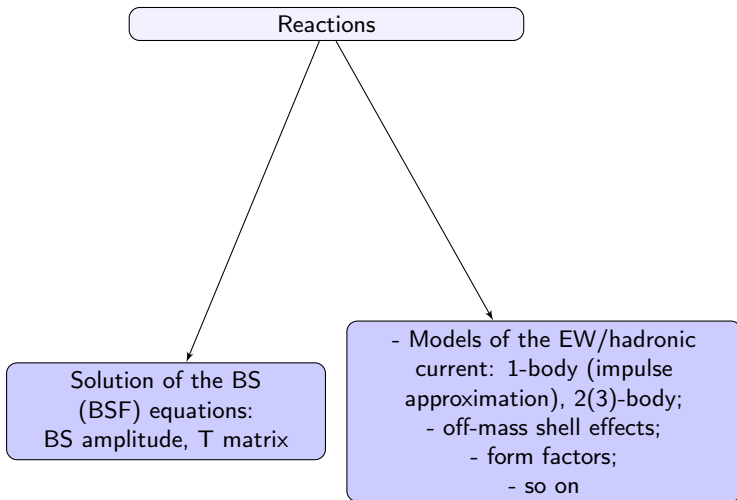
S. Bondarenko, V. Burov, S. Yurev

Joint Institute for Nuclear Research, Dubna, Russia

**The 12th APCTP – BLTP JINR Joint Workshop, Busan, Korea,
August 20-24, 2018**

The Bethe-Salpeter approach is a powerful tool to investigate few-body compounds such as the deuteron, unbound neutron-proton (np) system, three-nucleon systems, elastic and nonelastic scattering. We have a great experience in working within such approach.





Bethe-Salpeter equation for the nucleon-nucleon T matrix

$$T(p, p'; P) = V(p, p'; P) + \frac{i}{(2\pi)^4} \int d^4k V(p, k; P) G(k; P) T(k, p'; P)$$

p', p - the relative four-momenta

P - the total four-momentum

$T(p, p'; P)$ – two-nucleon t matrix

$V(p, p'; P)$ – kernel of nucleon-nucleon interaction

$G(p; P)$ – free scalar two-particle propagator

$$G^{-1}(p; P) = [(P/2 + p)^2 - m_N^2 + i\epsilon] [(P/2 - p)^2 - m_N^2 + i\epsilon]$$

Partial-wave decomposition of the Bethe-Salpeter equation

In the center-mass system of two particles $P = (\sqrt{s}, \mathbf{0})$

$$T_{LL'}(p_0, |\mathbf{p}|, p'_0, |\mathbf{p}'|; s) = V_{LL'}(p_0, |\mathbf{p}|, p'_0, |\mathbf{p}'|; s) + \frac{i}{4\pi^3} \int dk_0 |\mathbf{k}|^2 d|\mathbf{k}|$$
$$\sum_{L''} V_{LL''}(p_0, |\mathbf{p}|, k_0, |\mathbf{k}|; s) G(k_0, |\mathbf{k}|; s) T_{L''L'}(k_0, |\mathbf{k}|, p'_0, |\mathbf{p}'|; s)$$

Separable kernels of the NN interaction

The separable kernels of the nucleon-nucleon interaction are widely used in the calculations. The separable kernel as a *nonlocal* covariant interaction representing complex nature of the space-time continuum.

Separable rank-one *Ansatz* for the kernel

$$V_L(p'_0, |\mathbf{p}'|; p_0, |\mathbf{p}|; s) = \lambda^{[L]}(s) g^{[L]}(p'_0, |\mathbf{p}'|) g^{[L]}(p_0, |\mathbf{p}|)$$

Solution for the T matrix

$$T_L(p'_0, |\mathbf{p}'|; p_0, |\mathbf{p}|; s) = \tau(s) g^{[L]}(p'_0, |\mathbf{p}'|) g^{[L]}(p_0, |\mathbf{p}|)$$

with

$$[\tau(s)]^{-1} = [\lambda^{[L]}(s)]^{-1} + h(s),$$

$$h(s) = \sum_{\text{coupled } L} h_L(s) = -\frac{i}{4\pi^3} \int dk_0 \int |\mathbf{k}|^2 d\mathbf{k} \sum_L [g^{[L]}(k_0, |\mathbf{k}|)]^2 S(k_0, |\mathbf{k}|; s)$$

$g^{[L]}$ - the model function, $\lambda^{[L'L]}(s)$ - a model parameter.

