# The $S_{E1}$ factor of radiative $\alpha$ capture on $^{12}$ C in cluster EFT

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arXiv:1806.09073[nucl-th]

#### **Outline**

- Introduction:  ${}^{12}\text{C}(\alpha, \gamma){}^{16}\text{O}$  process in the stars
- EFT for the  $^{12}\text{C}(\alpha,\gamma)^{16}\text{O}$  process at low energies
- Numerical results
- Results and discussion

#### 1. Introduction

- 90% of human body consists of  $^{12}$ C and  $^{16}$ O.
- 12C and 16O are synthesized during helium burning process in the stars.
- $^{12}\text{C}/^{16}\text{O}$  ratio in the universe is mostly determined by the  $^{12}\text{C}(\alpha,\gamma)^{16}\text{O}$  process.
- Meanwhile about 20% uncertainty of S-factors of the  $^{12}\text{C}(\alpha,\gamma)^{16}\text{O}$  process (NACRE-II) exists after more than a half century long intensive studies for the process.

The main goal is to determine  $S_{E1}$ -factor for the process with 5-10% theoretical uncertainty in the future studies.

## Level diagram of <sup>16</sup>O

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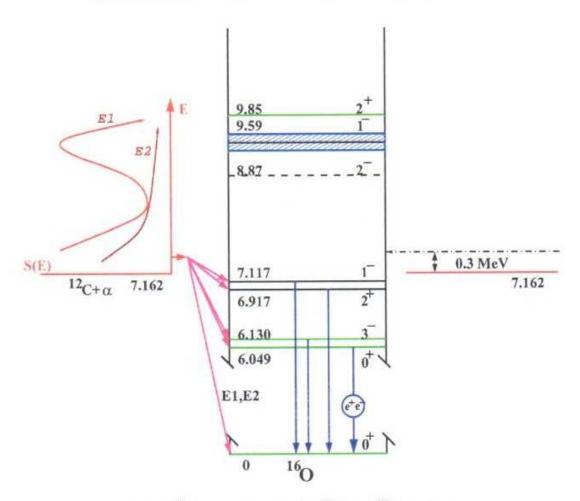


Fig. 1.  $^{16}$ O states relevant to the  $^{12}$ C( $\alpha$ ,  $\gamma$ ) $^{16}$ O reaction.

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#### **EFT**

- Effective Field Theories (EFTs)
  - Model independent approach
  - Separation scale
  - Counting rules
  - Parameters should be fixed by experiments

# **2.** $^{12}$ **C**( $\alpha, \gamma$ ) $^{16}$ **O** in EFT

- Typical momentum of the process at  $T_G \simeq 0.3$  MeV;  $Q \sim \sqrt{2\mu T_G} \sim 40$  MeV The  $\alpha$  and  $^{12}$ C states; elementary-like states
- Separation (large) scale Excited energies of  $\alpha$  and  $^{12}\mathrm{C}$ ; large scale The large momentum scale,  $\Lambda_H \sim 150~\mathrm{MeV}$
- Expansion parameter,  $Q/\Lambda_H \sim 1/3$

#### Effective Lagrangian

$$\begin{split} \mathcal{L} &= \phi_{\alpha}^{\dagger} \left( iD_{0} + \frac{\vec{D}^{2}}{2m_{\alpha}} + \cdots \right) \phi_{\alpha} + \phi_{C}^{\dagger} \left( iD_{0} + \frac{\vec{D}^{2}}{2m_{C}} + \cdots \right) \phi_{C} \\ &+ \sum_{n=0}^{3} C_{n}^{(0)} d^{\dagger} \left[ iD_{0} + \frac{\vec{D}^{2}}{2(m_{\alpha} + m_{C})} \right]^{n} d - y^{(0)} \left[ d^{\dagger} (\phi_{\alpha} \phi_{C}) + (\phi_{\alpha} \phi_{C})^{\dagger} d \right] \\ &+ \sum_{n=0}^{3} C_{n}^{(1)} d_{i}^{\dagger} \left[ iD_{0} + \frac{\vec{D}^{2}}{2(m_{\alpha} + m_{C})} \right]^{n} d_{i} - y^{(1)} \left[ d_{i}^{\dagger} (\phi_{\alpha} O_{i}^{(1)} \phi_{C}) + (\phi_{\alpha} O_{i}^{(1)} \phi_{C})^{\dagger} d_{i} \right] \\ &- h^{(1)} \frac{y^{(0)} y^{(1)}}{\mu} \left[ (\mathcal{O}_{i}^{(1)} d)^{\dagger} d_{i} + \text{H.c.} \right] + \cdots , \end{split}$$

