osonic formula for the topological vertex

Intertwiners of quantum toroidal gl(1) 00000000000 S-duality and Miki's automorphism

Perspectives 00

Algebraic realization of the topological vertex

Jean-Emile Bourgine

Korea Institute for Advanced Study

Strings, Branes and Gauge Theories Asia Pacific Center for Theoretical Physics 2019-07-22

Not based on JEB, Saebyeok Jeong [arXiv:1906.01625]

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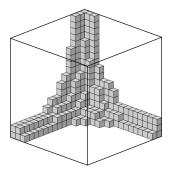
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Based on [Awata, Feigin, Shiraishi 2011] revisited.

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Topological vertex as sum over plane partitions



Sum over 3D partitions with fixed 2D asympotics λ , μ , ν :

$$\mathcal{C}_{\lambda,\mu,
u} = \sum_{\pi \in \mathcal{P}_{\lambda,\mu,
u}} q^{|\pi|}$$

[Okounkov, Reshetikhin, Vafa 2003]

(In this talk, we ignore the framing factors for simplicity.)

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Main applications

This simple combinatorial object is related to:

- Topological strings amplitudes
- Topological invariants of Calabi-Yau 3-folds (Gromov-Witten, Gopakumar-Vafa)
- $\mathcal{N} = (2,2)$ 2D superconformal sigma models
- U(N) Chern-Simons theory on S^3 at large N
- Mirror symmetry
- 5D $\mathcal{N} = 1$ supersymmetric gauge theories (instanton partitions functions)
- (p, q)-brane webs in IIB string theory
- 5D BPS black hole
- ...
- Quantum groups and integrable systems

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Topological strings amplitudes

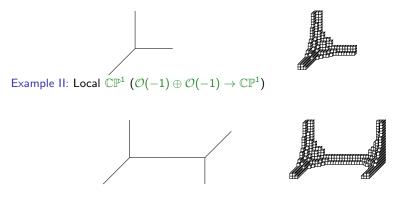
Consider a toric Calabi-Yau 3-fold (i.e. a fibration of $T^2 \times \mathbb{R}$ over \mathbb{R}^3). \rightsquigarrow The toric diagram in \mathbb{R}^3 encodes the degeneration locus of the cycles. Example I: \mathbb{C}^3 (= topological vertex)



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Topological strings amplitudes

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Obtained by gluings $\mathcal{A} = \sum_{\lambda} C_{\emptyset,\emptyset,\lambda} C_{\lambda',\emptyset,\emptyset}$. (λ' is the transposed of λ .)

Intertwiners of quantum toroidal gl(1) 00000000000 S-duality and Miki's automorphism

Outline



2 Bosonic formula for the topological vertex

3 Intertwiners of quantum toroidal gl(1)

S-duality and Miki's automorphism



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Topological vertex

• The topological vertex can be written using skew Schur polynomials $s_{\lambda/\mu}(x)$:

$$\mathcal{C}_{\lambda,\mu,
u} = \sum_{\eta \subset \lambda',\mu} s_{\lambda'/\eta}(x) s_{\mu/\eta}(y),$$

with $x = (q^{-\nu_1 + 1/2}, q^{-\nu_2 + 3/2}, \cdots)$ and $y = (q^{-\nu_1' + 1/2}, q^{-\nu_2' + 3/2}, \cdots)$.

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with $x = (q^{-\nu_1 + 1/2}, q^{-\nu_2 + 3/2}, \cdots)$ and $y = (q^{-\nu_1' + 1/2}, q^{-\nu_2' + 3/2}, \cdots)$.

• Skew-Schur polynomials coincide with correlators of a free boson:

$$s_{\lambda/\mu}(x) = \langle \lambda | \prod_{i>0} \Gamma_{-}(x_{i}) | \mu \rangle = \langle \mu | \prod_{i>0} \Gamma_{+}(x_{i}) | \lambda \rangle,$$

with $\Gamma_{\pm}(z) = e^{\sum_{k>0} \frac{z^{k}}{k} \alpha \pm k}, \quad [\alpha_{k}, \alpha_{l}] = k \delta_{k+l}.$

(Here the state $|\lambda\rangle$ is built as $s_{\lambda}(X) |\emptyset\rangle$ with $\sum_{i} X_{i}^{k} \equiv \alpha_{-k}$.)

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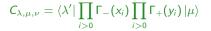
 \bullet Using this property, and $\sum_{\eta} \left|\eta\right\rangle \left\langle \eta\right| = 1$, we find

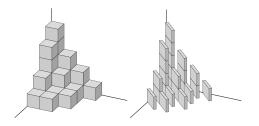
$$\mathcal{C}_{\lambda,\mu,
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angle$$

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Slicing interpretation

The bosonic formula is interpreted as a slicing of the 3D partition.





(There is also a free fermion construction by fermionization of the modes α_{k} .)