Separable kernel for Schrodinger equation with separable potential

Yoshio Yamaguchi "Two-Nucleon Problem When the Potential Is Nonlocal but Separable. I" Phys.Rev.95, 1628 (1954)

Yoshio Yamaguchi, Yoriko Yamaguchi "Two-Nucleon Problem When the Potential Is Nonlocal but Separable. II" Phys.Rev.95, 1635 (1954)

Nonlocal: $\langle \mathbf{r}|V|\mathbf{r}'\rangle \neq \delta^{(3)}(\mathbf{r} - \mathbf{r}')$
in configuration space

$$\langle \mathbf{r}|V|\mathbf{r}'\rangle = -(\lambda/m_N)v^*(\mathbf{r})v^*(\mathbf{r}')$$

in momentum space

$$\langle \mathbf{p}|V|\mathbf{p}'\rangle = (\lambda/m_N)g^*(\mathbf{p})g^*(\mathbf{p}')$$

for S-state: $g(p) = 1/(p^2 + \beta^2)$

for D-state: $g(p) = p^2/(p^2 + \beta^2)^2$

for the deuteron and scattering problem.

Separable nucleon-nucleon potential was widely used for the two- and three-nucleon calculations in nonrelativistic nuclear physics

Willibald Plessas et al. Graz, Graz-II potentials, separable representation of the popular Bonn and Paris potentials

K. Schwarz, Willibald Plessas, L. Mathelitsch "Deuteron Form-factors And E D Polarization Observables For The Paris And Graz-II Potentials" *Nuovo Cim.* A76 (1983) 322-329.

J. Haidenbauer, Willibald Plessas "Separable Representation Of The Paris Nucleon Nucleon Potential" *Phys.Rev.* C30 (1984) 1822-1839.

Johann Haidenbauer, Y. Koike, Willibald Plessas "Separable representation of the Bonn nucleon-nucleon potential" *Phys.Rev.* C33 (1986) 439-446.

$$g(p) = \sum_n p^{2m} / (p^2 + \beta_n^2)^n,$$

m corresponds to angular momentum

Lippmann-Schwinger equation \rightarrow Bethe-Salpeter equation

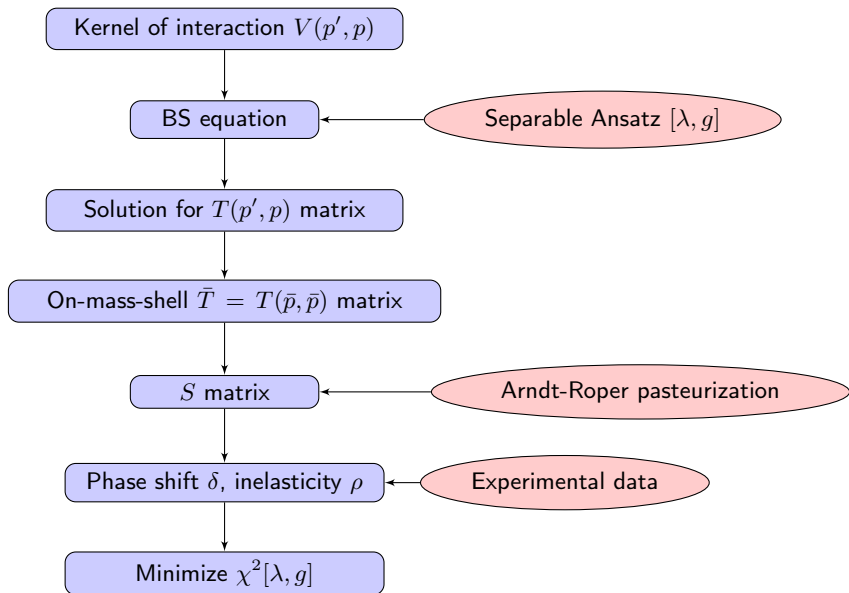
G. Rupp and J. A. Tjon "Relativistic contributions to the deuteron electromagnetic form factors" Phys. Rev. C41. 472 (1990)

$$\mathbf{p}^2 \rightarrow -p^2 = -p_0^2 + \mathbf{p}^2$$

$$g_p(p, P) = \frac{1}{-p^2 + \beta^2} \xrightarrow{\text{c.m.}} \frac{1}{-p_0^2 + \mathbf{p}^2 + \beta^2 + i\epsilon}$$

singularities: $p^0 = \pm \sqrt{\mathbf{p}^2 + \beta^2} \mp i\epsilon$

This procedure works well for reactions with 2-body bound state but failed for unbound np -state



S matrix (Arndt-Roper parametrization)

$$S = \frac{1 - K_i + iK_r}{1 + K_i - iK_r} = \eta \exp(2i\delta)$$

$$K = K_r + iK_i$$

$$K_r = \tan \delta, \quad K_i = \tan^2 \rho$$

δ - the phase shift, ρ - the inelasticity parameter.

$$\eta^2 = \frac{1 + K^2 - 2K_i}{1 + K^2 + 2K_i} = |S|^2 \sim \sigma_{np}$$

$$K^2 = K_r^2 + K_i^2$$

$$\delta = \frac{1}{2} \{ \tan^{-1}[K_r/(1 - K_i)] + \tan^{-1}[K_r/(1 + K_i)] \}$$

If there are no inelastic channels: ($\rho = 0$), $\delta = \delta_e$, $\eta = 1$ and $S = S_e = \exp(2i\delta_e)$.