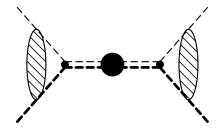
with

$$O_l^{(1)} = i \left( \frac{\overrightarrow{D}_C}{m_C} - \frac{\overleftarrow{D}_\alpha}{m_\alpha} \right)_i, \quad \mathcal{O}_i^{(1)} = \frac{iD_i}{m_O},$$

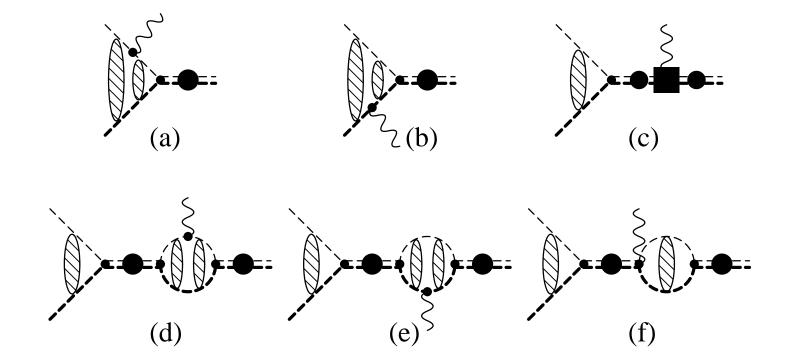
$$h^{(1)} = g^{(1)} + pg^{(1)'},$$

Diagrams for dressed composite <sup>16</sup>O propagator

• Diagrams for elastic  $\alpha$ -12C scattering



• Diagrams for  $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$  process



#### Radiative capture amplitude

$$A^{(l=1)} = \bar{\epsilon}_{(\gamma)}^* \cdot \hat{p} X^{(l=1)},$$

where

$$X^{(l=1)} = X_{(a+b)}^{(l=1)} + X_{(c)}^{(l=1)} + X_{(d+e)}^{(l=1)} + X_{(f)}^{(l=1)},$$

with

$$X_{(a+b)}^{(l=1)} = 2y^{(0)}\sqrt{Z_{gs}}e^{i\sigma_{1}}\Gamma(1+\kappa/\gamma_{0})$$

$$\times \int_{0}^{\infty} dr r W_{-\kappa/\gamma_{0},\frac{1}{2}}(2\gamma_{0}r) \left[\frac{Z_{\alpha}\mu}{m_{\alpha}}j_{0}\left(\frac{\mu}{m_{\alpha}}k'r\right) - \frac{Z_{C}\mu}{m_{C}}j_{0}\left(\frac{\mu}{m_{C}}k'r\right)\right]$$

$$\times \left\{\frac{\partial}{\partial r}\left[\frac{F_{1}(\eta,pr)}{pr}\right] + 2\frac{F_{1}(\eta,pr)}{pr^{2}}\right\},$$

$$X_{(c)}^{(l=1)} = +y^{(0)}(g^{(1)R} + pg^{(1)'})\sqrt{Z_{gs}}\frac{6\pi Z_{O}}{\mu m_{O}}\frac{e^{i\sigma_{1}}p\sqrt{1+\eta^{2}C_{\eta}}}{K_{1}(p) - 2\kappa H_{1}(p)},$$