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S-duality and Miki's automorphism Persp 00000000 00

A short computation

Let us perform this short computation:

 $x = (q^{-\nu_1+1/2}, q^{-\nu_2+3/2}, \cdots)$

$$\sum_{i} x_{i} = \sum_{i=1}^{\infty} q^{-\nu_{i}+i-1/2} = \sum_{i=1}^{\infty} q^{i-1/2} + \sum_{i=1}^{\ell(\nu)} q^{i-1/2} (q^{-\nu_{i}} - 1)$$
$$= -\frac{1}{q^{1/2} - q^{-1/2}} + \sum_{i=1}^{\ell(\nu)} q^{i-1/2} (q^{-1} - 1) \sum_{j=1}^{\nu_{i}} q^{-(j-1)}$$

Bosonic formula for the topological vertex $\texttt{OO} \textcircled{\begin{subarray}{c} \texttt{OO} \textcircled{\begin{subarray}{c} \texttt{OO} \end{array}}$

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This gives (replacing $q
ightarrow q^k$) and denoting $\chi_{(i,j)} = q^{i-j}$:

$$\sum_{i} x_{i}^{k} = -\frac{1}{q^{k/2} - q^{-k/2}} - (q^{k/2} - q^{-k/2}) \sum_{(i,j) \in \nu} \chi_{(i,j)}^{k},$$

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and, for the variables $y_i = q^{-\nu'_i + i - 1/2}$,

$$\sum_{i} y_{i}^{k} = -\frac{1}{q^{k/2} - q^{-k/2}} - (q^{k/2} - q^{-k/2}) \sum_{(i,j) \in \nu} \chi_{(i,j)}^{-k}.$$

Bosonic formula for the topological vertex OOOOOOOO

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Rewriting the topological vertex

Using our previous result, we can rewrite the topological vertex:

$$C_{\lambda,\mu,\nu} = \langle \lambda' | \prod_{i>0} \Gamma_{-}(x_i) \prod_{i>0} \Gamma_{+}(y_i) | \mu \rangle = \langle \lambda' | \Phi_{\nu} | \mu \rangle$$

with $(\chi_{\Box} = q^{i-j} \text{ for } \Box = (i,j) \in \nu)$:

$$\begin{split} \Phi_{\nu} &=: \Phi_{\emptyset} \prod_{\square \in \nu} \eta(\chi_{\square}) :, \\ \eta(z) &=: \exp\left(-\sum_{k \neq 0} \frac{z^{-k}}{k} (q^{k/2} - q^{-k/2}) \alpha_k\right) \\ \Phi_{\emptyset} &=: \exp\left(-\sum_{k \neq 0} \frac{1}{k(q^{k/2} - q^{-k/2})} \alpha_k\right) : \end{split}$$

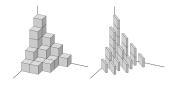
Bosonic formula for the topological vertex $\texttt{OOOO}{\bullet}\texttt{OOO}$

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S-duality and Miki's automorphism P 00000000 C

Refined topological vertex

• A refined topological vertex is obtained by tuning the weight q_a for boxes in a slice a.



 $\mathcal{C}_{\lambda,\mu,
u} = \sum_{\pi\in\mathcal{P}_{\lambda,\mu,
u}} q_{a}^{|\pi|}$

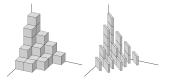
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Intertwiners of quantum toroidal gl(1)

S-duality and Miki's automorphism Per 00000000 00

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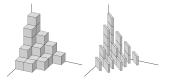
• This requires the choice of a preferred direction (here vertical).

Bosonic formula for the topological vertex $\texttt{OOOO}{\bullet}\texttt{OOO}$

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• This requires the choice of a preferred direction (here vertical).

• The weights q_a are determined in order to reproduce Nekrasov's instanton partition functions with omega-background parameters ϵ_1, ϵ_2 (unrefined case $\epsilon_1 + \epsilon_2 = 0$). $\Rightarrow q_a$ equals either $q = e^{\epsilon_2}$ or $t = e^{-\epsilon_1}$ depending on a and the shape of ν . Bosonic formula for the topological vertex 00000000

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Bosonic expression for the refined vertex

• For our purpose, we only need the skew-Schur polynomials expression (p = q/t):

$$\mathcal{C}_{\lambda,\mu,\nu} = \sum_{\eta \subset \lambda',\mu} p^{(|\eta|+|\mu|)/2} s_{\lambda'/\eta}(x) s_{\mu/\eta}(y),$$

with $x = (q^{-\nu_1}t^{1/2}, q^{-\nu_2}t^{3/2}, \cdots)$ and $y = (t^{-\nu_1'}q^{1/2}, t^{-\nu_2'}q^{3/2}, \cdots)$.