Procedure ($J = 0 - 1$)

calculate the kernel parameters – $\lambda(s)$ -matrix and parameter of the g -functions – to minimize the function χ^2 :

$$\chi^2 =$$

$$\sum_{i=1}^n (\delta^{\text{exp}}(s_i) - \delta(s_i))^2 / (\Delta\delta^{\text{exp}}(s_i))^2 \quad \text{– for all partial-wave states}$$

$$\sum_{i=1}^n (\rho^{\text{exp}}(s_i) - \rho(s_i))^2 / (\Delta\rho^{\text{exp}}(s_i))^2 \quad \text{– for all partial-wave states}$$

$$+(a_0^{\text{exp}} - a_0)^2 / (\Delta a_0^{\text{exp}})^2 \quad \text{– for the } {}^1S_0^+ \text{ and } {}^3S_1^+ \text{ partial-wave states}$$

$$+(E_d^{\text{exp}} - E_d)^2 / (\Delta E_d^{\text{exp}})^2 \quad \text{– for the } {}^3S_1^+ \text{-} {}^3D_1^+ \text{ partial-wave states}$$

$$\{+\dots\}$$

δ - the phase shifts, a_0, r_0 - the low-energy parameters (the scattering length, the effective range), E_d - the deuteron binding energy

Covariant generalization of the *Yamaguchi*-functions

functions for $g^{[L]}(p_0, p)$:

$$g^{[S]}(p_0, |\mathbf{p}|) = \frac{1}{p_0^2 - \mathbf{p}^2 - \beta_0^2 + i0}$$

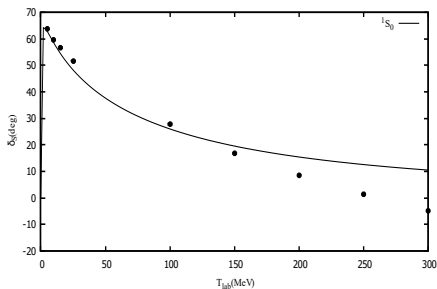
$$g^{[P]}(p_0, |\mathbf{p}|) = \frac{\sqrt{|-p_0^2 + \mathbf{p}^2|}}{(p_0^2 - \mathbf{p}^2 - \beta_1^2 + i0)^2}$$

$$g^{[D]}(p_0, |\mathbf{p}|) = \frac{C(p_0^2 - \mathbf{p}^2)}{(p_0^2 - \mathbf{p}^2 - \beta_2^2 + i0)^2}$$

Results for $^1S_0^+$ channel

Table: Parameters

	Exp.	1S_0
λ (GeV ⁴)		-1.12087
β_0 (GeV)		0.228302
a_L (fm)	-23.748	-23.753
r_L (fm)	2.75	2.75

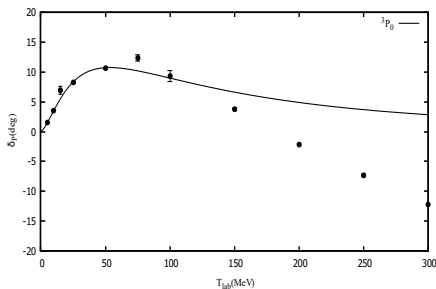


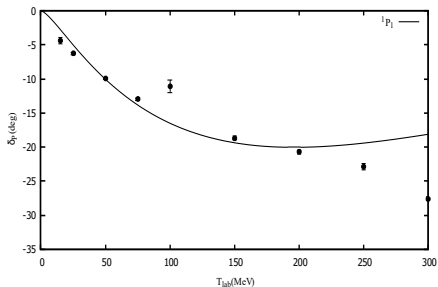
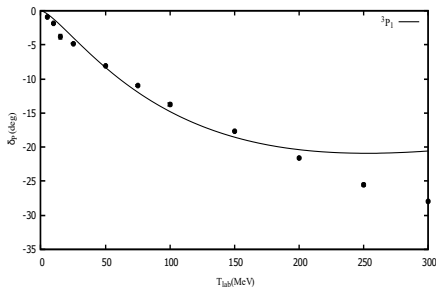
Phase shifts