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$$X_{(d+e)}^{(l=1)} = +i\frac{2}{3}y^{(0)}\sqrt{Z_{gs}}\frac{e^{i\sigma_{1}}p^{2}\sqrt{1+\eta^{2}}C_{\eta}}{K_{1}(p)-2\kappa H_{1}(p)}\Gamma(1+\kappa/\gamma_{0})\Gamma(2+i\eta)$$

$$\times \int_{r_{C}}^{\infty}drrW_{-\kappa/\gamma_{0},\frac{1}{2}}(2\gamma_{0}r)\left[\frac{Z_{\alpha}\mu}{m_{\alpha}}j_{0}\left(\frac{\mu}{m_{\alpha}}k'r\right) - \frac{Z_{C}\mu}{m_{C}}j_{0}\left(\frac{\mu}{m_{C}}k'r\right)\right]$$

$$\times \left\{\frac{\partial}{\partial r}\left[\frac{W_{-i\eta,\frac{3}{2}}(-2ipr)}{r}\right] + 2\frac{W_{-i\eta,\frac{3}{2}}(-2ipr)}{r^{2}}\right\},$$

$$X_{(f)}^{(l=1)} = -3y^{(0)}\sqrt{Z_{gs}}\,\mu\left[-2\kappa H(\eta_{b0})\right]\left(\frac{Z_{\alpha}}{m_{\alpha}} - \frac{Z_{C}}{m_{C}}\right)\frac{e^{i\sigma_{1}}p\sqrt{1+\eta^{2}}C_{\eta}}{K_{1}(p)-2\kappa H_{1}(p)},$$

and

$$K_1(p) = -\frac{1}{a_1} + \frac{1}{2} r_1 p^2 - \frac{1}{4} P_1 p^4 + Q_1 p^6,$$

•  $S_{E1}$  factor

$$S_{E1}(E) = \sigma_{E1}(E)Ee^{2\pi\eta},$$

where

$$\sigma_{E1}(E) = \frac{4}{3} \frac{\alpha_E \mu E_{\gamma}'}{p(1 + E_{\gamma}'/m_O)} |X^{(l=1)}|^2 C,$$

with  $E'_{\gamma} \simeq B_0 + E - \frac{1}{2m_O}(B_0 + E)^2$ .

- Renormalization of divergence from the loops
  - The loops of the diagrams (a) and (b) are finite.
  - The loops of the diagrams (d) and (e) lead to a log divergence in the r integral in  $X_{(d+e)}^{(l=1)}$ . We introduce a short range cutoff  $r_C$ , and the divergence is renormalized by  $g^{(1)'}$  term of  $X_{(c)}^{(l=1)}$ .
  - The loop of the diagram (f) is diverge, and the divergence is renormalized by  $g^{(1)R}$  term of  $X_{(c)}^{(l=1)}$ .

- Modification of the counting rules
  - The p-wave dressed  $^{16}{\rm O}$  propagator is enhanced, and non-pole amplitude,  $X_{(a+b)}^{(l=1)}$  turns out to be negligible
  - Approximately two structure (momentum dependence) are remained in the transition amplitude, while there are three unknown constants,  $g^{(1)R}$ ,  $g^{(1)'}$ , C.
  - We choose  $g^{(1)R} = 0$  and fix  $g^{(1)'}$  and C to the data

#### Numerical results

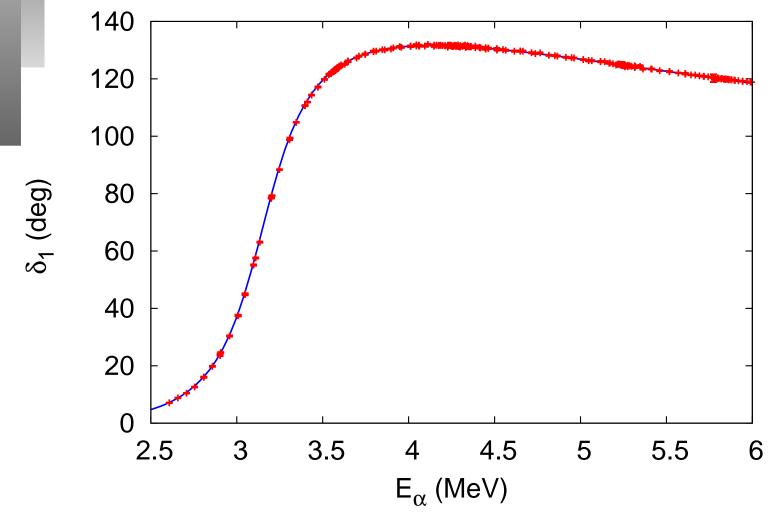
• Phase shift of the elastic  $\alpha$ - $^{12}$ C scattering for l=1