Bosonic formula for the topological vertex $\texttt{OOOOO} \bullet \texttt{OO}$

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• The bosonic presentation still reads

$$\mathcal{C}_{\lambda,\mu,
u} = \langle \lambda' | \prod_{i>0} \Gamma_{-}(x_i) \prod_{i>0} \Gamma_{+}(p^{-rac{1}{2}}y_i) | \mu
angle \, ,$$

but now

$$\sum_{i} x_{i}^{k} = -\frac{1}{t^{k/2} - t^{-k/2}} - p^{k/2} (q^{k/2} - q^{-k/2}) \sum_{\Box \in \nu} \chi_{\Box}^{k},$$
$$\sum_{i} y_{i}^{k} = -\frac{1}{q^{k/2} - q^{-k/2}} - p^{-k/2} (t^{k/2} - t^{-k/2}) \sum_{\Box \in \nu} \chi_{\Box}^{-k}.$$

where $\chi_{\Box} = pt^{i-1}q^{-(j-1)}$ for $\Box = (i,j) \in \nu$.

Bosonic formula for the topological vertex OOOOOOOO

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Bosonic expression for the refined vertex

Using the same trick, we end up with

$$\mathcal{C}_{\lambda,\mu,
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u} =: \Phi_{\emptyset} \prod_{\square \in
u} \eta(\chi_{\square}) :,$$

and,

$$\begin{split} \eta(z) &= \exp\left(-\sum_{k>0} \frac{1-t^{-k}}{k} p^{-k/2} z^k \beta_{-k}\right) \exp\left(\sum_{k>0} \frac{1-t^k}{k} p^{-k/2} z^{-k} \beta_k\right), \\ \Phi_{\emptyset} &= \exp\left(\sum_{k>0} \frac{p^{-k/2}}{k(1-q^k)} \beta_{-k}\right) \exp\left(\sum_{k>0} \frac{p^{-k/2}}{k(1-q^k)} \beta_k\right), \end{split}$$

where we have used the rescaled modes

$$\beta_{k} = p^{k/2} t^{-k/2} \alpha_{k}, \quad \beta_{-k} = \frac{1 - q^{k}}{1 - t^{k}} t^{k/2} p^{-k/2} \alpha_{-k} \quad \Rightarrow \quad [\beta_{k}, \beta_{l}] = k \frac{1 - q^{|k|}}{1 - t^{|k|}} \delta_{k+l}.$$

Bosonic formula for the topological vertex OOOOOOOO

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This is the topological vertex of Awata, Feigin and Shiraishi!!!

Bosonic formula for the topological vertex OOOOOOOO

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Bonus: Awata-Kanno topological vertex $\langle P_{\lambda} | \Phi_{\nu} | P_{\mu} \rangle$ (P_{λ}, P_{μ} Macdonald polynomials).

Bosonic formula for the topological vertex $\texttt{OOOOOOO} \bullet$

Intertwiners of quantum toroidal gl(1)

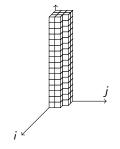
S-duality and Miki's automorphism Persp 00000000 00

Interpretation in terms of 3D partitions

Noticing that (here ν_{∞} is a fully filled Young diagram)

$$\Phi_{\emptyset} \simeq: \prod_{\Box \in \nu_{\infty}} \eta(\chi_{\Box})^{-1} : \quad \Rightarrow \quad \Phi_{\nu} =: \prod_{\Box \in \nu_{\infty} \setminus \nu} \eta(\chi_{\Box})^{-1} :$$

We can interpret $\eta(\chi_{\Box})^{-1}$ as a creation of a column of cubes at location $\Box = (i, j)$.



Bosonic formula for the topological vertex 00000000 Intertwiners of quantum toroidal gl(1)

S-duality and Miki's automorphism

Outline

Introduction

- 2) Bosonic formula for the topological vertex
- 3 Intertwiners of quantum toroidal gl(1)
- 4 S-duality and Miki's automorphism

5 Perspectives

Intertwiners of quantum toroidal gl(1) •••••••• S-duality and Miki's automorphism Perspe 00000000 00

$\begin{array}{l} Q \text{uantum toroidal } \mathfrak{gl}(1) : \text{ definition} \\ \text{(or [Ding, lohara 1997 - Miki 2007] algebra)} \end{array} \\ \end{array}$

• The quantum toroidal $\mathfrak{gl}(1)$ algebra depends on the parameters

 $q_1=t, \quad q_2=q^{-1}, \quad q_3=p=q/t \quad \Rightarrow \quad q_1q_2q_3=1.$

Intertwiners of quantum toroidal gl(1) •000000000 S-duality and Miki's automorphism Perspe 00000000 00

Quantum toroidal $\mathfrak{gl}(1)$: definition (or [Ding, lohara 1997 - Miki 2007] algebra)

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• It is formulated in terms of a central element c and 4 Drinfeld currents

$$x^{\pm}(z)=\sum_{k\in\mathbb{Z}}z^{-k}x_k^{\pm},\quad\psi^{\pm}(z)=\sum_{k\geq 0}z^{\mp k}\psi^{\pm}_{\pm k}.$$