Results for 3P_0 , 1P_1 and 3P_1 channels

Table: Parameters

	3P_0	3P_1	1P_1
λ (GeV ⁶)	0.0428572	-5.83051	-3.68029
β_1 (GeV)	0.19904	0.48273	0.44127

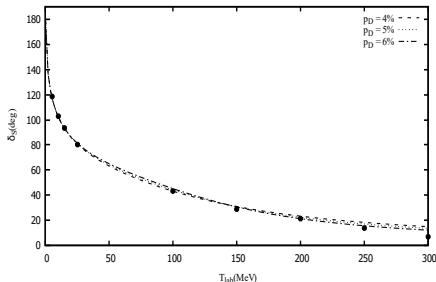
 3P_0 phase shifts

Results for 3P_0 , 1P_1 and 3P_1 channels 1P_1 phase shifts 3P_1 phase shifts

Results for ${}^3S_1^+ - {}^3D_1^+$ channels

Table: Parameters

	Exp.	${}^3S_1 - {}^3D_1$ ($p_d = 4\%$)	${}^3S_1 - {}^3D_1$ ($p_d = 5\%$)	${}^3S_1 - {}^3D_1$ ($p_d = 6\%$)
λ (GeV ⁴)		-1.83756	-1.57495	-1.34207
β_0 (GeV)		0.251248	0.246713	0.242291
C_2		1.71475	2.52745	3.46353
β_2 (GeV)		0.294096	0.324494	0.350217
a_L (fm)	5.424	5.454	5.454	5.453
r_L (fm)	1.756	1.81	1.81	1.80



The relativistic three-particle equation for T matrix

is considered in the **Faddeev form** with the following assumptions:

- no three-particles interaction $V_{123} = \sum_{i \neq j} V_{ij}$
- two-particles interaction is separable
- nucleon propagators are chosen in a scalar form
- the only strong interactions are considered (not EM), so ${}^3He \equiv T$

Bethe-Salpeter-Faddeev equation

$$\begin{bmatrix} T^{(1)} \\ T^{(2)} \\ T^{(3)} \end{bmatrix} = \begin{bmatrix} T_1 \\ T_2 \\ T_3 \end{bmatrix} - \begin{bmatrix} 0 & T_1 G_1 & T_1 G_1 \\ T_2 G_2 & 0 & T_2 G_2 \\ T_3 G_3 & T_3 G_3 & 0 \end{bmatrix} \begin{bmatrix} T^{(1)} \\ T^{(2)} \\ T^{(3)} \end{bmatrix},$$

where full three-particles T matrix $T = \sum_i T^{(i)}$, G_i is the free two-particles (j and n) Green function (ijn is cyclic permutation of (1,2,3)):

$$G_i(k_j, k_n) = 1/(k_j^2 - m_N^2 + i\epsilon)/(k_n^2 - m_N^2 + i\epsilon),$$

and T_i is the two-particles T matrix which can be written as following

$$T_i(k_1, k_2, k_3; k'_1, k'_2, k'_3) = (2\pi)^4 \delta^{(4)}(k_i - k'_i) T_i(k_j, k_n; k'_j, k'_n).$$

with $s_i = (k_j + k_n)^2 = (k'_j + k'_n)^2$.

Bethe-Salpeter-Faddeev equation

Introducing the equal-mass **Jacobi momenta**

$$p_i = \frac{1}{2}(k_j - k_n), \quad q_i = \frac{1}{3}K - k_i, \quad K = k_1 + k_2 + k_3.$$

one can separate the conserved total momentum

$$T^{(i)}(k_1, k_2, k_3; k'_1, k'_2, k'_3) = (2\pi)^4 \delta^{(4)}(K - K') T^{(i)}(p_i, q_i; p'_i, q'_i; s),$$

with $s = K^2$

Amplitude of three-particle state as a projection of T matrix to the bound state:

$$\Psi^{(i)}(p_i, q_i; s) = \langle p_i, q_i | T^{(i)} | M_B \rangle,$$

with $\sqrt{s} = M_B = 3m_N - E_t$.