The effective range parameters are fitted to the phase shift data from the Tischhauser *et al.*'s paper, and we have

$$r_1 = 0.415255(9) \ {\rm fm}^{-1} \ , \quad P_1 = -0.57484(9) \ {\rm fm} \ ,$$
 
$$Q_1 = 0.02016(2) \ {\rm fm}^3 \ ,$$

where the number of the data is N=273 and  $\chi^2=504$ , and thus  $\chi^2/N=1.85$ , and  $a_1$  is obtained by using the binding energy of the  $1_1^-$  state as

$$a_1 = -1.67 \times 10^5 \text{ fm}^3$$
.



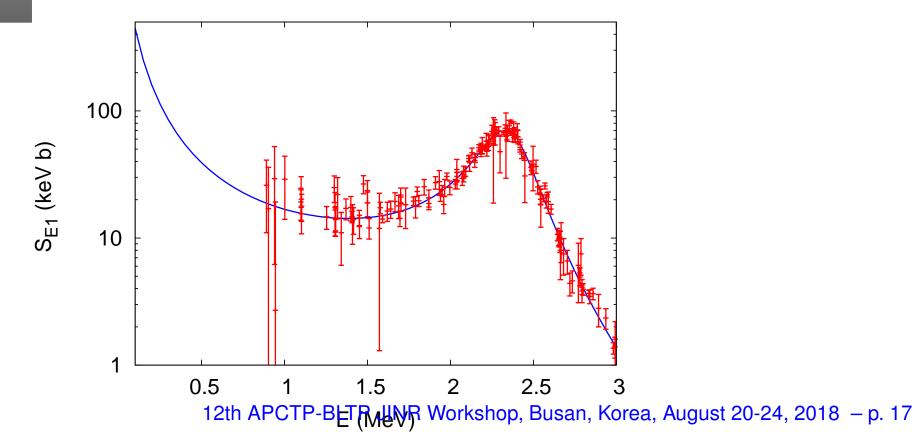
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## *Numerical results: the* $S_{E1}$ *factor*

The two parameters are fitted to the  $S_{E1}$  data, and we have

$$g^{(1)'} = -94.2(13) \; \mathrm{MeV}^2 \,, \quad C = 2.04(9) \times 10^{-2} \,, \label{eq:g_scale}$$

where N = 151 and  $\chi^2 = 248$ , and thus  $\chi^2/N = 1.64$ .



ullet  $S_{E1}$ -factor at  $E_G$ 

$$S_{E1}=86\pm4~\mathrm{keV}\cdot\mathrm{b}$$
 .

This result is in good agreement with the previous estimate reported recently:  $86 \pm 22$  by Tang *et al.* (2010), 83.4 by Schurmann *et al.* (2012),  $100 \pm 22$  by Oulebsir *et al.* (2012),  $80 \pm 18$  by Xu *et al.* (2013),  $98.0 \pm 7.0$  by An *et al.* (2015), 86.3 by dwBoer *et al.* (2017).

#### Results and discussion

- The EFT approach has been applied to the study of the  $S_{E1}$  factor of the  $^{12}\text{C}(\alpha,\gamma)^{16}\text{O}$  process.
- Our result of  $S_{E1}$  at  $E_G$  is in good agreement with the previous estimates reported recently.
- We find a small, about 5%, error in the  $S_{E1}$ , but we have not estimated a theoretical uncertainty yet.
- Necessary to study higher order terms of the process, however it may not easy to fix additional parameters due to the present quality of the data set of  $S_{E1}$ . It may be better studying the other quantities at low energies, the  $\beta$  delayed  $\alpha$  emission spectrum of  $^{16}$ N or the  $\gamma$  angular distribution of the radiative capture process.