Intertwiners of quantum toroidal gl(1) ••••••••

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• It has a second central element \bar{c} obtained as $\psi_0^\pm = q_3^{\mp rac{1}{2} \bar{c}}.$

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- It has a second central element \bar{c} obtained as $\psi_0^{\pm} = q_3^{\pm \frac{1}{2}\bar{c}}$.
- The parameters define the structure function

$$g(z) = \prod_{\alpha=1,2,3} \frac{1-q_{\alpha}z}{1-q_{\alpha}^{-1}z}$$

Intertwiners of quantum toroidal gl(1) 000000000 S-duality and Miki's automorphism Perspectiv

Quantum toroidal $\mathfrak{gl}(1)$: definition

The algebraic relations read

$$\begin{split} \psi^{+}(z)x^{\pm}(w) &= g(q_{3}^{\pm c/4}z/w)^{\pm 1}x^{\pm}(w)\psi^{\pm}(z), \\ \psi^{-}(z)x^{\pm}(w) &= g(q_{3}^{\mp c/4}z/w)^{\pm 1}x^{\pm}(w)\psi^{-}(z), \\ [\psi^{\pm}(z),\psi^{\pm}(w)] &= 0, \quad \psi_{0}^{+}\psi_{0}^{-} = \psi_{0}^{-}\psi_{0}^{+} = 1 \\ \psi^{+}(z)\psi^{-}(w) &= \frac{g(q_{3}^{c/2}z/w)}{g(q_{3}^{-c/2}z/w)}\psi^{-}(w)\psi^{+}(z), \\ x^{\pm}(z)x^{\pm}(w) &= g(z/w)^{\pm 1}x^{\pm}(w)x^{\pm}(z), \\ [x^{+}(z),x^{-}(w)] &= \delta(q_{3}^{-c/2}z/w)\psi^{+}(q_{3}^{-c/4}z) - \delta(q_{3}^{c/2}z/w)\psi^{-}(q_{3}^{c/4}z), \end{split}$$

together with Serre relations.

(Here $\delta(z) = \sum_{k \in \mathbb{Z}} z^k$ denotes the multiplicative Dirac delta function.)

S-duality and Miki's automorphism Pe 00000000 00

Quantum toroidal $\mathfrak{gl}(p)$: coalgebraic structure

The algebra has the structure of a Hopf algebra with the Drinfeld coproduct

$$\begin{split} \Delta(x^{+}(z)) &= x^{+}(z) \otimes 1 + \psi^{-}(q_{3}^{c_{(1)}/4}z) \otimes x^{+}(q_{3}^{c_{(1)}/2}z), \\ \Delta(x^{-}(z)) &= x^{-}(q_{3}^{c_{(2)}/2}z) \otimes \psi^{+}(q_{3}^{c_{(2)}/4}z) + 1 \otimes x^{-}(z), \\ \Delta(\psi^{\pm}(z)) &= \psi^{\pm}(q_{3}^{\pm c_{(2)}/4}z) \otimes \psi^{\pm}(q_{3}^{\mp c_{(1)}/4}z). \end{split}$$

We denoted $c_{(1)} = c \otimes 1$, $c_{(2)} = 1 \otimes c$, and $\Delta(c) = c_{(1)} + c_{(2)}$.

sonic formula for the topological vertex

Intertwiners of quantum toroidal gl(1)

S-duality and Miki's automorphism Per 00000000 00

Horizontal representations

• Horizontal representations $\rho_u^{(1,n)}$ have levels $(c, \bar{c}) = (1, n)$, and weight $u \in \mathbb{C}^{\times}$. (They are also called "level one", or "vertex" representations) Uction Bosonic formula for the topological vert 0 0000000 Intertwiners of quantum toroidal gl(1)

S-duality and Miki's automorphism Persp 00000000 00

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• Formulated in terms of a q-deformed bosonic modes β_k ,

$$[\beta_k, \beta_l] = k \frac{1 - q^{|k|}}{1 - t^{|k|}} \delta_{k+l}.$$

 \rightsquigarrow Usual vacuum $|\emptyset\rangle$ such that $\beta_{k>0} |\emptyset\rangle = 0$, PBW basis acting with $\beta_{k<0}$. (Other basis: Schur basis $|\lambda\rangle$, Macdonald basis $|P_{\lambda}\rangle$,...) duction Bosonic formula for the topological verte