Bethe-Salpeter-Faddeev equation

Orbital momentum of triton

$$\mathbf{L} = \mathbf{l} + \boldsymbol{\lambda}$$

l – orbital momentum of NN -pair

λ – orbital momentum of 3d particle

Using [separable Ansatz](#) for two-particles T matrix one-rank

$$\Psi_{LM}(p, q; s) = \sum_{a\lambda} \Psi_{\lambda L}^{(a)}(p_0, |\mathbf{p}|, q_0, |\mathbf{q}|; s) \mathcal{Y}_{\lambda LM}^{(a)}(\hat{\mathbf{p}}, \hat{\mathbf{q}})$$

$$\mathcal{Y}_{\lambda LM}^{(a)}(\hat{\mathbf{p}}, \hat{\mathbf{q}}) = \sum_{m\mu} C_{lm\lambda\mu}^{LM} Y_{lm}(\hat{\mathbf{p}}) Y_{\lambda\mu}(\hat{\mathbf{q}}),$$

where $a \equiv {}^{2s+1}l_j$ is two-nucleon states of the NN -pair

Partial-wave three-nucleon functions

$$\Psi_{\lambda L}^{(a)}(p_0, |\mathbf{p}|, q_0, |\mathbf{q}|; s) = g^{(a)}(p_0, |\mathbf{p}|) \tau^{(a)} \left[\left(\frac{2}{3} \sqrt{s} + q_0 \right)^2 - \mathbf{q}^2 \right] \Phi_{\lambda L}^{(a)}(q_0, |\mathbf{q}|; s)$$

System of the integral equations

$$\Phi_{\lambda L}^{(a)}(q_0, |\mathbf{q}|; s) = \frac{i}{4\pi^3} \sum_{a'\lambda'} \int_{-\infty}^{\infty} dq'_0 \int_0^{\infty} \mathbf{q}'^2 d|\mathbf{q}'| Z_{\lambda\lambda'}^{(aa')} (q_0, q; q'_0, |\mathbf{q}'|; s) \frac{\tau^{(a')} \left[\left(\frac{2}{3} \sqrt{s} + q'_0 \right)^2 - \mathbf{q}'^2 \right]}{\left(\frac{1}{3} \sqrt{s} - q'_0 \right)^2 - \mathbf{q}'^2 - m^2 + i\epsilon} \Phi_{\lambda'L}^{(a')} (q'_0, |\mathbf{q}'|; s)$$

with effective kernels of equation

$$Z_{\lambda\lambda'}^{(aa')} (q_0, |\mathbf{q}|; q'_0, |\mathbf{q}'|; s) = C_{(aa')} \int d \cos \vartheta_{\mathbf{q}\mathbf{q}'} K_{\lambda\lambda'L}^{(aa')} (|\mathbf{q}|, |\mathbf{q}'|, \cos \vartheta_{\mathbf{q}\mathbf{q}'}) \frac{g^{(a)}(-q_0/2 - q'_0, |\mathbf{q}/2 + \mathbf{q}'|) g^{(a')}(q_0 + q'_0/2, |\mathbf{q} + \mathbf{q}'/2|)}{\left(\frac{1}{3} \sqrt{s} + q_0 + q'_0 \right)^2 - (\mathbf{q} + \mathbf{q}')^2 - m_N^2 + i\epsilon}$$

Angular functions in general case

$$K_{\lambda\lambda'L}^{(aa')}(|\mathbf{q}|, |\mathbf{q}'|, \cos\vartheta_{\mathbf{q}\mathbf{q}'}) = (4\pi)^{3/2} \frac{\sqrt{2\lambda+1}}{2L+1} (-1)^{l'} \sum_{mm'} C_{lm\lambda 0}^{Lm} C_{l'm'\lambda' m-m'}^{Lm} Y_{lm}^*(\vartheta, 0) Y_{l'm'}(\vartheta', 0) Y_{\lambda' m-m'}(\vartheta_{\mathbf{q}\mathbf{q}'}, 0)$$

where

$$\cos\vartheta = \left(\frac{|\mathbf{q}|}{2} + |\mathbf{q}'| \cos\vartheta_{\mathbf{q}\mathbf{q}'} \right) / \left| \frac{\mathbf{q}}{2} + \mathbf{q}' \right|$$

$$\cos\vartheta' = \left(|\mathbf{q}| + \frac{|\mathbf{q}'|}{2} \cos\vartheta_{\mathbf{q}\mathbf{q}'} \right) / \left| \mathbf{q} + \frac{\mathbf{q}'}{2} \right|$$

Consider the ground state of triton: $L = 0 \rightarrow \lambda = l$

Angular functions

$$K_{ll'0}^{(aa')} = (4\pi)^{3/2} (-1)^{l+l'} Y_{l0}^*(\vartheta, 0) A_{l'}(\vartheta', \vartheta_{\mathbf{q}\mathbf{q}'})$$

$$A_{l'}(\vartheta', \vartheta_{\mathbf{q}\mathbf{q}'}) = \sum_{m'} C_{l'm'l'-m'}^{00} Y_{l'm'}(\vartheta', 0) Y_{l'-m'}(\vartheta_{\mathbf{q}\mathbf{q}'}, 0)$$