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Horizontal representations

• Drinfeld currents are represented in terms of vertex operators,

 $\rho_{u}^{(1,n)}(x^{\pm}(z)) = u^{\pm 1} z^{\mp n} \eta^{\pm}(z), \quad \rho_{u}^{(1,n)}(\psi^{\pm}(z)) = \gamma^{\mp n} \varphi^{\pm}(z),$

with:

$$\begin{split} \eta^{+}(z) &= \exp\left(\sum_{k=1}^{\infty} \frac{1-t^{-k}}{k} z^{k} \beta_{-k}\right) \exp\left(-\sum_{k=1}^{\infty} \frac{1-t^{k}}{k} z^{-k} \beta_{k}\right), \\ \eta^{-}(z) &= \exp\left(-\sum_{k=1}^{\infty} \frac{1-t^{-k}}{k} p^{k/2} z^{k} \beta_{-k}\right) \exp\left(\sum_{k=1}^{\infty} \frac{1-t^{k}}{k} p^{k/2} z^{-k} \beta_{k}\right), \\ \varphi^{+}(z) &= \exp\left(-\sum_{k=1}^{\infty} \frac{1-t^{k}}{k} (1-p^{k}) p^{-k/4} z^{-k} \beta_{k}\right), \\ \varphi^{-}(z) &= \exp\left(\sum_{k=1}^{\infty} \frac{1-t^{-k}}{k} (1-p^{k}) p^{-k/4} z^{k} \beta_{-k}\right). \end{split}$$

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Identify $\eta^{-}(z) \equiv \eta(z)$!!!

sonic formula for the topological verte 0000000 Intertwiners of quantum toroidal gl(1) 00000000000

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Vertical representations

• Vertical representations $\rho_v^{(0,1)}$ have levels $(c, \bar{c}) = (0,1)$, and weight $v \in \mathbb{C}^{\times}$. (Generalize the finite dimensional representations of quantum affine algebras.)

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Vertical representations

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• Drinfeld currents act on states $|\lambda\rangle\rangle$ parameterized by a Young diagram λ :

$$\begin{split} \rho_{v}^{(0,1)}(x^{+}(z)) \left| \lambda \right\rangle &= \sum_{\square \in \mathcal{A}(\lambda)} \delta(z/\chi_{\square}) \mathop{\mathrm{Res}}_{z=\chi_{\square}} \frac{1}{z \mathcal{Y}_{\lambda}(z)} \left| \lambda + \square \right\rangle, \\ \rho_{v}^{(0,1)}(x^{-}(z)) \left| \lambda \right\rangle &= q_{3}^{-1/2} \sum_{\square \in \mathcal{R}(\lambda)} \delta(z/\chi_{\square}) \mathop{\mathrm{Res}}_{z=\chi_{\square}} z^{-1} \mathcal{Y}_{\lambda}(q_{3}^{-1}z) \left| \lambda - \square \right\rangle, \\ \rho_{v}^{(0,1)}(\psi^{\pm}(z)) \left| \lambda \right\rangle &= q_{3}^{-1/2} \left[\frac{\mathcal{Y}_{\lambda}(q_{3}^{-1}z)}{\mathcal{Y}_{\lambda}(z)} \right]_{\pm} \left| \lambda \right\rangle. \end{split}$$

- $\chi_{\Box} = vq_1^{i-1}q_2^{j-1} \in \mathbb{C}^{\times}$ for a box $\Box = (i,j) \in \lambda$ ("instanton position").
- A(λ) denote the set of boxes that can be added to λ.
- $R(\lambda)$ denote the set of boxes that can be removed from λ .
- $[f(z)]_{\pm}$ denotes an expansion of f(z) in powers of $z^{\pm 1}$.

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Vertical representation

• The function $\mathcal{Y}_{\lambda}(z)$ is Nekrasov's \mathcal{Y} -observable.

 $\rightsquigarrow~$ It appears in recursion of formulas for the Nekrasov factor of 5D $\mathcal{N}=1$ theories,

$$\frac{N(\boldsymbol{v}^{(1)}, \lambda^{(1)} | \boldsymbol{v}^{(2)}, \lambda^{(2)} + \Box)}{N(\boldsymbol{v}^{(1)}, \lambda^{(1)} | \boldsymbol{v}^{(2)}, \lambda^{(2)})} = \mathcal{Y}_{\lambda^{(1)}}(\chi_{\Box}),$$
$$\frac{N(\boldsymbol{v}^{(1)}, \lambda^{(1)} + \Box | \boldsymbol{v}^{(2)}, \lambda^{(2)})}{N(\boldsymbol{v}^{(1)}, \lambda^{(1)} | \boldsymbol{v}^{(2)}, \lambda^{(2)})} = \mathcal{Y}_{\lambda^{(2)}}(q_3^{-1}\chi_{\Box})$$

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• Explicitly, it writes

$$\mathcal{Y}_{\lambda}(z) = rac{\prod_{\square \in \mathcal{A}(\lambda)} 1 - \chi_{\square}/z}{\prod_{\square \in \mathcal{R}(\lambda)} 1 - \chi_{\square}/(q_3 z)}.$$