Spin-isospin dependence

$$[(a) = {}^1 S_0, {}^3 S_1, {}^3 D_1, {}^3 P_0, {}^1 P_1, {}^3 P_1]$$

$$C_{(aa')} = \frac{1}{4} \begin{pmatrix} 1 & -3 & -3 & \sqrt{3} & -\sqrt{3} & \sqrt{3} \\ -3 & 1 & 1 & \sqrt{3} & -\sqrt{3} & \sqrt{3} \\ -3 & 1 & 1 & \sqrt{3} & -\sqrt{3} & \sqrt{3} \\ \sqrt{3} & \sqrt{3} & \sqrt{3} & -1 & -3 & -1 \\ -\sqrt{3} & -\sqrt{3} & -\sqrt{3} & -3 & -1 & -3 \\ \sqrt{3} & \sqrt{3} & \sqrt{3} & -1 & -3 & -1 \end{pmatrix}$$

Singularities

Poles from one-particle propagator

$$q_{1,2}^{0'} = \frac{1}{3}\sqrt{s} \mp [E_{|\mathbf{q}'|} - i\epsilon]$$

Poles from propagator in Z-function

$$q_{3,4}^{0'} = -\frac{1}{3}\sqrt{s} - q^0 \pm [E_{|\mathbf{q}'+\mathbf{q}|} - i\epsilon]$$

Poles from Yamaguchi-functions

$$q_{5,6}^{0'} = -2q^0 \pm 2[E_{|\frac{1}{2}\mathbf{q}'+\mathbf{q}|,\beta} - i\epsilon]$$

and

$$q_{7,8}^{0'} = -\frac{1}{2}q^0 \pm \frac{1}{2}[E_{|\mathbf{q}'+\frac{1}{2}\mathbf{q}|,\beta} - i\epsilon]$$

Cuts from two-particle propagator τ

$$q_{9,10}^{0'} = \pm\sqrt{q'^2 + 4m^2} - \frac{2}{3}\sqrt{s} \quad \text{and} \quad \pm\infty$$

Poles from two-particle propagator τ

$$q_{11,12}^{0'} = \pm\sqrt{q'^2 + 4M_d^2} - \frac{2}{3}\sqrt{s}$$

Method of solution

To obtain the triton binding energy the iteration method is used:

$$\lim_{n \rightarrow \infty} \frac{\Phi_n(s)}{\Phi_{n-1}(s)} \Big|_{s=M_B^2} = 1$$

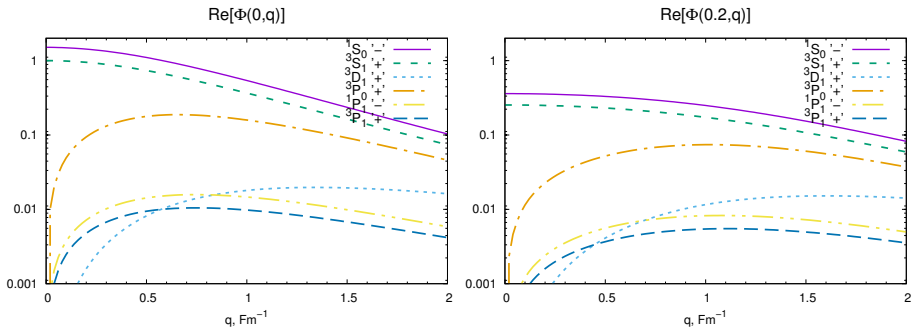
The Gaussian quadrature with $N_1 \times N_2 [q_4 \times |\mathbf{q}|]$

$$q_4 = (1+x)/(1-x)$$

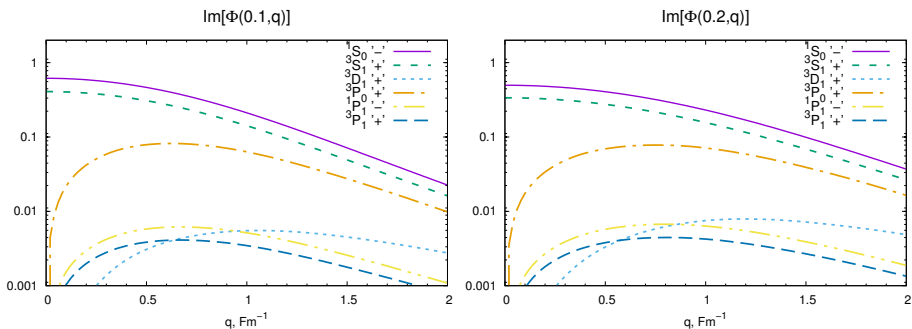
$$|\mathbf{q}| = (1+y)/(1-y)$$

The convergence was investigated and $N_1 = 96$, $N_2 = 15$ was used in calculations