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Vertical representation

Remark: Note that we can also write the Cartan currents $\psi^{\pm}(z)$ as

$$\psi^{\pm}(z) = \psi_0^{\pm} \exp\left(\pm \sum_{k>0} z^{\pm k} a_{\pm k}\right)$$

with

$$\rho_{\nu}^{(0,1)}(a_{k>0}) |\lambda\rangle\rangle = \frac{1}{k} (p^{-1/2}\nu)^{k} \frac{t^{k/2} - t^{-k/2}}{p^{k/2} - p^{-k/2}} \sum_{i} x_{i}^{k} |\lambda\rangle\rangle,$$

$$\rho_{\nu}^{(0,1)}(a_{k<0}) |\lambda\rangle\rangle = \frac{1}{k} (p^{-1/2}\nu)^{k} \frac{q^{k/2} - q^{-k/2}}{p^{k/2} - p^{-k/2}} \sum_{i} y_{i}^{-k} |\lambda\rangle\rangle,$$

where $x = (q^{-\lambda_1} t^{1/2}, q^{-\lambda_2} t^{3/2}, \cdots)$ and $y = (t^{-\lambda_1'} q^{1/2}, t^{-\lambda_2'} q^{3/2}, \cdots)$.

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Intertwining operators

Intertwining operators introduced as a generalization of Virasoro vertex operators.
 (~~quantum Knizhnik-Zamolodchikov equations) [Frenkel, Reshetikhin 1992]

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- These operators Φ^{\pm} are obtained by solving the following equation:

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ho_{u'}^{(1,n+1)}(e)\Phi^+ = \Phi^+ \left(
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for every element $e = x^{\pm}(z), \psi^{\pm}(z), c$ of the algebra.

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• The solution is decomposed on the vertical basis,

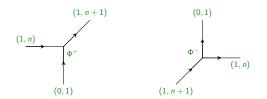
$$\Phi^+ = \sum_{\lambda} \Phi^+_{\lambda} \langle\!\langle \lambda | \,, \quad \Phi^- = \sum_{\lambda} \Phi^-_{\lambda} \, | \lambda \rangle\!\rangle, \quad \text{with} \quad \Phi^\pm_{\lambda} =: \Phi^\pm_{\emptyset} \prod_{\square \in \lambda} \eta^\pm(\chi_{\square}) :,$$

where Φ^\pm_λ are vertex operators acting on horizontal modules.

Intertwiners of quantum toroidal gl(1) 0000000000

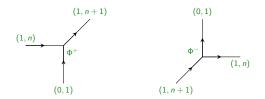
S-duality and Miki's automorphism Perspective OOOOOOOO OO OO

Identification of the topological vertex



S-duality and Miki's automorphism Perspect 00000000 00

Identification of the topological vertex



The intertwiner Φ_{λ}^{-} identifies with the refined topological vertex Φ_{λ} ! [Awata, Feigin, Shiraishi, 2011]

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sonic formula for the topological vertex

Intertwiners of quantum toroidal gl(1)

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Recent results

This algebraic realization of the topological vertex led to several generalizations:

• Higher level vertical representations $\rho_v^{(0,m)}$ and U(m) gauge groups. [Bourgine, Fukuda, Harada, Matsuo, Zhu 2017]

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Intertwiners of quantum toroidal gl(1)

S-duality and Miki's automorphism Perspec 00000000 00

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Intertwiners of quantum toroidal gl(1)

S-duality and Miki's automorphism Perspect

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Intertwiners of quantum toroidal gl(1)

S-duality and Miki's automorphism Perspec 00000000 00

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- \rightsquigarrow Determine the algebra from the Nekrasov factor, construct the topological vertex.

Other interests of the construction: (q, t)-Knizhnik-Zamolodchikov equations, Zamolodchikov-Faddev algebra, q-AGT correspondence,...

Bosonic formula for the topological vertex 00000000 Intertwiners of quantum toroidal gl(1) 00000000000 S-duality and Miki's automorphism

Outline

Introduction

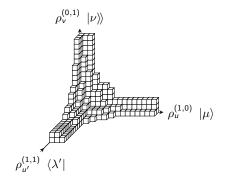
- 2) Bosonic formula for the topological vertex
- 3) Intertwiners of quantum toroidal ${
 m gl}(1)$
- 4 S-duality and Miki's automorphism

5 Perspectives

sonic formula for the topological vertex

Intertwiners of quantum toroidal gl(1) 0000000000 S-duality and Miki's automorphism •0000000

Correspondence algebra - topological strings



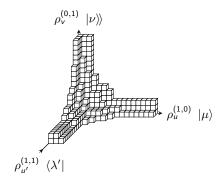
Each direction of the 3D partition is assigned to a representation:

- Levels (c, \bar{c}) label the cycle of the T^2 -fibration that degenerates.
- Weights u, v, u' give the Kähler moduli of the Calabi-Yau.

sonic formula for the topological vertex

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- Weights u, v, u' give the Kähler moduli of the Calabi-Yau.