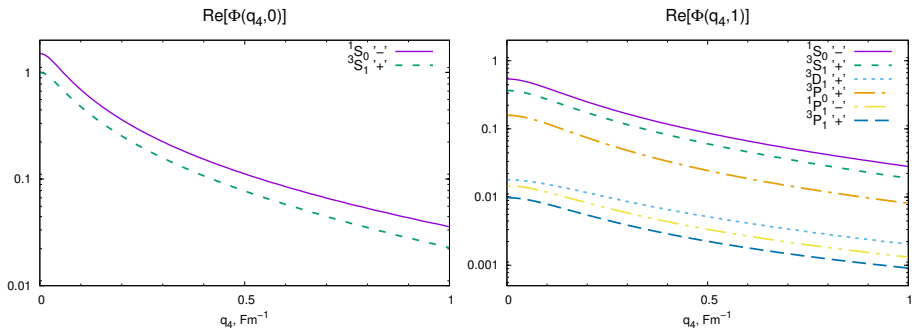
Real part at $q_4 = 0 \text{ Fm}^{-1}$ and $q_4 = 0.2 \text{ Fm}^{-1}$



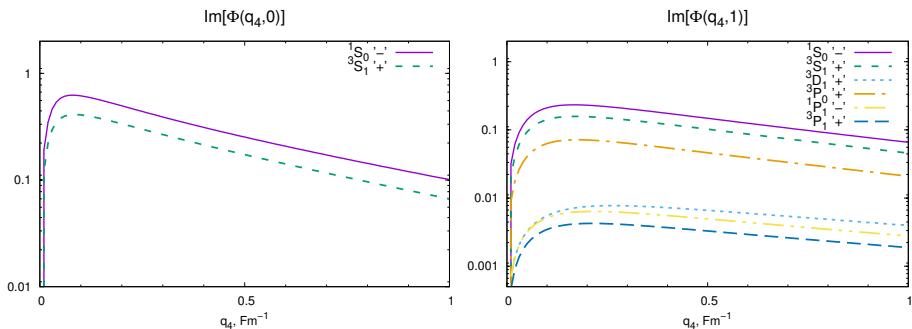
Imaginary part at $q_4 = 0.1 \text{ Fm}^{-1}$ and $q_4 = 0.2 \text{ Fm}^{-1}$

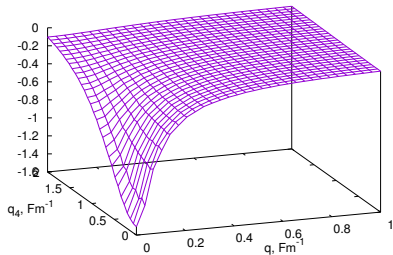
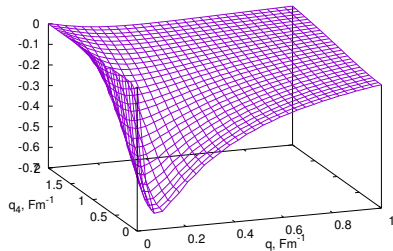


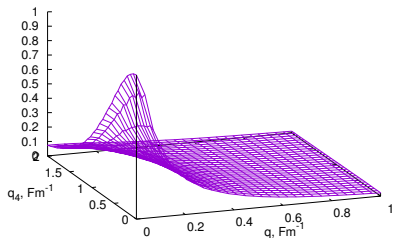
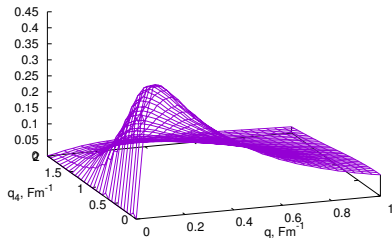
Real part at $q = 0 \text{ Fm}^{-1}$ and $q = 1 \text{ Fm}^{-1}$

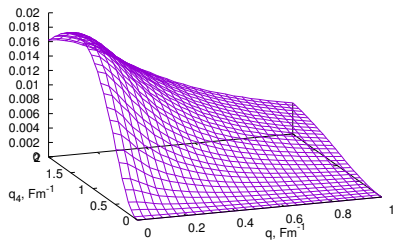
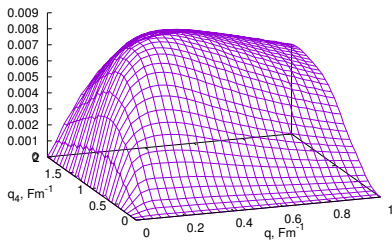


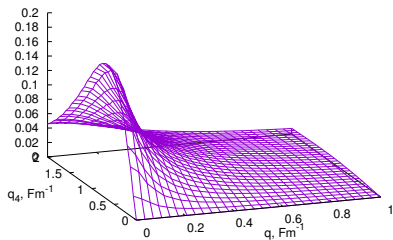
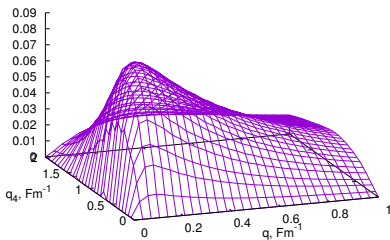
Imaginary part at $q = 0 \text{ Fm}^{-1}$ and $q = 1 \text{ Fm}^{-1}$

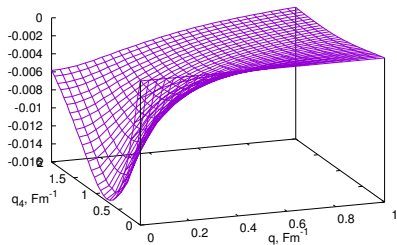
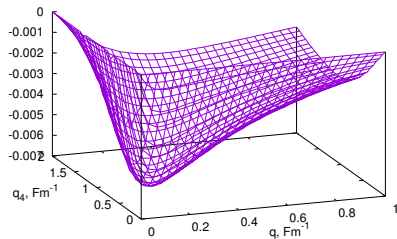


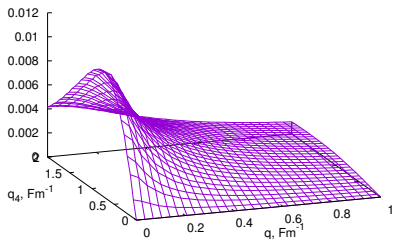
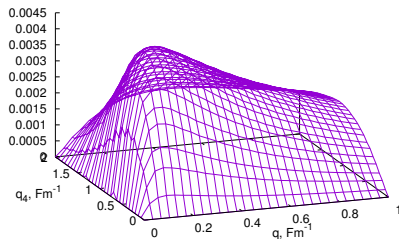
Real and Imaginary part of $\Phi_{1S_0}(q_4, q)$ $\text{Re}[\Phi_{1S_0}(q_4, q)]$  $\text{Im}[\Phi_{1S_0}(q_4, q)]$ 

Real and Imaginary part of $\Phi_{3S_1}(q_4, q)$ $\text{Re}[\Phi_{3S_1}(q_4, q)]$  $\text{Im}[\Phi_{3S_1}(q_4, q)]$ 

Real and Imaginary part of $\Phi_{3D_1}(q_4, q)$ $\text{Re}[\Phi_{3D_1}^3(q_4, q)]$  $\text{Im}[\Phi_{3D_1}^3(q_4, q)]$ 

Real and Imaginary part of $\Phi_{3P_0}(q_4, q)$ Re[$\Phi_{3P_0}(q_4, q)$]Im[$\Phi_{3P_0}(q_4, q)$]

Real and Imaginary part of $\Phi_{1P_1}(q_4, q)$ Re[$\Phi_{1P_1}(q_4, q)$]Im[$\Phi_{1P_1}(q_4, q)$]

Real and Imaginary part of $\Phi_{3P_1}(q_4, q)$ Re[$\Phi_{3P_1}(q_4, q)$]Im[$\Phi_{3P_1}(q_4, q)$]

Triton binding energy (MeV)

p_D	${}^1S_0 - {}^3S_1$	3D_1	3P_0	1P_1	3P_1
4	9.221	9.294	9.314	9.287	9.271
5	8.819	8.909	8.928	8.903	8.889
6	8.442	8.545	8.562	8.540	8.527
Exp.			8.48		

- the main contribution is from S -states
- the D -state contribution is about 0.8 – 1.2 % depending on D -wave (pseudo)probability in deuteron
- the P -state contributions are alternating and give about -0.2%

Summary

The BS approach

- is a full covariant relativistic description of few-body systems (two and three);
- allows to describe the properties of the deuteron, NN phases and inelasticities with separable potential
- gives very reasonable explanation of structure functions, form factors and tensor polarization of deuteron in the elastic eD -scattering
- gives possibility to investigate electro- and photodisintegration of the deuteron
- allows investigate behavior of the ratios of structure functions of the light nuclei to the structure functions of the free nucleon
- gives possibility to extend formalism to three-body systems and study reactions with them (3He EM form factors)