In the preferred direction, x^{\pm} describe the variation of the Young diagram. (This diagram encodes the instanton configurations of the 5D gauge theory.)

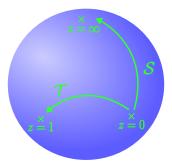
How does the algebra acts in other directions?

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Model B perspective

The topological vertex describes the Calabi-Yau \mathbb{C}^3 in model A.

→ Becomes the Calabi-Yau uv - H(x, p) = 0 with $H(x, p) = e^x + e^p + 1$ in model B. The degenerate locus of the fibration H(x, p) = 0 is a sphere with three punctures.



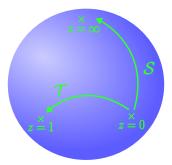
The modular group $PSL(2,\mathbb{Z})$ acts on the Riemann sphere. Generators $S: z \to -1/z$ and $T: z \to z+1$ map the different punctures.

osonic formula for the topological verte 0000000 Intertwiners of quantum toroidal gl(1) 0000000000

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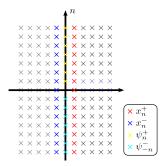
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 \rightsquigarrow Identify the three marked points with the axis of the toric diagram!

S-duality and Miki's automorphism 0000000

Automorphisms of the algebra

The quantum toroidal $\mathfrak{gl}(1)$ algebra has a group of $SL(2,\mathbb{Z})$ automorphisms,



- S rotates the generators by 90°. [Miki 2007]
- \mathcal{T} twist the generators (move up modes on the left, down on the right).
- \triangle S is now of order four! (extra orientation)

sonic formula for the topological verte: 0000000 Intertwiners of quantum toroidal gl(1)

S-duality and Miki's automorphism

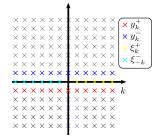
Automorphisms of the algebra

• Explicitly:

$$\begin{split} \mathcal{T}(c,\bar{c}) &= (c,\bar{c}+c), \quad \mathcal{T}(x_{k}^{\pm}) = x_{k\mp 1}^{\pm}, \quad \mathcal{T}(\psi_{\pm k}^{\pm}) = p^{\mp c/2}\psi_{\pm k}^{\pm}, \\ \mathcal{S}(c,\bar{c}) &= (-\bar{c},c), \quad \mathcal{S}(x_{k}^{\pm}) = y_{k}^{\pm}, \quad \mathcal{S}(\psi_{\pm k}^{\pm}) = \xi_{\pm k}^{\pm} \end{split}$$

with

$$\begin{split} y_k^{\pm} &\propto \left(\mathsf{ad}_{\mathbf{x}_0^{\pm}} \right)^{k-1} x_{\mp 1}^{+}, \quad y_{-k}^{\pm} \propto \left(\mathsf{ad}_{\mathbf{x}_0^{-}} \right)^{k-1} x_{\mp 1}^{-}, \\ \xi_{\pm k}^{\pm} &\propto \mathsf{ad}_{\mathbf{x}_{\pm 1}^{\pm}} \left(\mathsf{ad}_{\mathbf{x}_0^{\pm}} \right)^{k-2} x_{\pm 1}^{\pm}, \quad \xi_{\pm 1}^{\pm} \propto x_0^{\pm}. \end{split}$$

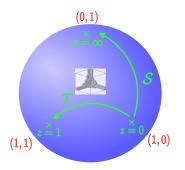


S-duality and Miki's automorphism

Action of the algebra on plane partitions

• Use these automorphisms to define the action in non-preferred direction!

$$\rho^{(1,0)} = \rho^{(0,1)} \circ \mathcal{S}^{-1}, \quad \rho^{(1,1)} = \rho^{(1,0)} \circ \mathcal{T}.$$



Intertwiners of quantum toroidal gl(1) 0000000000 S-duality and Miki's automorphism

Perspectives 00

Unrefined limit

• When q = t, the quantum toroidal $\mathfrak{gl}(1)$ algebra reduces to quantum $W_{1+\infty}$: (more precisely $a_k \to X_{0,k}$, $x_k^{\pm} \to X_{\pm 1,k}$)

 $[X_{m,n}, X_{m',n'}] = (q^{mn'} - q^{m'n})X_{m+m',n+n'} + \delta_{n+n'}\delta_{m+m'}(m\bar{c} + nc)q^{-mn}.$

Intertwiners of quantum toroidal gl(1) 0000000000 S-duality and Miki's automorphism

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• This algebra has a fermionic representation of levels (1,0). ($\{\psi_r, \psi_s^{\dagger}\} = \delta_{r+s}$, $\{\psi_r, \psi_s\} = \{\psi_r^{\dagger}, \psi_s^{\dagger}\} = 0$)

$$ho^{(1,0)}(X_{m,n}) = \sum_{r \in \mathbb{Z}+1/2} q^{m(r-1/2)} : \psi_{r+n} \psi_{-r}^{\dagger} :$$

Intertwiners of quantum toroidal gl(1) 0000000000 S-duality and Miki's automorphism

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• This algebra has a fermionic representation of levels (1,0). ($\{\psi_r, \psi_s^{\dagger}\} = \delta_{r+s}$, $\{\psi_r, \psi_s\} = \{\psi_r^{\dagger}, \psi_s^{\dagger}\} = 0$) $\rho^{(1,0)}(X_{m,n}) = \sum_{r=1}^{n} q^{m(r-1/2)} : \psi_{r+n}\psi_{-r}^{\dagger}$:

Accordingly the free boson of the
$$(1,0)$$
 representation is fermionized, $\psi(z)=e^{\phi(z)}$

 $r \in \mathbb{Z} + 1/2$

$$\eta^+(z) = \psi(z)\psi^\dagger(qz), \quad \eta^-(z) = \psi(qz)\psi^\dagger(z).$$

Intertwiners of quantum toroidal gl(1) 0000000000 S-duality and Miki's automorphism

Perspectives 00

Unrefined limit

• When q = t, the quantum toroidal $\mathfrak{gl}(1)$ algebra reduces to quantum $W_{1+\infty}$: (more precisely $a_k \to X_{0,k}$, $x_k^{\pm} \to X_{\pm 1,k}$)

 $[X_{m,n}, X_{m',n'}] = (q^{mn'} - q^{m'n})X_{m+m',n+n'} + \delta_{n+n'}\delta_{m+m'}(m\bar{c} + nc)q^{-mn}.$

• This algebra has a fermionic representation of levels (1,0). ($\{\psi_r, \psi_s^{\dagger}\} = \delta_{r+s}, \{\psi_r, \psi_s\} = \{\psi_r^{\dagger}, \psi_s^{\dagger}\} = 0$) $\rho^{(1,0)}(X_{m,n}) = \sum q^{m(r-1/2)} : \psi_{r+n}\psi_{-r}^{\dagger} :$

• Accordingly the free boson of the (1,0) representation is fermionized, $\psi(z) = e^{\phi(z)}$.

 $r \in \mathbb{Z} + 1/2$

$$\eta^+(z) = \psi(z)\psi^\dagger(qz), \quad \eta^-(z) = \psi(qz)\psi^\dagger(z).$$

 \bullet Under Miki's automorphism $\mathcal S$, the generators transform as

$$X_{m,n} = \oint : \psi(z) z^n q^{mz\partial_z} \psi^{\dagger}(z) : \to X_{m,n}^{S} = \oint : \psi(z) q^{nz\partial_z} z^{-m} \psi^{\dagger}(z) :$$

→ Classically it reduces to a Fourier transform $(z, p) \rightarrow (-p, z)$. [Sasa, Watanabe, Matsuo 2019]

S-duality and Miki's automorphism

Unrefined limit

This fermionic description is well-known for the topological vertex!

- To each patch of the three-punctured sphere correspond a free fermion.
- Classically, the fermions in different patches are related by a Fourier transform.
- Fermions $\psi(z)$ ($\psi^{\dagger}(z)$) are interpreted as B-brane (antibrane).

[Aganagic, Dijkgraaf, Klemm, Marino, Vafa 2003]

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[Aganagic, Dijkgraaf, Klemm, Marino, Vafa 2003]

This leads to several interesting questions:

- Interpretation of $\eta(z) = \psi(qz)\psi^{\dagger}(z)$ as brane-antibrane bound state? (distance $\sim g_s$)
- What is the action of the full quantum toroidal algebra from the B-model perspective?
- What is the connection with (q,t)-deformed integrable hierarchies? with topological recursion?

sonic formula for the topological vertex

Intertwiners of quantum toroidal gl(1) 00000000000 S-duality and Miki's automorphism

Perspective 00

Perspectives

New formalism to describe the action of (strings) S-duality!

It can be employed to address the several important problems:

• q-AGT correspondence: duality with W-algebra seen in horizontal representations, but AGT formulated in vertical modules.

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- → Some of these ideas are discussed in [Bourgine 2018]

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Outline

Introduction

- 2) Bosonic formula for the topological vertex
- 3) Intertwiners of quantum toroidal gl(1)
- 4 S-duality and Miki's automorphism

5 Perspectives

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If you are a string theorist...

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S-duality and Miki's automorphism Perspectives

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Isolating these mathematical structures offers the possibility to generalize the method to solve new problems.

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- Geometric intuition: quantum integrable system \Leftrightarrow topological strings on $X_4 \times X_6$.
- \rightsquigarrow X₄ determines the symmetry algebra \mathcal{A} .
- \rightsquigarrow X₆ defines a A-covariant operator T (gluing Φ along the toric diagram).
- \rightsquigarrow Good choice of X_6 gives the transfer matrix (Hamiltonians generating function).

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Thank you !!!